**3GPP TSG-RAN WG2 Meeting #118 Electronic *R2-2206375***

**Elbonia, 09 – 20 May 2022**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.321** | **CR** | **1264** | **rev** | **2** | **Current version:** | **17.7.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Corrections to MAC regarding deactivated SCG | | | | | | | | | |
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| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LTE\_NR\_DC\_enh2-Core | | | | |  | ***Date:*** | | | 2022-05-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | 1. Section 5.29: Random Access procedure need is indicated from RRC to MAC in case of SCG deactivation and there is no need to indicate RACH need from MAC to RRC. 2. Section 5.29: Each logical channel for the SCG MAC entity is associated with the SCG. 3. Section 5.29: PSCell cannot be cross-scheduled so “not monitor PDCCH for the PSCell” is not a valid case. 4. Section 5.12: MAC reset for SCG deactivation can be implemented into the general MAC reset part as well. 5. Section 5.12a: Timer handling in MAC reset is not clear in case BFD is not configured for the deactivated SCG. If BFD not configured, TATs need to be considered expired as in legacy to have correct UE behaviour. 6. Section 5.15.1: The current description is ambiguous when does the BWP switching happen in case BFD is configured and first active BWPs are reconfigured. 7. RAN2 has agreed that SCells of the SCG is deactivated when the UE receives the SCG deactivation. But the condtions of SCells deactivation in the clause 5.9 Activation/Deactivation of SCells do not include this case. 8. RAN2 has agreed that the UE will not receive the DL-SCH on the deactivated SCG. The texts in the clause 5.29 Activation/Deactivation of SCG only describe that the UE does not monitor the PDCCH for the PSCell. Also the UE does not clear the SPS resources in the clause 5.12a MAC Reset for SCG deactivation. But in some cases, the network may configure the SPS resources for the PSCell. Therefore it is not clear whether the UE will receive the PDSCH on the PSCell according to the SPS resources. 9. The following wording for the SCG activation and SCG deactivation is not aligned.   1> if upper layers indicate that activation of the SCG:  ….  1> else if upper layers indicate that the SCG is deactivated:   1. Configured downlink assignments and configured uplink grant type 2 should be cleared at PSCell deactivation. as well as suspend configured grant type 1 at deactivation of SCG and resuming at activation. 2. According to the current MAC spec, if beam failure on PSCell is detected after the previous beam failure on this PSCell was indicated to upper layers and BFD RS was reconfigured in deactivated SCG after the previous one was indicated, the current beam failure will not be indicated to upper layers and Random Access procedure will be initiated on the PSCell in deactivated SCG, which contradicts the behaviours of deactivated SCG in Activation/Deactivation of SCG section. | | | | | | | | |
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| ***Summary of change:*** | | 1. Section 5.29: Random Access procedure need is indicated from RRC to MAC. 2. Section 5.29: Each logical channel for the SCG MAC entity is associated with the SCG hence the “associated with SCG” can be removed. 3. Section 5.29: Remove “not monitor PDCCH for the PSCell”. 4. Section 5.12: MAC reset implementation for SCG deactivation into 5.12 and removal of 5.12a 5. Section 5.12a: In case BFD is not configured for the deactivated SCG all timers are stopped and TATs are considered expired. If BFD configured, all timers stopped except BFD timer and TATs. 6. Section 5.15.1: Clarified the BWP switching for first active DL BWP happens as specified in 38.331. 7. Add the SCell deactivation according to the SCG deactivation in the clause 5.9 8. Add the description that the UE will not receive the DL-SCH on the PSCell in the clause 5.29. 9. Align the wording of SCG activation and deactivation in the clause 5.29 10. in 5.2.9 configured downlink assignments and configured uplink grant type 2 are cleared at PSCell deactivation. as well as suspend configured grant type 1 at deactivation of SCG and resuming at activation. 11. 5.17: It is ensured that no RACH is initiated while PSCell is deactivated and beam failure occurs   **Impact analysis**  Impacted functionality: SCG deactivation and SCell Activation/Deactivation.  Inter-operability:   1. If the network is implemented according to the CR and the UE is not… 2. If the UE is implemented according to the CR and the network is not… | | | | | | | | |
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| ***Consequences if not approved:*** | | SCG deactivation and Enhanced SCell Activation/Deactivation functions may not work properly. | | | | | | | | |
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| ***Clauses affected:*** | | 5.9, 5.12, 5.12a, 5.15.1, 5.17, 5.29 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modified Subclause*

5.9 Activation/Deactivation of SCells

If the MAC entity is configured with one or more SCells, the network may activate and deactivate the configured SCells. Upon configuration of an SCell, the SCell is deactivated unless the parameter *sCellState* is set to *activated* for the SCell by upper layers.

The configured SCell(s) is activated and deactivated by:

- receiving the SCell Activation/Deactivation MAC CE described in clause 6.1.3.10;

- receiving the Enhanced SCell Activation/Deactivation MAC CE described in clause 6.1.3.55;

- configuring *sCellDeactivationTimer* timer per configured SCell (except the SCell configured with PUCCH, if any): the associated SCell is deactivated upon its expiry;

- configuring *sCellState* per configured SCell: if configured, the associated SCell is activated upon SCell configuration.

- receiving *scg-State*: the SCells of SCG are deactivated.

The MAC entity shall for each configured SCell:

1> if an SCell is configured with *sCellState* set to *activated* upon SCell configuration, or an SCell Activation/Deactivation MAC CE or an Enhanced SCell Activation/Deactivation MAC CE is received activating the SCell:

2> if the SCell was deactivated prior to receiving this Enhanced SCell Activation/Deactivation MAC CE and a TRS is selected for SCell activation:

3> indicate to lower layers the information regarding the TRS.

2> if the SCell was deactivated prior to receiving this SCell Activation/Deactivation MAC CE or this Enhanced SCell Activation/Deactivation MAC CE; or

2> if the SCell is configured with *sCellState* set to *activated* upon SCell configuration:

3> if *firstActiveDownlinkBWP-Id* is not set to dormant BWP:

4> activate the SCell according to the timing defined in TS 38.213 [6] for MAC CE activation and according to the timing defined in TS 38.133 [11] for direct SCell activation; i.e. apply normal SCell operation including:

5> SRS transmissions on the SCell;

5> CSI reporting for the SCell;

5> PDCCH monitoring on the SCell;

5> PDCCH monitoring for the SCell;

5> PUCCH transmissions on the SCell, if configured.

3> else (i.e. *firstActiveDownlinkBWP-Id* is set to dormant BWP):

4> stop the *bwp-InactivityTimer* of this Serving Cell, if running.

3> activate the DL BWP and UL BWP indicated by *firstActiveDownlinkBWP-Id* and *firstActiveUplinkBWP-Id* respectively.

2> start or restart the *sCellDeactivationTimer* associated with the SCell according to the timing defined in TS 38.213 [6] for MAC CE activation and according to the timing defined in TS 38.133 [11] for direct SCell activation;

2> if the active DL BWP is not the dormant BWP:

3> (re-)initialize any suspended configured uplink grants of configured grant Type 1 associated with this SCell according to the stored configuration, if any, and to start in the symbol according to rules in clause 5.8.2;

3> trigger PHR according to clause 5.4.6.

1> else if an SCell Activation/Deactivation MAC CE or an Enhanced SCell Activation/Deactivation MAC CE is received deactivating the SCell; or

1> if the *sCellDeactivationTimer* associated with the activated SCell expires:

2> deactivate the SCell according to the timing defined in TS 38.213 [6];

2> stop the *sCellDeactivationTimer* associated with the SCell;

2> stop the *bwp-InactivityTimer* associated with the SCell;

2> deactivate any active BWP associated with the SCell;

2> clear any configured downlink assignment and any configured uplink grant Type 2 associated with the SCell respectively;

2> clear any PUSCH resource for semi-persistent CSI reporting associated with the SCell;

2> suspend any configured uplink grant Type 1 associated with the SCell;

2> flush all HARQ buffers associated with the SCell;

2> cancel, if any, triggered consistent LBT failure for the SCell.

1> if PDCCH on the activated SCell indicates an uplink grant or downlink assignment; or

1> if PDCCH on the Serving Cell scheduling the activated SCell indicates an uplink grant or a downlink assignment for the activated SCell; or

1> if a MAC PDU is transmitted in a configured uplink grant and LBT failure indication is not received from lower layers; or

1> if a MAC PDU is received in a configured downlink assignment:

2> restart the *sCellDeactivationTimer* associated with the SCell.

1> if the SCell is deactivated:

2> not transmit SRS on the SCell;

2> not report CSI for the SCell;

2> not transmit on UL-SCH on the SCell;

2> not transmit on RACH on the SCell;

2> not monitor the PDCCH on the SCell;

2> not monitor the PDCCH for the SCell;

2> not transmit PUCCH on the SCell.

HARQ feedback for the MAC PDU containing SCell Activation/Deactivation MAC CE or Enhanced SCell Activation/Deactivation MAC CE shall not be impacted by PCell, PSCell and PUCCH SCell interruptions due to SCell activation/deactivation in TS 38.133 [11].

When SCell is deactivated, the ongoing Random Access procedure on the SCell, if any, is aborted.

*Next Modified Subclause*

5.12 MAC Reset

If a reset of the MAC entity is requested by upper layers, the MAC entity shall:

1> if the MAC reset is not due to SCG deactivation:

2> initialize *Bj* for each logical channel to zero;

1> initialize *SBj* for each logical channel to zero if Sidelink resource allocation mode 1 is configured by RRC;

1> if upper layers indicate SCG deactivation and *bfd-and-RLM* with value *true* is configured for the deactivated SCG:

2> stop (if running) all timers except *beamFailureDetectionTimer* associated with PSCell and *timeAlignmentTimers*.

1> else:

2> stop (if running) all timers;

2> consider all *timeAlignmentTimer*s, *inactivePosSRS-TimeAlignmentTimer*, and *cg-SDT-TimeAlignmentTimer*, if configured, as expired and perform the corresponding actions in clause 5.2;

1> set the NDIs for all uplink HARQ processes to the value 0;

1> sets the NDIs for all HARQ process IDs to the value 0 for monitoring PDCCH in Sidelink resource allocation mode 1;

1> stop, if any, ongoing Random Access procedure;

1> discard explicitly signalled contention-free Random Access Resources for 4-step RA type and 2-step RA type, if any;

1> flush Msg3 buffer;

1> flush MSGA buffer;

1> cancel, if any, triggered Scheduling Request procedure;

1> cancel, if any, triggered Buffer Status Reporting procedure;

1> cancel, if any, triggered Power Headroom Reporting procedure;

1> cancel, if any, triggered consistent LBT failure;

1> cancel, if any, triggered BFR;

1> cancel, if any, triggered Sidelink Buffer Status Reporting procedure;

1> cancel, if any, triggered Pre-emptive Buffer Status Reporting procedure;

1> cancel, if any, triggered Timing Advance Reporting procedure;

1> cancel, if any, triggered Recommended bit rate query procedure;

1> cancel, if any, triggered Configured uplink grant confirmation;

1> cancel, if any, triggered configured sidelink grant confirmation;

1> cancel, if any, triggered Desired Guard Symbol query;

1> cancel, if any, triggered Positioning Measurement Gap Activation/Deactivation Request procedure;

1> cancel, if any, triggered SDT procedure;

1> flush the soft buffers for all DL HARQ processes;

1> for each DL HARQ process, consider the next received transmission for a TB as the very first transmission;

1> release, if any, Temporary C-RNTI;

1> if upper layers indicate SCG deactivation and *bfd-and-RLM* with value *true* is not configured; or :

1> if the MAC reset is not due to SCG deactivation:

2> reset all *BFI\_COUNTER*s;

1> reset all *LBT\_COUNTERs*.

If a Sidelink specific reset of the MAC entity is requested for a PC5-RRC connection by upper layers, the MAC entity shall:

1> flush the soft buffers for all Sidelink processes for all TB(s) associated to the PC5-RRC connection;

1> consider all Sidelink processes for all TB(s) associated to the PC5-RRC connection as unoccupied;

1> cancel, if any, triggered Scheduling Request procedure only associated to the PC5-RRC connection;

1> cancel, if any, triggered Sidelink Buffer Status Reporting procedure only associated to the PC5-RRC connection;

1> cancel, if any, triggered Sidelink CSI Reporting procedure associated to the PC5-RRC connection;

1> stop (if running) all timers associated to the PC5-RRC connection;

1> reset the *numConsecutiveDTX* associated to the PC5-RRC connection;

1> initialize *SBj* for each logical channel associated to the PC5-RRC connection to zero.

*Next Modified Subclause*

*Next Modified Subclause*

5.15 Bandwidth Part (BWP) operation

5.15.1 Downlink and Uplink

In addition to clause 12 of TS 38.213 [6], this clause specifies requirements on BWP operation.

A Serving Cell may be configured with one or multiple BWPs, and the maximum number of BWP per Serving Cell is specified in TS 38.213 [6].

The BWP switching for a Serving Cell is used to activate an inactive BWP and deactivate an active BWP at a time. The BWP switching is controlled by the PDCCH indicating a downlink assignment or an uplink grant, by the *bwp-InactivityTimer*, by RRC signalling, or by the MAC entity itself upon initiation of Random Access procedure or upon detection of consistent LBT failure on SpCell. Upon RRC (re-)configuration of *firstActiveDownlinkBWP-Id* and/or *firstActiveUplinkBWP-Id* for SpCell except for PSCell when SCG is deactivated (see clause 5.29) or activation of an SCell, the DL BWP and/or UL BWP indicated by *firstActiveDownlinkBWP-Id* and/or *firstActiveUplinkBWP-Id* respectively (as specified in TS 38.331 [5]) is active without receiving PDCCH indicating a downlink assignment or an uplink grant. Upon RRC (re-)configuration of *firstActiveDownlinkBWP-Id* for PSCell when SCG is deactivated, the DL BWP is switched to the *firstActiveDownlinkBWP-Id* as specified in TS 38.331 [5]. The active BWP for a Serving Cell is indicated by either RRC or PDCCH (as specified in TS 38.213 [6]). For unpaired spectrum, a DL BWP is paired with a UL BWP, and BWP switching is common for both UL and DL.

For each SCell a dormant BWP may be configured with *dormantBWP-Id* by RRC signalling as described in TS 38.331 [5]. Entering or leaving dormant BWP for SCells is done by BWP switching per SCell or per dormancy SCell group based on instruction from PDCCH (as specified in TS 38.213 [6]). The dormancy SCell group configurations are configured by RRC signalling as described in TS 38.331 [5]. Upon reception of the PDCCH indicating leaving dormant BWP, the DL BWP indicated by *firstOutsideActiveTimeBWP-Id* or by *firstWithinActiveTimeBWP-Id* (as specified in TS 38.331 [5] and TS 38.213 [6]) is activated. Upon reception of the PDCCH indicating entering dormant BWP, the DL BWP indicated by *dormantBWP-Id* (as specified in TS 38.331 [5]) is activated. The dormant BWP configuration for SpCell or PUCCH SCell is not supported.

For each activated Serving Cell configured with a BWP, the MAC entity shall:

1> if a BWP is activated and the active DL BWP for the Serving Cell is not the dormant BWP:

2> transmit on UL-SCH on the BWP;

2> transmit on RACH on the BWP, if PRACH occasions are configured;

2> monitor the PDCCH on the BWP;

2> transmit PUCCH on the BWP, if configured;

2> report CSI for the BWP;

2> transmit SRS on the BWP, if configured;

2> receive DL-SCH on the BWP;

2> (re-)initialize any suspended configured uplink grants of configured grant Type 1 on the active BWP according to the stored configuration, if any, and to start in the symbol according to rules in clause 5.8.2;

2> if *lbt-FailureRecoveryConfig* is configured:

3> stop the *lbt-FailureDetectionTimer*, if running;

3> set *LBT\_COUNTER* to 0;

3> monitor LBT failure indications from lower layers as specified in clause 5.21.2.

1> if a BWP is activated and the active DL BWP for the Serving Cell is dormant BWP:

2> stop the *bwp-InactivityTimer* of this Serving Cell, if running.

2> not monitor the PDCCH on the BWP;

2> not monitor the PDCCH for the BWP;

2> not receive DL-SCH on the BWP;

2> not report CSI on the BWP, report CSI except aperiodic CSI for the BWP;

2> not transmit SRS on the BWP;

2> not transmit on UL-SCH on the BWP;

2> not transmit on RACH on the BWP;

2> not transmit PUCCH on the BWP;

2> clear any configured downlink assignment and any configured uplink grant Type 2 associated with the SCell respectively;

2> suspend any configured uplink grant Type 1 associated with the SCell;

2> if configured, perform beam failure detection and beam failure recovery for the SCell if beam failure is detected.

1> if a BWP is deactivated:

2> not transmit on UL-SCH on the BWP;

2> not transmit on RACH on the BWP;

2> not monitor the PDCCH on the BWP;

2> not transmit PUCCH on the BWP;

2> not report CSI for the BWP;

2> not transmit SRS on the BWP;

2> not receive DL-SCH on the BWP;

2> clear any configured downlink assignment and configured uplink grant of configured grant Type 2 on the BWP;

2> suspend any configured uplink grant of configured grant Type 1 on the inactive BWP.

Upon initiation of the Random Access procedure on a Serving Cell, after the selection of carrier for performing Random Access procedure as specified in clause 5.1.1, the MAC entity shall for the selected carrier of this Serving Cell:

1> if PRACH occasions are not configured for the active UL BWP:

2> if UE is a RedCap UE; and

2> if *initialUplinkBWP-RedCap* is configured:

3> switch the active UL BWP to BWP configured by *initialUplinkBWP-RedCap*.

2> else:

3> switch the active UL BWP to BWP indicated by *initialUplinkBWP*.

2> if the Serving Cell is an SpCell:

3> if the UE is a RedCap UE; and

3> if *initialDownlinkBWP-RedCap* is configured:

4> switch the active DL BWP to BWP configured by *initialDownlinkBWP-RedCap*.

3> else:

4> switch the active DL BWP to BWP indicated by *initialDownlinkBWP*.

1> else:

2> if the Serving Cell is an SpCell:

3> if the active DL BWP does not have the same *bwp-Id* as the active UL BWP:

4> switch the active DL BWP to the DL BWP with the same *bwp-Id* as the active UL BWP.

1> stop the *bwp-InactivityTimer* associated with the active DL BWP of this Serving Cell, if running.

1> if the Serving Cell is SCell:

2> stop the *bwp-InactivityTimer* associated with the active DL BWP of SpCell, if running.

1> perform the Random Access procedure on the active DL BWP of SpCell and active UL BWP of this Serving Cell.

If the MAC entity receives a PDCCH for BWP switching of a Serving Cell, the MAC entity shall:

1> if there is no ongoing Random Access procedure associated with this Serving Cell; or

1> if the ongoing Random Access procedure associated with this Serving Cell is successfully completed upon reception of this PDCCH addressed to C-RNTI (as specified in clauses 5.1.4, 5.1.4a, and 5.1.5):

2> cancel, if any, triggered consistent LBT failure for this Serving Cell;

2> perform BWP switching to a BWP indicated by the PDCCH.

If the MAC entity receives a PDCCH for BWP switching for a Serving Cell(s) or a dormancy SCell group(s) while a Random Access procedure associated with that Serving Cell is ongoing in the MAC entity, it is up to UE implementation whether to switch BWP or ignore the PDCCH for BWP switching, except for the PDCCH reception for BWP switching addressed to the C-RNTI for successful Random Access procedure completion (as specified in clauses 5.1.4, 5.1.4a, and 5.1.5) in which case the UE shall perform BWP switching to a BWP indicated by the PDCCH. Upon reception of the PDCCH for BWP switching other than successful contention resolution, if the MAC entity decides to perform BWP switching, the MAC entity shall stop the ongoing Random Access procedure and initiate a Random Access procedure after performing the BWP switching; if the MAC decides to ignore the PDCCH for BWP switching, the MAC entity shall continue with the ongoing Random Access procedure on the Serving Cell.

Upon reception of RRC (re-)configuration for BWP switching for a Serving Cell while a Random Access procedure associated with that Serving Cell is ongoing in the MAC entity, the MAC entity shall stop the ongoing Random Access procedure and initiate a Random Access procedure after performing the BWP switching.

Upon reception of RRC (re-)configuration for BWP switching for a Serving Cell, cancel any triggered LBT failure in this Serving Cell.

The MAC entity shall for each activated Serving Cell configured with *bwp-InactivityTimer*:

1> if the *defaultDownlinkBWP-Id* is configured, and the active DL BWP is not the BWP indicated by the *defaultDownlinkBWP-Id*, and the active DL BWP is not the BWP indicated by the *dormantBWP-Id* if configured; or

1> if the *defaultDownlinkBWP-Id* is not configured, and the active DL BWP is not the *initialDownlinkBWP*, and the active DL BWP is not the BWP indicated by the *dormantBWP-Id* if configured:

2> if a PDCCH addressed to C-RNTI or CS-RNTI indicating downlink assignment or uplink grant is received on the active BWP; or

2> if a PDCCH addressed to G-RNTI or G-CS-RNTI configured for multicast indicating downlink assignment is received on the active BWP; or

2> if a PDCCH addressed to C-RNTI or CS-RNTI indicating downlink assignment or uplink grant is received for the active BWP; or

2> if a MAC PDU is transmitted in a configured uplink grant and LBT failure indication is not received from lower layers; or

2> if a MAC PDU is received in a configured downlink assignment for unicast or MBS multicast:

3> if there is no ongoing Random Access procedure associated with this Serving Cell; or

3> if the ongoing Random Access procedure associated with this Serving Cell is successfully completed upon reception of this PDCCH addressed to C-RNTI (as specified in clauses 5.1.4, 5.1.4a and 5.1.5):

4> start or restart the *bwp-InactivityTimer* associated with the active DL BWP.

2> if the *bwp-InactivityTimer* associated with the active DL BWP expires:

3> if the *defaultDownlinkBWP-Id* is configured:

4> perform BWP switching to a BWP indicated by the *defaultDownlinkBWP-Id*.

3> else:

4> perform BWP switching to the *initialDownlinkBWP*.

NOTE: If a Random Access procedure is initiated on an SCell, both this SCell and the SpCell are associated with this Random Access procedure.

1> if a PDCCH for BWP switching is received, and the MAC entity switches the active DL BWP:

2> if the *defaultDownlinkBWP-Id* is configured, and the MAC entity switches to the DL BWP which is not indicated by the *defaultDownlinkBWP-Id* and is not indicated by the *dormantBWP-Id* if configured; or

2> if the *defaultDownlinkBWP-Id* is not configured, and the MAC entity switches to the DL BWP which is not the *initialDownlinkBWP* and is not indicated by the *dormantBWP-Id* if configured:

3> start or restart the *bwp-InactivityTimer* associated with the active DL BWP.

A RedCap UE in RRC\_IDLE or RRC\_INACTIVE mode may be configured with a RedCap-specific initial UL BWP in *initialUplinkBWP-RedCap*, as specified in TS 38.331 [5].

Upon initiation of the Random Access procedure, after selection of the carrier for performing Random Access procedure as specified in clause 5.1.1, if the UE is a RedCap UE in RRC\_IDLE or RRC\_INACTIVE mode, the MAC entity shall:

1> if *initialUplinkBWP-RedCap* is configured:

2> perform the Random Access procedure as specified in clause 5.1 by using the BWP configured by *initialUplinkBWP-RedCap*;

2> if *initialDownlinkBWP-RedCap* is configured:

3> monitor the PDCCH on the BWP configured by *initialDownlinkBWP-RedCap*.

*Next Modified Subclause*

## 5.17 Beam Failure Detection and Recovery procedure

The MAC entity may be configured by RRC per Serving Cell with a beam failure recovery procedure which is used for indicating to the serving gNB of a new SSB or CSI-RS when beam failure is detected on the serving SSB(s)/CSI-RS(s). Beam failure is detected by counting beam failure instance indication from the lower layers to the MAC entity. If *beamFailureRecoveryConfig* is reconfigured by upper layers during an ongoing Random Access procedure for beam failure recovery for SpCell, the MAC entity shall stop the ongoing Random Access procedure and initiate a Random Access procedure using the new configuration.

RRC configures the following parameters in the *BeamFailureRecoveryConfig*, *BeamFailureRecoverySCellConfig*, *BeamFailureRecoveryServingCellConfig* and the *RadioLinkMonitoringConfig* for the Beam Failure Detection and Recovery procedure:

- *beamFailureInstanceMaxCount* for the beam failure detection (per Serving Cell or per BFD-RS set of Serving Cell configured with two BFD-RS sets);

- *beamFailureDetectionTimer* for the beam failure detection (per Serving Cell or per BFD-RS set of Serving Cell configured with two BFD-RS sets);

- *beamFailureRecoveryTimer* for the beam failure recovery procedure;

- *rsrp-ThresholdSSB*: an RSRP threshold for the SpCell beam failure recovery;

- *rsrp-ThresholdBFR*: an RSRP threshold for the SCell beam failure recovery or for the beam failure recovery of BFD-RS set of Serving Cell;

- *powerRampingStep*: *powerRampingStep* for the SpCell beam failure recovery;

- *powerRampingStepHighPriority*: *powerRampingStepHighPriority* for the SpCell beam failure recovery;

- *preambleReceivedTargetPower*: *preambleReceivedTargetPower* for the SpCell beam failure recovery;

- *preambleTransMax*: *preambleTransMax* for the SpCell beam failure recovery;

- *scalingFactorBI*: *scalingFactorBI* for the SpCell beam failure recovery;

- *ssb-perRACH-Occasion*: *ssb-perRACH-Occasion* for the SpCell beam failure recovery using contention-free Random Access Resources;

- *ra-ResponseWindow*: the time window to monitor response(s) for the SpCell beam failure recovery using contention-free Random Access Resources;

- *prach-ConfigurationIndex*: *prach-ConfigurationIndex* for the SpCell beam failure recovery using contention-free Random Access Resources;

- *ra-ssb-OccasionMaskIndex*: *ra-ssb-OccasionMaskIndex* for the SpCell beam failure recovery using contention-free Random Access Resources;

- *ra-OccasionList*: *ra-OccasionList* for the SpCell beam failure recovery using contention-free Random Access Resources;

- *candidateBeamRSList*: list of candidate beams for SpCell beam failure recovery;

- *candidateBeamRSSCellList*: list of candidate beams for SCell beam failure recovery;

- *candidateBeamresourceList*: list of candidate beams for beam failure recovery of BFD-RS set 0 of Serving Cell;

- *candidateBeamresourceList2*: list of candidate beams for beam failure recovery of BFD-RS set 1 of Serving Cell.

The following UE variables are used for the beam failure detection procedure:

- *BFI\_COUNTER* (per Serving Cell or per BFD-RS set of Serving Cell configured with two BFD-RS sets): counter for beam failure instance indication which is initially set to 0.

The MAC entity shall for each Serving Cell configured for beam failure detection:

1> if the Serving Cell is configured with two BFD-RS sets, the MAC entity shall for each BFD-RS set of the Serving Cell:

2> if beam failure instance indication for a BFD-RS set has been received from lower layers:

3> start or restart the *beamFailureDetectionTimer*;

3> increment *BFI\_COUNTER* of the BFD-RS set by 1;

3> if *BFI\_COUNTER* >= *beamFailureInstanceMaxCount*:

4> trigger a BFR for this BFD-RS set of the Serving Cell;

2> if BFR is triggered for both BFD-RS sets of the SpCell and is not successfully completed:

3> initiate a Random Access procedure (see clause 5.1) on the SpCell;

2> if the Serving Cell is SpCell and the Random Access procedure initiated for beam failure recovery of both BFD-RS sets of SpCell is successfully completed (see clause 5.1):

3> set *BFI\_COUNTER* of each BFD-RS set of SpCell to 0.

3> consider the Beam Failure Recovery procedure successfully completed.

2> if the *beamFailureDetectionTimer* of this BFD-RS set expires; or

2> if *beamFailureDetectionTimer*, *beamFailureInstanceMaxCount*, or any of the reference signals used for beam failure detection is reconfigured by upper layers associated with this BFD-RS set of the Serving Cell:

3> set *BFI\_COUNTER* of the BFD-RS set to 0.

2> if a PDCCH addressed to C-RNTI indicating uplink grant for a new transmission is received for the HARQ process used for the transmission of the Enhanced BFR MAC CE or Truncated Enhanced BFR MAC CE which contains beam failure recovery information of this BFD-RS set of the Serving Cell:

3> set *BFI\_COUNTER* of the BFD-RS set to 0;

3> consider the Beam Failure Recovery procedure successfully completed and cancel all the triggered BFRs of this BFD-RS set of the Serving Cell.

2> if the Serving Cell is SCell and the SCell is deactivated as specified in clause 5.9:

3> set *BFI\_COUNTER* of each BFD-RS set of SCell to 0;

3> consider the Beam Failure Recovery procedure successfully completed and cancel all the triggered BFRs of all BFD-RS sets of the Serving Cell.

1> else:

2> if beam failure instance indication has been received from lower layers:

3> start or restart the *beamFailureDetectionTimer*;

3> increment *BFI\_COUNTER* by 1;

3> if *BFI\_COUNTER* >= *beamFailureInstanceMaxCount*:

4> if the Serving Cell is SCell:

5> trigger a BFR for this Serving Cell;

4> else if the Serving Cell is PSCell and the SCG is deactivated:

5> if beam failure of the PSCell has not been indicated to upper layers since the SCG was deactivated or since the deactivated SCG was last reconfigured with BFD-RS or the *bfd-and-RLM* parameter:

6> indicate beam failure of the PSCell to upper layers.

4> else

5> initiate a Random Access procedure (see clause 5.1) on the SpCell.

2> if the *beamFailureDetectionTimer* expires; or

2> if *beamFailureDetectionTimer*, *beamFailureInstanceMaxCount*, or any of the reference signals used for beam failure detection is reconfigured by upper layers associated with this Serving Cell:

3> set *BFI\_COUNTER* to 0.

2> if the Serving Cell is SpCell and the Random Access procedure initiated for SpCell beam failure recovery is successfully completed (see clause 5.1):

3> set *BFI\_COUNTER* to 0;

3> stop the *beamFailureRecoveryTimer*, if configured;

3> consider the Beam Failure Recovery procedure successfully completed.

2> else if the Serving Cell is SCell, and a PDCCH addressed to C-RNTI indicating uplink grant for a new transmission is received for the HARQ process used for the transmission of the BFR MAC CE or Truncated BFR MAC CE which contains beam failure recovery information of this Serving Cell; or

2> if the SCell is deactivated as specified in clause 5.9:

3> set *BFI\_COUNTER* to 0;

3> consider the Beam Failure Recovery procedure successfully completed and cancel all the triggered BFRs for this Serving Cell.

The MAC entity shall:

1> if the Beam Failure Recovery procedure determines that at least one BFR has been triggered and not cancelled for an SCell for which evaluation of the candidate beams according to the requirements as specified in TS 38.133 [11] has been completed and if none of the Serving Cell(s) of this MAC entity are configured with two BFD-RS sets:

2> if UL-SCH resources are available for a new transmission and if the UL-SCH resources can accommodate the BFR MAC CE plus its subheader as a result of LCP:

3> instruct the Multiplexing and Assembly procedure to generate the BFR MAC CE.

2> else if UL-SCH resources are available for a new transmission and if the UL-SCH resources can accommodate the Truncated BFR MAC CE plus its subheader as a result of LCP:

3> instruct the Multiplexing and Assembly procedure to generate the Truncated BFR MAC CE.

2> else:

3> trigger the SR for SCell beam failure recovery for each SCell for which BFR has been triggered, not cancelled, and for which evaluation of the candidate beams according to the requirements as specified in TS 38.133 [11] has been completed.

1> if the Beam Failure Recovery procedure determines that at least one BFR for BFD-RS set has been triggered and not cancelled for an SCell for which evaluation of the candidate beams according to the requirements as specified in TS 38.133 [11] has been completed; or

1> if the Beam Failure Recovery procedure determines that at least one BFR for BFD-RS set for only one BFD-RS set has been triggered and not cancelled for an SpCell for which evaluation of the candidate beams according to the requirements as specified in TS 38.133 [11] has been completed; or

1> if the Beam Failure Recovery procedure determines that at least one BFR has been triggered and not cancelled for an SCell for which evaluation of the candidate beams according to the requirements as specified in TS 38.133 [11] has been completed and if at least one Serving Cell of this MAC entity is configured with two BFD-RS sets:

2> if UL-SCH resources are available for a new transmission and if the UL-SCH resources can accommodate the Enhanced BFR MAC CE plus its subheader as a result of LCP:

3> instruct the Multiplexing and Assembly procedure to generate the Enhanced BFR MAC CE.

2> else if UL-SCH resources are available for a new transmission and if the UL-SCH resources can accommodate the Truncated Enhanced BFR MAC CE plus its subheader as a result of LCP:

3> instruct the Multiplexing and Assembly procedure to generate the Truncated Enhanced BFR MAC CE.

2> else:

3> trigger the SR for beam failure recovery of each BFD-RS set for which BFR has been triggered, not cancelled, and for which evaluation of the candidate beams according to the requirements as specified in TS 38.133 [11] has been completed;

3> trigger the SR for SCell beam failure recovery for each SCell for which BFR has been triggered, not cancelled, and for which evaluation of the candidate beams according to the requirements as specified in TS 38.133 [11] has been completed.

All BFRs triggered for an SCell shall be cancelled when a MAC PDU is transmitted and this PDU includes a BFR MAC CE or Truncated BFR MAC CE which contains beam failure information of that SCell. All BFRs triggered for a BFD-RS set of a Serving Cell shall be cancelled when a MAC PDU is transmitted and this PDU includes an Enhanced BFR MAC CE or Truncated Enhanced BFR MAC CE which contains beam failure recovery information of that BFD-RS set of the Serving Cell.

*Next Modified Subclause*

5.29 Activation/Deactivation of SCG

The network may activate and deactivate the configured SCG.

The MAC entity shall for the configured SCG:

1. if upper layers indicate that SCG is activated:

2> if *BFI\_COUNTER* >= *beamFailureInstanceMaxCount* for the PSCell or the *timeAlignmentTimer* associated with PTAG is not running:

3> indicate to upper layers that a Random Access Procedure (as specified in clause 5.1.1) is needed for SCG activation.

2> else :

3> activate the SCG according to the timing defined in TS 38.133 [11] for direct SCG activation.

2> (re-)initialize any suspended configured uplink grants of configured grant Type 1 associated with this PSCell according to the stored configuration, if any, and to start in the symbol according to rules in clause 5.8.2.2.

2> apply normal SCG operation including:

3> SRS transmissions on the PSCell;

3> CSI reporting for the PSCell;

3> PDCCH monitoring on the PSCell;

3> PUCCH transmissions on the PSCell;

3> transmit on RACH on the PSCell;

3> initialize *Bj* for each logical channel to zero.

1> else if upper layers indicate deactivation of the SCG:

2> deactivate all the SCells of the SCG according to clause 5.9;

2> deactivate PSCell according to the timing defined in TS 38.133 [11].

2> clear any configured downlink assignment and any configured uplink grant Type 2 associated with the PSCell respectively;

2> suspend any configured uplink grant Type 1 associated with the PSCell;

2> reset MAC according to clause 5.12.

1> if the PSCell is deactivated:

2> not transmit SRS on the PSCell;

2> not report CSI for the PSCell;

2> not transmit on UL-SCH on the PSCell;

2> not transmit PUCCH on the PSCell;

2> not transmit on RACH on the PSCell;

2> not receive the DL-SCH on the PSCell;

2> not monitor the PDCCH on the PSCell.