**3GPP TSG-RAN2 Meeting #116bis electronic *Draft R2-2201873***

**Online, 17 - 25 Jan, 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **-** | **rev** |  | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | RRC configuration to support R17 UL Tx switching enhancement | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon, China Telecom, CATT | | | | | | | | | |
| ***Source to TSG:*** | RAN2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_RF\_FR1\_enh | | | | |  | ***Date:*** | | | 2022-01-17 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)*  *Rel-19 (Release 19)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Uplink Tx switching has been extended to the following scenarios in Rel-17 FR1 RF requirements enhancement WI, with the latest WID in RP-210899.   * *2Tx-2Tx switching between two uplink carriers for SUL and UL CA* * *1Tx-2Tx and 2Tx-2Tx switching between 1 carrier on band A and 2 contiguous aggregated carriers on band B for SUL and UL CA*   Based on RAN1 and RAN4 agreements, the UE behaviour and requirement is still based on the band (i.e. band A or band B), so the existing RRC parameter can be reused to configure 2CCs on band B for 1Tx-2Tx switching.  In addition, RAN1 agreed to introduce a new RRC parameter to indicate state for UL CA option2.  In RAN2#116bie-e meeting, the following agreements were reached on RRC configuration for Rel-17 UL Tx switching enhancement.   * To configure 2Tx-2Tx switching, the new RRC parameter of 2Tx-2Tx switching mode agreed by RAN1 is included in *CellGroupConfig*, and the existing *UplinkTxSwitching* can be reused without change. * For UL Tx switching between 1 carrier in band A and 2 carriers in band B, 3 uplinks are configured in legacy way, i.e. one uplink band (Band A) is configured with 1 *UplinkConfig*, and the other band (Band B) is configured with 2 *UplinkConfig*. * For UL Tx switching between 1 carrier in band A and 2 carriers in band B, the field *uplinkTxSwitchingPeriodLocation* is reused to configure period location. The configuration to the 2 uplinks in band B (i.e. the band capable of 2Tx) should be aligned. * For UL Tx switching between 1 carrier in band A and 2 carriers in band B, the field *uplinkTxSwitchingCarrier* is reused. The configuration to the 2 uplinks in band B (i.e. the band capable of 2Tx) should be *carrier2*. * The new RRC parameter *uplinkTxSwitchingdualULTxState* should be included in *CellGroupConfig* to configure the state of Tx chains for UL-CA option2 in case of 2Tx-2Tx switching. * RAN2 confirm the following clarification on the configuration of *uplinkTxSwitchingCarrier* for UL Tx switching. The detail wording of field description can be further discussed in CR drafting.   - 1Tx-2Tx with 2 uplinks or 3 uplinks, band A (capable of 1T, 1 CC) will be configured as *carrier1*, band B (capable of 2T, 1CC or 2CC) will be configured as *carrier 2*.  - 2Tx-2Tx with 2 uplinks or 3 uplinks, band A (capable of 2T, 1 CC) will be configured as *carrier1*, band B (capable of 2T, 1CC or 2CC) will be configured as *carrier 2*.  Based on the above RAN2 agreements, changes are needed to cover the RRC configuration for Rel-17 UL Tx switching enhancement. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | In 6.3.2,   * Add *uplinkTxSwitching-2T-Mode* and *uplinkTxSwitchingDualUL-TxState* in *CellGroupConfig*; * Clarify *uplinkTxSwitchingPeriodLocation* and *uplinkTxSwitchingCarrier* within *uplinkTxSwitching* are reused to configure the Rel-17 scenario of 2CCs on band B for 1Tx-2Tx/2Tx-2Tx switching, and the configuration should be kept the same on the two uplink carriers on band B.   **Impact Analysis**  Impacted 5G architecture options:  NR CA, SUL  Impacted functionality:  UL Tx switching  Inter-operability: | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | It is not clear how to configure R17 UL Tx switching. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR … | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | R2-2201500 | | | | | | | | |

*START OF CHANGE*

6.3.2 Radio resource control information elements

– *CellGroupConfig*

The *CellGroupConfig* IE is used to configure a master cell group (MCG) or secondary cell group (SCG). A cell group comprises of one MAC entity, a set of logical channels with associated RLC entities and of a primary cell (SpCell) and one or more secondary cells (SCells).

***CellGroupConfig* information element**

-- ASN1START

-- TAG-CELLGROUPCONFIG-START

-- Configuration of one Cell-Group:

CellGroupConfig ::= SEQUENCE {

cellGroupId CellGroupId,

rlc-BearerToAddModList SEQUENCE (SIZE(1..maxLC-ID)) OF RLC-BearerConfig OPTIONAL, -- Need N

rlc-BearerToReleaseList SEQUENCE (SIZE(1..maxLC-ID)) OF LogicalChannelIdentity OPTIONAL, -- Need N

mac-CellGroupConfig MAC-CellGroupConfig OPTIONAL, -- Need M

physicalCellGroupConfig PhysicalCellGroupConfig OPTIONAL, -- Need M

spCellConfig SpCellConfig OPTIONAL, -- Need M

sCellToAddModList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellConfig OPTIONAL, -- Need N

sCellToReleaseList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellIndex OPTIONAL, -- Need N

...,

[[

reportUplinkTxDirectCurrent ENUMERATED {true} OPTIONAL -- Cond BWP-Reconfig

]],

[[

bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

bh-RLC-ChannelToAddModList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelConfig-r16 OPTIONAL, -- Need N

bh-RLC-ChannelToReleaseList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelID-r16 OPTIONAL, -- Need N

f1c-TransferPath-r16 ENUMERATED {lte, nr, both} OPTIONAL, -- Need M

simultaneousTCI-UpdateList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousTCI-UpdateList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousSpatial-UpdatedList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousSpatial-UpdatedList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

uplinkTxSwitchingOption-r16 ENUMERATED {switchedUL, dualUL} OPTIONAL, -- Need R

uplinkTxSwitchingPowerBoosting-r16 ENUMERATED {enabled} OPTIONAL -- Need R

]],

[[

reportUplinkTxDirectCurrentTwoCarrier-r16 ENUMERATED {true} OPTIONAL -- Need N

]],

[[

uplinkTxSwitching-2T-Mode-r17 ENUMERATED {enabled} OPTIONAL, -- Cond 2Tx

uplinkTxSwitching-DualUL-TxState-r17 ENUMERATED {oneT, twoT} OPTIONAL -- Cond 2Tx

]]

}

-- Serving cell specific MAC and PHY parameters for a SpCell:

SpCellConfig ::= SEQUENCE {

servCellIndex ServCellIndex OPTIONAL, -- Cond SCG

reconfigurationWithSync ReconfigurationWithSync OPTIONAL, -- Cond ReconfWithSync

rlf-TimersAndConstants SetupRelease { RLF-TimersAndConstants } OPTIONAL, -- Need M

rlmInSyncOutOfSyncThreshold ENUMERATED {n1} OPTIONAL, -- Need S

spCellConfigDedicated ServingCellConfig OPTIONAL, -- Need M

...

}

ReconfigurationWithSync ::= SEQUENCE {

spCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Need M

newUE-Identity RNTI-Value,

t304 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

rach-ConfigDedicated CHOICE {

uplink RACH-ConfigDedicated,

supplementaryUplink RACH-ConfigDedicated

} OPTIONAL, -- Need N

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

]],

[[

daps-UplinkPowerConfig-r16 DAPS-UplinkPowerConfig-r16 OPTIONAL -- Need N

]]

}

DAPS-UplinkPowerConfig-r16 ::= SEQUENCE {

p-DAPS-Source-r16 P-Max,

p-DAPS-Target-r16 P-Max,

uplinkPowerSharingDAPS-Mode-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic }

}

SCellConfig ::= SEQUENCE {

sCellIndex SCellIndex,

sCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Cond SCellAdd

sCellConfigDedicated ServingCellConfig OPTIONAL, -- Cond SCellAddMod

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

]],

[[

sCellState-r16 ENUMERATED {activated} OPTIONAL, -- Cond SCellAddSync

secondaryDRX-GroupConfig-r16 ENUMERATED {true} OPTIONAL -- Cond DRX-Config2

]]}

-- TAG-CELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***CellGroupConfig* field descriptions** |
| ***bap-Address***  BAP address of the parent node in cell group. |
| ***bh-RLC-ChannelToAddModList***  Configuration of the backhaul RLC entities and the corresponding MAC Logical Channels to be added and modified. |
| ***bh-RLC-ChannelToReleaseList***  List of the backhaul RLC entities and the corresponding MAC Logical Channels to be released. |
| ***f1c-TransferPath***  The F1-C transfer path that an EN-DC IAB-MT should use for transferring F1-C packets to the IAB-donor-CU. If IAB-MT is configured with *lte*, IAB-MT can only use LTE leg for F1-C transfer. If IAB-MT is configured with *nr*, IAB-MT can only use NR leg for F1-C transfer. If IAB-MT is configured with *both*, it is up to IAB-MT to select an LTE leg or a NR leg for F1-C transfer. If the field is not configured, the IAB node uses the NR leg as the default one. |
| ***mac-CellGroupConfig***  MAC parameters applicable for the entire cell group. |
| ***rlc-BearerToAddModList***  Configuration of the MAC Logical Channel, the corresponding RLC entities and association with radio bearers. |
| ***reportUplinkTxDirectCurrent***  Enables reporting of uplink and supplementary uplink Direct Current location information upon BWP configuration and reconfiguration. This field is only present when the BWP configuration is modified or any serving cell is added or removed. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. If UE is configured with SUL carrier, UE reports both UL and SUL Direct Current locations. |
| ***reportUplinkTxDirectCurrentTwoCarrier***  Enables reporting of uplink Direct Current location information when the UE is configured with uplink intra-band CA with two carriers. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. |
| ***rlmInSyncOutOfSyncThreshold***  BLER threshold pair index for IS/OOS indication generation, see TS 38.133 [14], table 8.1.1-1. *n1* corresponds to the value 1. When the field is absent, the UE applies the value 0. Whenever this is reconfigured, UE resets N310 and N311, and stops T310, if running. Network does not include this field. |
| ***sCellState***  Indicates whether the SCell shall be considered to be in activated state upon SCell configuration. |
| ***sCellToAddModList***  List of secondary serving cells (SCells) to be added or modified. |
| ***sCellToReleaseList***  List of secondary serving cells (SCells) to be released. |
| ***secondaryDRX-GroupConfig***  The field is used to indicate whether the SCell belongs to the secondary DRX group. All serving cells in the secondary DRX group shall belong to one Frequency Range and all serving cells in the legacy DRX group shall belong to another Frequency Range. |
| ***simultaneousTCI-UpdateList1, simultaneousTCI-UpdateList2***  List of serving cells which can be updated simultaneously for TCI relation with a MAC CE. The *simultaneousTCI-UpdateList1* and *simultaneousTCI-UpdateList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***simultaneousSpatial-UpdatedList1, simultaneousSpatial-UpdatedList2***  List of serving cells which can be updated simultaneously for spatial relation with a MAC CE. The *simultaneousSpatial-UpdatedList1* and *simultaneousSpatial-UpdatedList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***spCellConfig***  Parameters for the SpCell of this cell group (PCell of MCG or PSCell of SCG). |
| ***uplinkTxSwitchingOption***  Indicates which option is configured for dynamic UL Tx switching for inter-band UL CA or (NG)EN-DC. The field is set to *switchedUL* if network configures option 1 as specified in TS 38.214 [19], or *dualUL* if network configures option 2 as specified in TS 38.214 [19]. Network always configures UE with a value for this field in inter-band UL CA case and (NG)EN-DC case where UE supports dynamic UL Tx switching. |
| ***uplinkTxSwitchingPowerBoosting***  Indicates whether the UE is allowed to enable 3dB boosting on the maximum output power for transmission on carrier2 under the operation state in which 2-port transmission can be supported on carrier2 for inter-band UL CA case with dynamic UL Tx switching as defined in TS 38.101-1 [15]. Network can only configure this field for dynamic UL Tx switching in inter-band UL CA case with power Class 3 as defined in TS 38.101-1 [15]. |
| ***uplinkTxSwitching-2T-Mode***  Indicates 2Tx-2Tx UL Tx switching is configured for inter-band UL CA or SUL as specified in TS 38.214 [19].  If this field is absent and *uplinkTxSwitching* is configured, it is interpreted 1Tx-2Tx UL Tx switching is configured as specified in TS 38.214 [19]. In this case, on the carrier configured with *uplinkTxSwitching* and with uplinkTxSwitchingCarrier set to *carrier1* the maximum number of antenna ports among all configured P-SRS/A-SRS and activated SP-SRS resources should be 1 and non-codebook based UL MIMO is not configured. |
| ***uplinkTxSwitching-DualUL-TxState***  Indicates which state of Tx chains is assumed after the UL Tx switching triggered by 1-port transmission on a carrier as specified in TS 38.214 [19] in case of 2Tx-2Tx switching is configured and *uplinkTxSwitchingOption* is set to *dualUL*. *1T* indicates 1Tx on each band is assumed, or *2T* indicates 2Tx transmission 2T is assumed to be supported on that carrier. Value oneT indicates 1Tx is assumed to be supported on the carriers on each band, value twoT indicates 2Tx is assumed to be supported on that carrier. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *2Tx* | The field is optionally present, Need R, if *uplinkTxSwitching* is configured; otherwise it is absent, Need R. |

*NEXT CHANGE*

– *ServingCellConfig*

The IE *ServingCellConfig* is used to configure (add or modify) the UE with a serving cell, which may be the SpCell or an SCell of an MCG or SCG. The parameters herein are mostly UE specific but partly also cell specific (e.g. in additionally configured bandwidth parts). Reconfiguration between a PUCCH and PUCCHless SCell is only supported using an SCell release and add.

***ServingCellConfig* information element**

-- ASN1START

-- TAG-SERVINGCELLCONFIG-START

ServingCellConfig ::= SEQUENCE {

tdd-UL-DL-ConfigurationDedicated TDD-UL-DL-ConfigDedicated OPTIONAL, -- Cond TDD

initialDownlinkBWP BWP-DownlinkDedicated OPTIONAL, -- Need M

downlinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

downlinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Downlink OPTIONAL, -- Need N

firstActiveDownlinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

bwp-InactivityTimer ENUMERATED {ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30,

ms40,ms50, ms60, ms80,ms100, ms200,ms300, ms500,

ms750, ms1280, ms1920, ms2560, spare10, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL, --Need R

defaultDownlinkBWP-Id BWP-Id OPTIONAL, -- Need S

uplinkConfig UplinkConfig OPTIONAL, -- Need M

supplementaryUplink UplinkConfig OPTIONAL, -- Need M

pdcch-ServingCellConfig SetupRelease { PDCCH-ServingCellConfig } OPTIONAL, -- Need M

pdsch-ServingCellConfig SetupRelease { PDSCH-ServingCellConfig } OPTIONAL, -- Need M

csi-MeasConfig SetupRelease { CSI-MeasConfig } OPTIONAL, -- Need M

sCellDeactivationTimer ENUMERATED {ms20, ms40, ms80, ms160, ms200, ms240,

ms320, ms400, ms480, ms520, ms640, ms720,

ms840, ms1280, spare2,spare1} OPTIONAL, -- Cond ServingCellWithoutPUCCH

crossCarrierSchedulingConfig CrossCarrierSchedulingConfig OPTIONAL, -- Need M

tag-Id TAG-Id,

dummy1 ENUMERATED {enabled} OPTIONAL, -- Need R

pathlossReferenceLinking ENUMERATED {spCell, sCell} OPTIONAL, -- Cond SCellOnly

servingCellMO MeasObjectId OPTIONAL, -- Cond MeasObject

...,

[[

lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

downlinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]],

[[

supplementaryUplinkRelease-r16 ENUMERATED {true} OPTIONAL, -- Need N

tdd-UL-DL-ConfigurationDedicated-IAB-MT-r16 TDD-UL-DL-ConfigDedicated-IAB-MT-r16 OPTIONAL, -- Cond TDD\_IAB

dormantBWP-Config-r16 SetupRelease { DormantBWP-Config-r16 } OPTIONAL, -- Need M

ca-SlotOffset-r16 CHOICE {

refSCS15kHz INTEGER (-2..2),

refSCS30KHz INTEGER (-5..5),

refSCS60KHz INTEGER (-10..10),

refSCS120KHz INTEGER (-20..20)

} OPTIONAL, -- Cond AsyncCA

dummy2 SetupRelease { DummyJ } OPTIONAL, -- Need M

intraCellGuardBandsDL-List-r16 SEQUENCE (SIZE (1..maxSCSs)) OF IntraCellGuardBandsPerSCS-r16 OPTIONAL, -- Need S

intraCellGuardBandsUL-List-r16 SEQUENCE (SIZE (1..maxSCSs)) OF IntraCellGuardBandsPerSCS-r16 OPTIONAL, -- Need S

csi-RS-ValidationWithDCI-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

lte-CRS-PatternList1-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

lte-CRS-PatternList2-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

crs-RateMatch-PerCORESETPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableTwoDefaultTCI-States-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultTCI-StatePerCoresetPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableBeamSwitchTiming-r16 ENUMERATED {true} OPTIONAL, -- Need R

cbg-TxDiffTBsProcessingType1-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

cbg-TxDiffTBsProcessingType2-r16 ENUMERATED {enabled} OPTIONAL -- Need R

]],

[[

directionalCollisionHandling-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

channelAccessConfig-r16 SetupRelease { ChannelAccessConfig-r16 } OPTIONAL -- Need M

]]

}

UplinkConfig ::= SEQUENCE {

initialUplinkBWP BWP-UplinkDedicated OPTIONAL, -- Need M

uplinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

uplinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Uplink OPTIONAL, -- Need N

firstActiveUplinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

pusch-ServingCellConfig SetupRelease { PUSCH-ServingCellConfig } OPTIONAL, -- Need M

carrierSwitching SetupRelease { SRS-CarrierSwitching } OPTIONAL, -- Need M

...,

[[

powerBoostPi2BPSK BOOLEAN OPTIONAL, -- Need M

uplinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]],

[[

enablePL-RS-UpdateForPUSCH-SRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForPUSCH0-0-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForPUCCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForSRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

uplinkTxSwitching-r16 SetupRelease { UplinkTxSwitching-r16 } OPTIONAL, -- Need M

mpr-PowerBoost-FR2-r16 ENUMERATED {true} OPTIONAL -- Need R

]]

}

DummyJ ::= SEQUENCE {

maxEnergyDetectionThreshold-r16 INTEGER(-85..-52),

energyDetectionThresholdOffset-r16 INTEGER (-20..-13),

ul-toDL-COT-SharingED-Threshold-r16 INTEGER (-85..-52) OPTIONAL, -- Need R

absenceOfAnyOtherTechnology-r16 ENUMERATED {true} OPTIONAL -- Need R

}

ChannelAccessConfig-r16 ::= SEQUENCE {

energyDetectionConfig-r16 CHOICE {

maxEnergyDetectionThreshold-r16 INTEGER (-85..-52),

energyDetectionThresholdOffset-r16 INTEGER (-13..20)

} OPTIONAL, -- Need R

ul-toDL-COT-SharingED-Threshold-r16 INTEGER (-85..-52) OPTIONAL, -- Need R

absenceOfAnyOtherTechnology-r16 ENUMERATED {true} OPTIONAL -- Need R

}

IntraCellGuardBandsPerSCS-r16 ::= SEQUENCE {

guardBandSCS-r16 SubcarrierSpacing,

intraCellGuardBands-r16 SEQUENCE (SIZE (1..4)) OF GuardBand-r16

}

GuardBand-r16 ::= SEQUENCE {

startCRB-r16 INTEGER (0..274),

nrofCRBs-r16 INTEGER (0..15)

}

DormancyGroupID-r16 ::= INTEGER (0..4)

DormantBWP-Config-r16::= SEQUENCE {

dormantBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

withinActiveTimeConfig-r16 SetupRelease { WithinActiveTimeConfig-r16 } OPTIONAL, -- Need M

outsideActiveTimeConfig-r16 SetupRelease { OutsideActiveTimeConfig-r16 } OPTIONAL -- Need M

}

WithinActiveTimeConfig-r16 ::= SEQUENCE {

firstWithinActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

dormancyGroupWithinActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

OutsideActiveTimeConfig-r16 ::= SEQUENCE {

firstOutsideActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

dormancyGroupOutsideActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

UplinkTxSwitching-r16 ::= SEQUENCE {

uplinkTxSwitchingPeriodLocation-r16 BOOLEAN,

uplinkTxSwitchingCarrier-r16 ENUMERATED {carrier1, carrier2}

}

-- TAG-SERVINGCELLCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***ChannelAccessConfig* field descriptions** |
| ***absenceOfAnyOtherTechnology***  Presence of this field indicates absence on a long term basis (e.g. by level of regulation) of any other technology sharing the carrier; absence of this field indicates the potential presence of any other technology sharing the carrier, as specified in TS 37.213 [48] clauses 4.2.1 and 4.2.3. |
| ***energyDetectionConfig***  Indicates whether to use the *maxEnergyDetectionThreshold* or the *energyDetectionThresholdOffset* (see TS 37.213 [48], clause 4.2.3)*.* |
| ***energyDetectionThresholdOffset***  Indicates the offset to the default maximum energy detection threshold value. Unit in dB. Value -13 corresponds to -13dB, value -12 corresponds to -12dB, and so on (i.e. in steps of 1dB) as specified in TS 37.213 [48], clause 4.2.3. |
| ***maxEnergyDetectionThreshold***  Indicates the absolute maximum energy detection threshold value. Unit in dBm. Value -85 corresponds to -85 dBm, value -84 corresponds to -84 dBm, and so on (i.e. in steps of 1dBm) as specified in TS 37.213 [48], clause 4.2.3. |
| ***ul-toDL-COT-SharingED-Threshold***  Maximum energy detection threshold that the UE should use to share channel occupancy with gNB for DL transmission as specified in TS 37.213 [48], clause 4.1.3 for downlink channel access and clause 4.2.3 for uplink channel access. |

|  |
| --- |
| ***ServingCellConfig* field descriptions** |
| ***bwp-InactivityTimer***  The duration in ms after which the UE falls back to the default Bandwidth Part (see TS 38.321 [3], clause 5.15). When the network releases the timer configuration, the UE stops the timer without switching to the default BWP. |
| ***ca-SlotOffset***  Slot offset between the primary cell (PCell/PSCell) and the SCell in unaligned frame boundary with slot alignment and partial SFN alignment inter-band CA. Based on this field, the UE determines the time offset of the SCell as specified in clause 4.5 of TS 38.211 [16]. The granularity of this field is determined by the reference SCS for the slot offset (i.e. the maximum of PCell/PSCell lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB* and this serving cell's lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*).  The Network configures at most single non-zero offset duration in ms (independent on SCS) among CCs in the unaligned CA configuration. If the field is absent, the UE applies the value of 0. The slot offset value can only be changed with SCell release and add. |
| ***cbg-TxDiffTBsProcessingType1, cbg-TxDiffTBsProcessingType2***  Indicates whether processing types 1 and 2 based CBG based operation is enabled according to Rel-16 UE capabilities. |
| ***channelAccessConfig***  List of parameters used for access procedures of operation with shared spectrum channel access (see TS 37.213 [48). |
| ***crossCarrierSchedulingConfig***  Indicates whether this serving cell is cross-carrier scheduled by another serving cell or whether it cross-carrier schedules another serving cell. |
| ***crs-RateMatch-PerCORESETPoolIndex***  Indicates how UE performs rate matching when both lte-CRS-PatternList1-r16 and lte-CRS-PatternList2-r16 are configured as specified in TS 38.214 [19], clause 5.1.4.2. |
| ***csi-RS-ValidationWithDCI***  Indicates how the UE performs periodic and semi-persistent CSI-RS reception in a slot. The presence of this field indicates that the UE uses DCI detection to validate whether to receive CSI-RS (see TS 38.213 [13], clause 11.1). |
| ***defaultDownlinkBWP-Id***  The initial bandwidth part is referred to by BWP-Id = 0. ID of the downlink bandwidth part to be used upon expiry of the BWP inactivity timer. This field is UE specific. When the field is absent the UE uses the initial BWP as default BWP. (see TS 38.213 [13], clause 12 and TS 38.321 [3], clause 5.15). |
| ***directionalCollisionHandling***  Indicates that this serving cell is using directional collision handling between a reference and other cell(s) for half-duplex operation in TDD CA with same SCS as specified in TS 38.213 [13], clause 11.1. The half-duplex operation only applies within the same frequency range and cell group. The network only configures this field for TDD serving cells that are using the same SCS. |
| ***dormantBWP-Config***  The dormant BWP configuration for an SCell. This field can be configured only for a (non-PUCCH) SCell. |
| ***downlinkBWP-ToAddModList***  List of additional downlink bandwidth parts to be added or modified. (see TS 38.213 [13], clause 12). |
| ***downlinkBWP-ToReleaseList***  List of additional downlink bandwidth parts to be released. (see TS 38.213 [13], clause 12). |
| ***downlinkChannelBW-PerSCS-List***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *DownlinkConfigCommon* / *DownlinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***dummy1, dummy 2***  This field is not used in the specification. If received it shall be ignored by the UE. |
| ***enableBeamSwitchTiming***  Indicates the aperiodic CSI-RS triggering with beam switching triggering behaviour as defined in clause 5.2.1.5.1 of TS 38.214 [19]. |
| ***enableDefaultTCI-StatePerCoresetPoolIndex***  Presence of this field indicates the UE shall follow the release 16 behavior of default TCI state per CORESETPoolindex when the UE is configured by higher layer parameter PDCCH-Config that contains two different values of CORESETPoolIndex in ControlResourceSet is enabled. |
| ***enableTwoDefaultTCI-States***  Presence of this field indicates the UE shall follow the release 16 behavior of two default TCI states for PDSCH when at least one TCI codepoint is mapped to two TCI states is enabled |
| ***firstActiveDownlinkBWP-Id***  If configured for an SpCell, this field contains the ID of the DL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.  If configured for an SCell, this field contains the ID of the downlink bandwidth part to be used upon activation of an SCell. The initial bandwidth part is referred to by BWP-Id = 0.  Upon reconfiguration with *reconfigurationWithSync*, the network sets the *firstActiveDownlinkBWP-Id* and *firstActiveUplinkBWP-Id* to the same value. |
| ***initialDownlinkBWP***  The dedicated (UE-specific) configuration for the initial downlink bandwidth-part (i.e. DL BWP#0). If any of the optional IEs are configured within this IE, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***intraCellGuardBandsDL-List, intraCellGuardBandsUL-List***  List of intra-cell guard bands in a serving cell for operation with shared spectrum channel access. If not configured, the guard bands are defined according to 38.101-1 [15], see TS 38.214 [19], clause 7. For operation in licensed spectrum, this field is absent, and no UE action is required. |
| ***lte-CRS-PatternList1***  A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH. The LTE CRS patterns in this list shall be non-overlapping in frequency. The network does not configure this field and *lte-CRS-ToMatchAround* simultaneously. |
| ***lte-CRS-PatternList2***  A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH scheduled with a DCI detected on a CORESET with CORESETPoolIndex configured with 1. This list is configured only if CORESETPoolIndex configured with 1. The first LTE CRS pattern in this list shall be fully overlapping in frequency with the first LTE CRS pattern in lte-CRS-PatternList1, The second LTE CRS pattern in this list shall be fully overlapping in frequency with the second LTE CRS pattern in lte-CRS-PatternList1, and so on. Network configures this field only if the field *lte-CRS-ToMatchAround* is not configured and there is at least one ControlResourceSet in one DL BWP of this serving cell with *coresetPoolIndex* set to 1. |
| ***lte-CRS-ToMatchAround***  Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***pathlossReferenceLinking***  Indicates whether UE shall apply as pathloss reference either the downlink of SpCell (PCell for MCG or PSCell for SCG) or of SCell that corresponds with this uplink (see TS 38.213 [13], clause 7). |
| ***pdsch-ServingCellConfig***  PDSCH related parameters that are not BWP-specific. |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology. See TS 38.214 [19], clause 5.1.4.1. |
| ***sCellDeactivationTimer***  SCell deactivation timer in TS 38.321 [3]. If the field is absent, the UE applies the value infinity. |
| ***servingCellMO***  *measObjectId* of the *MeasObjectNR* in *MeasConfig* which is associated to the serving cell. For this *MeasObjectNR*, the following relationship applies between this MeasObjectNR and *frequencyInfoDL* in *ServingCellConfigCommon* of the serving cell: if *ssbFrequency* is configured, its value is the same as the *absoluteFrequencySSB* and if *csi-rs-ResourceConfigMobility* is configured, the value of its *subcarrierSpacing* is present in one entry of the *scs-SpecificCarrierList*, *csi-RS-CellListMobility* includes an entry corresponding to the serving cell (with *cellId* equal to *physCellId* in *ServingCellConfigCommon*) and the frequency range indicated by the *csi-rs-MeasurementBW* of the entry in *csi-RS-CellListMobility* is included in the frequency range indicated by in the entry of the *scs-SpecificCarrierList*. |
| ***supplementaryUplink***  Network may configure this field only when *supplementaryUplinkConfig* is configured in *ServingCellConfigCommon* or *supplementaryUplink* is configured in *ServingCellConfigCommonSIB*. |
| ***supplementaryUplinkRelease***  If this field is included, the UE shall release the uplink configuration configured by *supplementaryUplink*. The network only includes either *supplementaryUplinkRelease* or *supplementaryUplink* at a time. |
| ***tag-Id***  Timing Advance Group ID, as specified in TS 38.321 [3], which this cell belongs to. |
| ***tdd-UL-DL-ConfigurationDedicated-IAB-MT***  Resource configuration per IAB-MT D/U/F overrides all symbols (with a limitation that effectively only flexible symbols can be overwritten in Rel-16) per slot over the number of slots as provided by *TDD-UL-DL ConfigurationCommon*. |
| ***uplinkConfig***  Network may configure this field only when *uplinkConfigCommon* is configured in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*. Addition or release of this field can only be done upon SCell addition or release (respectively). |

|  |
| --- |
| ***UplinkConfig* field descriptions** |
| ***carrierSwitching***  Includes parameters for configuration of carrier based SRS switching (see TS 38.214 [19], clause 6.2.1.3. |
| ***enableDefaultBeamPL-ForPUSCH0-0, enableDefaultBeamPL-ForPUCCH, enableDefaultBeamPL-ForSRS***  When the parameter is present, UE derives the spatial relation and the corresponding pathloss reference Rs as specified in 38.213, clauses 7.1.1, 7.2.1, 7.3.1 and 9.2.2. The network only configures these parameters for FR2. |
| ***enablePL-RS-UpdateForPUSCH-SRS***  When this parameter is present, the Rel-16 feature of MAC CE based pathloss RS updates for PUSCH/SRS is enabled. Network only configures this parameter when the UE is configured with *sri-PUSCH-PowerControl*. If this field is not configured, network configures at most 4 pathloss RS resources for PUSCH/PUCCH/SRS transmissions per BWP, not including pathloss RS resources for SRS transmissions for positioning. (See TS 38.213 [13], clause 7). |
| ***firstActiveUplinkBWP-Id***  If configured for an SpCell, this field contains the ID of the UL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.  If configured for an SCell, this field contains the ID of the uplink bandwidth part to be used upon activation of an SCell. The initial bandwidth part is referred to by BandiwdthPartId = 0. |
| ***initialUplinkBWP***  The dedicated (UE-specific) configuration for the initial uplink bandwidth-part (i.e. UL BWP#0). If any of the optional IEs are configured within this IE as part of the IE *uplinkConfig*, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***mpr-PowerBoost-FR2***  Indicates whether UE is allowed to boost uplink transmission power by suspending in-band emission (IBE) requirements as specified in TS 38.101-2 [39]. Network only configures this field for FR2 serving cells. |
| ***powerBoostPi2BPSK***  If this field is set to *true*, the UE determines the maximum output power for PUCCH/PUSCH transmissions that use pi/2 BPSK modulation according to TS 38.101-1 [15], clause 6.2.4. |
| ***pusch-ServingCellConfig***  PUSCH related parameters that are not BWP-specific. |
| ***uplinkBWP-ToAddModList***  The additional bandwidth parts for uplink to be added or modified. In case of TDD uplink- and downlink BWP with the same *bandwidthPartId* are considered as a BWP pair and must have the same center frequency. |
| ***uplinkBWP-ToReleaseList***  The additional bandwidth parts for uplink to be released. |
| ***uplinkChannelBW-PerSCS-List***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *UplinkConfigCommon* / *UplinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***uplinkTxSwitchingPeriodLocation***  Indicates whether the location of UL Tx switching period is configured in this uplink carrier in case of inter-band UL CA, SUL, or (NG)EN-DC, as specified in TS 38.101-1 [15] and TS 38.101-3 [34].  In case of (NG)EN-DC, network always configures this field to TRUE for NR carrier (i.e. with (NG)EN-DC, the UL switching period always occurs on the NR carrier).  In case of inter-band UL CA or SUL, for dynamic uplink Tx switching between 2 bands with 2 uplink carriers or 3 uplink carriers as defined in TS 38.101-1 [15], network configures this field to TRUE for the uplink carrier(s) on one band and configures this field to FALSE for the uplink carrier(s) on the other band. This field is set to the same value for the carriers on the same band. |
| ***uplinkTxSwitchingCarrier***  Indicates that the configured carrier is carrier1 or carrier2 for dynamic uplink Tx switching, as defined in TS 38.101-1 [15] and TS 38.101-3 [34]. In case of (NG)EN-DC, network always configures the NR carrier as carrier 2.  In case of inter-band UL CA or SUL, for dynamic uplink Tx switching between 2 bands with 2 uplink carriers or 3 uplink carriers as defined in TS 38.101-1 [15], network configures the uplink carrier(s) on one band as carrier1 and the uplink carrier(s) on the other band as carrier2. This field is set to the same value for the carriers on the same band. |

|  |
| --- |
| ***DormantBWP-Config* field descriptions** |
| ***dormancyGroupWithinActiveTime***  This field contains the ID of an SCell group for Dormancy within active time, to which this SCell belongs. The use of the Dormancy within active time SCell groups is specified in TS 38.213 [13]. |
| ***dormancyGroupOutsideActiveTime***  This field contains the ID of an SCell group for Dormancy outside active time, to which this SCell belongs. The use of the Dormancy outside active time SCell groups is specified in TS 38.213 [13]. |
| ***dormantBWP-Id***  This field contains the ID of the downlink bandwidth part to be used as dormant BWP. If this field is configured, its value is different from *defaultDownlinkBWP-Id*, and at least one of the *withinActiveTimeConfig* and *outsideActiveTimeConfig* should be configured. |
| ***firstOutsideActiveTimeBWP-Id***  This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy outside active time. |
| ***firstWithinActiveTimeBWP-Id***  This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy within active time. |
| ***outsideActiveTimeConfig***  This field contains the configuration to be used for SCell dormancy outside active time, as specified in TS 38.213 [13]. The field can only be configured when the cell group the SCell belongs to is configured with *dcp-Config*. |
| ***withinActiveTimeConfig***  This field contains the configuration to be used for SCell dormancy within active time, as specified in TS 38.213 [13]. |

|  |
| --- |
| ***GuardBand* field descriptions** |
| ***startCRB***  Indicates the starting RB of the guard band. |
| ***nrofCRB***  Indicates the length of the guard band in RBs. When set to 0, zero-size guard band is used. |

END OF CHANGE