**3GPP TSG-RAN WG2 Meeting #120 *R2-22nnnn***

**Toulouse, Frace, November 14th – 18th 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **-** | **rev** | **-** | **Current version:** | **16.10.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | RRC Correction for SON MDT | | | | | | | | | |
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| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_ENDC\_SON\_MDT-Core | | | | |  | ***Date:*** | | | 2022-11-24 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | The CR addresses the RRC correction based on the agreements made in RAN2 meeting #120 | | | | | | | | |
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| ***Summary of change:*** | | RLF correction (Resolving the ambiguity in the RLF procedural text in including the neighbour cells measurmenets when ordering the measurements based on the SSB and CSI-RS measurements)  R2-2212086 On including SSB and CSI-RS measurements in RLF report Ericsson discussion Rel-16 38.331 NR\_SON\_MDT-Core  => Revised to “notes” agreed in R17.  **Impact analysis**  **Impacted functionality:**  RLF report  **Inter-operability analysis:**  If the UE is implemented according to the change but not the network there is no inter-operability issue.  If the network is implemented according to the change but not the UE, som mobiltiy decissions becomes sub-optimal since part of the measurements to be provided by the UE will be lost.  RLF report (correction on reconnect cell ID)  Correcting the NR Rel-17 RRC spec based on the following agreed CR.  Note that the CR (R2-2211416) represents corrections in LTE RRC spec (Rel-16 and Rel 17) that is agreed to be applied to NR RRC spec (Rel-16 and Rel-17) as well.  **Impact analysis**  **Impacted functionality:**  RLF report  **Inter-operability analysis:**  If the network is implemented according to the change but not the UE, reconnect cell ID reported by the UE will be misleading to the network.  If the UE is implemented according to the change but not the network, no inter-operability issue is foreseen. | | | | | | | | |
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| ***Consequences if not approved:*** | | Certain parts of the specification may not be clear or not working properly due to the lack of implementation of the above agreements. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.3.4, 5.3.10.5 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR …CR … | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

START OF CHANGE

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration and CCCH configuration;

2> indicate to upper layers fallback of the RRC connection;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301 or T319 if running;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> if *reconnectCellId* in *VarRLF-Report* is not set, after failing to perform reestablishment:

3> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> if the UE supports RLF report for inter-RAT MRO NR as defined in TS 36.306 [62], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

2> if *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] is not set after failing to perform reestablishment:

3> set *timeUntilReconnection* in *VarRLF-Report* of TS 36.331[10] to the time that elapsed since the last radio link failure or handover failure in LTE;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected an SNPN or a PLMN and in case of PLMN UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

2> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

2> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

3> include the *idleMeasAvailable*;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCSetupComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCSetupComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCSetupComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*, or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10], and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCSetupComplete* message;

2> if the UE supports uplink RRC message segmentation of *UECapabilityInformation*:

3> may include the *ul-RRC-Segmentation* in the *RRCSetupComplete* message;

2> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

3> if *speedStateReselectionPars* is configured in the *SIB2*:

4> include the *mobilityState* in the *RRCSetupComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

Next CHANGE

#### 5.3.10.5 RLF report content determination

The UE shall determine the content in the *VarRLF-Report* as follows:

1> clear the information included in *VarRLF-Report*, if any;

1> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

1> set the *measResultLastServCell* to include the cell level RSRP, RSRQ and the available SINR, of the source PCell (in case HO failure) or PCell (in case RLF) based on the available SSB and CSI-RS measurements collected up to the moment the UE detected failure;

1> if the SS/PBCH block-based measurement quantities are available:

2> set the *rsIndexResults* in *measResultLastServCell* to include all the available measurement quantities of the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

1> if the CSI-RS based measurement quantities are available:

2> set the *rsIndexResults* in *measResultLastServCell* to include all the available measurement quantities of the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the highest CSI-RS RSRP is listed first if CSI-RS RSRP measurement results are available, otherwise the highest CSI-RS RSRQ is listed first if CSI-RS RSRQ measurement results are available, otherwise the highest CSI-RS SINR is listed first, based on the available CSI-RS based measurements collected up to the moment the UE detected failure;

1> set the *ssbRLMConfigBitmap* and/or *csi-rsRLMConfigBitmap* in *measResultLastServCell* to include the radio link monitoring configuration of the source PCell(in case HO failure) or PCell (in case RLF), if available;

1> for each of the configured *measObjectNR* in which measurements are available:

2> if the SS/PBCH block-based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the cell with highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the cell with highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the cell with highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 1: For the neighboring cells included in *measResultListNR* in *measResultNeighCells* ordered based on the SS/PBCH block measurement quantities, UE also includes the CSI-RS based measurement quantities, if available.

2> if the CSI-RS based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the cell with highest CSI-RS RSRP is listed first if CSI-RS RSRP measurement results are available, otherwise the cell with highest CSI-RS RSRQ is listed first if CSI-RS RSRQ measurement results are available, otherwise the cell with highest CSI-RS SINR is listed first, based on the available CSI-RS based measurements collected up to the moment the UE detected radio link failure;

4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: For ordering the neighboring cells based on the CSI-RS measurement quantities, UE includes measurements only for the cells not yet included in *measResultListNR* in *measResultNeighCells* to avoid overriding SS/PBCH block-based ordered measurements.

1> for each of the configured EUTRA frequencies in which measurements are available;

2> set the *measResultListEUTRA* in *measResultNeighCells* to include the best measured cells ordered such that the cell with highest RSRP is listed first if RSRP measurement results are available, otherwise the cell with highest RSRQ is listed first, and based on measurements collected up to the moment the UE detected failure;

3> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

1> set the *c-RNTI* to the C-RNTI used in the source PCell(in case HO failure) or PCell (in case RLF);

1> if the failure is detected due to reconfiguration with sync failure as described in 5.3.5.8.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *hof*;

2> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> include *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and tracking area code of the PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received;

2> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

1> else if the failure is detected due to Mobility from NR failure as described in 5.4.3.5, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *hof*;

2> if last *MobilityFromNRCommand* concerned a failed inter-RAT handover from NR to E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO EUTRA (NR to EUTRA):

3> set the *eutraFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> include *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and tracking area code of the PCell where the last *MobilityFromNRCommand* message was received;

2> set the *timeConnFailure* to the elapsed time since reception of the last *MobilityFromNRCommand* message;

1> else if the failure is detected due to radio link failure as described in 5.3.10.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *rlf*;

2> set the *rlf-Cause* to the trigger for detecting radio link failure in accordance with clause 5.3.10.4;

2> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and the tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

2> if an *RRCReconfiguration* message including the *reconfigurationWithSync* was received before the connection failure:

3> if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned an intra NR handover:

4> include the *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received;

4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

3> else if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned a handover to NR from E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO EUTRA:

4> include the *eutraPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the E-UTRA PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync* embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

1> if *connectionFailureType* is *rlf* and the *rlf-Cause* is set to *randomAccessProblem* or *beamFailureRecoveryFailure*; or

1> if *connectionFailureType* is *hof* and if the failed handover is an intra-RAT handover:

2> set the *ra-InformationCommon* to include the random-access related information as described in clause 5.7.10.5;

1> if available, set the *locationInfo* as in 5.3.3.7.

The UE may discard the radio link failure information or handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure/handover failure is detected.

NOTE 2: In this clause, the term 'handover failure' has been used to refer to 'reconfiguration with sync failure'.

End of Change