**3GPP TSG-RAN WG2 Meeting #119e *R2-2209094***

**Online, 17 August - 29 August, 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **3289** | **rev** | **2** | **Current version:** | **17.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | MBS corrections for RRC | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, CATT, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_MBS-Core | | | | |  | ***Date:*** | | | 2022-08-17 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1. In the current specifications, a UE is not required to monitor CN paging/RAN paging during SDT. The same principle should be applied to Group paging also. 2. Based on the current definition of MCCH window, it might be possible that the MCCH window starts from radio frame N and ends at radio frame N+X, and “*mcch-RepetitionPeriodAndOffset*” defines the start frame of MCCH window. However, the field description says the MCCH is scheduled in the radio frames defined by “*mcch-RepetitionPeriodAndOffset*” as below:   *MCCH is scheduled in radio frames for which: SFN mod repetition period length = offset of the repetition period.*  This should be clarfied that “*mcch-RepetitionPeriodAndOffset*” only defines the start frame of the MCCH window.   1. MCCH window shall be smaller than MCCH repetition period, which should be clarified in the specifications. 2. To report MII, the UE needs to keep a valid version of SIB21, which is also required in RRC specification as below:   *The UE capable of MBS broadcast which is receiving or interested to receive MBS broadcast service(s) via a broadcast MRB shall ensure having a valid version of SIB20 and SIB21, regardless of the RRC state the UE is in.*  However, if there is no Paging/OSI search space configured in the active BWP of the UE, the network should be able to provide SIB21 to UE by dedicated signaling if the SIB21 is not configured as on-demand SI.   1. Some editorial description improvements are needed. 2. Some new agreements are made in RAN2#119 based on [R2-2208871](file:///C:\Users\Dwx974486\Documents\3GPP\Extracts\R2-2208871%20%5bPre119%5d%5b601%5d%5bMBS-R17%5d%20Summary%20of%20A.I.%206.1.2%20%20RRC%20corrections%20(Huawei).docx), R2-2208872, and R2-2208873. Refer to chair’s notes for RAN2#119. 3. Some clarifications are made in the RAN1 reply LS R2-2209122. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Clarify that the UE is not required to monitor group paging during SDT. 2. Clarify that “mcch-RepetitionPeriodAndOffset” only defines the start frame of MCCH window. 3. Clarify that MCCH window shall be smaller than MCCH repetition period. 4. Clarify that SIB21 can be provided to UE by dedicated signalling. 5. Some editorial description improvements. 6. Updates to reflect all the agreements from RAN2#119. 7. Capture the clarifications in the RAN1 reply LS R2-2209122.   **Impact analysis**  Impacted 5G architecture options:  NR standalone  Impacted functionality:  MBS  Inter-operability:  There are no inter-operatbility issues. | | | | | | | | |
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| ***Consequences if not approved:*** | | 1. The UE needs to monitor and respond to group paging. 2. The MCCH parameters may be misinterpreted or misconfigured. 3. The connected UE may not have a valid version of SIB21 to ensure normal broadcast reception. 4. The agreements from RAN2#119 are not applied. 5. There will be some ambiguity for multicast related to CORESET and *RateMatchPattern* configuration. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 4.2.1, 5.3.3.4, 5.3.5.3, 5.3.5.5.4, 5.3.5.6.6, 5.3.5.6.6, 5.3.5.8.2, 5.3.5.11, 5.3.7.3, 5.3.7.5, 5.3.8.3, 5.9.2.3, 5.9.3.1, 5.9.3.2, 5.9.3.3, 5.9.4.1, 5.9.4.2, 5.9.4.3, 5.9.4.4, 6.2.2, 6.3.1, 6.3.2, 6.3.6, 9.1.1.7, 11.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*START OF CHANGES*

### 4.2.1 UE states and state transitions including inter RAT

A UE is either in RRC\_CONNECTED state or in RRC\_INACTIVE state when an RRC connection has been established. If this is not the case, i.e. no RRC connection is established, the UE is in RRC\_IDLE state. The RRC states can further be characterised as follows:

**- RRC\_IDLE**:

- A UE specific DRX may be configured by upper layers;

- At lower layers, the UE may be configured with a DRX for PTM transmission of MBS broadcast;

- UE controlled mobility based on network configuration;

- The UE:

- Monitors Short Messages transmitted with P-RNTI over DCI (see clause 6.5);

- Monitors a Paging channel for CN paging using 5G-S-TMSI, except if the UE is acting as a L2 U2N Remote UE;

- If configured by upper layers for MBS multicast reception, monitors a Paging channel for CN paging using TMGI;

- Performs neighbouring cell measurements and cell (re-)selection;

- Acquires system information and can send SI request (if configured);

- Performs logging of available measurements together with location and time for logged measurement configured UEs;

- Performs idle/inactive measurements for idle/inactive measurement configured UEs;

- If configured by upper layers for MBS broadcast reception, acquires MCCH change notification and MBS broadcast control information and data.

**- RRC\_INACTIVE**:

- A UE specific DRX may be configured by upper layers or by RRC layer;

- At lower layers, the UE may be configured with a DRX for PTM transmission of MBS broadcast;

- UE controlled mobility based on network configuration;

- The UE stores the UE Inactive AS context;

- A RAN-based notification area is configured by RRC layer;

- Transfer of unicast data and/or signalling to/from UE over radio bearers configured for SDT.

The UE:

- Monitors Short Messages transmitted with P-RNTI over DCI (see clause 6.5);

- During SDT procedure, monitors control channels associated with the shared data channel to determine if data is scheduled for it;

- While SDT procedure is not ongoing, monitors a Paging channel for CN paging using 5G-S-TMSI and RAN paging using fullI-RNTI, except if the UE is acting as a L2 U2N Remote UE;

- If configured by upper layers for MBS multicast reception, while SDT procedure is not ongoing, monitors a Paging channel for paging using TMGI;

- Performs neighbouring cell measurements and cell (re-)selection;

- Performs RAN-based notification area updates periodically and when moving outside the configured RAN-based notification area;

- Acquires system information, while SDT procedure is not ongoing, and can send SI request (if configured);

- While SDT procedure is not ongoing, performs logging of available measurements together with location and time for logged measurement configured UEs;

- While SDT procedure is not ongoing, performs idle/inactive measurements for idle/inactive measurement configured UEs;

- If configured by upper layers for MBS broadcast reception, acquires MCCH change notification and MBS broadcast control information and data;

- Transmits SRS for Positioning.

**- RRC\_CONNECTED:**

- The UE stores the AS context;

- Transfer of unicast data to/from UE;

- Transfer of MBS multicast data to UE;

- At lower layers, the UE may be configured with a UE specific DRX;

- At lower layers, the UE may be configured with a DRX for PTM transmission of MBS broadcast and/or a DRX for MBS multicast;

- For UEs supporting CA, use of one or more SCells, aggregated with the SpCell, for increased bandwidth;

- For UEs supporting DC, use of one SCG, aggregated with the MCG, for increased bandwidth;

- Network controlled mobility within NR, to/from E-UTRA, and to UTRA-FDD;

- Network controlled mobility (path switch) between a serving cell and a L2 U2N Relay UE, or vice versa.

- The UE:

- Monitors Short Messages transmitted with P-RNTI over DCI (see clause 6.5), if configured;

- Monitors control channels associated with the shared data channel to determine if data is scheduled for it;

- Provides channel quality and feedback information;

- Performs neighbouring cell measurements and measurement reporting;

- Acquires system information;

- Performs immediate MDT measurement together with available location reporting;

- If configured by upper layers for MBS broadcast reception, acquires MCCH change notification and MBS broadcast control information and data.

*NEXT CHANGE*

## 5.3 Connection control

### 5.3.1 Introduction

#### 5.3.1.1 RRC connection control

RRC connection establishment involves the establishment of SRB1. The network completes RRC connection establishment prior to completing the establishment of the NG connection, i.e. prior to receiving the UE context information from the 5GC. Consequently, AS security is not activated during the initial phase of the RRC connection. During this initial phase of the RRC connection, the network may configure the UE to perform measurement reporting, but the UE only sends the corresponding measurement reports after successful AS security activation. However, the UE only accepts a re-configuration with sync message when AS security has been activated.

Upon receiving the UE context from the 5GC, the RAN activates AS security (both ciphering and integrity protection) using the initial AS security activation procedure. The RRC messages to activate AS security (command and successful response) are integrity protected, while ciphering is started only after completion of the procedure. That is, the response to the message used to activate AS security is not ciphered, while the subsequent messages (e.g. used to establish SRB2, DRBs and multicast MRBs) are both integrity protected and ciphered. After having initiated the initial AS security activation procedure, the network may initiate the establishment of SRB2 and DRBs and/or multicast MRBs, i.e. the network may do this prior to receiving the confirmation of the initial AS security activation from the UE. In any case, the network will apply both ciphering and integrity protection for the RRC reconfiguration messages used to establish SRB2, DRBs and/or multicast MRBs. The network should release the RRC connection if the initial AS security activation and/ or the radio bearer establishment fails. A configuration with SRB2 without DRB or multicast MRB, or with DRB or multicast MRB without SRB2 is not supported (i.e., SRB2 and at least one DRB or multicast MRB must be configured in the same RRC Reconfiguration message, and it is not allowed to release all the DRBs and multicast MRBs without releasing the RRC Connection). For IAB-MT, a configuration with SRB2 without any DRB/MRB is supported.

The release of the RRC connection normally is initiated by the network. The procedure may be used to re-direct the UE to an NR frequency or an E-UTRA carrier frequency.

The suspension of the RRC connection is initiated by the network. When the RRC connection is suspended, the UE stores the UE Inactive AS context and any configuration received from the network, and transits to RRC\_INACTIVE state. The RRC message to suspend the RRC connection is integrity protected and ciphered.

The resumption of a suspended RRC connection is initiated by upper layers when the UE needs to transit from RRC\_INACTIVE state to RRC\_CONNECTED state or by RRC layer to perform a RNA update or by RAN paging from NG-RAN or for SDT. When the RRC connection is resumed, network configures the UE according to the RRC connection resume procedure based on the stored UE Inactive AS context and any RRC configuration received from the network. The RRC connection resume procedure re-activates AS security and re-establishes SRB(s) and DRB(s) and/or multicast MRB(s), if configured.

Upon initiating the resume procedure for SDT, AS security (both ciphering and integrity protection) is re-activated for SRB2 (if configured for SDT) and for SRB1. In addition, AS security is also re-activated (if security is configured) for all the DRBs configured for SDT. Further, the PDCP entities of SRB1 and PDCP entities of the radio bearers configured for SDT are re-established and resumed whilst the UE remains in RRC\_INACTIVE state. Transmission and reception of data and/or signalling messages over radio bearers configured for SDT can happen whilst the UE is in RRC\_INACTIVE state and T319a is running.

In response to a request to resume the RRC connection or in response to a resume procedure initiated for SDT, the network may resume the suspended RRC connection and send UE to RRC\_CONNECTED, or reject the request to resume and send UE to RRC\_INACTIVE (with a wait timer), or directly re-suspend the RRC connection and send UE to RRC\_INACTIVE, or directly release the RRC connection and send UE to RRC\_IDLE, or instruct the UE to initiate NAS level recovery (in this case the network sends an RRC setup message).

NOTE: In case the UE receives the configurations for NR sidelink communication via the E-UTRA, the configurations for NR sidelink communication in *SIB12* and *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in clause 5.3 are provided by the configurations in *SystemInformationBlockType28* and *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331[10], respectively.

#### 5.3.1.2 AS Security

AS security comprises of the integrity protection and ciphering of RRC signalling (SRBs) and user data (DRBs).

RRC handles the configuration of the AS security parameters which are part of the AS configuration: the integrity protection algorithm, the ciphering algorithm, if integrity protection and/or ciphering is enabled for a DRB and two parameters, namely the *keySetChangeIndicator* and the *nextHopChainingCount*, which are used by the UE to determine the AS security keys upon reconfiguration with sync (with key change), connection re-establishment and/or connection resume.

The integrity protection algorithm is common for SRB1, SRB2, SRB3 (if configured), SRB4 (if configured) and DRBs configured with integrity protection, with the same *keyToUse* value. The ciphering algorithm is common for SRB1, SRB2, SRB3 (if configured), SRB4 (if configured) and DRBs configured with the same *keyToUse* value. Neither integrity protection nor ciphering applies for SRB0.

NOTE 0: All DRBs related to the same PDU session have the same enable/disable setting for ciphering and the same enable/disable setting for integrity protection, as specified in TS 33.501 [11].

RRC integrity protection and ciphering are always activated together, i.e. in one message/procedure. RRC integrity protection and ciphering for SRBs are never de-activated. However, it is possible to switch to a '*NULL*' ciphering algorithm (*nea0*).

The '*NULL*' integrity protection algorithm (*nia0*) is used only for SRBs and for the UE in limited service mode, see TS 33.501 [11] and when used for SRBs, integrity protection is disabled for DRBs. In case the ′*NULL*' integrity protection algorithm is used, '*NULL*' ciphering algorithm is also used.

NOTE 1: Lower layers discard RRC messages for which the integrity protection check has failed and indicate the integrity protection verification check failure to RRC.

The AS applies four different security keys: one for the integrity protection of RRC signalling (KRRCint), one for the ciphering of RRC signalling (KRRCenc), one for integrity protection of user data (KUPint) and one for the ciphering of user data (KUPenc). All four AS keys are derived from the KgNB key. The KgNB key is based on the KAMF key (as specified in TS 33.501 [11]), which is handled by upper layers.

The integrity protection and ciphering algorithms can only be changed with reconfiguration with sync. The AS keys (KgNB, KRRCint, KRRCenc, KUPint and KUPenc) change upon reconfiguration with sync (if *masterKeyUpdate* is included), and upon connection re-establishment and connection resume.

For each radio bearer an independent counter (*COUNT*, as specified in TS 38.323 [5]) is maintained for each direction. For each radio bearer, the *COUNT* is used as input for ciphering and integrity protection.

It is not allowed to use the same *COUNT* value more than once for a given security key. As specified in TS 33.501 clause 6.9.4.1 [11], the network is responsible for avoiding reuse of the *COUNT* with the same RB identity and with the same key, e.g. due to the transfer of large volumes of data, release and establishment of new RBs, and multiple termination point changes for RLC-UM bearers and multiple termination point changes for RLC-AM bearer with SN terminated PDCP re-establishment (COUNT reset) due to SN only full configuration whilst the key stream inputs (i.e. bearer ID, security key) at MN have not been updated. In order to avoid such re-use, the network may e.g. use different RB identities for RB establishments, change the AS security key, or an RRC\_CONNECTED to RRC\_IDLE/RRC\_INACTIVE and then to RRC\_CONNECTED transition.

In order to limit the signalling overhead, individual messages/ packets include a short sequence number (PDCP SN, as specified in TS 38.323 [5]). In addition, an overflow counter mechanism is used: the hyper frame number (*HFN*, as specified in TS 38.323 [5]). The HFN needs to be synchronized between the UE and the network.

For each SRB, the value provided by RRC to lower layers to derive the 5-bit BEARER parameter used as input for ciphering and for integrity protection is the value of the corresponding *srb-Identity* with the MSBs padded with zeroes.

For a UE provided with an *sk-counter*, *keyToUse* indicates whether the UE uses the master key (KgNB) or the secondary key (S-KeNB or S-KgNB) for a particular DRB. The secondary key is derived from the master key and *sk-Counter*, as defined in TS 33.501[11]. Whenever there is a need to refresh the secondary key, e.g. upon change of MN with KgNB change or to avoid COUNT reuse, the security key update is used (see 5.3.5.7). When the UE is in NR-DC, the network may provide a UE configured with an SCG with an *sk-Counter* even when no DRB is setup using the secondary key (S-KgNB) in order to allow the configuration of SRB3. The network can also provide the UE with an *sk-Counter*, even if no SCG is configured, when using SN terminated MCG bearers.

### 5.3.2 Paging

#### 5.3.2.1 General



Figure 5.3.2.1-1: Paging

The purpose of this procedure is:

- to transmit paging information to a UE in RRC\_IDLE or RRC\_INACTIVE.

- to transmit paging information for a L2 U2N Remote UE in RRC\_IDLE or RRC\_INACTIVE to its serving L2 U2N Relay UE in any RRC state.

#### 5.3.2.2 Initiation

The network initiates the paging procedure by transmitting the *Paging* message at the UE's paging occasion as specified in TS 38.304 [20]. The network may address multiple UEs within a *Paging* message by including one *PagingRecord* for each UE. The network may also include one or multiple TMGI(s) in the Paging message to page UEs for specific MBS multicast session(s).

#### 5.3.2.3 Reception of the *Paging* *message* by the UE or *PagingRecord* by the L2 U2N Remote UE

Upon receiving the *Paging* message by the UE or receiving *PagingRecord* from its connected L2 U2N Relay UE by a L2 U2N Remote UE, the UE shall:

1> if in RRC\_IDLE, for each of the *PagingRecord*, if any, included in the *Paging* message, or

1> if in RRC\_IDLE, for each of the *PagingRecord*, if any, included in the *UuMessageTransferSidelink* message received from the connected L2 U2N Relay UE:

2> if the *ue-Identity* included in the *PagingRecord* matches the UE identity allocated by upper layers:

3> if upper layers indicate the support of paging cause:

4> forward the *ue-Identity,* *accessType* (if present) and paging cause (if determined) to the upper layers;

3> else:

4> forward the *ue-Identity* and *accessType* (if present) to the upper layers;

1> if in RRC\_INACTIVE, for each of the *PagingRecord*, if any, included in the *Paging* message, or

1> if in RRC\_INACTIVE, for each of the *PagingRecord*, if any, included in the *UuMessageTransferSidelink* message received from the connected L2 U2N Relay UE:

2> if the *ue-Identity* included in the *PagingRecord* matches the UE's stored *fullI-RNTI*:

3> if the UE is configured by upper layers with Access Identity 1:

4> initiate the RRC connection resumption procedure according to 5.3.13 with *resumeCause* set to *mps-PriorityAccess*;

3> else if the UE is configured by upper layers with Access Identity 2:

4> initiate the RRC connection resumption procedure according to 5.3.13 with *resumeCause* set to *mcs-PriorityAccess*;

3> else if the UE is configured by upper layers with one or more Access Identities equal to 11-15:

4> initiate the RRC connection resumption procedure according to 5.3.13 with *resumeCause* set to *highPriorityAccess*;

3> else:

4> initiate the RRC connection resumption procedure according to 5.3.13 with *resumeCause* set to *mt-Access*;

NOTE: A MUSIM UE may not initiate the RRC connection resumption procedure, e.g. when it decides not to respond to the *Paging* message due to UE implementation constraints as specified in TS 24.501 [23].

2> else if the *ue-Identity* included in the *PagingRecord* matches the UE identity allocated by upper layers:

3> if upper layers indicate the support of paging cause:

4> forward the *ue-Identity*, *accessType* (if present) and paging cause (if determined) to the upper layers;

3> else:

4> forward the *ue-Identity* and *accessType* (if present) to the upper layers;

3> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'other';

1> for each *TMGI* included in *pagingGroupList*, if any, included in the *Paging* message:

2> if the UE has joined an MBS session indicated by the *TMGI* included in the *pagingGroupList*:

3> forward the *TMGI* to the upper layers;

1> if in RRC\_INACTIVE and the UE has joined one or more MBS session(s) indicated by the *TMGI* included in the *pagingGroupList*;and

1> if none of the *ue-Identity* included in any of the *PagingRecord*, if included in the *Paging* message, matches the UE identity allocated by upper layers:

2> initiate the RRC connection resumption procedure according to 5.3.13 with *resumeCause* set as below:

3> if the UE is configured by upper layers with Access Identity 1:

4> *resumeCause* is set to *mps-PriorityAccess*;

3> else if the UE is configured by upper layers with Access Identity 2:

4> *resumeCause* is set to *mcs-PriorityAccess*;

3> else if the UE is configured by upper layers with one or more Access Identities equal to 11-15:

4> *resumeCause* is set to *highPriorityAccess*;

3> else:

4> *resumeCause* is set to *mt-Access*.

1> if the UE is acting as a L2 U2N Relay UE, for each of the *PagingRecord*, if any, included in the *Paging* message:

2> if the *ue-Identity* included in the *PagingRecord* in the *Paging* message matches the UE identity in *sl-PagingIdentityRemoteUE* included in *sl-PagingInfo-RemoteUE* received in *RemoteUEInformationSidelink* message from a L2 U2N Remote UE:

3> inititate the Uu Message transfer in sidelink to that UE as specified in 5.8.9.9;

### 5.3.3 RRC connection establishment

#### 5.3.3.1 General



Figure 5.3.3.1-1: RRC connection establishment, successful



Figure 5.3.3.1-2: RRC connection establishment, network reject

The purpose of this procedure is to establish an RRC connection. RRC connection establishment involves SRB1 establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to the network.

The network applies the procedure e.g.as follows:

- When establishing an RRC connection;

- When UE is resuming or re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context. In this case, UE receives *RRCSetup* and responds with *RRCSetupComplete*.

#### 5.3.3.1a Conditions for establishing RRC Connection for NR sidelink communication/discovery/V2X sidelink communication

For NR sidelink communication/discovery, an RRC connection establishment is initiated only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication/discovery and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency; or

2> if the frequency on which the UE is configured to transmit NR sidelink discovery is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for the concerned frequency;

For L2 U2N Relay UE in RRC\_IDLE, an RRC connection establishment is initiated in the following cases:

1> if any message is received from a L2 U2N Remote UE via SL-RLC0 as specified in 9.1.1.4 or SL-RLC1 as specified in 9.2.4;

For V2X sidelink communication, an RRC connection is initiated only when the conditions specified for V2X sidelink communication in clause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection (except if the RRC connection is initiated at the L2 U2N Relay UE upon reception of a message from a L2 U2N Remote UE via SL-RLC0 or SL-RLC1). The interaction with NAS is left to UE implementation.

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment of an RRC connection while the UE is in RRC\_IDLE and it has acquired essential system information, or for sidelink communication as specified in clause 5.3.3.1a.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:

2> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> if the UE is acting as L2 U2N Remote UE:

2> apply the specified configuration of SL-RLC0 as specified in 9.1.1.4;

2> apply the SDAP configuration and PDCP configuration as specified in 9.1.1.2 for SRB0;

1> else:

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T300;

1> initiate transmission of the *RRCSetupRequest* message in accordance with 5.3.3.3;

#### 5.3.3.3 Actions related to transmission of *RRCSetupRequest* message

The UE shall set the contents of *RRCSetupRequest* message as follows:

1> set the *ue-Identity* as follows:

2> if upper layers provide a 5G-S-TMSI:

3> set the *ue-Identity* to *ng-5G-S-TMSI-Part1*;

2> else:

3> draw a 39-bit random value in the range 0..239-1 and set the *ue-Identity* to this value;

NOTE 1: Upper layers provide the *5G-S-TMSI* if the UE is registered in the TA of the current cell.

1> if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN):

2> set the *establishmentCause* to *mps-PriorityAccess*;

1> else:

2> set the *establishmentCause* in accordance with the information received from upper layers;

NOTE 2: In case the L2 U2N Relay UE initiates RRC connection establishment triggered by reception of message from a L2 U2N Remote UE via SL-RLC0 or SL-RLC1 as specified in 5.3.3.1a, the L2 U2N Relay UE sets the *establishmentCause* by implementation, but it can only set the *emergency*, *mps-PriorityAccess*, or *mcs-PriorityAccess* as *establishmentCause* if the same. If the cause value is in the message received from the L2 U2N Remote UE via SL-RLC0.

1> if *ta-Report* is configured with value *enabled* and the UE supports TA reporting;

2> indicate TA report initiation to lower layers;

The UE shall submit the *RRCSetupRequest* message to lower layers for transmission.

If the UE is a RedCap UE and the initial DL BWP for RedCap is not associated with CD-SSB, the UE may continue cell re-selection related measurements as well as cell re-selection evaluation, otherwise the UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.6.

NOTE 3: For L2 U2N Remote UE in RRC\_IDLE, the cell (re)selection procedure as specified in TS 38.304 [20] and relay (re)selection procedure as specified in 5.8.15.3 are performed independently and up to UE implementation to select either a cell or a L2 U2N Relay UE.

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> if *sdt-MAC-PHY-CG-Config* is configured:

3> instruct the MAC entity to stop the *cg-SDT-TimeAlignmentTimer*, if it is running;

3> instruct the MAC entity to start the *timeAlignmentTimer* associated with the PTAG*,* if it is not running;

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0 and broadcast MRBs, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration, CCCH configuration and broadcast MRBs;

2> indicate to upper layers fallback of the RRC connection;

2> discard any application layer measurement reports which were not transmitted yet;

2> inform upper layers about the release of all application layer measurement configurations;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301, T319 or T319a if running;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

2> stop relay (re)selection procedure if any for L2 U2N Remote UE;

1> consider the current cell to be the PCell;

1> perform the L2 U2N Remote UE configuration procedure in accordance with the received *sl-L2RemoteUE-Config* as specified in 5.3.5.16;

1> perform the sidelink dedicated configuration procedure in accordance with the received *sl-ConfigDedicatedNR* as specified in 5.3.5.14;

1> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> if *reconnectCellId* in *VarRLF-Report* is not set, and if the received *RRCSetup* is in response to an *RRCSetupRequest*:

3> if the UE supports RLF-Report for conditional handover and if *choCellId* in *VarRLF-Report* is set:

4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the radio link failure or handover failure experienced in the *failedPCellId* stored in *VarRLF-Report*;

3> else:

4> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> if the UE supports RLF report for inter-RAT MRO NR as defined in TS 36.306 [62], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

2> if *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] is not set:

3> set *timeUntilReconnection* in *VarRLF-Report* of TS 36.331[10] to the time that elapsed since the last radio link failure or handover failure in LTE;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected an SNPN or a PLMN and in case of PLMN UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> if upper layers provide onboarding request indication:

3> include the *onboardingRequest*;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

2> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

2> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

3> include the *idleMeasAvailable*;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCSetupComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCSetupComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCSetupComplete* message;

2> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

3> if T330 timer is running and the logged measurements configuration is for NR:

4> set *sigLogMeasConfigAvailable* to *true* in the *RRCSetupComplete* message;

3> else:

4> if the UE has logged measurements available for NR:

5> set *sigLogMeasConfigAvailable* to *false* in the *RRCSetupComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or in at least one of the entries of *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*, or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10], and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has successful handover information available in *VarSuccessHO-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarSuccessHO-Report*:

3> include *successHO-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCSetupComplete* message;

2> if the UE supports uplink RRC message segmentation of *UECapabilityInformation*:

3> may include the *ul-RRC-Segmentation* in the *RRCSetupComplete* message;

2> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

3> if *speedStateReselectionPars* is configured in the *SIB2*:

4> include the *mobilityState* in the *RRCSetupComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

#### 5.3.3.5 Reception of the *RRCReject* by the UE

The UE shall:

1> perform the actions as specified in 5.3.15;

#### 5.3.3.6 Cell re-selection or cell selection while T390, T300 or T302 is running (UE in RRC\_IDLE)

The UE shall:

1> if cell reselection occurs while T300 or T302 is running; or

1> if relay reselection occurs while T300 is running; or

1> if cell changes due to relay reselection while T302 is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC connection failure';

1> else:

2> if cell selection or reselection occurs while T390 is running; or

2> cell change due to relay selection or reselection occurs while T390 is running:

3> stop T390 for all access categories;

3> perform the actions as specified in 5.3.14.4.

#### 5.3.3.7 T300 expiry

The UE shall:

1> if timer T300 expires:

2> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established;

2> if the UE supports RRC Connection Establishment failure with temporary offset and the T300 has expired a consecutive *connEstFailCount* times on the same cell for which *connEstFailureControl* is included in *SIB1*:

3> for a period as indicated by *connEstFailOffsetValidity*:

4> use *connEstFailOffset* for the parameter *Qoffsettemp* for the concerned cell when performing cell selection and reselection according to TS 38.304 [20] and TS 36.304 [27];

NOTE 1: When performing cell selection, if no suitable or acceptable cell can be found, it is up to UE implementation whether to stop using *connEstFailOffset* for the parameter *Qoffsettemp* during *connEstFailOffsetValidity* for the concerned cell.

2> if the UE supports multiple CEF report:

3> if the UE has connection establishment failure information or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-identity* stored in *VarConnEstFailReport*; and

3> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport* and if the *maxCEFReport-r17* has not been reached:

4> append the *VarConnEstFailReport* as a new entry in the *VarConnEstFailReportList*;

2> if the UE has connection establishment failure information or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is not equal to *plmn-identity* stored in *VarConnEstFailReport*; or

2> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport*:

3> reset the *numberOfConnFail* to 0;

2> if the UE supports multiple CEF report and if the UE has connection establishment failure informatoin or connection resume failure information available in *VarConnEstFailReportList* and if the RPLMN is not equal to *plmn-identity* stored in *VarConnEstFailReportList*:

3> clear the content included in *VarConnEstFailReportList*;

2> clear the content included in *VarConnEstFailReport* except for the *numberOfConnFail*, if any;

2> store the following connection establishment failure information in the *VarConnEstFailReport* by setting its fields as follows:

3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in *SIB1*;

3> set the *measResultFailedCell* to include the global cell identity, tracking area code, the cell level and SS/PBCH block level RSRP, and RSRQ, and SS/PBCH block indexes, of the failed cell based on the available SSB measurements collected up to the moment the UE detected connection establishment failure;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies per RAT and according to the following:

4> for each neighbour cell included, include the optional fields that are available;

NOTE 2: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 38.133 [14].

3> if available, set the *locationInfo* as follows:

4> if available, set the *commonLocationInfo* to include the detailed location information;

4> if available, set the *bt-LocationInfo* to include the Bluetooth measurement results, in order of decreasing RSSI for Bluetooth beacons;

4> if available, set the *wlan-LocationInfo* to include the WLAN measurement results, in order of decreasing RSSI for WLAN APs;

4> if available, set the *sensor-LocationInfo* to include the sensor measurement results as follows;

5> if available, include the *sensor-MeasurementInformation*;

5> if available, include the *sensor-MotionInformation*;

NOTE 3: Which location information related configuration is used by the UE to make the *locationInfo* available for inclusion in the *VarConnEstFailReport* is left to UE implementation.

3> set *perRAInfoList* to indicate the performed random access procedure related information as specified in 5.7.10.5;

3> if the *numberOfConnFail* is smaller than 8:

4> increment the *numberOfConnFail* by 1;

2> inform upper layers about the failure to establish the RRC connection, upon which the procedure ends;

The UE may discard the connection establishment failure or connection resume failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the last connection establishment failure is detected.

The L2 U2N Relay UE either indicates to upper layers (to trigger PC5 unicast link release) or sends Notification message to the connected L2 U2N Remote UE(s) in accordance with 5.8.9.10.

#### 5.3.3.8 Abortion of RRC connection establishment

If upper layers abort the RRC connection establishment procedure, due to a NAS procedure being aborted as specified in TS 24.501 [23], while the UE has not yet entered RRC\_CONNECTED, the UE shall:

1> stop timer T300, if running;

1> reset MAC, release the MAC configuration and re-establish RLC for all RBs that are established.

### 5.3.4 Initial AS security activation

#### 5.3.4.1 General



Figure 5.3.4.1-1: Security mode command, successful



Figure 5.3.4.1-2: Security mode command, failure

The purpose of this procedure is to activate AS security upon RRC connection establishment.

#### 5.3.4.2 Initiation

The network initiates the security mode command procedure to a UE in RRC\_CONNECTED. Moreover, the network applies the procedure as follows:

- when only SRB1 is established, i.e. prior to establishment of SRB2, multicast MRBs and/ or DRBs.

#### 5.3.4.3 Reception of the *SecurityModeCommand* by the UE

The UE shall:

1> derive the KgNB key, as specified in TS 33.501 [11];

1> derive the KRRCint key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.501 [11];

1> request lower layers to verify the integrity protection of the *SecurityModeCommand* message, using the algorithm indicated by the *integrityProtAlgorithm* as included in the *SecurityModeCommand* message and the KRRCint key;

1> if the *SecurityModeCommand* message passes the integrity protection check:

2> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.501 [11];

2> derive the KUPint key associated with the *integrityProtAlgorithm* indicated in the *SecurityModeCommand* message, as specified in TS 33.501 [11];

2> configure lower layers to apply SRB integrity protection using the indicated algorithm and the KRRCint key immediately, i.e. integrity protection shall be applied to all subsequent messages received and sent by the UE, including the *SecurityModeComplete* message;

2> configure lower layers to apply SRB ciphering using the indicated algorithm, the KRRCenc keyafter completing the procedure, i.e. ciphering shall be applied to all subsequent messages received and sent by the UE, except for the *SecurityModeComplete* message which is sent unciphered;

2> consider AS security to be activated;

2> submit the *SecurityModeComplete* message to lower layers for transmission, upon which the procedure ends;

1> else:

2> continue using the configuration used prior to the reception of the *SecurityModeCommand* message, i.e. neither apply integrity protection nor ciphering.

2> submit the *SecurityModeFailure* message to lower layers for transmission, upon which the procedure ends.

### 5.3.5 RRC reconfiguration

#### 5.3.5.1 General



Figure 5.3.5.1-1: RRC reconfiguration, successful



Figure 5.3.5.1-2: RRC reconfiguration, failure

The purpose of this procedure is to modify an RRC connection, e.g. to establish/modify/release RBs/BH RLC channels/Uu Relay RLC channels/PC5 Relay RLC channels, to perform reconfiguration with sync, to setup/modify/release measurements, to add/modify/release SCells and cell groups, to add/modify/release conditional handover configuration, to add/modify/release conditional PSCell change or conditional PSCell addition configuration. As part of the procedure, NAS dedicated information may be transferred from the Network to the UE.

RRC reconfiguration to perform reconfiguration with sync includes, but is not limited to, the following cases:

- reconfiguration with sync and security key refresh, involving RA to the PCell/PSCell, MAC reset, refresh of security and re-establishment of RLC and PDCP triggered by explicit L2 indicators;

- reconfiguration with sync but without security key refresh, involving RA to the PCell/PSCell, MAC reset and RLC re-establishment and PDCP data recovery (for AM DRB or AM MRB) triggered by explicit L2 indicators.

- reconfiguration with sync for DAPS and security key refresh, involving RA to the target PCell, establishment of target MAC, and

- for non-DAPS bearer: refresh of security and re-establishment of RLC and PDCP triggered by explicit L2 indicators;

- for DAPS bearer: establishment of RLC for the target PCell, refresh of security and reconfiguration of PDCP to add the ciphering function, the integrity protection function and ROHC function of the target PCell;

- for SRB: refresh of security and establishment of RLC and PDCP for the target PCell;

- reconfiguration with sync for DAPS but without security key refresh, involving RA to the target PCell, establishment of target MAC, and

- for non-DAPS bearer: RLC re-establishment and PDCP data recovery (for AM DRB or AM MRB) triggered by explicit L2 indicators.

- for DAPS bearer: establishment of RLC for target PCell, reconfiguration of PDCP to add the ciphering function, the integrity protection function and ROHC function of the target PCell;

- for SRB: establishment of RLC and PDCP for the target PCell.

- reconfiguration with sync for direct-to-indirect path switch, not involving RA at target side, involving re-establishment of PDCP /PDCP data recovery (for AM DRB) triggered by explicit L2 indicators.

In (NG)EN-DC and NR-DC, SRB3 can be used for measurement configuration and reporting, for UE assistance (re-)configuration and reporting for power savings, for IP address (re-)configuration and reporting for IAB-nodes, to (re-)configure MAC, RLC, BAP, physical layer and RLF timers and constants of the SCG configuration, and to reconfigure PDCP for DRBs associated with the S-KgNB or SRB3, and to reconfigure SDAP for DRBs associated with S-KgNB in NGEN-DC and NR-DC, and to add/modify/release conditional PSCell change configuration, provided that the (re-)configuration does not require any MN involvement, and to transmit RRC messages between the MN and the UE during fast MCG link recovery. In (NG)EN-DC and NR-DC, only *measConfig*, *radioBearerConfig, conditionalReconfiguration, bap-Config*, *iab-IP-AddressConfigurationList, otherConfig* and/or *secondaryCellGroup* are included in *RRCReconfiguration* received via SRB3, except when *RRCReconfiguration* is received within *DLInformationTransferMRDC*.

#### 5.3.5.2 Initiation

The Network may initiate the RRC reconfiguration procedure to a UE in RRC\_CONNECTED. The Network applies the procedure as follows:

- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is performed only when AS security has been activated;

- the establishment of BH RLC Channels for IAB is performed only when AS security has been activated;

- the establishment of Uu Relay RLC channels and PC5 Relay RLC channels for L2 U2N Relay UE is performed only when AS security has been activated, and the establishment of PC5 Relay RLC channels for L2 U2N Remote UE (other than PC5 Relay RLC channel for SRB1, that is established during RRC connection establishment) is performed only when AS security has been activated;

- the addition of Secondary Cell Group and SCells is performed only when AS security has been activated;

- the *reconfigurationWithSync* is included in *secondaryCellGroup* only when at least one RLC bearer or BH RLC channel is setup in SCG;

- the *reconfigurationWithSync* is included in *masterCellGroup* only when AS security has been activated, and SRB2 with at least one DRB or multicast MRB or, for IAB, SRB2, are setup and not suspended;

- the *conditionalReconfiguration* for CPC is included only when at least one RLC bearer is setup in SCG;

- the *conditionalReconfiguration* for CHO or CPA is included only when AS security has been activated, and SRB2 with at least one DRB or multicast MRB or, for IAB, SRB2, are setup and not suspended.

#### 5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional reconfiguration (CHO, CPA or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution upon cell selection performed while timer T311 was running, as defined in 5.3.7.3:

2> remove all the entries within *VarConditionalReconfig*, if any;

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

NOTE 0: If this *RRCReconfiguration* is associated to the MCG and includes *reconfigurationWithSync* in *spCellConfig* and *dedicatedSIB1-Delivery*, the UE initiates (if needed) the request to acquire required SIBs, according to clause 5.2.2.3.5, only after the random access procedure towards the target SpCell is completed.

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *dedicatedPosSysInfoDelivery*:

2> perform the action upon reception of the contained posSIB(s), as specified in clause 5.2.2.4.16;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.12;

1> if the *RRCReconfiguration* message includes the *iab-IP-AddressConfigurationList*:

2> if *iab-IP-AddressToReleaseList* is included:

3> perform release of IP address as specified in 5.3.5.12a.1.1;

2> if *iab-IP-AddressToAddModList* is included:

3> perform IAB IP address addition/update as specified in 5.3.5.12a.1.2;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.13;

1> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigNR*:

2> if *needForGapNCSG-ConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap and NCSG requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap and NCSG requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigEUTRA*:

2> if *needForGapNCSG-ConfigEUTRA* is set to *setup*:

3> consider itself to be configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.14;

NOTE 0a: If the *sl-ConfigDedicatedNR* was received embedded within an E-UTRA *RRCConnectionReconfiguration* message, the UE does not build an NR *RRCReconfigurationComplete* message for the received *sl-ConfigDedicatedNR*.

1> if the *RRCReconfiguration* message includes the *sl-L2RelayUE-Config*:

2> perform the L2 U2N Relay UE configuration procedure as specified in 5.3.5.15;

1> if the *RRCReconfiguration* message includes the *sl-L2RemoteUE-Config*:

2> perform the L2 U2N Remote UE configuration procedure as specified in 5.3.5.16;

1> if the *RRCReconfiguration* message includes the *dedicatedPagingDelivery*:

2> perform the *Paging* message reception procedure as specified in 5.3.2.3;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedEUTRA-Info*:

2> perform related procedures for V2X sidelink communication in accordance with TS 36.331 [10], clause 5.3.10 and clause 5.5.2;

1> if the *RRCReconfiguration* message includes the *ul-GapFR2-Config*:

2> perform the FR2 UL gap configuration procedure as specified in 5.3.5.13c;

1> if the *RRCReconfiguration* message includes the *musim-GapConfig*:

2> for each *musim-GapId* included in the received *musim-GapToReleaseList*:

3> release the periodic MUSIM gap associated to the *musim-GapId*;

2> for each *musim-GapId* included in the received *musim-GapToAddModList* that is part of the current UE configuration:

3> reconfigure the entry with the value received for this *musim-GapId*;

2> for each *musim-GapId* included in the received *musim-GapToAddModList* that is not part of the current UE configuration:

3> add a new entry for this *musim-GapId*;

1> if the *RRCReconfiguration* message includes the *appLayerMeasConfig*:

2> perform the application layer measurement configuration procedure as specified in 5.3.5.13d;

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the MCG;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each SCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each SCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the SCG;

NOTE 0b: It is expected that the *reportUplinkTxDirectCurrentTwoCarrier* is only received either in *masterCellGroup* or in *secondaryCellGroup* but not both.

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the SCG *RRCReconfigurationComplete* message;

3> if the *RRCReconfiguration* message is applied due to conditional reconfiguration execution and the *RRCReconfiguration* message does not include the *reconfigurationWithSync* in the *masterCellGroup*:

4> include in the *selectedCondRRCReconfig* the *condReconfigId* for the selected cell of conditional reconfiguration execution;

2> if the *RRCReconfiguration* includes the *reconfigurationWithSync* in *spCellConfig* of an MCG:

3> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> include the *logMeasAvailable* in the *RRCReconfigurationComplete* message;

4> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableBT* in the *RRCReconfigurationComplete* message;

4> if WLAN measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableWLAN* in the *RRCReconfigurationComplete* message;

3> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

4> if T330 timer is running and the logged measurements configuration is for NR:

5> set *sigLogMeasConfigAvailable* to *true* in the *RRCReconfigurationComplete* message;

4> else:

5> if the UE has logged measurements available for NR:

6> set *sigLogMeasConfigAvailable* to false in the *RRCReconfigurationComplete* message;

3> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or *VarConnEstFailReportList*:

4> include *connEstFailInfoAvailable* in the *RRCReconfigurationComplete* message;

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

4> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

3> if the UE was configured with *successHO-Config* when connected to the source PCell; and

3> if the applied *RRCReconfiguration* is not due to a conditional reconfiguration execution upon cell selection performed while timer T311 was running, as defined in 5.3.7.3:

4> perform the actions for the successful handover report determination as specified in clause 5.7.10.6, upon successfully completing the Random Access procedure triggered for the *reconfigurationWithSync* in *spCellConfig* of the MCG;

3> if the UE has successful handover information available in *VarSuccessHO-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarSuccessHO-Report*:

4> include *successHO-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received via SRB1, but not within *mrdc-SecondaryCellGroup* or E-UTRA *RRCConnectionReconfiguration* or E-UTRA *RRCConnectionResume*:

3> if the UE is configured to provide the measurement gap requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*; or

4> if the *NeedForGapsInfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapsInfoNR* and set the contents as follows:

6> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNR* is configured:

7> for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band;

6> else:

7> include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

3> if the UE is configured to provide the measurement gap and NCSG requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigNR*; or

4> if the *needForGapNCSG-InfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapNCSG-InfoNR* and set the contents as follows:

6> include *intraFreq-needForNCSG* and set the gap and NCSG requirement information of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNCSG-NR* is configured:

7> for each supported NR band included in *requestedTargetBandFilterNCSG-NR*, include an entry in *interFreq-needForNCSG* and set the NCSG requirement information for that band;

6> else:

7> include an entry for each supported NR band in *interFreq-needForNCSG* and set the corresponding NCSG requirement information;

3> if the UE is configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands:

4> if the *RRCReconfiguration* message includes the *needForGapNCSG-ConfigEUTRA*; or

4> if the *needForGapNCSG-InfoEUTRA* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapNCSG-InfoEUTRA* and set the contents as follows:

6> if *requestedTargetBandFilterNCSG-EUTRA* is configured, for each supported E-UTRA band included in *requestedTargetBandFilterNCSG-EUTRA*, include an entry in *needForNCSG-EUTRA* and set the NCSG requirement information for that band; otherwise, include an entry for each supported E-UTRA band in *needForNCSG-EUTRA* and set the corresponding NCSG requirement information;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):

2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10]; or

2> if the *RRCReconfiguration* message was received via E-UTRA RRC message *RRCConnectionReconfiguration* within *MobilityFromNRCommand* (handover from NR standalone to (NG)EN-DC);

3> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC which is configured via *conditionalReconfiguration* contained in *nr-SecondaryCellGroupConfig* specified in TS 36.331 [10]:

4> submit the *RRCReconfigurationComplete* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10], clause 5.6.2a.

3> else if the *RRCReconfiguration* message was included in E-UTRA *RRCConnectionResume* message:

4> submit the *RRCReconfigurationComplete* message via E-UTRA embedded in E-UTRA RRC message *RRCConnectionResumeComplete* as specified in TS 36.331 [10], clause 5.3.3.4a;

3> else:

4> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4/5.4.2.3;

3> if the *scg-State* is not included in the E-UTRA *RRCConnectionReconfiguration* message or E-UTRA *RRCConnectionResume* message containing the *RRCReconfiguration* message:

4> perform SCG activation as specified in 5.3.5.13a;

4> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else if the SCG was deactivated before the reception of the E-UTRA RRC message containing the *RRCReconfiguration* message:

5> if *bfd-and-RLM* was not configured to *true* before the reception of the E-UTRA *RRCConnectionReconfiguration* or *RRCConnectionResume* message containing the *RRCReconfiguration* message or if lower layers indicate that a Random Access procedure is needed for SCG activation:

6> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

5> else:

6> the procedure ends;

4> else:

5> the procedure ends;

3> else:

4> perform SCG deactivation as specified in 5.3.5.13b;

4> the procedure ends;

2> if the *RRCReconfiguration* message was received within *nr-SecondaryCellGroupConfig* in *RRCConnectionReconfiguration* message received via SRB3 within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3) but not within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1 or within *DLInformationTransferMRDC* via SRB3, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3 but not within *DLInformationTransferMRDC*, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received via SRB1 within the *nr-SCG* within *mrdc-SecondaryCellGroup* (UE in NR-DC, *mrdc-SecondaryCellGroup* was received in *RRCReconfiguration* or *RRCResume* via SRB1):

2> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC which is configured via *conditionalReconfiguration* contained in *nr-SCG* within *mrdc-SecondaryCellGroup*:

3> submit the *RRCReconfigurationComplete* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in clause 5.7.2a.3.

2> if the *scg-State* is not included in the *RRCReconfiguration* or *RRCResume* message containing the *RRCReconfiguration* message:

3> if the SCG was deactivated before the reception of the NR RRC message containing the *RRCReconfiguration* message:

4> perform SCG activation as specified in 5.3.5.13a;

3> if *reconfigurationWithSync* was included in *spCellConfig* in nr-SCG:

4> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

3> else if the SCG was deactivated before the reception of the NR RRC message containing the *RRCReconfiguration* message:

4> if *bfd-and-RLM* was not configured to *true* before the reception of the *RRCReconfiguration* or *RRCResume* message containing the *RRCReconfiguration* message; or

4> if lower layers indicate that a Random Access procedure is needed for SCG activation:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else:

5> the procedure ends;

3> else:

4> the procedure ends;

2> else

3> perform SCG deactivation as specified in 5.3.5.13b;

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3 (UE in NR-DC):

2> if the *RRCReconfiguration* message was received within *DLInformationTransferMRDC*:

3> if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

4> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else:

5> the procedure ends;

3> else:

4> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> else:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(*RRCReconfiguration* was received via SRB1):

2> if the UE is in NR-DC and;

2> if the *RRCReconfiguration* does not include the *mrdc-SecondaryCellGroupConfig*:

3> if the *RRCReconfiguration* includes the *scg-State*:

4> perform SCG deactivation as specified in 5.3.5.13b;

3> else:

4> perform SCG activation without SN message as specified in 5.3.5.13b1;

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2, SRB4, DRBs, multicast MRB, and BH RLC channels for IAB-MT, that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG:

2> if *sl-PathSwitchConfig* was included in *reconfigurationWithSync*:

3> stop timer T420 upon successfully sending *RRCReconfigurationComplete* message (i.e., PC5 RLC acknowledgement is received from target L2 U2N Relay UE);

2> else:

3> when MAC of an NR cell group successfully completes a Random Access procedure triggered above:

3> stop timer T304 for that cell group;

2> stop timer T310 for source SpCell if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> for each DRB configured as DAPS bearer, request uplink data switching to the PDCP entity, as specified in TS 38.323 [5];

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if T350 is running:

4> stop timer T350;

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG; or

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an SCG and the CPA or CPC was configured

3> remove all the entries within *VarConditionalReconfig*, if any;

3> remove all the entries within *VarConditionalReconfiguration* as specified in TS 36.331 [10], clause 5.3.5.9.6, if any;

3> for each *measId* of the MCG *measConfig*, if configured, and for each *measId* of the SCG *measConfig*, if configured, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

4> for the associated *reportConfigId*:

5> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup* or *secondaryCellGroup*:

3> if the UE initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group during the last 1 second, and the UE is still configured to provide the concerned UE assistance information for the corresponding cell group; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE is configured to provide UE assistance information for the corresponding cell group, and the UE has initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group since it was configured to do so in accordance with 5.7.4.2:

4> initiate transmission of a *UEAssistanceInformation* message for the corresponding cell group in accordance with clause 5.7.4.3 to provide the concerned UE assistance information;

4> start or restart the prohibit timer (if exists) or the leave without response timer for the MUSIM associated with the concerned UE assistance information with the timer value set to the value in corresponding configuration;

3> if *SIB12* is provided by the target PCell; and the UE initiated transmission of a *SidelinkUEInformationNR* message indicating a change of NR sidelink communication related parameters relevant in target PCell (i.e. change of *sl-RxInterestedFreqList* or *sl-TxResourceReqList*) during the last 1 second preceding reception of the *RRCReconfiguration* message including *reconfigurationWithSync* in *spCellConfig* of an MCG; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution and the UE is capable of NR sidelink communication and *SIB12* is provided by the target PCell, and the UE has initiated transmission of a *SidelinkUEInformationNR* message since it was configured to do so in accordance with 5.8.3.2:

4> initiate transmission of the *SidelinkUEInformationNR* message in accordance with 5.8.3.3;

3> if configured with application layer measurements and if application layer measurement report container has been received from upper layers for which the successful transmission of the message or at least one segment of the message has not been confirmed by lower layers:

4> re-submit the *MeasurementReportAppLayer* message or all segments of the *MeasurementReportAppLayer* message to lower layers for transmission via SRB4;

2> if *reconfigurationWithSync* was included in *masterCellGroup* and the target cell provides *SIB21*:

3> if the UE initiated transmission of an *MBSInterestIndication*message during the last 1 second preceding reception of this *RRCReconfiguration* message; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE has initiated transmission of an *MBSInterestIndication* message after having received this *RRCReconfiguration* message:

4> initiate transmission of an *MBSInterestIndication*message in accordance with clause 5.9.4;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast or MBS multicast data reception, i.e. the broadcast and unicast/MBS multicast beams are quasi co-located.

NOTE 4: The UE sets the content of *UEAssistanceInformation* according to latest configuration (i.e. the configuration after applying the *RRCReconfiguration* message) and latest UE preference. The UE may include more than the concerned UE assistance information within the *UEAssistanceInformation* according to 5.7.4.2. Therefore, the content of *UEAssistanceInformation* message might not be the same as the content of the previous *UEAssistanceInformation* message.

#### 5.3.5.4 Secondary cell group release

The UE shall:

1> as a result of SCG release triggered by E-UTRA (i.e. (NG)EN-DC case) or NR (i.e. NR-DC case):

2> reset SCG MAC, if configured;

2> for each RLC bearer that is part of the SCG configuration:

3> perform RLC bearer release procedure as specified in 5.3.5.5.3;

2> for each BH RLC channel that is part of the SCG configuration:

3> perform BH RLC channel release procedure as specified in 5.3.5.5.10;

2> release the SCG configuration;

2> if CPC was configured:

3> remove all the entries within *VarConditionalReconfig*, if any;

2> stop timer T310 for the corresponding SpCell, if running;

2> stop timer T312 for the corresponding SpCell, if running;

2> stop timer T304 for the corresponding SpCell, if running.

NOTE: Release of cell group means only release of the lower layer configuration of the cell group but the *RadioBearerConfig* may not be released.

#### 5.3.5.5 Cell Group configuration

##### 5.3.5.5.1 General

The network configures the UE with Master Cell Group (MCG), and zero or one Secondary Cell Group (SCG). In (NG)EN-DC, the MCG is configured as specified in TS 36.331 [10], and for NE-DC, the SCG is configured as specified in TS 36.331 [10]. The network provides the configuration parameters for a cell group in the *CellGroupConfig* IE.

The UE performs the following actions based on a received *CellGroupConfig* IE:

1> if the *CellGroupConfig* contains the *spCellConfig* with *reconfigurationWithSync*:

2> perform Reconfiguration with sync according to 5.3.5.5.2;

2> resume all suspended radio bearers except the SRBs for the source cell group, and resume SCG transmission for all radio bearers, and resume BH RLC channels and resume SCG transmission for BH RLC channels for IAB-MT, if suspended;

NOTE: If the SCG is deactivated, resuming SCG transmission for all radio bearers does not imply that PDCP PDUs can be transmitted or received on SCG RLC bearers.

1> if the *CellGroupConfig* contains the *rlc-BearerToReleaseList or rlc-BearerToReleaseListExt*:

2> perform RLC bearer release as specified in 5.3.5.5.3;

1> if the *CellGroupConfig* contains the *rlc-BearerToAddModList*:

2> perform the RLC bearer addition/modification as specified in 5.3.5.5.4;

1> if the *CellGroupConfig* contains the *mac-CellGroupConfig*:

2> configure the MAC entity of this cell group as specified in 5.3.5.5.5;

1> if the *CellGroupConfig* contains the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.5.5.8;

1> if the *CellGroupConfig* contains the *spCellConfig*:

2> configure the SpCell as specified in 5.3.5.5.7;

1> if the *CellGroupConfig* contains the *sCellToAddModList*:

2> perform SCell addition/modification as specified in 5.3.5.5.9;

1> if the *CellGroupConfig* contains the *bh-RLC-ChannelToReleaseList*:

2> perform BH RLC channel release as specified in 5.3.5.5.10;

1> if the *CellGroupConfig* contains the *bh-RLC-ChannelToAddModList*:

2> perform the BH RLC channel addition/modification as specified in 5.3.5.5.11;

1> if the *CellGroupConfig* contains the *uu-RelayRLC-ChannelToReleaseList*:

2> perform Uu Relay RLC channel release as specified in 5.3.5.5.12;

1> if the *CellGroupConfig* contains the *uu-RelayRLC-ChannelToAddModList*:

2> perform the Uu Relay RLC channel addition/modification as specified in 5.3.5.5.13;

##### 5.3.5.5.2 Reconfiguration with sync

The UE shall perform the following actions to execute a reconfiguration with sync.

1> if the AS security is not activated, perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with the release cause '*other*' upon which the procedure ends;

1> if no DAPS bearer is configured:

2> stop timer T310 for the corresponding SpCell, if running;

1> if this procedure is executed for the MCG:

2> if timer T316 is running;

3> stop timer T316;

3> clear the information included in *VarRLF-Report*, if any;

2> resume MCG transmission, if suspended.

1> stop timer T312 for the corresponding SpCell, if running;

1> if *sl-PathSwitchConfig* is included:

2> consider the target L2 U2N Relay UE to be the one indicated by the *targetRelayUE-Identity* in the *sl-PathSwitchConfig*;

2> start timer T420 for the corresponding target L2 U2N Relay UE with the timer value set to *T420*, as included in the *sl-PathSwitchConfig*;

2> apply the value of the *newUE-Identity* as the C-RNTI;

2> indicate to upper layer (to trigger the PC5 unicast link establishment) with the target L2 U2N Relay UE indicated by the *targetRelayUE-Identity*;

2> apply the default configuration of SL-RLC1 as defined in 9.2.4 for SRB1;

1> else (*sl-PathSwitchConfig* is not included):

2> if this procedure is executed for the MCG or if this procedure is executed for an SCG not indicated as deactivated in the E-UTRA or NR RRC message in which the *RRCReconfiguration* message is embedded:

3> start timer T304 for the corresponding SpCell with the timer value set to *t304*, as included in the *reconfigurationWithSync*;

2> if the *frequencyInfoDL* is included:

3> consider the target SpCell to be one on the SSB frequency indicated by the *frequencyInfoDL* with a physical cell identity indicated by the *physCellId*;

2> else:

3> consider the target SpCell to be one on the SSB frequency of the source SpCell with a physical cell identity indicated by the *physCellId*;

2> start synchronising to the DL of the target SpCell;

2> if *ta-Report* is configured with value *enabled* and the UE supports TA reporting;

3> indicate TA report initiation to lower layers;

2> apply the specified BCCH configuration defined in 9.1.1.1 for the target SpCell;

2> acquire the *MIB* of the target SpCell, which is scheduled as specified in TS 38.213 [13];

NOTE 1: The UE should perform the reconfiguration with sync as soon as possible following the reception of the RRC message triggering the reconfiguration with sync, which could be before confirming successful reception (HARQ and ARQ) of this message.

NOTE 2: The UE may omit reading the *MIB* if the UE already has the required timing information, or the timing information is not needed for random access.

NOTE 2a: A UE with DAPS bearer does not monitor for system information updates in the source PCell.

2> If any DAPS bearer is configured:

3> create a MAC entity for the target cell group with the same configuration as the MAC entity for the source cell group;

3> for each DAPS bearer:

4> establish an RLC entity or entities for the target cell group, with the same configurations as for the source cell group;

4> establish the logical channel for the target cell group, with the same configurations as for the source cell group;

NOTE 2b: In order to understand if a DAPS bearer is configured, the UE needs to check the presence of the field *daps-Config* within the *RadioBearerConfig* IE received in *radioBearerConfig* or *radioBearerConfig2*.

3> for each SRB:

4> establish an RLC entity for the target cell group, with the same configurations as for the source cell group;

4> establish the logical channel for the target cell group, with the same configurations as for the source cell group;

3> suspend SRBs for the source cell group;

NOTE 3: Void

3> apply the value of the *newUE-Identity* as the C-RNTI in the target cell group;

3> configure lower layers for the target SpCell in accordance with the received s*pCellConfigCommon*;

3> configure lower layers for the target SpCell in accordance with any additional fields, not covered in the previous, if included in the received *reconfigurationWithSync.*

2> else:

3> reset the MAC entity of this cell group;

3> consider the SCell(s) of this cell group, if configured, that are not included in the *SCellToAddModList* in the *RRCReconfiguration* message, to be in deactivated state;

3> apply the value of the *newUE-Identity* as the C-RNTI for this cell group;

3> configure lower layers in accordance with the received s*pCellConfigCommon*;

3> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *reconfigurationWithSync.*

2> if the UE is acting as L2 U2N Remote UE at the source side:

3> indicate upper layer to trigger PC5 unicast link release.

Upon L2 U2N Relay UE receiving *reconfigurationWithSync*, it either indicates to upper layers (to trigger PC5 unicast link release) or sends Notification message to the connected L2 U2N Remote UE(s) in accordance with 5.8.9.10.

##### 5.3.5.5.3 RLC bearer release

The UE shall:

1> for each *logicalChannelIdentity/LogicalChannelIdentityExt* value included in the *rlc-BearerToReleaseList/rlc-BearerToReleaseListExt* that is part of the current UE configuration within the same cell group (LCH release); or

1> for each *logicalChannelIdentity* value that is to be released as the result of an SCG release according to 5.3.5.4:

2> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3;

2> release the corresponding logical channel.

##### 5.3.5.5.4 RLC bearer addition/modification

For each *RLC-BearerConfig* received in the *rlc-BearerToAddModList* IE the UE shall:

1> if the UE's current configuration contains an RLC bearer with the received *logicalChannelIdentity/LogicalChannelIdentityExt* within the same cell group:

2> if the RLC bearer is associated with an DAPS bearer, or

2> if any DAPS bearer is configured and the RLC bearer is associated with an SRB:

3> reconfigure the RLC entity or entities for the target cell group in accordance with the received *rlc-Config*;

3> reconfigure the logical channel for the target cell group in accordance with the received *mac-LogicalChannelConfig*;

2> else:

3> if *reestablishRLC* is received:

4> re-establish the RLC entity as specified in TS 38.322 [4];

3> reconfigure the RLC entity or entities in accordance with the received *rlc-Config*;

3> reconfigure the logical channel in accordance with the received *mac-LogicalChannelConfig*;

3> if *servedMBS-RadioBearer* is received:

4> associate this logical channel with the PDCP entity identified by *servedMBS-RadioBearer*;

NOTE 1: For DRB and SRB, the network does not re-associate an already configured logical channel with another radio bearer. For MRB, the network does not re-associate an already configured logical channel with DRB or SRB. Hence *servedRadioBearer* is not present in this case.

NOTE 2: In DAPS handover, the UE may perform RLC entity re-establishment (if *reestablishRLC* is set) for an RLC bearer associated with a non-DAPS bearer when indication of successful completion of random access towards target cell is received from lower layers as specified in TS 38.321 [3].

1> else (a logical channel with the given *logicalChannelIdentity/LogicalChannelIdentityExt* is not configured within the same cell group, including the case when full configuration option is used):

2> if the *servedRadioBearer* associates the logical channel with an SRB and *rlc-Config* is not included:

3> establish an RLC entity in accordance with the default configuration defined in 9.2 for the corresponding SRB;

2> else:

3> establish an RLC entity in accordance with the received *rlc-Config*;

2> if the *servedRadioBearer* associates the logical channel with an SRB and if *mac-LogicalChannelConfig* is not included:

3> configure this MAC entity with a logical channel in accordance to the default configuration defined in 9.2 for the corresponding SRB;

2> else:

3> configure this MAC entity with a logical channel in accordance to the received *mac-LogicalChannelConfig*;

2> associate this logical channel with the PDCP entity identified by *servedRadioBearer* or *servedMBS-RadioBearer*.

##### 5.3.5.5.5 MAC entity configuration

The UE shall:

1> if SCG MAC is not part of the current UE configuration (i.e. SCG establishment):

2> create an SCG MAC entity;

1> if any DAPS bearer is configured:

2> reconfigure the MAC main configuration for the target cell group in accordance with the received *mac-CellGroupConfig* excluding *tag-ToReleaseList* and *tag-ToAddModList*;

1> else:

2> reconfigure the MAC main configuration of the cell group in accordance with the received *mac-CellGroupConfig* excluding *tag-ToReleaseList* and *tag-ToAddModList*;

1> if the received *mac-CellGroupConfig* includes the *tag-ToReleaseList*:

2> for each *TAG-Id* value included in the *tag-ToReleaseList* that is part of the current UE configuration:

3> release the TAG indicated by *TAG-Id*;

1> if the received *mac-CellGroupConfig* includes the *tag-ToAddModList*:

2> for each *tag-Id* value included in *tag-ToAddModList* that is not part of the current UE configuration (TAG addition):

3> add the TAG, corresponding to the *tag-Id*, in accordance with the received *timeAlignmentTimer*;

2> for each *tag-Id* value included in *tag-ToAddModList* that is part of the current UE configuration (TAG modification):

3> reconfigure the TAG, corresponding to the *tag-Id*, in accordance with the received *timeAlignmentTimer*.

##### 5.3.5.5.6 RLF Timers & Constants configuration

The UE shall:

1> if the received *rlf-TimersAndConstants* is set to *release*:

2> if any DAPS bearer is configured:

3> use values for timers T301, T310, T311 and constants N310, N311 for the target cell group, as included in *ue-TimersAndConstants* received in *SIB1*;

2> else:

3> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SIB1*;

1> else:

2> if any DAPS bearer is configured:

3> configure the value of timers and constants for the target cell group in accordance with received *rlf-TimersAndConstants*;

2> else:

3> (re-)configure the value of timers and constants in accordance with received *rlf-TimersAndConstants*;

3> stop timer T310 for this cell group, if running;

3> stop timer T312 for this cell group, if running;

3> reset the counters N310 and N311.

##### 5.3.5.5.7 SpCell Configuration

The UE shall:

1> if the UE is acting as L2 U2N Remote UE:

2> if the *SpCellConfig* contains the *rlf-TimersAndConstants*:

3> use value for timers T311 as received in *rlf-TimersAndConstants*;

2> else:

3> use value for timers T311, as included in *ue-TimersAndConstants* received in *SIB1*;

1> else

2> if the *SpCellConfig* contains the *rlf-TimersAndConstants*:

3> configure the RLF timers and constants for this cell group as specified in 5.3.5.5.6;

2> else if *rlf-TimersAndConstants* is not configured for this cell group:

3> if any DAPS bearer is configured:

4> use values for timers T301, T310, T311 and constants N310, N311 for the target cell group, as included in *ue-TimersAndConstants* received in *SIB1*;

3> else

4> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SIB1*;

2> if the *SpCellConfig* contains *spCellConfigDedicated*:

3> configure the SpCell in accordance with the *spCellConfigDedicated*;

3> consider the bandwidth part indicated in *firstActiveUplinkBWP-Id*, if included in the *spCellConfigDedicated,* to be the active uplink bandwidth part;

3> if the *firstActiveDownlinkBWP-Id* is included in the *spCellConfigDedicated*:

4> if the *SpCellConfig* is included in an *RRCReconfiguration* message contained in an NR or E-UTRA RRC message indicating that the SCG is deactivated:

5> consider the bandwidth part indicated in *firstActiveDownlinkBWP-Id* to be the bandwidth part for Radio Link Monitoring, Beam Failure Detection and measurements;

4> else:

5> consider the bandwith part indicated in *firstActiveDownlinkBWP-Id* to be the active downlink bandwidth part;

3> if any of the reference signal(s) that are used for radio link monitoring are reconfigured by the received *spCellConfigDedicated*:

4> stop timer T310 for the corresponding SpCell, if running;

4> stop timer T312 for the corresponding SpCell, if running;

4> reset the counters N310 and N311.

1> if the *SpCellConfig* contains the *lowMobilityEvaluationConnected*:

2> the UE may perform the evaluation of the low mobility criterion for this cell group as specified in 5.7.13.1;

1> if the *SpCellConfig* contains the *goodServingCellEvaluationRLM*:

2> the UE may perform the evaluation of the good serving cell quality criterion for this SpCell as specified in 5.7.13.2;

1> if the *SpCellConfig* contains the *goodServingCellEvaluationBFD*:

2> the UE may perform the evaluation of the good serving cell quality criterion for this serving cell as specified in 5.7.13.2;

##### 5.3.5.5.8 SCell Release

The UE shall:

1> if the release is triggered by reception of the *sCellToReleaseList*:

2> for each *sCellIndex* value included in the *sCellToReleaseList*:

3> if the current UE configuration includes an SCell with value *sCellIndex*:

4> release the SCell.

##### 5.3.5.5.9 SCell Addition/Modification

The UE shall:

1> for each *sCellIndex* value included in the *sCellToAddModList* that is not part of the current UE configuration (SCell addition):

2> add the SCell, corresponding to the *sCellIndex*, in accordance with the *sCellConfigCommon* and *sCellConfigDedicated*;

2> if the *sCellState* is included:

3> configure lower layers to consider the SCell to be in activated state;

2> else:

3> configure lower layers to consider the SCell to be in deactivated state;

2> for each *measId* included in the *measIdList* within *VarMeasConfig*:

3> if SCells are not applicable for the associated measurement; and

3> if the concerned SCell is included in *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*:

4> remove the concerned SCell from *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

2> if the *SCellConfig* contains the *goodServingCellEvaluationBFD*:

3> the UE may perform the evaluation of the good serving cell quality criterion for this serving cell as specified in 5.7.13.2.

1> for each *sCellIndex* value included in the *sCellToAddModList* that is part of the current UE configuration (SCell modification):

2> modify the SCell configuration in accordance with the *sCellConfigDedicated*;

2> if the *sCellToAddModList* was received in an *RRCReconfiguration* message including *reconfigurationWithSync,* or received in an *RRCResume* message, or received in an *RRCReconfiguration* message including *reconfigurationWithSync* embedded in an *RRCResume* message or embedded in an *RRCReconfiguration* message or embedded in an E-UTRA *RRCConnectionReconfiguration* message or embedded in an E-UTRA *RRCConnectionResume* message:

3> if the *sCellState* is included:

4> configure lower layers to consider the SCell to be in activated state;

3> else:

4> configure lower layers to consider the SCell to be in deactivated state.

2> if the *SCellConfig* contains the *goodServingCellEvaluationBFD*:

3> the UE may perform the evaluation of the good serving cell quality criterion for this serving cell as specified in 5.7.13.2.

##### 5.3.5.5.10 BH RLC channel release

The IAB-node shall:

1> for each *BH-RLC-ChannelID* value included in the *bh-RLC-ChannelToReleaseList* that is part of the current IAB-node configuration within the same cell group (LCH release); or

1> for each *BH-RLC-ChannelID* value that is to be released as the result of an SCG release according to 5.3.5.4:

2> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3;

2> release the corresponding logical channel.

##### 5.3.5.5.11 BH RLC channel addition/modification

For each *BH-RLC-ChannelConfig* received in the *bh-RLC-ChannelToAddModList* IE the IAB-node shall:

1> if the current configuration contains a BH RLC Channel with the received *bh-RLC-ChannelID* within the same cell group:

2> if *reestablishRLC* is received:

3> re-establish the RLC entity as specified in TS 38.322 [4];

2> reconfigure the RLC entity or entities in accordance with the received *rlc-Config*;

2> reconfigure the logical channel in accordance with the received *mac-LogicalChannelConfig*;

1> else (a backhaul logical channel with the given *BH-RLC-ChannelID* was not configured before within the same cell group):

2> establish an RLC entity in accordance with the received *rlc-Config*;

2> configure this MAC entity with a logical channel in accordance to the received *mac-LogicalChannelConfig*.

##### 5.3.5.5.12 Uu Relay RLC channel release

The L2 U2N Relay UE shall:

1> for each *Uu-RelayRLC-ChannelID* value included in the *uu-RelayRLC-ChannelToReleaseList* that is part of the current configuration within the same cell group (LCH release):

2> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3;

2> release the corresponding logical channel.

##### 5.3.5.5.13 Uu Relay RLC channel addition/modification

For each *Uu-RelayRLC-ChannelConfig* received in the *uu-RelayRLC-ChannelToAddModList* the L2 U2N Relay UE shall:

1> if the current configuration contains a Uu Relay RLC channel with the same *uu-RelayRLC-ChannelID* within the same cell group:

2> if *reestablishRLC* is received:

3> re-establish the RLC entity as specified in TS 38.322 [4];

2> reconfigure the RLC entity in accordance with the received *rlc-Config*;

2> reconfigure the logical channel in accordance with the received *mac-LogicalChannelConfig*;

1> else (a logical channel with the given *uu-RelayRLC-ChannelID* was not configured before within the same cell group):

2> establish an RLC entity in accordance with the received *rlc-Config*;

2> configure this MAC entity with a logical channel in accordance to the received *mac-LogicalChannelConfig*.

#### 5.3.5.6 Radio Bearer configuration

##### 5.3.5.6.1 General

The UE shall perform the following actions based on a received *RadioBearerConfig* IE:

1> if the *RadioBearerConfig* includes the *srb3-ToRelease* or *srb4-ToRelease*:

2> perform the SRB release as specified in 5.3.5.6.2;

1> if the *RadioBearerConfig* includes the *srb-ToAddModList* or ifany DAPS beareris configured:

2> perform the SRB addition or reconfiguration as specified in 5.3.5.6.3;

1> if the *RadioBearerConfig* includes the *drb-ToReleaseList*:

2> perform DRB release as specified in 5.3.5.6.4;

1> if the *RadioBearerConfig* includes the *drb-ToAddModList*:

2> perform DRB addition or reconfiguration as specified in 5.3.5.6.5;

1> if the *RadioBearerConfig* includes the *mrb-ToReleaseList*:

2> perform multicast MRB release as specified in 5.3.5.6.6;

1> if the *RadioBearerConfig* includes the *mrb-ToAddModList*:

2> perform multicast MRB addition or reconfiguration as specified in 5.3.5.6.7;

1> release all SDAP entities, if any, that have no associated DRB as specified in TS 37.324 [24] clause 5.1.2, and indicate the release of the user plane resources for PDU Sessions associated with the released SDAP entities to upper layers;

1> release all SDAP entities that have no associated multicast MRB as specified in TS 37.324 [24] clause 5.1.2, and indicate the release of user plane resources for these MBS multicast sessions to upper layers.

##### 5.3.5.6.2 SRB release

The UE shall:

1> if *srb3-ToRelease* is included:

2> release the PDCP entity and the *srb-Identity* of the SRB3;

1> if *srb4-ToRelease* is included

2> release the PDCP entity and the *srb-Identity* of the SRB4.

##### 5.3.5.6.3 SRB addition/modification

The UE shall:

1> If any DAPS bearer is configured, for each SRB:

2> establish a PDCP entity for the target cell group as specified in TS 38.323 [5], with the same configuration as the PDCP entity for the source cell group;

2> if the *masterKeyUpdate* is received:

3> configure the PDCP entity with the security algorithms according to securityConfig and apply the keys (KRRCenc and KRRCint) associated with the master key (KgNB);

2> else:

3> configure the PDCP entity for the target cell group with state variables continuation as specified in TS 38.323 [5], and with the same security configuration as the PDCP entity for the source cell group;

1> for each *srb-Identity* value included in the *srb-ToAddModList* that is not part of the current UE configuration (SRB establishment or reconfiguration from E-UTRA PDCP to NR PDCP):

2> establish a PDCP entity;

2> if AS security has been activated:

3> if target RAT of handover is E-UTRA/5GC; or

3> if the UE is connected to E-UTRA/5GC:

4> if the UE is capable of E-UTRA/5GC, but not capable of NGEN-DC:

5> configure the PDCP entity with the security algorithms and keys (KRRCenc and KRRCint) configured/derived as specified in TS 36.331 [10];

4> else (i.e., UE capable of NGEN-DC):

5> configure the PDCP entity with the security algorithms according to *securityConfig* and apply the keys (KRRCenc and KRRCint) associated with the master key (KeNB) or secondary key (S-KgNB) as indicated in *keyToUse*, if applicable;

3> else (i.e., UE connected to NR or UE connected to E-UTRA/EPC):

4> configure the PDCP entity with the security algorithms according to *securityConfig* and apply the keys (KRRCenc and KRRCint) associated with the master key (KeNB/ KgNB) or secondary key (S-KgNB) as indicated in *keyToUse*, if applicable;

2> if the current UE configuration as configured by E-UTRA in TS 36.331 [10] includes an SRB identified with the same *srb-Identity* value:

3> associate the E-UTRA RLC entity and DCCH of this SRB with the NR PDCP entity;

3> release the E-UTRA PDCP entity of this SRB;

2> if the *pdcp-Config* is included:

3> configure the PDCP entity in accordance with the received *pdcp-Config*;

2> else:

3> configure the PDCP entity in accordance with the default configuration defined in 9.2.1 for the corresponding SRB;

1> if any DAPS bearer is configured, for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration:

2> if the *pdcp-Config* is included:

3> reconfigure the PDCP entity for the target cell group in accordance with the received *pdcp-Config*;

1> else, for each *srb-Identity* value included in the *srb-ToAddModList* that is part of the current UE configuration:

2> if the *reestablishPDCP* is set:

3> if target RAT of handover is E-UTRA/5GC; or

3> if the UE is connected to E-UTRA/5GC:

4> if the UE is capable of E-UTRA/5GC, but not capable of NGEN-DC:

5> configure the PDCP entity to apply the integrity protection algorithm and KRRCint key configured/derived as specified in TS 36.331 [10], i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

5> configure the PDCP entity to apply the ciphering algorithm and KRRCenc key configured/derived as specified in TS 36.331 [10], i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

4> else (i.e., a UE capable of NGEN-DC):

5> configure the PDCP entity to apply the integrity protection algorithm and KRRCint key associated with the master key (KeNB) or secondary key (S-KgNB), as indicated in *keyToUse*, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

5> configure the PDCP entity to apply the ciphering algorithm and KRRCenc key associated with the master key (KeNB) or secondary key (S-KgNB) as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

3> else (i.e., UE connected to NR or UE in EN-DC):

4> configure the PDCP entity to apply the integrity protection algorithm and KRRCint key associated with the master key (KeNB/KgNB) or secondary key (S-KgNB), as indicated in *keyToUse* , i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

4> configure the PDCP entity to apply the ciphering algorithm and KRRCenc key associated with the master key (KeNB/KgNB) or secondary key (S-KgNB) as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

3> re-establish the PDCP entity of this SRB as specified in TS 38.323 [5];

2> else, if the *discardOnPDCP* is set:

3> trigger the PDCP entity to perform SDU discard as specified in TS 38.323 [5];

2> if the *pdcp-Config* is included:

3> reconfigure the PDCP entity in accordance with the received *pdcp-Config*.

##### 5.3.5.6.4 DRB release

The UE shall:

1> for each *drb-Identity* value included in the *drb-ToReleaseList* that is part of the current UE configuration; or

1> for each *drb-Identity* value that is to be released as the result of full configuration according to 5.3.5.11:

2> release the PDCP entity and the *drb-Identity*;

2> if SDAP entity associated with this DRB is configured:

3> indicate the release of the DRB to SDAP entity associated with this DRB (TS 37.324 [24], clause 5.3.3);

2> if the DRB is associated with an *eps-BearerIdentity*:

3> if a new bearer is not added either with NR or E-UTRA with same *eps-BearerIdentity*:

4> indicate the release of the DRB and the *eps-BearerIdentity* of the released DRB to upper layers.

NOTE 1: The UE does not consider the message as erroneous if the *drb-ToReleaseList* includes any *drb-Identity* value that is not part of the current UE configuration.

NOTE 2: Whether or not the RLC and MAC entities associated with this PDCP entity are reset or released is determined by the *CellGroupConfig*.

##### 5.3.5.6.5 DRB addition/modification

The UE shall:

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is not part of the current UE configuration (DRB establishment including the case when full configuration option is used):

2> establish a PDCP entity and configure it in accordance with the received *pdcp-Config*;

2> if the PDCP entity of this DRB is not configured with *cipheringDisabled:*

3> if target RAT of handover is E-UTRA/5GC; or

3> if the UE is connected to E-UTRA/5GC:

4> if the UE is capable of E-UTRA/5GC but not capable of NGEN-DC:

5> configure the PDCP entity with the ciphering algorithm and KUPenc key configured/derived as specified in TS 36.331 [10];

4> else (i.e., a UE capable of NGEN-DC):

5> configure the PDCP entity with the ciphering algorithms according to *securityConfig* and apply the key (KUPenc) associated with the master key (KeNB) or secondary key (S-KgNB) as indicated in *keyToUse*, if applicable;

3> else (i.e., UE connected to NR or UE connected to E-UTRA/EPC):

4> configure the PDCP entity with the ciphering algorithms according to *securityConfig* and apply the KUPenc key associated with the master key (KeNB/KgNB) or the secondary key (S-KgNB/S-KeNB) as indicated in keyToUse;

2> if the PDCP entity of this DRB is configured with *integrityProtection*:

3> configure the PDCP entity with the integrity protection algorithms according to *securityConfig* and apply the KUPint key associated with the master (KeNB/KgNB) or the secondary key (S-KgNB) as indicated in *keyToUse*;

2> if an *sdap-Config* is included:

3> if an SDAP entity with the received *pdu-Session* does not exist:

4> establish an SDAP entity as specified in TS 37.324 [24] clause 5.1.1;

4> if an SDAP entity with the received *pdu-Session* did not exist prior to receiving this reconfiguration:

5> indicate the establishment of the user plane resources for the *pdu-Session* to upper layers;

3> configure the SDAP entity in accordance with the received *sdap-Config* as specified in TS 37.324 [24] and associate the DRB with the SDAP entity;

3> for each QFI value added in *mappedQoS-FlowsToAdd*, if the QFI value is previously configured, the QFI value is released from the old DRB;

2> if the DRB is associated with an *eps-BearerIdentity*:

3> if the DRB was configured with the same *eps-BearerIdentity* either by NR or E-UTRA prior to receiving this reconfiguration:

4> associate the established DRB with the corresponding *eps-BearerIdentity;*

3> else:

4> indicate the establishment of the DRB(s) and the *eps-BearerIdentity* of the established DRB(s) to upper layers;

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration and configured as DAPS bearer:

2> reconfigure the PDCP entity to configure DAPS with the ciphering function, integrity protection function and ROHC function of the target cell group as specified in TS 38.323 [5] and configure it in accordance with the received *pdcp-Config*;

2> if the *masterKeyUpdate* is received:

3> if the ciphering function of the target cell group PDCP entity is not configured with *cipheringDisabled:*

4> configure the ciphering function of the target cell group PDCP entity with the ciphering algorithm according to *securityConfig* and apply the KUPenc key associated with the master key (KgNB), as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received from the target cell group and sent to the target cell group by the UE;

3> if the integrity protection function of the target cell group PDCP entity is configured with *integrityProtection*:

4> configure the integrity protection function of the target cell group PDCP entity with the integrity protection algorithms according to *securityConfig* and apply the KUPint key associated with the master key (KgNB) as indicated in *keyToUse*;

2> else:

3> configure the ciphering function and the integrity protection function of the target cell group PDCP entity with the same security configuration as the PDCP entity for the source cell group;

2> if the *sdap-Config* is included and when indication of successful completion of random access towards target cell is received from lower layers as specified in [3]:

3> reconfigure the SDAP entity in accordance with the received *sdap-Config* as specified in TS 37.324 [24];

3> for each QFI value added in *mappedQoS-FlowsToAdd*, if the QFI value is previously configured, the QFI value is released from the old DRB;

1> for each *drb-Identity* value included in the *drb-ToAddModList* that is part of the current UE configuration and not configured as DAPS bearer:

2> if the *reestablishPDCP* is set:

3> if target RAT of handover is E-UTRA/5GC; or

3> if the UE is connected to E-UTRA/5GC:

4> if the UE is capable of E-UTRA/5GC but not capable of NGEN-DC:

5> if the PDCP entity of this DRB is not configured with *cipheringDisabled:*

6> configure the PDCP entity with the ciphering algorithm and KUPenc key configured/derived as specified in TS 36.331 [10], clause 5.4.2.3, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received and sent by the UE;

4> else (i.e., a UE capable of NGEN-DC):

5> if the PDCP entity of this DRB is not configured with *cipheringDisabled*:

6> configure the PDCP entity with the ciphering algorithm and KUPenc key associated with the master key (KeNB) or the secondary key (S-KgNB), as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received and sent by the UE;

3> else (i.e., UE connected to NR or UE connected to E-UTRA/EPC (in EN-DC or capable of EN-DC)):

4> if the PDCP entity of this DRB is not configured with *cipheringDisabled:*

5> configure the PDCP entity with the ciphering algorithm and KUPenc key associated with the master key (KeNB/ KgNB) or the secondary key (S-KgNB/S-KeNB), as indicated in *keyToUse*, i.e. the ciphering configuration shall be applied to all subsequent PDCP PDUs received and sent by the UE;

4> if the PDCP entity of this DRB is configured with *integrityProtection*:

5> configure the PDCP entity with the integrity protection algorithms according to *securityConfig* and apply the KUPint key associated with the master key (KeNB/KgNB) or the secondary key (S-KgNB) as indicated in *keyToUse*;

3> if *drb-ContinueROHC* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueROHC* is configured;

3> if *drb-ContinueEHC-DL* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueEHC-DL* is configured;

3> if *drb-ContinueEHC-UL* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueEHC-UL* is configured;

3> if *drb-ContinueUDC* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueUDC* is configured;3> re-establish the PDCP entity of this DRB as specified in TS 38.323 [5], clause 5.1.2;

2> else, if the *recoverPDCP* is set:

3> trigger the PDCP entity of this DRB to perform data recovery as specified in TS 38.323 [5];

2> if the *pdcp-Config* is included:

3> reconfigure the PDCP entity in accordance with the received *pdcp-Config*.

2> if the *sdap-Config* is included:

3> reconfigure the SDAP entity in accordance with the received *sdap-Config* as specified in TS37.324 [24];

3> for each QFI value added in *mappedQoS-FlowsToAdd*, if the QFI value is previously configured, the QFI value is released from the old DRB;

NOTE 1: Void.

NOTE 2: When determining whether a *drb-Identity* value is part of the current UE configuration, the UE does not distinguish which *RadioBearerConfig* and *DRB-ToAddModList* that DRB was originally configured in. To re-associate a DRB with a different key (KeNB to S-KgNB,KgNB to S-KeNB, KgNB to S-KgNB, or vice versa), the network provides the *drb-Identity* value in the (target) *drb-ToAddModList* and sets the *reestablishPDCP* flag. The network does not list the *drb-Identity* in the (source) *drb-ToReleaseList*.

NOTE 3: When setting the *reestablishPDCP* flag for a radio bearer, the network ensures that the RLC receiver entities do not deliver old PDCP PDUs to the re-established PDCP entity. It does that e.g. by triggering a reconfiguration with sync of the cell group hosting the old RLC entity or by releasing the old RLC entity.

NOTE 4: In this specification, UE configuration refers to the parameters configured by NR RRC unless otherwise stated.

NOTE 5: Ciphering and integrity protection can be enabled or disabled for a DRB. The enabling/disabling of ciphering or integrity protection can be changed only by releasing and adding the DRB.

NOTE 6: In DAPS handover, the UE may perform PDCP entity re-establishment (if *reestablishPDCP* is set) or the PDCP data recovery (if *recoverPDCP* is set) for a non-DAPS bearer when indication of successful completion of random access towards target cell is received from lower layers as specified in TS 38.321 [3]. In this case, the UE suspends data transmission and reception for all non-DAPS bearers in the source MCG for duration of the DAPS handover.

##### 5.3.5.6.6 Multicast MRB release

The UE shall:

1> for each *mrb-Identity* value included in the *mrb-ToReleaseList* that is part of the current UE configuration; or

1> for each *mrb-Identity* value that is to be released as the result of full configuration according to 5.3.5.11:

2> release the PDCP entity and the *mrb-Identity*;

2> if there is no other multicast MRB configured with the same *mbs-SessionId* as configured for the released multicast MRB:

3> indicate the release of the user plane resources for the *mbs-SessionId* to upper layers.

NOTE 1: The UE does not consider the message as erroneous if the *mrb-ToReleaseList* includes any *mrb-Identity* value that is not part of the current UE configuration.

NOTE 2: Whether or not the RLC and MAC entities associated with this PDCP entity are reset or released is determined by the *CellGroupConfig*.

##### 5.3.5.6.7 Multicast MRB addition/modification

The UE shall for each element in the order of entry in the list *mrb-ToAddModList*:

1> if *mrb-Identity* value included in the *mrb-ToAddModList* is part of the UE configuration:

2> if *mrb-Identity* value included in the *mrb-ToAddModList* for which *mrb-IdentityNew* is included (multicast MRB ID change):

3> update the *mrb-Identity* to the value *mrb-IdentityNew*;

2> if the *reestablishPDCP* is set:

3> if *drb-ContinueROHC* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueROHC* is configured;

3> if *drb-ContinueEHC-DL* is included in *pdcp-Config*:

4> indicate to lower layer that *drb-ContinueEHC-DL* is configured;

3> re-establish the PDCP entity of this multicast MRB as specified in TS 38.323 [5], clause 5.1.2;

2> else, if the *recoverPDCP* is set:

3> trigger the PDCP entity of this MRB to perform data recovery as specified in TS 38.323 [5];

2> if the *pdcp-Config* is included:

3> reconfigure the PDCP entity in accordance with the received *pdcp-Config*;

1> else if *mrb-Identity* value included in the *mrb-ToAddModList* that is not part of the UE configuration (multicast MRB establishment including the case when full configuration option is used):

2> establish a PDCP entity and configure it in accordance with the received *pdcp-Config*;

2> if at least one multicast MRB was configured with the same *mbs-SessionId* prior to receiving this reconfiguration message:

3> associate the established multicast MRB with the corresponding *mbs-SessionId*;

2> if an SDAP entity with the received *mbs-SessionId* does not exist:

3> establish an SDAP entity as specified in TS 37.324 [24] clause 5.1.1;

3> if an SDAP entity with the received *mbs-SessionId* did not exist prior to receiving this reconfiguration:

4> indicate the establishment of the user plane resources for the *mbs-SessionId* to upper layers.

NOTE 1: When setting the *reestablishPDCP* flag for a radio bearer, the network ensures that the RLC receiver entities do not deliver old PDCP PDUs to the re-established PDCP entity. It does that e.g. by triggering a reconfiguration with sync of the cell group hosting the old RLC entity or by releasing the old RLC entity.

NOTE 2: In this specification, UE configuration refers to the parameters configured by NR RRC unless otherwise stated.

NOTE 3: When updating the *mrb-Identity*, the network ensures new MRBs are listed at the end of the *mrb-ToAddModList* if they have the same MRB ID as in the existing UE configuration.

#### 5.3.5.7 AS Security key update

The UE shall:

1> if UE is connected to E-UTRA/EPC or E-UTRA/5GC:

2> upon reception of *sk-Counter* as specified in TS 36.331 [10]:

3> update the S-KgNB key based on the KeNB key and using the received *sk-Counter* value, as specified in TS 33.401 [30] for EN-DC, or TS 33.501 [11] for NGEN-DC;

3> derive the KRRCenc and KUPenc keys as specified in TS 33.401 [30] for EN-DC, or TS 33.501 [11] for NGEN-DC;

3> derive the KRRCint and KUPint keys as specified in TS 33.401 [30] for EN-DC or TS 33.501 [11] for NGEN-DC.

1> else if this procedure was initiated due to reception of the *masterKeyUpdate*:

2> if the *nas-Container* is included in the received *masterKeyUpdate*:

3> forward the *nas-Container* to the upper layers;

2> if the *keySetChangeIndicator* is set to *true*:

3> derive or update the KgNB key based on the KAMF key, as specified in TS 33.501 [11];

2> else:

3> derive or update the KgNB key based on the current KgNB key or the NH, using the *nextHopChainingCount* value indicated in the received *masterKeyUpdate*, as specified in TS 33.501 [11];

2> store the *nextHopChainingCount* value;

2> derive the keys associated with the KgNB key as follows:

3> if the *securityAlgorithmConfig* is included in *SecurityConfig*:

4> derive the KRRCenc and KUPenc keys associated with the *cipheringAlgorithm* indicated in the *securityAlgorithmConfig,* as specified in TS 33.501 [11];

4> derive the KRRCint and KUPint keys associated with the *integrityProtAlgorithm* indicated in the *securityAlgorithmConfig,* as specified in TS 33.501 [11];

3> else:

4> derive the KRRCenc and KUPenc keys associated with the current *cipheringAlgorithm,* as specified in TS 33.501 [11];

4> derive the KRRCint and KUPint keys associated with the current *integrityProtAlgorithm,* as specified in TS 33.501 [11].

NOTE 1: Ciphering and integrity protection are optional to configure for the DRBs.

1> else if this procedure was initiated due to reception of the *sk-Counter* (UE is in NE-DC, or NR-DC, or is configured with SN terminated bearer(s)):

2> derive or update the secondary key (S-KgNB or S-KeNB) based on the KgNB key and using the received *sk-Counter* value, as specified in TS 33.501 [11];

2> derive the KRRCenc key and the KUPenc key as specified in TS 33.501 [11] using the ciphering algorithms indicated in the *RadioBearerConfig* associated with the secondary key (S-KgNB or S-KeNB) as indicated by *keyToUse*;

2> derive the KRRCint key and the KUPint key as specified in TS 33.501 [11] using the integrity protection algorithms indicated in the *RadioBearerConfig* associated with the secondary key (S-KgNB or S-KeNB) as indicated by *keyToUse*;

NOTE 2: If the UE has no radio bearer configured with *keyToUse* set to *secondary* and receives the *sk-Counter* without any *RadioBearerConfig* with *keyToUse* set to *secondary*, the UE does not consider it as an invalid reconfiguration.

#### 5.3.5.8 Reconfiguration failure

##### 5.3.5.8.1 Void

##### 5.3.5.8.2 Inability to comply with *RRCReconfiguration*

NOTE 00: The UE behaviour specified in this clause does not apply to the fields in *ServingCellConfigCommon* that are defined in release-16 and later and the fields of searchSpaceMCCH and searchSapceMTCH in PDCCH-ConfigCommon. The UE ignores, i.e. does not take an action on and does not store, the fields that it does not support or does not comprehend.

The UE shall:

1> if the UE is in (NG)EN-DC:

2> if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB3;

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in clause 5.7.3 to report SCG reconfiguration error, upon which the connection reconfiguration procedure ends;

3> else:

4> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7, upon which the connection reconfiguration procedure ends;

2> else, if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB1;

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7, upon which the connection reconfiguration procedure ends.

1> else if *RRCReconfiguration* is received via NR (i.e., NR standalone, NE-DC, or NR-DC):

2> if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over SRB3;

NOTE 0: This case does not apply in NE-DC.

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in clause 5.7.3 to report SCG reconfiguration error, upon which the connection reconfiguration procedure ends;

3> else:

4> initiate the connection re-establishment procedure as specified in clause 5.3.7, upon which the connection reconfiguration procedure ends;

2> else if the UE is unable to comply with (part of) the configuration included in the *RRCReconfiguration* message received over the SRB1 or if the upper layers indicate that the *nas-Container* is invalid:

NOTE 0a: The compliance also covers the SCG configuration carried within octet strings e.g. field *mrdc-SecondaryCellGroupConfig*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded SCG configuration or with the combination of (parts of) the MCG and SCG configurations.

NOTE 0b: The compliance also covers the V2X sidelink configuration carried within an octet string, e.g. field *sl-ConfigDedicatedEUTRA*. I.e. the failure behaviour defined also applies in case the UE cannot comply with the embedded V2X sidelink configuration.

3> if the *RRCReconfiguration* message was received as part of *ConditionalReconfiguration*:

4> continue using the configuration used prior to when the inability to comply with the *RRCReconfiguration* message was detected;

3> else:

4> continue using the configuration used prior to the reception of *RRCReconfiguration* message;

3> if AS security has not been activated:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other'

3> else if AS security has been activated but SRB2 and at least one DRB or multicast MRB or, for IAB, SRB2, have not been setup:

4> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

3> else:

4> initiate the connection re-establishment procedure as specified in 5.3.7, upon which the reconfiguration procedure ends;

1> else if *RRCReconfiguration* is received via other RAT (Handover to NR failure):

2> if the UE is unable to comply with any part of the configuration included in the *RRCReconfiguration* message or if the upper layers indicate that the *nas-Container* is invalid:

3> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT.

NOTE 1: The UE may apply above failure handling also in case the *RRCReconfiguration* message causes a protocol error for which the generic error handling as defined in clause 10 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

NOTE 3: It is up to UE implementation whether the compliance check for an *RRCReconfiguration* received as part of *ConditionalReconfiguration* is performed upon the reception of the message or upon CHO, CPA and CPC execution (when the message is required to be applied).

##### 5.3.5.8.3 T304 expiry (Reconfiguration with sync Failure) or T420 expiry (Path switch failure)

The UE shall:

1> if T304 of the MCG expires, or

1> if T420 expires, or,

1> if the target L2 U2N Relay UE (i.e., the UE indicated by *targetRelayUE-Identity* in the received *RRCReconfiguration* message containing *reconfigurationWithSync* indicating path switch as specified in 5.3.5.5.2) changes its serving PCell before path switch:

2> release dedicated preambles provided in *rach-ConfigDedicated* if configured;

2> release dedicated msgA PUSCH resources provided in *rach-ConfigDedicated* if configured;

2> if any DAPS bearer is configured, and radio link failure is not detected in the source PCell, according to clause 5.3.10.3:

3> reset MAC for the target PCell and release the MAC configuration for the target PCell;

3> for each DAPS bearer:

4> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the target PCell;

4> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

3> for each SRB:

4> if the *masterKeyUpdate* was not received:

5> configure the PDCP entity for the source PCell with state variables continuation as specified in TS 38.323 [5];

4> release the PDCP entity for the target PCell;

4> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the target PCell;

4> trigger the PDCP entity for the source PCell to perform SDU discard as specified in TS 38.323 [5];

4> re-establish the RLC entity for the source PCell;

3> release the physical channel configuration for the target PCell;

3> discard the keys used in target PCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

3> resume suspended SRBs in the source PCell;

3> for each non-DAPS bearer:

4> revert back to the UE configuration used for the DRB or multicast MRB in the source PCell, includes PDCP, RLC states variables, the security configuration and the data stored in transmission and reception buffers in PDCP and RLC entities ;

3> revert back to the UE measurement configuration used in the source PCell;

3> store the handover failure information in *VarRLF-Report* as described in the clause 5.3.10.5;

3> initiate the failure information procedure as specified in clause 5.7.5 to report DAPS handover failure.

2> else:

3> revert back to the UE configuration used in the source PCell;

3> if the associated T304 was not initiated upon cell selection performed while timer T311 was running, as defined in clause 5.3.7.3:

4> store the handover failure information in *VarRLF-Report* as described in the clause 5.3.10.5;

3> initiate the connection re-establishment procedure as specified in clause 5.3.7.

NOTE 1: In the context above, "the UE configuration" includes state variables and parameters of each radio bearer.

1> else if T304 of a secondary cell group expires:

2> if MCG transmission is not suspended:

3> release dedicated preambles provided in *rach-ConfigDedicated,* if configured;

3> initiate the SCG failure information procedure as specified in clause 5.7.3 to report SCG reconfiguration with sync failure, upon which the RRC reconfiguration procedure ends;

2> else:

3> if the UE is in NR-DC:

4> initiate the connection re-establishment procedure as specified in clause 5.3.7;

3> else (the UE is in (NG) EN-DC):

4> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7;

1> else if T304 expires when *RRCReconfiguration* is received via other RAT (HO to NR failure):

2> reset MAC;

2> perform the actions defined for this failure case as defined in the specifications applicable for the other RAT.

NOTE 2: In this clause, the term 'handover failure' has been used to refer to 'reconfiguration with sync failure'.

#### 5.3.5.9 Other configuration

The UE shall:

1> if the received *otherConfig* includes the *delayBudgetReportingConfig*:

2> if *delayBudgetReportingConfig* is set to *setup*:

3> consider itself to be configured to send delay budget reports in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to send delay budget reports and stop timer T342, if running.

1> if the received *otherConfig* includes the *overheatingAssistanceConfig*:

2> if *overheatingAssistanceConfig* is set to *setup*:

3> consider itself to be configured to provide overheating assistance information in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide overheating assistance information and stop timer T345, if running;

1> if the received *otherConfig* includes the *idc-AssistanceConfig*:

2> if *idc-AssistanceConfig* is set to *setup*:

3> consider itself to be configured to provide IDC assistance information in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide IDC assistance information;

1> if the received *otherConfig* includes the *drx-PreferenceConfig*:

2> if *drx-PreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on DRX parameters for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on DRX parameters for power saving for the cell group and stop timer T346a associated with the cell group, if running;

1> if the received *otherConfig* includes the *maxBW-PreferenceConfig*:

2> if *maxBW-PreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the maximum aggregated bandwidth for power saving for the cell group in accordance with 5.7.4;

3> if *otherConfig* includes *maxBW-PreferenceConfigFR2-2*:

4> consider itself to be configured to provide its preference on the maximum aggregated bandwidth for FR2-2 for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the maximum aggregated bandwidth for power saving for the cell group and stop timer T346b associated with the cell group, if running;

1> if the received *otherConfig* includes the *maxCC-PreferenceConfig*:

2> if *maxCC-PreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the maximum number of secondary component carriers for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the maximum number of secondary component carriers for power saving for the cell group and stop timer T346c associated with the cell group, if running;

1> if the received *otherConfig* includes the *maxMIMO-LayerPreferenceConfig*:

2> if *maxMIMO-LayerPreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the maximum number of MIMO layers for power saving for the cell group in accordance with 5.7.4;

3> if *otherConfig* includes *maxMIMO-LayerPreferenceConfigFR2-2*:

4> consider itself to be configured to provide its preference on the maximum number of MIMO layers for FR2-2 for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the maximum number of MIMO layers for power saving for the cell group and stop timer T346d associated with the cell group, if running;

1> if the received *otherConfig* includes the *minSchedulingOffsetPreferenceConfig*:

2> if *minSchedulingOffsetPreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving for the cell group in accordance with 5.7.4;

3> if *otherConfig* includes *minSchedulingOffsetPreferenceConfigExt*:

4> consider itself to be configured to provide its preference on the minimum scheduling offset for 480 kHz SCS and/or 960 kHz SCS for cross-slot scheduling for power saving for the cell group in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving for the cell group and stop timer T346e associated with the cell group, if running;

1> if the received *otherConfig* includes the *releasePreferenceConfig*:

2> if *releasePreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide assistance information to transition out of RRC\_CONNECTED in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide assistance information to transition out of RRC\_CONNECTED and stop timer T346f, if running.

1> if the received *otherConfig* includes the *obtainCommonLocation*:

2> include available detailed location information for any subsequent measurement report or any subsequent RLF report and SCGFailureInformation;

NOTE 1: The UE is requested to attempt to have valid detailed location information available whenever sending a measurement report for which it is configured to include available detailed location information. The UE may not succeed e.g. because the user manually disabled the GPS hardware, due to no/poor satellite coverage. Further details, e.g. regarding when to activate GNSS, are up to UE implementation.

1> if the received *otherConfig* includes the *btNameList*:

2> if *btNameList* is set to *setup*, include available Bluetooth measurement results for any subsequent measurement report or any subsequent RLF report and SCGFailureInformation;

1> if the received *otherConfig* includes the *wlanNameList*:

2> if *wlanNameList* is set to *setup*, include available WLAN measurement results for any subsequent measurement report or any subsequent RLF report and SCGFailureInformation;

1> if the received *otherConfig* includes the *sensorNameList*:

2> if *sensorNameList* is set to *setup*, include available Sensor measurement results for any subsequent measurement report or any subsequent RLF report and SCGFailureInformation;

NOTE 2: The UE is requested to attempt to have valid Bluetooth measurements, WLAN measurements and Sensor measurements whenever sending a measurement report for which it is configured to include these measurements. The UE may not succeed e.g. because the user manually disabled the WLAN or Bluetooth or Sensor hardware. Further details, e.g. regarding when to activate WLAN or Bluetooth or Sensor, are up to UE implementation.

1> if the received *otherConfig* includes the *sl-AssistanceConfigNR*:

2> consider itself to be configured to provide configured grant assistance information for NR sidelink communication in accordance with 5.7.4;

1> if the received *otherConfig* includes the *referenceTimePreferenceReporting*:

2> consider itself to be configured to provide UE reference time assistance information in accordance with 5.7.4;

1> else:

2> consider itself not to be configured to provide UE reference time assistance information;

1> if the received *otherConfig* includes the *successHO-Config*:

2> consider itself to be configured to provide the successful handover information in accordance with 5.7.10.6;

1> else:

2> consider itself not to be configured to provide the successful handover information.

1> if the received *otherConfig* includes the *ul-GapFR2-PreferenceConfig*:

2> consider itself to be configured to provide its preference on FR2 UL gap in accordance with 5.7.4;

1> else:

2> consider itself not to be configured to provide its preference on FR2 UL gap;

1> if the received *otherConfig* includes the *musim-GapAssistanceConfig*:

2> if *musim-GapAssistanceConfig* is set to *setup*:

3> consider itself to be configured to provide MUSIM assistance information for gap preference in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide MUSIM assistance information for gap preference and stop timer T346h, if running;

1> if the received *otherConfig* includes the *musim-LeaveAssistanceConfig:*

2> if *musim-LeaveAssistanceConfig* is set to *setup*:

3> consider itself to be configured to provide MUSIM assistance information for leaving RRC\_CONNECTED in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide MUSIM assistance information for leaving RRC\_CONNECTED and stop timer T346g, if running.

1> if the received *otherConfig* includes the *rlm-RelaxationReportingConfig*:

2> if *rlm-RelaxationReportingConfig* is set to *setup*:

3> consider itself to be configured to report the relaxation state of RLM measurements in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to report the relaxation state of RLM measurements and stop timer T346j associated with the cell group, if running;

1> if the received *otherConfig* includes the *bfd-RelaxationReportingConfig*:

2> if *bfd-RelaxationReportingConfig* is set to *setup*:

3> consider itself to be configured to report the relaxation state of BFD measurements in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to report the relaxation state of BFD measurements and stop timer T346k associated with the cell group, if running;

1> if the received *otherConfig* includes the *scg-DeactivationPreferenceConfig*:

2> if the *scg-DeactivationPreferenceConfig* is set to *setup*:

3> consider itself to be configured to provide its SCG deactivation preference in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide its SCG deactivation preference and stop timer T346i, if running.

1> if the received *otherConfig* includes the *propDelayDiffReportConfig*:

2> if the *propDelayDiffReportConfig* is set to *setup*:

3> consider itself to be configured to provide service link propagation delay difference between serving cell and neighbour cell(s) in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to provide service link propagation delay difference between serving cell and neighbour cell(s).

1> if the received *otherConfig* includes the *rrm-MeasRelaxationReportingConfig*:

2> if the *rrm-MeasRelaxationReportingConfig* is set to *setup*:

3> consider itself to be configured to report the fulfilment of the criterion for relaxing RRM measurements in accordance with 5.7.4;

2> else:

3> consider itself not to be configured to report the fulfilment of the criterion for relaxing RRM measurements.

#### 5.3.5.10 MR-DC release

The UE shall:

1> as a result of MR-DC release triggered by E-UTRA or NR:

2> release SRB3, if established, as specified in 5.3.5.6.2;

2> release *measConfig* associated with SCG;

2> if the UE is configured with NR SCG:

3> release the SCG configuration as specified in clause 5.3.5.4;

3> release *otherConfig* associated with the SCG, if configured;

3> stop timers T346a, T346b, T346c, T346d, T346e, T346j and T346k associated with the SCG, if running;

3> release *bap-Config* associated with the SCG, if configured;

3> release the BAP entity as specified in TS 38.340 [47], if there is no configured *bap-Config*;

3> release *iab-IP-AddressConfigurationList* associated with the SCG, if configured;

2> else if the UE is configured with E-UTRA SCG:

3> release the SCG configuration as specified in TS 36.331 [10], clause 5.3.10.19 to release the E-UTRA SCG;

#### 5.3.5.11 Full configuration

The UE shall:

1> release/ clear all current dedicated radio configurations except for the following:

- the MCG C-RNTI;

- the AS security configurations associated with the master key;

- the SRB1/SRB2 configurations and DRB/multicast MRB configurations as configured by *radioBearerConfig* or *radioBearerConfig2*.

NOTE 1: Radio configuration is not just the resource configuration but includes other configurations like *MeasConfig*. Radio configuration also includes the RLC bearer configurations as configured by *RLC-BearerConfig*. In case NR-DC or NE-DC is configured, this also includes the entire NR or E-UTRA SCG configuration which are released according to the MR-DC release procedure as specified in 5.3.5.10.

NOTE 1a: For NR sidelink communication, the radio configuration includes the sidelink RRC configuration received from the network, but does not include the sidelink RRC reconfiguration and sidelink UE capability received from other UEs via PC5-RRC. In addition, the UE considers the new NR sidelink configurations as full configuration, in case of state transition and change of system information used for NR sidelink communication.

NOTE 1b: To establish the RLC bearer of SRB(s) after release due to *fullConfig*, the network can include the *srb-Identity* within *srb-ToAddModList* (i.e. the UE applies RLC default configuration) and/or provide *rlc-BearerToAddModList* of concerned SRB(s) explicitly.

- the logged measurement configuration;

1> if the *spCellConfig* in the *masterCellGroup* includes the *reconfigurationWithSync*:

2> release/ clear all current common radio configurations;

2> use the default values specified in 9.2.3 for timers T310, T311 and constants N310, N311;

1> else (full configuration after re-establishment or during RRC resume):

2> if the UE is acting as L2 U2N Remote UE:

3> use value for timer T311, as included in *ue-TimersAndConstants* received in *SIB1*

2> else:

3> use values for timers T301, T310, T311 and constants N310, N311, as included in *ue-TimersAndConstants* received in *SIB1*;

1> if no *measConfigAppLayerId* is included:

2> inform upper layers about the release of all application layer measurement configurations;

2> discard any received application layer measurement report from upper layers;

2> consider itself not to be configured to send application layer measurement report.

1> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the following:

- parameters for which values are provided in *SIB1*;

1> apply the default MAC Cell Group configuration as specified in 9.2.2;

1> for each *srb-Identity* value included in the *srb-ToAddModList* (SRB reconfiguration):

2> establish an RLC entity for the corresponding SRB;

2> apply the default SRB configuration defined in 9.2.1 for the corresponding SRB;

NOTE 2: This is to get the SRBs (SRB1 and SRB2 for reconfiguration with sync and SRB2 for resume and reconfiguration after re-establishment) to a known state from which the reconfiguration message can do further configuration.

1> for each *pdu-Session* that is part of the current UE configuration:

2> release the SDAP entity (clause 5.1.2 in TS 37.324 [24]);

2> release each DRB associated to the *pdu-Session* as specified in 5.3.5.6.4;

NOTE 3: This will retain the *pdu-Session* but remove the DRBs including *drb-identity* of these bearers from the current UE configuration. Setup of the DRBs within the AS is described in clause 5.3.5.6.5 using the new configuration. The *pdu-Session* acts as the anchor for associating the released and re-setup DRB. In the AS the DRB re-setup is equivalent with a new DRB setup (including new PDCP and logical channel configurations).

1> for each *mbs-SessionId* that is part of the current UE configuration:

2> release the SDAP entity (clause 5.1.2 in TS 37.324 [24]);

2> release each multicast MRB associated to the *mbs-SessionId* as specified in 5.3.5.6.6;

NOTE 4: This will retain the *mbs-SessionId* but remove the multicast MRBs including *mrb-identity* of these bearers from the current UE configuration. Setup of the multicast MRBs within the AS is described in clause 5.3.5.6.7 using the new configuration. The *mbs-SessionId* acts as the anchor for associating the released and re-setup multicast MRB. In the AS the multicast MRB re-setup is equivalent with a new multicast MRB setup (including new PDCP and logical channel configurations).

1> for each *pdu-Session* that is part of the current UE configuration but not added with same *pdu-Session* in the *drb-ToAddModList*:

2> if the procedure was triggered due to reconfiguration with sync:

3> indicate the release of the user plane resources for the *pdu-Session* to upper layers after successful reconfiguration with sync;

2> else:

3> indicate the release of the user plane resources for the *pdu-Session* to upper layers immediately;

1> for each *mbs-SessionId* that is part of the current UE configuration but not added with the same *mbs-SessionId* in the *mrb-ToAddModList*:

2> if the procedure was triggered due to reconfiguration with sync:

3> indicate the release of the user plane resources for the *mbs-SessionId* to upper layers after successful reconfiguration with sync;

2> else:

3> indicate the release of the user plane resources for the *mbs-SessionId* to upper layers immediately.

#### 5.3.5.12 BAP configuration

The IAB-MT shall:

1> if the *bap-Config* is set to *setup*:

2> if no BAP entity is established:

3> establish a BAP entity as specified in TS 38.340 [47];

2> if *bap-address* is included:

3> configure the BAP entity to use the *bap-Address* as this node's BAP address;

2> if *defaultUL-BAP-RoutingID* is included:

3> configure the BAP entity to apply the default UL BAP routing ID according to the configuration;

2> if *defaultUL-BH-RLC-Channel* is included

3> configure the BAP entity to apply the default UL BH RLC channel according to the configuration;

2> if *flowControlFeedbackType* is included:

3> configure the BAP entity to apply the flow control feedback according to the configuration;

1> if the *bap-Config* is set to *release*, and if there is no other configured *bap-Config* for the MCG or for the SCG:

2> release the BAP entity as specified in TS 38.340 [47].

#### 5.3.5.12a IAB Other Configuration

##### 5.3.5.12a.1 IP address management

###### 5.3.5.12a.1.1 IP Address Release

The IAB-MT shall:

1> if the release is triggered by reception of the *iab-IP-AddressToReleaseList*:

2> for each *iab-IP-AddressIndex* value included in *iab-IP-AddressToReleaseList*:

3> release the corresponding *IAB-IP-AddressConfiguration*.

###### 5.3.5.12a.1.2 IP Address Addition/Modification

The IAB-MT shall:

1> for each *iab-IP-AddressIndex* value included in the *iab-IP-AddressToAddModList* that is not part of the current IAB-MT configuration:

2> add the IP address indicated in *iab-IP-Address*, corresponding to the *iab-IP-AddressIndex.*

2> if added IP address is *iPv4-Address*:

3> if *iab-IP-Usage* is set to *f1-C:*

4> store the received IPv4 address for F1-C traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else if *iab-IP-Usage* is set to *f1-U*:

4> store the received IPv4 address for F1-U traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else if *iab-IP-Usage* is set to *non-F1*:

4> store the received IPv4 address for non-F1 traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else:

4> store the received IPv4 address for all traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

2> else if *iPv6-Address* is included:

3> if *iab-IP-Usage* is set to *f1-C*:

4> store the received IPv6 address for F1-C traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else if *iab-IP-Usage* is set to *f1-U*:

4> store the received IPv6 address for F1-U traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else if *iab-IP-Usage* is set to *non-F1*:

4> store the received IPv6 address for non-F1 traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else:

4> store the received IPv6 address for all traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

2> else if *iPv6-Prefix* is included in *iab-IP-AddressToAddModList*:

3> if *iab-IP-Usage* is set to *f1-C*:

4> store the received IPv6 address prefix for F1-C traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else if *iab-IP-Usage* is set to *f1-U*:

4> store the received IPv6 address prefix for F1-U traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else if *iab-IP-Usage* is set to *non-F1*:

4> store the received IPv6 address prefix for non-F1 traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

3> else:

4> store the received IPv6 address prefix for all traffic together with the IAB-donor-DU BAP address corresponding to the *iab-IP-AddressIndex*.

1> for each *iab-IP-AddressIndex* value included in the *iab-IP-AddressToAddModList* that is part of the current IAB-MT configuration:

2> modify the IP address configuration(s) in accordance with the *IAB-IP-AddressConfiguration* corresponding to the *iab-IP-AddressIndex.*

#### 5.3.5.13 Conditional Reconfiguration

##### 5.3.5.13.1 General

The network configures the UE with one or more candidate target SpCells in the conditional reconfiguration. The UE evaluates the condition of each configured candidate target SpCell. The UE applies the conditional reconfiguration associated with one of the target SpCells which fulfils associated execution condition. The network provides the configuration parameters for the target SpCell in the *ConditionalReconfiguration* IE.

The UE performs the following actions based on a received *ConditionalReconfiguration* IE:

1> if the *ConditionalReconfiguration* contains the *condReconfigToRemoveList*:

2> perform conditional reconfiguration removal procedure as specified in 5.3.5.13.2;

1> if the *ConditionalReconfiguration* contains the *condReconfigToAddModList*:

2> perform conditional reconfiguration addition/modification as specified in 5.3.5.13.3;

##### 5.3.5.13.2 Conditional reconfiguration removal

The UE shall:

1> for each *condReconfigId* value included in the *condReconfigToRemoveList* that is part of the current UE conditional reconfiguration in *VarConditionalReconfig*:

2> remove the entry with the matching *condReconfigId* from the *VarConditionalReconfig*;

NOTE: The UE does not consider the message as erroneous if the *condReconfigToRemoveList* includes any cond*ReconfigId* value that is not part of the current UE configuration.

##### 5.3.5.13.3 Conditional reconfiguration addition/modification

For each *condReconfigId* received in the *condReconfigToAddModList* IE the UE shall:

1> if an entry with the matching *condReconfigId* exists in the *condReconfigToAddModList* within the *VarConditionalReconfig*:

2> if the entry in *condReconfigToAddModList* includes an *condExecutionCond* or *condExecutionCondSCG*;

3> replace *condExecutionCond* or *condExecutionCondSCG* within the *VarConditionalReconfig* with the value received for this *condReconfigId*;

2> if the entry in *condReconfigToAddModList* includes an *condRRCReconfig*;

3> replace *condRRCReconfig* within the *VarConditionalReconfig* with the value received for this *condReconfigId*;

1> else:

2> add a new entry for this *condReconfigId* within the *VarConditionalReconfig*;

1> perform conditional reconfiguration evaluation as specified in 5.3.5.13.4;

##### 5.3.5.13.4 Conditional reconfiguration evaluation

The UE shall:

1> for each *condReconfigId* within the *VarConditionalReconfig*:

2> if the *RRCReconfiguration* within *condRRCReconfig* includes the *masterCellGroup* including the *reconfigurationWithSync*:

3> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* within the *masterCellGroup* in the received *condRRCReconfig* to be applicable cell;

2> else if the *RRCReconfiguration* within *condRRCReconfig* includes the *secondaryCellGroup* including the *reconfigurationWithSync*:

3> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* within the *secondaryCellGroup* within the received *condRRCReconfig* to be applicable cell;

2> if *condExecutionCondSCG* is configured:

3> in the remainder of the procedure, consider each *measId* indicated in the *condExecutionCondSCG* as a *measId* in the *VarMeasConfig* associated with the SCG *measConfig*;

2> if *condExecutionCond* is configured:

3> if it is configured via SRB3 or configured within *nr-SCG* or within *nr-SecondaryCellGroupConfig* (specified in TS 36.331[10]) via SRB1:

4> in the remainder of the procedure, consider each *measId* indicated in the *condExecutionCond* as a *measId* in the *VarMeasConfig* associated with the SCG *measConfig*;

3> else:

4> in the remainder of the procedure, consider each *measId* indicated in the *condExecutionCond* as a *measId* in the *VarMeasConfig* associated with the MCG *measConfig*;

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *condExecutionCond* or *condExecutionCondSCG* associated to *condReconfigId:*

3> if the *condEventId* is associated with *condEventT1*, and if the entry condition applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cell; or

3> if the *condEventId* is associated with *condEventD1*, and if the entry condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cell during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*; or

3> if the *condEventId* is associated with *condEventA3*, *condEventA4* or *condEventA5*, and if the entry condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*:

4> consider the event associated to that *measId* to be fulfilled;

3> if the *measId* for this event associated with the *condReconfigId* has been modified; or

3> if the *condEventId* is associated with *condEventT1*, and if the leaving condition applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cell; or

3> if the *condEventId* is associated with *condEventD1*, and if the leaving condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cells during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*; or

3> if the *condEventId* is associated with *condEventA3*, *condEventA4* or *condEventA5*, and if the leaving condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*:

4> consider the event associated to that *measId* to be not fulfilled;

2> if event(s) associated to all *measId*(s) within *condTriggerConfig* for a target candidate cell within the stored *condRRCReconfig* are fulfilled:

3> consider the target candidate cell within the stored *condRRCReconfig*, associated to that *condReconfigId*, as a triggered cell;

3> initiate the conditional reconfiguration execution, as specified in 5.3.5.13.5;

NOTE 1: Up to 2 *MeasId* can be configured for each *condReconfigId.* The conditional reconfiguration event of the 2 *MeasId* may have the same or different event conditions, triggering quantity, time to trigger, and triggering threshold.

NOTE 2: If multiple NR cells are triggered in conditional reconfiguration execution, it is up to UE implementation which one to select, e.g. the UE considers beams and beam quality to select one of the triggered cells for execution.

##### 5.3.5.13.4a Conditional reconfiguration evaluation of SN initiated inter-SN CPC for EN-DC

The UE shall:

1> for each *condReconfigurationId* within the *VarConditionalReconfiguration* specified in TS 36.331[10]:

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *CondReconfigExecCondSCG* contained in the *triggerConditionSN* associated to the *condReconfigurationId* as specified in TS 36.331[10]:

3> if the entry condition(s) applicable for the event associated with that *measId*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event associated with that *measId*:

4> consider this event to be fulfilled;

3> if the *measId* for this event has been modified; or

3> if the leaving condition(s) applicable for this event associated with that *measId*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event associated with that *measId*:

4> consider this event associated to that *measId* to be not fulfilled;

2> if trigger conditions for all events associated with the *measId(s)* indicated in the *CondReconfigExecCondSCG* contained in the *triggerConditionSN* as specified in TS 36.331[10]), are fulfilled:

3> consider the target cell candidate within the *RRCReconfiguration* message contained in *nr-SecondaryCellGroupConfig* in the *RRCConnectionReconfiguration* message, as specified in TS 36.331[10], contained in the stored *condReconfigurationToApply*, associated to that *condReconfigurationId* as specified in TS 36.331[10]), clause 5.3.5.9.4, as a triggered cell;

3> initiate the conditional reconfiguration execution, as specified in TS 36.331[10]), clause 5.3.5.9.5;

NOTE: If multiple NR cells are triggered in conditional reconfiguration execution, it is up to UE implementation which one to select, e.g. the UE considers beams and beam quality to select one of the triggered cells for execution.

##### 5.3.5.13.5 Conditional reconfiguration execution

The UE shall:

1> if more than one triggered cell exists:

2> select one of the triggered cells as the selected cell for conditional reconfiguration execution;

1> else:

2> consider the triggered cell as the selected cell for conditional reconfiguration execution;

1> for the selected cell of conditional reconfiguration execution:

2> apply the stored *condRRCReconfig* of the selected cell and perform the actions as specified in 5.3.5.3;

NOTE: If multiple NR cells are triggered in conditional reconfiguration execution, it is up to UE implementation which one to select, e.g. the UE considers beams and beam quality to select one of the triggered cells for execution.

#### 5.3.5.13a SCG activation

Upon initiating the procedure, the UE shall:

1> if the UE is configured with an SCG after receiving the message for which this procedure is initiated:

2> if the UE was configured with a deactivated SCG before receiving the message for which this procedure is initiated:

3> consider the SCG to be activated;

3> resume performing radio link monitoring on the SCG, if previously stopped;

3> indicate to lower layers to resume beam failure detection on the PSCell, if previously stopped;

3> indicate to lower layers that the SCG is activated.

#### 5.3.5.13b SCG deactivation

Upon initiating the procedure, the UE shall:

1> consider the SCG to be deactivated;

1> indicate to lower layers that the SCG is deactivated;

1> if *bfd-and-RLM* is configured to *true*:

2> perform radio link monitoring on the SCG;

2> indicate to lower layers to perform beam failure detection on the PSCell;

1> else:

2> stop radio link monitoring on the SCG;

2> indicate to lower layers to stop beam failure detection on the PSCell;

2> stop timer T310 for this cell group, if running;

2> stop timer T312 for this cell group, if running;

2> reset the counters N310 and N311;

1> if the UE was in RRC\_CONNECTED and the SCG was activated before receiving the message for which this procedure is initiated:

2> if SRB3 was configured before the reception of the *RRCReconfiguration* or of the *RRCConnectionReconfiguration* and SRB3 is not to be released according to any *RadioBearerConfig* included in the *RRCReconfiguration* or in the *RRCConnectionReconfiguration* as specified in TS 36.331[10]:

3> trigger the PDCP entity of SRB3 to perform SDU discard as specified in TS 38.323 [5];

3> re-establish the RLC entity of SRB3 as specified in TS 38.322 [4].

#### 5.3.5.13b1 SCG activation without SN message

Upon initiating the procedure, the UE shall:

1> if the SCG was deactivated before the reception of the *RRCReconfiguration* message or the E-UTRA *RRCConnectionReconfiguration* message for which the procedure invoking this clause is executed:

2> consider the SCG to be activated;

2> indicate to lower layers that the SCG is activated;

2> if *bfd-and-RLM* was not configured to true before the reception of the *RRCReconfiguration* message or the E-UTRA *RRCConnectionReconfiguration* message for which the procedure invoking this clause is executed; or

2> if lower layers indicate that a Random Access procedure is needed for SCG activation:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3].

#### 5.3.5.13c FR2 UL gap configuration

The UE shall:

1> if *ul-GapFR2-Config* is set to setup:

2> if an FR2 UL gap configuration is already setup, release the FR2 UL gap configuration;

2> setup the FR2 UL gap configuration indicated by the *ul-GapFR2-Config* in accordance with the received *gapOffset*, i.e., the first subframe of each gap occurs at an SFN and subframe meeting the following condition:

SFN mod T = FLOOR (*gapOffset*/10);

if the UGRP is larger than 5ms:

subframe = *gapOffset* mod 10;

else:

subframe = *gapOffset* or (*gapOffset* +5);

with T = CEIL(UGRP/10).

1> else if *ul-GapFR2-Config* is set to release:

2> release the FR2 UL gap configuration.

NOTE 1: For *ul-GapFR2-Config* configuration with synchronous CA, the SFN and subframe of a serving cell on FR2 frequency is used in the gap calculation. For *ul-GapFR2-Config* configuration with asynchronous CA, the SFN and subframe of a serving cell on FR2 frequency indicated by the *refFR2-ServCellAsyncCA* in *ul-GapFR2-Config* is used in the gap calculation.

#### 5.3.5.13d Application layer measurement configuration

The UE shall:

1> if *measConfigAppLayerToReleaseList* is included in *appLayerMeasConfig* within *RRCReconfiguration* or *RRCResume*:

2> for each *measConfigAppLayerId* value included in the *measConfigAppLayerToReleaseList*:

3> forward the *measConfigAppLayerId* and inform upper layers about the release of the application layer measurement configuration including any RAN visible application layer measurement configuration;

3> discard any application layer measurement report received from upper layers;

3> consider itself not to be configured to send application layer measurement report for the *measConfigAppLayerId*.

1> if *measConfigAppLayerToAddModList* is included in *appLayerMeasConfig* within *RRCReconfiguration* or *RRCResume*:

2> for each *measConfigAppLayerId* value included in the *measConfigAppLayerToAddModList*:

3> if *measConfigAppLayerContainer* is included for the corresponding *MeasConfigAppLayer* configuration:

4> forward the *measConfigAppLayerContainer*, the *measConfigAppLayerId* and the *serviceType* to upper layers considering the *serviceType*;

3> consider itself to be configured to send application layer measurement report for the *measConfigAppLayerId* in accordance with 5.7.16;

3> forward the *transmissionOfSessionStartStop*, if configured, and *measConfigAppLayerId* to upper layers considering the *serviceType*;

3> if *ran-VisibleParameters* is set to setup and the parameters have been received:

4> forward the *measConfigAppLayerId,* the *ran-VisiblePeriodicity*, if configured, the *numberOfBufferLevelEntries*, if configured, and the *reportPlayoutDelayForMediaStartup*, if configured, to upper layers considering the *serviceType*;

3> else if *ran-VisibleParameters* is set to release:

4> forward the *measConfigAppLayerId* and inform upper layers about the release of the RAN visible application layer measurement configuration;

3> if *pauseReporting* is set to *true*:

4> if at least one segment, but not all segments, of a segmented *MeasurementReportAppLayer* message containing an application layer measurement report associated with the *measConfigAppLayerId* has been submitted to lower layers for transmission:

5> submit the remaining segments of the *MeasurementReportAppLayer* message to lower layers for transmission;

4> suspend submitting application layer measurement report containers to lower layers for the application layer measurement configuration associated with the *measConfigAppLayerId*;

4> store any previously or subsequently received application layer measurement report containers associated with the *measConfigAppLayerId* for which no segment, or full message, has been submitted to lower layers for transmission;

3> else if *pauseReporting* is set to *false* and if transmission of application layer measurement report containers has previously been suspended for the application layer measurement configuration associated with the *measConfigAppLayerId*:

4> submit stored application layer measurement report containers to lower layers, if any, for the application layer measurements configuration associated with the *measConfigAppLayerId;*

4> resume submitting application layer measurement report containers to lower layers for the application layer measurement configuration associated with the *measConfigAppLayerId*;

NOTE 1: The UE may discard reports when the memory reserved for storing application layer measurement reports becomes full.

NOTE 2: The transmission of RAN visible application layer measurement reports is not paused when *pauseReporting* is set to *true*.

#### 5.3.5.14 Sidelink dedicated configuration

Upon initiating the procedure, the UE shall:

1> if *sl-FreqInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each entry included in the received *sl-FreqInfoToReleaseList* that is part of the current UE configuration:

3> release the related configurations from the stored NR sidelink communication configurations;

1> if *sl-FreqInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> if configured to receive NR sidelink communication:

3> use the resource pool(s) indicated by *sl-RxPool* for NR sidelink communication reception, as specified in 5.8.7;

2> if configured to transmit NR sidelink communication:

3> use the resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.8.8;

2> if configured to receive NR sidelink discovery:

3> use the resource pool(s) indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception, as specified in 5.8.13.2;

2> if configured to transmit NR sidelink discovery:

3> use the resource pool(s) indicated by *sl-DiscTxPoolSelected*, *sl-DiscTxPoolScheduling*, *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink discovery transmission, as specified in 5.8.13.3;

2> perform CBR measurement on the transmission resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling*, *sl-DiscTxPoolSelected, sl-DiscTxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.5.3;

2> use the synchronization configuration parameters for NR sidelink communication on frequencies included in *sl-FreqInfoToAddModList*, as specified in 5.8.5;

1> if *sl-RadioBearerToReleaseList* or *sl-RLC-BearerToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB release as specified in 5.8.9.1a.1;

1> if *sl-RadioBearerToAddModList* or *sl-RLC-BearerToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB addition/modification as specified in 5.8.9.1a.2;

1> if *sl-ScheduledConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the MAC entity parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-ScheduledConfig*;

1> if *sl-UE-SelectedConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-UE-SelectedConfig*;

1> if *sl-MeasConfigInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *SL-DestinationIndex* included in the received *sl-MeasConfigInfoToReleaseList* that is part of the current UE configuration:

3> remove the entry with the matching *SL-DestinationIndex* from the stored NR sidelink measurement configuration information;

1> if *sl-MeasConfigInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is part of the current stored NR sidelink measurement configuration:

3> reconfigure the entry according to the value received for this *sl-DestinationIndex* from the stored NR sidelink measurement configuration information;

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is not part of the current stored NR sidelink measurement configuration:

3> add a new entry for this *sl-DestinationIndex* to the stored NR sidelink measurement configuration.

1> if *sl-DRX-ConfigUC-ToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *SL-DestinationIndex* included in the received *sl-DRX-ConfigUC-ToReleaseList* that is part of the current UE configuration:

3> remove the entry with the matching *SL-DestinationIndex* from the stored NR sidelink DRX configuration information;

1> if *sl-DRX-ConfigUC-ToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *sl-DestinationIndex* included in the received *sl-DRX-ConfigUC-ToAddModList* that is part of the current stored NR sidelink DRX configuration:

3> reconfigure the entry according to the value received for this *sl-DestinationIndex* from the stored NR sidelink DRX configuration information;

2> for each *sl-DestinationIndex* included in the received *sl-DRX-ConfigUC-ToAddModList* that is not part of the current stored NR sidelink DRX configuration:

3> add a new entry for this *sl-DestinationIndex* to the stored NR sidelink DRX configuration.

1> if *sl-RLC-ChannelToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform PC5 Relay RLC channel release as specified in 5.8.9.7.1;

1> if *sl-RLC-ChannelToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform PC5 Relay RLC channel addition/modification as specified in 5.8.9.7.2;

#### 5.3.5.15 L2 U2N Relay UE configuration

##### 5.3.5.15.1 General

The network configures the L2 U2N Relay UE with relay operation related configurations. For each connected L2 U2N Remote UE indicated in *sl-L2IdentityRemote*, the network provides the configuration parameters used for relaying.

The UE performs the following actions based on a received *sl-L2RelayUE-Config*:

1> if the *sl-L2RelayUE-Config* contains the *sl-RemoteUE-ToReleaseList*:

2> perform the L2 U2N Remote UE release as specified in 5.3.5.15.2;

1> if the *sl-L2RelayUE-Config* contains the *sl-RemoteUE-ToAddModList*:

2> perform the L2 U2N Remote UE addition/modification as specified in 5.3.5.15.3;

##### 5.3.5.15.2 L2 U2N Remote UE Release

The L2 U2N Relay UE shall:

1> if the release is triggered by reception of the *sl-RemoteUE-ToReleaseList*:

2> for each *SL-DestinationIdentity* value included in the *sl-RemoteUE-ToReleaseList*:

3> if the current UE has a PC5 RRC connection to a L2 U2N Remote UE with *SL-DestinationIdentity*:

4> perform the PC5-RRC connection release as specified in 5.8.9.5.

##### 5.3.5.15.3 L2 U2N Remote UE Addition/Modification

The L2 U2N Relay UE shall:

1> for each *sl-L2IdentityRemote* value included in the *sl-RemoteUE-ToAddModList* that is not part of the current UE configuration (L2 U2N Remote UE Addition):

2> if no SRAP entity has been established:

3> establish a SRAP entity as specified in TS 38.351 [66];

2> configure the parameters to SRAP entity in accordance with the *sl-SRAP-Config-Relay*;

2> if SRB1 is included in *sl-MappingToAddModList*, and no dedicated PC5 Relay RLC channel configuration associated with SRB1 included in the same *RRCReconfiguration* message,

3> apply the default configuration of SL-RLC1 as specified in clause 9.2.4 for the SRB1;

1> for each *sl-L2IdentityRemote* value included in the *sl-RemoteUE-ToAddModList* that is part of the current UE configuration (L2 U2N Remote UE modification):

2> modify the configuration in accordance with the *sl-SRAP-Config-Relay*;

#### 5.3.5.16 L2 U2N Remote UE configuration

The network configures the L2 U2N Remote UE with relay operation related configurations, e.g. SRAP configuration.

The UE performs the following actions:

1> if the *sl-L2RemoteUE-Config* contains the *sl-SRAP-ConfigRemote:*

2> if no SRAP entity has been established:

3> establish a SRAP entity as specified in TS 38.351 [66];

2> configure the parameters to SRAP entity in accordance with the *sl-SRAP-ConfigRemote*;

1> if the *sl-L2RemoteUE-Config* contains the *sl-UEIdentityRemote:*

2> use the value of the *sl-UEIdentityRemote* as the C-RNTI in the PCell.

### 5.3.6 Counter check

#### 5.3.6.1 General



Figure 5.3.6.1-1: Counter check procedure

The counter check procedure is used by the network to request the UE to verify the amount of data sent/ received on each DRB. More specifically, the UE is requested to check if, for each DRB, the most significant bits of the COUNT match with the values indicated by the network.

NOTE: The procedure enables the network to detect packet insertion by an intruder (a 'man in the middle').

#### 5.3.6.2 Initiation

The network initiates the procedure by sending a *CounterCheck* message.

NOTE: The network may initiate the procedure when any of the COUNT values reaches a specific value.

#### 5.3.6.3 Reception of the *CounterCheck* message by the UE

Upon receiving the *CounterCheck* message, the UE shall:

1> for each DRB that is established:

2> if no COUNT exists for a given direction (uplink or downlink) because it is a uni-directional bearer configured only for the other direction:

3> assume the COUNT value to be 0 for the unused direction;

2> if the *drb-Identity* is not included in the *drb-CountMSB-InfoList*:

3> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT – 1 and RX\_NEXT – 1 (specified in TS 38.323 [5]), respectively;

2> else if, for at least one direction, the most significant bits of the COUNT are different from the value indicated in the *drb-CountMSB-InfoList*:

3> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT – 1 and RX\_NEXT – 1 (specified in TS 38.323 [5]), respectively;

1> for each DRB that is included in the *drb-CountMSB-InfoList* in the *CounterCheck* message that is not established:

2> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* with the most significant bits set identical to the corresponding values in the *drb-CountMSB-InfoList* and the least significant bits set to zero;

1> submit the *CounterCheckResponse* message to lower layers for transmission upon which the procedure ends.

### 5.3.7 RRC connection re-establishment

#### 5.3.7.1 General



Figure 5.3.7.1-1: RRC connection re-establishment, successful



Figure 5.3.7.1-2: RRC re-establishment, fallback to RRC establishment, successful

The purpose of this procedure is to re-establish the RRC connection. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB/multicast MRB setup or, for IAB, SRB2, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds if the network is able to find and verify a valid UE context or, if the UE context cannot be retrieved, and the network responds with an *RRCSetup* according to clause 5.3.3.4.

The network applies the procedure e.g as follows:

- When AS security has been activated and the network retrieves or verifies the UE context:

- to re-activate AS security without changing algorithms;

- to re-establish and resume the SRB1;

- When UE is re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context:

- to discard the stored AS Context and release all RBs and BH RLC channels and Uu Relay RLC channels;

- to fallback to establish a new RRC connection.

If AS security has not been activated, the UE shall not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'other'. If AS security has been activated, but SRB2 and at least one DRB or multicast MRB or, for IAB, SRB2, are not setup, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'RRC connection failure'.

#### 5.3.7.2 Initiation

The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure of the MCG and *t316* is not configured, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while PSCell change or PSCell addition is ongoing, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while the SCG is deactivated, in accordance with 5.3.10; or

1> upon re-configuration with sync failure of the MCG, in accordance with clause 5.3.5.8.3; or

1> upon mobility from NR failure, in accordance with clause 5.4.3.5; or

1> upon integrity check failure indication from lower layers concerning SRB1 or SRB2, except if the integrity check failure is detected on the *RRCReestablishment* message; or

1> upon an RRC connection reconfiguration failure, in accordance with clause 5.3.5.8.2; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with clause 5.3.10.3 in NR-DC or in accordance with TS 36.331 [10] clause 5.3.11.3 in NE-DC; or

1> upon reconfiguration with sync failure of the SCG while MCG transmission is suspended in accordance with clause 5.3.5.8.3; or

1> upon SCG change failure while MCG transmission is suspended in accordance with TS 36.331 [10] clause 5.3.5.7a; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with clause 5.3.5.8.2 in NR-DC or in accordance with TS 36.331 [10] clause 5.3.5.5 in NE-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG is suspended; or

1> upon T316 expiry, in accordance with clause 5.7.3b.5; or

1> upon detecting sidelink radio link failure by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with clause 5.8.9.3; or

1> upon reception of *NotificationMessageSidelink* including *indicationType* by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with clause 5.8.9.10; or

1> upon PC5 unicast link release indicated by upper layer at L2 U2N Remote UE in RRC\_CONNECTED.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T304, if running;

1> start timer T311;

1> stop timer T316, if running;

1> if UE is not configured with *attemptCondReconfig*:

2> reset MAC;

2> release *spCellConfig*, if configured;

2> suspend all RBs, and BH RLC channels for IAB-MT, and Uu Relay RLC channels for L2 U2N Relay UE, except SRB0 and broadcast MRBs;

2> release the MCG SCell(s), if configured;

2> if MR-DC is configured:

3> perform MR-DC release, as specified in clause 5.3.5.10;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

2> release *idc-AssistanceConfig*, if configured;

2> release *btNameList*, if configured;

2> release *wlanNameList*, if configured;

2> release *sensorNameList*, if configured;

2> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

2> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

2> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

2> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

2> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured stop timer T346e associated with the MCG, if running;

2> release *rlm-RelaxationReportingConfig* for the MCG, if configured and stop timer T346j associated with the MCG, if running;

2> release *bfd-RelaxationReportingConfig* for the MCG, if configured and stop timer T346k associated with the MCG, if running;

2> release *releasePreferenceConfig*, if configured stop timer T346f, if running;

2> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

2> release *referenceTimePreferenceReporting*, if configured;

2> release *sl-AssistanceConfigNR*, if configured;

2> release *obtainCommonLocation*, if configured;

2> release *musim-GapAssistanceConfig*, if configured and stop timer T346h, if running;

2> release *musim-LeaveAssistanceConfig*, if configured;

2> release*ul-GapFR2-PreferenceConfig*, if configured;

2> release *scg-DeactivationPreferenceConfig*, if configured, and stop timer T346i, if running;

2> release *propDelayDiffReportConfig*, if configured;

2> release *rrm-MeasRelaxationReportingConfig*, if configured;

1> release *successHO-Config*, if configured;

1> if any DAPS bearer is configured:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> release *sl-L2RelayUE-Config*, if configured;

1> release *sl-L2RemoteUE-Config*, if configured;

1> release the SRAP entity, if configured;

1> if the UE is acting as L2 U2N Remote UE:

2> if the PC5-RRC connection with the U2N Relay UE is determined to be released:

3> perform the PC5-RRC connection release as specified in 5.8.9.5;

3> perform either cell selection in accordance with the cell selection process as specified in TS 38.304 [20], or relay selection as specified in clause 5.8.15.3, or both;

2> else:

3> maintain the PC5 RRC connection and stop T311 if running;

NOTE 1: It is up to Remote UE implementation whether to release or keep the current PC5 unicast link.

1> else:

2> perform cell selection in accordance with the cell selection process as specified in TS 38.304 [20].

NOTE 2: For L2 U2N Remote UE, if both a suitable cell and a suitable relay are available, the UE can select either one based on its implementation.

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable NR cell, the UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer T311;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> stop the relay (re)selection procedure, if ongoing;

1> if the cell selection is triggered by detecting radio link failure of the MCG or re-configuration with sync failure of the MCG or mobility from NR failure, and

1> if *attemptCondReconfig* is configured; and

1> if the selected cell is not configured with *CondEventT1*, or the selected cell is configured with *CondEventT1* and leaving condition has not been fulfilled; and

1> if the selected cell is one of the candidate cells for which the *reconfigurationWithSync* is included in the *masterCellGroup* in *VarConditionalReconfig*:

2> if the UE supports RLF-Report for conditional handover, set the *choCellId* in the *VarRLF-Report* to the global cell identity, if available, otherwise to the physical cell identity and carrier frequency of the selected cell;

2> apply the stored *condRRCReconfig* associated to the selected cell and perform actions as specified in 5.3.5.3;

NOTE 1: It is left to network implementation to how to avoid keystream reuse in case of CHO based recovery after a failed handover without key change.

1> else:

2> if UE is configured with *attemptCondReconfig*:

3> reset MAC;

3> release *spCellConfig*, if configured;

3> release the MCG SCell(s), if configured;

3> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

3> release *overheatingAssistanceConfig* , if configured and stop timer T345, if running;

3> if MR-DC is configured:

4> perform MR-DC release, as specified in clause 5.3.5.10;

3> release *idc-AssistanceConfig*, if configured;

3> release *btNameList*, if configured;

3> release *wlanNameList*, if configured;

3> release *sensorNameList*, if configured;

3> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

3> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

3> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

3> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

3> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured and stop timer T346e associated with the MCG, if running;

3> release *rlm-RelaxationReportingConfig* for the MCG, if configured and stop timer T346j associated with the MCG, if running;

3> release *bfd-RelaxationReportingConfig* for the MCG, if configured and stop timer T346k associated with the MCG, if running;

3> release *releasePreferenceConfig*, if configured and stop timer T346f, if running;

3> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

3> release referenceTimePreferenceReporting, if configured;

3> release *sl-AssistanceConfigNR*, if configured;

3> release *obtainCommonLocation*, if configured;

3> release *scg-DeactivationPreferenceConfig*, if configured, and stop timer T346i, if running;

3> release *musim-GapAssistanceConfig*, if configured and stop timer T346h, if running;

3> release *musim-LeaveAssistanceConfig*, if configured;

3> release *propDelayDiffReportConfig*, if configured;

3> release *ul-GapFR2-PreferenceConfig*, if configured;

3> release *rrm-MeasRelaxationReportingConfig*, if configured;

3> suspend all RBs, and BH RLC channels for the IAB-MT, except SRB0 and broadcast MRBs;

2> remove all the entries within *VarConditionalReconfig*, if any;

2> for each *measId*, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> for the associated *reportConfigId*:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> start timer T301;

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

2> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE 2: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.3a Actions following relay selection while T311 is running

Upon selecting a suitable L2 U2N Relay UE, the L2 U2N Remote UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer T311;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> stop the cell (re)selection procedure, if ongoing;

1> start timer T301;

1> apply the specified configuration of SL-RLC0 as specified in 9.1.1.4;

1> apply the SDAP configuration and PDCP configuration as specified in 9.1.1.2 for SRB0;

1> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4.

#### 5.3.7.4 Actions related to transmission of *RRCReestablishmentRequest* message

The UE shall set the contents of *RRCReestablishmentRequest* message as follows:

1> if the procedure was initiated due to radio link failure as specified in 5.3.10.3 or reconfiguration with sync failure as specified in 5.3.5.8.3:

2> set the *reestablishmentCellId* in the *VarRLF-Report* to the global cell identity of the selected cell;

1> set the *ue-Identity* as follows:

2> set the *c-RNTI* to the C-RNTI used in the source PCell (reconfiguration with sync or mobility from NR failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *physCellId* to the physical cell identity of the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input*;

3> with the KRRCint key and integrity protection algorithm that was used in the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> set the *reestablishmentCause* as follows:

2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.8.2:

3> set the *reestablishmentCause* to the value *reconfigurationFailure*;

2> else if the re-establishment procedure was initiated due to reconfiguration with sync failure as specified in 5.3.5.8.3 (intra-NR handover failure) or 5.4.3.5 (inter-RAT mobility from NR failure):

3> set the *reestablishmentCause* to the value *handoverFailure*;

2> else:

3> set the *reestablishmentCause* to the value *otherFailure*;

1> re-establish PDCP for SRB1;

1> if the UE is acting as L2 U2N Remote UE:

2> establish or re-established (e.g. via release and add) SL RLC entity for SRB1;

2> apply the default configuration of SL-RLC1 as defined in 9.2.4 for SRB1;

2> apply the default configuration of PDCP as defined in 9.2.1 for SRB1;

2> establish the SRAP entity and apply the default configuration of SRAP as defined in 9.2.5 for SRB1;

1> else:

2> re-establish RLC for SRB1;

2> apply the default configuration defined in 9.2.1 for SRB1;

1> configure lower layers to suspend integrity protection and ciphering for SRB1;

NOTE: Ciphering is not applied for the subsequent *RRCReestablishment* message used to resume the connection. An integrity check is performed by lower layers, but merely upon request from RRC.

1> resume SRB1;

1> submit the *RRCReestablishmentRequest* message to lower layers for transmission.

#### 5.3.7.5 Reception of the *RRCReestablishment* by the UE

The UE shall:

1> stop timer T301;

1> consider the current cell to be the PCell;

1> update the KgNB key based on the current KgNB key or the NH*,* using the received *nextHopChainingCount* value, as specified in TS 33.501 [11];

1> store the *nextHopChainingCount* value indicated in the *RRCReestablishment* message;

1> derive the KRRCenc and KUPenc keys associated with the previously configured *cipheringAlgorithm,* as specified in TS 33.501 [11];

1> derive the KRRCint and KUPint keys associated with the previously configured *integrityProtAlgorithm,* as specified in TS 33.501 [11].

1> request lower layers to verify the integrity protection of the *RRCReestablishment* message, using the previously configured algorithm and the KRRCint key;

1> if the integrity protection check of the *RRCReestablishment* message fails:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure', upon which the procedure ends;

1> configure lower layers to resume integrity protection for SRB1 using the previously configured algorithm and the KRRCint key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

1> configure lower layers to resume ciphering for SRB1 using the previously configured algorithm and, the KRRCenc key immediately, i.e., ciphering shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

1> release the measurement gap configuration indicated by the *measGapConfig*, if configured;

1> release the MUSIM gap configuration indicated by the *musim-GapConfig*, if configured;

1> if *ta-Report* is configured with value *enabled* and the UE supports TA reporting;

2> indicate TA report initiation to lower layers;

1> release the FR2 UL gap configuration indicated by the *ul-GapFR2-Config*, if configured;

1> set the content of *RRCReestablishmentComplete* message as follows:

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCReestablishmentComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCReestablishmentComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCReestablishmentComplete* message;

2> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

3> if T330 timer is running and the logged measurements configuration is for NR:

4> set *sigLogMeasConfigAvailable* to *true* in the *RRCReestablishmentComplete* message;

3> else:

4> if the UE has logged measurements available for NR:

5> set *sigLogMeasConfigAvailable* to *false* in the *RRCReestablishmentComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCReestablishmentComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCReestablishmentComplete* message;

2> if the UE has successful handover information available in *VarSuccessHO-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarSuccessHO-Report*:

3> include *successHO-InfoAvailable* in the *RRCReestablishmentComplete* message;

1> submit the *RRCReestablishmentComplete* message to lower layers for transmission;

1> if *SIB21* is provided by the PCell:

2> if the UE initiated transmission of an *MBSInterestIndication*message during the last 1 second preceding detection of radio link failure:

3> initiate transmission of an *MBSInterestIndication* message in accordance with 5.9.4;

1> the procedure ends.

#### 5.3.7.6 T311 expiry

Upon T311 expiry, the UE shall:

1> if the procedure was initiated due to radio link failure or handover failure:

2> set the *noSuitableCellFound* in the *VarRLF-Report* to *true*;

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.7 T301 expiry or selected cell/L2 U2N Relay UE no longer suitable

The UE shall:

1> if timer T301 expires; or

1> if the selected cell becomes no longer suitable according to the cell selection criteria as specified in TS 38.304 [20]; or

1> if the (re)selected L2 U2N Relay UE becomes unsuitable; or

1> upon receiption of *NotificationMessageSidelink* indicating *relayUE-HO* or *relayUE-CellReselection*:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.8 Reception of the *RRCSetup* by the UE

The UE shall:

1> perform the RRC connection establishment procedure as specified in 5.3.3.4.

### 5.3.8 RRC connection release

#### 5.3.8.1 General



Figure 5.3.8.1-1: RRC connection release, successful

The purpose of this procedure is:

- to release the RRC connection, which includes the release of the established radio bearers (except for broadcast MRBs), BH RLC channels, Uu Relay RLC channels, PC5 Relay RLC channels as well as all radio resources; or

- to suspend the RRC connection only if SRB2 and at least one DRB or multicast MRB or, for IAB, SRB2, are setup, which includes the suspension of the established radio bearers (except for broadcast MRBs).

#### 5.3.8.2 Initiation

The network initiates the RRC connection release procedure to transit a UE in RRC\_CONNECTED to RRC\_IDLE; or to transit a UE in RRC\_CONNECTED to RRC\_INACTIVE only if SRB2 and at least one DRB or multicast MRB or, for IAB, SRB2, is setup in RRC\_CONNECTED; or to transit a UE in RRC\_INACTIVE back to RRC\_INACTIVE when the UE tries to resume (for resuming a suspended RRC connection or for initiating SDT); or to transit a UE in RRC\_INACTIVE to RRC\_IDLE when the UE tries to resume (for resuming of a suspended RRC connection or for initiating SDT). The procedure can also be used to release and redirect a UE to another frequency.

#### 5.3.8.3 Reception of the *RRCRelease* by the UE

The UE shall:

1> delay the following actions defined in this clause 60 ms from the moment the *RRCRelease* message was received or optionally when lower layers indicate that the receipt of the *RRCRelease* message has been successfully acknowledged, whichever is earlier;

1> stop timer T380, if running;

1> stop timer T320, if running;

1> if timer T316 is running;

2> stop timer T316;

2> clear the information included in *VarRLF-Report,* if any;

1> stop timer T350, if running;

1> stop timer T346g, if running;

1> if theAS security is not activated:

2> ignore any field included in *RRCRelease* message except *waitTime*;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with the release cause 'other' upon which the procedure ends;

1> if the *RRCRelease* message includes *redirectedCarrierInfo* indicating redirection to *eutra*:

2> if *cnType* is included:

3> after the cell selection, indicate the available CN Type(s) and the received *cnType* to upper layers;

NOTE 1: Handling the case if the E-UTRA cell selected after the redirection does not support the core network type specified by the *cnType,* is up to UE implementation.

2> if *voiceFallbackIndication* is included:

3> consider the RRC connection release was for EPS fallback for IMS voice (see TS 23.502 [43]);

1> if the *RRCRelease* message includes the *cellReselectionPriorities*:

2> store the cell reselection priority information provided by the *cellReselectionPriorities*;

2> if the *t320* is included:

3> start timer T320, with the timer value set according to the value of *t320*;

1> else:

2> apply the cell reselection priority information broadcast in the system information;

1> if *deprioritisationReq* is included and the UE supports RRC connection release with deprioritisation:

2> start or restart timer T325 with the timer value set to the *deprioritisationTimer* signalled;

2> store the *deprioritisationReq* until T325 expiry;

NOTE 1a: The UE stores the deprioritisation request irrespective of any cell reselection absolute priority assignments (by dedicated or common signalling) and regardless of RRC connections in NR or other RATs unless specified otherwise.

1> if the *RRCRelease* includes the *measIdleConfig*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> if the *measIdleConfig* is set to *setup*:

3> store the received *measIdleDuration* in *VarMeasIdleConfig*;

3> start timer T331 with the value set to *measIdleDuration*;

3> if the *measIdleConfig* contains *measIdleCarrierListNR*:

4> store the received *measIdleCarrierListNR* in *VarMeasIdleConfig*;

3> if the *measIdleConfig* contains *measIdleCarrierListEUTRA*:

4> store the received *measIdleCarrierListEUTRA* in *VarMeasIdleConfig*;

3> if the *measIdleConfig* contains *validityAreaList*:

4> store the received *validityAreaList* in *VarMeasIdleConfig*;

1> if the *RRCRelease* includes *suspendConfig*:

2> reset MAC and release the default MAC Cell Group configuration, if any;

2> apply the received *suspendConfig* except the received *nextHopChainingCount*;

2> if the *sdt-Config* is configured:

3> for each of the DRB in the *sdt-DRB-List*:

4> consider the DRB to be configured for SDT;

3> if *sdt-SRB2-Indication* is configured:

4> consider the SRB2 to be configured for SDT;

3> for each RLC bearer that is not suspended:

4> re-establish the RLC entity as specified in TS 38.322 [4];

3> for SRB2 (if it is resumed) and for SRB1:

4> trigger the PDCP entity to perform SDU discard as specified in TS 38.323 [5];

3> if *sdt-MAC-PHY-CG-Config* is configured:

4> configure the PCell with the configured grant resources for SDT and instruct the MAC entity to start the *cg-SDT-TimeAlignmentTimer*;

2> if *srs-PosRRC-Inactive* is configured:

3> apply the configuration and instruct MAC to start the *inactivePosSRS-TimeAlignmentTimer*;

2> remove all the entries within *VarConditionalReconfig*, if any;

2> for each *measId* of the MCG *measConfig* and for each *measId* of the SCG *measConfig*, if configured, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> for the associated *reportConfigId*:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> re-establish RLC entities for SRB1;

2> if the *RRCRelease* message with *suspendConfig* was received in response to an *RRCResumeRequest* or an *RRCResumeRequest1*:

3> stop the timer T319 if running;

3> in the stored UE Inactive AS context:

4> if timer T319a is running:

5> replace the stored *sdt-Config* with the one received in the *RRCRelease* message;

4> replace the KgNB and KRRCint keys with the current KgNB and KRRCint keys;

4> replace the *nextHopChainingCount* with the value of *nextHopChainingCount* received in the *RRCRelease* message*;*

4> replace the *cellIdentity* with the *cellIdentity* of the cell the UE has received the *RRCRelease* message;

4> if the *suspendConfig* contains the *sl-UEIdentityRemote* (i.e. the UE is a L2 U2N Remote UE):

5> replace the C-RNTI with the value of the *sl-UEIdentityRemote*;

5> replace the physical cell identitywith the value of the *sl-PhysCellId* in *sl-ServingCellInfo* contained in the discovery message received from the connected L2 U2N Relay UE;

4> else:

5> replace the C-RNTI with the C-RNTI used in the cell (see TS 38.321 [3]) the UE has received the *RRCRelease* message;

5> replace the physical cell identitywith the physical cell identity of the cell the UE has received the *RRCRelease* message;

3> replace the *nextHopChainingCount* with the value associated with the current KgNB;

3> stop the timer T319a if running;

2> else:

3> store in the UE Inactive AS Context the *nextHopChainingCount* received in the *RRCRelease* message*,* the current KgNB and KRRCint keys, the ROHC state, the stored QoS flow to DRB mapping rules, the application layer measurement configuration, the C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell, the *spCellConfigCommon* within *ReconfigurationWithSync* of the NR PSCell (if configured) and all other parameters configured except for:

- parameters within *ReconfigurationWithSync* of the PCell;

- parameters within *ReconfigurationWithSync* of the NR PSCell, if configured;

- parameters within *MobilityControlInfoSCG* of the E-UTRA PSCell, if configured;

- *servingCellConfigCommonSIB*;

- *sl-L2RelayUE-Config*, if configured;

- *sl-L2RemoteUE-Config*, if configured;

3> store any previously or subsequently received application layer measurement reports for which no segment, or full message, has been submitted to lower layers for transmission;

NOTE 2: NR sidelink communication related configurations and logged measurement configuration are not stored as UE Inactive AS Context, when UE enters RRC\_INACTIVE.

2> suspend all SRB(s) and DRB(s) and multicast MRB(s), except SRB0 and broadcast MRBs;

2> indicate PDCP suspend to lower layers of all DRBs and multicast MRBs;

2> if the *t380* is included:

3> start timer T380, with the timer value set to *t380*;

2> if the *RRCRelease* message is including the *waitTime*:

3> start timer T302 with the value set to the *waitTime*;

3> inform upper layers that access barring is applicable for all access categories except categories '0' and '2';

2> if T390 is running:

3> stop timer T390 for all access categories;

3> perform the actions as specified in 5.3.14.4;

2> indicate the suspension of the RRC connection to upper layers;

2> enter RRC\_INACTIVE and perform cell selection as specified in TS 38.304 [20];

1> else

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with the release cause 'other'.

#### 5.3.8.4 T320 expiry

The UE shall:

1> if T320 expires:

2> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

2> apply the cell reselection priority information broadcast in the system information.

#### 5.3.8.5 UE actions upon the expiry of *DataInactivityTimer*

Upon receiving the expiry of *DataInactivityTimer* from lower layers while in RRC\_CONNECTED, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.8.6 T346g expiry

The UE shall:

1> if T346g expires:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other'.

### 5.3.9 RRC connection release requested by upper layers

#### 5.3.9.1 General

The purpose of this procedure is to release the RRC connection. Access to the current PCell may be barred as a result of this procedure.

#### 5.3.9.2 Initiation

The UE initiates the procedure when upper layers request the release of the RRC connection as specified in TS 24.501 [23]. The UE shall not initiate the procedure for power saving purposes.

The UE shall:

1> if the upper layers indicate barring of the PCell:

2> treat the PCell used prior to entering RRC\_IDLE as barred according to TS 38.304 [20];

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other'.

### 5.3.10 Radio link failure related actions

#### 5.3.10.1 Detection of physical layer problems in RRC\_CONNECTED

The UE shall:

1> if any DAPS bearer is configured, upon receiving N310 consecutive "out-of-sync" indications for the source SpCell from lower layers and T304 is running:

2> start timer T310 for the source SpCell.

1> upon receiving N310 consecutive "out-of-sync" indications for the SpCell from lower layers while neither T300, T301, T304, T311, T316 nor T319 are running:

2> start timer T310 for the corresponding SpCell.

#### 5.3.10.2 Recovery of physical layer problems

Upon receiving N311 consecutive "in-sync" indications for the SpCell from lower layers while T310 is running, the UE shall:

1> stop timer T310 for the corresponding SpCell.

1> stop timer T312 for the corresponding SpCell, if running.

NOTE 1: In this case, the UE maintains the RRC connection without explicit signalling, i.e. the UE maintains the entire radio resource configuration.

NOTE 2: Periods in time where neither "in-sync" nor "out-of-sync" is reported by L1 do not affect the evaluation of the number of consecutive "in-sync" or "out-of-sync" indications.

#### 5.3.10.3 Detection of radio link failure

The UE shall:

1> if any DAPS bearer is configured and T304 is running:

2> upon T310 expiry in source SpCell; or

2> upon random access problem indication from source MCG MAC; or

2> upon indication from source MCG RLC that the maximum number of retransmissions has been reached; or

2> upon consistent uplink LBT failure indication from source MCG MAC:

3> consider radio link failure to be detected for the source MCG i.e. source RLF;

3> suspend the transmission and reception of all DRBs and multicast MRBs in the source MCG;

3> reset MAC for the source MCG;

3> release the source connection.

1> else:

2> during a DAPS handover: the following only applies for the target PCell;

2> upon T310 expiry in PCell; or

2> upon T312 expiry in PCell; or

2> upon random access problem indication from MCG MAC while neither T300, T301, T304, T311 nor T319 are running; or

2> upon indication from MCG RLC that the maximum number of retransmissions has been reached; or

2> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the MCG; or

2> upon consistent uplink LBT failure indication from MCG MAC while T304 is not running:

3> if the indication is from MCG RLC and CA duplication is configured and activated for MCG, and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

4> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

3> else:

4> consider radio link failure to be detected for the MCG, i.e. MCG RLF;

4> discard any segments of segmented RRC messages stored according to 5.7.6.3;

NOTE: Void.

4> if AS security has not been activated:

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other';-

4> else if AS security has been activated but SRB2 and at least one DRB or multicast MRB or, for IAB, SRB2, have not been setup:

5> store the radio link failure information in the *VarRLF-Report* as described in clause 5.3.10.5;

5> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure';

4> else:

5> store the radio link failure information in the *VarRLF-Report* as described in clause 5.3.10.5;

5> if T316 is configured; and

5> if SCG transmission is not suspended; and

5> if the SCG is not deactivated; and

5> if neither PSCell change nor PSCell addition is ongoing (i.e. timer T304 for the NR PSCell is not running in case of NR-DC or timer T307 of the E-UTRA PSCell is not running as specified in TS 36.331 [10], clause 5.3.10.10, in NE-DC):

6> initiate the MCG failure information procedure as specified in 5.7.3b to report MCG radio link failure.

5> else:

6> initiate the connection re-establishment procedure as specified in 5.3.7.

A L2/L3 U2N Relay UE shall:

1> upon detecting radio link failure:

2> it either indicates to upper layers (to trigger PC5 unicast link release) or sends Notification message to the connected L2/L3 U2N Remote UE(s) in accordance with 5.8.9.10.

The UE shall:

1> upon T310 expiry in PSCell; or

1> upon T312 expiry in PSCell; or

1> upon random access problem indication from SCG MAC; or

1> upon indication from SCG RLC that the maximum number of retransmissions has been reached; or

1> if connected as an IAB-node, upon BH RLF indication received on BAP entity from the SCG; or

1> upon consistent uplink LBT failure indication from SCG MAC:

2> if the indication is from SCG RLC and CA duplication is configured and activated for SCG, and for the corresponding logical channel *allowedServingCells* only includes SCell(s):

3> initiate the failure information procedure as specified in 5.7.5 to report RLC failure.

2> else:

3> consider radio link failure to be detected for the SCG, i.e. SCG RLF;

3> if MCG transmission is not suspended:

4> initiate the SCG failure information procedure as specified in 5.7.3 to report SCG radio link failure.

3> else:

4> if the UE is in NR-DC:

5> initiate the connection re-establishment procedure as specified in 5.3.7;

4> else (the UE is in (NG)EN-DC):

5> initiate the connection re-establishment procedure as specified in TS 36.331 [10], clause 5.3.7;

#### 5.3.10.4 RLF cause determination

The UE shall set the *rlf-Cause* in the *VarRLF-Report* as follows:

1> if the UE declares radio link failure due to T310 expiry:

2> set the *rlf-Cause* as *t310-Expiry*;

1> else if the UE declares radio link failure due to the random access problem indication from MCG MAC:

2> if the random access procedure was initiated for beam failure recovery:

3> set the *rlf-Cause* as *beamFailureRecoveryFailure*;

2> else:

3> set the *rlf-Cause* as *randomAccessProblem*;

1> else if the UE declares radio link failure due to the reaching of maximum number of retransmissions from the MCG RLC:

2> set the *rlf-Cause* as *rlc-MaxNumRetx*;

1> else if the UE declares radio link failure due to consistent uplink LBT failures:

2> set the *rlf-Cause* as *lbtFailure*;

1> else if the IAB-MT declares radio link failure due to the reception of a BH RLF indication on BAP entity:

2> set the *rlf-Cause* as *bh-rlfRecoveryFailure*.

1> else if the UE declares radio link failure due to T312 expiry:

2> set the *rlf-Cause* as *t312-Expiry*;

#### 5.3.10.5 RLF report content determination

The UE shall determine the content in the *VarRLF-Report* as follows:

1> clear the information included in *VarRLF-Report*, if any;

1> set the *plmn-IdentityList* to include the list of EPLMNs stored by the UE (i.e. includes the RPLMN);

1> set the *measResultLastServCell* to include the cell level RSRP, RSRQ and the available SINR, of the source PCell (in case HO failure) or PCell (in case RLF) based on the available SSB and CSI-RS measurements collected up to the moment the UE detected failure;

1> if the SS/PBCH block-based measurement quantities are available:

2> set the *rsIndexResults* in *measResultLastServCell* to include all the available measurement quantities of the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

1> if the CSI-RS based measurement quantities are available:

2> set the *rsIndexResults* in *measResultLastServCell* to include all the available measurement quantities of the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the highest CSI-RS RSRP is listed first if CSI-RS RSRP measurement results are available, otherwise the highest CSI-RS RSRQ is listed first if CSI-RS RSRQ measurement results are available, otherwise the highest CSI-RS SINR is listed first, based on the available CSI-RS based measurements collected up to the moment the UE detected failure;

1> set the *ssbRLMConfigBitmap* and/or *csi-rsRLMConfigBitmap* in *measResultLastServCell* to include the radio link monitoring configuration of the source PCell (in case HO failure) or PCell (in case RLF), if available;

1> for each of the configured *measObjectNR* in which measurements are available:

2> if the SS/PBCH block-based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the cell with highest SS/PBCH block RSRP is listed first if SS/PBCH block RSRP measurement results are available, otherwise the cell with highest SS/PBCH block RSRQ is listed first if SS/PBCH block RSRQ measurement results are available, otherwise the cell with highest SS/PBCH block SINR is listed first, based on the available SS/PBCH block based measurements collected up to the moment the UE detected failure;

4> for each neighbour cell included, include the optional fields that are available;

2> if the CSI-RS based measurement quantities are available:

3> set the *measResultListNR* in *measResultNeighCells* to include all the available measurement quantities of the best measured cells, other than the source PCell (in case HO failure) or PCell (in case RLF), ordered such that the cell with highest CSI-RS RSRP is listed first if CSI-RS RSRP measurement results are available, otherwise the cell with highest CSI-RS RSRQ is listed first if CSI-RS RSRQ measurement results are available, otherwise the cell with highest CSI-RS SINR is listed first, based on the available CSI-RS based measurements collected up to the moment the UE detected radio link failure;

4> for each neighbour cell included, include the optional fields that are available;

2> for each neighbour cell, if any, included in *measResultListNR* in *measResultNeighCells*:

3> if the UE supports RLF-Report for conditional handover and if the neighbour cell is one of the candidate cells for which the *reconfigurationWithSync* is included in the *masterCellGroup* in *VarConditionalReconfig* at the moment of the detected failure:

4> set *choConfig* in *MeasResult2NR* to the execution condition for each *measId* within *condTriggerConfig* associated to the neighbour cell within *VarConditionalReconfig*;

4> if the first entry of *choConfig* corresponds to a fulfilled execution condition at the moment of conditional reconfiguration execution, or radio link failure; or

4> if the second entry of *choConfig*, if available, corresponds to a fulfilled execution condition at the moment of conditional reconfiguration execution, or radio link failure:

5> set *firstTriggeredEvent* to the execution condition *condFirstEvent* corresponding to the first entry of *choConfig* or to the execution condition *condSecondEvent* corresponding to the second entry of *choConfig*, whichever execution condition was fulfilled first in time;

5> set *timeBetweenEvents* to the elapsed time between the point in time of fullfilling the condition in *choConfig* that was fulfilled first in time, and the point in time of fullfilling the condition in *choConfig* that was fulfilled second in time, if both the first execution condition corresponding to the first entry and the second execution condition corresponding to the second entry in the *choConfig* were fullfilled;

1> for each of the configured EUTRA frequencies in which measurements are available;

2> set the *measResultListEUTRA* in *measResultNeighCells* to include the best measured cells ordered such that the cell with highest RSRP is listed first if RSRP measurement results are available, otherwise the cell with highest RSRQ is listed first, and based on measurements collected up to the moment the UE detected failure;

3> for each neighbour cell included, include the optional fields that are available;

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Exclude-listed cells are not required to be reported.

1> set the *c-RNTI* to the C-RNTI used in the source PCell (in case HO failure) or PCell (in case RLF);

1> if the failure is detected due to reconfiguration with sync failure as described in 5.3.5.8.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *hof*;

2> if the UE supports RLF-Report for DAPS handover and if any DAPS bearer was configured while T304 was running:

3> set *lastHO-Type* to *daps*;

3> if radio link failure was detected in the source PCell, according to clause 5.3.10.3:

4> set *timeConnSourceDAPS-Failure* to the time between the initiation of the DAPS handover execution and the radio link failure detected in the source PCell while T304 was running;

4> set the *rlf-Cause* to the trigger for detecting the source radio link failure in accordance with clause 5.3.10.4;

2> if the UE supports RLF-Report for conditional handover and if configuration of the conditional handover is available in *VarConditionalReconfig* at the moment of the handover failure:

3> if the UE executed a conditional handover toward target PCell according to the *condRRCReconfig* of the target PCell:

4> set *timeSinceCHO-Reconfig* to the time elapsed between the execution of the last *RRCReconfiguration* message including *reconfigurationWithSync* for the target PCell of the failed conditional handover, and the reception in the source PCell of the last *conditionalReconfiguration* including the *condRRCReconfig* of the target PCell of the failed conditional handover;

3> else:

4> set *timeSinceCHO-Reconfig* to the time elapsed between the execution of the last *RRCReconfiguration* message including *reconfigurationWithSync* for the target PCell of the failed handover, and the reception in the source PCell of the last *conditionalReconfiguration* including the *condRRCReconfig*;

3> set *choCandidateCellList* to include the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of each of the candidate target cells for conditional handover included in *condRRCReconfig* within *VarConditionalReconfig* at the time of the failed handover, excluding the candidate target cells included in *measResulNeighCells*;

2> if the UE supports RLF-Report for conditional handover and if the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was concerning a conditional handover:

3> set *lastHO-Type* to *cho*;

2> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> include *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and tracking area code of the PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received;

2> set the *timeConnFailure* to the elapsed time since the execution of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

1> else if the failure is detected due to Mobility from NR failure as described in 5.4.3.5, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *hof*;

2> if last *MobilityFromNRCommand* concerned a failed inter-RAT handover from NR to E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO EUTRA (NR to EUTRA):

3> set the *eutraFailedPCellId* in *failedPCellId* to the global cell identity and tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the target PCell of the failed handover;

2> include *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and tracking area code of the PCell where the last *MobilityFromNRCommand* message was received;

2> set the *timeConnFailure* to the elapsed time since the initialization of the handover associated to the last *MobilityFromNRCommand* message;

1> else if the failure is detected due to radio link failure as described in 5.3.10.3, set the fields in *VarRLF-report* as follows:

2> set the *connectionFailureType* to *rlf*;

2> set the *rlf-Cause* to the trigger for detecting radio link failure in accordance with clause 5.3.10.4;

2> set the *nrFailedPCellId* in *failedPCellId* to the global cell identity and the tracking area code, if available, and otherwise to the physical cell identity and carrier frequency of the PCell where radio link failure is detected;

2> if an *RRCReconfiguration* message including the *reconfigurationWithSync* was received before the connection failure:

3> if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned an intra NR handover:

4> include the *nrPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the PCell where the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was received;

4> if the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was concerning a DAPS handover:

5> set *lastHO-Type* to *daps*;

4> else if the last executed *RRCReconfiguration* message including *reconfigurationWithSync* was concerning a conditional handover:

5> set *lastHO-Type* to *cho*;

4> set the *timeConnFailure* to the elapsed time since the execution of the last *RRCReconfiguration* message including the *reconfigurationWithSync*;

3> else if the last *RRCReconfiguration* message including the *reconfigurationWithSync* concerned a handover to NR from E-UTRA and if the UE supports Radio Link Failure Report for Inter-RAT MRO EUTRA:

4> include the *eutraPreviousCell* in *previousPCellId* and set it to the global cell identity and the tracking area code of the E-UTRA PCell where the last *RRCReconfiguration* message including *reconfigurationWithSync* was received embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

4> set the *timeConnFailure* to the elapsed time since reception of the last *RRCReconfiguration* message including the *reconfigurationWithSync* embedded in E-UTRA RRC message *MobilityFromEUTRACommand* message as specified in TS 36.331 [10] clause 5.4.3.3;

2> if configuration of the conditional handover is available in *VarConditionalReconfig* at the moment of declaring the radio link failure:

3> set *timeSinceCHO-Reconfig* to the time elapsed between the detection of the radio link failure, and the reception, in the source PCell, of the last *conditionalReconfiguration* including the *condRRCReconfig* message;

3> set *choCandidateCellList* to include the global cell identity and tracking area code of all the candidate target cells for conditional handover included in *condRRCReconfig* within *VarConditionalReconfig* at the time of radio link failure, excluding the candidate target cells included in *measResulNeighCells*;

1> if *connectionFailureType* is *rlf* and the *rlf-Cause* is set to *randomAccessProblem* or *beamFailureRecoveryFailure*; or

1> if *connectionFailureType* is *hof* and if the failed handover is an intra-RAT handover:

2> set the *ra-InformationCommon* to include the random-access related information as described in clause 5.7.10.5;

1> if available, set the *locationInfo* as in 5.3.3.7.

The UE may discard the radio link failure information or handover failure information, i.e. release the UE variable *VarRLF-Report*, 48 hours after the radio link failure/handover failure is detected.

NOTE 2: In this clause, the term 'handover failure' has been used to refer to 'reconfiguration with sync failure'.

### 5.3.11 UE actions upon going to RRC\_IDLE

The UE shall:

1> reset MAC;

1> set the variable *pendingRNA-Update* to *false*, if that is set to *true*;

1> if going to RRC\_IDLE was triggered by reception of the *RRCRelease* message including a *waitTime*:

2> if T302 is running:

3> stop timer T302;

2> start timer T302 with the value set to the *waitTime*;

2> inform upper layers that access barring is applicable for all access categories except categories '0' and '2'.

1> else:

2> if T302 is running:

3> stop timer T302;

3> perform the actions as specified in 5.3.14.4;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if the UE is leaving RRC\_INACTIVE:

2> if going to RRC\_IDLE was not triggered by reception of the *RRCRelease message*:

3> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities*;

3> stop the timer T320, if running;

1> stop all timers that are running except T302, T320, T325, T330, T331 and T400;

1> discard the UE Inactive AS context, if any;

1> release the *suspendConfig*, if configured;

1> remove all the entries within *VarConditionalReconfig*, if any;

1> for each *measId*, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

2> for the associated *reportConfigId*:

3> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

2> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

3> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

1> discard the KgNB key, the S-KgNB key, the S-KeNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key, if any;

1> release all radio resources, including release of the RLC entity, the BAP entity, the MAC configuration and the associated PDCP entity and SDAP for all established RBs (except for broadcast MRBs), BH RLC channels, Uu Relay RLC channels, PC5 Relay RLC channels and SRAP entity;

1> indicate the release of the RRC connection to upper layers together with the release cause;

1> inform upper layers about the release of all application layer measurement configurations;

1> discard any application layer measurement reports which were not yet submitted to lower layers for transmission;

1> discard any segments of segmented RRC messages stored according to 5.7.6.3;

1> except if going to RRC\_IDLE was triggered by inter-RAT cell reselection while the UE is in RRC\_INACTIVE or RRC\_IDLE or when selecting an inter-RAT cell while T311 was running or when selecting an E-UTRA cell for EPS fallback for IMS voice as specified in 5.4.3.5:

2> enter RRC\_IDLE and perform cell selection as specified in TS 38.304 [20];

### 5.3.12 UE actions upon PUCCH/SRS release request

Upon receiving a PUCCH release request from lower layers, for all bandwidth parts of an indicated serving cell the UE shall:

1> release PUCCH-CSI-Resources configured in *CSI-ReportConfig*;

1> release *SchedulingRequestResourceConfig* instances configured in *PUCCH-Config*.

Upon receiving an SRS release request from lower layers, for all bandwidth parts of an indicated serving cell the UE shall:

1> release *SRS-Resource* instances configured in *SRS-Config*.

Upon receiving a positioning SRS configuration for RRC\_INACTIVE release request from lower layers, the UE shall:

1> release the configured *srs-PosRRC-Inactive*.

### 5.3.13 RRC connection resume

#### 5.3.13.1 General



Figure 5.3.13.1-1: RRC connection resume, successful



Figure 5.3.13.1-2: RRC connection resume fallback to RRC connection establishment, successful



Figure 5.3.13.1-3: RRC connection resume followed by network release, successful



Figure 5.3.13.1-4: RRC connection resume followed by network suspend, successful



Figure 5.3.13.1-5: RRC connection resume, network reject

The purpose of this procedure is to resume a suspended RRC connection, including resuming SRB(s), DRB(s) and multicast MRB(s) or perform an RNA update. This procedure is also used to initiate SDT in RRC\_INACTIVE.

#### 5.3.13.1a Conditions for resuming RRC Connection for NR sidelink communication/discovery/V2X sidelink communication

For NR sidelink communication/discovery an RRC connection is resumed only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication/discovery and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency; or

2> if the frequency on which the UE is configured to transmit NR sidelink discovery is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for the concerned frequency;

For L2 U2N Relay UE in RRC\_INACTIVE, an RRC connection establishment is resumed in the following cases:

1> if any message is received from the L2 U2N Remote UE via SL-RLC0 as specified in 9.1.1.4 or SL-RLC1 as specified in 9.2.4;

For V2X sidelink communication an RRC connection resume is initiated only when the conditions specified for V2X sidelink communication in clause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection resume (except if the RRC connection resume is initiated at the L2 U2N Relay UE upon reception of a message from a L2 U2N Remote UE via SL-RLC0 or SL-RLC1). The interaction with NAS is left to UE implementation.

#### 5.3.13.1b Conditions for initiating SDT

A UE in RRC\_INACTIVE initiates the resume procedure for SDT when all of the following conditions are fulfilled:

1> the upper layers request resumption of RRC connection; and

1> *SIB1* includes *sdt-ConfigCommon*; and

1> *sdt-Config* is configured; and

1> all the pending data in UL is mapped to the radio bearers configured for SDT; and

1> lower layers indicate that conditions for initiating SDT as specified in TS 38.321 [3] are fulfilled.

NOTE: How the UE determines that all pending data in UL is mapped to radio bearers configured for SDT is left to UE implementation.

#### 5.3.13.2 Initiation

The UE initiates the procedure when upper layers or AS (when responding to RAN paging, upon triggering RNA updates while the UE is in RRC\_INACTIVE, for NR sidelink communication/V2X sidelink communication as specified in clause 5.3.13.1a) requests the resume of a suspended RRC connection or requests the resume for initiating SDT as specified in clause 5.3.13.1b.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:

2> select '0' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> else if the resumption of the RRC connection is triggered by upper layers:

2> if the upper layers provide an Access Category and one or more Access Identities:

3> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

2> if the resumption occurs after release with redirect with *mpsPriorityIndication*:

3> set the resumeCause to mps-PriorityAccess;

2> else:

3> set the *resumeCause* in accordance with the information received from upper layers;

1> else if the resumption of the RRC connection is triggered due to an RNA update as specified in 5.3.13.8:

2> if an emergency service is ongoing:

NOTE 1: How the RRC layer in the UE is aware of an ongoing emergency service is up to UE implementation.

3> select '2' as the Access Category;

3> set the *resumeCause* to *emergency*;

2> else:

3> select '8' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [23];

3> if the access attempt is barred:

4> set the variable *pendingRNA-Update* to *true*;

4> the procedure ends;

NOTE 2: In case the L2 U2N Relay UE initiates RRC connection resume triggered by reception of message from a L2 U2N Remote UE via SL-RLC0 or SL-RLC1 as specified in 5.3.13.1a, the L2 U2N Relay UE sets the *resumeCause* by implementation, but it can only set the *emergency*, *mps-PriorityAccess*, or *mcs-PriorityAccess* as *resumeCause*, if the same cause value in the message received from the L2 U2N Remote UE via SL-RLC0.

1> if the UE is in NE-DC or NR-DC:

2> if the UE does not support maintaining SCG configuration upon connection resumption:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

1> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:

2> release the MCG SCell(s) from the UE Inactive AS context, if stored;

1> if the UE is acting as L2 U2N Remote UE:

2> apply the default configuration of SL-RLC1 as defined in 9.2.4 for SRB1;

2> apply the default PDCP configuration as defined in 9.2.1 for SRB1;

2> establish the SRAP entity and apply the default configuration of SRAP as defined in 9.2.5 for SRB1;

1> else:

2> apply the default L1 parameter values as specified in corresponding physical layer specifications, except for the parameters for which values are provided in *SIB1*;

2> apply the default SRB1 configuration as specified in 9.2.1;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

1> release *delayBudgetReportingConfig* from the UE Inactive AS context, if stored;

1> stop timer T342, if running;

1> release *overheatingAssistanceConfig* from the UE Inactive AS context, if stored;

1> stop timer T345, if running;

1> release *idc-AssistanceConfig* from the UE Inactive AS context, if stored;

1> release *drx-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346a, if running;

1> release *maxBW-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346b, if running;

1> release *maxCC-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346c, if running;

1> release *maxMIMO-LayerPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346d, if running;

1> release *minSchedulingOffsetPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346e, if running;

1> release *rlm-RelaxationReportingConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346j, if running;

1> release *bfd-RelaxationReportingConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346k, if running;

1> release *releasePreferenceConfig* from the UE Inactive AS context, if stored;

1> release *wlanNameList* from the UE Inactive AS context, if stored;

1> release *btNameList* from the UE Inactive AS context, if stored;

1> release *sensorNameList* from the UE Inactive AS context, if stored;

1> release *obtainCommonLocation* from the UE Inactive AS context, if stored;

1> stop timer T346f, if running;

1> stop timer T346i, if running;

1> release *referenceTimePreferenceReporting* from the UE Inactive AS context, if stored;

1> release *sl-AssistanceConfigNR* from the UE Inactive AS context, if stored;

1> release *musim-GapAssistanceConfig* from the UE Inactive AS context, if stored and stop timer T346h, if running;

1> release *musim-GapConfig* from the UE Inactive AS context, if stored;

1> release *musim-LeaveAssistanceConfig* from the UE Inactive AS context, if stored;

1> release *propDelayDiffReportConfig* from the UE Inactive AS context, if stored;

1> release *ul-GapFR2-PreferenceConfig*, if configured;

1> release *rrm-MeasRelaxationReportingConfig* from the UE Inactive AS context, if stored;

1> if the UE is acting as L2 U2N Remote UE:

2> apply the specified configuration of SL-RLC0 used for the delivery of RRC message over SRB0 as specified in 9.1.1.4;

2> apply the SDAP configuration and PDCP configuration as specified in 9.1.1.2 for SRB0;

1> else:

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> if *sdt-MAC-PHY-CG-Config* is configured:

2> if the resume procedure is initiated in a cell that is different to the PCell in which the UE received the stored *sdt-MAC-PHY-CG-Config*:

3> release the stored *sdt-MAC-PHY-CG-Config*;

3> instruct the MAC entity to stop the *cg-SDT-TimeAlignmentTimer*, if it is running;

1> if conditions for initiating SDT in accordance with 5.3.13.1b are fulfilled:

2> consider the resume procedure is initiated for SDT;

2> start timer T319a when the lower layers first transmit the CCCH message;

1> else:

2> start timer T319;

2> instruct the MAC entity to stop the *cg*-*SDT*-*TimeAlignmentTimer*, if it is running;

1> if *ta-Report* is configured with value *enabled* and the UE supports TA reporting

2> indicate TA report initiation to lower layers;

1> set the variable *pendingRNA-Update* to *false*;

1> release *successHO-Config* from the UE Inactive AS context, if stored;

1> initiate transmission of the *RRCResumeRequest* message or *RRCResumeRequest1* in accordance with 5.3.13.3.

#### 5.3.13.3 Actions related to transmission of *RRCResumeRequest* or *RRCResumeRequest1* message

The UE shall set the contents of *RRCResumeRequest* or *RRCResumeRequest1* message as follows:

1> if field *useFullResumeID* is signalled in *SIB1*:

2> select *RRCResumeRequest1* as the message to use;

2> set the *resumeIdentity* to the stored *fullI-RNTI* value;

1> else:

2> select *RRCResumeRequest* as the message to use;

2> set the *resumeIdentity* to the stored *shortI-RNTI* value;

1> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the KgNB and KRRCint keys from the stored UE Inactive AS context except for the following:

- masterCellGroup;

- mrdc-SecondaryCellGroup, if stored; and

- pdcp-Config;

1> set the *resumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarResumeMAC-Input*;

2> with the KRRCint key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and

2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> derive the KgNB key based on the current KgNB key or the NH, using the *nextHopChainingCount* value received in the previous *RRCRelease* message and stored in the UE Inactive AS Context, as specified in TS 33.501 [11];

1> derive the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

1> configure lower layers to apply integrity protection for all radio bearers except SRB0 and MRBs using the configured algorithm and the KRRCint key and KUPint key derived in this clause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

NOTE 1: Only DRBs with previously configured UP integrity protection shall resume integrity protection.

1> configure lower layers to apply ciphering for all radio bearers except SRB0 and MRBs and to apply the configured ciphering algorithm, the KRRCenc key and the KUPenc key derived in this clause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> re-establish PDCP entities for SRB1;

1> resume SRB1;

1> if the resume procedure is initiated for SDT:

2> for each radio bearer that is configured for SDT and for SRB1:

3> restore the *RLC-BearerConfig* associated with the RLC bearers of *masterCellGroup* and *pdcp-Config* from the UE Inactive AS context;

3> re-establish PDCP entity for the radio bearer without triggering PDCP status report;

2> resume all the radio bearers that are configured for SDT;

1> submit the selected message *RRCResumeRequest* or *RRCResumeRequest1* for transmission to lower layers.

NOTE 2: Only DRBs with previously configured UP ciphering shall resume ciphering.

If lower layers indicate an integrity check failure while T319 or T319a is running, perform actions specified in 5.3.13.5.

If the UE is a RedCap UE and the initial DL BWP for RedCap is not associated with CD-SSB, the UE may continue cell re-selection related measurements as well as cell re-selection evaluation, otherwise the UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.13.6.

NOTE 3: For L2 U2N Remote UE in RRC\_INACTIVE, the cell (re)selection procedure as specified in TS 38.304 [20] and relay (re)selection procedure as specified in 5.8.15.3 are performed independently and it is up to UE implementation to select either a cell or a L2 U2N Relay UE.

#### 5.3.13.4 Reception of the *RRCResume* by the UE

The UE shall:

1> stop timer T319, if running;

1> stop timer T319a, if running;

1> stop timer T380, if running;

1> if T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.7.8.3;

1> if the *RRCResume* includes the *fullConfig*:

2> perform the full configuration procedure as specified in 5.3.5.11;

1> else:

2> if the *RRCResume* does not include the *restoreMCG-SCells*:

3> release the MCG SCell(s) from the UE Inactive AS context, if stored;

2> if the *RRCResume* does not include the *restoreSCG*:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

2> restore the *masterCellGroup, mrdc-SecondaryCellGroup*, if stored, and *pdcp-Config* from the UE Inactive AS context;

2> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

1> discard the UE Inactive AS context;

1> store the used *nextHopChainingCount* value associated to the current KgNB;

1> if *sdt-MAC-PHY-CG-Config* is configured:

2> instruct the MAC entity to stop the *cg-SDT-TimeAlignmentTimer*, if it is running;

2> instruct the MAC entity to start the *timeAlignmentTimer* associated with the PTAG*,* if it is not running;

1> release the *suspendConfig* except the *ran-NotificationAreaInfo*;

1> if the *RRCResume* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCResume* includes the *mrdc-SecondaryCellGroup:*

2> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

3> if the *RRCResume* includes the *scg-State*:

4> perform SCG deactivation as specified in 5.3.5.13b;

3> else:

4> perform SCG activation as specified in 5.3.5.13a;

3> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

2> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

3> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

1> if the *RRCResume* includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCResume* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> if the *RRCResume* message includes the *needForGapNCSG-ConfigNR*:

2> if *needForGapNCSG-ConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap and NCSG requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap and NCSG requirement information of NR target bands;

1> if the *RRCResume* message includes the *needForGapNCSG-ConfigEUTRA*:

2> if *needForGapNCSG-ConfigEUTRA* is set to *setup*:

3> consider itself to be configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands;

1> if the *RRCResume* message includes the *appLayerMeasConfig*:

2> perform the application layer measurement configuration procedure as specified in 5.3.5.13d;

1> if the *RRCResume* message includes the *sl-L2RemoteUE-Config* (i.e. the UE is a L2 U2N Remote UE):

2> perform the L2 U2N Remote UE configuration procedure as specified in 5.3.5.16;

1> if the *RRCResume* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.14;

1> resume SRB2 (if suspended), SRB3 (if configured), SRB4 (if configured), all DRBs (that are suspended) and multicast MRBs;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T320, if running;

1> if the *RRCResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> resume measurements if suspended;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> stop relay reselection procedure if any for L2 U2N Remote UE;

1> consider the current cell to be the PCell;

1> set the content of the of *RRCResumeComplete* message as follows:

2> if the upper layer provides NAS PDU, set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if upper layers provides a PLMN:

3> if the UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

4> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

3> else:

4> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured uplink carrier aggregation in the MCG;

2> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:

3> if the *idleModeMeasurementReq* is included in the *RRCResume* message:

4> set the *measResultIdleEUTRA* in the *RRCResumeComplete* message to the value of *measReportIdleEUTRA* in the *VarMeasIdleReport,* if available;

4> set the *measResultIdleNR* in the *RRCResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

4> discard the *VarMeasIdleReport* upon successful delivery of the *RRCResumeComplete* message is confirmed by lower layers;

3> else:

4> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

4> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

5> include the *idleMeasAvailable*;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the SCG *RRCReconfigurationComplete* message;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCResumeComplete* message*;*

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCResumeComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCResumeComplete* message;

2> if the *sigLoggedMeasType* in *VarLogMeasReport* is included:

3> if T330 timer is running and the logged measurements configuration is for NR:

4> set *sigLogMeasConfigAvailable* to *true* in the *RRCResumeComplete* message;

3> else:

4> if the UE has logged measurements available for NR:

5> set *sigLogMeasConfigAvailable* to false in the *RRCResumeComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* or *VarConnEstFailReportList* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport* or *VarConnEstFailReportList*:

3> include *connEstFailInfoAvailable* in the *RRCResumeComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCResumeComplete* message;

2> if the UE has successful handover information available in *VarSuccessHO-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarSuccessHO-Report*:

3> include *successHO-InfoAvailable* in the *RRCResumeComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCResumeComplete* message;

2> if *speedStateReselectionPars* is configured in the *SIB2*:

3> include the *mobilityState* in the *RRCResumeComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

2> if the UE is configured to provide the measurement gap requirement information of NR target bands:

3> include the *NeedForGapsInfoNR* and set the contents as follows:

4> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

4> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

2> if the UE is configured to provide the measurement gap and NCSG requirement information of NR target bands:

3> include the *NeedForGapNCSG-InfoNR* and set the contents as follows:

4> include *intraFreq-needForNCSG* and set the gap and NCSG requirement information of intra-frequency measurement for each NR serving cell;

4> if *requestedTargetBandFilterNCSG-NR* is configured:

5> for each supported NR band included in *requestedTargetBandFilterNCSG-NR*, include an entry in *interFreq-needForNCSG* and set the NCSG requirement information for that band;

4> else:

5> include an entry for each supported NR band in *interFreq-needForNCSG* and set the corresponding NCSG requirement information;

2> if the UE is configured to provide the measurement gap and NCSG requirement information of E‑UTRA target bands:

3> include the *NeedForGapNCSG-InfoEUTRA* and set the contents as follows:

4> if *requestedTargetBandFilterNCSG-EUTRA* is configured:

5> for each supported E-UTRA band included in *requestedTargetBandFilterNCSG-EUTRA*, include an entry in *needForNCSG-EUTRA* and set the NCSG requirement information for that band;

4> else:

5> include an entry for each supported E-UTRA band in *needForNCSG-EUTRA* and set the corresponding NCSG requirement information;

1> submit the *RRCResumeComplete* message to lower layers for transmission;

1> the procedure ends.

#### 5.3.13.5 Handling of failure to resume RRC Connection

The UE shall:

1> if timer T319 expires:

2> if the UE supports multiple CEF report:

3> if the UE has connection establishment failure information or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-identity* stored in *VarConnEstFailReport*; and

3> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport* and if the *maxCEFReport-r17* has not been reached:

4> append the *VarConnEstFailReport* as a new entry in the *VarConnEstFailReportList*;

2> if the UE has connection establishment failure information or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is not equal to *plmn-identity* stored in *VarConnEstFailReport*; or

2> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport*:

3> reset the *numberOfConnFail* to 0;

2> if the UE has connection establishment failure information or connection resume failure information available in *VarConnEstFailReportList* and if the RPLMN is not equal to *plmn-identity* stored in *VarConnEstFailReportList*:

3> clear the content included in *VarConnEstFailReportList*;

2> clear the content included in *VarConnEstFailReport* except for the *numberOfConnFail*, if any;

2> store the following connection resume failure information in the *VarConnEstFailReport* by setting its fields as follows:

3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in *SIB1*;

3> set the *measResultFailedCell* to include the global cell identity, tracking area code, the cell level and SS/PBCH block level RSRP, and RSRQ, and SS/PBCH block indexes, of the failed cell based on the available SSB measurements collected up to the moment the UE detected connection resume failure;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies per RAT and according to the following:

4> for each neighbour cell included, include the optional fields that are available;

NOTE: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 38.133 [14].

3> if available, set the *locationInfo* as in 5.3.3.7;

3> set *perRAInfoList* to indicate the performed random access procedure related information as specified in 5.7.10.5;

3> if *numberOfConnFail* is smaller than 8:

4> increment the *numberOfConnFail* by 1;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

1> else if upon receiving Integrity check failure indication from lower layers while T319 or T319a is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

1> else if indication from the MCG RLC that the maximum number of retransmissions has been reached is received while T319a is running; or

1> if random access problem indication is received from MCG MAC while T319a is running; or

1> if the lower layers indicate that *cg*-*SDT*-*TimeAlignmentTimer* or the *configuredGrantTimer* expired before receiving network response for the UL CG-SDT transmission with CCCH message while T319a is running; or

1> if T319a expires:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

The UE may discard the connection resume failure or connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the last connection resume failure is detected.

The L2 U2N Relay UE either indicates to upper layers (to trigger PC5 unicast link release) or sends Notification message to the connected L2 U2N Remote UE(s) in accordance with 5.8.9.10.

#### 5.3.13.6 Cell re-selection or cell selection or L2 U2N relay (re)selection while T390, T319, T319a or T302 is running (UE in RRC\_INACTIVE) or SRS transmission in RRC\_INACTIVE is configured

The UE shall:

1> if cell reselection occurs while T319 or T302 or T319a is running; or

1> if relay reselection occurs while T319 is running; or

1> if cell changes due to relay reselection while T302 is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure';

1> else if cell selection or reselection occurs while T390 is running, or cell change due to relay selection or reselection occurs while T390 is running:

2> stop T390 for all access categories;

2> perform the actions as specified in 5.3.14.4.

1> else if cell reselection occurs when *srs-PosRRC-Inactive* is configured:

2> indicate to the lower layer to stop *inactivePosSRS-TimeAlignmentTimer*;

2> release the *srs-PosRRC-Inactive*.

#### 5.3.13.7 Reception of the *RRCSetup* by the UE

The UE shall:

1> perform the RRC connection setup procedure as specified in 5.3.3.4.

#### 5.3.13.8 RNA update

In RRC\_INACTIVE state, the UE shall:

1> if T380 expires; or

1> if RNA Update is triggered at reception of SIB1, as specified in 5.2.2.4.2:

2> if T319a is not running:

3> initiate RRC connection resume procedure in 5.3.13.2 with *resumeCause* set to *rna-Update*;

1> if barring is alleviated for Access Category '8' or Access Category '2', as specified in 5.3.14.4:

2> if upper layers do not request RRC the resumption of an RRC connection, and

2> if the variable *pendingRNA-Update* is set to *true*:

3> initiate RRC connection resume procedure in 5.3.13.2 with *resumeCause* value set to *rna-Update*.

If the UE in RRC\_INACTIVE state fails to find a suitable cell and camps on the acceptable cell to obtain limited service as defined in TS 38.304 [20], the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'other'.

NOTE: It is left to UE implementation how to behave when T380 expires while the UE is camped neither on a suitable nor on an acceptable cell.

#### 5.3.13.9 Reception of the *RRCRelease* by the UE

The UE shall:

1> perform the actions as specified in 5.3.8.

#### 5.3.13.10 Reception of the *RRCReject* by the UE

The UE shall:

1> perform the actions as specified in 5.3.15.

#### 5.3.13.11 Inability to comply with *RRCResume*

The UE shall:

1> if the UE is unable to comply with (part of) the configuration included in the *RRCResume* message;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause ′RRC Resume failure′.

NOTE 1: The UE may apply above failure handling also in case the *RRCResume* message causes a protocol error for which the generic error handling as defined in 10 specifies that the UE shall ignore the message.

NOTE 2: If the UE is unable to comply with part of the configuration, it does not apply any part of the configuration, i.e. there is no partial success/failure.

#### 5.3.13.12 Inter RAT cell reselection

Upon reselecting to an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'other'.

### 5.3.14 Unified Access Control

#### 5.3.14.1 General

The purpose of this procedure is to perform access barring check for an access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers according to TS 24.501 [23] or the RRC layer. This procedure does not apply to IAB-MT. This procedure does not apply to L2 U2N Relay UE initiating RRC connection establishment or RRC connection resume upon reception of any message from a L2 U2N remote UE via SL-RLC0 or SL-RLC1 in accordance to 5.3.3.1a or 5.3.13.1a.

After a PCell change in RRC\_CONNECTED the UE shall defer access barring checks until it has obtained *SIB1* (as specified in 5.2.2.2) from the target cell.

#### 5.3.14.2 Initiation

Upon initiation of the procedure, the UE shall:

1> if timer T390 is running for the Access Category:

2> consider the access attempt as barred;

1> else if timer T302 is running and the Access Category is neither '2' nor '0':

2> consider the access attempt as barred;

1> else:

2> if the Access Category is '0':

3> consider the access attempt as allowed;

2> else:

3> if *SIB1* includes *uac-BarringPerPLMN-List* that contains a *UAC-BarringPerPLMN* for the selected PLMN or SNPN:

4> if the procedure in 5.2.2.4.2 for a selected PLMN resulted in use of information in *npn-IdentityInfoList* and *UAC-BarringPerPLMN* has an entry with the *plmn-IdentityIndex* corresponding to used information in this list:

5> select the *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to used information in the *npn-IdentityInfoList*;

4> else:

5> select the *UAC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the selected PLMN and the *PLMN-IdentityInfo, if any,* or the selected SNPN and the *npn-IdentityInfoList*;

3> if any *UAC-BarringPerPLMN* entry is selected:

4> in the remainder of this procedure, use the selected *UAC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the *uac-BarringForCommon* included in *SIB1*;

3> else if SIB1 includes *uac-BarringForCommon*:

4> in the remainder of this procedure use the *uac-BarringForCommon* (i.e. presence or absence of these parameters) included in *SIB1*;

3> else:

4> consider the access attempt as allowed;

3> if *uac-BarringForCommon* is applicable or the *uac-ACBarringListType* indicates that *uac-ExplicitACBarringList* is used:

4> if the corresponding *UAC-BarringPerCatList* contains a *UAC-BarringPerCat* entry corresponding to the Access Category:

5> select the *UAC-BarringPerCat* entry;

5> if the *uac-BarringInfoSetList* contains a *UAC-BarringInfoSet* entry corresponding to the selected *uac-barringInfoSetIndex* in the *UAC-BarringPerCat*:

6> select the *UAC-BarringInfoSet* entry;

6> perform access barring check for the Access Category as specified in 5.3.14.5, using the selected *UAC-BarringInfoSet* as "UAC barring parameter";

5> else:

6> consider the access attempt as allowed;

4> else:

5> consider the access attempt as allowed;

3> else if the *uac-ACBarringListType* indicates that *uac-ImplicitACBarringList* is used:

4> select the *uac-BarringInfoSetIndex* corresponding to the Access Category in the *uac-ImplicitACBarringList*;

4> if the *uac-BarringInfoSetList* contains the *UAC-BarringInfoSet* entry corresponding to the selected *uac-BarringInfoSetIndex*:

5> select the *UAC-BarringInfoSet* entry;

5> perform access barring check for the Access Category as specified in 5.3.14.5, using the selected *UAC-BarringInfoSet* as "UAC barring parameter";

4> else:

5> consider the access attempt as allowed;

3> else:

4> consider the access attempt as allowed;

1> if the access barring check was requested by upper layers:

2> if the access attempt is considered as barred:

3> if timer T302 is running:

4> if timer T390 is running for Access Category '2':

5> inform the upper layer that access barring is applicable for all access categories except categories '0', upon which the procedure ends;

4> else

5> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2', upon which the procedure ends;

3> else:

4> inform upper layers that the access attempt for the Access Category is barred, upon which the procedure ends;

2> else:

3> inform upper layers that the access attempt for the Access Category is allowed, upon which the procedure ends;

1> else:

2> the procedure ends.

#### 5.3.14.3 Void

#### 5.3.14.4 T302, T390 expiry or stop (Barring alleviation)

The UE shall:

1> if timer T302 expires or is stopped:

2> for each Access Category for which T390 is not running:

3> consider the barring for this Access Category to be alleviated:

1> else if timer T390 corresponding to an Access Category other than '2' expires or is stopped, and if timer T302 is not running:

2> consider the barring for this Access Category to be alleviated;

1> else if timer T390 corresponding to the Access Category '2' expires or is stopped:

2> consider the barring for this Access Category to be alleviated;

1> when barring for an Access Category is considered being alleviated:

2> if the Access Category was informed to upper layers as barred:

3> inform upper layers about barring alleviation for the Access Category.

2> if barring is alleviated for Access Category '8'; or

2> if barring is alleviated for Access Category '2':

3> perform actions specified in 5.3.13.8;

#### 5.3.14.5 Access barring check

The UE shall:

1> if one or more Access Identities equal to 1, 2, 11, 12, 13, 14, or 15 are indicated according to TS 24.501 [23], and

1> if for at least one of these Access Identities the corresponding bit in the *uac-BarringForAccessIdentity* contained in "UAC barring parameter" is set to *zero*:

2> consider the access attempt as allowed;

1> else:

2> if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN)*;* and

2> if the bit corresponding to Access Identity 1 in the *uac-BarringForAccessIdentity* contained in the "UAC barring parameter" is set to *zero:*

3> consider the access attempt as allowed;

2> else if Access Identity 3 is indicated:

3> draw a random number '*rand*' uniformly distributed in the range: 0 ≤ rand < 1;

3> if '*rand*' is lower than the value indicated by *uac-BarringFactorForAI3* included in "UAC barring parameter":

4> consider the access attempt as allowed;

3> else:

4> consider the access attempt as barred;

2> else:

3> draw a random number '*rand*' uniformly distributed in the range: 0 ≤ *rand* < 1;

3> if '*rand*' is lower than the value indicated by *uac-BarringFactor* included in "UAC barring parameter":

4> consider the access attempt as allowed;

3> else:

4> consider the access attempt as barred;

1> if the access attempt is considered as barred:

2> draw a random number '*rand*' that is uniformly distributed in the range 0 ≤ *rand* < 1;

2> start timer T390 for the Access Category with the timer value calculated as follows, using the *uac-BarringTime* included in"UAC barring parameter":

T390 = (0.7+ 0.6 \* *rand*) \* *uac-BarringTime.*

### 5.3.15 RRC connection reject

#### 5.3.15.1 Initiation

The UE initiates the procedure upon the reception of *RRCReject* when the UE tries to establish or resume an RRC connection.

#### 5.3.15.2 Reception of the *RRCReject* by the UE

The UE shall:

1> stop timer T300, if running;

1> stop timer T319, if running;

1> stop timer T319a, if running;

1> stop timer T302, if running;

1> reset MAC and release the default MAC Cell Group configuration;

1> if *waitTime* is configured in the *RRCReject*:

2> start timer T302, with the timer value set to the *waitTime*;

1> if *RRCReject* is received in response to a request from upper layers:

2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';

1> if *RRCReject* is received in response to an *RRCSetupRequest*:

2> inform upper layers about the failure to setup the RRC connection, upon which the procedure ends;

1> else if *RRCReject* is received in response to an *RRCResumeRequest* or an *RRCResumeRequest1*:

2> if resume is triggered by upper layers:

3> inform upper layers about the failure to resume the RRC connection;

2> if resume istriggered due to an RNA update; or

2> if resume is triggered for SDT and T380 has expired:

3> set the variable *pendingRNA-Update* to *true*;

2> discard the current KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key derived in accordance with 5.3.13.3;

2> if any radio bearer is configured for SDT:

3> for SRB2, if it is resumed and for SRB1:

4> trigger the PDCP entity to perform SDU discard as specified in TS 38.323 [5];

3> for each radio bearer that is not suspended:

4> indicate PDCP suspend to lower layers;

4> re-establish the RLC entity as specified in TS 38.322 [4];

2> suspend SRB1 and the radio bearers configured for SDT, if any;

2> the procedure ends.

Upon L2 U2N Relay UE receives *RRCReject*, it either indicates to upper layers (to trigger PC5 unicast link release) or sends Notification message to the connected L2 U2N Remote UE(s) in accordance with 5.8.9.10.

The RRC\_INACTIVE UE shall continue to monitor paging while the timer T302 is running.

NOTE: If timer T331 is running, the UE continues to perform idle/inactive measurements according to 5.7.8.

*NEXT CHANGE*

## 5.9 MBS Broadcast

### 5.9.1 Introduction

#### 5.9.1.1 General

UE receiving or interested to receive MBS broadcast service(s) applies MBS broadcast procedures described in this clause as well as the MBS Interest Indication procedure as specified in clause 5.9.4.

MBS broadcast configuration information is provided on MCCH logical channel. MCCH carries the *MBSBroadcastConfiguration* message which indicates the MBS broadcast sessions that are provided in the cell as well as the corresponding scheduling related information for these sessions. Optionally, the *MBSBroadcastConfiguration* message may also contain a list of neighbour cells providing the same broadcast MBS service(s) as provided in the current cell. The configuration information required by the UE to receive MCCH is provided in *SIB20*. Additionally, System Information provides also an information related to service continuity of MBS broadcast in *SIB21*.

#### 5.9.1.2 MCCH scheduling

The MCCH information (i.e. information transmitted in messages sent over MCCH) is transmitted periodically, using a configurable repetition period and within a configured transmission window. MCCH transmissions (and the associated radio resources and MCS) are indicated via the PDCCH addressed to MCCH-RNTI. PDCCH monitoring occasion(s) for MCCH transmission are determined according to the common search space indicated by *searchspaceMCCH*. If *searchspaceMCCH* is set to zero, PDCCH monitoring occasions for MCCH message reception in the MCCH transmission window are the same as PDCCH monitoring occasions for *SIB1* where the mapping between PDCCH monitoring occasions and SSBs is specified in TS 38.213[13]. If *searchspaceMCCH* is not set to zero, PDCCH monitoring occasions for MCCH message are determined based on search space indicated by *searchspaceMCCH*. PDCCH monitoring occasions for MCCH message which are not overlapping with UL symbols (determined according to *tdd-UL-DL-ConfigurationCommon*) are sequentially numbered from one in the MCCH transmission window. The [x×N+K]th PDCCH monitoring occasion for MCCH message in MCCH transmission window corresponds to the Kth transmitted SSB, where x = 0, 1, ...X-1, K = 1, 2, …N, N is the number of actual transmitted SSBs determined according to *ssb-PositionsInBurst* in *SIB1* and X is equal to CEIL(number of PDCCH monitoring occasions in MCCH transmission window/N). The actual transmitted SSBs are sequentially numbered from one in ascending order of their SSB indexes. The UE assumes that, in the MCCH transmission window, PDCCH for an MCCH message is transmitted in at least one PDCCH monitoring occasion corresponding to each transmitted SSB and thus the selection of SSB for the reception MCCH messages is up to UE implementation.

#### 5.9.1.3 MCCH information validity and notification of changes

Change of MCCH information only occurs at specific radio frames, i.e. the concept of a modification period is used. Within a modification period, the same MCCH information may be transmitted a number of times, as defined by its scheduling (which is based on a repetition period).

When the network changes (some of) the MCCH information, it notifies the UEs about the change starting from the beginning of the MCCH modification period via PDCCH which schedules the MCCH in every repetition in that modification period.

Upon receiving a change notification, a UE receiving or interested to receive MBS services transmitted using MBS broadcast acquires the new MCCH information starting from the same slot. The UE applies the previously acquired MCCH information until the UE acquires the new MCCH information. The notification is transmitted with a 2-bit bitmap, see TS 38.212 [17] clause 7.3.1.5.1. The MSB in the 2-bit bitmap, when set to '1', indicates the start of new MBS service(s). The LSB in the 2-bit bitmap, when set to '1', indicates modification of MCCH information other than the change caused by start of new MBS service(s), e.g. modification of a configuration of an on-going MBS session(s), MBS session(s) stop or neighbouring cell information modification.

### 5.9.2 MCCH information acquisition

#### 5.9.2.1 General



Figure 5.9.2.1-1: MCCH information acquisition

The UE applies the MCCH information acquisition procedure to acquire the MBS broadcast configuration information broadcasted by the network. The procedure applies to MBS capable UEs interested to receive or that are receiving MBS broadcast services that are in RRC\_IDLE, RRC\_INACTIVE or RRC\_CONNECTED with an active BWP with common search space configured by *searchSpaceMCCH*.

#### 5.9.2.2 Initiation

A UE shall apply the MCCH information acquisition procedure upon becoming interested to receive MBS broadcast services. A UE interested to receive MBS broadcast services shall apply the MCCH information acquisition procedure upon entering the cell providing *SIB20* (e.g. upon power on, following UE mobility), upon receiving *SIB20* of an SCell via dedicated signalling and upon receiving a notification that the MCCH information has changed due to the start of new MBS service(s). A UE that is receiving data via broadcast MRB shall apply the MCCH information acquisition procedure upon receiving a notification that the MCCH information has changed due to MCCH information modification other than the change caused by the start of new MBS service(s).

NOTE: It is up to UE implementation how to address a possibility of the UE missing an MCCH change notification.

Unless explicitly stated otherwise in the procedural specification, the MCCH information acquisition procedure overwrites any stored MCCH information, i.e. delta configuration is not applicable for MCCH information and the UE discontinues using a field if it is absent in MCCH information.

#### 5.9.2.3 MCCH information acquisition by the UE

An MBS capable UE interested to receive or receiving an MBS broadcast service shall:

1> if the procedure is triggered by an MCCH information change notification:

2> start acquiring the *MBSBroadcastConfiguration* message on MCCH in the concerned cell from the slot in which the change notification was received;

1> if the UE enters a cell broadcasting *SIB20*; or

1> if the UE receives *sCellSIB20*:

2> acquire the *MBSBroadcastConfiguration* message on MCCH in the concerned cell at the next repetition period.

#### 5.9.2.4 Actions upon reception of the MBSBroadcastConfiguration message

No UE requirements related to the contents of the *MBSBroadcastConfiguration* message apply other than those specified elsewhere e.g. within the corresponding field descriptions.

### 5.9.3 Broadcast MRB configuration

#### 5.9.3.1 General

The broadcast MRB configuration procedure is used by the UE to configure PDCP, RLC, MAC and the physical layer upon starting and/or stopping to receive a broadcast MRB transmitted on MTCH, or upon modification of a configuration of a broadcast MRB received by the UE. The procedure applies to MBS capable UEs interested to receive or receiving an MBS broadcast service that are in RRC\_IDLE, RRC\_INACTIVE or RRC\_CONNECTED with an active BWP with common search space configured by *searchSpaceMTCH*.

NOTE: How to perform a modification of a broadcast MRB which is already configured in the UE is left to UE implementation.

#### 5.9.3.2 Initiation

The UE applies the broadcast MRB establishment procedure to start receiving an MBS session of an MBS broadcast service it is interested in. The procedure may be initiated e.g. upon start of the MBS session, upon entering a cell providing an MBS broadcast service the UE is interested in, upon becoming interested in the ongoing MBS broadcast service, upon removal of the UE capability limitations inhibiting reception of the ongoing MBS broadcast service UE is interested in.

The UE applies the broadcast MRB release procedure to stop receiving a session of an MBS broadcast service. The procedure may be initiated e.g. upon stop of the MBS session, upon leaving the cell broadcasting the MBS service the UE is interested in, upon losing interest in the MBS service, when capability limitations start inhibiting reception of the concerned service.

#### 5.9.3.3 Broadcast MRB establishment

Upon a broadcast MRB establishment, the UE shall:

1> establish a PDCP entity and an RLC entity in accordance with *MRB-InfoBroadcast* for this broadcast MRB included in the *MBSBroadcastConfiguration* message and the configuration specified in 9.1.1.7;

1> configure the MAC layer in accordance with the *mtch-SchedulingInfo* (if included);

1> configure the physical layer in accordance with the *mbs-SessionInfoList*, *searchSpaceMTCH,* and *pdsch-ConfigMTCH*, applicable for the broadcast MRB;

1> receive DL-SCH on the cell where the *MBSBroadcastConfiguration* message was received for the established broadcast MRB using *g-RNTI* and *mtch-SchedulingInfo* (if included) in this message for this MBS broadcast service;

1> if an SDAP entity with the received *mbs-SessionId* does not exist:

2> establish an SDAP entity as specified in TS 37.324 [24] clause 5.1.1.

2> indicate the establishment of the user plane resources for the *mbs-SessionId* to upper layers.

*NEXT CHANGE*

### 5.9.4 MBS Interest Indication

#### 5.9.4.1 General



Figure 5.9.4.1-1: MBS Interest Indication

The purpose of this procedure is to inform the network that the UE in RRC\_CONNECTED state is receiving or is interested to receive MBS broadcast service(s) and to inform the network about the priority of MBS broadcast versus unicast and multicast MRB reception. MBS Interest Indication can only be sent after AS security activation.

#### 5.9.4.2 Initiation

An MBS capable UE in RRC\_CONNECTED may initiate the procedure in several cases including upon successful connection establishment/resume, upon entering or leaving the broadcast service area, upon MBS broadcast session start or stop, upon change of interest, upon change of priority between MBS broadcast reception and unicast/multicast reception, upon change to a PCell providing *SIB21* (i.e. where the *SIB1* scheduling information contains *SIB21*), upon receiving *SIB20* of an SCell via dedicated signalling, upon handover.

Upon initiating the procedure, the UE shall:

1> if *SIB21* is provided by the PCell:

2> ensure having a valid version of *SIB21* for the PCell;

2> if the UE did not transmit MBS Interest Indication since last entering RRC\_CONNECTED state; or

2> if since the last time the UE transmitted an MBS Interest Indication, the UE connected to a PCell not broadcasting *SIB21*:

3> if the set of MBS broadcast frequencies of interest, determined in accordance with 5.9.4.3, is not empty:

4> set the contents of MBS Interest Indication according to 5.9.4.5 and initiate transmission of the *MBSInterestIndication* message;

2> else:

3> if the set of MBS broadcast frequencies of interest, determined in accordance with 5.9.4.3, is different from *mbs-FreqList* included in the last transmission of the MBS Interest Indication; or

3> if the prioritisation of reception of all indicated MBS broadcast frequencies compared to reception of any of the established unicast bearers and multicast MRBs has changed since the last transmission of the MBS Interest Indication:

4> set the contents of MBS Interest Indication according to 5.9.4.5 and initiate transmission of the *MBSInterestIndication* message;

NOTE: The UE may send MBS Interest Indication even when it is able to receive the MBS services it is interested in i.e. to avoid that the network allocates a configuration inhibiting MBS broadcast reception.

3> else if *SIB20* is provided for the PCell or for the SCell:

4> if since the last time the UE transmitted the MBS Interest Indication, the UE connected to a PCell not providing *SIB20* and the UE was not provided with *SIB20* for an SCell; or

4> if the set of MBS broadcast services of interest determined in accordance with 5.9.4.4 is different from *mbs-ServiceList* included in the last transmission of the MBS Interest Indication:

5> set the contents of MBS Interest Indication according to 5.9.4.5 and initiate the transmission of *MBSInterestIndication* message.

#### 5.9.4.3 MBS frequencies of interest determination

The UE shall:

1> consider a frequency to be part of the MBS frequencies of interest if the following conditions are met:

2> at least one MBS session the UE is receiving or interested to receive via a broadcast MRB is ongoing or about to start; and

NOTE 1: The UE may determine whether the session is ongoing from the start and stop time indicated in the User Service Description (USD), see TS 38.300 [2] or TS 23.247 [67].

2> for at least one of these MBS sessions, *SIB21* acquired from the PCell includes mapping between the concerned frequency and one or more MBS FSAIs indicated in the USD for this session, or for at least one of these MBS sessions, the concerned frequency is not included in *SIB21* but is indicated in the USD for this session; and

NOTE 2: The UE considers a frequency to be part of the MBS frequencies of interest even though NG-RAN may (temporarily) not employ a broadcast MRB for the concerned session, i.e., the UE does not verify if the session is indicated on MCCH.

2> the *supportedBandCombinationList* the UE included in *UE-NR-Capability* contains at least one band combination including the concerned MBS frequency.

NOTE 3: When evaluating which frequencies the UE is capable of receiving, the UE does not take into account whether they are currently configured as serving frequencies.

#### 5.9.4.4 MBS services of interest determination

The UE shall:

1> consider an MBS service to be part of the MBS services of interest if the following conditions are met:

2> the UE is receiving or interested to receive this service via a broadcast MRB; and

2> the session of this service is ongoing or about to start; and

2> one or more MBS FSAIs in the USD for this service is included in *SIB21* acquired from the PCell for a frequency belonging to the set of MBS frequencies of interest, determined according to 5.9.4.3 or *SIB21* acquired from the PCell does not provide the frequency mapping for the concerned service but that frequency is included in the USD of this service.

NOTE: The UE may determine whether the session is ongoing from the start and stop time indicated in the User Service Description (USD), see TS 38.300 [2] or TS 23.247 [67].

#### 5.9.4.5 Setting of the contents of MBS Interest Indication

The UE shall set the contents of the MBS Interest Indication as follows:

1> if the set of MBS frequencies of interest, determined in accordance with 5.9.4.3, is not empty:

2> include *mbs-FreqList* and set it to include the MBS frequencies of interest sorted by decreasing order of interest, using the *absoluteFrequencySSB* for serving frequency, if applicable, and the *ARFCN-ValueNR*(s) as included in *SIB21* or in USD (for neighbouring frequencies);

2> include *mbs-Priority* if the UE prioritises reception of all indicated MBS frequencies above reception of any of the unicast bearers and multicast MRBs;

NOTE: If the UE prioritises MBS broadcast reception and unicast/multicast data cannot be supported because of congestion on the MBS carrier(s), NG-RAN may for example initiate release of unicast bearers/multicast MRBs.

2> if *SIB20* is provided for the PCell or for the SCell:

3> include *mbs-ServiceList* and set it to indicate the set of MBS services of interest sorted by decreasing order of interest determined in accordance with 5.9.4.4.

*NEXT CHANGE*

### 6.2.2 Message definitions

#### – RRCReconfiguration

The *RRCReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) and AS security configuration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCReconfiguration message***

-- ASN1START

-- TAG-RRCRECONFIGURATION-START

RRCReconfiguration ::=                  SEQUENCE {

    rrc-TransactionIdentifier               RRC-TransactionIdentifier,

    criticalExtensions                      CHOICE {

        rrcReconfiguration                      RRCReconfiguration-IEs,

        criticalExtensionsFuture                SEQUENCE {}

    }

}

RRCReconfiguration-IEs ::=              SEQUENCE {

    radioBearerConfig                       RadioBearerConfig                                                      OPTIONAL, -- Need M

    secondaryCellGroup                      OCTET STRING (CONTAINING CellGroupConfig)                              OPTIONAL, -- Cond SCG

    measConfig                              MeasConfig                                                             OPTIONAL, -- Need M

    lateNonCriticalExtension                OCTET STRING                                                           OPTIONAL,

    nonCriticalExtension                    RRCReconfiguration-v1530-IEs                                           OPTIONAL

}

RRCReconfiguration-v1530-IEs ::=            SEQUENCE {

    masterCellGroup                         OCTET STRING (CONTAINING CellGroupConfig)                              OPTIONAL, -- Need M

    fullConfig                              ENUMERATED {true}                                                      OPTIONAL, -- Cond FullConfig

    dedicatedNAS-MessageList                SEQUENCE (SIZE(1..maxDRB)) OF DedicatedNAS-Message                     OPTIONAL, -- Cond nonHO

    masterKeyUpdate                         MasterKeyUpdate                                                        OPTIONAL, -- Cond MasterKeyChange

    dedicatedSIB1-Delivery                  OCTET STRING (CONTAINING SIB1)                                         OPTIONAL, -- Need N

    dedicatedSystemInformationDelivery      OCTET STRING (CONTAINING SystemInformation)                            OPTIONAL, -- Need N

    otherConfig                             OtherConfig                                                            OPTIONAL, -- Need M

    nonCriticalExtension                    RRCReconfiguration-v1540-IEs                                           OPTIONAL

}

RRCReconfiguration-v1540-IEs ::=        SEQUENCE {

    otherConfig-v1540                       OtherConfig-v1540                                                      OPTIONAL, -- Need M

    nonCriticalExtension                    RRCReconfiguration-v1560-IEs                                           OPTIONAL

}

RRCReconfiguration-v1560-IEs ::=         SEQUENCE {

    mrdc-SecondaryCellGroupConfig            SetupRelease { MRDC-SecondaryCellGroupConfig }                        OPTIONAL,   -- Need M

    radioBearerConfig2                       OCTET STRING (CONTAINING RadioBearerConfig)                           OPTIONAL,   -- Need M

    sk-Counter                               SK-Counter                                                            OPTIONAL,   -- Need N

    nonCriticalExtension                     RRCReconfiguration-v1610-IEs                                          OPTIONAL

}

RRCReconfiguration-v1610-IEs ::=        SEQUENCE {

    otherConfig-v1610                       OtherConfig-v1610                                                    OPTIONAL, -- Need M

    bap-Config-r16                          SetupRelease { BAP-Config-r16 }                                      OPTIONAL, -- Need M

    iab-IP-AddressConfigurationList-r16     IAB-IP-AddressConfigurationList-r16                                  OPTIONAL, -- Need M

    conditionalReconfiguration-r16          ConditionalReconfiguration-r16                                       OPTIONAL, -- Need M

    daps-SourceRelease-r16                  ENUMERATED{true}                                                     OPTIONAL, -- Need N

    t316-r16                                SetupRelease {T316-r16}                                              OPTIONAL, -- Need M

    needForGapsConfigNR-r16                 SetupRelease {NeedForGapsConfigNR-r16}                               OPTIONAL, -- Need M

    onDemandSIB-Request-r16                 SetupRelease { OnDemandSIB-Request-r16 }                             OPTIONAL, -- Need M

    dedicatedPosSysInfoDelivery-r16         OCTET STRING (CONTAINING PosSystemInformation-r16-IEs)               OPTIONAL, -- Need N

    sl-ConfigDedicatedNR-r16                SetupRelease {SL-ConfigDedicatedNR-r16}                              OPTIONAL, -- Need M

    sl-ConfigDedicatedEUTRA-Info-r16        SetupRelease {SL-ConfigDedicatedEUTRA-Info-r16}                      OPTIONAL, -- Need M

    targetCellSMTC-SCG-r16                  SSB-MTC                                                              OPTIONAL, -- Need S

    nonCriticalExtension                    RRCReconfiguration-v1700-IEs                                         OPTIONAL

}

RRCReconfiguration-v1700-IEs ::=        SEQUENCE {

    otherConfig-v1700                       OtherConfig-v1700                                              OPTIONAL, -- Need M

    sl-L2RelayUE-Config-r17                 SetupRelease { SL-L2RelayUE-Config-r17 }                       OPTIONAL, -- Need M

    sl-L2RemoteUE-Config-r17                SetupRelease { SL-L2RemoteUE-Config-r17 }                      OPTIONAL, -- Need M

    dedicatedPagingDelivery-r17             OCTET STRING (CONTAINING Paging)                               OPTIONAL, -- Cond PagingRelay

    needForGapNCSG-ConfigNR-r17             SetupRelease {NeedForGapNCSG-ConfigNR-r17}                     OPTIONAL, -- Need M

    needForGapNCSG-ConfigEUTRA-r17          SetupRelease {NeedForGapNCSG-ConfigEUTRA-r17}                  OPTIONAL, -- Need M

    musim-GapConfig-r17                     SetupRelease {MUSIM-GapConfig-r17}                             OPTIONAL, -- Need M

    ul-GapFR2-Config-r17                    SetupRelease { UL-GapFR2-Config-r17 }                          OPTIONAL, -- Need M

    scg-State-r17                           ENUMERATED { deactivated }                                     OPTIONAL, -- Need N

    appLayerMeasConfig-r17                  AppLayerMeasConfig-r17                                         OPTIONAL, -- Need M

    ue-TxTEG-RequestUL-TDOA-Config-r17      SetupRelease {UE-TxTEG-RequestUL-TDOA-Config-r17}              OPTIONAL,  -- Need M

    nonCriticalExtension                    SEQUENCE {}                                                    OPTIONAL

}

MRDC-SecondaryCellGroupConfig ::=       SEQUENCE {

    mrdc-ReleaseAndAdd                      ENUMERATED {true}                                                     OPTIONAL,   -- Need N

    mrdc-SecondaryCellGroup                 CHOICE {

        nr-SCG                                  OCTET STRING  (CONTAINING RRCReconfiguration),

        eutra-SCG                               OCTET STRING

    }

}

BAP-Config-r16 ::=                      SEQUENCE {

    bap-Address-r16                         BIT STRING (SIZE (10))                                    OPTIONAL, -- Need M

    defaultUL-BAP-RoutingID-r16             BAP-RoutingID-r16                                         OPTIONAL, -- Need M

    defaultUL-BH-RLC-Channel-r16            BH-RLC-ChannelID-r16                                      OPTIONAL, -- Need M

    flowControlFeedbackType-r16             ENUMERATED {perBH-RLC-Channel, perRoutingID, both}        OPTIONAL, -- Need R

    ...

}

MasterKeyUpdate ::=                 SEQUENCE {

    keySetChangeIndicator           BOOLEAN,

    nextHopChainingCount            NextHopChainingCount,

    nas-Container                   OCTET STRING                                                     OPTIONAL,    -- Cond securityNASC

    ...

}

OnDemandSIB-Request-r16 ::=                  SEQUENCE {

    onDemandSIB-RequestProhibitTimer-r16         ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30}

}

T316-r16 ::=         ENUMERATED {ms50, ms100, ms200, ms300, ms400, ms500, ms600, ms1000, ms1500, ms2000}

IAB-IP-AddressConfigurationList-r16 ::= SEQUENCE {

    iab-IP-AddressToAddModList-r16      SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressConfiguration-r16 OPTIONAL, -- Need N

    iab-IP-AddressToReleaseList-r16     SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressIndex-r16         OPTIONAL, -- Need N

    ...

}

IAB-IP-AddressConfiguration-r16 ::=     SEQUENCE {

    iab-IP-AddressIndex-r16                 IAB-IP-AddressIndex-r16,

    iab-IP-Address-r16                      IAB-IP-Address-r16                                                OPTIONAL,  -- Need M

    iab-IP-Usage-r16                        IAB-IP-Usage-r16                                                  OPTIONAL,  -- Need M

    iab-donor-DU-BAP-Address-r16            BIT STRING (SIZE(10))                                             OPTIONAL,  -- Need M

...

}

SL-ConfigDedicatedEUTRA-Info-r16 ::=            SEQUENCE {

    sl-ConfigDedicatedEUTRA-r16                    OCTET STRING                                              OPTIONAL,  -- Need M

    sl-TimeOffsetEUTRA-List-r16                    SEQUENCE (SIZE (8)) OF SL-TimeOffsetEUTRA-r16             OPTIONAL    -- Need M

}

SL-TimeOffsetEUTRA-r16 ::=        ENUMERATED {ms0, ms0dot25, ms0dot5, ms0dot625, ms0dot75, ms1, ms1dot25, ms1dot5, ms1dot75,

                                              ms2, ms2dot5, ms3, ms4, ms5, ms6, ms8, ms10, ms20}

UE-TxTEG-RequestUL-TDOA-Config-r17 ::=  CHOICE {

    oneShot-r17                             NULL,

    periodicReporting-r17                   ENUMERATED { ms160, ms320, ms1280, ms2560, ms61440, ms81920, ms368640, ms737280 }

}

-- TAG-RRCRECONFIGURATION-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCReconfiguration-IEs* field descriptions** |
| ***bap-Config***  This field is used to configure the BAP entity for IAB nodes. |
| ***bap-Address***  Indicates the BAP address of an IAB-node. The BAP address of an IAB-node cannot be changed once configured for the cell group to the BAP entity. |
| ***conditionalReconfiguration***  Configuration of candidate target SpCell(s) and execution condition(s) for conditional handover, conditional PSCell addition or conditional PSCell change. The field is absent if any DAPS bearer is configured or if the *masterCellGroup* includes *ReconfigurationWithSync* or if the *sl-L2RemoteUE-Config* or *sl-L2RelayUE-Config* is configured. For conditional PSCell change, the field is absent if the *secondaryCellGroup* includes *ReconfigurationWithSync*. The *RRCReconfiguration* message contained in *DLInformationTransferMRDC* cannot contain the field *conditionalReconfiguration* for conditional PSCell change or for conditional PSCell addition. |
| ***daps-SourceRelease***  Indicates to UE that the source cell part of DAPS operation is to be stopped and the source cell part of DAPS configuration is to be released. |
| ***dedicatedNAS-MessageList***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. |
| ***dedicatedPagingDelivery***  This field is used to transfer *Paging* message for the associated L2 U2N Remote UE to the L2 U2N Relay UE in RRC\_CONNECTED. |
| ***dedicatedPosSysInfoDelivery***  This field is used to transfer *SIBPos* to the UE in RRC\_CONNECTED. |
| ***dedicatedSIB1-Delivery***  This field is used to transfer *SIB1* to the UE (including L2 U2N Remote UE). The field has the same values as the corresponding configuration in *servingCellConfigCommon*. |
| ***dedicatedSystemInformationDelivery***  This field is used to transfer *SIB6*, *SIB7*, *SIB8, SIB19, SIB21 t*o the UE with an active BWP with no common search space configured or the L2 U2N Remote UE in RRC\_CONNECTED. For UEs in RRC\_CONNECTED (including L2 U2N Remote UE), this field is used to transfer the SIBs requested on-demand. |
| ***defaultUL-BAP-RoutingID***  This field is used for IAB-node to configure the default uplink Routing ID, which is used by IAB-node during IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment for *F1-C* and *non-F1* traffic. The *defaultUL-BAP-RoutingID* can be (re-)configured when IAB-node IP address for *F1-C* related traffic changes. This field is mandatory only for IAB-node bootstrapping. |
| ***defaultUL-BH-RLC-Channel***  This field is used for IAB-nodes to configure the default uplink BH RLC channel*,* which is used by IAB-nodeduring IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment *for F1-C and non-F1 traffic*. The *defaultUL-BH-RLC-Channel* can be (re-)configured when IAB-node IP address for *F1-C* related traffic changes, and the new IP address is anchored at a different IAB-donor-DU. This field is mandatory for IAB-node bootstrapping. If the IAB-MT is operating in EN-DC, the default uplink BH RLC channel is referring to an RLC channel on the SCG; Otherwise, it is referring to an RLC channel either on the MCG or on the SCG depending on whether the MN or the SN configures this field. |
| ***flowControlFeedbackType***  This field is only used for IAB-node that support hop-by-hop flow control to configure the type of flow control feedback. Value *perBH-RLC-Channel* indicates that the IAB-node shall provide flow control feedback per BH RLC channel, value *perRoutingID* indicates that the IAB-node shall provide flow control feedback per routing ID, and value *both* indicates that the IAB-node shall provide flow control feedback both per BH RLC channel and per routing ID. |
| ***fullConfig***  Indicates that the full configuration option is applicable for the *RRCReconfiguration* message for intra-system intra-RAT HO. For inter-RAT HO from E-UTRA to NR, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent if any DAPS bearer is configured or when the *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message for SCG contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***iab-IP-Address***  This field is used to provide the IP address information for IAB-node. |
| ***iab-IP-AddressIndex***  This field is used to identify a configuration of an IP address. |
| ***iab-IP-AddressToAddModList***  List of IP addresses allocated for IAB-node to be added and modified. |
| ***iab-IP-AddressToReleaseList***  List of IP address allocated for IAB-node to be released. |
| ***iab-IP-Usage***  This field is used to indicate the usage of the assigned IP address. If this field is not configured, the assigned IP address is used for all traffic. |
| ***iab-donor-DU-BAP-Address***  This field is used to indicate the BAP address of the IAB-donor-DU where the IP address is anchored. |
| ***keySetChangeIndicator***  Indicates whether UE shall derive a new KgNB. If *reconfigurationWithSync* is included, value *true* indicates that a KgNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, or N2 handover procedure with KAMF change, as described in TS 33.501 [11] for KgNB re-keying. Value *false* indicates that the new KgNB key is obtained from the current KgNB key or from the NH as described in TS 33.501 [11]. |
| ***masterCellGroup***  Configuration of master cell group. |
| ***mrdc-ReleaseAndAdd***  This field indicates that the current SCG configuration is released and a new SCG is added at the same time. |
| ***mrdc-SecondaryCellGroup***  Includes an RRC message for SCG configuration in NR-DC or NE-DC. For NR-DC (nr-SCG), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup, otherConfig, conditionalReconfiguration,* *measConfig,* *bap-Config* and *IAB-IP-AddressConfigurationList*.  For NE-DC (eutra-SCG), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. |
| ***musim-GapConfig***  Indicates the MUSIM gap configuration and controls setup/release of MUSIM gaps. |
| ***nas-Container***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS  security after inter-system handover to NR. The content is defined in TS 24.501 [23]. |
| ***needForGapsConfigNR***  Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***needForGapNCSG-ConfigEUTRA***  Configuration for the UE to report measurement gap and NCSG requirement information of E‑UTRA target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***needForGapNCSG-ConfigNR***  Configuration for the UE to report measurement gap and NCSG requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***nextHopChainingCount***  Parameter NCC: See TS 33.501 [11] |
| ***onDemandSIB-Request***  If the field is present, the UE is allowed to request SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. |
| ***onDemandSIB-RequestProhibitTimer***  Prohibit timer for requesting SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 seconds, value s1 means prohibit timer is set to 1 second and so on. |
| ***otherConfig***  Contains configuration related to other configurations. When configured for the SCG, only fields *drx-PreferenceConfig, maxBW-PreferenceConfig, maxBW-PreferenceConfigFR2-2, maxCC-PreferenceConfig, maxMIMO-LayerPreferenceConfig*, *maxMIMO-LayerPreferenceConfigFR2-2*, *minSchedulingOffsetPreferenceConfig, minSchedulingOffsetPreferenceConfigExt, btNameList, wlanNameList, sensorNameList* and *obtainCommonLocation* can be included. |
| ***radioBearerConfig***  Configuration of Radio Bearers (DRBs, SRBs, multicast MRBs) including SDAP/PDCP. In EN-DC this field may only be present if the *RRCReconfiguration* is transmitted over SRB3. |
| ***radioBearerConfig2***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***scg-State***  Indicates that the SCG is in deactivated state. This field is not used in an *RRCReconfiguration* message received within *mrdc-SecondaryCellGroup*, E-UTRA *RRCConnectionReconfiguration* or E-UTRA *RRCConnectionResume* message or received via SRB3. The field is absent if CPA or CPC is configured for the UE, or if the *RRCReconfiguration* message is contained in *CondRRCReconfig*. |
| ***sl-L2RelayUE-Config***  Contains L2 U2N relay operation related configurations used by a UE acting as or to be acting as a L2 U2N Relay UE. The field is absent if *conditionalReconfiguration* is configured for CHO. |
| ***sl-L2RemoteUE-Config***  Contains L2 U2N relay operation related configurations used by a UE acting as or to be acting as a L2 U2N Remote UE. The field is absent if *conditionalReconfiguration* is configured for CHO. |
| ***secondaryCellGroup***  Configuration of secondary cell group ((NG)EN-DC or NR-DC). |
| ***sk-Counter***  A counter used upon initial configuration of S-KgNB or S-KeNB, as well as upon refresh of S-KgNB or S-KeNB. This field is always included either upon initial configuration of an NR SCG or upon configuration of the first RB with *keyToUse* set to *secondary*, whichever happens first. This field is absent if there is neither any NR SCG nor any RB with *keyToUse* set to *secondary*. |
| ***sl-ConfigDedicatedNR***  This field is used to provide the dedicated configurations for NR sidelink communication/discovery. |
| ***sl-ConfigDedicatedEUTRA-Info***  This field includes the E-UTRA *RRCConnectionReconfiguration* as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA *RRCConnectionReconfiguration* can only includes sidelink related fields for V2X sidelink communication, i.e. *sl-V2X-ConfigDedicated*, *sl-V2X-SPS-Config*, *measConfig* and/or *otherConfig*. |
| ***sl-TimeOffsetEUTRA***  This field indicates the possible time offset to (de)activation of V2X sidelink transmission after receiving DCI format 3\_1 used for scheduling V2X sidelink communication. Value *ms0dpt75* corresponds to 0.75ms, *ms1* corresponds to 1ms and so on. The network includes this field only when *sl-ConfigDedicatedEUTRA* is configured. |
| ***targetCellSMTC-SCG***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. When UE receives this field, UE applies the configuration based on the timing reference of NR PCell for PSCell addition and PSCell change for the case of no reconfiguration with sync of MCG, and UE applies the configuration based on the timing reference of target NR PCell for the case of reconfiguration with sync of MCG. If both this field and the *smtc* in *secondaryCellGroup* -> *SpCellConfig* -> *reconfigurationWithSync* are absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |
| ***t316***  Indicates the value for timer T316 as described in clause 7.1. Value *ms50* corresponds to 50 ms, value *ms100* corresponds to 100 ms and so on. This field can be configured only if the UE is configured with split SRB1 or SRB3. |
| ***ue-TxTEG-RequestUL-TDOA-Config***  Configures the periodicity of UE reporting for the association between Tx TEG and SRS Positioning resources. When configured with *oneShot* UE reports the association only one time. When configured with *periodicReporting* UE reports the association periodically and the *periodicReporting* indicates the periodicity. Value *ms160* corresponds to 160ms, value *ms320* corresponds to 320ms and so on. |
| ***ul-GapFR2-Config***  Indicates the FR2 UL gap configuration to UE. In EN-DC and NGEN-DC, the SN decides and configures the FR2 UL gap pattern. In NE-DC, the MN decides and configures the FR2 UL gap pattern. In NR-DC without FR2-FR2 band combination, the network entity which is configured with FR2 serving cell(s) decides and configures the FR2 UL gap pattern. |

– *RRCResume*

The *RRCResume* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCResume* message**

-- ASN1START

-- TAG-RRCRESUME-START

RRCResume ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcResume RRCResume-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCResume-IEs ::= SEQUENCE {

radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

measConfig MeasConfig OPTIONAL, -- Need M

fullConfig ENUMERATED {true} OPTIONAL, -- Need N

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCResume-v1560-IEs OPTIONAL

}

RRCResume-v1560-IEs ::= SEQUENCE {

radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

sk-Counter SK-Counter OPTIONAL, -- Need N

nonCriticalExtension RRCResume-v1610-IEs OPTIONAL

}

RRCResume-v1610-IEs ::= SEQUENCE {

idleModeMeasurementReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

restoreMCG-SCells-r16 ENUMERATED {true} OPTIONAL, -- Need N

restoreSCG-r16 ENUMERATED {true} OPTIONAL, -- Need N

mrdc-SecondaryCellGroup-r16 CHOICE {

nr-SCG-r16 OCTET STRING (CONTAINING RRCReconfiguration),

eutra-SCG-r16 OCTET STRING

} OPTIONAL, -- Cond RestoreSCG

needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

nonCriticalExtension RRCResume-v1700-IEs OPTIONAL

}

RRCResume-v1700-IEs ::= SEQUENCE {

sl-ConfigDedicatedNR-r17 SetupRelease {SL-ConfigDedicatedNR-r16} OPTIONAL, -- Cond L2RemoteUE

sl-L2RemoteUE-Config-r17 SetupRelease {SL-L2RemoteUE-Config-r17} OPTIONAL, -- Cond L2RemoteUE

needForGapNCSG-ConfigNR-r17 SetupRelease {NeedForGapNCSG-ConfigNR-r17} OPTIONAL, -- Need M

needForGapNCSG-ConfigEUTRA-r17 SetupRelease {NeedForGapNCSG-ConfigEUTRA-r17} OPTIONAL, -- Need M

scg-State-r17 ENUMERATED {deactivated} OPTIONAL, -- Need S

appLayerMeasConfig-r17 AppLayerMeasConfig-r17 OPTIONAL, -- Need M

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCRESUME-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCResume-IEs* field descriptions** |
| ***idleModeMeasurementReq***  This field indicates that the UE shall report the idle/inactive measurements, if available, to the network in the *RRCResumeComplete* message |
| ***masterCellGroup***  Configuration of the master cell group. |
| ***mrdc-SecondaryCellGroup***  Includes an RRC message for SCG configuration in NR-DC or NE-DC.  For NR-DC (*nr-SCG*), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup* (with at least *reconfigurationWithSync*)*,* *otherConfig* and *measConfig*.  For NE-DC (*eutra-SCG*), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message only include the field *scg-Configuration* with at least *mobilityControlInfoSCG*. |
| ***needForGapsConfigNR***  Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***needForGapNCSG-ConfigEUTRA***  Configuration for the UE to report measurement gap and NCSG requirement information of E‑UTRA target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***needForGapNCSG-ConfigNR***  Configuration for the UE to report measurement gap and NCSG requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***radioBearerConfig***  Configuration of Radio Bearers (DRBs, SRBs, multicast MRBs) including SDAP/PDCP. |
| ***radioBearerConfig2***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***restoreMCG-SCells***  Indicates that the UE shall restore the MCG SCells from the UE Inactive AS Context, if stored. |
| ***restoreSCG***  Indicates that the UE shall restore the SCG configurations from the UE Inactive AS Context, if stored. |
| ***scg-State***  Indicates that the SCG is in deactivated state. |
| ***sk-Counter***  A counter used to derive S-KgNB or S-KeNB based on the newly derived KgNB during RRC Resume. The field is only included when there is one or more RB with *keyToUse* set to *secondary* *or mrdc-SecondaryCellGroup* is included. |
| ***sl-ConfigDedicatedNR***  This field is used to provide the dedicated configurations for NR sidelink communication/discovery used by L2 U2N Remote UE. |
| ***sl-L2RemoteUE-Config***  Contains L2 U2N relay operation related configurations used by L2 U2N Remote UE. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *L2RemoteUE* | The field is mandatory present for L2 U2N Remote UE; otherwise it is absent. |
| *RestoreSCG* | The field is mandatory present if *restoreSCG* is included. It is optionally present, Need M, otherwise. |

*NEXT CHANGE*

## 6.3 RRC information elements

### 6.3.1 System information blocks

– *SIB20*

*SIB20* contains the information required to acquire the MCCH configuration for MBS broadcast.

***SIB20* information element**

-- ASN1START

-- TAG-SIB20-START

SIB20-r17 ::= SEQUENCE {

mcch-Config-r17 MCCH-Config-r17,

cfr-ConfigMCCH-MTCH-r17 CFR-ConfigMCCH-MTCH-r17 OPTIONAL, -- Need S

lateNonCriticalExtension OCTET STRING OPTIONAL,

...

}

MCCH-Config-r17 ::= SEQUENCE {

mcch-RepetitionPeriodAndOffset-r17 MCCH-RepetitionPeriodAndOffset-r17,

mcch-WindowStartSlot-r17 INTEGER (0..79),

mcch-WindowDuration-r17 ENUMERATED {sl2, sl4, sl8, sl10, sl20, sl40,sl80, sl160} OPTIONAL, -- Need S

mcch-ModificationPeriod-r17 ENUMERATED {rf2, rf4, rf8, rf16, rf32, rf64, rf128, rf256,

rf512, rf1024, r2048, rf4096, rf8192, rf16384, rf32768, rf65536}

}

MCCH-RepetitionPeriodAndOffset-r17 ::= CHOICE {

rf1-r17 INTEGER(0),

rf2-r17 INTEGER(0..1),

rf4-r17 INTEGER(0..3),

rf8-r17 INTEGER(0..7),

rf16-r17 INTEGER(0..15),

rf32-r17 INTEGER(0..31),

rf64-r17 INTEGER(0..63),

rf128-r17 INTEGER(0..127),

rf256-r17 INTEGER(0..255)

}

-- TAG-SIB20-STOP

-- ASN1STOP

| ***SIB20* field descriptions** |
| --- |
| ***cfr-ConfigMCCH-MTCH***  Common frequency resource used for MCCH and MTCH reception. If the field is absent, the CFR for broadcast has the same location and size as CORESET0 and PDSCH configuration of MCCH is the same as PDSCH configuration provided in *initialDownlinkBWP* in *SIB1*. |
| ***mcch-WindowDuration***  Indicates, starting from the slot indicated by *mcch-WindowStartSlot*, the duration in slots during which MCCH may be scheduled. Absence of this field means that MCCH is only scheduled in the slot indicated by *mcch-WindowStartSlot*. The network always configures *mcch-WindowDuration* to be shorter or equal to the length of MCCH repetition period. |
| ***mcch-ModificationPeriod***  Defines periodically appearing boundaries, i.e. radio frames for which SFN mod *mcch-ModificationPeriod* = 0. The contents of different transmissions of MCCH information can only be different if there is at least one such boundary in-between them. Value rf2 corresponds to two radio frames, value rf4 corresponds to four radio frames and so on. |
| ***mcch-RepetitionPeriodAndOffset***  Defines the length and the offset of the MCCH repetition period. rf1 corresponds to a repetition period length of one radio frame, rf2 corresponds to a repetition period length of two radio frames and so on. The corresponding integer value indicates the offset of the repetition period in the number of radio frames. MCCH is scheduled in the MCCH transmission window starting from each radio frame for which: SFN mod repetition period length = offset of the repetition period. |
| ***mcch-WindowStartSlot***  Indicates the slot in which MCCH transmission window starts. |

– *SIB21*

*SIB21* contains the mapping between the current and/or neighbouring carrier frequencies and MBS Frequency Selection Area Identities (FSAI).

***SIB21* information element**

-- ASN1START

-- TAG-SIB21-START

SIB21-r17 ::= SEQUENCE {

mbs-FSAI-IntraFreq-r17 MBS-FSAI-List-r17 OPTIONAL, -- Need R

mbs-FSAI-InterFreqList-r17 MBS-FSAI-InterFreqList-r17 OPTIONAL, -- Need R

lateNonCriticalExtension OCTET STRING OPTIONAL,

...

}

MBS-FSAI-List-r17 ::= SEQUENCE (SIZE (1..maxFSAI-MBS-r17)) OF MBS-FSAI-r17

MBS-FSAI-InterFreqList-r17 ::= SEQUENCE (SIZE (1..maxFreq)) OF MBS-FSAI-InterFreq-r17

MBS-FSAI-InterFreq-r17 ::= SEQUENCE {

dl-CarrierFreq-r17 ARFCN-ValueNR,

mbs-FSAI-List-r17 MBS-FSAI-List-r17

}

MBS-FSAI-r17 ::= OCTET STRING (SIZE (3))

-- TAG-SIB21-STOP

-- ASN1STOP

| ***SIB21* field descriptions** |
| --- |
| ***mbs-FSAI-InterFreqList***  Contains a list of neighboring frequencies including additional bands, if any, that provide MBS services and the corresponding MBS FSAIs. |
| ***mbs-FSAI-IntraFreq***  Contains the list of MBS FSAIs for the current frequency. For MBS service continuity, the UE shall use all MBS FSAIs listed in *mbs-FSAI-IntraFreq* to derive the MBS frequencies of interest. |

*NEXT CHANGE*

### 6.3.2 Radio resource control information elements

----Text omitted------------

– *BWP-UplinkDedicated*

The IE *BWP-UplinkDedicated* is used to configure the dedicated (UE specific) parameters of an uplink BWP.

***BWP-UplinkDedicated* information element**

-- ASN1START

-- TAG-BWP-UPLINKDEDICATED-START

BWP-UplinkDedicated ::= SEQUENCE {

pucch-Config SetupRelease { PUCCH-Config } OPTIONAL, -- Need M

pusch-Config SetupRelease { PUSCH-Config } OPTIONAL, -- Need M

configuredGrantConfig SetupRelease { ConfiguredGrantConfig } OPTIONAL, -- Need M

srs-Config SetupRelease { SRS-Config } OPTIONAL, -- Need M

beamFailureRecoveryConfig SetupRelease { BeamFailureRecoveryConfig } OPTIONAL, -- Cond SpCellOnly

...,

[[

sl-PUCCH-Config-r16 SetupRelease { PUCCH-Config } OPTIONAL, -- Need M

cp-ExtensionC2-r16 INTEGER (1..28) OPTIONAL, -- Need R

cp-ExtensionC3-r16 INTEGER (1..28) OPTIONAL, -- Need R

useInterlacePUCCH-PUSCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

pucch-ConfigurationList-r16 SetupRelease { PUCCH-ConfigurationList-r16 } OPTIONAL, -- Need M

lbt-FailureRecoveryConfig-r16 SetupRelease { LBT-FailureRecoveryConfig-r16 } OPTIONAL, -- Need M

configuredGrantConfigToAddModList-r16 ConfiguredGrantConfigToAddModList-r16 OPTIONAL, -- Need N

configuredGrantConfigToReleaseList-r16 ConfiguredGrantConfigToReleaseList-r16 OPTIONAL, -- Need N

configuredGrantConfigType2DeactivationStateList-r16 ConfiguredGrantConfigType2DeactivationStateList-r16 OPTIONAL -- Need R

]],

[[

ul-TCI-StateList-r17 CHOICE {

explicitlist SEQUENCE {

ul-TCI-ToAddModList-r17 SEQUENCE (SIZE (1..maxUL-TCI-r17)) OF TCI-UL-State-r17 OPTIONAL, -- Need N

ul-TCI-ToReleaseList-r17 SEQUENCE (SIZE (1..maxUL-TCI-r17)) OF TCI-UL-State-Id-r17 OPTIONAL -- Need N

},

unifiedTCI-StateRef-r17 ServingCellAndBWP-Id-r17

} OPTIONAL, -- Need R

ul-powerControl-r17 Uplink-powerControlId-r17 OPTIONAL, -- Cond NoTCI-PC

pucch-ConfigurationListMulticast1-r17 SetupRelease { PUCCH-ConfigurationList-r16 } OPTIONAL, -- Need M

pucch-ConfigurationListMulticast2-r17 SetupRelease { PUCCH-ConfigurationList-r16 } OPTIONAL -- Need M

]],

[[

pucch-ConfigMulticast1-r17 SetupRelease { PUCCH-Config } OPTIONAL, -- Need M

pucch-ConfigMulticast2-r17 SetupRelease { PUCCH-Config } OPTIONAL -- Need M

]]

}

ConfiguredGrantConfigToAddModList-r16 ::= SEQUENCE (SIZE (1..maxNrofConfiguredGrantConfig-r16)) OF ConfiguredGrantConfig

ConfiguredGrantConfigToReleaseList-r16 ::= SEQUENCE (SIZE (1..maxNrofConfiguredGrantConfig-r16)) OF ConfiguredGrantConfigIndex-r16

ConfiguredGrantConfigType2DeactivationState-r16 ::= SEQUENCE (SIZE (1..maxNrofConfiguredGrantConfig-r16)) OF ConfiguredGrantConfigIndex-r16

ConfiguredGrantConfigType2DeactivationStateList-r16 ::=

SEQUENCE (SIZE (1..maxNrofCG-Type2DeactivationState)) OF ConfiguredGrantConfigType2DeactivationState-r16

-- TAG-BWP-UPLINKDEDICATED-STOP

-- ASN1STOP

|  |
| --- |
| *BWP-UplinkDedicated* field descriptions |
| ***beamFailureRecoveryConfig***  Configuration of beam failure recovery. If *supplementaryUplink* is present, the field is present only in one of the uplink carriers, either UL or SUL. |
| ***configuredGrantConfig***  A *Configured-Grant* of *type1* or *type2*. It may be configured for UL or SUL but in case of *type1* not for both at a time. Except for reconfiguration with sync, the NW does not reconfigure *configuredGrantConfig* when there is an active configured uplink grant Type 2 (see TS 38.321 [3]). However, the NW may release the *configuredGrantConfig* at any time. Network can only configure configured grant in one BWP using either this field or *configuredGrantConfigToAddModList.* |
| ***configuredGrantConfigToAddModList***  Indicates a list of one or more configured grant configurations to be added or modified for one BWP. Except for reconfiguration with sync, the NW does not reconfigure a Type 2 configured grant configuration when it is active (see TS 38.321 [3]). The network configures multiple CG configurations for one BWP with either all configurations or no configuration configured with *cg-RetransmissionTimer-r16*. |
| ***configuredGrantConfigToReleaseList***  Indicates a list of one or more UL Configured Grant configurations to be released. The NW may release a configured grant configuration at any time. |
| ***configuredGrantConfigType2DeactivationStateList***  Indicates a list of the deactivation states in which each state can be mapped to a single or multiple Configured Grant type 2 configurations to be deactivated when the corresponding deactivation DCI is received, see clause 7.3.1 in TS 38.212 [17] and clause 10.2 in TS 38.213 [13]. |
| ***cp-ExtensionC2, cp-ExtensionC3***  Configures the cyclic prefix (CP) extension (see TS 38.211 [16], clause 5.3.1). For 15 kHz SCS, {1..28} are valid for both *cp-ExtensionC2* and *cp-ExtensionC3*. For 30 kHz SCS, {1..28} are valid for *cp-ExtensionC2* and {2..28} are valid for *cp-ExtensionC3.* For 60 kHz SCS, {2..28} are valid for *cp-ExtensionC2* and {3..28} are valid for *cp-ExtensionC3*. |
| ***lbt-FailureRecoveryConfig***  Configures parameters used for detection of consistent uplink LBT failures for operationwith shared spectrum channel access, as specified in TS 38.321 [3]. |
| ***pucch-Config***  PUCCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL, the network configures PUCCH only on the BWPs of one of the uplinks (normal UL or SUL). The network configures *PUCCH-Config* at least on non-initial BWP(s) for SpCell and PUCCH SCell. If supported by the UE, the network may configure at most one additional SCell of a cell group with *PUCCH-Config* (i.e. PUCCH SCell) ; if PUCCH cell switching is supported by the UE, the network may configure at most one additional SCell with *PUCCH-Config* within each PUCCH group.  In (NG)EN-DC and NE-DC, the NW configures at most one serving cell per frequency range with PUCCH. In (NG)EN-DC and NE-DC, if two PUCCH groups are configured, the serving cells of the NR PUCCH group in FR2 use the same numerology. For NR-DC, the maximum number of PUCCH groups in each cell group is one, and only the same numerology is supported for the cell group with carriers only in FR2.  The NW may configure PUCCH for a BWP when setting up the BWP. The network may also add/remove the *pucch-Config* in an *RRCReconfiguration* with *reconfigurationWithSync* (for SpCell or PUCCH SCell) or with SCell release and add (for PUCCH SCell) to move the PUCCH between the UL and SUL carrier of one serving cell. In other cases, only modifications of a previously configured *pucch-Config* are allowed.  If one (S)UL BWP of a serving cell is configured with PUCCH, all other (S)UL BWPs must be configured with PUCCH, too. |
| ***pucch-ConfigMulticast1***  PUCCH configuration for the HARQ-ACK codebook for MBS multicast when multicast feedback is not configured with a priority value (see TS 38.213, clause 9). If the field is not configured, *pucch-Config* applies. |
| ***pucch-ConfigMulticast2***  PUCCH configuration for the NACK-only feedback for MBS multicast when multicast feedback is not configured with a priority value (see TS 38.213, clause 9). If the field is not configured, *pucch-Config* applies. |
| ***pucch-ConfigurationList***  PUCCH configurations for two simultaneously constructed HARQ-ACK codebooks (see TS 38.213 [13], clause 9.1). Different PUCCH Resource IDs are configured in different *PUCCH-Config* within the *pucch-ConfigurationList* if configured. |
| ***pucch-ConfigurationListMulticast1***  PUCCH configurations for two simultaneously constructed HARQ-ACK codebooks for MBS multicast (see TS 38.213, clause 9). |
| ***pucch-ConfigurationListMulticast2***  PUCCH configurations for two simultaneously constructed NACK-only feedback for MBS multicast (see TS 38.213, clause 9). |
| ***pusch-Config***  PUSCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL and if it has a *PUSCH-Config* for both UL and SUL, an UL/SUL indicator field in DCI indicates which of the two to use. See TS 38.212 [17], clause 7.3.1. |
| ***sl-PUCCH-Config***  Indicates the UE specific PUCCH configurations used for the HARQ-ACK feedback reporting for NR sidelink communication. |
| ***srs-Config***  Uplink sounding reference signal configuration. |
| ***ul-powerControl***  Configures power control parameters for PUCCH, PUSCH and SRS when UE is configured with *unifiedTCI-StateType* for this serving cell. |
| ***ul-TCI-StateList***  Indicate the applicable UL TCI states for PUCCH, PUSCH and SRS. |
| ***ul-TCI-ToAddModList***  Indicates a list of UL TCI states. |
| ***unifiedTCI-StateRef***  Provides the serving cell and UL BWP where applicable UL TCI states applicable to this UL BWP are defined. |
| ***useInterlacePUCCH-PUSCH***  If the field is present, the UE uses uplink frequency domain resource allocation Type 2 for PUSCH (see 38.213 clause 8.3 and 38.214 clause 6.1.2.2) and uses interlaced PUCCH Format 0, 1, 2, and 3 for PUCCH (see TS 38.213 [13], clause 9.2.1). |

----Text omitted------------

– *ControlResourceSet*

The IE *ControlResourceSet* is used to configure a time/frequency control resource set (CORESET) in which to search for downlink control information (see TS 38.213 [13], clause 10.1).

***ControlResourceSet* information element**

-- ASN1START

-- TAG-CONTROLRESOURCESET-START

ControlResourceSet ::= SEQUENCE {

controlResourceSetId ControlResourceSetId,

frequencyDomainResources BIT STRING (SIZE (45)),

duration INTEGER (1..maxCoReSetDuration),

cce-REG-MappingType CHOICE {

interleaved SEQUENCE {

reg-BundleSize ENUMERATED {n2, n3, n6},

interleaverSize ENUMERATED {n2, n3, n6},

shiftIndex INTEGER(0..maxNrofPhysicalResourceBlocks-1) OPTIONAL -- Need S

},

nonInterleaved NULL

},

precoderGranularity ENUMERATED {sameAsREG-bundle, allContiguousRBs},

tci-StatesPDCCH-ToAddList SEQUENCE(SIZE (1..maxNrofTCI-StatesPDCCH)) OF TCI-StateId OPTIONAL, -- Cond NotSIB-initialBWP

tci-StatesPDCCH-ToReleaseList SEQUENCE(SIZE (1..maxNrofTCI-StatesPDCCH)) OF TCI-StateId OPTIONAL, -- Cond NotSIB-initialBWP

tci-PresentInDCI ENUMERATED {enabled} OPTIONAL, -- Need S

pdcch-DMRS-ScramblingID INTEGER (0..65535) OPTIONAL, -- Need S

...,

[[

rb-Offset-r16 INTEGER (0..5) OPTIONAL, -- Need S

tci-PresentDCI-1-2-r16 INTEGER (1..3) OPTIONAL, -- Need S

coresetPoolIndex-r16 INTEGER (0..1) OPTIONAL, -- Need S

controlResourceSetId-v1610 ControlResourceSetId-v1610 OPTIONAL -- Need S

]],

[[

followUnifiedTCIstate-r17 ENUMERATED {enabled} OPTIONAL -- Need R

]]

}

-- TAG-CONTROLRESOURCESET-STOP

-- ASN1STOP

|  |
| --- |
| ***ControlResourceSet* field descriptions** |
| ***cce-REG-MappingType***  Mapping of Control Channel Elements (CCE) to Resource Element Groups (REG) (see TS 38.211 [16], clauses 7.3.2.2 and 7.4.1.3.2). |
| ***controlResourceSetId***  Identifies the instance of the *ControlResourceSet* IE. Value 0 identifies the common CORESET configured in *MIB* and in *ServingCellConfigCommon* (*controlResourceSetZero*) and is hence not used here in the *ControlResourceSet* IE. Other values identify CORESETs configured by dedicated signalling or in *SIB1* or *SIB20*. The *controlResourceSetId* is unique among the BWPs of a serving cell.  If the field *controlResourceSetId-v1610* is present, the UE shall ignore the *controlResourceSetId* field (without suffix). |
| ***coresetPoolIndex***  The index of the CORESET pool for this CORESET as specified in TS 38.213 [13] (clauses 9 and 10) and TS 38.214 [19] (clauses 5.1 and 6.1). If the field is absent, the UE applies the value 0. |
| ***duration***  Contiguous time duration of the CORESET in number of symbols (see TS 38.211 [16], clause 7.3.2.2). |
| ***followUnifiedTCIstate***  When set to enabled, for PDCCH reception on this CORESET, the UE applies the "indicated" DL only TCI or joint TCI as specified in TS 38.214 clause 5.1.5. |
| ***frequencyDomainResources***  Frequency domain resources for the CORESET. Each bit corresponds a group of 6 RBs, with grouping starting from the first RB group in the BWP or MBS CFR where the CORESET is configured. When at least one search space is configured with *freqMonitorLocation-r16*, only the first bits are valid (see TS 38.213 [13], clause 10.1). The first (left-most / most significant) bit corresponds to the first RB group in the BWP or MBS CFR where the CORESET is configured, and so on. A bit that is set to 1 indicates that this RB group belongs to the frequency domain resource of this CORESET. Bits corresponding to a group of RBs not fully contained in the bandwidth part within which the CORESET is configured are set to zero (see TS 38.211 [16], clause 7.3.2.2). For UE not supporting *multipleCORESET* in FR1, in order to receive MBS multicast in CFR within UE active BWP, if a CORESET is not configured within the *PDCCH-ConfigMulticast*, the other CORESET than CORESET0 configured within UE active BWP for scheduling unicast can also to be used for scheduling multicast, and the CORESET is expected to be included completely within the CFR and the parameters configured in the CORESET are expected to be supported by the UE for multicast. |
| ***interleaverSize***  Interleaver-size (see TS 38.211 [16], clause 7.3.2.2). |
| ***pdcch-DMRS-ScramblingID***  PDCCH DMRS scrambling initialization (see TS 38.211 [16], clause 7.4.1.3.1). When the field is absent the UE applies the value of the *physCellId* configured for this serving cell. |
| ***precoderGranularity***  Precoder granularity in frequency domain (see TS 38.211 [16], clauses 7.3.2.2 and 7.4.1.3.2). |
| ***rb-Offset***  Indicates the RB level offset in units of RB from the first RB of the first 6RB group to the first RB of BWP (see 38.213 [13], clause 10.1). |
| ***reg-BundleSize***  Resource Element Groups (REGs) can be bundled to create REG bundles. This parameter defines the size of such bundles (see TS 38.211 [16], clause 7.3.2.2). |
| ***shiftIndex***  When the field is absent the UE applies the value of the *physCellId*configured for this serving cell (see TS 38.211 [16], clause 7.3.2.2). |
| ***tci-PresentInDCI***  This field indicates if TCI field is present or absent in DCI format 1\_1 and DCI format 4\_2. When the field is absent the UE considers the TCI to be absent/disabled. In case of cross carrier scheduling, the network sets this field to enabled for the *ControlResourceSet* used for cross carrier scheduling in DCI format 1\_1 in the scheduling cell if *enableDefaultBeamForCCS* is not configured (see TS 38.214 [19], clause 5.1.5). |
| ***tci-PresentDCI-1-2***  Configures the number of bits for "Transmission configuration indicator" in DCI format 1\_2. When the field is absent the UE applies the value of 0 bit for the "Transmission configuration indicator" in DCI format 1\_2 (see TS 38.212, clause 7.3.1 and TS 38.214, clause 5.1.5). In case of cross carrier scheduling, the network configures this field for the *ControlResourceSet* used for cross carrier scheduling in DCI format 1\_2 in the scheduling cell if *enableDefaultBeamForCCS* is not configured (see TS 38.214 [19], clause 5.1.5). |
| ***tci-StatesPDCCH-ToAddList***  A subset of the TCI states defined in pdsch-Config included in the *BWP-DownlinkDedicated* corresponding to the serving cell and to the DL BWP to which the *ControlResourceSet* belong to. They are used for providing QCL relationships between the DL RS(s) in one RS Set (TCI-State) and the PDCCH DMRS ports (see TS 38.213 [13], clause 6.). The network configures at most *maxNrofTCI-StatesPDCCH* entries. The QCL relationships defined herein do not apply to MBS broadcast. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *NotSIB-initialBWP* | The field is absent in *SIB1/SIB20* and in the *PDCCH-ConfigCommon* of the initial BWP in *ServingCellConfigCommon*, if *SIB1/SIB20* is broadcasted. Otherwise, it is optionally present, Need N. |

----Text omitted------------

– *MAC-CellGroupConfig*

The IE *MAC-CellGroupConfig* is used to configure MAC parameters for a cell group, including DRX.

***MAC-CellGroupConfig* information element**

-- ASN1START

-- TAG-MAC-CELLGROUPCONFIG-START

MAC-CellGroupConfig ::= SEQUENCE {

drx-Config SetupRelease { DRX-Config } OPTIONAL, -- Need M

schedulingRequestConfig SchedulingRequestConfig OPTIONAL, -- Need M

bsr-Config BSR-Config OPTIONAL, -- Need M

tag-Config TAG-Config OPTIONAL, -- Need M

phr-Config SetupRelease { PHR-Config } OPTIONAL, -- Need M

skipUplinkTxDynamic BOOLEAN,

...,

[[

csi-Mask BOOLEAN OPTIONAL, -- Need M

dataInactivityTimer SetupRelease { DataInactivityTimer } OPTIONAL -- Cond MCG-Only

]],

[[

usePreBSR-r16 ENUMERATED {true} OPTIONAL, -- Need R

schedulingRequestID-LBT-SCell-r16 SchedulingRequestId OPTIONAL, -- Need R

lch-BasedPrioritization-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

schedulingRequestID-BFR-SCell-r16 SchedulingRequestId OPTIONAL, -- Need R

drx-ConfigSecondaryGroup-r16 SetupRelease { DRX-ConfigSecondaryGroup } OPTIONAL -- Need M

]],

[[

enhancedSkipUplinkTxDynamic-r16 ENUMERATED {true} OPTIONAL, -- Need R

enhancedSkipUplinkTxConfigured-r16 ENUMERATED {true} OPTIONAL -- Need R

]],

[[

intraCG-Prioritization-r17 ENUMERATED {enabled} OPTIONAL, -- Cond LCH-PrioWithReTxTimer

drx-ConfigSL-r17 SetupRelease { DRX-ConfigSL-r17 } OPTIONAL, -- Need M

drx-ConfigExt-v1700 SetupRelease { DRX-ConfigExt-v1700 } OPTIONAL, -- Need M

schedulingRequestID-BFR-r17 SchedulingRequestId OPTIONAL, -- Need R

schedulingRequestID-BFR2-r17 SchedulingRequestId OPTIONAL, -- Need R

schedulingRequestConfig-v1700 SchedulingRequestConfig-v1700 OPTIONAL, -- Need M

tar-Config-r17 SetupRelease { TAR-Config-r17 } OPTIONAL, -- Need M

g-RNTI-ConfigToAddModList-r17 SEQUENCE (SIZE (1..maxG-RNTI-r17)) OF MBS-RNTI-SpecificConfig-r17 OPTIONAL, -- Need N

g-RNTI-ConfigToReleaseList-r17 SEQUENCE (SIZE (1..maxG-RNTI-r17)) OF MBS-RNTI-SpecificConfigId-r17 OPTIONAL, -- Need N

g-CS-RNTI-ConfigToAddModList-r17 SEQUENCE (SIZE (1..maxG-CS-RNTI-r17)) OF MBS-RNTI-SpecificConfig-r17 OPTIONAL, -- Need N

g-CS-RNTI-ConfigToReleaseList-r17 SEQUENCE (SIZE (1..maxG-CS-RNTI-r17)) OF MBS-RNTI-SpecificConfigId-r17 OPTIONAL, -- Need N

allowCSI-SRS-Tx-MulticastDRX-Active-r17 BOOLEAN OPTIONAL -- Need M

]]

}

DataInactivityTimer ::= ENUMERATED {s1, s2, s3, s5, s7, s10, s15, s20, s40, s50, s60, s80, s100, s120, s150, s180}

MBS-RNTI-SpecificConfig-r17 ::= SEQUENCE {

mbs-RNTI-SpecificConfigId-r17 MBS-RNTI-SpecificConfigId-r17,

groupCommon-RNTI-r17 CHOICE {

g-RNTI RNTI-Value,

g-CS-RNTI RNTI-Value

},

drx-ConfigPTM-r17 SetupRelease { DRX-ConfigPTM-r17 } OPTIONAL, -- Need M

harq-FeedbackEnablerMulticast-r17 ENUMERATED {dci-enabler, enabled} OPTIONAL, -- Need S

harq-FeedbackOptionMulticast-r17 ENUMERATED {ack-nack, nack-only} OPTIONAL, -- Cond HARQFeedback

pdsch-AggregationFactor-r17 ENUMERATED {n2, n4, n8} OPTIONAL -- Cond G-RNTI

}

MBS-RNTI-SpecificConfigId-r17 ::= INTEGER (0..maxG-RNTI-1-r17)

-- TAG-MAC-CELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***MAC-CellGroupConfig* field descriptions** |
| ***allowCSI-SRS-Tx-MulticastDRX-Active***  Used to control the CSI/SRS transmission during MBS multicast DRX ActiveTime, see TS 38.321 [3]. |
| ***csi-Mask***  If set to true, the UE limits CSI reports to the on-duration period of the DRX cycle, see TS 38.321 [3]. |
| ***dataInactivityTimer***  Releases the RRC connection upon data inactivity as specified in clause 5.3.8.5 and in TS 38.321 [3]. Value *s1* corresponds to 1 second, value s2 corresponds to 2 seconds, and so on. |
| ***drx-Config, drx-ConfigExt***  Used to configure DRX as specified in TS 38.321 [3]. Network only configures *drx-ConfigExt* when *drx-Config* is configured. |
| ***drx-ConfigSecondaryGroup***  Used to configure DRX related parameters for the second DRX group as specified in TS 38.321 [3]. The network does not configure secondary DRX group with DCP simultaneously nor secondary DRX group with a dormant BWP simultaneously. |
| ***drx-ConfigSL***  Used to configure additional DRX parameters for the UE performing sidelink operation with resource allocation mode 1, as specified in TS 38.321 [3]. Network only configures this field if *sl-ScheduledConfig* is configured and *drx-Config* is configured |
| ***g-RNTI-ConfigToAddModList***  List of G-RNTI configurations to add or modify. Up to 8 G-RNTIs can be configured in total in this release based on the UE Capability. |
| ***g-RNTI-ConfigToReleaseList***  List of G-RNTI configurations to release. |
| ***g-CS-RNTI-ConfigToAddModList***  List of G-CS-RNTI configurations to add or modify. Up to 8 G-CS-RNTIs can be configured in total in this release based on the UE Capability. |
| ***g-CS-RNTI-ConfigToReleaseList***  List of G-CS-RNTI configurations to release. |
| ***intraCG-Prioritization***  Used to enable HARQ process ID selection based on LCH-priority for one CG as specified in TS 38.321 [3]. |
| ***lch-BasedPrioritization***  If this field is present, the corresponding MAC entity of the UE is configured with prioritization between overlapping grants and between scheduling request and overlapping grants based on LCH priority, see TS 38.321 [3]. The network does not configure *lch-BasedPrioritization* with *enhancedSkipUplinkTxDynamic* simultaneously nor *lch-BasedPrioritization* with *enhancedSkipUplinkTxConfigured* simultaneously. |
| ***schedulingRequestID-BFR-SCell***  Indicates the scheduling request configuration applicable for BFR on SCell, as specified in TS 38.321 [3]. |
| ***schedulingRequestID-BFR-r17***  Indicates the scheduling request configuration (SchedulingRequestConfig) that the UE shall use upon detecting a beam failure on the detection resources configured in BFDset of a serving cell but not on resources configured in BFDset2 of the same serving cell.  *Editor's note: BFDset and BFDset2 configuration is pending on LS response from RAN1.* |
| ***schedulingRequestID-BFR2-r17***  Indicates the scheduling request configuration (SchedulingRequestConfig) that the UE shall use upon detecting a beam failure on the detection resources configured in BFDset2 of a serving cell but not on resources configured in BFDset of the same serving cell.  *Editor's note: BFDset and BFDset2 configuration is pending on LS response from RAN1.* |
| ***schedulingRequestID-LBT-SCell***  Indicates the scheduling request configuration applicable for consistent uplink LBT recovery on SCell, as specified in TS 38.321 [3]. |
| ***skipUplinkTxDynamic, enhancedSkipUplinkTxDynamic, enhancedSkipUplinkTxConfigured***  If set to *true*, the UE skips UL transmissions as described in TS 38.321 [3]. If the UE is configured with *enhancedSkipUplinkTxDynamic* or *enhancedSkipUplinkTxConfigured* with value *true*, REPETITION\_NUMBER (as specified in TS 38.321 [3], clause 5.4.2.1) of the corresponding PUSCH transmission of the uplink grant shall be equal to 1. |
| ***tag-Config***  The field is used to configure parameters for a time-alignment group. The field is not present if any DAPS bearer is configured. |
| ***usePreBSR***  If set to true, the MAC entity of the IAB-MT may use the Pre-emptive BSR, see TS 38.321 [3]. |

|  |
| --- |
| ***MBS-RNTI-SpecificConfig* field descriptions** |
| ***drx-ConfigPTM***  Used to configure DRX for PTM transmission as specified in TS 38.321 [3]. |
| ***g-CS-RNTI***  Used to scramble the SPS group-common PDSCH and activation/deactivation of SPS group-common PDSCH for one or more MBS multicast services. |
| ***g-RNTI***  Used to scramble the scheduling and transmission of PTM for one or more MBS multicast services. |
| ***groupCommon-RNTI***  Used to configure g-RNTI or g-CS-RNTI. |
| ***harq-FeedbackEnablerMulticast***  Indicates whether the UE shall provide HARQ feedback for MBS multicast. Value *dci-enabler* means that whether the UE shall provide HARQ feedback for MBS multicast is indicated by DCI. Value *enabled* means the UE shall always provide HARQ feedback for MBS multicast. When the field is absent, the value "*disabled*" is used as defined in TS 38.213 [3]. |
| ***harq-FeedbackOptionMulticast***  Indicates the feedback mode for MBS multicast dynamically scheduled PDSCH or SPS PDSCH. |
| ***mbs-RNTI-SpecificConfigId***  An identifier of the RNTI specific configuration for MBS multicast. |
| ***pdsch-AggregationFactor***  Number of repetitions for dynamically scheduled MBS multicast data (see TS 38.214 [19], clause 5.1.2.1). When the field is absent and *groupCommon-RNTI* is set to *g-RNTI*, the UE applies the value 1. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *G-RNTI* | This field is optionally present, Need S, if *groupCommon-RNTI* is set to *g-RNTI*. The field is absent when *groupCommon-RNTI* is set to *g-CS-RNTI*. |
| *HARQFeedback* | The field is mandatory present when *harq-FeedbackEnablerMulticast* is present. It is absent otherwise. |
| *MCG-Only* | This field is optionally present, Need M, for the *MAC-CellGroupConfig* of the MCG. It is absent otherwise. |
| *LCH-PrioWithReTxTimer* | This field is optionally present, Need R, if lch-BasedPrioritization-r16 is configured in this MAC entity and cg-RetransmissionTimer-r16 is configured for any configured grant configuration associated with this MAC entity. It is absent otherwise, Need R. |

----Text omitted------------

– *PDCCH-Config*

The IE *PDCCH-Config* is used to configure UE specific PDCCH parameters or MBS multicast PDCCH parameters such as control resource sets (CORESET), search spaces and additional parameters for acquiring the PDCCH. If this IE is used for the scheduled SCell in case of cross carrier scheduling, the fields other than *searchSpacesToAddModList* and *searchSpacesToReleaseList* are absent. If the IE is used for a dormant BWP, the fields other than *controlResourceSetToAddModList* and *controlResourceSetToReleaseList* are absent. If this IE is used for MBS CFR, the field *downlinkPreemptiom,tpc-PUSCH, tpc-SRS, uplinkCancellation, monitoringCapabilityConfig,* and *searchSpaceSwitchConfig* are absent.

***PDCCH-Config* information element**

-- ASN1START

-- TAG-PDCCH-CONFIG-START

PDCCH-Config ::= SEQUENCE {

controlResourceSetToAddModList SEQUENCE(SIZE (1..3)) OF ControlResourceSet OPTIONAL, -- Need N

controlResourceSetToReleaseList SEQUENCE(SIZE (1..3)) OF ControlResourceSetId OPTIONAL, -- Need N

searchSpacesToAddModList SEQUENCE(SIZE (1..10)) OF SearchSpace OPTIONAL, -- Need N

searchSpacesToReleaseList SEQUENCE(SIZE (1..10)) OF SearchSpaceId OPTIONAL, -- Need N

downlinkPreemption SetupRelease { DownlinkPreemption } OPTIONAL, -- Need M

tpc-PUSCH SetupRelease { PUSCH-TPC-CommandConfig } OPTIONAL, -- Need M

tpc-PUCCH SetupRelease { PUCCH-TPC-CommandConfig } OPTIONAL, -- Need M

tpc-SRS SetupRelease { SRS-TPC-CommandConfig} OPTIONAL, -- Need M

...,

[[

controlResourceSetToAddModListSizeExt-v1610 SEQUENCE (SIZE (1..2)) OF ControlResourceSet OPTIONAL, -- Need N

controlResourceSetToReleaseListSizeExt-r16 SEQUENCE (SIZE (1..5)) OF ControlResourceSetId-r16 OPTIONAL, -- Need N

searchSpacesToAddModListExt-r16 SEQUENCE(SIZE (1..10)) OF SearchSpaceExt-r16 OPTIONAL, -- Need N

uplinkCancellation-r16 SetupRelease { UplinkCancellation-r16 } OPTIONAL, -- Need M

monitoringCapabilityConfig-r16 ENUMERATED { r15monitoringcapability,r16monitoringcapability } OPTIONAL, -- Need M

searchSpaceSwitchConfig-r16 SearchSpaceSwitchConfig-r16 OPTIONAL -- Need R

]],

[[

searchSpacesToAddModListExt-v1700 SEQUENCE(SIZE (1..10)) OF SearchSpaceExt-v1700 OPTIONAL, -- Need N

monitoringCapabilityConfig-v1710 ENUMERATED { r17monitoringcapability } OPTIONAL, -- Need M

searchSpaceSwitchConfig-r17 SearchSpaceSwitchConfig-r17 OPTIONAL, -- Need R

pdcch-SkippingDurationList-r17 SEQUENCE(SIZE (1..3)) OF SCS-SpecificDuration-r17 OPTIONAL -- Need R

]]

}

SearchSpaceSwitchConfig-r16 ::= SEQUENCE {

cellGroupsForSwitchList-r16 SEQUENCE(SIZE (1..4)) OF CellGroupForSwitch-r16 OPTIONAL, -- Need R

searchSpaceSwitchDelay-r16 INTEGER (10..52) OPTIONAL -- Need R

}

SearchSpaceSwitchConfig-r17 ::= SEQUENCE {

searchSpaceSwitchTimer-r17 SCS-SpecificDuration-r17 OPTIONAL, -- Need R

searchSpaceSwitchDelay-r17 INTEGER (10..52) OPTIONAL -- Need R

}

CellGroupForSwitch-r16 ::= SEQUENCE(SIZE (1..16)) OF ServCellIndex

SCS-SpecificDuration-r17 ::= INTEGER (1..166)

-- TAG-PDCCH-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***PDCCH-Config* field descriptions** |
| ***controlResourceSetToAddModList, controlResourceSetToAddModListSizeExt***  List of UE specifically configured Control Resource Sets (CORESETs) to be used by the UE. The network restrictions on configuration of CORESETs per DL BWP are specified in TS 38.213 [13], clause 10.1 and TS 38.306 [26]. The UE shall consider entries in *controlResourceSetToAddModList* and in *controlResourceSetToAddModListSizeExt* as a single list, i.e. an entry created using *controlResourceSetToAddModList* can be modified using *controlResourceSetToAddModListSizeExt* (or deleted using *controlResourceSetToReleaseListSizeExt*) and vice-versa. In case network reconfigures control resource set with the same *ControlResourceSetId* as used for *commonControlResourceSet* or *commonControlResourceSetExt* configured via *PDCCH-ConfigCommon* or via *SIB20*, the configuration from *PDCCH-Config* always takes precedence and should not be updated by the UE based on *servingCellConfigCommon* or based on *SIB20*. |
| ***controlResourceSetToReleaseList, controlResourceSetToReleaseListSizeExt***  List of UE specifically configured Control Resource Sets (CORESETs) to be released by the UE. This field only applies to CORESETs configured by *controlResourceSetToAddModList* or *controlResourceSetToAddModListSizeExt* and does not release the field *commonControlResourceSet* configured by *PDCCH-ConfigCommon* and *commonControlResourceSetExt* configured by *SIB20*. |
| ***downlinkPreemption***  Configuration of downlink preemption indications to be monitored in this cell (see TS 38.213 [13], clause 11.2). |
| ***monitoringCapabilityConfig***  Configures either Rel-15 PDCCH monitoring capability, Rel-16 PDCCH monitoring capability or Rel-17 PDCCH monitoring capability for PDCCH monitoring on a serving cell (see TS 38.213 [13], clause 10.1). Value *r15monitoringcapablity* enables the Rel-15 monitoring capability, and value *r16monitoringcapablity* enables the Rel-16 PDCCH monitoring capability. *r17monitoringcapability* enables the Rel-17 PDCCH multi-slot monitoring capability. For 480 and 960 kHz SCS, only value *r17monitoringcapability* is applicable. |
| ***pdcch-SkippingDurationList***  Provides one or more values to derive the skipping duration in unit of slots, as specified in TS 38.213 [13], clause 10.4. The DCI which schedules data indicates which of the values is to be applied (see TS 38.213 [13], clause 10.4). For the 15kHz SCS, for each entry, only the first 26 values are valid and correspond to {1, 2, 3, …, 20, 30, 40, 50, 60, 80, 100}. For the 30kHz SCS, for each entry, only the first 46 values are valid and correspond to {1, 2, 3, …, 40, 60, 80, 100, 120, 160, 200}. For the 60kHz SCS, for each entry, only the first 86 values are valid and correspond to {1, 2, 3, …, 80, 120, 160, 200, 240, 320, 400}. For the 120kHz SCS, for each entry, the 166 values correspond to {1, 2, 3, …, 160, 240, 320, 400, 480, 640, 800}. For the 480kHz SCS, for each entry, the 166 values correspond to {4, 8, 12, …, 640, 960, 1280, 1600, 1920, 2560, 3200}. For the 960kHz SCS, for each entry, the 166 values correspond to {8, 16, 24, …, 1280, 1920, 2560, 3200, 3840, 5120, 6400}. |
| ***searchSpacesToAddModList, searchSpacesToAddModListExt***  List of UE specifically configured Search Spaces or MBS multicast Search Spaces. The network configures at most 10 Search Spaces per BWP per cell (including UE-specific and common Search Spaces). If the network includes searchSpaceToAddModListExt, it includes the same number of entries, and listed in the same order, as in searchSpacesToAddModList in each of them. |
| ***searchSpaceSwitchConfig***  Configuration to control the UE behavior to switch from search space group X back to search space group 0, as specified in clause 10 of TS 38.213 [13]. The network only configures either *searchSpaceSwitchConfig-r16* or *searchSpaceSwitchConfig-r17* for a UE. |
| ***tpc-PUCCH***  Enable and configure reception of group TPC commands for PUCCH. |
| ***tpc-PUSCH***  Enable and configure reception of group TPC commands for PUSCH. |
| ***tpc-SRS***  Enable and configure reception of group TPC commands for SRS. |
| ***uplinkCancellation***  Configuration of uplink cancellation indications to be monitored in this cell (see TS 38.213 [13], clause 11.2A). |

|  |
| --- |
| ***SearchSpaceSwitchConfig* field descriptions** |
| ***cellGroupsForSwitchList***  The list of serving cells which are bundled for the search space group switching purpose (see TS 38.213 [13], clause 10.4). A serving cell can belong to only one *CellGroupForSwitch*. The network configures the same list for all BWPs of serving cells in the same *CellGroupForSwitch.* |
| ***searchSpaceSwitchDelay***  Indicates the value to be applied by a UE for Search Space Set Group switching; corresponds to the P value in TS 38.213 [13], clause 10.4. The network configures the same value for all BWPs of serving cells in the same *CellGroupForSwitch.* For 120/480/960 kHz SCS, only values 40,41, ... 52 are valid and the actual value = field value \* SCS/120 kHz i.e. field value 40 corresponds to 40 with 120 kHz SCS, 160 with 480 kHz SCS and 320 with 960 kHz SCS, and so on. |
| ***searchSpaceSwitchTimer***  Timer (in unit of slots) to control the UE behavior to switch from search space group X back to search space group 0, as specified in clause 10 of TS 38.213 [13]. For the 15kHz SCS, only the first 26 values are valid and correspond to {1, 2, 3, …, 20, 30, 40, 50, 60, 80, 100}. For the 30kHz SCS, only the first 46 values are valid and correspond to {1, 2, 3, …, 40, 60, 80, 100, 120, 160, 200}. For the 60kHz SCS, only the first 86 values are valid and correspond to {1, 2, 3, …, 80, 120, 160, 200, 240, 320, 400}. For the 120kHz SCS, the 166 values correspond to {1, 2, 3, …, 160, 240, 320, 400, 480, 640, 800}. For the 480kHz SCS, the 166 values correspond to {4, 8, 12, …, 640, 960, 1280, 1600, 1920, 2560, 3200}. For the 960kHz SCS, the 166 values correspond to {8, 16, 24, …, 1280, 1920, 2560, 3200, 3840, 5120, 6400}. |

– *PDCCH-ConfigCommon*

The IE *PDCCH-ConfigCommon* is used to configure cell specific PDCCH parameters provided in SIB as well as in dedicated signalling.

***PDCCH-ConfigCommon* information element**

-- ASN1START

-- TAG-PDCCH-CONFIGCOMMON-START

PDCCH-ConfigCommon ::= SEQUENCE {

controlResourceSetZero ControlResourceSetZero OPTIONAL, -- Cond InitialBWP-Only

commonControlResourceSet ControlResourceSet OPTIONAL, -- Need R

searchSpaceZero SearchSpaceZero OPTIONAL, -- Cond InitialBWP-Only

commonSearchSpaceList SEQUENCE (SIZE(1..4)) OF SearchSpace OPTIONAL, -- Need R

searchSpaceSIB1 SearchSpaceId OPTIONAL, -- Need S

searchSpaceOtherSystemInformation SearchSpaceId OPTIONAL, -- Need S

pagingSearchSpace SearchSpaceId OPTIONAL, -- Need S

ra-SearchSpace SearchSpaceId OPTIONAL, -- Need S

...,

[[

firstPDCCH-MonitoringOccasionOfPO CHOICE {

sCS15KHZoneT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..139),

sCS30KHZoneT-SCS15KHZhalfT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..279),

sCS60KHZoneT-SCS30KHZhalfT-SCS15KHZquarterT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..559),

sCS120KHZoneT-SCS60KHZhalfT-SCS30KHZquarterT-SCS15KHZoneEighthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..1119),

sCS120KHZhalfT-SCS60KHZquarterT-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..2239),

sCS120KHZquarterT-SCS60KHZoneEighthT-SCS30KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..4479),

sCS120KHZoneEighthT-SCS60KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..8959),

sCS120KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..17919)

} OPTIONAL -- Cond OtherBWP

]],

[[

commonSearchSpaceListExt-r16 SEQUENCE (SIZE(1..4)) OF SearchSpaceExt-r16 OPTIONAL -- Need R

]],

[[

sdt-SearchSpace-r17 CHOICE {

newSearchSpace SearchSpace,

existingSearchSpace SearchSpaceId

} OPTIONAL, -- Need R

searchSpaceMCCH-r17 SearchSpaceId OPTIONAL, -- Need R

searchSpaceMTCH-r17 SearchSpaceId OPTIONAL, -- Need S

commonSearchSpaceListExt2-r17 SEQUENCE (SIZE(1..4)) OF SearchSpaceExt-v1700 OPTIONAL, -- Need R

firstPDCCH-MonitoringOccasionOfPO-v1710 CHOICE {

sCS480KHZoneEighthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..35839),

sCS480KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..71679)

} OPTIONAL, -- Need R

pei-ConfigBWP-r17 SEQUENCE {

pei-SearchSpace-r17 SearchSpaceId,

firstPDCCH-MonitoringOccasionOfPEI-O-r17 CHOICE {

sCS15KHZoneT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..139),

sCS30KHZoneT-SCS15KHZhalfT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..279),

sCS60KHZoneT-SCS30KHZhalfT-SCS15KHZquarterT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..559),

sCS120KHZoneT-SCS60KHZhalfT-SCS30KHZquarterT-SCS15KHZoneEighthT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..1119),

sCS120KHZhalfT-SCS60KHZquarterT-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..2239),

sCS480KHZoneT-SCS120KHZquarterT-SCS60KHZoneEighthT-SCS30KHZoneSixteenthT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..4479),

sCS480KHZhalfT-SCS120KHZoneEighthT-SCS60KHZoneSixteenthT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..8959),

sCS480KHZquarterT-SCS120KHZoneSixteenthT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..17919),

sCS480KHZoneEighthT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..35839),

sCS480KHZoneSixteenthT SEQUENCE (SIZE (1..maxPEI-perPF-r17)) OF INTEGER (0..71679)

}

} OPTIONAL -- Cond InitialBWP-Paging

]]

}

-- TAG-PDCCH-CONFIGCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| ***PDCCH-ConfigCommon* field descriptions** |
| ***commonControlResourceSet***  An additional common control resource set which may be configured and used for any common or UE-specific search space. If the network configures this field, it uses a *ControlResourceSetId* other than 0 for this *ControlResourceSet*. The network configures the *commonControlResourceSet* in *SIB1* so that it is contained in the bandwidth of CORESET#0. |
| ***commonSearchSpaceList, commonSearchSpaceListExt***  A list of additional common search spaces. If the network configures this field, it uses the *SearchSpaceId*s other than 0. If the field is included, it replaces any previous list, i.e. all the entries of the list are replaced and each of the *SearchSpace* entries is considered to be newly created and the conditions and Need codes for setup of the entry apply. If the network includes *commonSearchSpaceListExt*, it includes the same number of entries, and listed in the same order, as in *commonSearchSpaceList*. |
| ***controlResourceSetZero***  Parameters of the common CORESET#0 which can be used in any common or UE-specific search spaces. The values are interpreted like the corresponding bits in *MIB* *pdcch-ConfigSIB1*. Even though this field is only configured in the initial BWP (BWP#0) *controlResourceSetZero* can be used in search spaces configured in other DL BWP(s) than the initial DL BWP if the conditions defined in TS 38.213 [13], clause 10 are satisfied. |
| ***firstPDCCH-MonitoringOccasionOfPEI-O***  Offset, in number of symbols, from the start of the reference frame for PEI-O to the start of the first PDCCH monitoring occasion of PEI-O on this BWP, see TS 38.213 [13], clause 10.4A. For the case *po-NumPerPEI* is smaller than Ns, UE applies the (floor(i\_s/poNumPerPEI)+1)-th value out of (N\_s/po-NumPerPEI) configured values in *firstPDCCH-MonitoringOccasionOfPEI-O* for the symbol-level offset. When *po-NumPerPEI* is one or multiple of Ns, UE applies the first configured value in *firstPDCCH-MonitoringOccasionOfPEI-O* for the symbol-level offset. |
| ***firstPDCCH-MonitoringOccasionOfPO***  Indicates the first PDCCH monitoring occasion of each PO of the PF on this BWP, see TS 38.304 [20]. |
| ***pagingSearchSpace***  ID of the Search space for paging (see TS 38.213 [13], clause 10.1). If the field is absent, the UE does not receive paging in this BWP (see TS 38.213 [13], clause 10). This field is absent for the RedCap specific initial DL BWP, if it does not include CD-SSB and the entire CORESET#0.. |
| ***pei-ConfigBWP***  Provides the configuration for PEI reception in this BWP. If the field is absent, the UE does not receive PEI in this BWP. |
| ***pei-SearchSpace***  ID of dedicated search space for PEI. It can be configured to one of up to 4 common SS sets configured by *commonSearchSpaceList* with *SearchSpaceId* > 0. The CCE aggregation levels and maximum number of PDCCH candidates per CCE aggregation level follows Table 10.1-1 of TS38.213 [13]. *SearchSpaceId* = 0 can be configured for the case of SS/PBCH block and CORESET multiplexing pattern 2 or 3. |
| ***ra-SearchSpace***  ID of the Search space for random access procedure (see TS 38.213 [13], clause 10.1). If the field is absent, the UE does not receive RAR in this BWP. This field is mandatory present in the DL BWP(s) if the conditions described in TS 38.321 [3], clause 5.15 are met. |
| ***sdt-SearchSpace***  Common search space for CG-SDT and RA-SDT (see TS 38.213 [13]). |
| ***searchSpaceMCCH***  ID of the search space for MCCH. If the field is absent, the UE does not receive MCCH in this BWP (see TS 38.213 [13], clause 10). |
| ***searchSpaceMTCH***  ID of the search space for MTCH of MBS broadcast. If the field is absent, the UE applies *searchSpaceMCCH* also for MTCH, (see TS 38.213 [13], clause 10). |
| ***searchSpaceOtherSystemInformation***  ID of the Search space for other system information, i.e., *SIB2* and beyond (see TS 38.213 [13], clause 10.1) If the field is absent, the UE does not receive other system information in this BWP. This field is absent for the RedCap specific initial DL BWP, if it does not include CD-SSB and the entire CORESET#0. |
| ***searchSpaceSIB1***  ID of the search space for *SIB1* message. In the initial DL BWP of the UE′s PCell, the network sets this field to 0. If the field is absent, the UE does not receive *SIB1* in this BWP. (see TS 38.213 [13], clause 10). This field is absent for the RedCap specific initial DL BWP, if it does not include CD-SSB and the entire CORESET#0. |
| ***searchSpaceZero***  Parameters of the common SearchSpace#0. The values are interpreted like the corresponding bits in *MIB* *pdcch-ConfigSIB1*. Even though this field is only configured in the initial BWP (BWP#0), *searchSpaceZero* can be used in search spaces configured in other DL BWP(s) than the initial DL BWP if the conditions described in TS 38.213 [13], clause 10, are satisfied. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *InitialBWP-Only* | If *SIB1* is broadcast the field is mandatory present in the *PDCCH-ConfigCommon* of the initial BWP (BWP#0) in *ServingCellConfigCommon*; it is absent in other BWPs and when sent in system information. If SIB1 is not broadcast and there is an SSB associated to the cell, the field is optionally present, Need M, in the *PDCCH-ConfigCommon* of the initial BWP (BWP#0) in *ServingCellConfigCommon* (still with the same setting for all UEs). In other cases, the field is absent. |
| *OtherBWP* | This field is optionally present, Need R, if this BWP is not the *initialDownlinkBWP* and *pagingSearchSpace* is configured in this BWP. Otherwise this field is absent. |
| *InitialBWP-Paging* | This field is optionally present, Need R, if this BWP is the *initialDownlinkBWP* or *initialDownlinkBWP-RedCap*, and *pei-Config* is configured in *DownlinkConfigCommonSIB*. Otherwise this field is absent. |

– *PDCCH-ConfigSIB1*

The IE *PDCCH-ConfigSIB1* is used to configure CORESET#0 and search space#0.

***PDCCH-ConfigSIB1* information element**

-- ASN1START

-- TAG-PDCCH-CONFIGSIB1-START

PDCCH-ConfigSIB1 ::= SEQUENCE {

controlResourceSetZero ControlResourceSetZero,

searchSpaceZero SearchSpaceZero

}

-- TAG-PDCCH-CONFIGSIB1-STOP

-- ASN1STOP

|  |
| --- |
| ***PDCCH-ConfigSIB1* field descriptions** |
| ***controlResourceSetZero***  Determines a common ControlResourceSet (CORESET) with ID #0, see TS 38.213 [13], clause 13. |
| ***searchSpaceZero***  Determines a common search space with ID #0, see TS 38.213 [13], clause 13. |

– *PDCCH-ServingCellConfig*

The IE *PDCCH-ServingCellConfig* is used to configure UE specific PDCCH parameters applicable across all bandwidth parts of a serving cell.

***PDCCH-ServingCellConfig* information element**

-- ASN1START

-- TAG-PDCCH-SERVINGCELLCONFIG-START

PDCCH-ServingCellConfig ::= SEQUENCE {

slotFormatIndicator SetupRelease { SlotFormatIndicator } OPTIONAL, -- Need M

...,

[[

availabilityIndicator-r16 SetupRelease {AvailabilityIndicator-r16} OPTIONAL, -- Need M

searchSpaceSwitchTimer-r16 INTEGER (1..80) OPTIONAL -- Need R

]],

[[

searchSpaceSwitchTimer-v1710 INTEGER (81..1280) OPTIONAL -- Need R

]]

}

-- TAG-PDCCH-SERVINGCELLCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***PDCCH-ServingCellConfig* field descriptions** |
| ***availabilityIndicator***  Use to configure monitoring a PDCCH for Availability Indicators (AI). |
| ***searchSpaceSwitchTimer***  The value of the timer in slots for monitoring PDCCH in the active DL BWP of the serving cell before moving to the default search space group (see TS 38.213 [13], clause 10.4).  For 15 kHz SCS, {1..20} are valid.  For 30 kHz SCS, {1..40} are valid.  For 60kHz SCS, {1..80} are valid.  For 120 kHz SCS, {1..160} are valid.  For 480 kHz SCS, {1..640} are valid.  For 960 kHz SCS, {1..1280} are valid.  The network configures the same value for all serving cells in the same *CellGroupForSwitch*. |
| ***slotFormatIndicator***  Configuration of Slot-Format-Indicators to be monitored in the correspondingly configured PDCCHs of this serving cell. |

– *PDCP-Config*

The IE *PDCP-Config* is used to set the configurable PDCP parameters for signalling, MBS multicast and data radio bearers.

***PDCP-Config* information element**

-- ASN1START

-- TAG-PDCP-CONFIG-START

PDCP-Config ::= SEQUENCE {

drb SEQUENCE {

discardTimer ENUMERATED {ms10, ms20, ms30, ms40, ms50, ms60, ms75, ms100, ms150, ms200,

ms250, ms300, ms500, ms750, ms1500, infinity} OPTIONAL, -- Cond Setup

pdcp-SN-SizeUL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup1

pdcp-SN-SizeDL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup2

headerCompression CHOICE {

notUsed NULL,

rohc SEQUENCE {

maxCID INTEGER (1..16383) DEFAULT 15,

profiles SEQUENCE {

profile0x0001 BOOLEAN,

profile0x0002 BOOLEAN,

profile0x0003 BOOLEAN,

profile0x0004 BOOLEAN,

profile0x0006 BOOLEAN,

profile0x0101 BOOLEAN,

profile0x0102 BOOLEAN,

profile0x0103 BOOLEAN,

profile0x0104 BOOLEAN

},

drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

},

uplinkOnlyROHC SEQUENCE {

maxCID INTEGER (1..16383) DEFAULT 15,

profiles SEQUENCE {

profile0x0006 BOOLEAN

},

drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

},

...

},

integrityProtection ENUMERATED { enabled } OPTIONAL, -- Cond ConnectedTo5GC1

statusReportRequired ENUMERATED { true } OPTIONAL, -- Cond Rlc-AM-UM

outOfOrderDelivery ENUMERATED { true } OPTIONAL -- Need R

} OPTIONAL, -- Cond DRB

moreThanOneRLC SEQUENCE {

primaryPath SEQUENCE {

cellGroup CellGroupId OPTIONAL, -- Need R

logicalChannel LogicalChannelIdentity OPTIONAL -- Need R

},

ul-DataSplitThreshold UL-DataSplitThreshold OPTIONAL, -- Cond SplitBearer

pdcp-Duplication BOOLEAN OPTIONAL -- Need R

} OPTIONAL, -- Cond MoreThanOneRLC

t-Reordering ENUMERATED {

ms0, ms1, ms2, ms4, ms5, ms8, ms10, ms15, ms20, ms30, ms40,

ms50, ms60, ms80, ms100, ms120, ms140, ms160, ms180, ms200, ms220,

ms240, ms260, ms280, ms300, ms500, ms750, ms1000, ms1250,

ms1500, ms1750, ms2000, ms2250, ms2500, ms2750,

ms3000, spare28, spare27, spare26, spare25, spare24,

spare23, spare22, spare21, spare20,

spare19, spare18, spare17, spare16, spare15, spare14,

spare13, spare12, spare11, spare10, spare09,

spare08, spare07, spare06, spare05, spare04, spare03,

spare02, spare01 } OPTIONAL, -- Need S

...,

[[

cipheringDisabled ENUMERATED {true} OPTIONAL -- Cond ConnectedTo5GC

]],

[[

discardTimerExt-r16 SetupRelease { DiscardTimerExt-r16 } OPTIONAL, -- Cond DRB2

moreThanTwoRLC-DRB-r16 SEQUENCE {

splitSecondaryPath-r16 LogicalChannelIdentity OPTIONAL, -- Cond SplitBearer2

duplicationState-r16 SEQUENCE (SIZE (3)) OF BOOLEAN OPTIONAL -- Need S

} OPTIONAL, -- Cond MoreThanTwoRLC-DRB

ethernetHeaderCompression-r16 SetupRelease { EthernetHeaderCompression-r16 } OPTIONAL -- Need M

]],

[[

survivalTimeStateSupport-r17 ENUMERATED {true} OPTIONAL, -- Cond Drb-Duplication

uplinkDataCompression-r17 SetupRelease { UplinkDataCompression-r17 } OPTIONAL, -- Cond Rlc-AM

discardTimerExt2-r17 SetupRelease { DiscardTimerExt2-r17 } OPTIONAL, -- Need M

initialRX-DELIV-r17 BIT STRING (SIZE (32)) OPTIONAL -- Cond MRB-Initialization

]]

}

EthernetHeaderCompression-r16 ::= SEQUENCE {

ehc-Common-r16 SEQUENCE {

ehc-CID-Length-r16 ENUMERATED { bits7, bits15 },

...

},

ehc-Downlink-r16 SEQUENCE {

drb-ContinueEHC-DL-r16 ENUMERATED { true } OPTIONAL, -- Need N

...

} OPTIONAL, -- Need M

ehc-Uplink-r16 SEQUENCE {

maxCID-EHC-UL-r16 INTEGER (1..32767),

drb-ContinueEHC-UL-r16 ENUMERATED { true } OPTIONAL, -- Need N

...

} OPTIONAL -- Need M

}

UL-DataSplitThreshold ::= ENUMERATED {

b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800, b25600, b51200, b102400, b204800,

b409600, b819200, b1228800, b1638400, b2457600, b3276800, b4096000, b4915200, b5734400,

b6553600, infinity, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1}

DiscardTimerExt-r16 ::= ENUMERATED {ms0dot5, ms1, ms2, ms4, ms6, ms8, spare2, spare1}

DiscardTimerExt2-r17 ::= ENUMERATED {ms2000, spare3, spare2, spare1}

UplinkDataCompression-r17 ::= CHOICE {

newSetup SEQUENCE {

bufferSize-r17 ENUMERATED {kbyte2, kbyte4, kbyte8, spare1},

dictionary-r17 ENUMERATED {sip-SDP, operator} OPTIONAL -- Need N

},

drb-ContinueUDC NULL

}

-- TAG-PDCP-CONFIG-STOP

-- ASN1STOP

| ***PDCP-Config* field descriptions** |
| --- |
| ***cipheringDisabled***  If included, ciphering is disabled for this DRB regardless of which ciphering algorithm is configured for the SRB/DRBs. The field may only be included if the UE is connected to 5GC. Otherwise the field is absent. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***discardTimer***  Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms10* corresponds to 10 ms, value *ms20* corresponds to 20 ms and so on. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***discardTimerExt***  Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms0dot5* corresponds to 0.5 ms, value *ms1* corresponds to 1ms and so on. If this field is present, the field *discardTimer* is ignored and *discardTimerExt* is used instead. |
| ***discardTimerExt2***  Value in ms of *discardTimerExt* specified in TS 38.323 [5]. Value *ms2000* corresponds to 2000 ms. If this field is present, the field *discardTimer* and *discardTimerExt* are ignored and *discardTimerExt2* is used instead. |
| ***drb-ContinueROHC***  Indicates whether the PDCP entity continues or resets the ROHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. This field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. The network does not include the field if the bearer is configured as DAPS bearer. This field can be configured for both DRB and multicast MRB. |
| ***duplicationState***  This field indicates the uplink PDCP duplication state for the associated RLC entities at the time of receiving this IE. If set to *true,* the PDCP duplication state is activated for the associated RLC entity. The index for the indication is determined by ascending order of logical channel ID of all RLC entities other than the primary RLC entityindicated by *primaryPath* in the order of MCG and SCG, as in clause 6.1.3.32 of TS 38.321 [3]. If the number of associated RLC entities other than the primary RLC entity is two, UE ignores the value in the largest index of this field. If the field is absent, the PDCP duplication states are deactivated for all associated RLC entities. |
| ***ethernetHeaderCompression***  This fields configures Ethernet Header Compression. This field can only be configured for a bi-directional DRB or a bi-directional multicast MRB. The network reconfigures *ethernetHeaderCompression* only upon reconfiguration involving PDCP re-establishment and with neither *drb-ContinueEHC-DL* nor *drb-ContinueEHC-UL* configured. Network only configures this field when *uplinkDataCompression* is not configured. |
| ***headerCompression***  If rohc is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink. If *uplinkOnlyROHC* is configured, the UE shall apply the configured ROHC profile(s) in uplink (there is no header compression in downlink). ROHC can be configured for any bearer type. ROHC and EHC can be both configured simultaneously for a DRB or a multicast MRB. The network reconfigures *headerCompression* only upon reconfiguration involving PDCP re-establishment, and without any *drb-ContinueROHC*. Network configures *headerCompression* to *notUsed* when *outOfOrderDelivery* is configured. Network only configures this field when *uplinkDataCompression* is not configured. |
| ***integrityProtection***  Indicates whether or not integrity protection is configured for this radio bearer. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***maxCID***  Indicates the value of the MAX\_CID parameter as specified in TS 38.323 [5].  The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE. |
| ***moreThanOneRLC***  This field configures UL data transmission when more than one RLC entity is associated with the PDCP entity. This field is not present if the bearer is configured as DAPS bearer. |
| ***moreThanTwoRLC-DRB***  This field configures UL data transmission when more than two RLC entities are associated with the PDCP entity for DRBs. |
| ***initialRX-DELIV***Indicates the initial value of RX\_DELIV during PDCP window initialization for multicast MRB as specified in TS 38.323 [5] |
| ***outOfOrderDelivery***  Indicates whether or not *outOfOrderDelivery* specified in TS 38.323 [5] is configured. This field should be either always present or always absent, after the radio bearer is established. |
| ***pdcp-Duplication***  Indicates whether or not uplink duplication status at the time of receiving this IE is configured and activated as specified in TS 38.323 [5]. The presence of this field indicates that duplication is configured. PDCP duplication is not configured for CA packet duplication of LTE RLC bearer. The value of this field, when the field is present, indicates the state of the duplication at the time of receiving this IE. If set to *true*, duplication is activated. The value of this field is always *true*, when configured for a SRB. For PDCP entity with more than two associated RLC entities for UL transmission, this field is always present. If the field *moreThanTwoRLC-DRB* is present, the value of this field is ignored and the state of the duplication is indicated by *duplicationState*. For PDCP entity with more than two associated RLC entities, only NR RLC bearer is supported. |
| ***pdcp-SN-SizeDL***  PDCP sequence number size for downlink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***pdcp-SN-SizeUL***  PDCP sequence number size for uplink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***primaryPath***  Indicates the cell group ID and LCID of the primary RLC entity as specified in TS 38.323 [5], clause 5.2.1 for UL data transmission when more than one RLC entity is associated with the PDCP entity. In this version of the specification, only cell group ID corresponding to MCG is supported for SRBs, except for the split SRB2 of the IAB-MT, and, when the SCG is deactivated, for DRBs. The NW indicates *cellGroup* for split bearers using logical channels in different cell groups. The NW always indicates *logicalChannel* if CA based PDCP duplication is configured in the cell group indicated by *cellGroup* of this field. |
| ***splitSecondaryPath***  Indicates the LCID of the split secondary RLC entity as specified in TS 38.323 [5] for fallback to split bearer operation when UL data transmission with more than two RLC entities is associated with the PDCP entity. This RLC entity belongs to a cell group that is different from the cell group indicated by *cellGroup* in the field *primaryPath.* |
| ***statusReportRequired***  For AM DRBs, AM MRBs and DAPS UM DRBs, indicates whether the DRB or the multicast MRB is configured to send a PDCP status report in the uplink, as specified in TS 38.323 [5]. For DAPS AM DRBs, it also indicates whether the DRB is configured to send a second PDCP status report in the uplink, as specified in TS 38.323 [5]. |
| ***survivalTimeStateSupport***  Indicates whether the DRB associated with this PDCP entity has survival time state support. If this field is configured to be true, all associated RLC entities are activated for PDCP duplication upon reception of a retransmission grant addressed to CS-RNTI, as specified in TS 38.321 [3]. |
| ***t-Reordering***  Value in ms of t-Reordering specified in TS 38.323 [5]. Value *ms0* corresponds to 0 ms, value *ms20* corresponds to 20 ms, value *ms40* corresponds to 40 ms, and so on. When the field is absent the UE applies the value *infinity*. The value for this field cannot be changed in case of reconfiguration with sync, if the bearer is configured as DAPS bearer. |
| ***ul-DataSplitThreshold***  Parameter specified in TS 38.323 [5]. Value *b0* corresponds to 0 bytes, value *b100* corresponds to 100 bytes, value *b200* corresponds to 200 bytes, and so on. The network sets this field to *infinity* for UEs not supporting *splitDRB-withUL-Both-MCG-SCG* and when the SCG is deactivated. If the field is absent when the split bearer is configured for the radio bearer first time, then the default value *infinity* is applied. |
| ***uplinkDataCompression***  Indicates the UDC configuration that the UE shall apply. Network does not configure *uplinkDataCompression* for a DRB, if *headerCompression* or *ethernetHeaderCompression* is already configured or *outOfOrderDelivery* or DAPS is configured for the DRB. The maximum number of DRBs where *uplinkDataCompression* can be applied is two. The network reconfigures *uplinkDataCompression* only upon reconfiguration involving PDCP re-establishment. If the field is set to *drb-ContinueUDC*, the PDCP entity continues the uplink data compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is set to *drb-ContinueUDC* only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |

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| ***EthernetHeaderCompression field descriptions*** |
| ***drb-ContinueEHC-DL***  Indicates whether the PDCP entity continues or resets the downlink EHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***drb-ContinueEHC-UL***  Indicates whether the PDCP entity continues or resets the uplink EHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***ehc-CID-Length***  Indicates the length of the CID field for EHC packet. The value *bits7* indicates the length is 7 bits, and the value *bits15* indicates the length is 15 bits. Once the field *ethernetHeaderCompression-r16* is configured for a DRB or a multicast MRB, the value of the field *ehc-CID-Length* for this DRB or multicast MRB is not reconfigured to a different value. |
| ***ehc-Common***  Indicates the configurations that apply for both downlink and uplink. |
| ***ehc-Downlink***  Indicates the configurations that apply for only downlink. If the field is configured, then Ethernet header compression is configured for downlink. Otherwise, it is not configured for downlink. |
| ***ehc-Uplink***  Indicates the configurations that apply for only uplink. If the field is configured, then Ethernet header compression is configured for uplnik. Otherwise, it is not configured for uplink. |
| ***maxCID-EHC-UL***  Indicates the value of the MAX\_CID\_EHC\_UL parameter as specified in TS 38.323 [5]. The total value of MAX\_CID\_EHC\_UL across all bearers for the UE should be less than or equal to the value of *maxNumberEHC-Contexts* parameter as indicated by the UE. |

|  |
| --- |
| ***UplinkDataCompression field descriptions*** |
| ***bufferSize***  This field indicates the buffer size applied for UDC as specified in TS 38.323 [5]. Value *kbyte2* means 2048 bytes, *kbyte4* means 4096 bytes and so on. |
| ***dictionary***  This field indicates which pre-defined dictionary is used for UDC as specified in TS 38.323 [5]. The value *sip-SDP* means that UE shall prefill the buffer with standard dictionary for SIP and SDP defined in TS 38.323 [5], and the value *operator* means that UE shall prefill the buffer with operator-defined dictionary. |

| **Conditional presence** | **Explanation** |
| --- | --- |
| *DRB* | This field is mandatory present when the corresponding DRB/multicast MRB is being set up, absent for SRBs. Otherwise this field is optionally present, need M. |
| *DRB2* | This field is optionally present in case of DRB, need M. Otherwise, it is absent for SRBs and MRBs. |
| *Drb-Duplication* | For SRBs, this field is absent. For DRBs, this field is absent if duplication is not configured. Otherwise, this field is optional, need R. |
| *MoreThanOneRLC* | This field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than one associated logical channel and upon RRC reconfiguration with the association of additional logical channels to the PDCP entity.  The field is also mandatory present in case the field *moreThanTwoRLC-DRB* is included in *PDCP-Config*.  Upon RRC reconfiguration when a PDCP entity is associated with multiple logical channels, this field is optionally present need M. Otherwise, this field is absent. Need R. |
| *MoreThanTwoRLC-DRB* | For SRBs, this field is absent.  For DRBs, this field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than two associated logical channels and upon RRC reconfiguration with the association of one or more additional logical channel(s) to the PDCP entity so that the PDCP entity has more than two associated logical channels.  Upon RRC reconfiguration when a PDCP entity is associated with more than two logical channels, this field is optionally present, Need M. Otherwise, the field is absent, Need R. |
| *Rlc-AM* | For RLC AM, the field is optionally present, need M. Otherwise, the field is absent. |
| *Rlc-AM-UM* | In case of DRB, for RLC UM (if the UE supports DAPS handover) or RLC AM, the field is optionally present, need R. In case of multicast MRB, if multicast MRB is associated with at least one RLC AM entity, the field is optionally present, need R. Otherwise, the field is absent. |
| *Setup* | The field is mandatory present in case of SRB or DRB setup. Otherwise the field is optionally present, need M. |
| *SplitBearer* | The field is absent for SRBs. Otherwise, the field is optional present, need M, in case of radio bearer with more than one associated RLC mapped to different cell groups. |
| *SplitBearer2* | The field is mandatory present, in case of a split bearer. Otherwise the field is absent. |
| *ConnectedTo5GC* | The field is optionally present, need R, if the UE is connected to 5GC. Otherwise the field is absent. |
| *ConnectedTo5GC1* | The field is optionally present, need R, if the UE is connected to NR/5GC or if the UE supports user plane integrity protection when connected to E-UTRA/EPC (as specified in TS 33.401 [30]). Otherwise the field is absent. |
| *Setup1* | This field is mandatory present in case of SRB and DRB setup for RLC-AM and RLC-UM. Otherwise, this field is absent, Need M. |
| *Setup2* | This field is mandatory present in case for radio bearer setup for RLC-AM and RLC-UM. Otherwise, this field is absent, Need M. |
| *MRB-Initialization* | This field is mandatory present in case of multicast MRB setup and PDCP re-establishment for UM multicast MRB. Otherwise, this field is absent, Need N. |

– *PDSCH-Config*

The *PDSCH-Config* IE is used to configure the UE specific PDSCH parameters. If this IE is used for MBS CFR, the following fields shall be absent: tci-StatesToAddModList, tci-StatesToReleaseList, zp-CSI-RS-ResourceToAddModList, minimumSchedulingOffsetK0, antennaPortsFieldPresenceDCI-1-2, aperiodicZP-CSI-RS-ResourceSetsToAddModListDCI-1-2, aperiodicZP-CSI-RS-ResourceSetsToReleaseListDCI-1-2, dmrs-DownlinkForPDSCH-MappingTypeA-DCI-1-2, dmrs-DownlinkForPDSCH-MappingTypeB-DCI-1-2, dmrs-SequenceInitializationDCI-1-2, harq-ProcessNumberSizeDCI-1-2, mcs-TableDCI-1-2, numberOfBitsForRV-DCI-1-2, pdsch-AggregationFactor, pdsch-TimeDomainAllocationListDCI-1-2, prb-BundlingTypeDCI-1-2, priorityIndicatorDCI-1-2, rateMatchPatternGroup1DCI-1-2, rateMatchPatternGroup2DCI-1-2, resourceAllocationType1GranularityDCI-1-2, vrb-ToPRB-InterleaverDCI-1-2, referenceOfSLIVDCI-1-2, resourceAllocationDCI-1-2, dataScramblingIdentityPDSCH2-r16, repetitionSchemeConfig.

***PDSCH-Config* information element**

-- ASN1START

-- TAG-PDSCH-CONFIG-START

PDSCH-Config ::= SEQUENCE {

dataScramblingIdentityPDSCH INTEGER (0..1023) OPTIONAL, -- Need S

dmrs-DownlinkForPDSCH-MappingTypeA SetupRelease { DMRS-DownlinkConfig } OPTIONAL, -- Need M

dmrs-DownlinkForPDSCH-MappingTypeB SetupRelease { DMRS-DownlinkConfig } OPTIONAL, -- Need M

tci-StatesToAddModList SEQUENCE (SIZE(1..maxNrofTCI-States)) OF TCI-State OPTIONAL, -- Need N

tci-StatesToReleaseList SEQUENCE (SIZE(1..maxNrofTCI-States)) OF TCI-StateId OPTIONAL, -- Need N

vrb-ToPRB-Interleaver ENUMERATED {n2, n4} OPTIONAL, -- Need S

resourceAllocation ENUMERATED { resourceAllocationType0, resourceAllocationType1, dynamicSwitch},

pdsch-TimeDomainAllocationList SetupRelease { PDSCH-TimeDomainResourceAllocationList } OPTIONAL, -- Need M

pdsch-AggregationFactor ENUMERATED { n2, n4, n8 } OPTIONAL, -- Need S

rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

rateMatchPatternGroup1 RateMatchPatternGroup OPTIONAL, -- Need R

rateMatchPatternGroup2 RateMatchPatternGroup OPTIONAL, -- Need R

rbg-Size ENUMERATED {config1, config2},

mcs-Table ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

maxNrofCodeWordsScheduledByDCI ENUMERATED {n1, n2} OPTIONAL, -- Need R

prb-BundlingType CHOICE {

staticBundling SEQUENCE {

bundleSize ENUMERATED { n4, wideband } OPTIONAL -- Need S

},

dynamicBundling SEQUENCE {

bundleSizeSet1 ENUMERATED { n4, wideband, n2-wideband, n4-wideband } OPTIONAL, -- Need S

bundleSizeSet2 ENUMERATED { n4, wideband } OPTIONAL -- Need S

}

},

zp-CSI-RS-ResourceToAddModList SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-Resources)) OF ZP-CSI-RS-Resource

OPTIONAL, -- Need N

zp-CSI-RS-ResourceToReleaseList SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-Resources)) OF ZP-CSI-RS-ResourceId

OPTIONAL, -- Need N

aperiodic-ZP-CSI-RS-ResourceSetsToAddModList SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-ResourceSets)) OF ZP-CSI-RS-ResourceSet

OPTIONAL, -- Need N

aperiodic-ZP-CSI-RS-ResourceSetsToReleaseList SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-ResourceSets)) OF ZP-CSI-RS-ResourceSetId

OPTIONAL, -- Need N

sp-ZP-CSI-RS-ResourceSetsToAddModList SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-ResourceSets)) OF ZP-CSI-RS-ResourceSet

OPTIONAL, -- Need N

sp-ZP-CSI-RS-ResourceSetsToReleaseList SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-ResourceSets)) OF ZP-CSI-RS-ResourceSetId

OPTIONAL, -- Need N

p-ZP-CSI-RS-ResourceSet SetupRelease { ZP-CSI-RS-ResourceSet }

OPTIONAL, -- Need M

...,

[[

maxMIMO-Layers-r16 SetupRelease { MaxMIMO-LayersDL-r16 } OPTIONAL, -- Need M

minimumSchedulingOffsetK0-r16 SetupRelease { MinSchedulingOffsetK0-Values-r16 } OPTIONAL, -- Need M

-- Start of the parameters for DCI format 1\_2 introduced in V16.1.0

antennaPortsFieldPresenceDCI-1-2-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

aperiodicZP-CSI-RS-ResourceSetsToAddModListDCI-1-2-r16 SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-ResourceSets)) OF ZP-CSI-RS-ResourceSet

OPTIONAL, -- Need N

aperiodicZP-CSI-RS-ResourceSetsToReleaseListDCI-1-2-r16 SEQUENCE (SIZE (1..maxNrofZP-CSI-RS-ResourceSets)) OF ZP-CSI-RS-ResourceSetId

OPTIONAL, -- Need N

dmrs-DownlinkForPDSCH-MappingTypeA-DCI-1-2-r16 SetupRelease { DMRS-DownlinkConfig } OPTIONAL, -- Need M

dmrs-DownlinkForPDSCH-MappingTypeB-DCI-1-2-r16 SetupRelease { DMRS-DownlinkConfig } OPTIONAL, -- Need M

dmrs-SequenceInitializationDCI-1-2-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

harq-ProcessNumberSizeDCI-1-2-r16 INTEGER (0..4) OPTIONAL, -- Need R

mcs-TableDCI-1-2-r16 ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

numberOfBitsForRV-DCI-1-2-r16 INTEGER (0..2) OPTIONAL, -- Need R

pdsch-TimeDomainAllocationListDCI-1-2-r16 SetupRelease { PDSCH-TimeDomainResourceAllocationList-r16 }

OPTIONAL, -- Need M

prb-BundlingTypeDCI-1-2-r16 CHOICE {

staticBundling-r16 SEQUENCE {

bundleSize-r16 ENUMERATED { n4, wideband } OPTIONAL -- Need S

},

dynamicBundling-r16 SEQUENCE {

bundleSizeSet1-r16 ENUMERATED { n4, wideband, n2-wideband, n4-wideband } OPTIONAL, -- Need S

bundleSizeSet2-r16 ENUMERATED { n4, wideband } OPTIONAL -- Need S

}

} OPTIONAL, -- Need R

priorityIndicatorDCI-1-2-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

rateMatchPatternGroup1DCI-1-2-r16 RateMatchPatternGroup OPTIONAL, -- Need R

rateMatchPatternGroup2DCI-1-2-r16 RateMatchPatternGroup OPTIONAL, -- Need R

resourceAllocationType1GranularityDCI-1-2-r16 ENUMERATED {n2,n4,n8,n16} OPTIONAL, -- Need S

vrb-ToPRB-InterleaverDCI-1-2-r16 ENUMERATED {n2, n4} OPTIONAL, -- Need S

referenceOfSLIVDCI-1-2-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

resourceAllocationDCI-1-2-r16 ENUMERATED { resourceAllocationType0, resourceAllocationType1, dynamicSwitch}

OPTIONAL, -- Need M

-- End of the parameters for DCI format 1\_2 introduced in V16.1.0

priorityIndicatorDCI-1-1-r16 ENUMERATED {enabled} OPTIONAL, -- Need S

dataScramblingIdentityPDSCH2-r16 INTEGER (0..1023) OPTIONAL, -- Need R

pdsch-TimeDomainAllocationList-r16 SetupRelease { PDSCH-TimeDomainResourceAllocationList-r16 } OPTIONAL, -- Need M

repetitionSchemeConfig-r16 SetupRelease { RepetitionSchemeConfig-r16} OPTIONAL -- Need M

]],

[[

repetitionSchemeConfig-v1630 SetupRelease { RepetitionSchemeConfig-v1630} OPTIONAL -- Need M

]],

[[

pdsch-HARQ-ACK-OneShotFeedbackDCI-1-2-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

pdsch-HARQ-ACK-EnhType3DCI-1-2-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

pdsch-HARQ-ACK-EnhType3DCI-Field-1-2-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

pdsch-HARQ-ACK-RetxDCI-1-2-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

pucch-sSCellDynDCI-1-2-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

dl-OrJoint-TCIStateList-r17 CHOICE {

explicitlist SEQUENCE {

dl-orJoint-TCI-State-ToAddModList-r17 SEQUENCE (SIZE (1..maxNrofTCI-States)) OF TCI-State

OPTIONAL, -- Need N

dl-orJoint-TCI-State-ToReleaseList-r17 SEQUENCE (SIZE (1..maxNrofTCI-States)) OF TCI-StateId

OPTIONAL -- Need N

},

unifiedTCI-StateRef-r17 ServingCellAndBWP-Id-r17

} OPTIONAL, -- Need R

beamAppTime-r17 ENUMERATED {n1, n2, n4, n7, n14, n28, n42, n56, n70, n84, n98, n112, n224, n336, spare2,

spare1} OPTIONAL, -- Need R

pdsch-TimeDomainAllocationListForMultiPDSCH-r17 SetupRelease { MultiPDSCH-TDRA-List-r17 } OPTIONAL, -- Need M

dmrs-FD-OCC-DisabledForRank1-PDSCH-r17 ENUMERATED {true} OPTIONAL, -- Need R

minimumSchedulingOffsetK0-r17 SetupRelease { MinSchedulingOffsetK0-Values-r17 } OPTIONAL, -- Need M

harq-ProcessNumberSizeDCI-1-2-v1700 INTEGER (0..5) OPTIONAL, -- Need R

harq-ProcessNumberSizeDCI-1-1-r17 INTEGER (5) OPTIONAL, -- Need R

mcs-Table-r17 ENUMERATED {qam1024} OPTIONAL, -- Need R

mcs-TableDCI-1-2-r17 ENUMERATED {qam1024} OPTIONAL, -- Need R

xOverheadMulticast-r17 ENUMERATED {xOh6, xOh12, xOh18} OPTIONAL, -- Need S

priorityIndicatorDCI-4-2-r17 ENUMERATED {enabled} OPTIONAL, -- Need S

sizeDCI-4-2-r17 INTEGER (20..maxDCI-4-2-Size-r17) OPTIONAL -- Need R

]]

}

RateMatchPatternGroup ::= SEQUENCE (SIZE (1..maxNrofRateMatchPatternsPerGroup)) OF CHOICE {

cellLevel RateMatchPatternId,

bwpLevel RateMatchPatternId

}

MinSchedulingOffsetK0-Values-r16 ::= SEQUENCE (SIZE (1..maxNrOfMinSchedulingOffsetValues-r16)) OF INTEGER (0..maxK0-SchedulingOffset-r16)

MinSchedulingOffsetK0-Values-r17 ::= SEQUENCE (SIZE (1..maxNrOfMinSchedulingOffsetValues-r16)) OF INTEGER (0..maxK0-SchedulingOffset-r17)

MaxMIMO-LayersDL-r16 ::= INTEGER (1..8)

-- TAG-PDSCH-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***PDSCH-Config* field descriptions** |
| ***antennaPortsFieldPresenceDCI-1-2***  Configure the presence of "Antenna ports" field in DCI format 1\_2. When the field is configured, then the "Antenna ports" field is present in DCI format 1\_2. Otherwise, the field size is set to 0 for DCI format 1\_2 (See TS 38.212 [17], clause 7.3.1.1.3). If neither *dmrs-DownlinkForPDSCH-MappingTypeA-DCI-1-2* nor *dmrs-DownlinkForPDSCH-MappingTypeB-DCI-1-2* is configured, this field is absent. |
| ***aperiodic-ZP-CSI-RS-ResourceSetsToAddModList, aperiodic-ZP-CSI-RS-ResourceSetsToAddModListDCI-1-2***  AddMod/Release lists for configuring aperiodically triggered zero-power CSI-RS resource sets. Each set contains a *ZP-CSI-RS-ResourceSetId* and the IDs of one or more *ZP-CSI-RS-Resources* (the actual resources are defined in the *zp-CSI-RS-ResourceToAddModList*). The network configures the UE with at most 3 aperiodic *ZP-CSI-RS-ResourceSets* and it uses only the *ZP-CSI-RS-ResourceSetId* 1 to 3. The network triggers a set by indicating its *ZP-CSI-RS-ResourceSetId* in the DCI payload. The DCI codepoint '01' triggers the resource set with *ZP-CSI-RS-ResourceSetId* 1, the DCI codepoint '10' triggers the resource set with *ZP-CSI-RS-ResourceSetId 2*, and the DCI codepoint '11' triggers the resource set with *ZP-CSI-RS-ResourceSetId* 3 (see TS 38.214 [19], clause 5.1.4.2). The field *aperiodic-ZP-CSI-RS-ResourceSetsToAddModList* applies to DCI format 1\_1 and the field *aperiodic-ZP-CSI-RS-ResourceSetsToAddModListDCI-1-2* applies to DCI format 1\_2 (see TS 38.214 [19], clause 5.1.4.2 and TS 38.212 [17] clause 7.3.1). |
| ***beamAppTime***  Indicates the first slot to apply the unified TCI indicated by DCI as specified in TS 38.214 Clause 5.1.5. The value n1 means 1 symbol, n2 two symbols and so on. The first slot is at least Y symbols indicated by beamAppTime parameter after the last symbol of the acknowledgment of the joint or separate DL/UL beam indication. The same value shall be configured for all serving cells in any one of the *simultaneousU-TCI-UpdateListN* configured in IE *CellGroupConfig* based on the smallest SCS of the active BWP. |
| ***dataScramblingIdentityPDSCH, dataScramblingIdentityPDSCH2***  Identifier(s) used to initialize data scrambling (c\_init) for PDSCH as specified in TS 38.211 [16], clause 7.3.1.1. The *dataScramblingIdentityPDSCH2* is configured if *coresetPoolIndex* is configured with 1 for at least one CORESET in the same BWP. |
| ***dl-OrJoint-TCI-State-ToAddModList***  A list of Transmission Configuration Indicator (TCI) states indicating a transmission configuration which includes QCL-relationships between the DL RSs in one RS set and the PDSCH DMRS ports (see TS 38.214 [19], clause 5.1.5). |
| ***dmrs-DownlinkForPDSCH-MappingTypeA, dmrs-DownlinkForPDSCH-MappingTypeA-DCI-1-2***  DMRS configuration for PDSCH transmissions using PDSCH mapping type A (chosen dynamically via *PDSCH-TimeDomainResourceAllocation*). Only the fields *dmrs-Type*, *dmrs-AdditionalPosition* and *maxLength* may be set differently for mapping type A and B. The field *dmrs-DownlinkForPDSCH-MappingTypeA* applies to DCI format 1\_1 and the field *dmrs-DownlinkForPDSCH-MappingTypeA-DCI-1-2* applies to DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***dmrs-DownlinkForPDSCH-MappingTypeB, dmrs-DownlinkForPDSCH-MappingTypeB-DCI-1-2***  DMRS configuration for PDSCH transmissions using PDSCH mapping type B (chosen dynamically via *PDSCH-TimeDomainResourceAllocation*). Only the fields *dmrs-Type*, *dmrs-AdditionalPosition* and *maxLength* may be set differently for mapping type A and B. The field *dmrs-DownlinkForPDSCH-MappingTypeB* applies to DCI format 1\_1 and the field *dmrs-DownlinkForPDSCH-MappingTypeB-DCI-1-2* applies to DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***dmrs-FD-OCC-DisabledForRank1-PDSCH***  If configured, the UE may assume that the set of remaining orthogonal antenna ports, which are within the same code division multiplexing (CDM) group and have different frequency domain orthogonal cover codes (FD-OCC), are not associated with the PDSCH of another UE (see TS 38.214 [19], clause 5.1.6.2). It is applicable for PDSCH SCS of 480 and 960 kHz when rank 1 PDSCH with type-1 or type-2 DMRS is scheduled. |
| ***dmrs-SequenceInitializationDCI-1\_2***  Configure whether the field "DMRS Sequence Initialization" is present or not in DCI format 1\_2 If the field is absent, then the UE applies the value of 0 bit for the field "DMRS Sequence Initialization" in DCI format 1\_2. If the field is present, then the UE applies the value of 1 bit as in DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***harq-ProcessNumberSizeDCI-1-2***  Configure the number of bits for the field "HARQ process number" in DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1). |
| ***maxMIMO-Layers***  Indicates the maximum number of MIMO layers to be used for PDSCH in this DL BWP. If not configured, the UE uses the *maxMIMO-Layers* configuration in IE *PDSCH-ServingCellConfig* of the serving cell to which this BWP belongs, when the UE operates in this BWP. The value of *maxMIMO-Layers* for a DL BWP shall be smaller than or equal to the value of *maxMIMO-Layers* configured in IE *PDSCH-ServingCellConfig* of the serving cell to which this BWP belongs.  For MBS multicast, indicates the maximum number of MIMO layers to be used for group-common PDSCH of MBS multicast in this CFR. If not configured for CFR, the UE applies value 1. The value of *maxMIMO-Layers* for a CFR shall be smaller than or equal to the value of *maxMIMO-Layers* configured in *PDSCH-ServingCellConfig* IE of the serving cell to which this CFR belongs. |
| ***maxNrofCodeWordsScheduledByDCI***  Maximum number of code words that a single DCI may schedule. This changes the number of MCS/RV/NDI bits in the DCI message from 1 to 2. |
| ***mcs-Table***  Indicates which MCS table the UE shall use for PDSCH for DCI formats 1\_0 and 1\_1 (see TS 38.214 [19], clause 5.1.3.1). If all fields are absent the UE applies the value 64QAM. If the field *mcs-Table-r17* is present for DCI format 1\_1, the network does not configure the field *mcs-Table* (without suffix). For a RedCap UE, the 256QAM MCS table for PDSCH is only supported if the UE indicates support of 256QAM for PDSCH. |
| ***mcs-TableDCI-1-2***  Indicates which MCS table the UE shall use for PDSCH for DCI format 1\_2 (see TS 38.214 [19], clause 5.1.3.1). If all fields are absent the UE applies the value 64QAM. If the field *mcs-TableDCI-1-2-r17* is present, the network does not configure the field *mcs-TableDCI-1-2-r16*. For a RedCap UE, the 256QAM MCS table for PDSCH is only supported if the UE indicates support of 256QAM for PDSCH. |
| ***minimumSchedulingOffsetK0***  List of minimum K0 values. Minimum K0 parameter denotes minimum applicable value(s) for the TDRA table for PDSCH and for A-CSI RS triggering Offset(s) (see TS 38.214 [19], clause 5.3.1). |
| ***numberOfBitsForRV-DCI-1-2***  Configures the number of bits for "Redundancy version" in the DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.214 [19], clause 5.1.2.1). |
| ***pdsch-AggregationFactor***  Number of repetitions for data (see TS 38.214 [19], clause 5.1.2.1). When the field is absent in *PDSCH-Config* which is not used for MBS CFR, the UE applies the value 1. |
| ***pdsch-HARQ-ACK-EnhType3DCI-1-2***  When configured, enhanced Type 3 HARQ-ACK codebook triggering by DCI format 1\_2 is enabled. |
| ***pdsch-HARQ-ACK-EnhType3DCI-Field-1-2***  Enables the enhanced Type 3 codebook through a new DCI field to indicate the enhanced Type 3 HARQ-ACK codebook in DCI format 1\_2 if the more than one enhanced Type 3 HARQ-ACK codebook is configured for the primary PUCCH cell group. |
| ***pdsch-HARQ-ACK-OneShotFeedbackDCI-1-2***  When configured, DCI format 1\_2 can request the UE to report A/N for all HARQ processes and all component carriers configured in the PUCCH group (see TS 38.212 [17], clause 7.3.1). |
| ***pdsch-HARQ-ACK-RetxDCI-1-2***  When configured, DCI format 1\_2 can request the UE to perform a HARQ-ACK re-transmission on a PUCCH resource (see TS 38.213 [13], clause 9.1.5). |
| ***pdsch-TimeDomainAllocationList, pdsch-TimeDomainAllocationListDCI-1-2, pdsch-TimeDomainAllocationListForMultiPDSCH***  List of time-domain configurations for timing of DL assignment to DL data.  The field *pdsch-TimeDomainAllocationList* (with or without suffix) applies to DCI format 1\_0 and DCI format 1\_1 (see table 5.1.2.1.1-1 in TS 38.214 [19]), and if the field *pdsch-TimeDomainAllocationListDCI-1-2* is not configured, to DCI format 1\_2. If the field *pdsch-TimeDomainAllocationListDCI-1-2* is configured, it applies to DCI format 1\_2 (see table 5.1.2.1.1-1A in TS 38.214 [19]). The field *pdsch-TimeDomainAllocationListForMultiPDSCH* applies to DCI format 1\_1.  The network does not configure the *pdsch-TimeDomainAllocationList-r16* simultaneously with the *pdsch-TimeDomainAllocationList* (without suffix) in the same *PDSCH-Config*. |
| ***prb-BundlingType,*** ***prb-BundlingTypeDCI-1-2***  Indicates the PRB bundle type and bundle size(s) (see TS 38.214 [19], clause 5.1.2.3). If *dynamic* is chosen, the actual *bundleSizeSet1 or bundleSizeSet2* to use is indicated via DCI. Constraints on *bundleSize(Set)* setting depending on *vrb-ToPRB-Interleaver* and *rbg-Size* settings are described in TS 38.214 [19], clause 5.1.2.3. If a *bundleSize(Set)* value is absent, the UE applies the value *n2*. The field *prb-BundlingType* applies to DCI format 1\_1, and the field *prb-BundlingTypeDCI-1-2* applies to DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.214 [19], clause 5.1.2.3). |
| ***priorityIndicatorDCI-1-1, priorityIndicatorDCI-1-2, priorityIndicatorDCI-4-2***  Configure the presence of "priority indicator" in DCI format 1\_1/1\_2/4\_2. When the field is absent in the IE, then 0 bit for "priority indicator" in DCI format 1\_1/1\_2/4\_2. The field *priorityIndicatorDCI-1-1* applies to DCI format 1\_1, the field *priorityIndicatorDCI-1-2* applies to DCI format 1\_2 and the field *priorityIndicatorDCI-4-2* applies to DCI format 4\_2, respectively (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13] clause 9). |
| ***pucch-sSCellDynDCI-1-2***  When configured, PUCCH cell switching based on dynamic indication in DCI format 1\_2 is enabled (see TS 38.213 [13], clause 9.A). |
| ***p-ZP-CSI-RS-ResourceSet***  A set of periodically occurring ZP-CSI-RS-Resources (the actual resources are defined in the zp-CSI-RS-ResourceToAddModList). The network uses the ZP-CSI-RS-ResourceSetId=0 for this set.  If *p-ZP-CSI-RS-ResourceSet* is configured in both *PDSCH-Config* for MBS CFR and *PDSCH-Config* for the assoicated BWP, it is subject to UE capability whether the *p-ZP-CSI-RS-ResourceSet* configured in *PDSCH-Config* for MBS CFR can be different from the *p-ZP-CSI-RS-ResourceSet* configured in *PDSCH-Config* for the assoicated BWP. |
| ***rateMatchPatternGroup1, rateMatchPatternGroup1DCI-1-2***  The IDs of a first group of *RateMatchPatterns* defined in *PDSCH-Config*->*rateMatchPatternToAddModList* (BWP level) or in *ServingCellConfig* ->*rateMatchPatternToAddModLis*t (cell level). These patterns can be activated dynamically by DCI (see TS 38.214 [19], clause 5.1.4.1). The field *rateMatchPatternGroup1* applies to DCI format 1\_1, and the field *rateMatchPatternGroup1DCI-1-2* applies to DCI format 1\_2 (see TS 38.214 [19], clause 5.1.4.1). |
| ***rateMatchPatternGroup2, rateMatchPatternGroup2DCI-1-2***  The IDs of a second group of *RateMatchPatterns* defined in *PDSCH-Config*->*rateMatchPatternToAddModList* (BWP level) or in *ServingCellConfig* ->*rateMatchPatternToAddModLis*t (cell level). These patterns can be activated dynamically by DCI (see TS 38.214 [19], clause 5.1.4.1). The field *rateMatchPatternGroup2* applies to DCI format 1\_1, and the field *rateMatchPatternGroup2DCI-1-2* applies to DCI format 1\_2 (see TS 38.214 [19], clause 5.1.4.1). |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns (see TS 38.214 [19], clause 5.1.4.1). If a *RateMatchPattern* with the same *RateMatchPatternId* is configured in both MBS CFR and its associated BWP, the entire *RateMatchPattern* configuration shall be the same and they are counted as a single rate match pattern in the total configured rate match patterns as defined in TS 38.214 [19]. When UE is configured with the same *RateMatchPatternId* in both the CFR and in the associated BWP, it is expected to have the same set of RBs/REs indicated by the patterns for rate matching around. Otherwise, the different *RateMatchPatternId* will be configured in the CFR and in the associated BWP. |
| ***rbg-Size***  Selection between config 1 and config 2 for RBG size for PDSCH. The UE ignores this field if *resourceAllocation* is set to *resourceAllocationType1* (see TS 38.214 [19], clause 5.1.2.2.1). |
| ***referenceOfSLIVDCI-1-2***  Enable using the starting symbol of the PDCCH monitoring occasion in which the DL assignment is detected as the reference of the SLIV for DCI format 1\_2. When the RRC parameter enables the utilization of the new reference, the new reference is applied for TDRA entries with K0=0. For other entries (if any) in the same TDRA table, the reference is slot boundary as in Rel-15. PDSCH mapping type A is not supported with the new reference. The new reference of SLIV is not configured for a serving cell configured to be scheduled by cross-carrier scheduling on a scheduling cell with different numerology (see TS 38.212 [17] clause 7.3.1 and TS 38.214 [19] clause 5.1.2.1). |
| ***repetitionSchemeConfig***  Configure the UE with repetition schemes. The network does not configure *repetitionSchemeConfig-r16* and *repetitionSchemeConfig-v1630* simultaneously to *setup* in the same *PDSCH-Config*. |
| ***resourceAllocation, resourceAllocationDCI-1-2***  Configuration of resource allocation type 0 and resource allocation type 1 for non-fallback DCI (see TS 38.214 [19], clause 5.1.2.2). The field *resourceAllocation* applies to DCI format 1\_1, and the field *resourceAllocationDCI-1-2* applies to DCI format 1\_2 (see TS 38.214 [19], clause 5.1.2.2). |
| ***resourceAllocationType1GranularityDCI-1-2***  Configure the scheduling granularity applicable for both the starting point and length indication for resource allocation type 1 in DCI format 1\_2. If this field is absent, the granularity is 1 PRB (see TS 38.214 [19], clause 5.1.2.2.2). |
| ***sizeDCI-4-2***  Indicates the size of DCI format 4-2 (see TS 38.213 [13], clause 10.1). |
| ***sp-ZP-CSI-RS-ResourceSetsToAddModList***  AddMod/Release lists for configuring semi-persistent zero-power CSI-RS resource sets. Each set contains a *ZP-CSI-RS-ResourceSetId* and the IDs of one or more *ZP-CSI-RS-Resources* (the actual resources are defined in the *zp-CSI-RS-ResourceToAddModList*) (see TS 38.214 [19], clause 5.1.4.2). |
| ***tci-StatesToAddModList***  A list of Transmission Configuration Indicator (TCI) states indicating a transmission configuration which includes QCL-relationships between the DL RSs in one RS set and the PDSCH DMRS ports (see TS 38.214 [19], clause 5.1.5). |
| ***unifiedTCI-StateRef***  Provides the serving cell and BWP where the configuration for *dl-orJoint-TCI-State-ToAddModList-r17* in this IE for this serving cell and BWP. When this field is present, *dl-OrJoint-TCI-State-ToAddModList* and or *dl-Joint-TCI-State-ToReleaseList* are not present. |
| ***vrb-ToPRB-Interleaver, vrb-ToPRB-InterleaverDCI-1-2***  Interleaving unit configurable between 2 and 4 PRBs (see TS 38.211 [16], clause 7.3.1.6). When the field is absent, the UE performs non-interleaved VRB-to-PRB mapping. |
| ***xOverheadMulticast***  Accounts for an overhead from CSI-RS, CORESET etc. If the field is absent, the UE applies value xOh0 (see TS 38.214 [19]). |
| ***zp-CSI-RS-ResourceToAddModList***  A list of Zero-Power (ZP) CSI-RS resources used for PDSCH rate-matching. Each resource in this list may be referred to from only one type of resource set, i.e., aperiodic, semi-persistent or periodic (see TS 38.214 [19]). |

----Text omitted------------

– *ServingCellConfig*

The IE *ServingCellConfig* is used to configure (add or modify) the UE with a serving cell, which may be the SpCell or an SCell of an MCG or SCG. The parameters herein are mostly UE specific but partly also cell specific (e.g. in additionally configured bandwidth parts). Reconfiguration between a PUCCH and PUCCHless SCell is only supported using an SCell release and add.

***ServingCellConfig* information element**

-- ASN1START

-- TAG-SERVINGCELLCONFIG-START

ServingCellConfig ::= SEQUENCE {

tdd-UL-DL-ConfigurationDedicated TDD-UL-DL-ConfigDedicated OPTIONAL, -- Cond TDD

initialDownlinkBWP BWP-DownlinkDedicated OPTIONAL, -- Need M

downlinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

downlinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Downlink OPTIONAL, -- Need N

firstActiveDownlinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

bwp-InactivityTimer ENUMERATED {ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30,

ms40,ms50, ms60, ms80,ms100, ms200,ms300, ms500,

ms750, ms1280, ms1920, ms2560, spare10, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL, --Need R

defaultDownlinkBWP-Id BWP-Id OPTIONAL, -- Need S

uplinkConfig UplinkConfig OPTIONAL, -- Need M

supplementaryUplink UplinkConfig OPTIONAL, -- Need M

pdcch-ServingCellConfig SetupRelease { PDCCH-ServingCellConfig } OPTIONAL, -- Need M

pdsch-ServingCellConfig SetupRelease { PDSCH-ServingCellConfig } OPTIONAL, -- Need M

csi-MeasConfig SetupRelease { CSI-MeasConfig } OPTIONAL, -- Need M

sCellDeactivationTimer ENUMERATED {ms20, ms40, ms80, ms160, ms200, ms240,

ms320, ms400, ms480, ms520, ms640, ms720,

ms840, ms1280, spare2,spare1} OPTIONAL, -- Cond ServingCellWithoutPUCCH

crossCarrierSchedulingConfig CrossCarrierSchedulingConfig OPTIONAL, -- Need M

tag-Id TAG-Id,

dummy1 ENUMERATED {enabled} OPTIONAL, -- Need R

pathlossReferenceLinking ENUMERATED {spCell, sCell} OPTIONAL, -- Cond SCellOnly

servingCellMO MeasObjectId OPTIONAL, -- Cond MeasObject

...,

[[

lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

downlinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]],

[[

supplementaryUplinkRelease-r16 ENUMERATED {true} OPTIONAL, -- Need N

tdd-UL-DL-ConfigurationDedicated-IAB-MT-r16 TDD-UL-DL-ConfigDedicated-IAB-MT-r16 OPTIONAL, -- Cond TDD\_IAB

dormantBWP-Config-r16 SetupRelease { DormantBWP-Config-r16 } OPTIONAL, -- Need M

ca-SlotOffset-r16 CHOICE {

refSCS15kHz INTEGER (-2..2),

refSCS30KHz INTEGER (-5..5),

refSCS60KHz INTEGER (-10..10),

refSCS120KHz INTEGER (-20..20)

} OPTIONAL, -- Cond AsyncCA

dummy2 SetupRelease { DummyJ } OPTIONAL, -- Need M

intraCellGuardBandsDL-List-r16 SEQUENCE (SIZE (1..maxSCSs)) OF IntraCellGuardBandsPerSCS-r16 OPTIONAL, -- Need S

intraCellGuardBandsUL-List-r16 SEQUENCE (SIZE (1..maxSCSs)) OF IntraCellGuardBandsPerSCS-r16 OPTIONAL, -- Need S

csi-RS-ValidationWithDCI-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

lte-CRS-PatternList1-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

lte-CRS-PatternList2-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

crs-RateMatch-PerCORESETPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableTwoDefaultTCI-States-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultTCI-StatePerCoresetPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableBeamSwitchTiming-r16 ENUMERATED {true} OPTIONAL, -- Need R

cbg-TxDiffTBsProcessingType1-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

cbg-TxDiffTBsProcessingType2-r16 ENUMERATED {enabled} OPTIONAL -- Need R

]],

[[

directionalCollisionHandling-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

channelAccessConfig-r16 SetupRelease { ChannelAccessConfig-r16 } OPTIONAL -- Need M

]],

[[

nr-dl-PRS-PDC-Info-r17 SetupRelease {NR-DL-PRS-PDC-Info-r17} OPTIONAL, -- Need M

semiStaticChannelAccessConfigUE-r17 SetupRelease {SemiStaticChannelAccessConfigUE-r17} OPTIONAL, -- Need M

mimoParam-r17 SetupRelease {MIMOParam-r17} OPTIONAL, -- Need M

channelAccessMode2-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

timeDomainHARQ-BundlingType1-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

nrofHARQ-BundlingGroups-r17 ENUMERATED {n1, n2, n4} OPTIONAL, -- Need R

fdmed-ReceptionMulticast-r17 ENUMERATED {true} OPTIONAL, -- Need R

moreThanOneNackOnlyMode-r17 ENUMERATED {mode2} OPTIONAL, -- Need S

tci-Info-r17 TCI-Info OPTIONAL, -- Cond TCI\_Info

directionalCollisionHandling-DC-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

lte-NeighCellsCRS-AssistInfoList-r17 SetupRelease { LTE-NeighCellsCRS-AssistInfoList-r17 } OPTIONAL -- Need M

]]

}

UplinkConfig ::= SEQUENCE {

initialUplinkBWP BWP-UplinkDedicated OPTIONAL, -- Need M

uplinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

uplinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Uplink OPTIONAL, -- Need N

firstActiveUplinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

pusch-ServingCellConfig SetupRelease { PUSCH-ServingCellConfig } OPTIONAL, -- Need M

carrierSwitching SetupRelease { SRS-CarrierSwitching } OPTIONAL, -- Need M

...,

[[

powerBoostPi2BPSK BOOLEAN OPTIONAL, -- Need M

uplinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]],

[[

enablePL-RS-UpdateForPUSCH-SRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForPUSCH0-0-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForPUCCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForSRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

uplinkTxSwitching-r16 SetupRelease { UplinkTxSwitching-r16 } OPTIONAL, -- Need M

mpr-PowerBoost-FR2-r16 ENUMERATED {true} OPTIONAL -- Need R

]]

}

DummyJ ::= SEQUENCE {

maxEnergyDetectionThreshold-r16 INTEGER(-85..-52),

energyDetectionThresholdOffset-r16 INTEGER (-20..-13),

ul-toDL-COT-SharingED-Threshold-r16 INTEGER (-85..-52) OPTIONAL, -- Need R

absenceOfAnyOtherTechnology-r16 ENUMERATED {true} OPTIONAL -- Need R

}

ChannelAccessConfig-r16 ::= SEQUENCE {

energyDetectionConfig-r16 CHOICE {

maxEnergyDetectionThreshold-r16 INTEGER (-85..-52),

energyDetectionThresholdOffset-r16 INTEGER (-13..20)

} OPTIONAL, -- Need R

ul-toDL-COT-SharingED-Threshold-r16 INTEGER (-85..-52) OPTIONAL, -- Need R

absenceOfAnyOtherTechnology-r16 ENUMERATED {true} OPTIONAL -- Need R

}

IntraCellGuardBandsPerSCS-r16 ::= SEQUENCE {

guardBandSCS-r16 SubcarrierSpacing,

intraCellGuardBands-r16 SEQUENCE (SIZE (1..4)) OF GuardBand-r16

}

GuardBand-r16 ::= SEQUENCE {

startCRB-r16 INTEGER (0..274),

nrofCRBs-r16 INTEGER (0..15)

}

DormancyGroupID-r16 ::= INTEGER (0..4)

DormantBWP-Config-r16::= SEQUENCE {

dormantBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

withinActiveTimeConfig-r16 SetupRelease { WithinActiveTimeConfig-r16 } OPTIONAL, -- Need M

outsideActiveTimeConfig-r16 SetupRelease { OutsideActiveTimeConfig-r16 } OPTIONAL -- Need M

}

WithinActiveTimeConfig-r16 ::= SEQUENCE {

firstWithinActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

dormancyGroupWithinActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

OutsideActiveTimeConfig-r16 ::= SEQUENCE {

firstOutsideActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

dormancyGroupOutsideActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

UplinkTxSwitching-r16 ::= SEQUENCE {

uplinkTxSwitchingPeriodLocation-r16 BOOLEAN,

uplinkTxSwitchingCarrier-r16 ENUMERATED {carrier1, carrier2}

}

MIMOParam-r17 ::= SEQUENCE {

additionalPCI-ToAddModList-r17 SEQUENCE (SIZE(1..maxNrofAdditionalPCI-r17)) OF SSB-MTC-AdditionalPCI-r17 OPTIONAL, -- Need N

additionalPCI-ToReleaseList-r17 SEQUENCE (SIZE(1..maxNrofAdditionalPCI-r17)) OF AdditionalPCIIndex-r17 OPTIONAL, -- Need N

unifiedTCI-StateType-r17 ENUMERATED {separate, joint} OPTIONAL, -- Need R

uplink-PowerControlToAddModList-r17 SEQUENCE (SIZE (1..maxUL-TCI-r17)) OF Uplink-powerControl-r17 OPTIONAL, -- Need N

uplink-PowerControlToReleaseList-r17 SEQUENCE (SIZE (1..maxUL-TCI-r17)) OF Uplink-powerControlId-r17 OPTIONAL, -- Need N

sfnSchemePDCCH-r17 ENUMERATED {sfnSchemeA,sfnSchemeB} OPTIONAL, -- Need R

sfnSchemePDSCH-r17 ENUMERATED {sfnSchemeA,sfnSchemeB} OPTIONAL -- Need R

}

-- TAG-SERVINGCELLCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***ChannelAccessConfig* field descriptions** |
| ***absenceOfAnyOtherTechnology***  Presence of this field indicates absence on a long term basis (e.g. by level of regulation) of any other technology sharing the carrier; absence of this field indicates the potential presence of any other technology sharing the carrier, as specified in TS 37.213 [48] clauses 4.2.1 and 4.2.3. |
| ***energyDetectionConfig***  Indicates whether to use the *maxEnergyDetectionThreshold* or the *energyDetectionThresholdOffset* (see TS 37.213 [48], clause 4.2.3)*.* |
| ***energyDetectionThresholdOffset***  Indicates the offset to the default maximum energy detection threshold value. Unit in dB. Value -13 corresponds to -13dB, value -12 corresponds to -12dB, and so on (i.e. in steps of 1dB) as specified in TS 37.213 [48], clause 4.2.3. |
| ***maxEnergyDetectionThreshold***  Indicates the absolute maximum energy detection threshold value. Unit in dBm. Value -85 corresponds to -85 dBm, value -84 corresponds to -84 dBm, and so on (i.e. in steps of 1dBm) as specified in TS 37.213 [48], clause 4.2.3. |
| ***ul-toDL-COT-SharingED-Threshold***  Maximum energy detection threshold that the UE should use to share channel occupancy with gNB for DL transmission as specified in TS 37.213 [48], clause 4.1.3 for downlink channel access and clause 4.2.3 for uplink channel access. This field is not applicable in semi-static channel access mode. |

|  |
| --- |
| ***ServingCellConfig* field descriptions** |
| ***additionalPCIList***  List of information for the additional SSB with different PCI than serving cell PCI. The additional SSBs with different PCIs are not used for measurement event evaluation. |
| ***bwp-InactivityTimer***  The duration in ms after which the UE falls back to the default Bandwidth Part (see TS 38.321 [3], clause 5.15). When the network releases the timer configuration, the UE stops the timer without switching to the default BWP. |
| ***ca-SlotOffset***  Slot offset between the primary cell (PCell/PSCell) and the SCell in unaligned frame boundary with slot alignment and partial SFN alignment inter-band CA. Based on this field, the UE determines the time offset of the SCell as specified in clause 4.5 of TS 38.211 [16]. The granularity of this field is determined by the reference SCS for the slot offset (i.e. the maximum of PCell/PSCell lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB* and this serving cell's lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*).  The Network configures at most single non-zero offset duration in ms (independent on SCS) among CCs in the unaligned CA configuration. If the field is absent, the UE applies the value of 0. The slot offset value can only be changed with SCell release and add. |
| ***cbg-TxDiffTBsProcessingType1, cbg-TxDiffTBsProcessingType2***  Indicates whether processing types 1 and 2 based CBG based operation is enabled according to Rel-16 UE capabilities. |
| ***channelAccessConfig***  List of parameters used for access procedures of operation with shared spectrum channel access (see TS 37.213 [48). |
| ***channelAccessMode2***  If present, this field indicates that the UE shall apply channel access mode procedures for operation with shared spectrum channel access in accordance with TS 37.213 [48], clause 4.4 for FR2-2. If absent, the UE does not apply these channel access procedures.  Overwrites the corresponding field in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB* for this serving cell. |
| ***crossCarrierSchedulingConfig***  Indicates whether this serving cell is cross-carrier scheduled by another serving cell or whether it cross-carrier schedules another serving cell. If the field *other* is configured for an SpCell (i.e., the SpCell is cross-carrier scheduled by another serving cell), the SpCell can be additionally scheduled by the PDCCH on the SpCell. |
| ***crs-RateMatch-PerCORESETPoolIndex***  Indicates how UE performs rate matching when both lte-CRS-PatternList1-r16 and lte-CRS-PatternList2-r16 are configured as specified in TS 38.214 [19], clause 5.1.4.2. |
| ***csi-RS-ValidationWithDCI***  Indicates how the UE performs periodic and semi-persistent CSI-RS reception in a slot. The presence of this field indicates that the UE uses DCI detection to validate whether to receive CSI-RS (see TS 38.213 [13], clause 11.1). |
| ***defaultDownlinkBWP-Id***  The initial bandwidth part is referred to by BWP-Id = 0. ID of the downlink bandwidth part to be used upon expiry of the BWP inactivity timer. This field is UE specific. When the field is absent the UE uses the initial BWP as default BWP. (see TS 38.213 [13], clause 12 and TS 38.321 [3], clause 5.15). |
| ***directionalCollisionHandling***  Indicates that this serving cell is using directional collision handling between a reference and other cell(s) for half-duplex operation in TDD CA with same SCS as specified in TS 38.213 [13], clause 11.1. The half-duplex operation only applies within the same frequency range and cell group.  The network only configures this field for TDD serving cells that are using the same SCS. |
| ***directionalCollisionHandling-DC***  For the IAB-MT, it indicates that this serving cell is using directional collision handling between a reference and other cell(s) for half-duplex operation in TDD NR-DC with same SCS within same cell group or cross different cell groups. |
| ***dormantBWP-Config***  The dormant BWP configuration for an SCell. This field can be configured only for a (non-PUCCH) SCell. |
| ***downlinkBWP-ToAddModList***  List of additional downlink bandwidth parts to be added or modified. (see TS 38.213 [13], clause 12). |
| ***downlinkBWP-ToReleaseList***  List of additional downlink bandwidth parts to be released. (see TS 38.213 [13], clause 12). |
| ***downlinkChannelBW-PerSCS-List***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *DownlinkConfigCommon* / *DownlinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***dummy1, dummy 2***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***enableBeamSwitchTiming***  Indicates the aperiodic CSI-RS triggering with beam switching triggering behaviour as defined in clause 5.2.1.5.1 of TS 38.214 [19]. |
| ***enableDefaultTCI-StatePerCoresetPoolIndex***  Presence of this field indicates the UE shall follow the release 16 behavior of default TCI state per CORESETPoolindex when the UE is configured by higher layer parameter PDCCH-Config that contains two different values of CORESETPoolIndex in ControlResourceSet is enabled. |
| ***enableTwoDefaultTCI-States***  Presence of this field indicates the UE shall follow the release 16 behavior of two default TCI states for PDSCH when at least one TCI codepoint is mapped to two TCI states is enabled |
| ***fdmed-ReceptionMulticast***  Indicates the Type-1 HARQ codebook generation as specified in TS 38.213 [13], clause 9.1.2.1. |
| ***firstActiveDownlinkBWP-Id***  If configured for an SpCell, this field contains the ID of the DL BWP to be activated or to be used for RLM, BFD and measurements if included in an *RRCReconfiguration* message contained in an NR or E-UTRA RRC message indicating that the SCG is deactivated, upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch. If the field is absent for the PSCell at SCG deactivation, the UE considers the previously activated DL BWP as the BWP to be used for RLM, BFD and measurements. If the field is absent for the PSCell at SCG activation, the DL BWP to be activated is the DL BWP previously to be used for RLM, BFD and measurements.  If configured for an SCell, this field contains the ID of the downlink bandwidth part to be used upon activation of an SCell. The initial bandwidth part is referred to by BWP-Id = 0.  Upon reconfiguration with *reconfigurationWithSync*, the network sets the *firstActiveDownlinkBWP-Id* and *firstActiveUplinkBWP-Id* to the same value. |
| ***initialDownlinkBWP***  The dedicated (UE-specific) configuration for the initial downlink bandwidth-part (i.e. DL BWP#0). If any of the optional IEs are configured within this IE, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***intraCellGuardBandsDL-List, intraCellGuardBandsUL-List***  List of intra-cell guard bands in a serving cell for operation with shared spectrum channel access. If not configured, the guard bands are defined according to 38.101-1 [15], see TS 38.214 [19], clause 7. For operation in licensed spectrum, this field is absent, and no UE action is required. |
| ***lte-CRS-PatternList1***  A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH. The LTE CRS patterns in this list shall be non-overlapping in frequency. The network does not configure this field and *lte-CRS-ToMatchAround* simultaneously. |
| ***lte-CRS-PatternList2***  A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH scheduled with a DCI detected on a CORESET with CORESETPoolIndex configured with 1. This list is configured only if CORESETPoolIndex configured with 1. The first LTE CRS pattern in this list shall be fully overlapping in frequency with the first LTE CRS pattern in lte-CRS-PatternList1, The second LTE CRS pattern in this list shall be fully overlapping in frequency with the second LTE CRS pattern in lte-CRS-PatternList1, and so on. Network configures this field only if the field *lte-CRS-ToMatchAround* is not configured and there is at least one ControlResourceSet in one DL BWP of this serving cell with *coresetPoolIndex* set to 1. |
| ***lte-CRS-ToMatchAround***  Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***lte-NeighCellsCRS-AssistInfoList***  A list of LTE neighbour cells configuration information which is used to assist the UE to perform CRS interference mitigation (CRS-IM) in scenarios with overlapping spectrum for LTE and NR (see TS 38.101-4 [59]). If the field is included, it replaces any previous list, i.e. all the entries of the list are replaced and each of the *LTE-NeighCellsCRS-AssistInfo* entries is considered to be newly created and the conditions and Need codes for setup of the entry apply. |
| ***nr-dl-PRS-PDC-Info***  Configures the DL PRS for propagation delay compensation. When configured, the UE measures the UE Rx-Tx time difference based on the reference signals configured in this field. |
| ***nrofHARQ-BundlingGroups***  Indicates the number of HARQ bundling groups for type2 HARQ-ACK codebook. |
| ***pathlossReferenceLinking***  Indicates whether UE shall apply as pathloss reference either the downlink of SpCell (PCell for MCG or PSCell for SCG) or of SCell that corresponds with this uplink (see TS 38.213 [13], clause 7). |
| ***pdsch-ServingCellConfig***  PDSCH related parameters that are not BWP-specific. |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology. See TS 38.214 [19], clause 5.1.4.1. If a *RateMatchPattern* with the same *RateMatchPatternId* is configured in both *ServingCellConfig/ServingCellConfigCommon* and in SIB20/MCCH, the entire *RateMatchPattern* configuration shall be the same and they are counted as a single rate match pattern in the total configured rate match patterns as defined in TS 38.214 [19]. When UE is configured with the same *RateMatchPatternId* in both the CFR and in the associated BWP, it is expected to have the same set of RBs/REs indicated by the patterns for rate matching around. Otherwise, the different *RateMatchPatternId* will be configured in the CFR and in the associated BWP. |
| ***sCellDeactivationTimer***  SCell deactivation timer in TS 38.321 [3]. If the field is absent, the UE applies the value infinity. |
| ***sfnSchemePDCCH***  This parameter is used to configure SFN scheme for PDCCH: sfnSchemeA or sfnSchemeB as specified (see TS 38.214 [19], clause 5.1). If network includes both *sfnSchemePDCCH* and *sfnSchemePDSCH*, same value shall be configured. |
| ***sfnSchemePDSCH***  This parameter is used to configure SFN scheme for PDSCH: sfnSchemeA or sfnSchemeB as specified (see TS 38.214 [19], clause 5.1). If network includes both *sfnSchemePDCCH* and *sfnSchemePDSCH*, same value shall be configured. |
| ***semiStaticChannelAccessConfigUE***  When this field is configured and when *channelAccessMode-r16* (see IE ServingCellConfigCommon and IE ServingCellConfigCommonSIB) is configured to *semiStatic*, the UE operates in semi-static channel access mode and can initiate a channel occupancy periodically (see TS 37.213 [48], Clause 4.3).  The period can be configured independently from period configured in *SemiStaticChannelAccessConfig-r16* if the UE indicates the corresponding capability. Otherwise, the periodicity configured by *periodUE-r17* is an integer multiple of or an integter factor of the periodicity indicated by *period* in *SemiStaticChannelAccessConfig-r16.* |
| ***servingCellMO***  *measObjectId* of the *MeasObjectNR* in *MeasConfig* which is associated to the serving cell. For this *MeasObjectNR*, the following relationship applies between this MeasObjectNR and *frequencyInfoDL* in *ServingCellConfigCommon* of the serving cell: if *ssbFrequency* is configured, its value is the same as the *absoluteFrequencySSB* and if *csi-rs-ResourceConfigMobility* is configured, the value of its *subcarrierSpacing* is present in one entry of the *scs-SpecificCarrierList*, *csi-RS-CellListMobility* includes an entry corresponding to the serving cell (with *cellId* equal to *physCellId* in *ServingCellConfigCommon*) and the frequency range indicated by the *csi-rs-MeasurementBW* of the entry in *csi-RS-CellListMobility* is included in the frequency range indicated by in the entry of the *scs-SpecificCarrierList*. |
| ***supplementaryUplink***  Network may configure this field only when *supplementaryUplinkConfig* is configured in *ServingCellConfigCommon* or *supplementaryUplink* is configured in *ServingCellConfigCommonSIB*. |
| ***supplementaryUplinkRelease***  If this field is included, the UE shall release the uplink configuration configured by *supplementaryUplink*. The network only includes either *supplementaryUplinkRelease* or *supplementaryUplink* at a time. |
| ***tag-Id***  Timing Advance Group ID, as specified in TS 38.321 [3], which this cell belongs to. |
| ***tci-Info***  If configured for an SCell, or if configured for the PSCell when the SCG is being activated upon the reception of the containing message, the UE shall consider the indicated TCI states as the activated TCI states for PDCCH/PDSCH reception on this serving cell.  If configured for the PSCell when the SCG is indicated as deactivated in the containing message:  - the UE shall consider the indicated TCI states as the TCI states to be activated for PDCCH/PDSCH reception upon a later SCG activation in which *tci-Info* is absent  - if bfd-and-RLM is configured and no RS is configured in *RadioLinkMonitoringConfig* for RLM, respectively for BFD, the UE shall use the indicated TCI states for PDCCH as RS for RLM, respectively for BFD.  When this field is absent for the PSCell and the SCG is being deactivated:  - the UE shall consider the previously activated TCI states as the TCI states to be activated for PDCCH/PDSCH reception upon a later SCG activation in which *tci-Info* is absent  - if *bfd-and-RLM* is configured and no RS is configured in *RadioLinkMonitoringConfig* for RLM, respectively for BFD, the UE shall use the previously activated TCI states for PDCCH as RS for RLM, respectively for BFD. |
| ***tdd-UL-DL-ConfigurationDedicated-IAB-MT***  Resource configuration per IAB-MT D/U/F overrides all symbols (with a limitation that effectively only flexible symbols can be overwritten in Rel-16) per slot over the number of slots as provided by *TDD-UL-DL ConfigurationCommon*. |
| ***unifiedTCI-StateType***  Indicates the unified TCI state type the UE is configured for this serving cell. The value "Separate" means this serving cell is configured with *dl-orJoint-TCI-ToAddModList* for DL TCI state and *ul-TCI-ToAddModList* for UL TCI state. The value "Joint" means this serving cell is configured with *dl-orJoint-TCI-ToAddModList* for joint TCI state for UL and DL operation. |
| ***uplinkConfig***  Network may configure this field only when *uplinkConfigCommon* is configured in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*. Addition or release of this field can only be done upon SCell addition or release (respectively). |
| ***uplink-PowerControlToAddModList***  Configures UL power control parameters for PUSCH, PUCCH and SRS when field unifiedTCI-StateType is configured for this serving cell. |

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| ***UplinkConfig* field descriptions** |
| ***carrierSwitching***  Includes parameters for configuration of carrier based SRS switching (see TS 38.214 [19], clause 6.2.1.3. |
| ***enableDefaultBeamPL-ForPUSCH0-0, enableDefaultBeamPL-ForPUCCH, enableDefaultBeamPL-ForSRS***  When the parameter is present, UE derives the spatial relation and the corresponding pathloss reference Rs as specified in 38.213, clauses 7.1.1, 7.2.1, 7.3.1 and 9.2.2. The network only configures these parameters for FR2. |
| ***enablePL-RS-UpdateForPUSCH-SRS***  When this parameter is present, the Rel-16 feature of MAC CE based pathloss RS updates for PUSCH/SRS is enabled. Network only configures this parameter when the UE is configured with *sri-PUSCH-PowerControl*. If this field is not configured, network configures at most 4 pathloss RS resources for PUSCH/PUCCH/SRS transmissions per BWP, not including pathloss RS resources for SRS transmissions for positioning. (See TS 38.213 [13], clause 7). |
| ***firstActiveUplinkBWP-Id***  If configured for an SpCell, this field contains the ID of the UL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.  If configured for an SCell, this field contains the ID of the uplink bandwidth part to be used upon activation of an SCell. The initial bandwidth part is referred to by BandiwdthPartId = 0. |
| ***initialUplinkBWP***  The dedicated (UE-specific) configuration for the initial uplink bandwidth-part (i.e. UL BWP#0). If any of the optional IEs are configured within this IE as part of the IE *uplinkConfig*, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***moreThanOneNackOnlyMode***  Indicates the mode of supporting more than one NACK-only feedback in the same PUCCH transmission. Mode 1 means UE multiplexing the HARQ-ACK bits by transforming NACK-only into ACK/NACK HARQ bits. Mode 2 means UE transmitting a specific sequence or a PUCCH transmission corresponding to the combination of more than one NACK-only HARQ feedback. If multicast CFR is not configured, this field is not included. Otherwise, if the field is absent, UE uses mode 1 for multicast CFR. |
| ***mpr-PowerBoost-FR2***  Indicates whether UE is allowed to boost uplink transmission power by suspending in-band emission (IBE) requirements as specified in TS 38.101-2 [39]. Network only configures this field for FR2 serving cells. |
| ***powerBoostPi2BPSK***  If this field is set to *true*, the UE determines the maximum output power for PUCCH/PUSCH transmissions that use pi/2 BPSK modulation according to TS 38.101-1 [15], clause 6.2.4. |
| ***pusch-ServingCellConfig***  PUSCH related parameters that are not BWP-specific. |
| ***uplinkBWP-ToAddModList***  The additional bandwidth parts for uplink to be added or modified. In case of TDD uplink- and downlink BWP with the same *bandwidthPartId* are considered as a BWP pair and must have the same center frequency. |
| ***uplinkBWP-ToReleaseList***  The additional bandwidth parts for uplink to be released. |
| ***uplinkChannelBW-PerSCS-List***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *UplinkConfigCommon* / *UplinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***uplinkTxSwitchingPeriodLocation***  Indicates whether the location of UL Tx switching period is configured in this uplink carrier in case of inter-band UL CA, SUL, or (NG)EN-DC, as specified in TS 38.101-1 [15] and TS 38.101-3 [34].  In case of (NG)EN-DC, network always configures this field to TRUE for NR carrier (i.e. with (NG)EN-DC, the UL switching period always occurs on the NR carrier).  In case of inter-band UL CA or SUL, for dynamic uplink Tx switching between 2 bands with 2 uplink carriers or 3 uplink carriers as defined in TS 38.101-1 [15], network configures this field to TRUE for the uplink carrier(s) on one band and configures this field to FALSE for the uplink carrier(s) on the other band. This field is set to the same value for the carriers on the same band. |
| ***uplinkTxSwitchingCarrier***  Indicates that the configured carrier is carrier1 or carrier2 for dynamic uplink Tx switching, as defined in TS 38.101-1 [15] and TS 38.101-3 [34]. In case of (NG)EN-DC, network always configures the NR carrier as carrier 2.  In case of inter-band UL CA or SUL, for dynamic uplink Tx switching between 2 bands with 2 uplink carriers or 3 uplink carriers as defined in TS 38.101-1 [15], network configures the uplink carrier(s) on one band as carrier1 and the uplink carrier(s) on the other band as carrier2. This field is set to the same value for the carriers on the same band. |

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| ***DormantBWP-Config* field descriptions** |
| ***dormancyGroupWithinActiveTime***  This field contains the ID of an SCell group for Dormancy within active time, to which this SCell belongs. The use of the Dormancy within active time SCell groups is specified in TS 38.213 [13]. |
| ***dormancyGroupOutsideActiveTime***  This field contains the ID of an SCell group for Dormancy outside active time, to which this SCell belongs. The use of the Dormancy outside active time SCell groups is specified in TS 38.213 [13]. |
| ***dormantBWP-Id***  This field contains the ID of the downlink bandwidth part to be used as dormant BWP. If this field is configured, its value is different from *defaultDownlinkBWP-Id*, and at least one of the *withinActiveTimeConfig* and *outsideActiveTimeConfig* should be configured. |
| ***firstOutsideActiveTimeBWP-Id***  This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy outside active time. |
| ***firstWithinActiveTimeBWP-Id***  This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy within active time. |
| ***outsideActiveTimeConfig***  This field contains the configuration to be used for SCell dormancy outside active time, as specified in TS 38.213 [13]. The field can only be configured when the cell group the SCell belongs to is configured with *dcp-Config*. |
| ***withinActiveTimeConfig***  This field contains the configuration to be used for SCell dormancy within active time, as specified in TS 38.213 [13]. |

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| ***GuardBand* field descriptions** |
| ***startCRB***  Indicates the starting RB of the guard band. |
| ***nrofCRB***  Indicates the length of the guard band in RBs. When set to 0, zero-size guard band is used. |

NOTE 1: If the dedicated part of initial UL/DL BWP configuration is absent, the initial BWP can be used but with some limitations. For example, changing to another BWP requires *RRCReconfiguration* since DCI format 1\_0 doesn't support DCI-based switching.

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| **Conditional Presence** | **Explanation** |
| *AsyncCA* | This field is mandatory present for SCells whose slot offset between the SpCell is not 0. Otherwise it is absent, Need S. |
| *MeasObject* | This field is mandatory present for the SpCell if the UE has a *measConfig*, and it is optionally present, Need M, for SCells and RedCap UEs. |
| *SCellOnly* | This field is optionally present, Need R, for SCells. It is absent otherwise. |
| *ServingCellWithoutPUCCH* | This field is optionally present, Need S, for SCells except PUCCH SCells. It is absent otherwise. |
| *SyncAndCellAdd* | This field is mandatory present for a SpCell upon reconfiguration with *reconfigurationWithSync* and upon *RRCSetup*/*RRCResume*.  The field is optionally present for an SpCell, Need N, upon reconfiguration without *reconfigurationWithSync*.  The field is mandatory present for an SCell upon addition, and absent for SCell in other cases, Need M. |
| *TCI\_Info* | This field is optional Need N for SCells if *sCellState* is configured, otherwise it is absent.  This field is optional Need S for the PSCell when the SCG is indicated as deactivated or is being activated, otherwise it is absent.  This field is absent for the PCell. |
| *TDD* | This field is optionally present, Need R, for TDD cells. It is absent otherwise. |
| *TDD\_IAB* | For IAB-MT, this field is optionally present, Need R, for TDD cells. It is absent otherwise. |

– *ServingCellConfigCommon*

The IE *ServingCellConfigCommon* is used to configure cell specific parameters of a UE's serving cell. The IE contains parameters which a UE would typically acquire from SSB, MIB or SIBs when accessing the cell from IDLE. With this IE, the network provides this information in dedicated signalling when configuring a UE with a SCells or with an additional cell group (SCG). It also provides it for SpCells (MCG and SCG) upon reconfiguration with sync.

***ServingCellConfigCommon* information element**

-- ASN1START

-- TAG-SERVINGCELLCONFIGCOMMON-START

ServingCellConfigCommon ::= SEQUENCE {

physCellId PhysCellId OPTIONAL, -- Cond HOAndServCellAdd,

downlinkConfigCommon DownlinkConfigCommon OPTIONAL, -- Cond HOAndServCellAdd

uplinkConfigCommon UplinkConfigCommon OPTIONAL, -- Need M

supplementaryUplinkConfig UplinkConfigCommon OPTIONAL, -- Need S

n-TimingAdvanceOffset ENUMERATED { n0, n25600, n39936 } OPTIONAL, -- Need S

ssb-PositionsInBurst CHOICE {

shortBitmap BIT STRING (SIZE (4)),

mediumBitmap BIT STRING (SIZE (8)),

longBitmap BIT STRING (SIZE (64))

} OPTIONAL, -- Cond AbsFreqSSB

ssb-periodicityServingCell ENUMERATED { ms5, ms10, ms20, ms40, ms80, ms160, spare2, spare1 } OPTIONAL, -- Need S

dmrs-TypeA-Position ENUMERATED {pos2, pos3},

lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

ssbSubcarrierSpacing SubcarrierSpacing OPTIONAL, -- Cond HOAndServCellWithSSB

tdd-UL-DL-ConfigurationCommon TDD-UL-DL-ConfigCommon OPTIONAL, -- Cond TDD

ss-PBCH-BlockPower INTEGER (-60..50),

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channelAccessMode-r16 CHOICE {

dynamic NULL,

semiStatic SemiStaticChannelAccessConfig-r16

} OPTIONAL, -- Cond SharedSpectrum

discoveryBurstWindowLength-r16 ENUMERATED {ms0dot5, ms1, ms2, ms3, ms4, ms5} OPTIONAL, -- Need R

ssb-PositionQCL-r16 SSB-PositionQCL-Relation-r16 OPTIONAL, -- Cond SharedSpectrum

highSpeedConfig-r16 HighSpeedConfig-r16 OPTIONAL -- Need R

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[[

highSpeedConfig-v1700 HighSpeedConfig-v1700 OPTIONAL, -- Need R

channelAccessMode2-r17 ENUMERATED {enabled} OPTIONAL, -- Cond SharedSpectrum2

discoveryBurstWindowLength-r17 ENUMERATED {ms0dot125, ms0dot25, ms0dot5, ms0dot75, ms1, ms1dot25} OPTIONAL, -- Need R

ssb-PositionQCL-r17 SSB-PositionQCL-Relation-r17 OPTIONAL, -- Cond SharedSpectrum2

highSpeedConfigFR2-r17 HighSpeedConfigFR2-r17 OPTIONAL, -- Need R

uplinkConfigCommon-v1700 UplinkConfigCommon-v1700 OPTIONAL, -- Need R

ntn-Config-r17 NTN-Config-r17 OPTIONAL -- Need R

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}

-- TAG-SERVINGCELLCONFIGCOMMON-STOP

-- ASN1STOP

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| ***ServingCellConfigCommon* field descriptions** |
| ***channelAccessMode***  If present, this field indicates which channel access procedures to apply for operation with shared spectrum channel access as defined in TS 37.213 [48]. If the field is configured as "semiStatic", the UE shall apply the channel access procedures for semi-static channel occupancy as described in clause 4.3 in TS 37.213. If the field is configured as "dynamic", the UE shall apply the channel access procedures in TS 37.213, with the exception of clause 4.3 of TS 37.213. |
| ***channelAccessMode2***  If present ('enabled'), the UE shall apply channel access mode procedures for operation with shared spectrum channel access in accordance with TS 37.213 [48], clause 4.4 for FR2-2. If absent, the UE shall not apply any channel access procedure. |
| ***dmrs-TypeA-Position***  Position of (first) DM-RS for downlink (see TS 38.211 [16], clause 7.4.1.1.1) and uplink (TS 38.211 [16], clause 6.4.1.1.3). |
| ***downlinkConfigCommon***  The common downlink configuration of the serving cell, including the frequency information configuration and the initial downlink BWP common configuration. The parameters provided herein should match the parameters configured by MIB and SIB1 (if provided) of the serving cell, with the exception of *controlResourceSetZero* and *searchSpaceZero* which can be configured in *ServingCellConfigCommon* even if MIB indicates that they are absent. |
| ***discoveryBurstWindowLength***  Indicates the window length of the discovery burst in ms (see TS 37.213 [48]). The field *discoveryBurstWindowLength-r17* is applicable to SCS 480 kHz and SCS 960 kHz. |
| ***longBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 64 as defined in TS 38.213 [13], clause 4.1. |
| ***lte-CRS-ToMatchAround***  Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***mediumBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 8 as defined in TS 38.213 [13], clause 4.1. |
| ***n-TimingAdvanceOffset***  The N\_TA-Offset to be applied for all uplink transmissions on this serving cell. If the field is absent, the UE applies the value defined for the duplex mode and frequency range of this serving cell. See TS 38.133 [14], table 7.1.2-2. |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology (see TS 38.214 [19], clause 5.1.4.1). If a *RateMatchPattern* with the same *RateMatchPatternId* is configured in both *ServingCellConfig/ServingCellConfigCommon* and in SIB20/MCCH, the entire *RateMatchPattern* configuration shall be the same and they are counted as a single rate match pattern in the total configured rate match patterns as defined in TS 38.214 [19]. When UE is configured with the same *RateMatchPatternId* in both the CFR and in the associated BWP, it is expected to have the same set of RBs/REs indicated by the patterns for rate matching around. Otherwise, the different *RateMatchPatternId* will be configured in the CFR and in the associated BWP. |
| ***shortBitmap***  Bitmap when maximum number of SS/PBCH blocks per half frame equals to 4 as defined in TS 38.213 [13], clause 4.1. |
| ***ss-PBCH-BlockPower***  Average EPRE of the resources elements that carry secondary synchronization signals in dBm that the NW used for SSB transmission, see TS 38.213 [13], clause 7. |
| ***ssb-periodicityServingCell***  The SSB periodicity in ms for the rate matching purpose. If the field is absent, the UE applies the value ms5. (see TS 38.213 [13], clause 4.1) |
| ***ssb-PositionQCL***  Indicates the QCL relation between SSB positions for this serving cell as specified in TS 38.213 [13], clause 4.1. |
| ***ssb-PositionsInBurst***  For operation in licensed spectrum, indicates the time domain positions of the transmitted SS-blocks in a half frame with SS/PBCH blocks as defined in TS 38.213 [13], clause 4.1. The first/leftmost bit corresponds to SS/PBCH block index 0, the second bit corresponds to SS/PBCH block index 1, and so on. Value 0 in the bitmap indicates that the corresponding SS/PBCH block is not transmitted while value 1 indicates that the corresponding SS/PBCH block is transmitted. The network configures the same pattern in this field as in the corresponding field in ServingCellConfigCommonSIB.  For operation with shared spectrum channel access, the UE assumes that one or more SS/PBCH blocks indicated by *ssb-PositionsInBurst* may be transmitted within the discovery burst transmission window and have candidate SS/PBCH blocks indexes corresponding to SS/PBCH block indexes provided by *ssb-PositionsInBurst* (see TS 38.213 [13], clause 4.1). If the k-th bit of *ssb-PositionsInBurst* is set to 1, the UE assumes that one or more SS/PBCH blocks within the discovery burst transmission window with candidate SS/PBCH block indexes corresponding to SS/PBCH block index equal to k – 1 may be transmitted; if the kt-th bit is set to 0, the UE assumes that the corresponding SS/PBCH block(s) are not transmitted. The k-th bit is set to 0, where k > *ssb-PositionQCL* and the number of actually transmitted SS/PBCH blocks is not larger than the number of 1's in the bitmap. The network configures the same pattern in this field as in the corresponding field in *ServingCellConfigCommonSIB*. For operation with shared spectrum channel access in FR1, only *mediumBitmap* is used, and for FR2-2, *longBitmap* is used. |
| ***ssbSubcarrierSpacing***  Subcarrier spacing of SSB.  Only the following values are applicable depending on the used frequency:  FR1: 15 or 30 kHz  FR2-1: 120 or 240 kHz  FR2-2: 120, 480, or 960 kHz |
| ***supplementaryUplinkConfig***  The network configures this field only if *uplinkConfigCommon* is configured. If this field is absent, the UE shall release the *supplementaryUplinkConfig* and the *supplementaryUplink* configured in *ServingCellConfig* of this serving cell, if configured. |
| ***tdd-UL-DL-ConfigurationCommon***  A cell-specific TDD UL/DL configuration, see TS 38.213 [13], clause 11.1. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *AbsFreqSSB* | The field is absent when *absoluteFrequencySSB* in frequencyInfoDL is absent, otherwise the field is mandatory present. |
| *HOAndServCellAdd* | This field is mandatory present upon SpCell change and upon serving cell (PSCell/SCell) addition. Otherwise, the field is absent. |
| *HOAndServCellWithSSB* | This field is mandatory present upon SpCell change and upon serving cell (SCell with SSB or PSCell) addition. Otherwise, the field is absent. |
| *SharedSpectrum* | This field is mandatory present if this cell operates with shared spectrum channel access in FR1. Otherwise, it is absent, Need R. |
| *SharedSpectrum2* | This field is optionally present if this cell operates with shared spectrum channel access in FR2-2. Otherwise, it is absent, Need R. |
| *TDD* | The field is optionally present, Need R, for TDD cells; otherwise it is absent. |

*NEXT CHANGE*

### 6.3.6 MBS information elements

– *CarrierFreqListMBS*

The IE *CarrierFreqListMBS* is used to inform network of the frequencies on which the UE is receiving or interested to receive MBS broadcast service via a broadcast MRB.

***CarrierFreqListMBS* information element**

-- ASN1START

-- TAG-CARRIERFREQLISTMBS-START

CarrierFreqListMBS-r17 ::= SEQUENCE (SIZE (1..maxFreqMBS-r17)) OF ARFCN-ValueNR

-- TAG-CARRIERFREQLISTMBS-STOP

-- ASN1STOP

– *CFR-ConfigMCCH-MTCH*

The IE *CFR-ConfigMCCH-MTCH* is used to configure the common frequency resource used for MCCH and MTCH reception.

***CFR-ConfigMCCH-MTCH* information element**

-- ASN1START

-- TAG-CFR-CONFIGMCCH-MTCH-START

CFR-ConfigMCCH-MTCH-r17 ::= SEQUENCE {

locationAndBandwidthBroadcast-r17 LocationAndBandwidthBroadcast-r17 OPTIONAL, -- Need S

pdsch-ConfigMCCH-r17 PDSCH-ConfigBroadcast-r17 OPTIONAL, -- Need S

commonControlResourceSetExt-r17 ControlResourceSet OPTIONAL -- Cond NotSIB1CommonControlResource

}

LocationAndBandwidthBroadcast-r17 ::= CHOICE {

sameAsSib1ConfiguredLocationAndBW NULL,

locationAndBandwidth INTEGER (0..37949)

}

-- TAG-CFR-CONFIGMCCH-MTCH-STOP

-- ASN1STOP

| ***CFR-ConfigMCCH-MTCH* field descriptions** |
| --- |
| ***commonControlResourceSetExt***  An additional common control resource set which may be configured and used for *searchSpaceMCCH*/*searchSpaceMTCH* or UE-specific search space in the BWP where *searchSpaceMCCH* is configured. It is contained in the bandwidth of *locationAndBandwidthBroadcast*. |
| ***locationAndBandwidthBroadcast***  Indicates starting PRB and the number of PRBs of CFR used for MCCH and MTCH reception.  Value *sameAsSib1ConfiguredLocationAndBW* means the CFR for broadcast has the same location and size as the *locationAndBandwidth* for initial BWP configured in SIB1.  Value *locationAndBandwidth* is used to configure CFR with bandwidth that is larger than and fully contains the bandwidth for the initial DL BWP and CORESET#0 configured in SIB1.  If the field is absent, the CFR for broadcast has the same location and size as CORESET0. |
| ***pdsch-ConfigMCCH***  Indicates PDSCH parameters used for MCCH transmission. If the field is absent, PDSCH paramers used for MCCH are the same as those of PDSCH configuration provided in *initialDownlinkBWP* in *SIB1*. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *NotSIB1CommonControlResource* | The field is optional present in case *commonControlResourceSet* is not configured in SIB1, Need R, otherwise it is absent. |

– *DRX-ConfigPTM*

The IE *DRX-Config-PTM* is used to configure DRX related parameters for PTM transmission as specified in TS 38.321 [3].

***DRX-Config-PTM* information element**

-- ASN1START

-- TAG-DRX-CONFIGPTM-START

DRX-ConfigPTM-r17 ::= SEQUENCE {

drx-onDurationTimerPTM-r17 CHOICE {

subMilliSeconds INTEGER (1..31),

milliSeconds ENUMERATED {

ms1, ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30, ms40, ms50, ms60,

ms80, ms100, ms200, ms300, ms400, ms500, ms600, ms800, ms1000, ms1200,

ms1600, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1

}

},

drx-InactivityTimerPTM-r17 ENUMERATED {

ms0, ms1, ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30, ms40, ms50, ms60, ms80,

ms100, ms200, ms300, ms500, ms750, ms1280, ms1920, ms2560, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1

},

drx-HARQ-RTT-TimerDL-PTM-r17 INTEGER (0..56) OPTIONAL, -- Cond HARQFeedback

drx-RetransmissionTimerDL-PTM-r17 ENUMERATED {

sl0, sl1, sl2, sl4, sl6, sl8, sl16, sl24, sl33, sl40, sl64, sl80, sl96, sl112, sl128,

sl160, sl320, spare15, spare14, spare13, spare12, spare11, spare10, spare9,

spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1

} OPTIONAL, -- Cond HARQFeedback

drx-LongCycleStartOffsetPTM-r17 CHOICE {

ms10 INTEGER(0..9),

ms20 INTEGER(0..19),

ms32 INTEGER(0..31),

ms40 INTEGER(0..39),

ms60 INTEGER(0..59),

ms64 INTEGER(0..63),

ms70 INTEGER(0..69),

ms80 INTEGER(0..79),

ms128 INTEGER(0..127),

ms160 INTEGER(0..159),

ms256 INTEGER(0..255),

ms320 INTEGER(0..319),

ms512 INTEGER(0..511),

ms640 INTEGER(0..639),

ms1024 INTEGER(0..1023),

ms1280 INTEGER(0..1279),

ms2048 INTEGER(0..2047),

ms2560 INTEGER(0..2559),

ms5120 INTEGER(0..5119),

ms10240 INTEGER(0..10239)

},

drx-SlotOffsetPTM-r17 INTEGER (0..31)

}

-- TAG-DRX-CONFIGPTM-STOP

-- ASN1STOP

|  |
| --- |
| ***DRX-Config-PTM* field descriptions** |
| ***drx-HARQ-RTT-Timer-DL-PTM***  Value in number of symbols of the CFR where the transport block was received. |
| ***drx-InactivityTimerPTM***  Value in multiple integers of 1 ms. *ms0* corresponds to 0, *ms1* corresponds to 1 ms, *ms2* corresponds to 2 ms, and so on. |
| ***drx-LongCycleStartOffsetPTM***  *drx-LongCycle-PTM* in ms and *drx-StartOffset-PTM* in multiples of 1 ms. |
| ***drx-onDurationTimerPTM***  Value in multiples of 1/32 ms (subMilliSeconds) or in ms (milliSecond). For the latter, value *ms1* corresponds to 1 ms, value *ms2* corresponds to 2 ms, and so on. |
| ***drx-RetransmissionTimer-DL-PTM***  Value in number of slot lengths of the CFR where the transport block was received. value *sl0* corresponds to 0 slots, *sl1* corresponds to 1 slot, *sl2* corresponds to 2 slots, and so on. |
| ***drx-SlotOffsetPTM***  Value in 1/32 ms. Value 0 corresponds to 0 ms, value 1 corresponds to 1/32 ms, value 2 corresponds to 2/32 ms, and so on. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *HARQFeedback* | The field is mandatory present if HARQ feedback is enabled for a G-RNTI/G-CS-RNTI associated with this DRX configuration. It is absent otherwise. |

– *MBS-NeighbourCellList*

The IE *MBS-NeighbourCellList* indicates a list of neighbour cells where ongoing MBS sessions provided via broadcast MRB in the current cells are also provided.

***MBS-NeighbourCellList* information element**

-- ASN1START

-- TAG-MBS-NEIGHBOURCELLLIST-START

MBS-NeighbourCellList-r17 ::= SEQUENCE (SIZE (0..maxNeighCellMBS-r17)) OF MBS-NeighbourCell-r17

MBS-NeighbourCell-r17 ::= SEQUENCE {

physCellId-r17 PhysCellId,

carrierFreq-r17 ARFCN-ValueNR OPTIONAL -- Need S

}

-- TAG-MBS-NEIGHBOURCELLLIST-STOP

-- ASN1STOP

| ***MBS-NeighbourCellList* field descriptions** |
| --- |
| ***carrierFreq***  Indicates the frequency of the neighbour cell indicated by *physCellId*. Absence of the IE means that the neighbour cell is on the same frequency as the current cell. |

– *MBS-ServiceList*

The IE *MBS-* *ServiceList* is used to inform the network of the MBS services that the UE is receiving or interested to receive.

***MBS-ServiceList* information element**

-- ASN1START

-- TAG-MBS-SERVICELIST-START

MBS-ServiceList-r17 ::= SEQUENCE (SIZE (1..maxNrofMBS-ServiceListPerUE-r17)) OF MBS-ServiceInfo-r17

MBS-ServiceInfo-r17 ::= SEQUENCE {

tmgi-r17 TMGI-r17

}

-- TAG-MBS-SERVICELIST-STOP

-- ASN1STOP

– *MBS-SessionInfoList*

The IE *MBS-SessionInfoList* provides the list of ongoing MBS broadcast sessions transmitted via broadcast MRB and, for each MBS broadcast session, the associated G-RNTI and scheduling information.

***MBS-SessionInfoList* information element**

-- ASN1START

-- TAG-MBS-SESSIONINFOLIST-START

MBS-SessionInfoList-r17 ::= SEQUENCE (SIZE (1..maxNrofMBS-Session-r17)) OF MBS-SessionInfo-r17

MBS-SessionInfo-r17 ::= SEQUENCE {

mbs-SessionId-r17 TMGI-r17,

g-RNTI-r17 RNTI-Value,

mrb-ListBroadcast-r17 MRB-ListBroadcast-r17,

mtch-SchedulingInfo-r17 DRX-ConfigPTM-Index-r17 OPTIONAL, -- Need S

mtch-NeighbourCell-r17 BIT STRING (SIZE(maxNeighCellMBS-r17)) OPTIONAL, -- Need S

pdsch-ConfigIndex-r17 PDSCH-ConfigIndex-r17 OPTIONAL, -- Need S

mtch-SSB-MappingWindowIndex-r17 MTCH-SSB-MappingWindowIndex-r17 OPTIONAL -- Need R

}

DRX-ConfigPTM-Index-r17 ::= INTEGER (0..maxNrofDRX-ConfigPTM-1-r17)

PDSCH-ConfigIndex-r17 ::= INTEGER (0..maxNrofPDSCH-ConfigPTM-1-r17)

MTCH-SSB-MappingWindowIndex-r17 ::= INTEGER (0..maxNrofMTCH-SSB-MappingWindow-1-r17)

MRB-ListBroadcast-r17 ::= SEQUENCE (SIZE (1..maxNrofMRB-Broadcast-r17)) OF MRB-InfoBroadcast-r17

MRB-InfoBroadcast-r17 ::= SEQUENCE {

pdcp-Config-r17 MRB-PDCP-ConfigBroadcast-r17,

rlc-Config-r17 MRB-RLC-ConfigBroadcast-r17,

...

}

MRB-PDCP-ConfigBroadcast-r17 ::= SEQUENCE {

pdcp-SN-SizeDL-r17 ENUMERATED {len12bits} OPTIONAL, -- Need S

headerCompression-r17 CHOICE {

notUsed NULL,

rohc SEQUENCE {

maxCID-r17 INTEGER (1..16) DEFAULT 15,

profiles-r17 SEQUENCE {

profile0x0000-r17 BOOLEAN,

profile0x0001-r17 BOOLEAN,

profile0x0002-r17 BOOLEAN

}

}

},

t-Reordering-r17 ENUMERATED {ms1, ms10, ms40, ms160, ms500, ms1000, ms1250, ms2750} OPTIONAL -- Need S

}

MRB-RLC-ConfigBroadcast-r17 ::= SEQUENCE {

logicalChannelIdentity-r17 LogicalChannelIdentity,

sn-FieldLength-r17 ENUMERATED {size6} OPTIONAL, -- Need S

t-Reassembly-r17 T-Reassembly OPTIONAL -- Need S

}

-- TAG-MBS-SESSIONINFOLIST-STOP

-- ASN1STOP

|  |
| --- |
| ***MBS-SessionInfoList* field descriptions** |
| ***g-RNTI***  G-RNTI used to scramble the scheduling and transmission of MTCH. |
| ***headerCompression***  If *rohc* is configured, the UE shall apply the configured ROHC profile(s) in downlink. When the field is absent the UE applies the value as specified in 9.1.1.7. |
| ***mbs-SessionId***  Indicates an identifier of the MBS session provided by the MTCH. |
| ***mrb-listBroadcast***  A list of broadcast MRBs to which the associated broadcast MBS session is mapped to. |
| ***mtch-neighbourCell***  Indicates neighbour cells which provide this service on MTCH. The first bit is set to 1 if the service is provided on MTCH in the first cell in *mbs-NeighbourCellList*, otherwise it is set to 0. The second bit is set to 1 if the service is provided on MTCH in the second cell in *mbs-NeighbourCellList*, and so on. If the service is not available in any neighbouring cell and *mbs-NeighbourCellList* is signalled, the network sets all bits in this field to 0. If this field is absent, the related service may or may not be available in any neighbouring cell, i.e. the UE cannot determine the presence or absence of an MBS service in neighbouring cells based on the absence of this field. |
| ***mtch-schedulingInfo***  Indicates the index of DRX configuration entry in *drx-ConfigPTM-List* that is used for scheduling the MTCH. The value 0 corresponds to the first entry in *drx-ConfigPTM-List*, the value 1 corresponds to the second entry in *drx-ConfigPTM-List* and so on. In case *mtch-schedulingInfo* is absent for a G-RNTI (i.e. no PTM DRX), the UE shall monitor for PDCCH scrambled with G-RNTI in any slot according to the search space configured for MTCH [see TS 38.213 [13], clause 10.1]. |
| ***mtch-SSB-MappingWindowIndex***  Indicates the index of *MTCH-SSB-MappingWindowCycleOffset* configuration entry in *MTCH-SSB-MappingWindowList*. The value 0 corresponds to the first entry in *MTCH-SSB-MappingWindowList*, the value 1 corresponds to the second entry in *MTCH-SSB-MappingWindowList* and so on. This field is set to the same value for all MBS sessions mapped to the same G-RNTI. |
| ***pdcp-SN-SizeDL***  Indicates that PDCP sequence number size of 12 bits is used, as specified in TS 38.323 [5]. When the field is absent the UE applies the value as specified in 9.1.1.7. |
| ***pdschConfigIndex***  Indicates the index of PDSCH configuration entry in *pdschConfigList* for MTCH. Value 0 corresponds to the first entry in *pdschConfigList*, the value 1 corresponds to the second entry in *pdschConfigList* and so on. When the field is absent the UE applies the first entry in pdschConfigList for MTCH. |
| ***sn-FieldLength***  Indicates that the RLC SN field size of 6 bits is used, see TS 38.322 [4]. When the field is absent the UE applies the value as specified in 9.1.1.7. |
| ***t-Reassembly***  Timer for reassembly in TS 38.322 [4], in milliseconds. Value ms0 means 0 ms, value ms5 means 5 ms and so on. When the field is absent the UE applies the value in specified in 9.1.1.7. |
| ***t-Reordering***  Value in ms of t-Reordering specified in TS 38.323 [5]. Value ms1 corresponds to 1 ms, value ms10 corresponds to 10 ms, and so on. When the field is absent the UE applies the value as specified in 9.1.1.7. |

– *MTCH-SSB-MappingWindowList*

The IE *MTCH-SSB-MappingWindowList* is used to configure MTCH PDCCH ocassions to SSB mapping window related periodic and offset parameters.

***MTCH-SSB-MappingWindowList* information element**

-- ASN1START

-- TAG-MTCH-SSB-MAPPINGWINDOWLIST-START

MTCH-SSB-MappingWindowList-r17 ::= SEQUENCE (SIZE (1..maxNrofMTCH-SSB-MappingWindow-r17)) OF MTCH-SSB-MappingWindowCycleOffset-r17

MTCH-SSB-MappingWindowCycleOffset-r17 ::= CHOICE {

ms10 INTEGER(0..9),

ms20 INTEGER(0..19),

ms32 INTEGER(0..31),

ms64 INTEGER(0..63),

ms128 INTEGER(0..127),

ms256 INTEGER(0..255)

}

-- TAG-MTCH-SSB-MAPPINGWINDOWLIST-STOP

-- ASN1STOP

|  |
| --- |
| ***MTCH-SSB-MappingWindowList* field descriptions** |
| ***MTCH-SSB-MappingWindowCycleOffset***  Indicates the *cycle* and *offset* for MTCH PDCCH ocassions to SSB mapping. Values in unit of ms. *ms10* corresponds to cycle of 10 ms with corresponding offset between 0 and 9 ms, value *ms20* corresponds to cycle of 20 ms with corresponding offset between 0 and 19 ms, and so on. The mapping window starts at a subframe in a SFN where [(SFN number × 10) + subframe number] modulo (*cycle*) = *offset.*  PDCCH monitoring occasions for MTCH in a mapping window which are not overlapping with UL symbols (determined according to *tdd-UL-DL-ConfigurationCommon*) are sequentially numbered starting from 1 in the maping window. The [x×N+K]th PDCCH monitoring occasion for MTCH in this mapping window corresponds to the Kth transmitted SSB, where x = 0, 1, ...X-1, K = 1, 2, …N, N is the number of actual transmitted SSBs determined according to *ssb-PositionsInBurst* in *SIB1* and X is equal to CEIL(number of PDCCH monitoring occasions in MTCH to SSB mapping transmission window/N). The actual transmitted SSBs are sequentially numbered from one in ascending order of their SSB indexes. |

– *PDSCH-ConfigBroadcast*

The IE *PDSCH-ConfigBroadcast* is used to configure parameters for acquiring the PDSCH for MCCH and MTCH.

***PDSCH-ConfigBroadcast* information element**

-- ASN1START

-- TAG-PDSCH-CONFIGBROADCAST-START

PDSCH-ConfigBroadcast-r17 ::= SEQUENCE {

pdschConfigList-r17 SEQUENCE (SIZE (1..maxNrofPDSCH-ConfigPTM-r17) ) OF PDSCH-ConfigPTM-r17,

pdsch-TimeDomainAllocationList-r17 PDSCH-TimeDomainResourceAllocationList-r16 OPTIONAL, -- Need R

rateMatchPatternToAddModList-r17 SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need R

lte-CRS-ToMatchAround-r17 RateMatchPatternLTE-CRS OPTIONAL, -- Need R

mcs-Table-r17 ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

xOverhead-r17 ENUMERATED {xOh6, xOh12, xOh18} OPTIONAL -- Need S

}

PDSCH-ConfigPTM-r17 ::= SEQUENCE {

dataScramblingIdentityPDSCH-r17 INTEGER (0..1023) OPTIONAL, -- Need S

dmrs-ScramblingID0-r17 INTEGER (0..65535) OPTIONAL, -- Need S

pdsch-AggregationFactor-r17 ENUMERATED {n2, n4, n8} OPTIONAL -- Need S

}

-- TAG-PDSCH-CONFIGBROADCAST-STOP

-- ASN1STOP

|  |
| --- |
| ***PDSCH-ConfigBroadcast* field descriptions** |
| ***lte-CRS-ToMatchAround***  Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***pdschConfigList***  List of PDSCH parameters which can be configured per G-RNTI. Only one entity is allowed to be configured if included in SIB20. |
| ***pdsch-TimeDomainAllocationList***  List of time-domain configurations for timing of DL assignment to DL data.  The field *pdsch-TimeDomainAllocationList* applies to DCI format 4\_0 (see table 5.1.2.1.1-1 in TS 38.214 [19]). When the field is absent, the UE follows PDSCH time domain resource allocation determination rule as specified in TS 38.214 [19], clause 5.1.2.1.1. |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns (see TS 38.214 [19], clause 5.1.4.1). |
| ***mcs-Table***  Indicates which MCS table the UE shall use for PDSCH. If the field is absent the UE applies the value 64QAM. The field *mcs-Table* applies to DCI format 4\_0 with CRC scrambled by MCCH-RNTI/G-RNTI (see TS 38.214 [19], clause 5.1.3.1). |
| ***xOverhead***  Accounts for an overhead from CSI-RS, CORESET, etc. If the field is absent, the UE applies value xOh0 (see TS 38.214 [19], clause 5.1.3.2). |

|  |
| --- |
| ***PDSCH-ConfigPTM* field descriptions** |
| ***dataScramblingIdentityPDSCH***  Identifier(s) used to initialize data scrambling (c\_init) for PDSCH as specified in TS 38.211 [16], clause 7.3.1.1. When the field is absent the UE applies the value physCellId configured for this serving cell. |
| ***dmrs-ScramblingID0***  DL DMRS scrambling initialization (see TS 38.211 [16], clause 7.4.1.1.1). When the field is absent the UE applies the value *physCellId* configured for this serving cell. |
| ***pdsch-AggregationFactor***  Number of repetitions for dynamic scheduling of MBS broadcast data for MTCH PDSCH (see TS 38.214 [19], clause 5.1.2.1). When the field is absent the UE applies the value 1. |

– *TMGI*

The IE *TMGI* is used to identify the MBS session.

***TMGI* information element**

-- ASN1START

-- TAG-TMGI-START

TMGI-r17 ::= SEQUENCE {

plmn-Id-r17 CHOICE {

plmn-Index INTEGER (1..maxPLMN),

explicitValue PLMN-Identity

},

serviceId-r17 OCTET STRING (SIZE (3))

}

-- TAG-TMGI-STOP

-- ASN1STOP

|  |
| --- |
| ***TMGI* field descriptions** |
| ***serviceId***  Uniquely identifies the identity of an MBS service within a PLMN. The field contains octet 3- 5 of the IE Temporary Mobile Group Identity (TMGI) as defined in TS 24.008 [38]. The first octet contains the third octet of the TMGI, the second octet contains the fourth octet of the TMGI and so on. |

NEXT CHANGE

#### 9.1.1.6 MCCH configuration

Parameters that are specified for MCCH.

| Name | Value | Semantics description | Ver |
| --- | --- | --- | --- |
| RLC configuration |  | UM RLC |  |
| *>sn-FieldLength* | 6 |  |  |
| *>t-Reassembly* | ms0 |  |  |

#### 9.1.1.7 MTCH configuration for MBS broadcast

Parameters that are specified for MBS broadcast MTCH.

| Name | Value | Semantics description | Ver |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>t-Reordering* | ms0 |  |  |
| *>pdcp-SN-SizeDL* | 18 |  |  |
| RLC configuration |  | UM RLC |  |
| *>sn-FieldLength* | 12 |  |  |
| *>t-Reassembly* | ms0 |  |  |

NEXT CHANGE

### 11.2.2 Message definitions

--------------------Text omitted------------------

– *HandoverPreparationInformation*

This message is used to transfer the NR RRC information used by the target gNB during handover preparation or UE context retrieval, e.g. in case of resume or re-establishment, including UE capability information. This message is also used for transferring the information between the CU and DU.

Direction: source gNB/source RAN to target gNB or CU to DU.

***HandoverPreparationInformation* message**

-- ASN1START

-- TAG-HANDOVER-PREPARATION-INFORMATION-START

HandoverPreparationInformation ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE{

handoverPreparationInformation HandoverPreparationInformation-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

HandoverPreparationInformation-IEs ::= SEQUENCE {

ue-CapabilityRAT-List UE-CapabilityRAT-ContainerList,

sourceConfig AS-Config OPTIONAL, -- Cond HO

rrm-Config RRM-Config OPTIONAL,

as-Context AS-Context OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

AS-Config ::= SEQUENCE {

rrcReconfiguration OCTET STRING (CONTAINING RRCReconfiguration),

...,

[[

sourceRB-SN-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

sourceSCG-NR-Config OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

sourceSCG-EUTRA-Config OCTET STRING OPTIONAL

]],

[[

sourceSCG-Configured ENUMERATED {true} OPTIONAL

]],

[[

sdt-Config-r17 SDT-Config-r17 OPTIONAL

]]

}

AS-Context ::= SEQUENCE {

reestablishmentInfo ReestablishmentInfo OPTIONAL,

configRestrictInfo ConfigRestrictInfoSCG OPTIONAL,

...,

[[ ran-NotificationAreaInfo RAN-NotificationAreaInfo OPTIONAL

]],

[[ ueAssistanceInformation OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL -- Cond HO2

]],

[[

selectedBandCombinationSN BandCombinationInfoSN OPTIONAL

]],

[[

configRestrictInfoDAPS-r16 ConfigRestrictInfoDAPS-r16 OPTIONAL,

sidelinkUEInformationNR-r16 OCTET STRING OPTIONAL,

sidelinkUEInformationEUTRA-r16 OCTET STRING OPTIONAL,

ueAssistanceInformationEUTRA-r16 OCTET STRING OPTIONAL,

ueAssistanceInformationSCG-r16 OCTET STRING (CONTAINING UEAssistanceInformation) OPTIONAL, -- Cond HO2

needForGapsInfoNR-r16 NeedForGapsInfoNR-r16 OPTIONAL

]],

[[

configRestrictInfoDAPS-v1640 ConfigRestrictInfoDAPS-v1640 OPTIONAL

]],

[[

needForGapNCSG-InfoNR-r17 NeedForGapNCSG-InfoNR-r17 OPTIONAL,

needForGapNCSG-InfoEUTRA-r17 NeedForGapNCSG-InfoEUTRA-r17 OPTIONAL,

mbsInterestIndication-r17 OCTET STRING (CONTAINING MBSInterestIndication-r17) OPTIONAL

]]

}

ConfigRestrictInfoDAPS-r16 ::= SEQUENCE {

powerCoordination-r16 SEQUENCE {

p-DAPS-Source-r16 P-Max,

p-DAPS-Target-r16 P-Max,

uplinkPowerSharingDAPS-Mode-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic }

} OPTIONAL

}

ConfigRestrictInfoDAPS-v1640 ::= SEQUENCE {

sourceFeatureSetPerDownlinkCC-r16 FeatureSetDownlinkPerCC-Id,

sourceFeatureSetPerUplinkCC-r16 FeatureSetUplinkPerCC-Id

}

ReestablishmentInfo ::= SEQUENCE {

sourcePhysCellId PhysCellId,

targetCellShortMAC-I ShortMAC-I,

additionalReestabInfoList ReestabNCellInfoList OPTIONAL

}

ReestabNCellInfoList ::= SEQUENCE ( SIZE (1..maxCellPrep) ) OF ReestabNCellInfo

ReestabNCellInfo::= SEQUENCE{

cellIdentity CellIdentity,

key-gNodeB-Star BIT STRING (SIZE (256)),

shortMAC-I ShortMAC-I

}

RRM-Config ::= SEQUENCE {

ue-InactiveTime ENUMERATED {

s1, s2, s3, s5, s7, s10, s15, s20,

s25, s30, s40, s50, min1, min1s20, min1s40,

min2, min2s30, min3, min3s30, min4, min5, min6,

min7, min8, min9, min10, min12, min14, min17, min20,

min24, min28, min33, min38, min44, min50, hr1,

hr1min30, hr2, hr2min30, hr3, hr3min30, hr4, hr5, hr6,

hr8, hr10, hr13, hr16, hr20, day1, day1hr12, day2,

day2hr12, day3, day4, day5, day7, day10, day14, day19,

day24, day30, dayMoreThan30} OPTIONAL,

candidateCellInfoList MeasResultList2NR OPTIONAL,

...,

[[

candidateCellInfoListSN-EUTRA MeasResultServFreqListEUTRA-SCG OPTIONAL

]]

}

-- TAG-HANDOVER-PREPARATION-INFORMATION-STOP

-- ASN1STOP

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| ***HandoverPreparationInformation* field descriptions** |
| ***as-Context***  Local RAN context required by the target gNB or DU. |
| ***rrm-Config***  Local RAN context used mainly for RRM purposes. |
| ***sourceConfig***  The radio resource configuration as used in the source cell. |
| ***ue-CapabilityRAT-List***  The UE radio access related capabilities concerning RATs supported by the UE. A gNB that retrieves MRDC related capability containers ensures that the set of included MRDC containers is consistent w.r.t. the feature set related information. |
| ***ue-InactiveTime***  Duration while UE has not received or transmitted any user data. Thus the timer is still running in case e.g., UE measures the neighbour cells for the HO purpose. Value *s1* corresponds to 1 second, *s2* corresponds to 2 seconds and so on. Value *min1* corresponds to 1 minute, value *min1s20* corresponds to 1 minute and 20 seconds, value *min1s40* corresponds to 1 minute and 40 seconds and so on. Value *hr1* corresponds to 1 hour, *hr1min30* corresponds to 1 hour and 30 minutes and so on. |

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| ***AS-Config* field descriptions** |
| ***rrcReconfiguration***  Contains the *RRCReconfiguration* configuration as generated entirely by the MN. |
| ***sdt-Config***  Contains the IE *SDT-Config* as generated entirely by the last serving gNB. This field is only used during the SDT procedure with UE context relocation as defined in TS 38.300 [2], clause 18.2. |
| ***sourceRB-SN-Config***  Contains the IE *RadioBearerConfig* as generated entirely by the SN. This field is only used when the UE is configured with SN terminated RB(s). |
| ***sourceSCG-Configured***  Value *true* indicates that the UE is configured with NR or EUTRA SCG in source configuration. The field is only used in NR-DC and NE-DC and is included only if the fields *sourceSCG-NR-Config* and *sourceSCG-EUTRA-Config* are absent. |
| ***sourceSCG-EUTRA-Config***  Contains the current dedicated SCG configuration in *RRCConnectionReconfiguration* message as specified in TS 36.331 [10] and generated entirely by the SN. In this version of the specification, the E-UTRA *RRCConnectionReconfiguration* message can only include the field *scg-Configuration* . This field is only used in NE-DC. |
| ***sourceSCG-NR-Config***  Contains the current dedicated SCG configuration in *RRCReconfiguration* message as generated entirely by the SN. In this version of the specification, the *RRCReconfiguration* message can only include fields *secondaryCellGroup* and *measConfig*. This field is only used in NR-DC. |

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| ***AS-Context* field descriptions** |
| ***configRestrictInfoDAPS***  Includes fields for which source cell explicitly indicates the restriction to be observed by target cell during DAPS handover. |
| ***mbsInterestIndication***  Includes the information last reported by the UE in the NR *MBSInterestIndication* message where the *plmn-Index* should be replaced with PLMN ID in *tmgi,* if any. |
| ***needForGapsInfoNR***  Includes measurement gap requirement information of the UE for NR target bands. |
| ***selectedBandCombinationSN***  Indicates the band combination selected by SN in (NG)EN-DC, NE-DC, and NR-DC. |
| ***sidelinkUEInformationEUTRA***  This field includes *SidelinkUEInformation* IE as specified in TS 36.331 [10]. |
| ***sidelinkUEInformationNR***  This field includes *SidelinkUEInformationNR* IE. |
| ***ueAssistanceInformation***  Includes for each UE assistance feature the information last reported by the UE, if any. |
| ***ueAssistanceInformationSCG***  Includes for each UE assistance feature associated with the SCG, the information last reported by the UE in the NR *UEAssistanceInformation* message for the SCG, if any. |

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| ***ConfigRestrictInfoDAPS* field descriptions** |
| ***sourceFeatureSetPerUplinkCC/sourceFeatureSetPerDownlinkCC***  Indicates an index referring to the position of the *FeatureSetUplinkPerCC*/*FeatureSetDownlinkPerCC* selected by source in the *featureSetsUplinkPerCC*/*featureSetsDownlinkPerCC*. |

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| ***RRM-Config* field descriptions** |
| ***candidateCellInfoList***  A list of the best cells on each frequency for which measurement information was available |
| ***candidateCellInfoListSN-EUTRA***  A list of EUTRA cells including serving cells and best neighbour cells on each serving frequency, for which measurement results were available. This field is only used in NE-DC. |

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| **Conditional Presence** | **Explanation** |
| *HO* | The field is mandatory present in case of handover within NR or UE context retrieval, e.g. in case of resume or re-establishment. The field is optionally present in case of handover from E-UTRA/5GC. Otherwise the field is absent. |
| *HO2* | The field is optionally present in case of handover within NR; otherwise the field is absent. |

END OF CHANGES