**3GPP TSG-RAN2 Meeting #122R2-2306509**

**Incheon, Korea 22nd – 26th May, 2023**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
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|  | **38.306** | **CR** | **0929** | **rev** |  | **Current version:** | **17.4.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Introduction of intra-band EN-DC contiguous capability for UL  |
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| ***Source to WG:*** | Huawei, HiSilicon, Nokia, Nokia Shanghai Bell, Qualcomm Incorporated, Intel Corporation, ZTE Corporation, Sanechips, MediaTek inc. |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | TEI17, NR\_newRAT-Core |  | ***Date:*** | 2023-05-12 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…**Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* *Rel-19 (Release 19)* |
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| ***Reason for change:*** | According to RAN4, there are following intra-band EN-DC configurations with different contiguous capability for DL and UL.* Case 3: All CCs are contiguous in DL but neither carrier is contiguous to each other in UL:

|  |  |
| --- | --- |
| **EN-DC****configuration** | **Uplink EN-DC****configuration** |
| DC\_(n)48CA | DC\_48A\_n48A |
| DC\_(n)48DA | DC\_48A\_n48A |

* Case 4: One of LTE carriers and the NR carrier are contiguous in DL, contiguous and non-contiguous are both supported in UL:

|  |  |
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| **EN-DC****configuration** | **Uplink EN-DC****configuration** |
| DC\_48A\_(n)48AA | DC\_(n)48AADC\_48A\_n48A |

In current spec, the capability of *intrabandENDC-Support* is defined without differentiation between DL and UL. To support the above cases, new capability signalling *intraBandENDC-Support-UL* is introduced for UL. When the new capability is not included, the legacy capability indicates the capability for DL when the intra-band EN-DC is only supported in DL, or the common capability for both DL and UL when intra-band EN-DC is supported in DL and UL. ‘both’ in the legacy field indicates both contiguous and non-contiguous intra-band EN-DC are supported for the same band entries. The new capability is only signalled when UL capability is different from DL, in which case the legacy capability is restricted to DL. When ‘both’ is siganlled in *intrabandENDC-Support* and *intraBandENDC-Support-UL*, following three cases are supported: contiguous DL/contiguous UL, non-contiguous DL/non-contiguous UL, contiguous DL/non-contiguous UL.

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| **Scenario** | ***intraBandENDC-Support*** | ***intraBandENDC-Support-UL*** | **UE supports in DL / UL** **(if applicable)** |
| 1 | Absent (Contiguous) | Absent | * Contiguous/Contiguous
 |
| 2 | Absent (Contiguous) | Non-contiguous | * Contiguous/Non-contiguous

NOTE: “Case 3”  |
| 3 | Non-contiguous | Absent | * Non-contiguous/Non-contiguous
 |
| 4 | Both | Absent | * Contiguous/contiguous
* Non-contiguous/Non-contiguous
 |
| 5 | Both | Non-contiguous | * Contiguous/Non-contiguous
* Non-contiguous/Non-contiguous
 |
| 6 | Absent (Contiguous) | Both | * Contiguous/Contiguous
* Contiguous/Non-contiguous

NOTE: “Case 4”  |
| 7 | Both | Both | * Contiguous/Contiguous
* Non-contiguous/Non-contiguous
* Contiguous/Non-contiguous
 |

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| ***Summary of change:*** | Add new capability signalling to indicate contiguous/non-contiguous capabiliy for intra-band EN-DC in UL.**Impact analysis**Impacted 5G architecture options:(NG)EN-DCImpacted functionality:Intra-band EN-DCInter-operability: If the network is implemented according to this CR while the UE is not, there is no inter-operability issue, but the above band combinations cannot be signalled by the UE. If the UE is implemented according to this CR while the network is not, there will be inter-operability issue when the the legacy field is absent (i.e. contiguous) but the UE actually supports contiguous in DL but non-contiguous in UL. In this case, the legacy network will misunderstand contiguous is supported in DL and UL.The CR is mandatory to implement for UEs and networks supporting the asymmetric DL and UL spectrum continuity of intra-band (NG)EN-DC band combination. |
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| ***Consequences if not approved:*** |  The intra-band EN-DC band combinations with different contiguous capability for DL and UL cannot be supported. |
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| ***Clauses affected:*** | 4.2.7.9 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS/TR 38.331 CR 4158 |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |
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<Start of modification>

#### 4.2.7.9 *MRDC-Parameters*

| Definitions for parameters | Per | M | FDD-TDDDIFF | FR1-FR2DIFF |
| --- | --- | --- | --- | --- |
| ***asyncIntraBandENDC***Indicates whether the UE supports asynchronous FDD-FDD intra-band (NG)EN-DC with MRTD and MTTD as specified in clause 7.5 and 7.6 of TS 38.133 [5]. If asynchronous FDD-FDD intra-band (NG)EN-DC is not supported, the UE supports only synchronous FDD-FDD intra-band (NG)EN-DC.This capability applies to:- Intra-band (NG)EN-DC combination without additional inter-band NR and LTE CA component;- Intra-band (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component;- Intra-band (NG)EN-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC UL part;- Inter-band (NG)EN-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]).If this capability is included in an "Intra-band (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component" or in an "Intra-band (NG)EN-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC UL part", this capability applies to the intra-band (NG)EN-DC BC part. | BC | No | FDD only | FR1 only |
| ***condPSCellAdditionENDC-r17***Indicates whether the UE supports conditional PSCell addition in EN-DC. The UE supporting this feature shall also support 2 trigger events for same execution condition in conditional PSCell addition in EN-DC. | BC | No | N/A | N/A |
| ***dualPA-Architecture***For an intra-band band combination, this field indicates the support of dual PAs. If absent in an intra-band band combination, the UE supports single PA for all the ULs in the intra-band band combination. For other band combinations, this field is not applicable.This capability applies to:- Intra-band (NG)EN-DC/NE-DC combination without additional inter-band NR and LTE CA component;- Intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component;- Inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]).If this capability is included in an "Intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component", this capability applies to the intra-band (NG)EN-DC/NE-DC BC part. | BC | No | N/A | N/A |
| ***dynamicPowerSharingENDC***Indicates whether the UE supports dynamic (NG)EN-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability the UE supports the dynamic power sharing behaviour as specified in clause 7 of TS 38.213 [11]. In this release of the specification, the UE supporting (NG)EN-DC shall set this field to *supported.* | BC | Yes | N/A | FR1 only |
| ***dynamicPowerSharingNEDC***Indicates whether the UE supports dynamic NE-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability, the UE supports the dynamic power sharing behavior as specified in clause 7 of TS 38.213 [11]. | BC | Yes | N/A | FR1 only |
| ***intraBandENDC-Support***Indicates whether the UE supports intra-band (NG)EN-DC with only non-contiguous spectrum, or with both contiguous and non-contiguous spectrum for the (NG)EN-DC combination as specified in TS 38.101-3 [4].If the UE does not include this field for an intra-band (NG)EN-DC combination the UE only supports the contiguous spectrum for the intra-band (NG)EN-DC combination. If *intrabandENDC-Support-UL* is absent and the band combination supports intra-band (NG)EN-DC only in DL, this field indicates the DL capability. If *intrabandENDC-Support-UL* is absent and the band combination supports intra-band (NG)EN-DC in DL and UL, this field indicates the common capability for both DL and UL. If *intrabandENDC-Support-UL* is included, *intraBandENDC-Support* indicates the DL capability. | BC | No | N/A | N/A |
| ***intrabandENDC-Support-UL***Indicates whether the UE supports intra-band (NG)EN-DC in UL with only non-contiguous spectrum, or with both contiguous and non-contiguous spectrum for the intra-band (NG)EN-DC combination as specified in TS 38.101-3 [4]. The UE includes this field only if the UE supports different UL and DL capabilities for the intra-band (NG)EN-DC band combination.When ‘both’ is indicated in *intrabandENDC-Support* and in *intraBandENDC-Support-UL*, the UE supports the following three cases of intra-band (NG)EN-DC: contiguous DL/contiguous UL, non-contiguous DL/non-contiguous UL, contiguous DL/non-contiguous UL. | BC | No | N/A | N/A |
| ***interBandContiguousMRDC***Indicates for an inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]), that the UE supports intra-band contiguous (NG)EN-DC/NE-DC requirements (see TS 38.101-3 [4]). If the field is absent for such an inter-band (NG)EN-DC/NE-DC combination, the UE supports intra-band non-contiguous (NG)EN-DC/NE-DC requirements. | BC | CY | N/A | N/A |
| ***interBandMRDC-WithOverlapDL-Bands-r16***Indicates the UE supports FDD-FDD or TDD-TDD inter-band (NG)EN-DC/NE-DC operation with overlapping or partially overlapping DL bands with an (NG)EN-DC/NE-DC MRTD according to clause 7.6.2/7.6.5 in 38.133 [5] and inter-band RF requirements (i.e Type 2 UE). If the capability is not reported, the UE supports FDD-FDD or TDD-TDD inter-band operation with overlapping or partially DL bands with (NG)EN-DC/NE-DC MRTD<3us according to clause 7.6.3 in 38.133 [5] and intra-band RF requirements (i.e. Type 1 UE). | BC | No | N/A | FR1 only |
| ***maxUplinkDutyCycle-interBandENDC-FDD-TDD-PC2-r16***Indicates the maximum percentage of symbols during a certain evaluation period that can be scheduled for NR uplink transmission and EUTRA FDD uplink transmission so as to ensure compliance with applicable electromagnetic energy absorption requirements provided by regulatory bodies. This field is only applicable for inter-band FDD+TDD EN-DC power class 2 UE as specified in TS 38.101-3 [4]. This capability signalling comprises of *maxUplinkDutyCycle-FDD-TDD-EN-DC1* and *maxUplinkDutyCycle-FDD-TDD-EN-DC2* which indicate the maxUplinkDutyCycle capability of NR band corresponding to different LTE reference configurations as described in TS 38.101-3 [4], clause 6.2B.1.3. Value n30 corresponds to 30%, value n40 corresponds to 40% and so on. | BC | No | N/A | FR1 only |
| ***maxUplinkDutyCycle-interBandENDC-TDD-PC2-r16***Indicates the maximum percentage of symbols during a certain evaluation period that can be scheduled for NR uplink transmission under different EUTRA TDD uplink-downlink configurations so as to ensure compliance with applicable electromagnetic energy absorption requirements provided by regulatory bodies. This field is only applicable for inter-band TDD+TDD EN-DC power class 2 UE as specified in TS 38.101-3 [4]. If the field is absent, 30% shall be applied to all EUTRA TDD uplink-downlink configurations. If *eutra-TDD-Configx* is absent, 30% shall be applied to the corresponding EUTRA TDD uplink-downlink configuration.Value n20 corresponds to 20%, value n40 corresponds to 40% and so on. | BC | No | TDD only | FR1 only |
| ***scg-ActivationDeactivationENDC-r17***Indicates whether the UE supports activation (with or without RACH) and deactivation on SCG in EN-DC, upon SCG addition and upon reconfiguration of the SCG, as specified in TS 38.331 [9]. A UE supporting this feature shall indicate support of EN-DC as specified in TS 36.331 [17]. For the UE supporting this feature, it is mandatory to report *maxNumberCSI-RS-BFD* and *maxNumberSSB-BFD* for all NR bands of this band combination where the UE supports SpCell. | BC | No | N/A | N/A |
| ***scg-ActivationDeactivationResumeENDC-r17***Indicates whether the UE supports activation (with or without RACH) and deactivation on SCG in EN-DC, upon reception of an *RRCReconfiguration* included in an *RRCConnectionResume* message, as specified in TS 38.331 [9] and TS 36.331 [17], A UE supporting this feature shall indicate support of EN-DC and support of *resumeWithSCG-Config-r16* as specified in TS 36.331 [17]. For the UE supporting this feature, it is mandatory to report *maxNumberCSI-RS-BFD* and *maxNumberSSB-BFD* for all NR bands of this band combination where the UE supports SpCell. | BC | No | N/A | N/A |
| ***simultaneousRxTxInterBandENDC***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band (NG)EN-DC/NE-DC. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-3 [4].This capability applies to:- TDD-TDD and TDD-FDD Intra-band (NG)EN-DC/NE-DC combination supporting both UL and DL intra-band (NG)EN-DC/NE-DC parts with additional inter-band NR/LTE CA component;- TDD-TDD and TDD-FDD Intra-band (NG)EN-DC/NE-DC combination without supporting UL in both the bands of the intra-band (NG)EN-DC/NE-DC UL part;- TDD-TDD and TDD-FDD Inter-band (NG)EN-DC/NE-DC combination without Intra-band component.This capability is not applicable to the inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]). | BC | CY | N/A | N/A |
| ***simultaneousRxTxInterBandENDCPerBandPair***Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band (NG)EN-DC/NE-DC for each band pair in the band combination.Encoded in the same manner as *simultaneousRxTxInterBandCAPerBandPair*.The UE does not include this field if the UE supports simultaneous transmission and reception for all applicable band pairs in the band combination (in which case *simultaneousRxTxInterBandENDC* is included) or does not support for any band pair in the band combination. It is mandatory for certain band pairs as specified in TS 38.101-3 [4]. The UE shall consistently set the bits which correspond to the same band pair.Each bit of the capability only applies to TDD-TDD and TDD-FDD Inter-band (NG)EN-DC/NE-DC band pairs, except for the band pairs where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]). | BC | CY | N/A | N/A |
| ***singleUL-HARQ-offsetTDD-PCell-r16***Indicate support of HARQ offset for single UL transmission in synchronous (NG)EN-DC with LTE TDD PCell. UE indicates support of this feature shall indicate support of *tdm-restrictionTDD-endc-r16.* | BC | No | N/A | N/A |
| ***singleUL-Transmission***Indicates that the UE does not support simultaneous UL transmissions as defined in TS 38.101-3 [4]. The UE may only include this field for certain band combinations defined in TS 38.101-3 [4]. If included for a particular band combination, the field applies to all fallback band combinations of this band combination that are defined in TS 38.101-3 [4] as being allowed to include this field and does not apply to any other fallback band combinations defined in TS 38.101-3 [4].The UE shall include this field for band combinations containing a band pair for which single UL transmission is the only specified operation mode in TS 38.101-3 [4] and if the UE supports UL on both bands. Otherwise, this feature is optional. | BC | FD | N/A | N/A |
| ***spCellPlacement***Indicates whether the UE supports a SpCell on FR1-FDD, FR1-TDD and/or FR2-TDD depending on which additional SCells of other frequency range(s) / duplex mode(s) are configured. It is applicable to SCG of (NG)EN-DC and MCG of NE-DC, where UL is configured on more than one of FR1-FDD, FR1-TDD and FR2-TDD in a cell group. If not included, the UE supports SpCell on any serving cell with UL in supported band combinations. | UE | No | N/A | N/A |
| ***tdm-Pattern***Indicates whether the UE supports the *tdm-PatternConfig* for *single UL-transmission* associated functionality, as specified in TS 36.331 [17]. Support is conditionally mandatory in (NG)EN-DC for UEs that do not support dynamicPowerSharingENDC and for UEs that indicate single UL transmission for any (NG)EN-DC BC. Support is conditionally mandatory in NE-DC for UEs that do not support dynamicPowerSharingNEDC and for UEs that indicate single UL transmission for any NE-DC BC. The feature is optional otherwise. | BC | CY | N/A | FR1 only |
| ***tdm-restrictionDualTX-FDD-endc-r16***Indicates whether the UE supports TDM restriction to LTE FDD PCell in (NG)EN-DC for dual UL transmission operation when *tdm-PatternConfig2-R16* is configured, as specified in TS 36.331 [17]. UE indicates support this feature shall also indicate support of *tdm-Pattern*. | BC | No | N/A | FR1 only |
| ***tdm-restrictionFDD-endc-r16***Indicates whether the UE supports TDM restriction to LTE FDD PCell for single UL-transmission associated functionality when *tdm-PatternConfig2-R16* is configured, as specified in TS 36.331 [17]. This is applicable for FDD (NG)EN-DC. UE indicates support this feature shall also indicate support of *tdm-Pattern*. | BC | No | N/A | FR1 only |
| ***tdm-restrictionTDD-endc-r16***Indicates whether the UE supports TDM restriction to LTE TDD PCell for single UL-transmission associated functionality when *tdm-PatternConfig2-R16* is configured, as specified in TS 36.331 [17]. This is applicable for synchronous TDD-TDD (NG)EN-DC. | BC | No | N/A | FR1 only |
| ***ul-SharingEUTRA-NR***Indicates whether the UE supports (NG)EN-DC/NE-DC with EUTRA-NR coexistence in UL sharing via TDM only, FDM only, or both TDM and FDM from UE perspective as specified in TS 38.101-3 [4]. | BC | No | N/A | FR1 only |
| ***ul-SwitchingTimeEUTRA-NR***Indicates support of switching type between LTE UL and NR UL for (NG)EN-DC/NE-DC with LTE-NR coexistence in UL sharing from UE perspective as defined in clause 6.3B of TS 38.101-3 [4]. It is mandatory to report switching time type 1 or type 2 if UE reports *ul-SharingEUTRA-NR* is *tdm* or *both*. | BC | CY | N/A | FR1 only |
| ***ul-TimingAlignmentEUTRA-NR***Indicates whether to apply the same UL timing between NR and LTE for dynamic power sharing capable UE operating in a synchronous intra-band contiguous (NG)EN-DC. If this field is absent, UE shall be capable of handling a timing difference up to applicable MTTD requirements when operating in a synchronous intra-band contiguous (NG)EN-DC network, as specified in TS 38.133 [5].This capability applies to:- Intra-band contiguous (NG)EN-DC combination without additional inter-band NR and LTE CA component;- Intra-band contiguous (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component;- Inter-band (NG)EN-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]).If this capability is included in an "Intra-band contiguous (NG)EN-DC combination supporting both UL and DL intra-band (NG)EN-DC parts with additional inter-band NR/LTE CA component", this capability applies to the intra-band (NG)EN-DC BC part. | BC | No | N/A | N/A |

<End of modification>