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# 5 Procedures

## 5.5 Measurements

### 5.5.1 Introduction

The network may configure an RRC\_CONNECTED UE to perform measurements. The network may configure the UE to report them in accordance with the measurement configuration or perform conditional reconfiguration evaluation in accordance with the conditional reconfiguration. The measurement configuration is provided by means of dedicated signalling i.e. using the *RRCReconfiguration* or *RRCResume.*

The network may configure the UE to perform the following types of measurements:

- NR measurements;

- Inter-RAT measurements of E-UTRA frequencies.

- Inter-RAT measurements of UTRA-FDD frequencies.

The network may configure the UE to report the following measurement information based on SS/PBCH block(s):

- Measurement results per SS/PBCH block;

- Measurement results per cell based on SS/PBCH block(s);

- SS/PBCH block(s) indexes.

The network may configure the UE to report the following measurement information based on CSI-RS resources:

- Measurement results per CSI-RS resource;

- Measurement results per cell based on CSI-RS resource(s);

- CSI-RS resource measurement identifiers.

The network may configure the UE to perform the following types of measurements for NR sidelink and V2X sidelink:

- CBR measurements.

The network may configure the UE to report the following CLI measurement information based on SRS resources:

- Measurement results per SRS resource;

- SRS resource(s) indexes.

The network may configure the UE to report the following CLI measurement information based on CLI-RSSI resources:

- Measurement results per CLI-RSSI resource;

- CLI-RSSI resource(s) indexes.

The network may configure the UE to report the following Rx-Tx time difference measurement information based on CSI-RS for tracking or PRS:

- UE Rx-Tx time difference measurement result.

The measurement configuration includes the following parameters:

**1. Measurement objects:** A list of objects on which the UE shall perform the measurements.

- For intra-frequency and inter-frequency measurements a measurement object indicates the frequency/time location and subcarrier spacing of reference signals to be measured. Associated with this measurement object, the network may configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting. Whitelisted cells are the only ones applicable in event evaluation or measurement reporting.

- The *measObjectId* of the MO which corresponds to each serving cell is indicated by *servingCellMO* within the serving cell configuration.

- For inter-RAT E-UTRA measurements a measurement object is a single E-UTRA carrier frequency. Associated with this E-UTRA carrier frequency, the network can configure a list of cell specific offsets and a list of 'blacklisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting.

- For inter-RAT UTRA-FDD measurements a measurement object is a set of cells on a single UTRA-FDD carrier frequency.

- For CBR measurement of NR sidelink communication, a measurement object is a set of transmission resource pool(s) on a single carrier frequency for NR sidelink communication.

- For CLI measurements a measurement object indicates the frequency/time location of SRS resources and/or CLI-RSSI resources, and subcarrier spacing of SRS resources to be measured.

**2. Reporting configurations:** A list of reporting configurations where there can be one or multiple reporting configurations per measurement object. Each measurement reporting configuration consists of the following:

- Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.

- RS type: The RS that the UE uses for beam and cell measurement results (SS/PBCH block or CSI-RS).

- Reporting format: The quantities per cell and per beam that the UE includes in the measurement report (e.g. RSRP) and other associated information such as the maximum number of cells and the maximum number beams per cell to report.

In case of conditional reconfiguration, each configuration consists of the following:

- Execution criteria: The criteria the UE uses for conditional reconfiguration execution.

- RS type: The RS that the UE uses for obtaining beam and cell measurement results (SS/PBCH block-based or CSI-RS-based), used for evaluating conditional reconfiguration execution condition.

**3. Measurement identities:** For measurement reporting, a list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities, it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is also included in the measurement report that triggered the reporting, serving as a reference to the network. For conditional reconfiguration triggering, one measurement identity links to exactly one conditional reconfiguration trigger configuration. And up to 2 measurement identities can be linked to one conditional reconfiguration execution condition.

**4. Quantity configurations:** The quantity configuration defines the measurement filtering configuration used for all event evaluation and related reporting, and for periodical reporting of that measurement. For NR measurements, the network may configure up to 2 quantity configurations with a reference in the NR measurement object to the configuration that is to be used. In each configuration, different filter coefficients can be configured for different measurement quantities, for different RS types, and for measurements per cell and per beam.

**5. Measurement gaps:** Periods that the UE may use to perform measurements.

A UE in RRC\_CONNECTED maintains a measurement object list, a reporting configuration list, and a measurement identities list according to signalling and procedures in this specification. The measurement object list possibly includes NR measurement object(s), CLI measurement object(s) and inter-RAT objects. Similarly, the reporting configuration list includes NR and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The NR serving cell(s) – these are the SpCell and one or more SCells.

2. Listed cells – these are cells listed within the measurement object(s).

3. Detected cells – these are cells that are not listed within the measurement object(s) but are detected by the UE on the SSB frequency(ies) and subcarrier spacing(s) indicated by the measurement object(s).

For NR measurement object(s), the UE measures and reports on the serving cell(s), listed cells and/or detected cells. For inter-RAT measurements object(s) of E-UTRA, the UE measures and reports on listed cells and detected cells and, for RSSI and channel occupancy measurements, the UE measures and reports on the configured resources on the indicated frequency. For inter-RAT measurements object(s) of UTRA-FDD, the UE measures and reports on listed cells. For CLI measurement object(s), the UE measures and reports on configured measurement resources (i.e. SRS resources and/or CLI-RSSI resources).

Whenever the procedural specification, other than contained in sub-clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

In NR-DC, the UE may receive two independent *measConfig*:

- a *measConfig*, associated with MCG, that is included in the *RRCReconfiguration* message received via SRB1; and

- a *measConfig*, associated with SCG, that is included in the *RRCReconfiguration* message received via SRB3, or, alternatively, included within a *RRCReconfiguration* message embedded in a *RRCReconfiguration* message received via SRB1.

In this case, the UE maintains two independent *VarMeasConfig* and *VarMeasReportList*, one associated with each *measConfig*, and independently performs all the procedures in clause 5.5 for each *measConfig* and the associated *VarMeasConfig* and *VarMeasReportList*, unless explicitly stated otherwise.

The configurations related to CBR measurements are only included in the *measConfig* associated with MCG.

The configuration related to Rx-Tx time difference measurement is only included in the *measConfig* associated with MCG.

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### 5.5.3 Performing measurements

#### 5.5.3.1 General

An RRC\_CONNECTED UE shall derive cell measurement results by measuring one or multiple beams associated per cell as configured by the network, as described in 5.5.3.3. For all cell measurement results, except for RSSI, and CLI measurement results in RRC\_CONNECTED, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, measurement reporting or the criteria to trigger conditional reconfiguration execution. For cell measurements, the network can configure RSRP, RSRQ, SINR, RSCP or EcN0 as trigger quantity. For CLI measurements, the network can configure SRS-RSRP or CLI-RSSI as trigger quantity. For cell and beam measurements, reporting quantities can be any combination of quantities (i.e. only RSRP; only RSRQ; only SINR; RSRP and RSRQ; RSRP and SINR; RSRQ and SINR; RSRP, RSRQ and SINR; only RSCP; only EcN0; RSCP and EcN0), irrespective of the trigger quantity, and for CLI measurements, reporting quantities can be either SRS-RSRP or CLI-RSSI. For conditional reconfiguration execution, the network can configure up to 2 quantities, both using same RS type. The UE does not apply the layer 3 filtering as specified in 5.5.3.2 to derive the CBR measurements. The UE does not apply the layer 3 filtering as specified in 5.5.3.2 to derive the Rx-Tx time difference measurements.

The network may also configure the UE to report measurement information per beam (which can either be measurement results per beam with respective beam identifier(s) or only beam identifier(s)), derived as described in 5.5.3.3a. If beam measurement information is configured to be included in measurement reports, the UE applies the layer 3 beam filtering as specified in 5.5.3.2. On the other hand, the exact L1 filtering of beam measurements used to derive cell measurement results is implementation dependent.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell for which *servingCellMO* is configured as follows:

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *ssb*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell measurement results based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *csi-rs*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell measurement results based on CSI-RS, as described in 5.5.3.3;

1> for each serving cell for which *servingCellMO* is configured, if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains SINR as trigger quantity and/or reporting quantity:

2> if the *reportConfig* contains *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell SINR based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* contains *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell SINR based on CSI-RS, as described in 5.5.3.3;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *reportType* for the associated *reportConfig* is set to *reportCGI* and timer T321 is running:

3> if *useAutonomousGaps* is configured for the associated *reportConfig*:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

3> else:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods;

3> if the cell indicated by *reportCGI* field for the associated *measObject* is an NR cell and that indicated cell is broadcasting *SIB1* (see TS 38.213 [13], clause 13):

4> try to acquire *SIB1* in the concerned cell;

3> if the cell indicated by *reportCGI* field is an E-UTRA cell:

4> try to acquire *SystemInformationBlockType1* in the concerned cell;

2> if the *ul-DelayValueConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject;*

3> for each of the configured DRBs*,* configure the PDCP layer to perform corresponding average UL PDCP packet delay measurement per DRB;

2> if the *reportType* for the associated *reportConfig* is *periodical*, *eventTriggered* or *condTriggerConfig*:

3> if a measurement gap configuration is setup, or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-MeasureConfig* is not configured, or

4> if *s-MeasureConfig* is set to *ssb-RSRP* and the NR SpCell RSRP based on SS/PBCH block, after layer 3 filtering, is lower than *ssb-RSRP,* or

4> if *s-MeasureConfig* is set to *csi-RSRP* and the NR SpCell RSRP based on CSI-RS, after layer 3 filtering, is lower than *csi-RSRP*:

5> if the *measObject* is associated to NR and the *rsType* is set to *csi-rs*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 filtered beam measurements only based on CSI-RS for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on CSI-RS for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to NR and the *rsType* is set to *ssb*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 beam measurements only based on SS/PBCH block for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on SS/PBCH block for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to E-UTRA:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

5> if the measObject is associated to UTRA-FDD:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:

5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is set to *reportSFTD* and the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than one:

3> if the *reportSFTD-Meas* is set to *true:*

4> if the *measObject* is associated to E-UTRA:

5> perform SFTD measurements between the PCell and the E-UTRA PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the E-UTRA PSCell;

4> else if the *measObject* is associated to NR:

5> perform SFTD measurements between the PCell and the NR PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the NR PSCell based on SSB;

3> else if the *reportSFTD-NeighMeas* is included*:*

4> if the *measObject* is associated to NR:

5> if the *drx-SFTD-NeighMeas* is included:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject* using available idle periods;

5> else:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

5> if the *reportRSRP* is set to *true*:

6> perform RSRP measurements based on SSB for the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is *cli-Periodical* or *cli-EventTriggered*:

3> perform the corresponding measurements associated to CLI measurement resources indicated in the concerned *measObjectCLI*;

2> if *MeasObjectRxTxDiff* is configured:

3> perform the corresponding Rx-Tx time difference measurements associated to downlink reference signals indicated in the concerned *MeasObjectRxTxDiff*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condTriggerConfig*.

NOTE 1: The evaluation of conditional reconfiguration execution criteria is specified in 5.3.5.13.

The UE capable of Rx-Tx time difference measurement when configured with *MeasObjectRxTxDiff* shall:

1> include/update measurement reporting entry within the *VarMeasReportList* for the *measId* associated with *MeasObjectRxTxDiff.*

The UE capable of CBR measurement when configured to transmit NR sidelink communication shall:

1> If the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*:

2> if the UE is in RRC\_IDLE or in RRC\_INACTIVE:

3> if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pools in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

2> if the UE is in RRC\_CONNECTED:

3> if *tx-PoolMeasToAddModList* is included in *VarMeasConfig*:

4> perform CBR measurements on each transmission resource pool indicated in the *tx-PoolMeasToAddModList*;

3> if *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* is included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*:

4> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* if included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*;

3> else if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

1> else:

2> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* in *SidelinkPreconfigNR* for the concerned frequency.

NOTE 2: In case the configurations for NR sidelink communication and CBR measurement are acquired via the E-UTRA, configurations for NR sidelink communication in *SIB12*, *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in this subclause are provided by the configurations in *SystemInformationBlockType28*, *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331[10], respectively.

NOTE 3: If a UE that is configured by upper layers to transmit V2X sidelink communication is configured by NR with transmission resource pool(s) and the measurement objects concerning V2X sidelink communication (i.e. by *sl-ConfigDedicatedEUTRA-Info*), it shall perform CBR measurement as specified in subclause 5.5.3 of TS 36.331 [10], based on the transmission resource pool(s) and the measurement object(s) concerning V2X sidelink communication configured by NR.

NOTE 4: For V2X sidelink communication, each of the CBR measurement results is associated with a resource pool, as indicated by the *poolReportId* (see TS 36.331 [10]), that refers to a pool as included in *sl-ConfigDedicatedEUTRA-Info* or *SIB13*.

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### 5.7.10 UE Information

#### 5.7.10.1 General



Figure 5.7.10.1-1: UE information procedure

The UE information procedure is used by the network to request the UE to report information.

#### 5.7.10.2 Initiation

The network initiates the procedure by sending the *UEInformationRequest* message. The network should initiate this procedure only after successful security activation.

#### 5.7.10.3 Reception of the *UEInformationRequest* message

Upon receiving the *UEInformationRequest* message, the UE shall, only after successful security activation:

1> if the *rxTxMeasReq* is included in the *UEInformationRequest* and the UE has stored *VarMeasReportList* that contains measurement information for the *measId* associated with *MeasObjectRxTxDiff*:

2> set the *rxTxMeasResult* in the *UEInformationResponse* message to the value of *rxTxMeas* in the corresponding *VarMeasReportList*, if available;

1> if the *idleModeMeasurementReq* is included in the *UEInformationRequest* and the UE has stored *VarMeasIdleReport* that contains measurement information concerning cells other than the PCell:

2> set the *measResultIdleEUTRA* in the *UEInformationResponse* message to the value of *measReportIdleEUTRA* in the *VarMeasIdleReport, if available*;

2> set the *measResultIdleNR* in the *UEInformationResponse* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

2> discard the *VarMeasIdleReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if the *logMeasReportReq* is present and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

2> if *VarLogMeasReport* includes one or more logged measurement entries, set the contents of the *logMeasReport* in the *UEInformationResponse* message as follows:

3> include the *absoluteTimeStamp* and set it to the value of *absoluteTimeInfo* in the *VarLogMeasReport*;

3> include the *traceReference* and set it to the value of *traceReference* in the *VarLogMeasReport*;

3> include the *traceRecordingSessionRef* and set it to the value of *traceRecordingSessionRef* in the *VarLogMeasReport;*

3> include the *tce-Id* and set it to the value of *tce-Id* in the *VarLogMeasReport*;

3> include the *logMeasInfoList* and set it to include one or more entries from the *VarLogMeasReport* starting from the entries logged first, and for each entry of the *logMeasInfoList* that is included, include all information stored in the corresponding *logMeasInfoList* entry in *VarLogMeasReport*;

3> if the *VarLogMeasReport* includes one or more additional logged measurement entries that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

4> include the *logMeasAvailable*;

4> if *bt-LocationInfo* is included in *locationInfo* of one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

5> include the *logMeasAvailableBT*;

4> if *wlan-LocationInfo* is included in *locationInfo* of one or more of the additional logged measurement entries in *VarLogMeasReport* that are not included in the *logMeasInfoList* within the *UEInformationResponse* message:

5> include the *logMeasAvailableWLAN*;

1> if *ra-ReportReq* is set to *true* and the UE has random access related information available in *VarRA-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRA-Report*:

2> set the *ra-ReportList* in the *UEInformationResponse* message to the value of *ra-ReportList* in *VarRA-Report*;

2> discard the *ra-ReportList* from *VarRA-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if *rlf-ReportReq* is set to *true*:

2> if the UE has radio link failure information or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> set *timeSinceFailure* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure in NR;

3> set the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report*;

3> discard the *rlf-Report* from *VarRLF-Report* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

2> else if the UE is capable of cross-RAT RLF reporting as defined in TS 38.306 [26] and has radio link failure information or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> set *timeSinceFailure* in *VarRLF-Report* of TS 36.331 [10] to the time that elapsed since the last radio link failure or handover failure in EUTRA;

3> set failedPCellId-EUTRA in the *rlf-Report* in the *UEInformationResponse* message to indicate the PCell in which RLF was detected or the source PCell of the failed handover in the *VarRLF-Report* of TS 36.331 [10];

3> set the *measResult-RLF-Report-EUTRA* in the *rlf-Report* in the *UEInformationResponse* message to the value of *rlf-Report* in *VarRLF-Report* of TS 36.331 [10];

3> discard the *rlf-Report* from *VarRLF-Report* of TS 36.331 [10] upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if *connEstFailReportReq* is set to *true* and the UE has connection establishment failure or connection resume failure information in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

2> set *timeSinceFailure* in *VarConnEstFailReport* to the time that elapsed since the last connection establishment failure or connection resume failure in NR;

2> set the *connEstFailReport* in the *UEInformationResponse* message to the value of *connEstFailReport* in *VarConnEstFailReport*;

2> discard the *connEstFailReport* from *VarConnEstFailReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> if the *mobilityHistoryReportReq* is set to *true*:

2> include the *mobilityHistoryReport* and set it to include entries from *VarMobilityHistoryReport*;

2> include in the *mobilityHistoryReport* an entry for the current cell, possibly after removing the oldest entry if required, and set its fields as follows:

3> set *visitedCellId* to the global cell identity or the physical cell identity and carrier frequency of the current cell:

3> set field *timeSpent* to the time spent in the current cell;

1> if the *logMeasReport* is included in the *UEInformationResponse*:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB2;

2> discard the logged measurement entries included in the *logMeasInfoList* from *VarLogMeasReport* upon successful delivery of the *UEInformationResponse* message confirmed by lower layers;

1> else:

2> submit the *UEInformationResponse* message to lower layers for transmission via SRB1.

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# 6 Protocol data units, formats and parameters (ASN.1)

## 6.2 RRC messages

### 6.2.2 Message definitions

#### – *UEInformationRequest*

The *UEInformationRequest* message is used by the network to retrieve information from the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*UEInformationRequest message*

-- ASN1START

-- TAG-UEINFORMATIONREQUEST-START

UEInformationRequest-r16 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

ueInformationRequest-r16 UEInformationRequest-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

UEInformationRequest-r16-IEs ::= SEQUENCE {

idleModeMeasurementReq-r16 ENUMERATED{true} OPTIONAL, -- Need N

logMeasReportReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

connEstFailReportReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

ra-ReportReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

rlf-ReportReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

mobilityHistoryReportReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

lateNonCriticalExtension OCTET STRING OPTIONAL,

ueInformationRequest-r17 UEInformationRequest-r17-IEs, OPTIONAL

}

UEInformationRequest-r17-IEs ::= SEQUENCE {

rxTxMeasReq ENUMERATED {true} OPTIONAL, -- Need N

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- TAG-UEINFORMATIONREQUEST-STOP

-- ASN1STOP

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| *UEInformationRequest-IEs* field descriptions |
| ***connEstFailReportReq***  This field is used to indicate whether the UE shall report information about the connection failure. |
| ***idleModeMeasurementReq***  This field indicates that the UE shall report the idle/inactive measurement information, if available, to the network in the *UEInformationResponse* message. |
| ***logMeasReportReq***  This field is used to indicate whether the UE shall report information about logged measurements. |
| ***mobilityHistoryReportReq***  This field is used to indicate whether the UE shall report information about mobility history information. |
| ***ra-ReportReq***  This field is used to indicate whether the UE shall report information about the random access procedure. |
| ***rlf-ReportReq***  This field is used to indicate whether the UE shall report information about the radio link failure. |

#### – *UEInformationResponse*

The *UEInformationResponse* message is used by the UE to transfer information requested by the network.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to network

*UEInformationResponse message*

-- ASN1START

-- TAG-UEINFORMATIONRESPONSE-START

UEInformationResponse-r16 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

ueInformationResponse-r16 UEInformationResponse-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

UEInformationResponse-r16-IEs ::= SEQUENCE {

measResultIdleEUTRA-r16 MeasResultIdleEUTRA-r16 OPTIONAL,

measResultIdleNR-r16 MeasResultIdleNR-r16 OPTIONAL,

logMeasReport-r16 LogMeasReport-r16 OPTIONAL,

connEstFailReport-r16 ConnEstFailReport-r16 OPTIONAL,

ra-ReportList-r16 RA-ReportList-r16 OPTIONAL,

rlf-Report-r16 RLF-Report-r16 OPTIONAL,

mobilityHistoryReport-r16 MobilityHistoryReport-r16 OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

ueInformationResponse-r17 UEInformationRequest-r17-IEs OPTIONAL

}

LogMeasReport-r16 ::= SEQUENCE {

absoluteTimeStamp-r16 AbsoluteTimeInfo-r16,

traceReference-r16 TraceReference-r16,

traceRecordingSessionRef-r16 OCTET STRING (SIZE (2)),

tce-Id-r16 OCTET STRING (SIZE (1)),

logMeasInfoList-r16 LogMeasInfoList-r16,

logMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableBT-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r16 ENUMERATED {true} OPTIONAL,

...

}

LogMeasInfoList-r16 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r16)) OF LogMeasInfo-r16

LogMeasInfo-r16 ::= SEQUENCE {

locationInfo-r16 LocationInfo-r16 OPTIONAL,

relativeTimeStamp-r16 INTEGER (0..7200),

servCellIdentity-r16 CGI-Info-Logging-r16 OPTIONAL,

measResultServingCell-r16 MeasResultServingCell-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultListLogging2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

anyCellSelectionDetected-r16 ENUMERATED {true} OPTIONAL,

...

}

ConnEstFailReport-r16 ::= SEQUENCE {

measResultFailedCell-r16 MeasResultFailedCell-r16,

locationInfo-r16 LocationInfo-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultList2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

numberOfConnFail-r16 INTEGER (1..8),

perRAInfoList-r16 PerRAInfoList-r16,

timeSinceFailure-r16 TimeSinceFailure-r16,

...

}

MeasResultServingCell-r16 ::= SEQUENCE {

resultsSSB-Cell MeasQuantityResults,

resultsSSB SEQUENCE{

best-ssb-Index SSB-Index,

best-ssb-Results MeasQuantityResults,

numberOfGoodSSB INTEGER (1..maxNrofSSBs-r16)

} OPTIONAL

}

MeasResultFailedCell-r16 ::= SEQUENCE {

cgi-Info CGI-Info-Logging-r16,

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList

}

}

}

RA-ReportList-r16 ::= SEQUENCE (SIZE (1..maxRAReport-r16)) OF RA-Report-r16

RA-Report-r16 ::= SEQUENCE {

cellId-r16 CHOICE {

cellGlobalId-r16 CGI-Info-Logging-r16,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 PhysCellId,

carrierFreq-r16 ARFCN-ValueNR

}

},

ra-InformationCommon-r16 RA-InformationCommon-r16 OPTIONAL,

raPurpose-r16 ENUMERATED {accessRelated, beamFailureRecovery, reconfigurationWithSync, ulUnSynchronized,

schedulingRequestFailure, noPUCCHResourceAvailable, requestForOtherSI,

spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1},

...

}

RA-InformationCommon-r16 ::= SEQUENCE {

absoluteFrequencyPointA-r16 ARFCN-ValueNR,

locationAndBandwidth-r16 INTEGER (0..37949),

subcarrierSpacing-r16 SubcarrierSpacing,

msg1-FrequencyStart-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-FrequencyStartCFRA-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-SubcarrierSpacing-r16 SubcarrierSpacing OPTIONAL,

msg1-SubcarrierSpacingCFRA-r16 SubcarrierSpacing OPTIONAL,

msg1-FDM-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

msg1-FDMCFRA-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

perRAInfoList-r16 PerRAInfoList-r16,

...,

[[

perRAInfoList-v1660 PerRAInfoList-v1660 OPTIONAL

]],

[[

msg1-SCS-From-prach-ConfigurationIndex-r16 ENUMERATED {kHz1dot25, kHz5, spare2, spare1} OPTIONAL

]]

}

PerRAInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAInfo-r16

PerRAInfoList-v1660 ::= SEQUENCE (SIZE (1..200)) OF PerRACSI-RSInfo-v1660

PerRAInfo-r16 ::= CHOICE {

perRASSBInfoList-r16 PerRASSBInfo-r16,

perRACSI-RSInfoList-r16 PerRACSI-RSInfo-r16

}

PerRASSBInfo-r16 ::= SEQUENCE {

ssb-Index-r16 SSB-Index,

numberOfPreamblesSentOnSSB-r16 INTEGER (1..200),

perRAAttemptInfoList-r16 PerRAAttemptInfoList-r16

}

PerRACSI-RSInfo-r16 ::= SEQUENCE {

csi-RS-Index-r16 CSI-RS-Index,

numberOfPreamblesSentOnCSI-RS-r16 INTEGER (1..200)

}

PerRACSI-RSInfo-v1660 ::= SEQUENCE {

csi-RS-Index-v1660 INTEGER (1..96) OPTIONAL

}

PerRAAttemptInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAAttemptInfo-r16

PerRAAttemptInfo-r16 ::= SEQUENCE {

contentionDetected-r16 BOOLEAN OPTIONAL,

dlRSRPAboveThreshold-r16 BOOLEAN OPTIONAL,

...

}

RLF-Report-r16 ::= CHOICE {

nr-RLF-Report-r16 SEQUENCE {

measResultLastServCell-r16 MeasResultRLFNR-r16,

measResultNeighCells-r16 SEQUENCE {

measResultListNR-r16 MeasResultList2NR-r16 OPTIONAL,

measResultListEUTRA-r16 MeasResultList2EUTRA-r16 OPTIONAL

} OPTIONAL,

c-RNTI-r16 RNTI-Value,

previousPCellId-r16 CHOICE {

nrPreviousCell-r16 CGI-Info-Logging-r16,

eutraPreviousCell-r16 CGI-InfoEUTRALogging

} OPTIONAL,

failedPCellId-r16 CHOICE {

nrFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-Info-Logging-r16,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 PhysCellId,

carrierFreq-r16 ARFCN-ValueNR

}

},

eutraFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-InfoEUTRALogging,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 EUTRA-PhysCellId,

carrierFreq-r16 ARFCN-ValueEUTRA

}

}

},

reconnectCellId-r16 CHOICE {

nrReconnectCellId-r16 CGI-Info-Logging-r16,

eutraReconnectCellId-r16 CGI-InfoEUTRALogging

} OPTIONAL,

timeUntilReconnection-r16 TimeUntilReconnection-r16 OPTIONAL,

reestablishmentCellId-r16 CGI-Info-Logging-r16 OPTIONAL,

timeConnFailure-r16 INTEGER (0..1023) OPTIONAL,

timeSinceFailure-r16 TimeSinceFailure-r16,

connectionFailureType-r16 ENUMERATED {rlf, hof},

rlf-Cause-r16 ENUMERATED {t310-Expiry, randomAccessProblem, rlc-MaxNumRetx,

beamFailureRecoveryFailure, lbtFailure-r16,

bh-rlfRecoveryFailure, spare2, spare1},

locationInfo-r16 LocationInfo-r16 OPTIONAL,

noSuitableCellFound-r16 ENUMERATED {true} OPTIONAL,

ra-InformationCommon-r16 RA-InformationCommon-r16 OPTIONAL,

...,

[[

csi-rsRLMConfigBitmap-v1650 BIT STRING (SIZE (96)) OPTIONAL

]]

},

eutra-RLF-Report-r16 SEQUENCE {

failedPCellId-EUTRA CGI-InfoEUTRALogging,

measResult-RLF-Report-EUTRA-r16 OCTET STRING,

...

}

}

MeasResultList2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2NR-r16

MeasResultList2EUTRA-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2EUTRA-r16

MeasResult2NR-r16 ::= SEQUENCE {

ssbFrequency-r16 ARFCN-ValueNR OPTIONAL,

refFreqCSI-RS-r16 ARFCN-ValueNR OPTIONAL,

measResultList-r16 MeasResultListNR

}

MeasResultListLogging2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResultLogging2NR-r16

MeasResultLogging2NR-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueNR,

measResultListLoggingNR-r16 MeasResultListLoggingNR-r16

}

MeasResultListLoggingNR-r16 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultLoggingNR-r16

MeasResultLoggingNR-r16 ::= SEQUENCE {

physCellId-r16 PhysCellId,

resultsSSB-Cell-r16 MeasQuantityResults,

numberOfGoodSSB-r16 INTEGER (1..maxNrofSSBs-r16) OPTIONAL

}

MeasResult2EUTRA-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueEUTRA,

measResultList-r16 MeasResultListEUTRA

}

MeasResultRLFNR-r16 ::= SEQUENCE {

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults OPTIONAL,

resultsCSI-RS-Cell-r16 MeasQuantityResults OPTIONAL

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList OPTIONAL,

ssbRLMConfigBitmap-r16 BIT STRING (SIZE (64)) OPTIONAL,

resultsCSI-RS-Indexes-r16 ResultsPerCSI-RS-IndexList OPTIONAL,

csi-rsRLMConfigBitmap-r16 BIT STRING (SIZE (96)) OPTIONAL

} OPTIONAL

}

}

TimeSinceFailure-r16 ::= INTEGER (0..172800)

MobilityHistoryReport-r16 ::= VisitedCellInfoList-r16

TimeUntilReconnection-r16 ::= INTEGER (0..172800)

UEInformationResponse-r17-IEs ::= SEQUENCE {

rxTxMeas-r17 RxTxTimeDiff-r17 OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- TAG-UEINFORMATIONRESPONSE-STOP

-- ASN1STOP

|  |
| --- |
| *UEInformationResponse-IEs* field descriptions |
| ***logMeasReport***  This field is used to provide the measurement results stored by the UE associated to logged MDT. |
| ***measResultIdleEUTRA***  EUTRA measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***measResultIdleNR***  NR measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***ra-ReportList***  This field is used to provide the list of RA reports that is stored by the UE for the past upto *maxRAReport-r16* number of successful random access procedures. |
| ***rlf-Report***  This field is used to indicate the RLF report related contents. |

|  |
| --- |
| *LogMeasReport* field descriptions |
| ***absoluteTimeStamp***  Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by NR within *absoluteTimeInfo*. |
| ***anyCellSelectionDetected***  This field is used to indicate the detection of *any cell selection* state, as defined in TS 38.304 [20]. The UE sets this field when performing the logging of measurement results in RRC\_IDLE or RRC\_INACTIVE and there is no suitable cell or no acceptable cell. |
| ***measResultServingCell***  This field refers to the log measurement results taken in the Serving cell. |
| ***numberOfGoodSSB***  Indicates the number of good beams (beams that are above *absThreshSS-BlocksConsolidation,* if configured by the network) associated to the cells within the R value range (which is configured by network for cell reselection) of the highest ranked cell as part of the beam level measurements. If the UE has no SSB of a neighbour cell whose measurement quantity is above the *absThreshSS-BlocksConsolidation* or if the network has not configured the *absThreshSS-BlocksConsolidation*, then the UE does not include *numberOfGoodSSB* for the corresponding neighbour cell. If the UE has no SSB of the serving cell whose measurement quantity is above the *absThreshSS-BlocksConsolidation* or if the network has not configured the *absThreshSS-BlocksConsolidation*, then the UE shall set the *numberOfGoodSSB* for the serving cell to one. |
| ***relativeTimeStamp***  Indicates the time of logging measurement results, measured relative to the *absoluteTimeStamp*. Value in seconds. |
| ***tce-Id***  Parameter Trace Collection Entity Id: See TS 32.422 [52]. |
| ***traceRecordingSessionRef***  Parameter Trace Recording Session Reference: See TS 32.422 [52]. |

|  |
| --- |
| *ConnEstFailReport* field descriptions |
| ***measResultFailedCell***  This field refers to the last measurement results taken in the cell, where connection establishment failure or connection resume failure happened. |
| ***measResultNeighCells***  This field refers to the neighbour cell measurements when connection establishment failure or connection resume failure happened. |
| ***numberOfConnFail***  This field is used to indicate the latest number of consecutive failed RRCSetup or RRCResume procedures in the same cell independent of RRC state transition. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (establishment or resume) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |

|  |
| --- |
| *RA-InformationCommon* field descriptions |
| ***absoluteFrequencyPointA***  This field indicates the absolute frequency position of the reference resource block (Common RB 0). |
| ***locationAndBandwidth***  Frequency domain location and bandwidth of the bandwidth part associated to the random-access resources used by the UE. |
| ***perRAInfoList, perRAInfoList-v1660***  This field provides detailed information about each of the random access attempts in the chronological order of the random access attempts. If perRAInfoList-v1660 is present, it shall contain the same number of entries, listed in the same order as in perRAInfoList-r16. |
| ***subcarrierSpacing***  Subcarrier spacing used in the BWP associated to the random-access resources used by the UE. |

|  |
| --- |
| *RA-Report* field descriptions |
| ***cellID***  This field indicates the CGI of the cell in which the associated random access procedure was performed. |
| ***contentionDetected***  This field is used to indicate that contention was detected for the transmitted preamble in the given random access attempt or not. This field is not included when the UE performs random access attempt is using contention free random-access resources or when the *raPurpose* is set to *requestForOtherSI*. |
| ***csi-RS-Index, csi-RS-Index-v1660***  This field is used to indicate the CSI-RS index corresponding to the random access attempt.  If the random access procedure is for beam failure recovery, the field indicates the NZP-CSI-RS-ResourceId. For CSI-RS index larger than maxNrofCSI-RS-ResourcesRRM-1, the index value is the sum of csi-RS-Index (without suffix) and csi-RS-Index-v1660. |
| ***dlRSRPAboveThreshold***  This field is used to indicate whether the DL beam (SSB) quality associated to the random access attempt was above or below the threshold *rsrp-ThresholdSSB* in *beamFailureRecoveryConfig* in UL BWP configuration of UL BWP selected for random access procedure initiated for beam failure recovery; Otherwise, *rsrp-ThresholdSSB* in *rach-ConfigCommon* in UL BWP configuration of UL BWP selected for random access procedure. |
| ***msg1-SCS-From-prach-ConfigurationIndex***  This field is set by the UE with the corresponding SCS as derived from the *prach-ConfigurationIndex* in *RACH-ConfigGeneric* when the *msg1-SubcarrierSpacing* is absent; otherwise, this field is absent. |
| ***numberOfPreamblesSentOnCSI-RS***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding CSI-RS. |
| ***numberOfPreamblesSentOnSSB***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding SS/PBCH block. |
| ***perRAAttemptInfoList***  This field provides detailed information about a random access attempt. |
| ***perRACSI-RSInfoList***  This field provides detailed information about the successive random access attempts associated to the same CSI-RS. |
| ***perRASSBInfoList***  This field provides detailed information about the successive random access attempts associated to the same SS/PBCH block. |
| ***ra-InformationCommon***  This field is used to provide information on random access attempts. This field is mandatory present. |
| ***raPurpose***  This field is used to indicate the RA scenario for which the RA report entry is triggered. The RA accesses associated to Initial access from RRC\_IDLE, RRC re-establishment procedure, transition from RRC-INACTIVE and the MSG3 based SI request are indicated using the indicator 'accessRelated'. The indicator *beamFailureRecovery* is used in case of successful beam failure recovery related RA procedure in the SpCell [3]. The indicator *reconfigurationWithSync* is used if the UE executes a reconfiguration with sync. The indicator *ulUnSynchronized* is used if the random access procedure is initiated in a SpCell by DL or UL data arrival during RRC\_CONNECTED when the timeAlignmentTimer is not running in the PTAG or if the RA procedure is initiated in a serving cell by a PDCCH order [3]. The indicator *schedulingRequestFailure* is used in case of SR failures [3]. The indicator *noPUCCHResourceAvailable* is used when the UE has no valid SR PUCCH resources configured [3]. The indicator *requestForOtherSI* is used for MSG1 based on demand SI request. |
| ***ssb-Index***  This field is used to indicate the SS/PBCH index of the SS/PBCH block corresponding to the random access attempt. |

|  |
| --- |
| *RLF-Report* field descriptions |
| ***connectionFailureType***  This field is used to indicate whether the connection failure is due to radio link failure or handover failure. |
| ***csi-rsRLMConfigBitmap,csi-rsRLMConfigBitmap-v1650***  These fields are used to indicate the CSI-RS indexes configured in the RLM configurations for the active BWP when the UE declares RLF or HOF. The UE first fills in the *csi-rsRLMConfigBitmap-r16* to indicate the first 96 CSI-RS indexes and then *csi-rsRLMConfigBitmap-v1650* to indicate the latter 96 CSI-RS indexes. The first/leftmost bit in *csi-rsRLMConfigBitmap-r16* corresponds to CSI-RS index 0, the second bit corresponds to CSI-RS index 1. The first/leftmost bit in *csi-rsRLMConfigBitmap-v1650* corresponds to CSI-RS index 96, the second bit corresponds to CSI-RS index 97. These fields are included only if the *RadioLinkMonitoringConfig* for the respective BWP is configured. |
| ***c-RNTI***  This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure. |
| ***failedPCellId***  This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover. For intra-NR handover *nrFailedPCellId* is included and for the handover from NR to EUTRA *eutraFailedPCellId* is included. The UE sets the ARFCN according to the frequency band used for transmission/ reception when the failure occurred. |
| ***failedPCellId-EUTRA***  This field is used to indicate the PCell in which RLF is detected or the source PCell of the failed handover in an E-UTRA RLF report. |
| ***measResultListEUTRA***  This field refers to the last measurement results taken in the neighboring EUTRA Cells, when the radio link failure or handover failure happened. |
| ***measResultListNR***  This field refers to the last measurement results taken in the neighboring NR Cells, when the radio link failure or handover failure happened. |
| ***measResultLastServCell***  This field refers to the log measurement results taken in the PCell upon detecting radio link failure or the source PCell upon handover failure. |
| ***measResult-RLF-Report-EUTRA***  Includes the E-UTRA *RLF-Report-r9* IE as specified in TS 36.331 [10]. |
| ***noSuitableCellFound***  This field is set by the UE when the T311 expires. |
| ***previousPCellId***  This field is used to indicate the source PCell of the last handover (source PCell when the last *RRCReconfiguration* message including *reconfigurationWithSync* was received). For intra-NR handover *nrPreviousCell* is included and for the handover from EUTRA to NR *eutraPreviousCell* is included. |
| ***ra-InformationCommon***  This field is optionally included when c*onnectionFailureType* is set to 'hof' or when *connectionFailureType* is set to 'rlf' and the *rlf-Cause* equals to 'randomAccessProblem' or 'beamRecoveryFailure'; otherwise this field is absent. |
| ***reconnectCellId***  This field is used to indicate the cell in which the UE comes back to connected after connection failure and after failing to perform reestablishment. If the UE comes back to RRC CONNECTED in an NR cell then *nrReconnectCellID* is included and if the UE comes back to RRC CONNECTED in an LTE cell then *eutraReconnectCellID* is included |
| ***reestablishmentCellId***  This field is used to indicate the cell in which the re-establishment attempt was made after connection failure. |
| ***rlf-Cause***  This field is used to indicate the cause of the last radio link failure that was detected. In case of handover failure information reporting (i.e., the *connectionFailureType* is set to '*hof*'), the UE is allowed to set this field to any value. |
| ***ssbRLMConfigBitmap***  This field is used to indicate the SS/PBCH block indexes configured in the RLM configurations for the active BWP when the UE declares RLF or HOF.The first/leftmost bit corresponds to SSB index 0, the second bit corresponds to SSB index 1. This field is included only if the *RadioLinkMonitoringConfig* for the respective BWP is configured. |
| ***timeConnFailure***  This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = field value \* 100ms. The maximum value 1023 means 102.3s or longer. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (radio link or handover) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |
| ***timeUntilReconnection***  This field is used to indicate the time that elapsed between the connection (radio link or handover) failure and the next time the UE comes to RRC CONNECTED in an NR or EUTRA cell, after failing to perform reestablishment. Value in seconds. The maximum value 172800 means 172800s or longer. |

|  |
| --- |
| ***Next change*** |

## 6.3 RRC information elements

### 6.3.2 Radio resource control information elements

#### – *measObjectRxTxDiff*

The IE *measObjectRxTxDiff* is used to configure the

*measObjectRxTxDiff* information element

-- ASN1START

-- TAG-MEASOBJECTRXTXDIFF-START

MeasObjectRxTxDiff-r17 ::= SEQUENCE {

dl-Ref-r17 CHOICE {

prs-Ref-r17 null,

csi-RS-Ref-r17 NZP-CSI-RS-ResourceSetId

...

}

...

}

-- TAG-MEASOBJECTRXTXDIFF-STOP

-- ASN1STOP

|  |
| --- |
| *measObjectRxTxDiff field descriptions* |
| ***dl-Ref-r17***  configures the DL references signals to measure UE Rx-Tx time difference. Only the reference signals from the PCell of the MCG can be chosen by the network. There is only one PRS resource configured. For the chosen *NZP-CSI-RS-ResourceSetId*, the field *pdc-Info-r17* is set to *true*. |

#### – *MeasObjectToAddModList*

The IE *MeasObjectToAddModList* concerns a list of measurement objects to add or modify.

*MeasObjectToAddModList* information element

-- ASN1START

-- TAG-MEASOBJECTTOADDMODLIST-START

MeasObjectToAddModList ::= SEQUENCE (SIZE (1..maxNrofObjectId)) OF MeasObjectToAddMod

MeasObjectToAddMod ::= SEQUENCE {

measObjectId MeasObjectId,

measObject CHOICE {

measObjectNR MeasObjectNR,

...,

measObjectEUTRA MeasObjectEUTRA,

measObjectUTRA-FDD-r16 MeasObjectUTRA-FDD-r16,

measObjectNR-SL-r16 MeasObjectNR-SL-r16,

measObjectCLI-r16 MeasObjectCLI-r16,

measObjectRxTxDiff-r17 MeasObjectRxTxDiff-r17

}

}

-- TAG-MEASOBJECTTOADDMODLIST-STOP

-- ASN1STOP

|  |
| --- |
| ***Next change*** |

## 7.4 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### – *VarMeasReportList*

The UE variable *VarMeasReportList* includes information about the measurements for which the triggering conditions have been met.

*VarMeasReportList UE variable*

-- ASN1START

-- TAG-VARMEASREPORTLIST-START

VarMeasReportList ::= SEQUENCE (SIZE (1..maxNrofMeasId)) OF VarMeasReport

VarMeasReport ::= SEQUENCE {

-- List of measurement that have been triggered

measId MeasId,

cellsTriggeredList CellsTriggeredList OPTIONAL,

numberOfReportsSent INTEGER,

cli-TriggeredList-r16 CLI-TriggeredList-r16 OPTIONAL,

tx-PoolMeasToAddModListNR-r16 Tx-PoolMeasList-r16 OPTIONAL,

rxTxMeas RxTxTimeDiff-r17 OPTIONAL

}

CellsTriggeredList ::= SEQUENCE (SIZE (1..maxNrofCellMeas)) OF CHOICE {

physCellId PhysCellId,

physCellIdEUTRA EUTRA-PhysCellId,

physCellIdUTRA-FDD-r16 PhysCellIdUTRA-FDD-r16

}

CLI-TriggeredList-r16 ::= CHOICE {

srs-RSRP-TriggeredList-r16 SRS-RSRP-TriggeredList-r16,

cli-RSSI-TriggeredList-r16 CLI-RSSI-TriggeredList-r16

}

SRS-RSRP-TriggeredList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCLI-SRS-Resources-r16)) OF SRS-ResourceId

CLI-RSSI-TriggeredList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCLI-RSSI-Resources-r16)) OF RSSI-ResourceId-r16

-- TAG-VARMEASREPORTLIST-STOP

-- ASN1STOP