**3GPP TSG-RAN WG2 Meeting #120 R2-2213111**

**Toulouse, France, Nov. 14th – Nov. 18th, 2022**

|  |
| --- |
| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | 38.321 | **CR** | 1454 | **rev** | 1 | **Current version:** | 17.2.0 |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
|  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

|  |
| --- |
|  |
| ***Title:***  | Corrections for MBS |
|  |  |
| ***Source to WG:*** | OPPO, Nokia, Nokia Shanghai Bell, Huawei, HiSilicon, Samsung, LG Electronics Inc, vivo, Xiaomi, ASUSTeK |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_MBS-Core |  | ***Date:*** | 2022-11-19 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | *Rel-17* |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
|  |  |
| ***Reason for change:*** | Corrections based on agreements in RAN2#119bis and RAN2#120 meetings.Agreements in RAN2#119bis:* “multicast assignments” is removed from the running condition of drx-onDurationTimerPTM (as proposed by R2-2209438).

**Reason:** For unicast DRX, consideration for running of drx-onDurationTimer of a DRX group includes “grants/assignments scheduled on Serving Cell(s)”. It is because of the case of transition between long DRX and short DRX due to the grant/assignment discussed during LTE Rel-11. Multicast DRX does not have short DRX, so “multicast assignments” is removed from the running condition of drx-onDurationTimerPTM.* Conditions “or when unicast DRX is configured” and “if unicast DRX is configured” are added in subclause 5.7b to start and stop –PTM timers (as proposed by R2-2209656).
* Conditions “or when multicast DRX is configured” and “if multicast DRX is configured” are added in subclause 5.7 to start and stop unicast timers(as proposed by R2-2209656).

**Reason:** If only DRX is configured, the pre-condition of the start of drx-HARQ-RTT-TimerDL and the stop of drx-RetransmissionTimerDL in multicast DRX, i.e. “When multicast DRX is configured for a G-RNTI or G-CS-RNTI” is incorrect in section 5.7b. The current text in section 5.7b does not allow to start drx-HARQ-RTT-TimerDL upon multicast assignment and stop drx-RetransmissionTimerDL for unicast DRX. If only multicast DRX is configured, there is a same issue on the pre-condition for stop of drx-RetransmissionTimerDL-PTM in section 5.7.* “a DRX Command MAC CE with DCI scrambled with C-RNTI/G-RNTI” is modified by “a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI/G-RNTI” according to R2-2210592.
* The soft buffers for DL HARQ processes for MBS broadcast is not flush in MAC reset, so “except for the DL HARQ process being used for MBS broadcast” is also added for not considering the next transmission as the very first transmission for MBS broadcast in section 5.12.

Agreements in RAN2#120:* In 5.3.2.2, in addition to G-RNTI or G-CS-RNTI (i.e. dynamically scheduling), HARQ feedback disabled or NACK-only can be also applied to “configured downlink assignment for MBS multicast”. The exact changes is based on Proposal 4 in R2-2211870.
* In addition to scheduling PDCCH addressed to C-RNTI, it’s allowed for gNB to include DRX Command MAC CE for unicast into MAC PDU scheduled by PDCCH addressed to CS-RNTI or by configured downlink assignment. The exact changes is based on Proposal 5 in R2-2211870.
* In addition to scheduling PDCCH addressed to G-RNTI, it’s allowed for gNB to include DRX Command MAC CE for multicast into MAC PDU scheduled by PDCCH addressed to G-CS-RNTI or by configured downlink multicast assignment. The exact changes is based on Proposal 6 in R2-2211870.
* After receiving a PTM transmission, drx-HARQ-RTT-TimerDL is started for PTP retransmission if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured. After receiving a PTM transmission scheduled by configured downlink multicast assignment, drx-HARQ-RTT-TimerDL is started for PTP retransmission if CS-RNTI is configured. The exact changes is based on Proposal 1 and 2 in R2-2211870.
* The proposed change in R2-2211366 is agreed.
 |
|  |  |
| ***Summary of change:*** | 1. “multicast assignments” is removed from the running condition of drx-onDurationTimerPTM in 5.7.
2. New text is added for the case “When multicast DRX is configured and DRX is not configured, the MAC entity shall:” in 5.7.
3. New text is added for the case “When unicast DRX is configured and multicast DRX is not configured, the MAC entity shall for this G-RNTI or G-CS-RNTI:” in 5.7b.
4. “a DRX Command MAC CE with DCI scrambled with C-RNTI/G-RNTI” is modified by “a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI/G-RNTI” in 5.7 and 5.7b.
5. “except for the DL HARQ process being used for MBS broadcast” is added for not considering the next transmission as the very first transmission in 5.12.
6. “or a configured downlink assignment” for multicast is added in HARQ feedback disabled or NACK-only cases in 5.3.2.2.
7. “or CS-RNTI, or by a configured downlink assignment” is add in the sentence “1> if a DRX Command MAC CE……” twice in 5.7.
8. “or G-CS-RNTI, or by a configured downlink multicast assignment” is added in the sentence “1> if a DRX Command MAC CE…..” in 5.7b.
9. Add two conditions to control the start of in 5.7b, i.e. “3> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]; and 3> if CS-RNTI is configured:” for MBS SPS case and “4> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]:” for MBS DG case.
10. Update the description “if multicast DRX is configured, the MAC entity is allowed to monitor the PDCCH for this G-RNTI or G-CS-RNTI discontinuously using the multicast DRX operation specified in this clause” to “if multicast DRX is configured for a G-RNTI or G-CS-RNTI, the MAC entity is allowed to monitor the PDCCH for this G-RNTI or G-CS-RNTI discontinuously using the multicast DRX operation specified in this clause ” in clause 5.7b.

**Impact analysis**Impacted 5G architecture options: NR SA, MR-DCImpacted functionality: MBSInter-operability: 1. If the network is implemented according to the change (3) in the CR and the UE is not, for clause 5.7b, the UE will not start *drx-HARQ-RTT-TimerDL* upon multicast assignment and stop *drx-RetransmissionTimerDL* for unicast DRX if only the unicast DRX is configured.2. If the network is implemented according to the change (2) in the CR and the UE is not, for clause 5.7, the UE will not stop *drx-RetransmissionTimerDL-PTM* for multicast DRX if only the multicast DRX is configured.3. If the network is implemented according to the change (5) in the CR and the UE is not, for clause 5.12, the data in HARQ buffer will not be useful any more. 4. If the UE is implemented according to the change (2) and (3) in the CR and the network is not, there is misalignment between UE and network for DRX active time.5. If the UE is implemented according to the change (5) in the CR and the network is not, there is no inter-operability issue.6. If the network is implemented according to the change (6) in the CR and the UE is not, for MBS multicast reception in configured downlink assignment for MBS multicast, the UE will generate acknowledgement(s) of the data for HARQ feedback disabled or NACK-only cases. If the UE is implemented according to the change (6) in the CR and the network is not, there is no inter-operability issue.7. If the network is implemented according to the change (7) in the CR and the UE is not, the UE will miss the DRX Command MAC CE if the DRX Command MAC CE is indicated by PDCCH addressed to CS-RNTI, or by a configured downlink assignment. If the UE is implemented according to the change (7) in the CR and the network is not, there is no inter-operability issue.8. If the network is implemented according to the change (8) in the CR and the UE is not, the UE will miss the DRX Command MAC CE if the DRX Command MAC CE is indicated by PDCCH addressed to G-CS-RNTI, or by a configured downlink multicast assignment. If the UE is implemented according to the change (8) in the CR and the network is not, there is no inter-operability issue.9. If the network is implemented according to the change (9) in the CR and the UE is not, the UE will start *drx-HARQ-RTT-TimerDL* timer even if the UE does not support PTP retransmission via C-RNTI for the initial PTM transmission. If the UE is implemented according to the change (10) in the CR and the network is not, there is no inter-operability issue.10. There is no inter-operability issue for change (1), (4) and (10) in the CR due to only text improvement. |
|  |  |
| ***Consequences if not approved:*** | 1. Without change (3), the UE will not start *drx-HARQ-RTT-TimerDL* upon multicast assignment and stop *drx-RetransmissionTimerDL* for unicast DRX if only the unicast DRX is configured.
2. Without change (2), the UE will not stop drx-RetransmissionTimerDL-PTM for multicast DRX if only the multicast DRX is configured.
3. Without change (5), the data in HARQ buffer of broadcast MBS reception will not be useful any more.
4. Without change (6), the UE will generate acknowledgement(s) of the data for HARQ feedback disabled or NACK-only cases for MBS multicast reception in configured downlink assignment for MBS multicast.
5. Without change (7) and (8), the UE will miss the DRX Command MAC CE in some cases.
6. Without change (9), the UE will start *drx-HARQ-RTT-TimerDL* timer even if the UE does not support PTP retransmission via C-RNTI for the initial PTM transmission.
7. Without change (1), (4), (10)The text for MBS is not clear.
 |
|  |  |
| ***Clauses affected:*** | 5.3.2.2, 5.7, 5.7b, 5.12 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

|  |
| --- |
| *Begin of the first change* |

#### 5.3.2.2 HARQ process

When a transmission takes place for the HARQ process, one or two (in case of downlink spatial multiplexing) TBs and the associated HARQ information are received from the HARQ entity.

For each received TB and associated HARQ information, the HARQ process shall:

1> if the NDI, when provided, has been toggled compared to the value of the previous received transmission corresponding to this TB; or

1> if the HARQ process is equal to the broadcast process, and this is the first received transmission for the TB according to the system information schedule indicated by RRC; or

1> if the HARQ process is associated with a transmission indicated with a MCCH-RNTI for MBS broadcast, and this is the first received transmission for the TB according to the MCCH schedule indicated by RRC; or

1> if the HARQ process is associated with a transmission indicated with a G-RNTI for MBS broadcast, and this is the first received transmission for the TB according to the MTCH schedule indicated by RRC or according to the scheduling indicated by DCI as specified in TS 38.214 [7]; or

1> if this is the very first received transmission for this TB (i.e. there is no previous NDI for this TB):

2> consider this transmission to be a new transmission.

1> else:

2> consider this transmission to be a retransmission.

The MAC entity then shall:

1> if this is a new transmission:

2> attempt to decode the received data.

1> else if this is a retransmission:

2> if the data for this TB has not yet been successfully decoded:

3> instruct the physical layer to combine the received data with the data currently in the soft buffer for this TB and attempt to decode the combined data.

1> if the data which the MAC entity attempted to decode was successfully decoded for this TB; or

1> if the data for this TB was successfully decoded before:

2> if the HARQ process is equal to the broadcast process:

3> deliver the decoded MAC PDU to upper layers.

2> else if this is the first successful decoding of the data for this TB:

3> deliver the decoded MAC PDU to the disassembly and demultiplexing entity.

1> else:

2> instruct the physical layer to replace the data in the soft buffer for this TB with the data which the MAC entity attempted to decode.

1> if the HARQ process is associated with a transmission indicated with a Temporary C-RNTI and the Contention Resolution is not yet successful (see clause 5.1.5); or

1> if the HARQ process is associated with a transmission indicated with a MSGB-RNTI and the Random Access procedure is not yet successfully completed (see clause 5.1.4a); or

1> if the HARQ process is equal to the broadcast process; or

1> if the HARQ process is associated with a transmission indicated with a MCCH-RNTI or a G-RNTI for MBS broadcast; or

1> if the HARQ process is associated with a transmission indicated with a G-RNTI or a G-CS-RNTI or a configured downlink assignment for MBS multicast and HARQ feedback is disabled; or

1> if the HARQ process is associated with a transmission indicated with a G-RNTI or a G-CS-RNTI or a configured downlink assignment for MBS multicast and NACK only HARQ feedback is configured and the data for this TB is successfully decoded; or

1> if the *timeAlignmentTimer*, associated with the TAG containing the Serving Cell on which the HARQ feedback is to be transmitted, is stopped or expired and if the *cg-SDT-TimeAlignmentTimer*, if configured, is not running; or

1> if the HARQ process is configured with disabled HARQ feedback:

2> not instruct the physical layer to generate acknowledgement(s) of the data in this TB.

1> else:

2> instruct the physical layer to generate acknowledgement(s) of the data in this TB.

The MAC entity shall ignore NDI received in all downlink assignments on PDCCH for its Temporary C-RNTI when determining if NDI on PDCCH for its C-RNTI has been toggled compared to the value in the previous transmission.

NOTE: If the MAC entity receives a retransmission with a TB size different from the last TB size signalled for this TB, the UE behavior is left up to UE implementation.

|  |
| --- |
| *The next change* |

## 5.7 Discontinuous Reception (DRX)

The MAC entity may be configured by RRC with a DRX functionality that controls the UE's PDCCH monitoring activity for the MAC entity's C-RNTI, CI-RNTI, CS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, AI-RNTI, SL-RNTI, SLCS-RNTI and SL Semi-Persistent Scheduling V-RNTI. When using DRX operation, the MAC entity shall also monitor PDCCH according to requirements found in other clauses of this specification. When in RRC\_CONNECTED, if DRX is configured, for all the activated Serving Cells, the MAC entity may monitor the PDCCH discontinuously using the DRX operation specified in this clause; otherwise the MAC entity shall monitor the PDCCH as specified in TS 38.213 [6].

NOTE 1: Void

RRC controls DRX operation by configuring the following parameters:

- *drx-onDurationTimer*: the duration at the beginning of a DRX cycle;

- *drx-SlotOffset*: the delay before starting the *drx-onDurationTimer*;

- *drx-InactivityTimer*: the duration after the PDCCH occasion in which a PDCCH indicates a new UL, DL or SL transmission for the MAC entity;

- *drx-RetransmissionTimerDL* (per DL HARQ process except for the broadcast process): the maximum duration until a DL retransmission is received;

- *drx-RetransmissionTimerUL* (per UL HARQ process): the maximum duration until a grant for UL retransmission is received;

- *drx-LongCycleStartOffset*: the Long DRX cycle and *drx-StartOffset* which defines the subframe where the Long and Short DRX cycle starts;

- *drx-ShortCycle* (optional): the Short DRX cycle;

- *drx-ShortCycleTimer* (optional): the duration the UE shall follow the Short DRX cycle;

- *drx-HARQ-RTT-TimerDL* (per DL HARQ process except for the broadcast process): the minimum duration before a DL assignment for HARQ retransmission is expected by the MAC entity;

- *drx-HARQ-RTT-TimerUL* (per UL HARQ process): the minimum duration before a UL HARQ retransmission grant is expected by the MAC entity;

- *drx-RetransmissionTimerSL* (per SL HARQ process): the maximum duration until a grant for SL retransmission is received;

- *drx-HARQ-RTT-TimerSL* (per SL HARQ process): the minimum duration before an SL retransmission grant is expected by the MAC entity;

- *ps-Wakeup* (optional): the configuration to start associated *drx-onDurationTimer* in case DCP is monitored but not detected;

- *ps-TransmitOtherPeriodicCSI* (optional): the configuration to report periodic CSI that is not L1-RSRP on PUCCH during the time duration indicated by *drx-onDurationTimer* in case DCP is configured but associated *drx-onDurationTimer* is not started;

- *ps-TransmitPeriodicL1-RSRP* (optional): the configuration to transmit periodic CSI that is L1-RSRP on PUCCH during the time duration indicated by *drx-onDurationTimer* in case DCP is configured but associated *drx-onDurationTimer* is not started;

- *downlinkHARQ-FeedbackDisabled* (optional): the configuration to enable HARQ feedback per DL HARQ process;

- *uplinkHARQ-Mode* (optional): the configuration to set *HARQmodeA* or *HARQmodeB* per UL HARQ process.

Serving Cells of a MAC entity may be configured by RRC in two DRX groups with separate DRX parameters. When RRC does not configure a secondary DRX group, there is only one DRX group and all Serving Cells belong to that one DRX group. When two DRX groups are configured, each Serving Cell is uniquely assigned to either of the two groups. The DRX parameters that are separately configured for each DRX group are: *drx-onDurationTimer*, *drx-InactivityTimer*. The DRX parameters that are common to the DRX groups are: *drx-SlotOffset*, *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL*, *drx-LongCycleStartOffset*, *drx-ShortCycle* (optional), *drx-ShortCycleTimer* (optional), *drx-HARQ-RTT-TimerDL*, *drx-HARQ-RTT-TimerUL*, *downlinkHARQ-FeedbackDisabled* (optional) and *uplinkHARQ-Mode* (optional).

When DRX is configured, the Active Time for Serving Cells in a DRX group includes the time while:

- *drx-onDurationTimer* or *drx-InactivityTimer* configured for the DRX group is running; or

- *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* is running on any Serving Cell in the DRX group; or

- *ra-ContentionResolutionTimer* (as described in clause 5.1.5) or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or

- a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5). If this Serving Cell is part of a non-terrestrial network, the Active Time is started after the Scheduling Request transmission that is performed when the *SR\_COUNTER* is 0 for all the SR configurations with pending SR(s) plus the UE-gNB RTT; or

- a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a).

The following MAC timers are used for DRX operation in a non-terrestrial network:

- *HARQ-RTT-TimerDL-NTN* (per DL HARQ process configured with HARQ feedback enabled): the minimum duration before a DL assignment for HARQ retransmission is expected by the MAC entity;

- *HARQ-RTT-TimerUL-NTN* (per UL HARQ process configured with *HARQModeA*): the minimum duration before a UL HARQ retransmission grant is expected by the MAC entity.

When DRX is not configured and multicast DRX is configured for a G-RNTI or G-CS-RNTI, the MAC entity shall:

1> monitor the PDCCH as specified in TS 38.213 [6];

1> if a MAC PDU is received in a configured downlink assignment for unicast; or

1> if the PDCCH indicates a DL unicast transmission:

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process.

When DRX is configured, the MAC entity shall:

1> if a MAC PDU is received in a configured downlink assignment for unicast:

2> if this Serving Cell is configured with *downlinkHARQ-FeedbackDisabled*:

3> if the corresponding HARQ process is configured with HARQ feedback enabled:

4> set *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerDL* plus the latest available UE-gNB RTT value;

4> start the *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

2> else:

3> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

NOTE 1a: Void.

NOTE 1b: Void.

2> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process;

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process.

1> if a MAC PDU is transmitted in a configured uplink grant and LBT failure indication is not received from lower layers:

2> if this Serving Cell is configured with *uplinkHARQ-Mode*:

3> if the corresponding HARQ process is configured as *HARQModeA*:

4> set *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerUL* plus the latest available UE-gNB RTT value;

4> if *drx-LastTransmissionUL* is configured:

5> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

4> else:

5> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

2> else:

3> if *drx-LastTransmissionUL* is configured:

4> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

3> else:

4> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

2> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process at the first transmission (within a bundle) of the corresponding PUSCH transmission.

1> if a MAC PDU is transmitted in a configured sidelink grant:

2> if the PUCCH resource is configured:

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH transmission carrying the SL HARQ feedback; or

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH resource for the SL HARQ feedback when the PUCCH is not transmitted;

3> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

2> else:

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process at the first symbol after the end of the corresponding PSSCH transmission;

3> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

1> if a *drx-HARQ-RTT-TimerDL* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerDL*.

1> if a *HARQ-RTT-TimerDL-NTN* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL* for the corresponding HARQ process in the first symbol after the expiry of *HARQ-RTT-TimerDL-NTN*.

1> if a *drx-HARQ-RTT-TimerUL* expires:

2> start the *drx-RetransmissionTimerUL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerUL*.

1> if a *HARQ-RTT-TimerUL-NTN* expires:

2> start the *drx-RetransmissionTimerUL* for the corresponding HARQ process in the first symbol after the expiry of *HARQ-RTT-TimerUL-NTN*.

1> if a *drx-HARQ-RTT-TimerSL* expires:

2> if a HARQ NACK feedback for the corresponding HARQ process is transmitted on PUCCH; or

2> if a HARQ NACK feedback for the corresponding HARQ process is not transmitted on PUCCH; or

2> if the PUCCH resource is not configured for the SL grant:

3> start the *drx-RetransmissionTimerSL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerSL*.

NOTE 1c: The UE handles the *drx-RetransmissionTimerSL* operation when *sl-PUCCH-Config* is configured by RRC but PUCCH resource is not scheduled same as when *sl-PUCCH-Config* is not configured.

1> if a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI or CS-RNTI, or by a configured downlink assignment for unicast transmission or a Long DRX Command MAC CE is received:

2> stop *drx-onDurationTimer* for each DRX group;

2> stop *drx-InactivityTimer* for each DRX group.

1> if *drx-InactivityTimer* for a DRX group expires:

2> if the Short DRX cycle is configured:

3> start or restart *drx-ShortCycleTimer* for this DRX group in the first symbol after the expiry of *drx-InactivityTimer*;

3> use the Short DRX cycle for this DRX group.

2> else:

3> use the Long DRX cycle for this DRX group.

1> if a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI or CS-RNTI, or by a configured downlink assignment for unicast transmission is received:

2> if the Short DRX cycle is configured:

3> start or restart *drx-ShortCycleTimer* for each DRX group in the first symbol after the end of DRX Command MAC CE reception;

3> use the Short DRX cycle for each DRX group.

2> else:

3> use the Long DRX cycle for each DRX group.

1> if *drx-ShortCycleTimer* for a DRX group expires:

2> use the Long DRX cycle for this DRX group.

1> if a Long DRX Command MAC CE is received:

2> stop *drx-ShortCycleTimer* for each DRX group;

2> use the Long DRX cycle for each DRX group.

1> if the Short DRX cycle is used for a DRX group, and [(SFN × 10) + subframe number] modulo (*drx-ShortCycle*) = (*drx-StartOffset*) modulo (*drx-ShortCycle*):

2> start *drx-onDurationTimer* for this DRX group after *drx-SlotOffset* from the beginning of the subframe.

1> if the Long DRX cycle is used for a DRX group, and [(SFN × 10) + subframe number] modulo (*drx-LongCycle*) = *drx-StartOffset*:

2> if DCP monitoring is configured for the active DL BWP as specified in TS 38.213 [6], clause 10.3:

3> if DCP indication associated with the current DRX cycle received from lower layer indicated to start *drx-onDurationTimer*, as specified in TS 38.213 [6]; or

3> if all DCP occasion(s) in time domain, as specified in TS 38.213 [6], associated with the current DRX cycle occurred in Active Time considering grants/assignments/DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to start of the last DCP occasion, or during a measurement gap, or when the MAC entity monitors for a PDCCH transmission on the search space indicated by *recoverySearchSpaceId* of the SpCell identified by the C-RNTI while the *ra-ResponseWindow* is running (as specified in clause 5.1.4); or

3> if *ps-Wakeup* is configured with value *true* and DCP indication associated with the current DRX cycle has not been received from lower layers:

4> start *drx-onDurationTimer* after *drx-SlotOffset* from the beginning of the subframe.

2> else:

3> start *drx-onDurationTimer* for this DRX group after *drx-SlotOffset* from the beginning of the subframe.

NOTE 2: In case of unaligned SFN across carriers in a cell group, the SFN of the SpCell is used to calculate the DRX duration.

1> if a DRX group is in Active Time:

2> monitor the PDCCH on the Serving Cells in this DRX group as specified in TS 38.213 [6];

2> if the PDCCH indicates a DL transmission; or

2> if the PDCCH indicates a one-shot HARQ feedback as specified in clause 9.1.4 of TS 38.213 [6]; or

2> if the PDCCH indicates a retransmission of HARQ feedback as specified in clause 9.1.5 of TS 38.213 [6]:

3> if this Serving Cell is configured with *downlinkHARQ-FeedbackDisabled*:

4> if the corresponding HARQ process is configured with HARQ feedback enabled:

5> set *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerDL* plus the latest available UE-gNB RTT value;

5> start the *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

3> else:

4> start or restart the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process(es) whose HARQ feedback is reported in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

NOTE 3: When HARQ feedback is postponed by PDSCH-to-HARQ\_feedback timing indicating an inapplicable k1 value, as specified in TS 38.213 [6], the corresponding transmission opportunity to send the DL HARQ feedback is indicated in a later PDCCH requesting the HARQ-ACK feedback.

3> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process(es) whose HARQ feedback is reported;

3> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process;

3> if the PDSCH-to-HARQ\_feedback timing indicate an inapplicable k1 value as specified in TS 38.213 [6]:

4> start the *drx-RetransmissionTimerDL* in the first symbol after the (end of the last) PDSCH transmission (within a bundle) for the corresponding HARQ process.

2> if the PDCCH indicates a UL transmission:

3> if this Serving Cell is configured with *uplinkHARQ-Mode*:

4> if the corresponding HARQ process is configured as *HARQModeA*:

5> set *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerUL* plus the latest available UE-gNB RTT value;

5> if *drx-LastTransmissionUL* is configured:

6> start the HARQ-RTT-TimerUL-NTN for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

5> else:

6> start the HARQ-RTT-TimerUL-NTN for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

3> else:

4> if *drx-LastTransmissionUL* is configured:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

4> else:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

3> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process.

2> if the PDCCH indicates an SL transmission:

3> if the PUCCH resource is configured:

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH transmission carrying the SL HARQ feedback; or

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH resource for the SL HARQ feedback when the PUCCH is not transmitted;

4> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

3> else:

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process at the first symbol after end of PDCCH occasion;

4> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

2> if the PDCCH indicates a new transmission (DL, UL or SL) on a Serving Cell in this DRX group:

3> start or restart *drx-InactivityTimer* for this DRX group in the first symbol after the end of the PDCCH reception.

NOTE 3a: A PDCCH indicating activation of SPS, configured grant type 2, or configured sidelink grant of configured grant Type 2 is considered to indicate a new transmission.

NOTE 3b: If the PDCCH reception includes two PDCCH candidates from corresponding search spaces, as described in clause 10.1 in 38.213, start or restart *drx-InactivityTimer* for this DRX group in the first symbol after the end of the PDCCH candidate that ends later in time.

2> if a HARQ process receives downlink feedback information and acknowledgement is indicated:

3> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process.

1> if DCP monitoring is configured for the active DL BWP as specified in TS 38.213 [6], clause 10.3; and

1> if the current symbol n occurs within *drx-onDurationTimer* duration; and

1> if *drx-onDurationTimer* associated with the current DRX cycle is not started as specified in this clause:

2> if the MAC entity would not be in Active Time considering grants/assignments/DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

2> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured or, if all multicast DRXes would not be in Active Time considering multicast assignments/DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions are configured with multicast DRX:

3> not transmit periodic SRS and semi-persistent SRS defined in TS 38.214 [7];

3> not report semi-persistent CSI configured on PUSCH;

3> if *ps-TransmitPeriodicL1-RSRP* is not configured with value *true*:

4> not report periodic CSI that is L1-RSRP on PUCCH.

3> if *ps-TransmitOtherPeriodicCSI* is not configured with value *true*:

4> not report periodic CSI that is not L1-RSRP on PUCCH.

1> else:

2> in current symbol n, if a DRX group would not be in Active Time considering grants/assignments scheduled on Serving Cell(s) in this DRX group and DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

2> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured or, in current symbol n, if all multicast DRXes corresponding to the DRX group would not be in Active Time considering multicast assignments/DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions corresponding to the DRX group are configured with multicast DRX:

3> not transmit periodic SRS and semi-persistent SRS defined in TS 38.214 [7] in this DRX group;

3> not report CSI on PUCCH and semi-persistent CSI configured on PUSCH in this DRX group.

2> if CSI masking (*csi-Mask*) is setup by upper layers:

3> in current symbol n, if *drx-onDurationTimer* of a DRX group would not be running considering grants/assignments scheduled on Serving Cell(s) in this DRX group and DRX Command MAC CE/Long DRX Command MAC CE received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

3> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured or, in current symbol n, if *drx-onDurationTimerPTM(s)* of all multicast DRXes corresponding to the DRX group would not be running considering DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions corresponding to the DRX group are configured with multicast DRX:

4> not report CSI on PUCCH in this DRX group.

NOTE 4: If a UE multiplexes a CSI configured on PUCCH with other overlapping UCI(s) according to the procedure specified in TS 38.213 [6] clause 9.2.5 and this CSI multiplexed with other UCI(s) would be reported on a PUCCH resource either outside DRX Active Time of the DRX group in which this PUCCH is configured or outside the on-duration period of the DRX group in which this PUCCH is configured if CSI masking is setup by upper layers, it is up to UE implementation whether to report this CSI multiplexed with other UCI(s).

Regardless of whether the MAC entity is monitoring PDCCH or not on the Serving Cells in a DRX group, the MAC entity transmits HARQ feedback, aperiodic CSI on PUSCH, and aperiodic SRS defined in TS 38.214 [7] on the Serving Cells in the DRX group when such is expected.

The MAC entity needs not to monitor the PDCCH if it is not a complete PDCCH occasion (e.g. the Active Time starts or ends in the middle of a PDCCH occasion).

|  |
| --- |
| *The next change* |

## 5.7b Discontinuous Reception (DRX) for MBS Multicast

For MBS multicast, the MAC entity may be configured by RRC with a DRX functionality per G-RNTI or per G-CS-RNTI that controls the UE's PDCCH monitoring activity for the MAC entity's G-RNTI(s) and G-CS-RNTI(s) as specified in TS 38.331 [5]. When in RRC\_CONNECTED, if multicast DRX is configured for a G-RNTI or G-CS-RNTI, the MAC entity is allowed to monitor the PDCCH for this G-RNTI or G-CS-RNTI discontinuously using the multicast DRX operation specified in this clause; otherwise the MAC entity monitors the PDCCH for this G-RNTI or G-CS-RNTI as specified in TS 38.213 [6]. The multicast DRX operation specified in this clause is performed independently for each G-RNTI or G-CS-RNTI and independently from the DRX operation specified in clauses 5.7 and 5.7a.

RRC controls multicast DRX operation per G-RNTI or per G-CS-RNTI by configuring the following parameters:

- *drx-onDurationTimerPTM*: the duration at the beginning of a DRX cycle;

- *drx-SlotOffsetPTM*: the delay before starting the *drx-onDurationTimerPTM*;

- *drx-InactivityTimerPTM*: the duration after the PDCCH occasion in which a PDCCH indicates a new DL multicast transmission for the MAC entity;

- *drx-LongCycleStartOffsetPTM*: the long DRX cycle *drx-LongCycle-PTM* and *drx-StartOffset-PTM* which defines the subframe where the long DRX cycle starts;

- *drx-RetransmissionTimerDL-PTM* (per DL HARQ process for MBS multicast): the maximum duration until a DL multicast retransmission is received;

- *drx-HARQ-RTT-TimerDL-PTM* (per DL HARQ process for MBS multicast): the minimum duration before a DL multicast assignment for HARQ retransmission is expected by the MAC entity.

When multicast DRX is configured for a G-RNTI or G-CS-RNTI, the Active Time includes the time while:

- *drx-onDurationTimerPTM* or *drx-InactivityTimerPTM* or *drx-RetransmissionTimerDL-PTM* for this G-RNTI or G-CS-RNTI is running.

When multicast DRX is not configured for a G-RNTI or G-CS-RNTI and unicast DRX is configured, the MAC entity shall for this G-RNTI or G-CS-RNTI:

1> monitor the PDCCH as specified in TS 38.213 [6];

1> if the PDCCH indicates a DL multicast transmission; or

1> if a MAC PDU is received in a configured downlink multicast assignment and CS-RNTI is configured:

2> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]; and

2> if HARQ feedback is enabled:

3> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

2> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process.When multicast DRX is configured for a G-RNTI or G-CS-RNTI, the MAC entity shall for this G-RNTI or G-CS-RNTI:

1> if a MAC PDU is received in a configured downlink multicast assignment:

2> if HARQ feedback is enabled:

3> start the *drx-HARQ-RTT-TimerDL-PTM* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback;

3> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]; and

3> if CS-RNTI is configured:

4> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process;

2> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process.

1> if a *drx-HARQ-RTT-TimerDL-PTM* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerDL-PTM*.

1> if a DRX Command MAC CE indicated by PDCCH addressed to a G-RNTI or G-CS-RNTI, or by a configured downlink multicast assignment is received:

2> stop *drx-onDurationTimerPTM* of the DRX for this G-RNTI or G-CS-RNTI;

2> stop *drx-InactivityTimerPTM* of the DRX for this G-RNTI or G-CS-RNTI.

1> if [(SFN × 10) + subframe number] modulo (*drx-LongCycle-PTM*) = *drx-StartOffset-PTM*:

2> start *drx-onDurationTimerPTM* after *drx-SlotOffsetPTM* from the beginning of the subframe.

1> if the MAC entity is in Active Time for this G-RNTI or G-CS-RNTI:

2> monitor the PDCCH for this G-RNTI or G-CS-RNTI as specified in TS 38.213 [6];

2> if the PDCCH indicates a DL multicast transmission:

3> if HARQ feedback is enabled:

4> start the *drx-HARQ-RTT-TimerDL-PTM* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback;

4> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]:

5> start the drx-HARQ-RTT-TimerDL for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

3> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process;

3> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process.

2> if the PDCCH indicates a new multicast transmission for this G-RNTI or G-CS-RNTI:

3> start or restart *drx-InactivityTimerPTM* in the first symbol after the end of the PDCCH reception.

NOTE: A PDCCH indicating activation of multicast SPS is considered to indicate a new transmission.

The MAC entity needs not to monitor the PDCCH for a G-RNTI or a G-CS-RNTI if it is not a complete PDCCH occasion (e.g. the Active Time for a G-RNTI or a G-CS-RNTI starts or ends in the middle of a PDCCH occasion).

|  |
| --- |
| *The next change* |

## 5.12 MAC Reset

If a reset of the MAC entity is requested by upper layers or the reset of the MAC entity is triggered due to SCG deactivation as defined in clause 5.29, the MAC entity shall:

1> if the MAC reset is not due to SCG deactivation:

2> initialize *Bj* for each logical channel to zero;

1> initialize *SBj* for each logical channel to zero if Sidelink resource allocation mode 1 is configured by RRC;

1> if upper layers indicate SCG deactivation and *bfd-and-RLM* with value *true* is configured for the deactivated SCG:

2> stop (if running) all timers except *beamFailureDetectionTimer* associated with PSCell and *timeAlignmentTimer*s.

1> else:

2> stop (if running) all timers, except MBS broadcast DRX timers;

2> consider all *timeAlignmentTimer*s, *inactivePosSRS-TimeAlignmentTimer*, and *cg-SDT-TimeAlignmentTimer*, if configured, as expired and perform the corresponding actions in clause 5.2;

1> set the NDIs for all uplink HARQ processes to the value 0;

1> sets the NDIs for all HARQ process IDs to the value 0 for monitoring PDCCH in Sidelink resource allocation mode 1;

1> stop, if any, ongoing Random Access procedure;

1> discard explicitly signalled contention-free Random Access Resources for 4-step RA type and 2-step RA type, if any;

1> flush Msg3 buffer;

1> flush MSGA buffer;

1> cancel, if any, triggered Scheduling Request procedure;

1> cancel, if any, triggered Buffer Status Reporting procedure;

1> cancel, if any, triggered Power Headroom Reporting procedure;

1> cancel, if any, triggered consistent LBT failure;

1> cancel, if any, triggered BFR;

1> cancel, if any, triggered Sidelink Buffer Status Reporting procedure;

1> cancel, if any, triggered Pre-emptive Buffer Status Reporting procedure;

1> cancel, if any, triggered Timing Advance Reporting procedure;

1> cancel, if any, triggered Recommended bit rate query procedure;

1> cancel, if any, triggered Configured uplink grant confirmation;

1> cancel, if any, triggered configured sidelink grant confirmation;

1> cancel, if any, triggered Desired Guard Symbol query;

1> cancel, if any, triggered Positioning Measurement Gap Activation/Deactivation Request procedure;

1> cancel, if any, triggered SDT procedure;

1> flush the soft buffers for all DL HARQ processes, except for the DL HARQ process being used for MBS broadcast;

1> for each DL HARQ process, except for the DL HARQ process being used for MBS broadcast, consider the next received transmission for a TB as the very first transmission;

1> release, if any, Temporary C-RNTI;

1> if upper layers indicate SCG deactivation and *bfd-and-RLM* with value *true* is not configured; or

1> if the MAC reset is not due to SCG deactivation:

2> reset all *BFI\_COUNTER*s;

1> reset all *LBT\_COUNTERs*.

If a Sidelink specific reset of the MAC entity is requested for a PC5-RRC connection by upper layers, the MAC entity shall:

1> flush the soft buffers for all Sidelink processes for all TB(s) associated to the PC5-RRC connection;

1> consider all Sidelink processes for all TB(s) associated to the PC5-RRC connection as unoccupied;

1> cancel, if any, triggered Scheduling Request procedure only associated to the PC5-RRC connection;

1> cancel, if any, triggered Sidelink Buffer Status Reporting procedure only associated to the PC5-RRC connection;

1> cancel, if any, triggered Sidelink CSI Reporting procedure associated to the PC5-RRC connection;

1> cancel, if any, triggered Sidelink DRX Command MAC CE associated to the PC5-RRC connection;

1> cancel, if any, triggered Sidelink IUC-Request transmission procedure associated to the PC5-RRC connection;

1> cancel, if any, triggered Sidelink IUC-Information Reporting procedure associated to the PC5-RRC connection;

1> stop (if running) all timers associated to the PC5-RRC connection;

1> reset the *numConsecutiveDTX* associated to the PC5-RRC connection;

1> initialize *SBj* for each logical channel associated to the PC5-RRC connection to zero.

|  |
| --- |
| *End of the first change* |