**3GPP TSG-RAN WG2 Meeting #122 *R2-23xxxxx***

**Incheon, South Korea, May 22 – 26, 2023**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **4115** | **rev** | **1** | **Current version:** | **15.21.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Miscellaneous non-controversial corrections Set XVIII |
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| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | TEI15 |  | ***Date:*** | 2023-05-29 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | Correction of miscellaneous non-controversial errors (typos etc). |
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| ***Summary of change:*** | 1. 6.1.2 Need codes and conditions for optional fieldsBased on discussion at #121bis-e of R2-2303282 Clarification on drb-ContinueROHC, added an optional field with Need N in ASN.1 example.
2. BWP-UplinkDedicated field descriptions

Changed typo BPW to BWP.**CRs agreed at #122bis-e to be merged:**1. R2-2305133 Miscellaneous corrections for Rel-15 RRCIn IE *SI-SchedulingInfo* the description of the conditions *MSG-1* and *SUL-MSG-1* the reference to *SchedulingInfo* has been replaced by *schedulingInfoList*.

**Impact analysis**Impacted 5G architecture options: NR SA, (NG)EN-DC, NE-DC, NR-DCImpacted functionality: MiscellaneousInter-operability:There are no interoperability issues. |
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| ***Consequences if not approved:*** | Typos and minor errors remain in the RRC specification. |
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| ***Clauses affected:*** | 6.1.2, 6.3.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** | R2-2305831 |

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### 6.1.2 Need codes and conditions for optional downlink fields

The need for fields to be present in a message or an abstract type, i.e., the ASN.1 fields that are specified as OPTIONAL in the abstract notation (ASN.1), is specified by means of comment text tags attached to the OPTIONAL statement in the abstract syntax. All comment text tags are available for use in the downlink direction only. The meaning of each tag is specified in table 6.1.2-1.

If conditions are used, a conditional presence table is provided for the message or information element specifying the need of the field for each condition case. The table also specifies whether UE maintains or releases the value in case the field is absent. The conditions clarify what the UE may expect regarding the setting of the message by the network. Violation of conditions is regarded as invalid network behaviour, which the UE is not required to cope with. Hence the general error handling defined in 10.4 does not apply in case a field is absent although it is mandatory according to the CondC or CondM condition.

For guidelines on the use of need codes and conditions, see Annex A.6 and A.7.

Table 6.1.2-1: Meaning of abbreviations used to specify the need for fields to be present

| Abbreviation | Meaning |
| --- | --- |
| Cond conditionTag | Conditionally presentPresence of the field is specified in a tabular form following the ASN.1 segment. |
| CondC conditionTag | Configuration conditionPresence of the field is conditional to other configuration settings. |
| CondM conditionTag | Message conditionPresence of the field is conditional to other fields included in the message. |
| Need S | *Specified*Used for (configuration) fields, whose field description or procedure **specifies** the UE behavior performed upon receiving a message with the field absent (and not if field description or procedure specifies the UE behavior when field is not configured). |
| Need M | *Maintain*Used for (configuration) fields that are stored by the UE i.e. not one-shot. Upon receiving a message with the field absent, the UE maintains the current value. |
| Need N | *No action* (one-shot configuration that is not maintained)Used for (configuration) fields that are not stored and whose presence causes a one-time action by the UE. Upon receiving message with the field absent, the UE takes no action. |
| Need R | *Release*Used for (configuration) fields that are stored by the UE i.e. not one-shot. Upon receiving a message with the field absent, the UE releases the current value. |

NOTE: In this version of the specification, the condition tags CondC and CondM are not used.

Any field with Need M or Need N in system information shall be interpreted as Need R.

The need code used within a CondX definition only applies for the case (part of the condition) where it is defined: A condition may have different need codes for different parts of the condition. In particular, the CondX definition may contain the following "otherwise the field is absent" parts:

- "Otherwise, the field is absent": The field is not relevant or should not be configured when this part of the condition applies. In particular, the UE behaviour is not defined when the field is configured via another part of the condition and is reconfigured to this part of the condition. A need code is not provided when the transition from another part of the condition to this part of the condition is not supported, when the field clearly is a one-shot or there is no difference whether UE maintains or releases the value (e.g., in case the field is mandatory present according to the other part of the condition).

- "Otherwise, the field is absent, Need R": The field is released if absent when this part of the condition applies. This handles UE behaviour in case the field is configured via another part of the condition and this part of the condition applies (which means that network can assume UE releases the field if this part of the condition is valid).

- "Otherwise, the field is absent, Need M": The UE retains the field if it was already configured when this part of the condition applies. This means the network cannot release the field , but UE retains the previously configured value.

Use of different Need codes in different parts of a condition should be avoided.

For downlink messages, the need codes, conditions and ASN.1 defaults specified for a particular (child) field only apply in case the (parent) field including the particular field is present. Thus, if the parent is absent the UE shall not release the field unless the absence of the parent field implies that.

For (parent) fields without need codes in downlink messages, if the parent field is absent, UE shall follow the need codes of the child fields. Thus, if parent field is absent, the need code of each child field is followed (i.e. Need R child fields are released, Need M child fields are not modified and the actions for Need S child fields depend on the specified conditions of each field). Examples of (parent) fields in downlink messages without need codes where this rule applies are:

- *nonCriticalExtension* fields at the end of a message using empty SEQUENCE extension mechanism,

- groups of non-critical extensions using double brackets (referred to as extension groups), and

- non-critical extensions at the end of a message or at the end of a structure, contained in a BIT STRING or OCTET STRING (referred to as parent extension fields).

The handling of need codes as specified in the previous is illustrated by means of an example, as shown in the following ASN.1.

-- /example/ ASN1START

RRCMessage-IEs ::= SEQUENCE {

 field1 InformationElement1 OPTIONAL, -- Need M

 field2 InformationElement2 OPTIONAL, -- Need R

 nonCriticalExtension RRCMessage-v1570-IEs OPTIONAL

}

RRCMessage-1570-IEs ::= SEQUENCE {

 field3 InformationElement3 OPTIONAL, -- Need M

 nonCriticalExtension RRCMessage-v1640-IEs OPTIONAL

}

RRCMessage-v1640-IEs ::= SEQUENCE {

 field4 InformationElement4 OPTIONAL, -- Need R

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

InformationElement1 ::= SEQUENCE {

 field10 InformationElement10 OPTIONAL, -- Need N

 field11 InformationElement11 OPTIONAL, -- Need M

 field12 InformationElement12 OPTIONAL, -- Need R

 ...,

 [[

 field13 InformationElement13 OPTIONAL, -- Need R

 field14 InformationElement14 OPTIONAL -- Need M

 ]]

}

InformationElement2 ::= SEQUENCE {

 field21 InformationElement11 OPTIONAL, -- Need M

 ...

}

-- ASN1STOP

The handling of need codes as specified in the previous implies that:

- if *field1* in *RRCMessage-IEs* is absent, UE does not modify or take action on any child fields configured within *field1* (regardless of their need codes);

- if *field2* in *RRCMessage-IEs* is absent, UE releases the *field2* (and also its child field *field21*);

- if *field1* or *field2* in *RRCMessage-IEs* is present, UE retains or releases their child fields according to the child field presence conditions;

- if *field1* in *RRCMessage-IEs* is present but the extension group containing *field13* and *field14* is absent, the UE releases *field13* but does not modify *field14*;

- if *nonCriticalExtension* defined by IE *RRCMessage-v1570-IEs* is absent, the UE does not modify *field3* but releases *field4*;

### 6.3.2 Radio resource control information elements

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#### – *BWP-UplinkDedicated*

The IE *BWP-UplinkDedicated* is used to configure the dedicated (UE specific) parameters of an uplink BWP.

*BWP-UplinkDedicated* information element

-- ASN1START

-- TAG-BWP-UPLINKDEDICATED-START

BWP-UplinkDedicated ::= SEQUENCE {

 pucch-Config SetupRelease { PUCCH-Config } OPTIONAL, -- Need M

 pusch-Config SetupRelease { PUSCH-Config } OPTIONAL, -- Need M

 configuredGrantConfig SetupRelease { ConfiguredGrantConfig } OPTIONAL, -- Need M

 srs-Config SetupRelease { SRS-Config } OPTIONAL, -- Need M

 beamFailureRecoveryConfig SetupRelease { BeamFailureRecoveryConfig } OPTIONAL, -- Cond SpCellOnly

 ...

}

-- TAG-BWP-UPLINKDEDICATED-STOP

-- ASN1STOP

|  |
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| *BWP-UplinkDedicated* field descriptions |
| ***beamFailureRecoveryConfig***Configuration of beam failure recovery. If *supplementaryUplink* is present, the field is present only in one of the uplink carriers, either UL or SUL. |
| ***configuredGrantConfig***A *Configured-Grant* of *type1* or *type2*. It may be configured for UL or SUL but in case of *type1* not for both at a time. Except for reconfiguration with sync, the NW does not reconfigure *configuredGrantConfig* when there is an active configured uplink grant Type 2 (see TS 38.321 [3]). However, the NW may release the *configuredGrantConfig* at any time. |
| ***pucch-Config***PUCCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL, the network configures PUCCH only on the BWPs of one of the uplinks (normal UL or SUL). The network configures *PUCCH-Config* at least on non-initial BWP(s) for SpCell and on all BWP(s) for PUCCH SCell. If supported by the UE, the network may configure at most one additional SCell of a cell group with *PUCCH-Config* (i.e. PUCCH SCell).In (NG)EN-DC and NE-DC, the NW configures at most one serving cell per frequency range with PUCCH. In (NG)EN-DC and NE-DC, if two PUCCH groups are configured, the serving cells of the NR PUCCH group in FR2 use the same numerology. For NR-DC, the maximum number of PUCCH groups in each cell group is one, and only the same numerology is supported for the cell group with carriers only in FR2.The NW may configure PUCCH for a BWP when setting up the BWP. The network may also add/remove the *pucch-Config* in an *RRCReconfiguration* with *reconfigurationWithSync* (for SpCell or PUCCH SCell) or with SCell release and add (for PUCCH SCell) to move the PUCCH between the UL and SUL carrier of one serving cell. In other cases, only modifications of a previously configured *pucch-Config* are allowed.If one (S)UL BWP of a serving cell is configured with PUCCH, all other (S)UL BWPs must be configured with PUCCH, too. |
| ***pusch-Config***PUSCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL and if it has a *PUSCH-Config* for both UL and SUL, an UL/SUL indicator field in DCI indicates which of the two to use. See TS 38.212 [17], clause 7.3.1. |
| ***srs-Config***Uplink sounding reference signal configuration. |

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| Conditional Presence | Explanation |
| *SpCellOnly* | The field is optionally present, Need M, in the *BWP-UplinkDedicated* of an SpCell. It is absent otherwise.  |

NOTE 1: In case of *RRCReconfiguration* with *reconfigurationWithSync*, the UE performs a MAC reset, which involves releasing the PUCCH-CSI/SRS/SR configuration in accordance with clause 5.3.12 and TS 38.321 [3], clauses 5.12 and 5.2. Hence, for these parts of the dedicated radio resource configuration, delta signalling is not supported in the message when *reconfigurationWithSync* is included.

<Text omitted>

– *SI-SchedulingInfo*

The IE *SI-SchedulingInfo* contains information needed for acquisition of SI messages.

***SI-SchedulingInfo* information element**

-- ASN1START

-- TAG–SI-SCHEDULINGINFO-START

SI-SchedulingInfo ::= SEQUENCE {

 schedulingInfoList SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo,

 si-WindowLength ENUMERATED {s5, s10, s20, s40, s80, s160, s320, s640, s1280},

 si-RequestConfig SI-RequestConfig OPTIONAL, -- Cond MSG-1

 si-RequestConfigSUL SI-RequestConfig OPTIONAL, -- Cond SUL-MSG-1

 systemInformationAreaID BIT STRING (SIZE (24)) OPTIONAL, -- Need R

 ...

}

SchedulingInfo ::= SEQUENCE {

 si-BroadcastStatus ENUMERATED {broadcasting, notBroadcasting},

 si-Periodicity ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512},

 sib-MappingInfo SIB-Mapping

}

SIB-Mapping ::= SEQUENCE (SIZE (1..maxSIB)) OF SIB-TypeInfo

SIB-TypeInfo ::= SEQUENCE {

 type ENUMERATED {sibType2, sibType3, sibType4, sibType5, sibType6, sibType7, sibType8, sibType9,

 spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1,... },

 valueTag INTEGER (0..31) OPTIONAL, -- Cond SIB-TYPE

 areaScope ENUMERATED {true} OPTIONAL -- Need S

}

-- Configuration for Msg1 based SI Request

SI-RequestConfig ::= SEQUENCE {

 rach-OccasionsSI SEQUENCE {

 rach-ConfigSI RACH-ConfigGeneric,

 ssb-perRACH-Occasion ENUMERATED {oneEighth, oneFourth, oneHalf, one, two, four, eight, sixteen}

 } OPTIONAL, -- Need R

 si-RequestPeriod ENUMERATED {one, two, four, six, eight, ten, twelve, sixteen} OPTIONAL, -- Need R

 si-RequestResources SEQUENCE (SIZE (1..maxSI-Message)) OF SI-RequestResources

}

SI-RequestResources ::= SEQUENCE {

 ra-PreambleStartIndex INTEGER (0..63),

 ra-AssociationPeriodIndex INTEGER (0..15) OPTIONAL, -- Need R

 ra-ssb-OccasionMaskIndex INTEGER (0..15) OPTIONAL -- Need R

}

-- TAG-SI-SCHEDULINGINFO-STOP

-- ASN1STOP

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| ***SchedulingInfo* field descriptions** |
| ***areaScope***Indicates that a SIB is area specific. If the field is absent, the SIB is cell specific. |
| ***si-BroadcastStatus***Indicates if the SI message is being broadcasted or not. Change of *si-BroadcastStat*us should not result in system information change notifications in Short Message transmitted with P-RNTI over DCI (see clause 6.5). The value of the indication is valid until the end of the BCCH modification period when set to *broadcasting*. |
| ***si-Periodicity***Periodicity of the SI-message in radio frames. Value *rf8* corresponds to 8 radio frames, value *rf16* corresponds to 16 radio frames, and so on. |

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| ***SI-RequestConfig* field descriptions** |
| ***rach-OccasionsSI***Configuration of dedicated RACH Occassions for SI. If the field is absent, the UE uses the corresponding parameters configured in *rach-ConfigCommon* of the initial uplink BWP. |
| ***si-RequestPeriod***Periodicity of the *SI-Request* configuration in number of association periods. |
| ***si-RequestResources***If there is only one entry in the list, the configuration is used for all SI messages for which *si-BroadcastStatus* is set to *notBroadcasting*. Otherwise the 1st entry in the list corresponds to the first SI message in *schedulingInfoList* for which *si-BroadcastStatus* is set to *notBroadcasting*, 2nd entry in the list corresponds to the second SI message in *schedulingInfoList* for which *si-BroadcastStatus* is set to *notBroadcasting* and so on. Change of *si-RequestResources* should not result in system information change notification. |

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| ***SI-RequestResources* field descriptions** |
| ***ra-AssociationPeriodIndex***Index of the association period in the si-RequestPeriod in which the UE can send the SI request for SI message(s) corresponding to this *SI-RequestResources*, using the preambles indicated by *ra-PreambleStartIndex* and rach occasions indicated by *ra-ssb-OccasionMaskIndex*. |
| ***ra-PreambleStartIndex***If N SSBs are associated with a RACH occasion, where N > = 1, for the i-th SSB (i=0, …, N-1) the preamble with preamble index = *ra-PreambleStartIndex* + i is used for SI request; For N < 1, the preamble with preamble index = *ra-PreambleStartIndex* is used for SI request. |

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| ***SI-SchedulingInfo* field descriptions** |
| ***si-RequestConfig***Configuration of Msg1 resources that the UE uses for requesting SI-messages for which *si-BroadcastStatus* is set to notBroadcasting. |
| ***si-RequestConfigSUL***Configuration of Msg1 resources that the UE uses for requesting SI-messages for which *si-BroadcastStatus* is set to notBroadcasting. |
| ***si-WindowLength***The length of the SI scheduling window. Value *s5* corresponds to 5 slots, value *s10* corresponds to 10 slots and so on. The network always configures *si-WindowLength* to be shorter than or equal to the *si-Periodicity*. |
| ***systemInformationAreaID***Indicates the system information area that the cell belongs to, if any. Any SIB with *areaScope* within the SI is considered to belong to this *systemInformationAreaID*. The systemInformationAreaID is unique within a PLMN. |

| **Conditional presence** | **Explanation** |
| --- | --- |
| *MSG-1* | The field is optionally present, Need R, if *si-BroadcastStatus* is set to *notBroadcasting* for any SI-message included in *schedulingInfoList*. It is absent otherwise. |
| *SIB-TYPE* | The field is mandatory present if the SIB type is different from *SIB6*, *SIB7* or *SIB8*. For *SIB6*, *SIB7* and *SIB8* it is absent. |
| *SUL-MSG-1* | The field is optionally present, Need R, if *supplementaryUplink* is configured in *ServingCellConfigCommonSIB* and if *si-BroadcastStatus* is set to *notBroadcasting* for any SI-message included in *schedulingInfoList*. It is absent otherwise. |