**3GPP TSG-RAN WG2 Meeting #116 Electronic R2-2110490**

**Online Meeting, 1 – 12 Nov, 2021**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** |  | **rev** |  | **Current version:** | **16.6.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
|  | | | | | | | | |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | | | | | | | | | | |
| ***Title:*** | Introduction of Rel-17 Sidelink Relay | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | RAN2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_SL\_relay-Core | | | | |  | ***Date:*** | | |  |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | This CR introduces the support of Rel-17 sidelink relay in NR. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introducing procedures and signalling to support: [To be updated]   * NR sidelink discovery for L2/L3 U2N relay operation; * U2N relay selection/reselection; * L2 U2N CP procedures; * L2 U2N path swith; | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | SL relay is not supported in NR. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | [To be updated.] | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modified Subclause*

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.300: "NR; Overall description; Stage 2".

[3] 3GPP TS 38.321: "NR; Medium Access Control (MAC); Protocol specification".

[4] 3GPP TS 38.322: "NR; Radio Link Control (RLC) protocol specification".

[5] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) protocol specification".

[6] ITU-T Recommendation X.680 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation" (Same as the ISO/IEC International Standard 8824-1).

[7] ITU-T Recommendation X.681 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Information object specification" (Same as the ISO/IEC International Standard 8824-2).

[8] ITU-T Recommendation X.691 (08/2015) "Information technology – ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)" (Same as the ISO/IEC International Standard 8825-2).

[9] 3GPP TS 38.215: "NR; Physical layer measurements".

[10] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RRC); Protocol Specification".

[11] 3GPP TS 33.501: "Security Architecture and Procedures for 5G System".

[12] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".

[13] 3GPP TS 38.213: "NR; Physical layer procedures for control".

[14] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

[15] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".

[16] 3GPP TS 38.211: "NR; Physical channels and modulation".

[17] 3GPP TS 38.212: "NR; Multiplexing and channel coding".

[18] ITU-T Recommendation X.683 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Parameterization of ASN.1 specifications" (Same as the ISO/IEC International Standard 8824-4).

[19] 3GPP TS 38.214: "NR; Physical layer procedures for data".

[20] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state".

[21] 3GPP TS 23.003: "Numbering, addressing and identification".

[22] 3GPP TS 36.101: "E-UTRA; User Equipment (UE) radio transmission and reception".

[23] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[24] 3GPP TS 37.324: "Service Data Adaptation Protocol (SDAP) specification".

[25] 3GPP TS 22.261: "Service requirements for the 5G System".

[26] 3GPP TS 38.306: "User Equipment (UE) radio access capabilities".

[27] 3GPP TS 36.304: "E-UTRA; User Equipment (UE) procedures in idle mode".

[28] ATIS 0700041: "WEA 3.0: Device-Based Geo-Fencing".

[29] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

[30] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".

[31] 3GPP TS 36.211: "E-UTRA; Physical channels and modulation".

[32] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[33] 3GPP TS 36.104:"E-UTRA; Base Station (BS) radio transmission and reception".

[34] 3GPP TS 38.101-3 "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

[35] 3GPP TS 38.423: "NG-RAN, Xn application protocol (XnAP)".

[36] 3GPP TS 38.473: "NG-RAN; F1 application protocol (F1AP)".

[37] 3GPP TS 36.423: "E-UTRA; X2 application protocol (X2AP)".

[38] 3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".

[39] 3GPP TS 38.101-2 "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".

[40] 3GPP TS 36.133:"E-UTRA; Requirements for support of radio resource management".

[41] 3GPP TS 37.340: "E-UTRA and NR; Multi-connectivity; Stage 2".

[42] 3GPP TS 38.413: "NG-RAN, NG Application Protocol (NGAP)".

[43] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[44] 3GPP TR 36.816: "Evolved Universal Terrestrial Radio Access (E-UTRA); Study on signalling and procedure for interference avoidance for in-device coexistence ".

[45] 3GPP TS 25.331: "Universal Terrestrial Radio Access (UTRA); Radio Resource Control (RRC); Protocol specification".

[46] 3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".

[47] 3GPP TS 38.340: "Backhaul Adaptation Protocol (BAP) specification"

[48] 3GPP TS 37.213: "Physical layer procedures for shared spectrum channel access".

[49] 3GPP TS 37.355: "LTE Positioning Protocol (LPP)".

[50] IEEE 802.11-2012, Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) specifications, IEEE Std.

[51] Bluetooth Special Interest Group: "Bluetooth Core Specification v5.0", December 2016.

[52] 3GPP TS 32.422: "Telecommunication management; Subsriber and equipment trace; Trace control and confiuration management".

[53] 3GPP TS 38.314: "NR; layer 2 measurements".

[54] Void.

[55] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[56] 3GPP TS 23.285: "Technical Specification Group Services and System Aspects; Architecture enhancements for V2X services".

[57] 3GPP TS 24.587: " Technical Specification Group Core Network and Terminals; Vehicle-to-Everything (V2X) services in 5G System (5GS)".

[58] Military Standard WGS84 Metric MIL-STD-2401 (11 January 1994): "Military Standard Department of Defence World Geodetic System (WGS)".

[59] 3GPP TS 38.101-4 "NR; User Equipment (UE) radio transmission and reception; Part 4: Performance Requirements".

[60] 3GPP TS 33.536: "Technical Specification Group Services and System Aspects; Security aspects of 3GPP support for advanced Vehicle-to-Everything (V2X) services".

[61] 3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA), Evolved Universal Terrestrial Radio Access (E-UTRA) and New Radio (NR); Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; Stage 2".

[62] 3GPP TS 36.306: "User Equipment (UE) radio access capabilities".

[63] 3GPP TS 38.174: "NR; Integrated Access and Backhaul (IAB) radio transmission and reception".

[x1] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**BH RLC channel:** An RLC channel between two nodes, which is used to transport backhaul packets.

**CEIL:** Mathematical function used to 'round up' i.e. to the nearest integer having a higher or equal value.

**DAPS bearer:** a bearer whose radio protocols are located in both the source gNB and the target gNB during DAPS handover to use both source gNB and target gNB resources.

**Dedicated signalling:** Signalling sent on DCCH logical channel between the network and a single UE.

**Dormant BWP:** The dormant BWP is one of downlink BWPs configured by the network via dedicated RRC signalling. In the dormant BWP, the UE stops monitoring PDCCH on/for the SCell, but continues performing CSI measurements, Automatic Gain Control (AGC) and beam management, if configured. For each serving cell other than the SpCell or PUCCH SCell, the network may configure one BWP as a dormant BWP.

**Field:** The individual contents of an information element are referred to as fields.

**FLOOR:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower or equal value.

**Global cell identity:** An identity to uniquely identifying an NR cell. It is consisted of *cellIdentity* and *plmn-Identity* of the first *PLMN-Identity* in *plmn-IdentityList* in SIB1.

**Information element:** A structural element containing single or multiple fields is referred as information element.

**NPN-only Cell**: A cell that is only available for normal service for NPNs' subscriber. An NPN-capable UE determines that a cell is NPN-only Cell by detecting that the *cellReservedForOtherUse* IE is set to true while the *npn-IdentityInfoList* IE is present in *CellAccessRelatedInfo*.

**NR sidelink communication**: AS functionality enabling at least V2X Communication as defined in TS 23.287 [55], between two or more nearby UEs, using NR technology but not traversing any network node.

**PNI-NPN identity:** an identifier of a PNI-NPN comprising of a PLMN ID and a CAG -ID combination.

**Primary Cell**: The MCG cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure.

**Primary SCG Cell**: For dual connectivity operation, the SCG cell in which the UE performs random access when performing the Reconfiguration with Sync procedure.

**Primary Timing Advance Group**: Timing Advance Group containing the SpCell.

**PUCCH SCell:** An SCell configured with PUCCH.

**PUSCH-Less SCell:** An SCell configured without PUSCH.

**RLC bearer configuration:** The lower layer part of the radio bearer configuration comprising the RLC and logical channel configurations.

**Secondary Cell**: For a UE configured with CA, a cell providing additional radio resources on top of Special Cell.

**Secondary Cell Group**: For a UE configured with dual connectivity, the subset of serving cells comprising of the PSCell and zero or more secondary cells.

**Serving Cell**: For a UE in RRC\_CONNECTED not configured with CA/DC there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA/ DC the term 'serving cells' is used to denote the set of cells comprising of the Special Cell(s) and all secondary cells.

**SNPN identity:** an identifier of an SNPN comprising of a PLMN ID and an NID combination.

**Special Cell:** For Dual Connectivity operation the term Special Cell refers to the PCell of the MCG or the PSCell of the SCG, otherwise the term Special Cell refers to the PCell.

**Split SRB**: In MR-DC, an SRB that supports transmission via MCG and SCG as well as duplication of RRC PDUs as defined in TS 37.340 [41].

**SSB Frequency**: Frequency referring to the position of resource element RE=#0 (subcarrier #0) of resource block RB#10 of the SS block.

**U2N Relay UE:** a UE that provides functionality to support connectivity to the network for U2N Remote UE(s).

**U2N Remote UE:** a UE that communicates with the network via a U2N Relay UE.

**UE Inactive AS Context**: UE Inactive AS Context is stored when the connection is suspended and restored when the connection is resumed. It includes information as defined in clause 5.3.8.3.

**V2X sidelink communication**: AS functionality enabling V2X Communication as defined in TS 23.285 [56], between nearby UEs, using E-UTRA technology but not traversing any network node.

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BAP Backhaul Adaptation Protocol

BCD Binary Coded Decimal

BH Backhaul

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CAG Closed Access Group

CAG-ID Closed Access Group Identifier

CAPC Channel Access Priority Class

CBR Channel Busy Ratio

CCCH Common Control Channel

CG Cell Group

CHO Conditional Handover

CLI Cross Link Interference

CMAS Commercial Mobile Alert Service

CP Control Plane

CPC Conditional PSCell Change

C-RNTI Cell RNTI

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCP DCI with CRC scrambled by PS-RNTI

DFN Direct Frame Number

DL Downlink

DL-PRS Downlink Positioning Reference Signal

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HRNN Human Readable Network Name

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IDC In-Device Coexistence

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LBT Listen Before Talk

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MIB Master Information Block

MPE Maximum Permissible Exposure

MR-DC Multi-Radio Dual Connectivity

N/A Not Applicable

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NID Network Identifier

NPN Non-Public Network

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated Non-Public Network

posSIB Positioning SIB

PRS Positioning Reference Signal

PSCell Primary SCG Cell

PWS Public Warning System

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RMTC RSSI Measurement Timing Configuration

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RS Reference Signal

SBAS Satellite Based Augmentation System

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SL Sidelink

SLSS Sidelink Synchronisation Signal

SNPN Stand-alone Non-Public Network

SpCell Special Cell

SRB Signalling Radio Bearer

SRS Sounding Reference Signal

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TM Transparent Mode

U2N UE-to-Network

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

*Next Modified Subclause*

### 5.2.2 System information acquisition

#### 5.2.2.1 General UE requirements



Figure 5.2.2.1-1: System information acquisition

The UE applies the SI acquisition procedure to acquire the AS, NAS- and positioning assistance data information. The procedure applies to UEs in RRC\_IDLE, in RRC\_INACTIVE and in RRC\_CONNECTED.

The UE in RRC\_IDLE and RRC\_INACTIVE shall ensure having a valid version of (at least) the *MIB*, *SIB1* through *SIB4,* *SIB5* (if the UE supports E-UTRA), *SIB11* (if the UE is configured for idle/inactive measurements), *SIB12* (if UE is capable of NR sidelink communication/discovery and is configured by upper layers to receive or transmit NR sidelink communication/discovery), and *SIB13*, *SIB14* (if UE is capable of V2X sidelink communication and is configured by upper layers to receive or transmit V2X sidelink communication).

The UE shall ensure having a valid version of the posSIB requested by upper layers.

*Next Modified Subclause*

##### 5.2.2.4.13 Actions upon reception of *SIB12*

Upon receiving *SIB12*, the UE shall:

1> if the UE has stored at least one segment of *SIB12* and the value tag of *SIB12* has changed since a previous segment was stored:

2> discard all stored segments;

1> store the segment;

1> if all segments have been received:

2> assemble *SIB12-IEs* from the received segments;

2> if *sl-FreqInfoList* is included in *sl-ConfigCommonNR*:

3> if configured to receive NR sidelink communication:

4> use the resource pool(s) indicated by *sl-RxPool* for NR sidelink communication reception, as specified in 5.8.7;

3> if configured to transmit NR sidelink communication:

4> use the resource pool(s) indicated by *sl-TxPoolSelectedNormal*, or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.8.8;

4> perform CBR measurement on the transmission resource pool(s) indicated by *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.5.3.1;

4> use the synchronization configuration parameters for NR sidelink communication on frequencies included in *sl-FreqInfoList*, as specified in 5.8.5;

3> if configured to receive NR sidelink discovery:

4> use the resource pool(s) indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception, as specified in 5.8.x1.2;

3> if configured to transmit NR sidelink discovery:

4> use the resource pool(s) indicated by *sl-DiscTxPoolSelected*, *sl-TxPoolExceptional* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission, as specified in 5.8.x1.3;

4> perform CBR measurement on the transmission resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-DiscTxPoolSelected* or *sl-TxPoolExceptional* for NR sidelink discovery transmission, as specified in 5.5.3.1;

4> use the synchronization configuration parameters for NR sidelink discovery on frequencies included in *sl-FreqInfoList*, as specified in 5.8.5;

2> if *sl-RadioBearerConfigList* or *sl-RLC-BearerConfigList* is included in *sl-ConfigCommonNR*:

3> perform sidelink DRB addition/modification/release as specified in 5.8.9.1a.1/5.8.9.1a.2;

2> if *sl-MeasConfigCommon* is included in *sl-ConfigCommonNR*:

3> store the NR sidelink measurement configuration.

The UE should discard any stored segments for *SIB12* if the complete *SIB12* has not been assembled within a period of 3 hours. The UE shall discard any stored segments for *SIB12* upon cell (re-) selection.

*Next Modified Subclause*

### 5.3.3 RRC connection establishment

#### 5.3.3.1 General



Figure 5.3.3.1-1: RRC connection establishment, successful



Figure 5.3.3.1-2: RRC connection establishment, network reject

The purpose of this procedure is to establish an RRC connection. RRC connection establishment involves SRB1 establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to the network.

The network applies the procedure e.g.as follows:

- When establishing an RRC connection;

- When UE is resuming or re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context. In this case, UE receives *RRCSetup* and responds with *RRCSetupComplete*.

#### 5.3.3.1a Conditions for establishing RRC Connection for NR sidelink communication/discovery/V2X sidelink communication

For NR sidelink communication/discovery, an RRC connection establishment is initiated only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication/discovery and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency; or

2> if the frequency on which the UE is configured to transmit NR sidelink discovery is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for the concerned frequency;

For L2 U2N Relay UE in RRC\_IDLE, an RRC connection establishment is initiated in the following cases:

1> if any message is received from a L2 U2N Remote UE via SL-RLC0;

For V2X sidelink communication, an RRC connection is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment of an RRC connection while the UE is in RRC\_IDLE and it has acquired essential system information, or for sidelink communication/discovery as specified in sub-clause 5.3.3.1a.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:

2> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> if the UE is connected with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the specified configuration of SL-RLC0 as defined in 9.1.1.4;

1> else:

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T300;

1> initiate transmission of the *RRCSetupRequest* message in accordance with 5.3.3.3;

#### 5.3.3.3 Actions related to transmission of *RRCSetupRequest* message

The UE shall set the contents of *RRCSetupRequest* message as follows:

1> set the *ue-Identity* as follows:

2> if upper layers provide a 5G-S-TMSI:

3> set the *ue-Identity* to *ng-5G-S-TMSI-Part1*;

2> else:

3> draw a 39-bit random value in the range 0..239-1 and set the *ue-Identity* to this value;

NOTE 1: Upper layers provide the *5G-S-TMSI* if the UE is registered in the TA of the current cell.

1> if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN):

2> set the *establishmentCause* to *mps-PriorityAccess*;

1> else:

2> set the *establishmentCause* in accordance with the information received from upper layers;

The UE shall submit the *RRCSetupRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.6.

NOTE 2: For L2 U2N Remote UE in RRC\_IDLE/INACTIVE, the cell (re)selection procedure as specified in TS 38.304 [20] and relay (re)selection procedure as specified in 5.8.x3.3 could go independently and up to UE implementation to select either a cell or a L2 U2N Relay UE.

*Next Modified Subclause*

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration and CCCH configuration;

2> indicate to upper layers fallback of the RRC connection;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301 or T319 if running;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

2> stop relay (re)selection procedure if any for L2 U2N Remote UE

1> consider the current cell to be the PCell;

1> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> if *reconnectCellId* in *VarRLF-Report* is not set, and if the received *RRCSetup* is in response to an *RRCSetupRequest*:

3> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> if the UE supports RLF report for inter-RAT MRO NR as defined in TS 36.306 [62], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

2> if *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] is not set:

3> set *timeUntilReconnection* in *VarRLF-Report* of TS 36.331[10] to the time that elapsed since the last radio link failure or handover failure in LTE;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected an SNPN or a PLMN and in case of PLMN UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

2> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

2> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

3> include the *idleMeasAvailable*;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCSetupComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCSetupComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCSetupComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*, or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10], and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCSetupComplete* message;

2> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

3> if *speedStateReselectionPars* is configured in the *SIB2*:

4> include the *mobilityState* in the *RRCSetupComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

*Next Modified Subclause*

5.3.5 RRC reconfiguration

-----------text omitted-------------------------------------------

5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional reconfiguration (CHO or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution upon cell selection performed while timer T311 was running, as defined in 5.3.7.3:

2> remove all the entries within *VarConditionalReconfig*, if any;

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

NOTE 0: If this *RRCReconfiguration* is associated to the MCG and includes *reconfigurationWithSync* in *spCellConfig* and *dedicatedSIB1-Delivery*, the UE initiates (if needed) the request to acquire required SIBs, according to clause 5.2.2.3.5, only after the random access procedure towards the target SpCell is completed.

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *dedicatedPosSysInfoDelivery*:

2> perform the action upon reception of the contained posSIB(s), as specified in sub-clause 5.2.2.4.16;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *bap-Config*:

2> perform the BAP configuration procedure as specified in 5.3.5.12;

1> if the *RRCReconfiguration* message includes the *iab-IP-AddressConfigurationList*:

2> if *iab-IP-AddressToReleaseList* is included:

3> perform release of IP address as specified in 5.3.5.12a.1.1;

2> if *iab-IP-AddressToAddModList* is included:

3> perform IAB IP address addition/update as specified in 5.3.5.12a.1.2;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.13;

1> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedNR*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.5.14;

NOTE 0a: If the *sl-ConfigDedicatedNR* was received embedded within an E-UTRA *RRCConnectionReconfiguration* message, the UE does not build an NR *RRCReconfigurationComplete* message for the received *sl-ConfigDedicatedNR*.

1> if the *RRCReconfiguration* message includes the *sl-L2RelayConfig*:

2> perform the L2 U2N Relay UE configuration procedure as specified in 5.3.5.x1;

1> if the *RRCReconfiguration* message includes the *sl-L2RemoteConfig*:

2> perform the L2 U2N Remote UE configuration procedure as specified in 5.3.5.x2;

1> if the *RRCReconfiguration* message includes the *sl-ConfigDedicatedEUTRA-Info*:

2> perform related procedures for V2X sidelink communication in accordance with TS 36.331 [10], clause 5.3.10 and clause 5.5.2;

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the MCG;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each SCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each SCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured intra-band uplink carrier aggregation in the SCG;

NOTE 0b: It is expected that the *reportUplinkTxDirectCurrentTwoCarrier* is only received either in *masterCellGroup* or in *secondaryCellGroup* but not both.

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* includes the *reconfigurationWithSync* in *spCellConfig* of an MCG:

3> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

4> include the *logMeasAvailable* in the *RRCReconfigurationComplete* message;

4> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableBT* in the *RRCReconfigurationComplete* message;

4> if WLAN measurement results are included in the logged measurements the UE has available for NR:

5> include the *logMeasAvailableWLAN* in the *RRCReconfigurationComplete* message;

3> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

4> include *connEstFailInfoAvailable* in the *RRCReconfigurationComplete* message;

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

3> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

4> include *rlf-InfoAvailable* in the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message was received via SRB1, but not within *mrdc-SecondaryCellGroup* or E-UTRA *RRCConnectionReconfiguration* or E-UTRA *RRCConnectionResume*:

3> if the UE is configured to provide the measurement gap requirement information of NR target bands:

4> if the *RRCReconfiguration* message includes the *needForGapsConfigNR*; or

4> if the *NeedForGapsInfoNR* information is changed compared to last time the UE reported this information:

5> include the *NeedForGapsInfoNR* and set the contents as follows:

6> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

6> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (UE in (NG)EN-DC):

2> if the *RRCReconfiguration* message was received via E-UTRA SRB1 as specified in TS 36.331 [10]; or

2> if the *RRCReconfiguration* message was received via E-UTRA RRC message *RRCConnectionReconfiguration* within *MobilityFromNRCommand* (handover from NR standalone to (NG)EN-DC);

3> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC:

4> submit the *RRCReconfigurationComplete* message via the E-UTRA MCG embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10], clause 5.6.2a.

3> else if the *RRCReconfiguration* message was included in E-UTRA *RRCConnectionResume* message:

4> submit the *RRCReconfigurationComplete* message via E-UTRA embedded in E-UTRA RRC message *RRCConnectionResumeComplete* as specified in TS 36.331 [10], clause 5.3.3.4a;

3> else:

4> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4/5.4.2.3;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

2> if the *RRCReconfiguration* message was received within *nr-SecondaryCellGroupConfig* in *RRCConnectionReconfiguration* message received via SRB3 within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* via E-UTRA embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10], clause 5.3.5.3/5.3.5.4;

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3) but not within *DLInformationTransferMRDC*:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1 or within *DLInformationTransferMRDC* via SRB3, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3 but not within *DLInformationTransferMRDC*, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received via SRB1 within the *nr-SCG* within *mrdc-SecondaryCellGroup* (UE in NR-DC, *mrdc-SecondaryCellGroup* was received in *RRCReconfiguration* or *RRCResume* via SRB1):

2> if the *RRCReconfiguration* is applied due to a conditional reconfiguration execution for CPC:

3> submit the *RRCReconfigurationComplete* message via the NR MCG embedded in NR RRC message *ULInformationTransferMRDC* as specified in clause 5.7.2a.3.

2> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

2> else

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3 (UE in NR-DC):

2> if the *RRCReconfiguration* message was received within *DLInformationTransferMRDC*:

3> if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

4> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

5> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

4> else:

5> the procedure ends;

3> else:

4> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> else:

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(*RRCReconfiguration* was received via SRB1):

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2 and DRBs that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG, and when MAC of an NR cell group successfully completes a Random Access procedure triggered above:

2> stop timer T304 for that cell group;

2> stop timer T310 for source SpCell if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> for each DRB configured as DAPS bearer, request uplink data switching to the PDCP entity, as specified in TS 38.323 [5];

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if T350 is running:

4> stop timer T350;

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG; or:

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an SCG and the CPC was configured

3> remove all the entries within *VarConditionalReconfig*, if any;

3> for each *measId* of the source SpCell configuration, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

4> for the associated *reportConfigId*:

5> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup* or *secondaryCellGroup*:

3> if the UE initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group during the last 1 second, and the UE is still configured to provide the concerned UE assistance information for the corresponding cell group; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE is configured to provide UE assistance information for the corresponding cell group, and the UE has initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group since it was configured to do so in accordance with 5.7.4.2:

4> initiate transmission of a *UEAssistanceInformation* message for the corresponding cell group in accordance with clause 5.7.4.3 to provide the concerned UE assistance information;

4> start or restart the prohibit timer (if exists) associated with the concerned UE assistance information with the timer value set to the value in corresponding configuration;

3> if *SIB12* is provided by the target PCell; and the UE initiated transmission of a *SidelinkUEInformationNR* message indicating a change of NR sidelink communication related parameters relevant in target PCell (i.e. change of *sl-RxInterestedFreqList* or *sl-TxResourceReqList*) during the last 1 second preceding reception of the *RRCReconfiguration* message including *reconfigurationWithSync* in *spCellConfig* of an MCG; or

3> if the *RRCReconfiguration* message is applied due to a conditional reconfiguration execution and the UE is capable of NR sidelink communication and *SIB12* is provided by the target PCell, and the UE has initiated transmission of a *SidelinkUEInformationNR* message since it was configured to do so in accordance with 5.8.3.2:

4> initiate transmission of the *SidelinkUEInformationNR* message in accordance with 5.8.3.3;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast data reception, i.e. the broadcast and unicast beams are quasi co-located.

NOTE 4: The UE sets the content of *UEAssistanceInformation* according to latest configuration (i.e. the configuration after applying the *RRCReconfiguration* message) and latest UE preference. The UE may include more than the concerned UE assistance information within the *UEAssistanceInformation* according to 5.7.4.2. Therefore, the content of *UEAssistanceInformation* message might not be the same as the content of the previous *UEAssistanceInformation* message.

-----------text omitted-------------------------------------------

5.3.5.5.2 Reconfiguration with sync

The UE shall perform the following actions to execute a reconfiguration with sync.

1> if the AS security is not activated, perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with the release cause '*other*' upon which the procedure ends;

1> if no DAPS bearer is configured:

2> stop timer T310 for the corresponding SpCell, if running;

1> if this procedure is executed for the MCG:

2> if timer T316 is running;

3> stop timer T316;

3> clear the information included in *VarRLF-Report*, if any;

2> resume MCG transmission, if suspended.

1> stop timer T312 for the corresponding SpCell, if running;

1> if *sl-PathSwitchConfig* is included:

2> consider the target L2 U2N Relay UE to be the one indicated by the *targetRelayUEIdentity* in the *sl-PathSwitchConfig*;

2> start timer Txxx for the corresponding target L2 U2N Relay UE with the timer value set to *txxx*, as included in the *sl-PathSwitchConfig*;

2> apply the value of the *newUE-Identity* as the C-RNTI;

1> else (*sl-PathSwitchConfig* is not included):

2> start timer T304 for the corresponding SpCell with the timer value set to *t304*, as included in the *reconfigurationWithSync*;

2> if the *frequencyInfoDL* is included:

3> consider the target SpCell to be one on the SSB frequency indicated by the *frequencyInfoDL* with a physical cell identity indicated by the *physCellId*;

2> else:

3> consider the target SpCell to be one on the SSB frequency of the source SpCell with a physical cell identity indicated by the *physCellId*;

2> start synchronising to the DL of the target SpCell;

2> apply the specified BCCH configuration defined in 9.1.1.1 for the target SpCell;

2> acquire the *MIB* of the target SpCell, which is scheduled as specified in TS 38.213 [13];

NOTE 1: The UE should perform the reconfiguration with sync as soon as possible following the reception of the RRC message triggering the reconfiguration with sync, which could be before confirming successful reception (HARQ and ARQ) of this message.

NOTE 2: The UE may omit reading the *MIB* if the UE already has the required timing information, or the timing information is not needed for random access.

NOTE 2a: A UE with DAPS bearer does not monitor for system information updates in the source PCell.

2> If any DAPS bearer is configured:

3> create a MAC entity for the target cell group with the same configuration as the MAC entity for the source cell group;

3> for each DAPS bearer:

4> establish an RLC entity or entities for the target cell group, with the same configurations as for the source cell group;

4> establish the logical channel for the target cell group, with the same configurations as for the source cell group;

NOTE 2b: In order to understand if a DAPS bearer is configured, the UE needs to check the presence of the field *daps-Config* within the *RadioBearerConfig* IE received in *radioBearerConfig* or *radioBearerConfig2*.

3> for each SRB:

4> establish an RLC entity for the target cell group, with the same configurations as for the source cell group;

4> establish the logical channel for the target cell group, with the same configurations as for the source cell group;

3> suspend SRBs for the source cell group;

NOTE 3: Void

3> apply the value of the *newUE-Identity* as the C-RNTI in the target cell group;

3> configure lower layers for the target SpCell in accordance with the received s*pCellConfigCommon*;

3> configure lower layers for the target SpCell in accordance with any additional fields, not covered in the previous, if included in the received *reconfigurationWithSync.*

2> else:

3> reset the MAC entity of this cell group;

3> consider the SCell(s) of this cell group, if configured, that are not included in the *SCellToAddModList* in the *RRCReconfiguration* message, to be in deactivated state;

3> apply the value of the *newUE-Identity* as the C-RNTI for this cell group;

3> configure lower layers in accordance with the received s*pCellConfigCommon*;

3> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received *reconfigurationWithSync.*

2> if the UE is connected with a L2 U2N Relay UE (i.e. the UE is a L2 U2N Remote UE at the source side):

3> perform the PC5-RRC connection release as specified in 5.8.9.5.

-----------text omitted-------------------------------------------

##### 5.3.5.5.4 RLC bearer addition/modification

For each *RLC-BearerConfig* received in the *rlc-BearerToAddModList* IE the UE shall:

1> if the UE's current configuration contains an RLC bearer with the received *logicalChannelIdentity* within the same cell group:

2> if the RLC bearer is associated with an DAPS bearer, or

2> if any DAPS bearer is configured and the RLC bearer is associated with an SRB:

3> reconfigure the RLC entity or entities for the target cell group in accordance with the received *rlc-Config*;

3> reconfigure the logical channel for the target cell group in accordance with the received *mac-LogicalChannelConfig*;

2> else:

3> if *reestablishRLC* is received:

4> re-establish the RLC entity as specified in TS 38.322 [4];

3> reconfigure the RLC entity or entities in accordance with the received *rlc-Config*;

3> reconfigure the logical channel in accordance with the received *mac-LogicalChannelConfig*;

NOTE 1: The network does not re-associate an already configured logical channel with another radio bearer. Hence *servedRadioBearer* is not present in this case.

NOTE 2: In DAPS handover, the UE may perform RLC entity re-establishment (if *reestablishRLC* is set) for an RLC bearer associated with a non-DAPS bearer when indication of successful completion of random access towards target cell is received from lower layers as specified in TS 38.321 [3].

1> else (a logical channel with the given *logicalChannelIdentity* is not configured within the same cell group, including the case when full configuration option is used):

2> if the *servedRadioBearer* associates the logical channel with an SRB and *rlc-Config* is not included:

3> establish an RLC entity in accordance with the default configuration defined in 9.2 for the corresponding SRB;

2> else:

3> establish an RLC entity in accordance with the received *rlc-Config*;

2> if the *servedRadioBearer* associates the logical channel with an SRB and if *mac-LogicalChannelConfig* is not included:

3> configure this MAC entity with a logical channel in accordance to the default configuration defined in 9.2 for the corresponding SRB;

2> else:

3> configure this MAC entity with a logical channel in accordance to the received *mac-LogicalChannelConfig*;

2> associate this logical channel with the PDCP entity identified by *servedRadioBearer*.

*Editor’s note: RAN2 to further discuss if the legacy Uu RLC bearer add/mod/release signalling and procedure can be reused for Relay UE’s Uu RLC bearer configuration. FFS on the terminology of Relay UE’s Uu RLC bearer and the PC5 RLC bearer between Remote UE and Relay UE.*

*Next Modified Subclause*

#### 5.3.5.14 Sidelink dedicated configuration

Upon initiating the procedure, the UE shall:

1> if *sl-FreqInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each entry included in the received *sl-FreqInfoToReleaseList* that is part of the current UE configuration:

3> release the related configurations from the stored NR sidelink communication configurations;

1> if *sl-FreqInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> if configured to receive NR sidelink communication:

3> use the resource pool(s) indicated by *sl-RxPool* for NR sidelink communication reception, as specified in 5.8.7;

2> if configured to transmit NR sidelink communication:

3> use the resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.8.8;

2> if configured to receive NR sidelink discovery:

3> use the resource pool(s) indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception, as specified in 5.8.x1.2;

2> if configured to transmit NR sidelink discovery:

3> use the resource pool(s) indicated by *sl-DiscTxPoolSelected*, *sl-DiscTxPoolScheduling*, *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink discovery transmission, as specified in 5.8.x1.3;

2> perform CBR measurement on the transmission resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling*, *sl-DiscTxPoolSelected, sl-DiscTxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.5.3;

2> use the synchronization configuration parameters for NR sidelink communication on frequencies included in *sl-FreqInfoToAddModList*, as specified in 5.8.5;

1> if *sl-RadioBearerToReleaseList* or *sl-RLC-BearerToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB release as specified in 5.8.9.1a.1;

2> perform sidelink RLC bearer release for the RLC bearer not associated with SL-PDCP as specified in 5.8.9.x1.1;

1> if *sl-RadioBearerToAddModList* or *sl-RLC-BearerToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB addition/modification as specified in 5.8.9.1a.2;

2> perform sidelink RLC bearer addition/modification for the RLC bearer not associated with SL-PDCP as specified in 5.8.9.x1.2;

1> if *sl-ScheduledConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the MAC entity parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-ScheduledConfig*;

1> if *sl-UE-SelectedConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-UE-SelectedConfig*;

1> if *sl-MeasConfigInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *SL-DestinationIndex* included in the received *sl-MeasConfigInfoToReleaseList* that is part of the current UE configuration:

3> remove the entry with the matching *SL-DestinationIndex* from the stored NR sidelink measurement configuration information;

1> if *sl-MeasConfigInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is part of the current stored NR sidelink measurement configuration:

3> reconfigure the entry according to the value received for this *sl-DestinationIndex* from the stored NR sidelink measurement configuration information;

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is not part of the current stored NR sidelink measurement configuration:

3> add a new entry for this *sl-DestinationIndex* to the stored NR sidelink measurement configuration.

*Next Modified Subclause (New)*

#### 5.3.5.x1 L2 U2N Relay UE configuration

##### 5.3.5.x1.1 General

The network configures the L2 U2N Relay UE with relay operation related configurations. For each connected L2 U2N Remote UE indicated in *remote-L2Identity*, the network provides the configuration parameters used for data relaying.

The UE performs the following actions based on a received *sl-L2RelayConfig* IE:

1> if the *sl-L2RelayConfig* contains the *sl-RemoteUE-ToReleaseList*:

2> perform the L2 U2N Remote UE release as specified in 5.3.5.x1.2;

1> if the *sl-L2RelayConfig* contains the *sl-RemoteUE-ToAddModList*:

2> perform the L2 U2N Remote UE addition/modification as specified in 5.3.5.x1.3;

##### 5.3.5.x1.2 L2 U2N Remote UE Release

The L2 U2N Relay UE shall:

1> if the release is triggered by reception of the *sl-RemoteUE-ToReleaseList*:

2> for each *sl-L2Identity-Remote* value included in the *sl-RemoteUE-ToReleaseList*:

3> if the current UE has a PC5 RRC connection to a L2 U2N Remote UE with *sl-remote-L2Identity-Remote*:

4> perform the PC5-RRC connection release as specified in 5.8.9.5.

##### 5.3.5.x1.3 L2 U2N Remote UE Addition/Modification

The L2 U2N Relay UE shall:

1> for each *sl-L2Identity-Remote* value included in the *sl-RemoteUE-ToAddModList* that is not part of the current UE configuration (L2 U2N Remote UE Addition):

2> perform the PC5-RRC connection establishment for the specific destination corresponding to the *sl-L2Identity-Remote* value, if needed, as specified in TS 23.304 [x1];

2> configure the parameters to SRAP entity in accordance with the *sl-SRAP-Config-Relay*;

1> for each *sl-L2Identity-Remote* value included in the *sl-RemoteUE-ToAddModList* that is part of the current UE configuration (L2 U2N Remote UE modification):

2> modify the configuration in accordance with the *sl-SRAP-Config-Relay*;

#### 5.3.5.x2 L2 U2N Remote UE configuration

##### 5.3.5.x2.1 General

The network configures the L2 U2N Remote UE with relay operation related configurations, e.g. SRAP configuration.

The UE performs the following actions:

1> if the *sl-L2RemoteConfig* contains the *sl-SRAP-Config-Remote:*

2> configure the parameters to SRAP entity in accordance with the *sl-SRAP-Config-Remote*;

*Next Modified Subclause*

### 5.3.7 RRC connection re-establishment

#### 5.3.7.1 General



Figure 5.3.7.1-1: RRC connection re-establishment, successful



Figure 5.3.7.1-2: RRC re-establishment, fallback to RRC establishment, successful

The purpose of this procedure is to re-establish the RRC connection. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB setup or, for IAB, SRB2, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds if the network is able to find and verify a valid UE context or, if the UE context cannot be retrieved, and the network responds with an *RRCSetup* according to clause 5.3.3.4.

The network applies the procedure e.g as follows:

- When AS security has been activated and the network retrieves or verifies the UE context:

- to re-activate AS security without changing algorithms;

- to re-establish and resume the SRB1;

- When UE is re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context:

- to discard the stored AS Context and release all RBs and BH RLC channels;

- to fallback to establish a new RRC connection.

If AS security has not been activated, the UE shall not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'other'. If AS security has been activated, but SRB2 and at least one DRB or, for IAB, SRB2, are not setup, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'RRC connection failure'.

#### 5.3.7.2 Initiation

The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure of the MCG and *t316* is not configured, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while PSCell change or PSCell addition is ongoing, in accordance with 5.3.10; or

1> upon re-configuration with sync failure of the MCG, in accordance with sub-clause 5.3.5.8.3; or

1> upon mobility from NR failure, in accordance with sub-clause 5.4.3.5; or

1> upon integrity check failure indication from lower layers concerning SRB1 or SRB2, except if the integrity check failure is detected on the *RRCReestablishment* message; or

1> upon an RRC connection reconfiguration failure, in accordance with sub-clause 5.3.5.8.2; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with subclause 5.3.10.3 in NR-DC or in accordance with TS 36.331 [10] subclause 5.3.11.3 in NE-DC; or

1> upon reconfiguration with sync failure of the SCG while MCG transmission is suspended in accordance with subclause 5.3.5.8.3; or

1> upon SCG change failure while MCG transmission is suspended in accordance with TS 36.331 [10] subclause 5.3.5.7a; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with subclause 5.3.5.8.2 in NR-DC or in accordance with TS 36.331 [10] subclause 5.3.5.5 in NE-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG is suspended; or

1> upon T316 expiry, in accordance with sub-clause 5.7.3b.5; or

1> upon detecting sidelink radio link failure by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with subclause 5.8.9.3; or

1> upon reception of *NotificationMessageSidelink* including *indicationType* by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with subclause 5.8.9.x4;

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T304, if running;

1> start timer T311;

1> stop timer T316, if running;

1> if UE is not configured with *conditionalReconfiguration*:

2> reset MAC;

2> release *spCellConfig*, if configured;

2> suspend all RBs, and BH RLC channels for IAB-MT, except SRB0;

2> release the MCG SCell(s), if configured;

2> if MR-DC is configured:

3> perform MR-DC release, as specified in clause 5.3.5.10;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

2> release *idc-AssistanceConfig*, if configured;

2> release *btNameList*, if configured;

2> release *wlanNameList*, if configured;

2> release *sensorNameList*, if configured;

2> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

2> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

2> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

2> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

2> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured stop timer T346e associated with the MCG, if running;

2> release *releasePreferenceConfig*, if configured stop timer T346f, if running;

2> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

2> release *referenceTimePreferenceReporting*, if configured;

2> release *sl-AssistanceConfigNR*, if configured;

2> release *obtainCommonLocation*, if configured;

1> if any DAPS bearer is configured:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the UE is connected with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> perform either cell selection in accordance with the cell selection process as specified in TS 38.304 [20], or relay selection as specified in clause 5.8.x3.3, or both, if needed;

1> else:

2> perform cell selection in accordance with the cell selection process as specified in TS 38.304 [20].

NOTE: For L2 U2N Remote UE, if both a suitable cell and a suitable relay are available, the UE can select either one based on its implementation.

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable NR cell, the UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer T311;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if the cell selection is triggered by detecting radio link failure of the MCG or re-configuration with sync failure of the MCG or mobility from NR failure, and

1> if *attemptCondReconfig* is configured; and

1> if the selected cell is one of the candidate cells for which the *reconfigurationWithSync* is included in the *masterCellGroup* in *VarConditionalReconfig*:

2> apply the stored *condRRCReconfig* associated to the selected cell and perform actions as specified in 5.3.5.3;

NOTE 1: It is left to network implementation to how to avoid keystream reuse in case of CHO based recovery after a failed handover without key change.

1> else:

2> if UE is configured with *conditionalReconfiguration*:

3> reset MAC;

3> release *spCellConfig*, if configured;

3> release the MCG SCell(s), if configured;

3> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

3> release *overheatingAssistanceConfig* , if configured and stop timer T345, if running;

3> if MR-DC is configured:

4> perform MR-DC release, as specified in clause 5.3.5.10;

3> release *idc-AssistanceConfig*, if configured;

3> release *btNameList*, if configured;

3> release *wlanNameList*, if configured;

3> release *sensorNameList*, if configured;

3> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

3> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

3> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

3> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

3> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured and stop timer T346e associated with the MCG, if running;

3> release *releasePreferenceConfig*, if configured and stop timer T346f, if running;

3> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

3> release referenceTimePreferenceReporting, if configured;

3> release *sl-AssistanceConfigNR*, if configured;

3> release *obtainCommonLocation*, if configured;

3> suspend all RBs, except SRB0;

2> remove all the entries within *VarConditionalReconfig*, if any;

2> for each *measId*, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> for the associated *reportConfigId*:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> start timer T301;

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

2> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE 2: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.3a Actions following relay selection while [T311] is running

Upon selecting a suitable L2 U2N Relay UE, the L2 U2N Remote UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer [T311];

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> start timer [T301];

1> apply the specified configuration of SL-RLC0 as specified in 9.1.1.4;

1> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4.

#### 5.3.7.4 Actions related to transmission of *RRCReestablishmentRequest* message

The UE shall set the contents of *RRCReestablishmentRequest* message as follows:

1> if the procedure was initiated due to radio link failure as specified in 5.3.10.3 or reconfiguration with sync failure as specified in 5.3.5.8.3:

2> set the *reestablishmentCellId* in the *VarRLF-Report* to the global cell identity of the selected cell;

1> set the *ue-Identity* as follows:

2> set the *c-RNTI* to the C-RNTI used in the source PCell (reconfiguration with sync or mobility from NR failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *physCellId* to the physical cell identity of the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input*;

3> with the KRRCint key and integrity protection algorithm that was used in the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> set the *reestablishmentCause* as follows:

2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.8.2:

3> set the *reestablishmentCause* to the value *reconfigurationFailure*;

2> else if the re-establishment procedure was initiated due to reconfiguration with sync failure as specified in 5.3.5.8.3 (intra-NR handover failure) or 5.4.3.5 (inter-RAT mobility from NR failure):

3> set the *reestablishmentCause* to the value *handoverFailure*;

2> else:

3> set the *reestablishmentCause* to the value *otherFailure*;

1> re-establish PDCP for SRB1;

1> if the UE is connected with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the default configuration of SL-RLC1 as defined in 9.2.x for SRB1;

1> else:

2> re-establish RLC for SRB1;

2> apply the default configuration defined in 9.2.1 for SRB1;

1> configure lower layers to suspend integrity protection and ciphering for SRB1;

NOTE: Ciphering is not applied for the subsequent *RRCReestablishment* message used to resume the connection. An integrity check is performed by lower layers, but merely upon request from RRC.

1> resume SRB1;

1> submit the *RRCReestablishmentRequest* message to lower layers for transmission.

*Next Modified Subclause*

### 5.3.13 RRC connection resume

#### 5.3.13.1 General



Figure 5.3.13.1-1: RRC connection resume, successful



Figure 5.3.13.1-2: RRC connection resume fallback to RRC connection establishment, successful



Figure 5.3.13.1-3: RRC connection resume followed by network release, successful



Figure 5.3.13.1-4: RRC connection resume followed by network suspend, successful



Figure 5.3.13.1-5: RRC connection resume, network reject

The purpose of this procedure is to resume a suspended RRC connection, including resuming SRB(s) and DRB(s) or perform an RNA update.

#### 5.3.13.1a Conditions for resuming RRC Connection for NR sidelink communication/discovery/V2X sidelink communication

For NR sidelink communication/discovery an RRC connection is resumed only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication/discovery and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency; or

2> if the frequency on which the UE is configured to transmit NR sidelink discovery is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for the concerned frequency;

For L2 U2N Relay UE in RRC\_INACTIVE, an RRC connection establishment is resumed in the following cases:

1> if any message is received from the L2 U2N Remote UE via SL-RLC0;

For V2X sidelink communication an RRC connection resume is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection resume. The interaction with NAS is left to UE implementation.

#### 5.3.13.2 Initiation

The UE initiates the procedure when upper layers or AS (when responding to RAN paging, upon triggering RNA updates while the UE is in RRC\_INACTIVE, or for NR sidelink communication/V2X sidelink communication as specified in sub-clause 5.3.13.1a) requests the resume of a suspended RRC connection.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:

2> select '0' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> else if the resumption of the RRC connection is triggered by upper layers:

2> if the upper layers provide an Access Category and one or more Access Identities:

3> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

2> if the resumption occurs after release with redirect with *mpsPriorityIndication*:

3> set the resumeCause to mps-PriorityAccess;

2> else:

3> set the *resumeCause* in accordance with the information received from upper layers;

1> else if the resumption of the RRC connection is triggered due to an RNA update as specified in 5.3.13.8:

2> if an emergency service is ongoing:

NOTE: How the RRC layer in the UE is aware of an ongoing emergency service is up to UE implementation.

3> select '2' as the Access Category;

3> set the *resumeCause* to *emergency*;

2> else:

3> select '8' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [23];

3> if the access attempt is barred:

4> set the variable *pendingRNA-Update* to *true*;

4> the procedure ends;

1> if the UE is in NE-DC or NR-DC:

2> if the UE does not support maintaining SCG configuration upon connection resumption:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

1> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:

2> release the MCG SCell(s) from the UE Inactive AS context, if stored;

1> if the UE is connected with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the default configuration of SL-RLC1 as defined in 9.2.x for SRB1;

1> else:

2> apply the default L1 parameter values as specified in corresponding physical layer specifications, except for the parameters for which values are provided in *SIB1*;

2> apply the default SRB1 configuration as specified in 9.2.1;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

1> release *delayBudgetReportingConfig* from the UE Inactive AS context, if stored;

1> stop timer T342, if running;

1> release *overheatingAssistanceConfig* from the UE Inactive AS context, if stored;

1> stop timer T345, if running;

1> release *idc-AssistanceConfig* from the UE Inactive AS context, if stored;

1> release *drx-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346a, if running;

1> release *maxBW-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346b, if running;

1> release *maxCC-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346c, if running;

1> release *maxMIMO-LayerPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346d, if running;

1> release *minSchedulingOffsetPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346e, if running;

1> release *releasePreferenceConfig* from the UE Inactive AS context, if stored;

1> release *wlanNameList* from the UE Inactive AS context, if stored;

1> release *btNameList* from the UE Inactive AS context, if stored;

1> release *sensorNameList* from the UE Inactive AS context, if stored;

1> release *obtainCommonLocation* from the UE Inactive AS context, if stored;

1> stop timer T346f, if running;

1> release *referenceTimePreferenceReporting* from the UE Inactive AS context, if stored;

1> release *sl-AssistanceConfigNR* from the UE Inactive AS context, if stored;

1> if the UE is connected with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the specified configuration of SL-RLC0 used for the delivery of RRC message over SRB0 as defined in 9.1.1.4;

1> else:

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T319;

1> set the variable *pendingRNA-Update* to *false*;

1> initiate transmission of the *RRCResumeRequest* message or *RRCResumeRequest1* in accordance with 5.3.13.3.

#### 5.3.13.3 Actions related to transmission of *RRCResumeRequest* or *RRCResumeRequest1* message

The UE shall set the contents of *RRCResumeRequest* or *RRCResumeRequest1* message as follows:

1> if field *useFullResumeID* is signalled in *SIB1*:

2> select *RRCResumeRequest1* as the message to use;

2> set the *resumeIdentity* to the stored *fullI-RNTI* value;

1> else:

2> select *RRCResumeRequest* as the message to use;

2> set the *resumeIdentity* to the stored *shortI-RNTI* value;

1> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the KgNB and KRRCint keys from the stored UE Inactive AS context except for the following:

- masterCellGroup;

- mrdc-SecondaryCellGroup, if stored; and

- pdcp-Config;

1> set the *resumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarResumeMAC-Input*;

2> with the KRRCint key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and

2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> derive the KgNB key based on the current KgNB key or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [11];

1> derive the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

1> configure lower layers to apply integrity protection for all radio bearers except SRB0 using the configured algorithm and the KRRCint key and KUPint key derived in this subclause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

NOTE 1: Only DRBs with previously configured UP integrity protection shall resume integrity protection.

1> configure lower layers to apply ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the KRRCenc key and the KUPenc key derived in this subclause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> re-establish PDCP entities for SRB1;

1> resume SRB1;

1> submit the selected message *RRCResumeRequest* or *RRCResumeRequest1* for transmission to lower layers.

NOTE 2: Only DRBs with previously configured UP ciphering shall resume ciphering.

If lower layers indicate an integrity check failure while T319 is running, perform actions specified in 5.3.13.5.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.13.6.

NOTE: For L2 U2N Remote UE in RRC\_IDLE/INACTIVE, the cell (re)selection procedure as specified in TS 38.304 [20] and relay (re)selection procedure as specified in 5.8.x3.3 could go independently and up to UE implementation to select either a cell or a L2 U2N Relay UE.

#### 5.3.13.4 Reception of the *RRCResume* by the UE

The UE shall:

1> stop timer T319;

1> stop timer T380, if running;

1> if T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.7.8.3;

1> if the *RRCResume* includes the *fullConfig*:

2> perform the full configuration procedure as specified in 5.3.5.11;

1> else:

2> if the *RRCResume* does not include the *restoreMCG-SCells*:

3> release the MCG SCell(s) from the UE Inactive AS context, if stored;

2> if the *RRCResume* does not include the *restoreSCG*:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

2> restore the *masterCellGroup, mrdc-SecondaryCellGroup*, if stored, and *pdcp-Config* from the UE Inactive AS context;

2> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

1> discard the UE Inactive AS context;

1> release the *suspendConfig* except the *ran-NotificationAreaInfo*;

1> if the *RRCResume* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCResume* includes the *mrdc-SecondaryCellGroup:*

2> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

3> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

2> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

3> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

1> if the *RRCResume* includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCResume* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> resume SRB2, SRB3 (if configured), and all DRBs;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T320, if running;

1> if the *RRCResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> resume measurements if suspended;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> stop relay re-selection procedure if any for L2 U2N Remote UE;

1> consider the current cell to be the PCell;

1> set the content of the of *RRCResumeComplete* message as follows:

2> if the upper layer provides NAS PDU, set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if upper layers provides a PLMN and UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured uplink carrier aggregation in the MCG;

2> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:

3> if the *idleModeMeasurementReq* is included in the *RRCResume* message:

4> set the *measResultIdleEUTRA* in the *RRCResumeComplete* message to the value of *measReportIdleEUTRA* in the *VarMeasIdleReport,* if available;

4> set the *measResultIdleNR* in the *RRCResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

4> discard the *VarMeasIdleReport* upon successful delivery of the *RRCResumeComplete* message is confirmed by lower layers;

3> else:

4> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

4> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

5> include the *idleMeasAvailable*;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the SCG *RRCReconfigurationComplete* message;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCResumeComplete* message*;*

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCResumeComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCResumeComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCResumeComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCResumeComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCResumeComplete* message;

2> if *speedStateReselectionPars* is configured in the *SIB2*:

3> include the *mobilityState* in the *RRCResumeComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

2> if the UE is configured to provide the measurement gap requirement information of NR target bands:

3> include the *NeedForGapsInfoNR* and set the contents as follows:

4> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

4> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> submit the *RRCResumeComplete* message to lower layers for transmission;

1> the procedure ends.

#### 5.3.13.5 T319 expiry or Integrity check failure from lower layers while T319 is running

The UE shall:

1> if timer T319 expires:

2> if the UE has connection establishment failure information or connection resume failure informaton available in *VarConnEstFailReport* and if the RPLMN is not equal to plmn-identity stored in *VarConnEstFailReport*; or

2> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport*:

3> reset the *numberOfConnFail* to 0;

2> clear the content included in *VarConnEstFailReport* except for the *numberOfConnFail*, if any;

2> store the following connection resume failure information in the *VarConnEstFailReport* by setting its fields as follows:

3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in *SIB1*;

3> set the *measResultFailedCell* to include the global cell identity, tracking area code, the cell level and SS/PBCH block level RSRP, and RSRQ, and SS/PBCH block indexes, of the failed cell based on the available SSB measurements collected up to the moment the UE detected connection resume failure;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies per RAT and according to the following:

4> for each neighbour cell included, include the optional fields that are available;

NOTE: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 38.133 [14].

3> if available, set the *locationInfo* as in 5.3.3.7;

3> set *perRAInfoList* to indicate the performed random access procedure related information as specified in 5.7.10.5;

3> if *numberOfConnFail* is smaller than 8:

4> increment the *numberOfConnFail* by 1;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

1> else if upon receiving Integrity check failure indication from lower layers while T319 is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

The UE may discard the connection resume failure or connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the last connection resume failure is detected.

#### 5.3.13.6 Cell re-selection or cell selection while T390, T319 or T302 is running (UE in RRC\_INACTIVE)

The UE shall:

1> if cell reselection occurs while T319 or T302 is running, or relay reselection occurs while [T319] or [T302] is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure';

1> else if cell selection or reselection occurs while T390 is running, or relay selection or reselection occurs while [T390] is running:

2> stop T390 for all access categories;

2> perform the actions as specified in 5.3.14.4.

*Next Modified Subclause*

## 5.5 Measurements

### 5.5.1 Introduction

The network may configure an RRC\_CONNECTED UE to perform measurements. The network may configure the UE to report them in accordance with the measurement configuration or perform conditional reconfiguration evaluation in accordance with the conditional reconfiguration. The measurement configuration is provided by means of dedicated signalling i.e. using the *RRCReconfiguration* or *RRCResume.*

The network may configure the UE to perform the following types of measurements:

- NR measurements;

- Inter-RAT measurements of E-UTRA frequencies.

- Inter-RAT measurements of UTRA-FDD frequencies.

- NR sidelink measurements of L2 U2N Relay UEs.

The network may configure the UE to report the following measurement information based on SS/PBCH block(s):

- Measurement results per SS/PBCH block;

- Measurement results per cell based on SS/PBCH block(s);

- SS/PBCH block(s) indexes.

The network may configure the UE to report the following measurement information based on CSI-RS resources:

- Measurement results per CSI-RS resource;

- Measurement results per cell based on CSI-RS resource(s);

- CSI-RS resource measurement identifiers.

The network may configure the UE to perform the following types of measurements for NR sidelink and V2X sidelink:

- CBR measurements.

The network may configure the UE to report the following CLI measurement information based on SRS resources:

- Measurement results per SRS resource;

- SRS resource(s) indexes.

The network may configure the UE to report the following CLI measurement information based on CLI-RSSI resources:

- Measurement results per CLI-RSSI resource;

- CLI-RSSI resource(s) indexes.

The measurement configuration includes the following parameters:

**1. Measurement objects:** A list of objects on which the UE shall perform the measurements.

- For intra-frequency and inter-frequency measurements a measurement object indicates the frequency/time location and subcarrier spacing of reference signals to be measured. Associated with this measurement object, the network may configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting. Whitelisted cells are the only ones applicable in event evaluation or measurement reporting.

- The *measObjectId* of the MO which corresponds to each serving cell is indicated by *servingCellMO* within the serving cell configuration.

- For inter-RAT E-UTRA measurements a measurement object is a single E-UTRA carrier frequency. Associated with this E-UTRA carrier frequency, the network can configure a list of cell specific offsets and a list of 'blacklisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting.

- For inter-RAT UTRA-FDD measurements a measurement object is a set of cells on a single UTRA-FDD carrier frequency.

- For NR sidelink measurements of L2 U2N Relay UEs, a measurement object is a single NR sidelink frequency to be measured.

- For CBR measurement of NR sidelink communication, a measurement object is a set of transmission resource pool(s) on a single carrier frequency for NR sidelink communication.

- For CLI measurements a measurement object indicates the frequency/time location of SRS resources and/or CLI-RSSI resources, and subcarrier spacing of SRS resources to be measured.

**2. Reporting configurations:** A list of reporting configurations where there can be one or multiple reporting configurations per measurement object. Each measurement reporting configuration consists of the following:

- Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.

- RS type: The RS that the UE uses for beam and cell measurement results (SS/PBCH block or CSI-RS).

- Reporting format: The quantities per cell and per beam that the UE includes in the measurement report (e.g. RSRP) and other associated information such as the maximum number of cells and the maximum number beams per cell to report.

In case of conditional reconfiguration, each configuration consists of the following:

- Execution criteria: The criteria the UE uses for conditional reconfiguration execution.

- RS type: The RS that the UE uses for obtaining beam and cell measurement results (SS/PBCH block-based or CSI-RS-based), used for evaluating conditional reconfiguration execution condition.

**3. Measurement identities:** For measurement reporting, a list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities, it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is also included in the measurement report that triggered the reporting, serving as a reference to the network. For conditional reconfiguration triggering, one measurement identity links to exactly one conditional reconfiguration trigger configuration. And up to 2 measurement identities can be linked to one conditional reconfiguration execution condition.

**4. Quantity configurations:** The quantity configuration defines the measurement filtering configuration used for all event evaluation and related reporting, and for periodical reporting of that measurement. For NR measurements, the network may configure up to 2 quantity configurations with a reference in the NR measurement object to the configuration that is to be used. In each configuration, different filter coefficients can be configured for different measurement quantities, for different RS types, and for measurements per cell and per beam.

**5. Measurement gaps:** Periods that the UE may use to perform measurements.

A UE in RRC\_CONNECTED maintains a measurement object list, a reporting configuration list, and a measurement identities list according to signalling and procedures in this specification. The measurement object list possibly includes NR measurement object(s), CLI measurement object(s), inter-RAT objects, and L2 U2N Relay objects. Similarly, the reporting configuration list includes NR, inter-RAT, and L2 U2N Relay reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The NR serving cell(s) – these are the SpCell and one or more SCells.

2. Listed cells – these are cells listed within the measurement object(s).

3. Detected cells – these are cells that are not listed within the measurement object(s) but are detected by the UE on the SSB frequency(ies) and subcarrier spacing(s) indicated by the measurement object(s).

For NR measurement object(s), the UE measures and reports on the serving cell(s)/serving Relay UE (for L2 U2N Remote UE), listed cells and/or detected cells. For inter-RAT measurements object(s) of E-UTRA, the UE measures and reports on listed cells and detected cells and, for RSSI and channel occupancy measurements, the UE measures and reports on the configured resources on the indicated frequency. For inter-RAT measurements object(s) of UTRA-FDD, the UE measures and reports on listed cells. For CLI measurement object(s), the UE measures and reports on configured measurement resources (i.e. SRS resources and/or CLI-RSSI resources). For L2 U2N Relay object(s), the UE measures and reports on the serving NR cell(s), as well as the detected L2 U2N Relay UEs.

Whenever the procedural specification, other than contained in sub-clause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

In NR-DC, the UE may receive two independent *measConfig*:

- a *measConfig*, associated with MCG, that is included in the *RRCReconfiguration* message received via SRB1; and

- a *measConfig*, associated with SCG, that is included in the *RRCReconfiguration* message received via SRB3, or, alternatively, included within a *RRCReconfiguration* message embedded in a *RRCReconfiguration* message received via SRB1.

In this case, the UE maintains two independent *VarMeasConfig* and *VarMeasReportList*, one associated with each *measConfig*, and independently performs all the procedures in clause 5.5 for each *measConfig* and the associated *VarMeasConfig* and *VarMeasReportList*, unless explicitly stated otherwise.

The configurations related to CBR measurments are only included in the *measConfig* associated with MCG.

*Next Modified Subclause*

5.5.3 Performing measurements

5.5.3.1 General

An RRC\_CONNECTED UE shall derive cell measurement results by measuring one or multiple beams associated per cell as configured by the network, as described in 5.5.3.3. For all cell measurement results, except for RSSI, and CLI measurement results in RRC\_CONNECTED, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, measurement reporting or the criteria to trigger conditional reconfiguration execution. For cell measurements, the network can configure RSRP, RSRQ, SINR, RSCP or EcN0 as trigger quantity. For CLI measurements, the network can configure SRS-RSRP or CLI-RSSI as trigger quantity. For cell and beam measurements, reporting quantities can be any combination of quantities (i.e. only RSRP; only RSRQ; only SINR; RSRP and RSRQ; RSRP and SINR; RSRQ and SINR; RSRP, RSRQ and SINR; only RSCP; only EcN0; RSCP and EcN0), irrespective of the trigger quantity, and for CLI measurements, reporting quantities can be either SRS-RSRP or CLI-RSSI. For conditional reconfiguration execution, the network can configure up to 2 quantities, both using same RS type. The UE does not apply the layer 3 filtering as specified in 5.5.3.2 to derive the CBR measurements.

The network may also configure the UE to report measurement information per beam (which can either be measurement results per beam with respective beam identifier(s) or only beam identifier(s)), derived as described in 5.5.3.3a. If beam measurement information is configured to be included in measurement reports, the UE applies the layer 3 beam filtering as specified in 5.5.3.2. On the other hand, the exact L1 filtering of beam measurements used to derive cell measurement results is implementation dependent.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell for which *servingCellMO* is configured as follows:

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *ssb*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell measurement results based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *csi-rs*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell measurement results based on CSI-RS, as described in 5.5.3.3;

1> for each serving cell for which *servingCellMO* is configured, if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains SINR as trigger quantity and/or reporting quantity:

2> if the *reportConfig* contains *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell SINR based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* contains *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell SINR based on CSI-RS, as described in 5.5.3.3;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *reportType* for the associated *reportConfig* is set to *reportCGI* and timer T321 is running:

3> if *useAutonomousGaps* is configured for the associated *reportConfig*:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

3> else:

4> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods;

3> if the cell indicated by *reportCGI* field for the associated *measObject* is an NR cell and that indicated cell is broadcasting *SIB1* (see TS 38.213 [13], clause 13):

4> try to acquire *SIB1* in the concerned cell;

3> if the cell indicated by *reportCGI* field is an E-UTRA cell:

4> try to acquire *SystemInformationBlockType1* in the concerned cell;

2> if the *ul-DelayValueConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject;*

3> for each of the configured DRBs*,* configure the PDCP layer to perform corresponding average UL PDCP packet delay measurement per DRB;

2> if the *reportType* for the associated *reportConfig* is *periodical*, *eventTriggered* or *condTriggerConfig*:

3> if a measurement gap configuration is setup, or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-MeasureConfig* is not configured, or

4> if *s-MeasureConfig* is set to *ssb-RSRP* and the NR SpCell RSRP based on SS/PBCH block, after layer 3 filtering, is lower than *ssb-RSRP,* or

4> if *s-MeasureConfig* is set to *csi-RSRP* and the NR SpCell RSRP based on CSI-RS, after layer 3 filtering, is lower than *csi-RSRP*:

5> if the *measObject* is associated to NR and the *rsType* is set to *csi-rs*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 filtered beam measurements only based on CSI-RS for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on CSI-RS for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to NR and the *rsType* is set to *ssb*:

6> if reportQuantityRS-Indexes and maxNrofRS-IndexesToReport for the associated reportConfig are configured:

7> derive layer 3 beam measurements only based on SS/PBCH block for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on SS/PBCH block for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to E-UTRA:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

5> if the measObject is associated to UTRA-FDD:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

5> if the measObject is associated to L2 U2N Relay UE:

6> perform the corresponding measurements associated to candidate Relay UEs on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.x;

4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:

5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is set to *reportSFTD* and the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than one:

3> if the *reportSFTD-Meas* is set to *true:*

4> if the *measObject* is associated to E-UTRA:

5> perform SFTD measurements between the PCell and the E-UTRA PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the E-UTRA PSCell;

4> else if the *measObject* is associated to NR:

5> perform SFTD measurements between the PCell and the NR PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the NR PSCell based on SSB;

3> else if the *reportSFTD-NeighMeas* is included*:*

4> if the *measObject* is associated to NR:

5> if the *drx-SFTD-NeighMeas* is included:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject* using available idle periods;

5> else:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

5> if the *reportRSRP* is set to *true*:

6> perform RSRP measurements based on SSB for the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

2> if the *reportType* for the associated *reportConfig* is *cli-Periodical* or *cli-EventTriggered*:

3> perform the corresponding measurements associated to CLI measurement resources indicated in the concerned *measObjectCLI*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condTriggerConfig*.

NOTE 1: The evaluation of conditional reconfiguration execution criteria is specified in 5.3.5.13.

The UE capable of CBR measurement when configured to transmit NR sidelink communication shall:

1> If the frequency used for NR sidelink communication is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message or includedin *sl-ConfigCommonNR* within *SIB12*:

2> if the UE is in RRC\_IDLE or in RRC\_INACTIVE:

3> if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pools in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

2> if the UE is in RRC\_CONNECTED:

3> if *tx-PoolMeasToAddModList* is included in *VarMeasConfig*:

4> perform CBR measurements on each transmission resource pool indicated in the *tx-PoolMeasToAddModList*;

3> if *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* is included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*:

4> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* if included in *sl-ConfigDedicatedNR* for the concerned frequency within *RRCReconfiguration*;

3> else if the cell chosen for NR sidelink communication provides *SIB12* which includes *sl-TxPoolSelectedNormal* or *sl-TxPoolExceptional* forthe concerned frequency:

4> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for the concerned frequency in *SIB12*;

1> else:

2> perform CBR measurement on pool(s) in *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* in *SidelinkPreconfigNR* for the concerned frequency.

NOTE 2: In case the configurations for NR sidelink communication and CBR measurement are acquired via the E-UTRA, configurations for NR sidelink communication in *SIB12*, *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in this subclause are provided by the configurations in *SystemInformationBlockType28*, *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331[10], respectively.

NOTE 3: If a UE that is configured by upper layers to transmit V2X sidelink communication is configured by NR with transmission resource pool(s) and the measurement objects concerning V2X sidelink communication (i.e. by *sl-ConfigDedicatedEUTRA-Info*), it shall perform CBR measurement as specified in subclause 5.5.3 of TS 36.331 [10], based on the transmission resource pool(s) and the measurement object(s) concerning V2X sidelink communication configured by NR.

NOTE 4: For V2X sidelink communication, each of the CBR measurement results is associated with a resource pool, as indicated by the *poolReportId* (see TS 36.331 [10]), that refers to a pool as included in *sl-ConfigDedicatedEUTRA-Info* or *SIB13*.

5.5.3.2 Layer 3 filtering

The UE shall:

1> for each cell measurement quantity, each beam measurement quantity, each sidelink measurement quantity as needed in sub-clause 5.8.10, for each CLI measurement quantity that the UE performs measurements according to 5.5.3.1, and for each candidate L2 U2N Relay UE measurement quantity according to 5.5.3.x:

2> filter the measured result, before using for evaluation of reporting criteria or for measurement reporting, by the following formula:

***F*n = (1 – *a*)\**F*n-1 + *a*\**M*n**

where

***Mn*** is the latest received measurement result from the physical layer;

***Fn*** is the updated filtered measurement result, that is used for evaluation of reporting criteria or for measurement reporting;

***Fn-1*** is the old filtered measurement result, where ***F0*** is set to ***M1*** when the first measurement result from the physical layer is received; and for *MeasObjectNR*, ***a*** = 1/2(***ki***/4), where ***ki*** is the *filterCoefficient* for the corresponding measurement quantity of the i:th *QuantityConfigNR* in *quantityConfigNR-List*, and *i* is indicated by *quantityConfigIndex* in *MeasObjectNR*; for other measurements, ***a*** = 1/2(***k***/4), where ***k*** is the *filterCoefficient* for the corresponding measurement quantity received by the *quantityConfig*; for UTRA-FDD, a = 1/2(k/4), where k is the filterCoefficient for the corresponding measurement quantity received by *quantityConfigUTRA-FDD* in the *QuantityConfig*;

2> adapt the filter such that the time characteristics of the filter are preserved at different input rates, observing that the *filterCoefficient k* assumes a sample rate equal to X ms; The value of X is equivalent to one intra-frequency L1 measurement period as defined in TS 38.133 [14] assuming non-DRX operation, and depends on frequency range.

NOTE 1: If ***k*** is set to 0, no layer 3 filtering is applicable.

NOTE 2: The filtering is performed in the same domain as used for evaluation of reporting criteria or for measurement reporting, i.e., logarithmic filtering for logarithmic measurements.

NOTE 3: The filter input rate is implementation dependent, to fulfil the performance requirements set in TS 38.133 [14]. For further details about the physical layer measurements, see TS 38.133 [14].

NOTE 4: For CLI-RSSI measurement, it is up to UE implementation whether to reset filtering upon BWP switch.

*Next Modified Subclause*

#### 5.5.3.x Derivation of L2 U2N Relay UE measurement results

A UE may be configured by network to derive NR sidelink measurement results of serving L2 Relay UE or candidate L2 U2N Relay UEs associated to the measurement objects configured in the *measObjectRelay*.

The UE shall:

1> for each L2 U2N Relay UE measurement quantity to be derived:

2> derive the corresponding measurement quantity based on DMRS as described in TS 38.215 [9] of the L2 U2N Relay UE associated to the NR sidelink frequency indicated in the concerned *measObjectRelay*;

2> apply layer 3 filtering as described in 5.5.3.2;

### 5.5.4 Measurement report triggering

#### 5.5.4.1 General

If AS security has been activated successfully, the UE shall:

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the corresponding *reportConfig* includes a *reportType* set to *eventTriggered* or *periodical*:

3> if the corresponding *measObject* concerns NR:

4> if the corresponding *reportConfig* includes *measRSSI-ReportConfig*:

5> consider the resource indicated by the *rmtc-Config* on the associated frequency to be applicable;

4> if the *eventA1* or *eventA2* is configured in the corresponding *reportConfig*:

5> consider only the serving cell to be applicable;

4> if the *eventA3* or *eventA5* is configured in the corresponding *reportConfig*:

5> if a serving cell is associated with a *measObjectNR* and neighbours are associated with another *measObjectNR*, consider any serving cell associated with the other *measObjectNR* to be a neighbouring cell as well;

4> if corresponding *reportConfig* includes *reportType* set to *periodical*; or

4> for measurement events other than *eventA1* or *eventA2*:

5> if *useWhiteCellList* is set to *true*:

6> consider any neighbouring cell detected based on parameters in the associated *measObjectNR* to be applicable when the concerned cell is included in the *whiteCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

5> else:

6> consider any neighbouring cell detected based on parameters in the associated *measObjectNR* to be applicable when the concerned cell is not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns E-UTRA:

4> if *eventB1* or *eventB2* is configured in the corresponding *reportConfig*:

5> consider a serving cell, if any, on the associated E-UTRA frequency as neighbour cell;

4> consider any neighbouring cell detected on the associated frequency to be applicable when the concerned cell is not included in the *blackCellsToAddModListEUTRAN* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns UTRA-FDD:

4> if *eventB1-UTRA-FDD* or *eventB2-UTRA-FDD* is configured in the corresponding *reportConfig*; or

4> if corresponding *reportConfig* includes *reportType* set to *periodical*:

5> consider a neighbouring cell on the associated frequency to be applicable when the concerned cell is included in the *cellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns L2 U2N Relay UE:

4> if *eventB2-Relay* is configured in the corresponding *reportConfig*; or

4> if corresponding *reportConfig* includes *reportType* set to *periodical*:

5> consider any L2 U2N Relay UE detected on the associated frequency to be applicable for this *measId*;

2> else if the corresponding *reportConfig* includes a *reportType* set to *reportCGI*:

3> consider the cell detected on the associated *measObject* which has a physical cell identity matching the value of the *cellForWhichToReportCGI* included in the corresponding *reportConfig* within the *VarMeasConfig* to be applicable;

2> else if the corresponding *reportConfig* includes a *reportType* set to *reportSFTD*:

3> if the corresponding *measObject* concerns NR:

4> if the *reportSFTD-Meas* is set to *true*:

5> consider the NR PSCell to be applicable;

4> else if the *reportSFTD-NeighMeas* is included:

5> if *cellsForWhichToReportSFTD* is configured in the corresponding *reportConfig*:

6> consider any NR neighbouring cell detected on the associated *measObjectNR* which has a physical cell identity that is included in the *cellsForWhichToReportSFTD* to be applicable;

5> else:

6> consider up to 3 strongest NR neighbouring cells detected based on parameters in the associated *measObjectNR* to be applicable when the concerned cells are not included in the *blackCellsToAddModList* defined within the *VarMeasConfig* for this *measId*;

3> else if the corresponding *measObject* concerns E-UTRA:

4> if the *reportSFTD-Meas* is set to *true*:

5> consider the E-UTRA PSCell to be applicable;

2> else if the corresponding *reportConfig* includes a *reportType* set to *cli-Periodical or cli-EventTriggered*:

3> consider all CLI measurement resources included in the corresponding *measObject* to be applicable;

2> if the corresponding *reportConfig* concerns the reporting for NR sidelink communication (i.e. *reportConfigNR-SL*):

3> consider the transmission resource pools indicated by the *tx-PoolMeasToAddModList* defined within the *VarMeasConfig* for this *measId* to be applicable;

2> if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first cell triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *useT312* is set to *true* in *reportConfig* for this event:

4> if T310 for the corresponding SpCell is running; and

4> if T312 is not running for corresponding SpCell:

5> start timer T312 for the corresponding SpCell with the value of T312 configured in the corresponding *measObjectNR*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable cells not included in the *cellsTriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent cell triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *useT312* is set to *true* in *reportConfig* for this event:

4> if T310 for the corresponding SpCell is running; and

4> if T312 is not running for corresponding SpCell:

5> start timer T312 for the corresponding SpCell with the value of T312 configured in the corresponding *measObjectNR*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the leaving condition applicable for this event is fulfilled for one or more of the cells included in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned cell(s) in the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *reportOnLeave* is set to *true* for the corresponding reporting configuration:

4> initiate the measurement reporting procedure, as specified in 5.5.5;

3> if the *cellsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running;

2> if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable L2 U2N Relay UEs for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first L2 U2N Relay UE triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned L2 U2N Relay UE(s) in the *relaysTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *useT312* is set to *true* in *reportConfig* for this event:

4> if T310 for the corresponding SpCell is running; and

4> if T312 is not running for corresponding SpCell:

5> start timer T312 for the corresponding SpCell with the value of T312 configured in the corresponding *measObjectNR*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

*Editor’s note: the handling of useT312 can be revised if issues are identified later.*

2> else if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable L2 U2N Relay UEs not included in the *relaysTriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent L2 U2N Relay UE triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned L2 U2N Relay UE(s) in the *relaysTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *useT312* is set to *true* in *reportConfig* for this event:

4> if T310 for the corresponding SpCell is running; and

4> if T312 is not running for corresponding SpCell:

5> start timer T312 for the corresponding SpCell with the value of T312 configured in the corresponding *measObjectNR*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the leaving condition applicable for this event is fulfilled for one or more of the L2 U2N Relay UEs included in the *relaysTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned L2 U2N Relay UE(s) in the *relaysTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *reportOnLeave* is set to *true* for the corresponding reporting configuration:

4> initiate the measurement reporting procedure, as specified in 5.5.5;

3> if the *relaysTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running;

2> else if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include an measurement reporting entry for this *measId* (a first transmission resource pool triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable transmission resource pools not included in the *poolsTriggeredList* for all measurements taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent transmission resource pool triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *eventTriggered* and if the leaving condition applicable for this event is fulfilled for one or more applicable transmission resource pools included in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned transmission resource pool(s) in the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if the *poolsTriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this *measId*, if running

NOTE 1: Void.

2> if *reportType* is set to *periodical* and if a (first) measurement result is available:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> if the corresponding *reportConfig* includes *measRSSI-ReportConfig*:

4> initiate the measurement reporting procedure as specified in 5.5.5 immediately when RSSI sample values are reported by the physical layer after the first L1 measurement duration;

3> else if the corresponding *reportConfig* includes the *ul-DelayValueConfig*:

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after a first measurement result is provided from lower layers of the associated DRB identity;

3> else if the *reportAmount* exceeds 1:

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the NR SpCell or for the serving L2 U2N Relay UE (if the UE is a L2 U2N Remote UE);

3> else (i.e. the *reportAmount* is equal to 1):

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the NR SpCell and for the strongest cell among the applicable cells, or for the NR SpCell and for the strongest L2 U2N Relay UEs among the applicable L2 U2N Relay UEs; or initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the serving L2 U2N Relay UE and for the strongest cell among the applicable cells (if the UE is a L2 U2N Remote UE);

2> if, in case the corresponding *reportConfig* concerns the reporting for NR sidelink communication, *reportType* is set to *periodical* and if a (first) measurement result is available:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the NR SpCell and CBR measurement results become available;

2> if the *reportType* is set to *cli-EventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more applicable CLI measurement resources for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig*, while the *VarMeasReportList* does not include a measurement reporting entry for this *measId* (a first CLI measurement resource triggers the event):

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned CLI measurement resource(s) in the *cli-TriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *cli-EventTriggered* and if the entry condition applicable for this event, i.e. the event corresponding with the *eventId* of the corresponding *reportConfig* within *VarMeasConfig*, is fulfilled for one or more CLI measurement resources not included in the *cli-TriggeredList* for all measurements after layer 3 filtering taken during *timeToTrigger* defined for this event within the *VarMeasConfig* (a subsequent CLI measurement resource triggers the event):

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> include the concerned CLI measurement resource(s) in the *cli-TriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> initiate the measurement reporting procedure, as specified in 5.5.5;

2> else if the *reportType* is set to *cli-EventTriggered* and if the leaving condition applicable for this event is fulfilled for one or more of the CLI measurement resources included in the *cli-TriggeredList* defined within the *VarMeasReportList* for this *measId* for all measurements after layer 3 filtering taken during *timeToTrigger* defined within the *VarMeasConfig* for this event:

3> remove the concerned CLI measurement resource(s) in the *cli-TriggeredList* defined within the *VarMeasReportList* for this *measId*;

3> if *reportOnLeave* is set to *true* for the corresponding reporting configuration:

4> initiate the measurement reporting procedure, as specified in 5.5.5;

3> if the *cli-TriggeredList* defined within the *VarMeasReportList* for this *measId* is empty:

4> remove the measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> stop the periodical reporting timer for this measId, if running;

2> if *reportType* is set to *cli-Periodical* and if a (first) measurement result is available:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for at least one CLI measurement resource;

2> upon expiry of the periodical reporting timer for this *measId*:

3> initiate the measurement reporting procedure, as specified in 5.5.5.

2> if the corresponding *reportConfig* includes a *reportType* is set to *reportSFTD*:

3> if the corresponding *measObject* concerns NR:

4> if the *drx-SFTD-NeighMeas* is included:

5> if the quantity to be reported becomes available for each requested pair of PCell and NR cell:

6> stop timer T322;

6> initiate the measurement reporting procedure, as specified in 5.5.5;

4> else

5> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for each requested pair of PCell and NR cell or the maximal measurement reporting delay as specified in TS 38.133 [14];

3> else if the corresponding *measObject* concerns E-UTRA:

4> initiate the measurement reporting procedure, as specified in 5.5.5, immediately after the quantity to be reported becomes available for the pair of PCell and E-UTRA PSCell or the maximal measurement reporting delay as specified in TS 38.133 [14];

2> if *reportType* is set to *reportCGI*:

3> if the UE acquired the *SIB1* or *SystemInformationBlockType1* for the requested cell; or

3> if the UE detects that the requested NR cell is not transmitting *SIB1* (see TS 38.213 [13], clause 13):

4> stop timer T321;

4> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

4> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

4> initiate the measurement reporting procedure, as specified in 5.5.5;

2> upon the expiry of T321 for this *measId*:

3> include a measurement reporting entry within the *VarMeasReportList* for this *measId*;

3> set the *numberOfReportsSent* defined within the *VarMeasReportList* for this *measId* to 0;

3> initiate the measurement reporting procedure, as specified in 5.5.5.

2> upon the expiry of T322 for this *measId*:

3> initiate the measurement reporting procedure, as specified in 5.5.5.

*Next Modified Subclause*

#### 5.5.4.9 Event B2 (PCell becomes worse than threshold1 and inter RAT neighbour becomes better than threshold2)

The UE shall:

1> consider the entering condition for this event to be satisfied when both condition B2-1 and condition B2-2, as specified below, are fulfilled;

1> consider the leaving condition for this event to be satisfied when condition B2-3 or condition B2-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality B2-1 (Entering condition 1)

*Mp + Hys < Thresh1*

Inequality B2-2 (Entering condition 2)

*Mn + Ofn + Ocn – Hys > Thresh2*

Inequality B2-3 (Leaving condition 1)

*Mp – Hys > Thresh1*

Inequality B2-4 (Leaving condition 2)

*Mn + Ofn + Ocn + Hys < Thresh2*

The variables in the formula are defined as follows:

***Mp*** is the measurement result of the PCell, not taking into account any offsets.

***Mn*** is the measurement result of the inter-RAT neighbour cell, not taking into account any offsets.

***Ofn*** is the measurement object specific offset of the frequency of the inter-RAT neighbour cell (i.e. *eutra-Q-OffsetRange* as defined within the *measObjectEUTRA* corresponding to the frequency of the inter-RAT neighbour cell, *utra-FDD-Q-OffsetRange* as defined within the *measObjectUTRA-FDD* corresponding to the frequency of the neighbour inter-RAT cell).

***Ocn*** is the cell specific offset of the inter-RAT neighbour cell (i.e. *cellIndividualOffset* as defined within the *measObjectEUTRA* corresponding to the neighbour inter-RAT cell), and set to zero if not configured for the neighbour cell.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

***Thresh1*** is the threshold parameter for this event (i.e. b2*-Threshold1* as defined within *reportConfigInterRAT* for this event).

***Thresh2*** is the threshold parameter for this event (i.e. *b2-Threshold2EUTRA* as defined within *reportConfigInterRAT* for this event, *b2-Threshold2UTRA-FDD* as defined for UTRA-FDD within *reportConfigInterRAT* for this event).

***Mp*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ and SINR.

***Mn*** is expressed in dBm or dB, depending on the measurement quantity of the inter-RAT neighbour cell.

***Ofn, Ocn, Hys*** are expressed in dB.

***Thresh1*** is expressed in the same unit as ***Mp***.

***Thresh2*** is expressed in the same unit as ***Mn***.

*Next Modified Subclause*

#### 5.5.4.x1 Event X1 (Serving L2 U2N Relay UE becomes worse than threshold1 and NR Cell becomes better than threshold2)

The UE shall:

1> consider the entering condition for this event to be satisfied when both condition X1-1 and condition X1-2, as specified below, are fulfilled;

1> consider the leaving condition for this event to be satisfied when condition X1-3 or condition X1-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality X1-1 (Entering condition 1)

*Mr + Hys < Thresh1*

Inequality X1-2 (Entering condition 2)

*Mn + Ofn + Ocn – Hys > Thresh2*

Inequality X1-3 (Leaving condition 1)

*Mr – Hys > Thresh1*

Inequality X1-4 (Leaving condition 2)

*Mn + Ofn + Ocn + Hys < Thresh2*

The variables in the formula are defined as follows:

***Mr*** is the measurement result of the serving L2 U2N Relay UE, not taking into account any offsets.

***Mn*** is the measurement result of the NR cell, not taking into account any offsets.

***Ofn*** is the measurement object specific offset of the frequency of the NR cell.

***Ocn*** is the cell specific offset of the NR cell, and set to zero if not configured for the cell.

***Hys*** is the hysteresis parameter for this event.

***Thresh1*** is the threshold parameter for this event (i.e. *x1-Threshold1* as defined within *reportConfigNR* for this event).

***Thresh2*** is the threshold parameter for this event (i.e. *x1-Threshold2* as defined within *reportConfigNR* for this event).

***Mr*** is expressed in dBm.

***Mn*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ and RS-SINR.

***Ofn, Ocn, Hys*** are expressed in dB.

***Thresh1*** is expressed in the same unit as ***Mr***.

***Thresh2*** is expressed in the same unit as ***Mn***.

#### 5.5.4.x2 Event Y1 (PCell becomes worse than threshold1 and candidate L2 U2N Relay UE becomes better than threshold2)

The UE shall:

1> consider the entering condition for this event to be satisfied when both condition Y1-1 and condition Y1-2, as specified below, are fulfilled;

1> consider the leaving condition for this event to be satisfied when condition Y1-3 or condition Y1-4, i.e. at least one of the two, as specified below, is fulfilled;

Inequality Y1-1 (Entering condition 1)

*Mp + Hys < Thresh1*

Inequality Y1-2 (Entering condition 2)

*Mr– Hys > Thresh2*

Inequality Y1-3 (Leaving condition 1)

*Mp – Hys > Thresh1*

Inequality Y1-4 (Leaving condition 2)

*Mr + Hys < Thresh2*

The variables in the formula are defined as follows:

***Mp*** is the measurement result of the PCell, not taking into account any offsets.

***Mr*** is the measurement result of the candidate L2 U2N Relay UE, not taking into account any offsets.

***Hys*** is the hysteresis parameter for this event (i.e. *hysteresis* as defined within *reportConfigInterRAT* for this event).

***Thresh1*** is the threshold parameter for this event (i.e. y1*-Threshold1* as defined within *reportConfigInterRAT* for this event).

***Thresh2*** is the threshold parameter for this event (i.e. *y1-Threshold2Relay* as defined within *reportConfigInterRAT* for this even).

***Mp*** is expressed in dBm in case of RSRP, or in dB in case of RSRQ and SINR.

***Mr*** is expressed in dBm or dB, depending on the measurement quantity of candidate L2 U2N Relay UE.

***Hys*** are expressed in dB.

***Thresh1*** is expressed in the same unit as ***Mp***.

***Thresh2*** is expressed in the same unit as ***Mr***.

*Next Modified Subclause*

### 5.5.5 Measurement reporting

#### 5.5.5.1 General



Figure 5.5.5.1-1: Measurement reporting

The purpose of this procedure is to transfer measurement results from the UE to the network. The UE shall initiate this procedure only after successful AS security activation.

For the *measId* for which the measurement reporting procedure was triggered, the UE shall set the *measResults* within the *MeasurementReport* message as follows:

1> set the *measId* to the measurement identity that triggered the measurement reporting;

1> for each serving cell configured with *servingCellMO*:

2> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *rsType*:

3> if the serving cell measurements based on the *rsType* included in the *reportConfig* that triggered the measurement report are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on the *rsType* included in the *reportConfig* that triggered the measurement report;

2> else:

3> if SSB based serving cell measurements are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on SSB;

3> else if CSI-RS based serving cell measurements are available:

4> set the *measResultServingCell* within *measResultServingMOList* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on CSI-RS;

1> set the *servCellId* within *measResultServingMOList* to include each NR serving cell that is configured with *servingCellMO*, if any;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

2> for each serving cell configured with *servingCellMO*, include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

2> for each *measObjectId* referenced in the *measIdList* which is also referenced with *servingCellMO*, other than the *measObjectId* corresponding with the *measId* that triggered the measurement reporting:

3> if the *measObjectNR* indicated by the *servingCellMO* includes the RS resource configuration corresponding to the *rsType* indicated in the *reportConfig*:

4> set the *measResultBestNeighCell* within *measResultServingMOList* to include the *physCellId* and the available measurement quantities based on the *reportQuantityCell* and *rsType* indicated in *reportConfig* of the non-serving cell corresponding to the concerned *measObjectNR* with the highest measured RSRP if RSRP measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured RSRQ if RSRQ measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured SINR;

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport:*

5> for each best non-serving cell included in the measurement report:

6> include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2;

1> if the *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *eventTriggered* and *eventID* is set to *eventA3*, or *eventA4*, or *eventA5*, or *eventB1*, or *eventB2*:

2> if the UE is in NE-DC and the measurement configuration that triggered this measurement report is associated with the MCG:

3> set the *measResultServFreqListEUTRA-SCG* to include an entry for each E-UTRA SCG serving frequency with the following:

4> include *carrierFreq* of the E-UTRA serving frequency;

4> set the *measResultServingCell* to include the available measurement quantities that the UE is configured to measure by the measurement configuration associated with the SCG;

4> if *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

5> set the *measResultServFreqListEUTRA-SCG* to include within *measResultBestNeighCell* the quantities of the best non-serving cell, based on RSRP, on the concerned serving frequency;

1> if *reportConfig* associated with the *measId* that triggered the measurement reporting is set to *eventTriggered* and *eventID* is set to *eventA3*, or *eventA4*, or *eventA5*:

2> if the UE is in NR-DC and the measurement configuration that triggered this measurement report is associated with the MCG:

3> set the *measResultServFreqListNR-SCG* to include for each NR SCG serving cell that is configured with *servingCellMO*, if any, the following:

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *rsType*:

5> if the serving cell measurements based on the *rsType* included in the *reportConfig* that triggered the measurement report are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on the *rsType* included in the *reportConfig* that triggered the measurement report;

4> else:

5> if SSB based serving cell measurements are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on SSB;

5> else if CSI-RS based serving cell measurements are available according to the measurement configuration associated with the SCG:

6> set the *measResultServingCell* within *measResultServFreqListNR-SCG* to include RSRP, RSRQ and the available SINR of the serving cell, derived based on CSI-RS;

4> if results for the serving cell derived based on SSB are included:

5> include the *ssbFrequency* to the value indicated by ssbFrequency as included in the *MeasObjectNR* of the serving cell;

4> if results for the serving cell derived based on CSI-RS are included:

5> include the *refFreqCSI-RS* to the value indicated by *refFreqCSI-RS* as included in the *MeasObjectNR* of the serving cell;

4> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

5> for each serving cell configured with *servingCellMO*, include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2, where availability is considered according to the measurement configuration associated with the SCG;

4> if *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportAddNeighMeas*:

5> if the *measObjectNR* indicated by the *servingCellMO* includes the RS resource configuration corresponding to the *rsType* indicated in the *reportConfig*:

6> set the *measResultBestNeighCellListNR* within *measResultServFreqListNR-SCG* to include one entry with the *physCellId* and the available measurement quantities based on the *reportQuantityCell* and *rsType* indicated in *reportConfig* of the non-serving cell corresponding to the concerned *measObjectNR* with the highest measured RSRP if RSRP measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured RSRQ if RSRQ measurement results are available for cells corresponding to this *measObjectNR*, otherwise with the highest measured SINR, where availability is considered according to the measurement configuration associated with the SCG;

7> if the *reportConfig* associated with the *measId* that triggered the measurement reporting includes *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport:*

8> for each best non-serving cell included in the measurement report:

9> include beam measurement information according to the associated *reportConfig* as described in 5.5.5.2, where availability is considered according to the measurement configuration associated with the SCG;

1> if the *measRSSI-ReportConfig* is configured within the corresponding *reportConfig* for this *measId*:

2> set the *rssi-Result* to the linear average of sample value(s) provided by lower layers in the *reportInterval;*

2> set the *channelOccupancy* to the rounded percentage of sample values which are beyond the *channelOccupancyThreshold* within all the sample values in the *reportInterval;*

1> if the UE is connected with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> set the *measResultServingRelay* to include the SL-RSRP of the serving L2 U2N Relay UE;

NOTE 1: In case of no data transmission from L2 U2N Relay UE to L2 U2N Remote UE, it is left to UE implementation whether to use SL-RSRP or SD-RSRP when setting the *measResultServingRelay* of the serving L2 U2N Relay UE.

1> if there is at least one applicable neighbouring cell/candidate L2 U2N Relay UEs to report:

2> if the *reportType* is set to *eventTriggered* or *periodical*:

3> if the measurement report concerns the candidate L2 U2N Relay UE:

4> set the *measResultNeighCells* to include the best candidate L2 U2N Relay UEs up to *maxReportCells* in accordance with the following:

5> if the *reportType* is set to *eventTriggered*:

6> include the L2 U2N Relay UEs included in the *relaysTriggeredList* as defined within the *VarMeasReportList* for this *measId*;

5> else:

6> include the applicable L2 U2N Relay UEs for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

3> else:

4> set the *measResultNeighCells* to include the best neighbouring cells up to *maxReportCells* in accordance with the following:

5> if the *reportType* is set to *eventTriggered*:

6> include the cells included in the *cellsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;

5> else:

6> include the applicable cells for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

4> for each cell that is included in the *measResultNeighCells*, include the *physCellId*;

4> for each L2 U2N Relay UE that is included in the *measResultNeighCells*, include the *relayUEIdentity*;

4> if the *reportType* is set to *eventTriggered* or *periodical*:

5> for each included cell/L2 U2N Relay UE, include the layer 3 filtered measured results in accordance with the *reportConfig* for this *measId*, ordered as follows:

6> if the *measObject* associated with this *measId* concerns NR:

7> if *rsType* in the associated *reportConfig* is set to *ssb*:

8> set *resultsSSB-Cell* within the *measResult* to include the SS/PBCH block based quantity(ies) indicated in the *reportQuantityCell* within the concerned *reportConfig*, in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

8> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* are configured, include beam measurement information as described in 5.5.5.2;

7> else if *rsType* in the associated *reportConfig* is set to *csi-rs*:

8> set *resultsCSI-RS-Cell* within the *measResult* to include the CSI-RS based quantity(ies) indicated in the *reportQuantityCell* within the concerned *reportConfig*, in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

8> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* are configured, include beam measurement information as described in 5.5.5.2;

6> if the *measObject* associated with this *measId* concerns E-UTRA:

7> set the *measResult* to include the quantity(ies) indicated in the *reportQuantity* within the concerned *reportConfigInterRAT* in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

6> if the *measObject* associated with this *measId* concerns UTRA-FDD and if *ReportConfigInterRAT* includes the *reportQuantityUTRA-FDD*:

7> set the *measResult* to include the quantity(ies) indicated in the *reportQuantityUTRA-FDD* within the concerned *reportConfigInterRAT* in decreasing order of the sorting quantity, determined as specified in 5.5.5.3, i.e. the best cell is included first;

6> if the *measObject* associated with this *measId* concerns L2 U2N Relay UE:

7> set the *measResult* to include the quantity(ies) indicated in the *reportQuantityRelay* within the concerned *reportConfigRelay* in decreasing order of the sorting quantity, determined as specified in 5.5.5.x1, i.e. the best L2 U2N Relay UE is included first;

2> else:

3> if the cell indicated by *cellForWhichToReportCGI* is an NR cell:

4> if *plmn-IdentityInfoList* of the *cgi-Info* for the concerned cell has been obtained:

5> include the *plmn-IdentityInfoList* including *plmn-IdentityList*, *trackingAreaCode* (if available), *ranac* (if available), *cellIdentity* and *cellReservedForOperatorUse* for each entry of the *plmn-IdentityInfoList*;

5> include *frequencyBandList* if available;

4> if *nr-CGI-Reporting-NPN* is supported by the UE and *npn-IdentityInfoList* of the *cgi-Info* for the concerned cell has been obtained:

5> include the *npn-IdentityInfoList* including *npn-IdentityList*, *trackingAreaCode*, *ranac* (if available), *cellIdentity* and *cellReservedForOperatorUse* for each entry of the *npn-IdentityInfoList*;

5> include *cellReservedForOtherUse* if available;

4> else if *MIB* indicates the *SIB1* is not broadcast:

5> include the *noSIB1* including the *ssb-SubcarrierOffset* and *pdcch-ConfigSIB1* obtained from *MIB* of the concerned cell;

3> if the cell indicated by *cellForWhichToReportCGI* is an E-UTRA cell:

4> if all mandatory fields of the *cgi-Info-EPC* for the concerned cell have been obtained:

5> include in the *cgi-Info-EPC* the fields broadcasted in E-UTRA *SystemInformationBlockType1* associated to EPC;

4> if the UE is E-UTRA/5GC capable and all mandatory fields of the *cgi-Info-5GC* for the concerned cell have been obtained:

5> include in the *cgi-Info-5GC* the fields broadcasted in E-UTRA *SystemInformationBlockType1* associated to 5GC;

4> if the mandatory present fields of the *cgi-Info* for the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* have been obtained:

5> include the *freqBandIndicator*;

5> if the cell broadcasts the *multiBandInfoList*, include the *multiBandInfoList*;

5> if the cell broadcasts the *freqBandIndicatorPriority*, include the *freqBandIndicatorPriority*;

1> if the corresponding *measObject* concerns NR:

2> if the *reportSFTD-Meas* is set to *true* within the corresponding *reportConfigNR* for this *measId*:

3> set the *measResultSFTD-NR* in accordance with the following:

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*;

5> set *rsrp-Result* to the RSRP of the NR PSCell derived based on SSB;

2> else if the *reportSFTD-NeighMeas* is included within the corresponding *reportConfigNR* for this *measId*:

3> for each applicable cell which measurement results are available, include an entry in the *measResultCellListSFTD-NR* and set the contents as follows:

4> set *physCellId* to the physical cell identity of the concered NR neighbour cell.

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*:

5> set *rsrp-Result* to the RSRP of the concerned cell derived based on SSB;

1> else if the corresponding *measObject* concerns E-UTRA:

2> if the *reportSFTD-Meas* is set to *true* within the corresponding *reportConfigInterRAT* for this *measId*:

3> set the *measResultSFTD-EUTRA* in accordance with the following:

4> set *sfn-OffsetResult* and *frameBoundaryOffsetResult* to the measurement results provided by lower layers;

4> if the *reportRSRP* is set to *true*;

5> set *rsrpResult-EUTRA* to the RSRP of the EUTRA PSCell;

1> if average uplink PDCP delay values are available:

2> set the *ul-PDCP-DelayValueResultList* to include the corresponding average uplink PDCP delay values;

1> if the *includeCommonLocationInfo* is configured in the corresponding *reportConfig* for this *measId* and detailed location information that has not been reported is available, set the content of *commonLocationInfo* of the *locationInfo* as follows:

2> include the *locationTimestamp*;

2> include the *locationCoordinate*, if available;

2> include the *velocityEstimate*, if available;

2> include the *locationError*, if available;

2> include the *locationSource*, if available;

2> if available, include the *gnss-TOD-msec*,

1> if the *includeWLAN-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *wlan-LocationInfo* of the *locationInfo* in the *measResults* as follows:

2> if available, include the *LogMeasResultWLAN*, in order of decreasing RSSI for WLAN APs;

1> if the *includeBT-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *BT-LocationInfo* of the *locationInfo* in the *measResults* as follows:

2> if available, include the *LogMeasResultBT*, in order of decreasing RSSI for Bluetooth beacons;

1> if the *includeSensor-Meas* is configured in the corresponding *reportConfig* for this *measId*, set the *sensor-LocationInfo* of the *locationInfo* in the *measResults* as follows:

2> if available, include the *sensor-MeasurementInformation*;

2> if available, include the *sensor-MotionInformation*;

1> if there is at least one applicable transmission resource pool for NR sidelink communication (for *measResultsSL*):

2> set the *measResultsListSL* to include the CBR measurement results in accordance with the following:

3> if the *reportType* is set to *eventTriggered*:

4> include the transmission resource pools included in the *poolsTriggeredList* as defined within the *VarMeasReportList* for this *measId*;

3> else:

4> include the applicable transmission resource pools for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

3> if the corresponding *measObject* concerns NR sidelink communication, then for each transmission resource pool to be reported:

4> set the *sl-poolReportIdentity* to the identity of this transmission resource pool;

4> set the *sl-CBR-ResultsNR* to the CBR measurement results on PSSCH and PSCCH of this transmission resource pool provided by lower layers, if available;

NOTE 1: Void.

1> if there is at least one applicable CLI measurement resource to report:

2> if the *reportType* is set to *cli-EventTriggered* or *cli-Periodical*:

3> set the *measResultCLI* to include the most interfering SRS resources or most interfering CLI-RSSI resources up to *maxReportCLI* in accordance with the following:

4> if the *reportType* is set to *cli-EventTriggered*:

5> if trigger quantity is set to *srs-RSRP* i.e. *i1-Threshold* is set to *srs-RSRP*:

6> include the SRS resource included in the *cli-TriggeredList* as defined within the *VarMeasReportList* for this *measId*;

5> if trigger quantity is set to *cli-RSSI* i.e. *i1-Threshold* is set to *cli-RSSI*:

6> include the CLI-RSSI resource included in the *cli-TriggeredList* as defined within the *VarMeasReportList* for this *measId*;

4> else:

5> if *reportQuantityCLI* is set to *srs-rsrp*:

6> include the applicable SRS resources for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

5> else:

6> include the applicable CLI-RSSI resources for which the new measurement results became available since the last periodical reporting or since the measurement was initiated or reset;

4> for each SRS resource that is included in the *measResultCLI*:

5> include the *srs-ResourceId*;

5> set *srs-RSRP-Result* to include the layer 3 filtered measured results in decreasing order, i.e. the most interfering SRS resource is included first;

4> for each CLI-RSSI resource that is included in the *measResultCLI*:

5> include the *rssi-ResourceId*;

5> set *cli-RSSI-Result* to include the layer 3 filtered measured results in decreasing order, i.e. the most interfering CLI-RSSI resource is included first;

1> increment the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* by 1;

1> stop the periodical reporting timer, if running;

1> if the *numberOfReportsSent* as defined within the *VarMeasReportList* for this *measId* is less than the *reportAmount* as defined within the corresponding *reportConfig* for this *measId*:

2> start the periodical reporting timer with the value of *reportInterval* as defined within the corresponding *reportConfig* for this *measId*;

1> else:

2> if the *reportType* is set to *periodical* or *cli-Periodical*:

3> remove the entry within the *VarMeasReportList* for this *measId*;

3> remove this *measId* from the *measIdList* within *VarMeasConfig*;

1> if the measurement reporting was configured by a *sl-ConfigDedicatedNR* received within the *RRCConnectionReconfiguration*:

2> submit the *MeasurementReport* message to lower layers for transmission via SRB1, embedded in E-UTRA RRC message *ULInformationTransferIRAT* as specified TS 36.331 [10], clause 5.6.28;

1> else if the UE is in (NG)EN-DC:

2> if SRB3 is configured:

3> submit the *MeasurementReport* message via SRB3 to lower layers for transmission, upon which the procedure ends;

2> else:

3> submit the *MeasurementReport* message via E-UTRA embedded in E-UTRA RRC message *ULInformationTransferMRDC* as specified in TS 36.331 [10].

1> else if the UE is in NR-DC:

2> if the measurement configuration that triggered this measurement report is associated with the SCG:

3> if SRB3 is configured:

4> submit the *MeasurementReport* message via SRB3 to lower layers for transmission, upon which the procedure ends;

3> else:

4> submit the *MeasurementReport* message via SRB1 embedded in NR RRC message *ULInformationTransferMRDC* as specified in5.7.2a.3;

2> else:

3> submit the *MeasurementReport* message via SRB1 to lower layers for transmission, upon which the procedure ends;

1> else:

2> submit the *MeasurementReport* message to lower layers for transmission, upon which the procedure ends.

*Next Modified Subclause*

#### 5.5.5.3 Sorting of cell measurement results

The UE shall determine the sorting quantity according to parameters of the *reportConfig* associated with the *measId* that triggered the reporting:

1> if the *reportType* is set to *eventTriggered*:

2> for an NR cell, consider the quantity used in the *aN-Threshold* (for *eventA1*, *eventA2* and *eventA4*) or in the *a5-Threshold2* (for *eventA5*) or in the *aN-Offset* (for *eventA3* and *eventA6*) as the sorting quantity;

2> for an E-UTRA cell, consider the quantity used in the *bN-ThresholdEUTRA* as the sorting quantity;

2> for an UTRA-FDD cell, consider the quantity used in the *bN-ThresholdUTRA-FDD* as the sorting quantity;

2> for a candidate L2 U2N Relay UE, consider the *yN-Threshold2-Relay* as the sorting quantity;

1> if the *reportType* is set to *periodical*:

2> determine the sorting quantity according to *reportQuantityCell* for an NR cell, and according to *reportQuantity* for an E-UTRA cell, as below:

3> if a single quantity is set to *true*:

4> consider this quantity as the sorting quantity;

3> else:

4> if *rsrp* is set to *true*;

5> consider RSRP as the sorting quantity;

4> else:

5> consider RSRQ as the sorting quantity;

2> determine the sorting quantity according to *reportQuantityUTRA-FDD* for UTRA-FDD cell, as below:

3> if a single quantity is set to *true*:

4> consider this quantity as the sorting quantity;

3> else:

4> consider RSCP as the sorting quantity.

2> for a candidate L2 U2N Relay UE, consider the *reportQuantityRelay* as the sorting quantity;

*Next Modified Subclause*

## 5.8 Sidelink

### 5.8.1 General

NR sidelink communication consists of unicast, groupcast and broadcast. For unicast, the PC5-RRC connection is a logical connection between a pair of a Source Layer-2 ID and a Destination Layer-2 ID in the AS. The PC5-RRC signalling, as specified in sub-clause 5.8.9, can be initiated after its corresponding PC5 unicast link establishment (TS 23.287 [55]). The PC5-RRC connection and the corresponding sidelink SRBs and sidelink DRB(s) are released when the PC5 unicast link is released as indicated by upper layers.

For each PC5-RRC connection of unicast, one sidelink SRB (i.e. SL-SRB0) is used to transmit the PC5-S message(s) before the PC5-S security has been established. One sidelink SRB (i.e. SL-SRB1) is used to transmit the PC5-S messages to establish the PC5-S security. One sidelink SRB (i.e. SL-SRB2) is used to transmit the PC5-S messages after the PC5-S security has been established, which is protected. One sidelink SRB (i.e. SL-SRB3) is used to transmit the PC5-RRC signalling, which is protected and only sent after the PC5-S security has been established. For U2N Relay operation, one sidelink SRB (i.e. SL-SRB4) is used to transmit the NR sidelink discovery messages.

For unicast of NR sidelink communication, AS security comprises of integrity protection of PC5 signalling (SL-SRB1, SL-SRB2 and SL-SRB3) and user data (SL-DRBs), and it further comprises of ciphering of PC5 signaling (SL-SRB1 only for the Direct Link Security Mode Complete message as specified in TS 24.587[57], SL-SRB2 and SL-SRB3) and user data (SL-DRBs). The ciphering and integrity protection algorithms and parameters for a PC5 unicast link are exchanged by PC5-S messages in the upper layers as specified in TS 33.536 [60], and apply to the corresponding PC5-RRC connection in the AS. Once AS security is activated for a PC5 unicast link in the upper layers as specified in TS 33.536 [60], all messages on SL-SRB2 and SL-SRB3 and/or user data on SL-DRBs of the corresponding PC5-RRC connection are integrity protected and/or ciphered by the PDCP.

For unicast of NR sidelink communication, if the change of the key is indicated by the upper layers as specified in TS 24.587 [57], UE re-establishes the PDCP entity of the SL-SRB1, SL-SRB2, SL-SRB3 and SL-DRBs on the corresponding PC5-RRC connection.

NOTE 1: In case the configurations for NR sidelink communication are acquired via the E-UTRA, the configurations for NR sidelink communication in *SIB12* and *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in subclause 5.8 are provided by the configurations in *SystemInformationBlockType28* and *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331 [10], respectively.

NOTE 2: In this release, there is one-to-one correspondence between the PC5-RRC connection and the PC5 unicast link as specified in TS 38.300[2].

NOTE 3: All SL-DRBs related to the same PC5-RRC connection have the same activation/deactivation setting for ciphering and the same activation/deactivation setting for integrity protection as in TS 33.536 [60].

NOTE 4: When integrity check failure concerning SL-SRB1 for a specific destination is detected, the UE sends an indication to the upper layers [57].

*Next Modified Subclause*

### 5.8.9 Sidelink RRC procedure

#### 5.8.9.1 Sidelink RRC reconfiguration

##### 5.8.9.1.1 General



Figure 5.8.9.1.1-1: Sidelink RRC reconfiguration, successful



Figure 5.8.9.1.1-2: Sidelink RRC reconfiguration, failure

The purpose of this procedure is to modify a PC5-RRC connection, e.g. to establish/modify/release sidelink DRBs, to (re-)configure NR sidelink measurement and reporting, to (re-)configure sidelink CSI reference signal resources and CSI reporting latency bound.

The UE may initiate the sidelink RRC reconfiguration procedure and perform the operation in sub-clause 5.8.9.1.2 on the corresponding PC5-RRC connection in following cases:

- the release of sidelink DRBs associated with the peer UE, as specified in sub-clause 5.8.9.1a.1;

- the establishment of sidelink DRBs associated with the peer UE, as specified in sub-clause 5.8.9.1a.2;

- the modification for the parameters included in *SLRB-Config* of sidelink DRBs associated with the peer UE, as specified in sub-clause 5.8.9.1a.2;

- the release of sidelink RLC bearers not associated with SL-PDCP for L2 U2N Relay UE and Remote UE, as specified in sub-clause 5.8.9.x1.1;

- the establishment of RLC bearers not associated with SL-PDCP for L2 U2N Relay UE and Remote UE, as specified in sub-clause 5.8.9.x1.2;

- the modification for the parameters included in *SL-RLC-BearerConfig* of RLC bearers not associated with SL-PDCP for L2 U2N Relay UE and Remote UE, as specified in sub-clause 5.8.9.x1.2;

- the (re-)configuration of the peer UE to perform NR sidelink measurement and report.

- the (re-)configuration of the sidelink CSI reference signal resources and CSI reporting latency bound.

In RRC\_CONNECTED, the UE applies the NR sidelink communications parameters provided in *RRCReconfiguration* (if any). In RRC\_IDLE or RRC\_INACTIVE, the UE applies the NR sidelink communications parameters provided in system information (if any). For other cases, UEs apply the NR sidelink communications parameters provided in *SidelinkPreconfigNR* (if any). When UE performs state transition between above three cases, the UE applies the NR sidelink communications parameters provided in the new state, after acquisition of the new configurations. Before acquisition of the new configurations, UE continues applying the NR sidelink communications parameters provided in the old state.

*Next Modified Subclause*

##### 5.8.9.1a.3 Sidelink SRB release

The UE shall:

1> if a PC5-RRC connection release for a specific destination is requested by upper layers or AS layer; or

1> if the sidelink radio link failure is detected for a specific destination:

2> release the PDCP entity, RLC entity and the logical channel of the sidelink SRB for PC5-RRC message of the specific destination;

2> consider the PC5-RRC connection is released for the destination.

1> if PC5-S transmission for a specific destination is terminated in upper layers:

2> release the PDCP entity, RLC entity and the logical channel of the sidelink SRB(s) for PC5-S message of the specific destination;

1> if discovery transmission for a specific destination is terminated in upper layers:

2> release the PDCP entity, RLC entity and the logical channel of the sidelink SRB4 for discovery message of the specific destination;

##### 5.8.9.1a.4 Sidelink SRB addition

The UE shall:

1> if transmission of PC5-S message for a specific destination is requested by upper layers for sidelink SRB:

2> establish PDCP entity, RLC entity and the logical channel of a sidelink SRB for PC5-S message, as specified in sub-clause 9.1.1.4;

1> if transmission of discovery message for a specific destination is requested by upper layers for sidelink SRB:

2> establish PDCP entity, RLC entity and the logical channel of a sidelink SRB4 for discovery message, as specified in sub-clause 9.1.1.4;

1> if a PC5-RRC connection establishment for a specific destination is indicated by upper layers:

2> establish PDCP entity, RLC entity and the logical channel of a sidelink SRB for PC5-RRC message of the specific destination, as specified in sub-clause 9.1.1.4;

2> consider the PC5-RRC connection is established for the destination.

*Next Modified Subclause*

#### 5.8.9.3 Sidelink radio link failure related actions

The UE shall:

1> upon indication from sidelink RLC entity that the maximum number of retransmissions for a specific destination has been reached; or

1> upon T400 expiry for a specific destination; or

1> upon indication from MAC entity that the maximum number of consecutive HARQ DTX for a specific destination has been reached; or

1> upon integrity check failure indication from sidelink PDCP entity concerning SL-SRB2 or SL-SRB3 for a specific destination:

2> consider sidelink radio link failure to be detected for this destination;

2> release the DRBs of this destination, in according to sub-clause 5.8.9.1a.1 if any;

2> release the SRBs of this destination, in according to sub-clause 5.8.9.1a.3;

2> release the sidelink RLC bearers not associated with SL-PDCP of this destination, in according to sub-clause 5.8.9.x1.1;

2> discard the NR sidelink communication related configuration of this destination;

2> reset the sidelink specific MAC of this destination;

2> consider the PC5-RRC connection is released for the destination;

2> indicate the release of the PC5-RRC connection to the upper layers for this destination (i.e. PC5 is unavailable);

2> if UE is in RRC\_CONNECTED:

3> perform the sidelink UE information for NR sidelink communication procedure, as specified in 5.8.3.3;

3> if the UE is connected with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

4> initiate the RRC connection re-establishment procedure as specified in 5.3.7.

NOTE: It is up to UE implementation on whether and how to indicate to upper layers to maintain the keep-alive procedure [55].

*Next Modified Subclause*

#### 5.8.9.5 Actions related to PC5-RRC connection release requested by upper layers or AS layer

The UE initiates the procedure when upper layers request the release of the PC5-RRC connection as specified in TS 24.587 [57] or when AS layer releases the the PC5-RRC connection. The UE shall not initiate the procedure for power saving purposes.

The UE shall:

1> if the PC5-RRC connection release for the specific destination is requested by upper layers, or initiated at the AS:

2> discard the NR sidelink communication related configuration of this destination;

2> release the DRBs of this destination, in according to sub-clause 5.8.9.1a.1 if any;

2> release the SRBs of this destination, in according to sub-clause 5.8.9.1a.3;

2> release the sidelink RLC bearers not associated with SL-PDCP of this destination, in according to sub-clause 5.8.9.x1.1;

2> reset the sidelink specific MAC of this destination.

2> consider the PC5-RRC connection is released for the destination;

1> if the PC5-RRC connection release is requested initiated at the AS:

2> indicate the upper layers the PC5-RRC connection is released for the destination;

*Next Modified Subclause(New)*

#### 5.8.9.x1 Sidelink RLC bearer management for L2 U2N relay

##### 5.8.9.x1.1 Sidelink RLC bearer release

The UE shall:

1> for each *sl-RLC-BearerConfigIndex* included in the received *sl-RLC-BearerToReleaseList* that is part of the current UE sidelink configuration:

2> release the RLC entity and the corresponding logical channel for NR sidelink communication, associated with the *sl-RLC-BearerConfigIndex*;

##### 5.8.9.x1.2 Sidelink RLC bearer addition/modification

For each *sl-RLC-BearerConfigIndex* received in the *sl-RLC-BearerToAddModList* IE the UE shall:

1> if the current configuration contains a sidelink RLC bearer with the received *sl-RLC-BearerConfigIndex*:

2> reconfigure the sidelink RLC entity or entities in accordance with the received *sl-RLC-ConfigPC5*;

2> reconfigure the sidelink logical channel in accordance with the received *sl-MAC-LogicalChannelConfigPC5*;

1> else (a sidelink RLC bearer with the received *sl-RLC-BearerConfigIndex* was not configured before):

2> establish an sidelink RLC entity in accordance with the received *sl-RLC-ConfigPC5*;

2> configure the sidelink MAC entity with a logical channel in accordance with the received *sl-MAC-LogicalChannelConfigPC5*.

*Next Modified Subclause(New)*

#### 5.8.9.x2 Remote UE information

##### 5.8.9.x2.1 General



Figure 5.8.9.x2.1-1: Remote UE information

This procedure is used by the L2 U2N Remote UE in RRC\_IDLE/RRC\_INACTIVE to inform the required SIB(s) and provide Paging related information to the connected L2 U2N Relay UE.

*Editor’s note: Updates would be needed if it is conclude two separate messagas for paging information and SIB request at later meetings.*

##### 5.8.9.x2.2 Actions related to transmission of *RemoteInformationSidelink* message

The L2 U2N Remote UE in RRC\_IDLE or RRC\_INACTIVE shall:

1> if the UE has not stored a valid version of a SIB, in accordance with clause 5.2.2.2.1, of one or several required SIB(s) in accordance with clause 5.2.2.1:

2> include *sl-Requested-SI-List* in the *RemoteUEInformationSidelink* to indicate the requested SIB(s);

*Editor’s note: FFS how to capture the handling of MIB and SIB1.*

1> set *sl-RemotePagingIdentity* as follows:

2> if the L2 U2N Remote UE is in RRC\_IDLE:

3> include *ng-5G-S-TMSI* in the *sl-RemotePagingIdentity*;

3> include *UE specific DRX cycle* in the *sl-PagingCycle;*

2> else if the L2 U2N Remote UE is in RRC\_INACTIVE:

3> include *ng-5G-S-TMSI* and *fullI-RNTI* in the *sl-RemotePagingIdentity*;

3> include *UE specific DRX cycle* in the *sl-PagingCycle;*

1> submit the *RemoteUEInformationSidelink* message to lower layers for transmission;

#### 5.8.9.x3 Uu message transfer in sidelink

##### 5.8.9.x3.1 General



Figure 5.8.9.x3.1-1: Uu message transfer in sidelink

The purpose of this procedure is to transfer *Paging* message and System Information from the L2 U2N Relay UE to the L2 U2N Remote UE in RRC\_IDLE/RRC\_INACTIVE.

*Editor’s note: Updates would be needed if it is conclude two separate messagas for paging and SIB forwarding at later meetings.*

##### 5.8.9.x3.2 Actions related to transmission of *UuMessageTransferSidelink* message

The L2 U2N Relay UE initiates the Uu message transfer upon receiving *Paging* message and System Information related to the connected L2 U2N Remote UE from network. The UE shall set the contents of *UuMessageTransferSidelink* message as follows:

1> include *sl-PagingDelivery* if the *Paging* message received from network containing the associated *ue-Identity* of the L2 U2N Remote UE;

1> include *sl-SystemInformationDelivery* if the System Information message received from network is requested by the L2 U2N Remote UE;

1> submit the *UuMessageTransferSidelink* message to lower layers for transmission.

##### 5.8.9.x3.3 Reception of the *UuMessageTransferSidelink*

Upon receiving the *UuMessageTransferSidelink* message, the L2 U2N Remote UE shall:

1> if *sl-PagingDelivery* is included:

2> perform the procedure as defined in clause 5.3.2.3;

1> if *sl-SystemInformationDeliverySidelink* is included:

2> perform the actions specified in clause 5.2.2.4;

*Next Modified Subclause(New)*

#### 5.8.9.x4 Notification Message

##### 5.8.9.x4.1 General



Figure 5.8.9.x2.1-1: Notification message in sidelink

This procedure is used by a U2N Relay UE to send notification to the connected U2N Remote UE.

##### 5.8.9.x4.2 Initiation

The U2N Relay UE initiates the procedure when one of the following conditions is met:

1> upon Uu RLF;

1> upon reception of an *RRCReconfiguration* including the *reconfigurationWithSync*;

1> upon cell reselection;

##### 5.8.9.x4.3 Actions related to transmission of *NotificationMessageSidelink* message

The U2N Relay UE shall set the indication type as follows:

1> if the UE initiates transmission of the *NotificationMessageSidelink* message due to Uu RLF:

2> set the *indicationType* as *relayUE-UuRLF*;

1> else if the UE initiates transmission of the *NotificationMessageSidelink* message due to reconfiguration with sync:

2> set the *indicationType* as *relayUE-HO*;

1> else if the UE initiates transmission of the *NotificationMessageSidelink* message due to cell reselection:

2> set the *indicationType* as *relayUE-CellReselection*;

##### 5.8.9.x4.4 Actions related to reception of *NotificationMessageSidelink* message

Upon receiving the *NotificationMessageSidelink*, the U2N Remote UE [may/shall]:

1> if the *indicationType* is included:

2> if the UE is L2 U2N Remote UE in RRC\_CONNECTED:

3> initiate the connection re-establishment procedure as specified in 5.3.7;

2> else if the UE is L3 U2N Remote UE, or L2 U2N Remote UE in RRC\_IDLE or RRC\_INACTIVE:

3> initiate the relay reselection procedure as specified in 5.8.x3.3;

*Next Modified Subclause(New)*

5.8.x1 NR sidelink discovery procedure

5.8.x1.1 General

The purpose of this procedure is to perform U2N Relay Discovery as specified in TS 23.304 [x1].

5.8.x1.2 Sidelink discovery monitoring

A UE capable of U2N Relay Discovery that is configured by upper layers to monitor NR sidelink discovery messages shall:

1> if the frequency used for NR sidelink discovery is included in *sl-FreqInfoToAddModList* in *RRCReconfiguration* message and *sl-DiscConfig* is included in *RRCReconfiguration*; or if the frequency used for NR sidelink discovery is includedin *sl-FreqInfoList* included in *SIB12* and *sl-DiscConfigCommon* is included in *SIB12*:

2> if the UE is configured with *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception included in *RRCReconfiguration* message with *reconfigurationWithSync* (i.e. handover)

3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception in *RRCReconfiguration*;

2> else if the cell chosen for NR sidelink discovery reception provides *SIB12*:

3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception *in SIB12*;

1> else:

2> if out of coverage on the concerned frequency for NR sidelink discovery:

3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception in *SL-PreconfigurationNR*, asdefined in sub-clause 9.3;

5.8.x1.3 Sidelink discovery transmission

A UE capable of U2N Relay Discovery that is configured by upper layer to transmit NR sidelink discovery message shall:

1> if the frequency used for NR sidelink discovery is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message and *sl-DiscConfig* is included in *RRCReconfiguration*; or if the frequency used for NR sidelink discovery is includedin *sl-FreqInfoList* within *SIB12* and *sl-DiscConfigCommon* is included in *SIB12*:

2> if the UE is in RRC\_CONNECTED and uses the frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message:

3> if the UE is acting as NR sidelink U2N Relay UE, and if the NR sidelink U2N Relay UE threshold conditions as specified in 5.8.x2.2 are met based on *sl-RelayUE-Config*; or

3> if the UE is selecting NR sidelink U2N Relay UE / has a selected NR sidelink U2N Relay UE, and if the NR sidelink U2N Remote UE threshold conditions as specified in 5.8.x3.2 are met based on *sl-RemoteUE-Config*:

4> if the UE is configured with *sl-ScheduledConfig*:

5> if T310 for MCG or T311 is running; and if *sl-TxPoolExceptional* is included in *sl-FreqInfoList* for the concerned frequency in *SIB12* or included in *sl-ConfigDedicatedNR* in *RRCReconfiguration*; or

5> if T301 is running and the cell on which the UE initiated RRC connection re-establishment provides *SIB12* including *sl-TxPoolExceptional* for the concerned frequency; or

5> if T304 for MCG is running and the UE is configured with *sl-TxPoolExceptional* included in *sl-ConfigDedicatedNR* for the concerned frequency in *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

5> else:

6> configure lower layers to perform the sidelink resource allocation mode 1 using the pool of resources indicated by *sl-DiscTxPoolScheduling* or *sl-TxPoolScheduling* for NR sidelink discovery transmission on the concerned frequency in *RRCReconfiguration*;

5> if T311 is running, configure the lower layers to release the resources indicated by *rrc-ConfiguredSidelinkGrant* (if any);

4> if the UE is configured with *sl-UE-SelectedConfig*:

5> if a result of sensing on the resources configured in *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* is not available in accordance with TS 38.214 [19];

6> if *sl-TxPoolExceptional* for the concerned frequency is included in *RRCReconfiguration*; or

6> if the PCell provides *SIB12* including *sl-TxPoolExceptional* in *sl-FreqInfoList* for the concerned frequency:

7> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

5> else, if the *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency is included in the *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.214 [19]) using the pools of resources indicated by *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *RRCReconfiguration*;

2> else if the cell chosen for NR sidelink discovery transmission provides *SIB12*:

3> if the UE is acting as NR sidelink U2N Relay UE and if the NR sidelink U2N Relay UE threshold conditions as specified in 5.8.x2.2 are met based on *sl-RelayUE-ConfigCommon* in *SIB12*; or

3> if the UE is selecting NR sidelink U2N Relay UE / has a selected NR sidelink U2N Relay UE and if the NR sidelink U2N Remote UE threshold conditions as specified in 5.8.x3.2 are met based on *sl-RemoteUE-ConfigCommon* in *SIB12*:

4> if *SIB12* includes *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency,and a result of sensing on the resources configured in the *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission is available in accordance with TS 38.214 [19]:

5> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing using the pools of resources indicated by *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *SIB12* as defined in TS 38.321 [3];

4> else if *SIB12* includes *sl-TxPoolExceptional* for the concerned frequency:

5> from the moment the UE initiates RRC connection establishment or RRC connection resume, until receiving an *RRCReconfiguration* including *sl-ConfigDedicatedNR*, or receiving an *RRCRelease* or an *RRCReject*; or

5> if a result of sensing on the resources configured in *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *SIB12* is not available in accordance with TS 38.214 [19]:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection (as defined in TS 38.321 [3]) using one of the pools of resources indicated by *sl-TxPoolExceptional* for the concerned frequency;

1> else if out of coverage on the concerned frequency for NR sidelink discovery:

2> if the UE is acting as L3 U2N Relay UE and if the NR sidelink U2N Relay UE threshold conditions as specified in 5.8.x2.2 are met based on *sl-RelayUE-ConfigCommon* in *SidelinkPreconfigNR*; or

2> if the UE is selecting NR sidelink U2N Relay UE / has a selected NR sidelink U2N Relay UE and if the NR sidelink U2N Remote UE threshold conditions as specified in 5.8.x3.2 are met based on *sl-RemoteUE-ConfigCommon* in *SidelinkPreconfigNR*:

3> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.213 [13]) using the pools of resources indicated in *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *SidelinkPreconfigNR*.

5.8.x2 NR sidelink U2N Relay UE operation

5.8.x2.1 General

This procedure is used by a UE supporting NR sidelink U2N Relay UE operation configured by upper layers to receive/ transmit NR sidelink discovery messages to evaluate AS layer conditions.

5.8.x2.2 NR sidelink U2N Relay UE threshold conditions

A UE capable of NR sidelink U2N Relay UE operation shall:

1> if the threshold conditions specified in this clause were not met:

2> if *threshHighRelay* is not configured; or the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHighRelay* by *hystMaxRelay* if configured; and

2> if *threshLowRelay* is not configured; or the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshLowRelay* by *hystMinRelay* if configured:

3> consider the threshold conditions to be met (entry);

1> else:

2> if the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHighRelay* if configured; or

2> if the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshLowRelay* if configured;

3> consider the threshold conditions not to be met (leave);

5.8.x3 NR sidelink U2N Remote UE operation

5.8.x3.1 General

This procedure is used by a UE supporting NR sidelink U2N Remote UE operationconfigured by upper layers to receive/ transmit NR sidelink discovery message to evaluate AS layer conditions.

5.8.x3.2 NR Sidelink U2N Remote UE threshold conditions

A UE capable of NR sidelink U2N Remote UE operation shall:

1> if the threshold conditions specified in this clause were not met:

2> if *threshHighRemote* is not configured; or the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHighRemote* by *hystMaxRemote* if configured:

3> consider the threshold conditions to be met (entry);

1> else:

2> if the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHighRemote* if configured:

3> consider the threshold conditions not to be met (leave);

5.8.x3.3 Selection and reselection of NR sidelink U2N Relay UE

A UE capable of NR sidelink U2N Remote UE operation that is configured by upper layers to search for a NR sidelink U2N Relay UE shall:

1> if out of coverage [FFS the definition of OOC], as defined in TS 38.304 [20], clause 8.2; or

1> if the serving frequency is used for NR sidelink communication and the RSRP measurement of the cell on which the UE camps (for L2 and L3 U2N Remote UE in RRC\_IDLE or RRC\_INACTIVE)/ the PCell (for L3 U2N Remote UE in RRC\_CONNECTED) is below *threshHighRemote* within *sl-remoteUE-Config*:

*Editor’s Note: For L2 Remote UE, the definition/meaning of OoC for NR sidelink discovery/communication needs alignment between TS38.304 and TS38.331.*

2> if the UE does not have a selected NR sidelink U2N Relay UE; or

2> if the UE has a selected NR sidelink U2N Relay UE, and SL-RSRP of the currently selected NR sidelink U2N Relay UE is available and is below *sl-RSRP-Thresh*; or

2> if the UE has a selected NR sidelink U2N Relay UE, and SL-RSRP of the currently selected NR sidelink U2N Relay UE is not available, and SD-RSRP of the currently selected U2N Relay UE is below *sl-RSRP-Thresh*; or

NOTE 1: U2N Remote UE uses SL-RSRP measurements for relay reselection trigger evaluation when there is data transmission from U2N Relay UE to U2N Remote UE, and it is left to UE implementation whether to use SL-RSRP or SD-RSRP for relay reselection trigger evaluation in case of no data transmission from U2N Relay UE to U2N Remote UE. If SD-RSRP is used, the discovery procedure will be preformed between the U2N Remote UE and the selected U2N Relay UE.

2> if the UE has a selected NR sidelink U2N Relay UE, and upper layers indicate not to use the currently selected NR sidelink U2N Relay UE; or

2> if the UE has a selected NR sidelink U2N Relay UE, and upper layers request the release of the PC5-RRC connection with the currently selected U2N Relay UE as specified in clause 5.8.9.5; or

2> if the UE has a selected NR sidelink U2N Relay UE, and sidelink radio link failure is detected on the PC5-RRC connection with the current U2N Relay UE as specified in clause 5.8.9.3:

3> perform NR sidelink discovery procedure as specified in clause 5.8.x1 in order to search for candidate NR sidelink U2N Relay UEs;

4> when evaluating the one or more detected NR sidelink U2N Relay UEs, apply layer 3 filtering as specified in 5.5.3.2 across measurements that concern the same U2N Relay UE ID and using the *sl-FilterCoefficient-RSRP* in *SystemInformationBlockType12* (in coverage) or the preconfigured *sl-FilterCoefficient-RSRP* as defined in 9.3 (out of coverage), before using the SD-RSRP measurement results;

4> select a candidate NR sidelink U2N Relay UE for which SD-RSRP exceeds *sl-RSRP-Thresh* by *sl-HystMin*;

NOTE 2: If multiple suitable candidate relay UEs which meet all AS-layer & higher layer criteria are available, it is up to Remote UE implementation to choose one Relay UE. The details of the interaction with upper layers are up to UE implementation.

3> if the UE did not detect any candidate NR sidelink U2N Relay UE which SD-RSRP exceeds *sl-RSRP-Thresh* by *sl-HystMin*:

4> consider no NR sidelink U2N Relay UE to be selected;

NOTE 3: For L2 U2N Remote UEs in RRC\_IDLE/INACTIVE and L3 U2N Remote UEs, the cell (re)selection procedure and relay (re)selection procedure run independently. If both suitable cells and suitable U2N Relay UEs are available, it is up to U2N Remote UE implementation to select either a cell or a U2N Relay UE. Furthermore, L3 U2N Remote UE’s selection on both cell and U2N Relay UE is also based on UE implementation.

*Next Modified Subclause*

### 6.2.2 Message definitions

– *RRCReestablishment*

The *RRCReestablishment* message is used to re-establish SRB1.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCReestablishment* message**

-- ASN1START

-- TAG-RRCREESTABLISHMENT-START

RRCReestablishment ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcReestablishment RRCReestablishment-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCReestablishment-IEs ::= SEQUENCE {

nextHopChainingCount NextHopChainingCount,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCReestablishment-v17xx-IEs OPTIONAL

}

RRCReestablishment-v17xx-IEs ::= SEQUENCE {

UE-IdentityRemote-r17 RNTI-Value OPTIONAL, -- Cond L2RemoteUE

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCREESTABLISHMENT-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *L2RemoteUE* | The field is mandatory present for L2 U2N Remote UE; otherwise it is absent. |

– *RRCReconfiguration*

The *RRCReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) and AS security configuration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCReconfiguration message***

-- ASN1START

-- TAG-RRCRECONFIGURATION-START

RRCReconfiguration ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcReconfiguration RRCReconfiguration-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCReconfiguration-IEs ::= SEQUENCE {

radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

secondaryCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Cond SCG

measConfig MeasConfig OPTIONAL, -- Need M

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCReconfiguration-v1530-IEs OPTIONAL

}

RRCReconfiguration-v1530-IEs ::= SEQUENCE {

masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

fullConfig ENUMERATED {true} OPTIONAL, -- Cond FullConfig

dedicatedNAS-MessageList SEQUENCE (SIZE(1..maxDRB)) OF DedicatedNAS-Message OPTIONAL, -- Cond nonHO

masterKeyUpdate MasterKeyUpdate OPTIONAL, -- Cond MasterKeyChange

dedicatedSIB1-Delivery OCTET STRING (CONTAINING SIB1) OPTIONAL, -- Need N

dedicatedSystemInformationDelivery OCTET STRING (CONTAINING SystemInformation) OPTIONAL, -- Need N

otherConfig OtherConfig OPTIONAL, -- Need M

nonCriticalExtension RRCReconfiguration-v1540-IEs OPTIONAL

}

RRCReconfiguration-v1540-IEs ::= SEQUENCE {

otherConfig-v1540 OtherConfig-v1540 OPTIONAL, -- Need M

nonCriticalExtension RRCReconfiguration-v1560-IEs OPTIONAL

}

RRCReconfiguration-v1560-IEs ::= SEQUENCE {

mrdc-SecondaryCellGroupConfig SetupRelease { MRDC-SecondaryCellGroupConfig } OPTIONAL, -- Need M

radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

sk-Counter SK-Counter OPTIONAL, -- Need N

nonCriticalExtension RRCReconfiguration-v1610-IEs OPTIONAL

}

RRCReconfiguration-v1610-IEs ::= SEQUENCE {

otherConfig-v1610 OtherConfig-v1610 OPTIONAL, -- Need M

bap-Config-r16 SetupRelease { BAP-Config-r16 } OPTIONAL, -- Need M

iab-IP-AddressConfigurationList-r16 IAB-IP-AddressConfigurationList-r16 OPTIONAL, -- Need M

conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need M

daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need N

t316-r16 SetupRelease {T316-r16} OPTIONAL, -- Need M

needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

onDemandSIB-Request-r16 SetupRelease { OnDemandSIB-Request-r16 } OPTIONAL, -- Need M

dedicatedPosSysInfoDelivery-r16 OCTET STRING (CONTAINING PosSystemInformation-r16-IEs) OPTIONAL, -- Need N

sl-ConfigDedicatedNR-r16 SetupRelease {SL-ConfigDedicatedNR-r16} OPTIONAL, -- Need M

sl-ConfigDedicatedEUTRA-Info-r16 SetupRelease {SL-ConfigDedicatedEUTRA-Info-r16} OPTIONAL, -- Need M

targetCellSMTC-SCG-r16 SSB-MTC OPTIONAL, -- Need S

nonCriticalExtension RRCReconfiguration-v17xx-IEs OPTIONAL

}

RRCReconfiguration-v17xx-IEs ::= SEQUENCE {

sl-L2RelayConfig-r17 SetupRelease { SL-L2RelayConfig-r17 } OPTIONAL, --L2RelayUE

sl-L2RemoteConfig-r17 SetupRelease { SL-L2RemoteConfig-r17 } OPTIONAL, -- L2RemoteUE

...

nonCriticalExtension SEQUENCE {} OPTIONAL

}

MRDC-SecondaryCellGroupConfig ::= SEQUENCE {

mrdc-ReleaseAndAdd ENUMERATED {true} OPTIONAL, -- Need N

mrdc-SecondaryCellGroup CHOICE {

nr-SCG OCTET STRING (CONTAINING RRCReconfiguration),

eutra-SCG OCTET STRING

}

}

BAP-Config-r16 ::= SEQUENCE {

bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

defaultUL-BAP-RoutingID-r16 BAP-RoutingID-r16 OPTIONAL, -- Need M

defaultUL-BH-RLC-Channel-r16 BH-RLC-ChannelID-r16 OPTIONAL, -- Need M

flowControlFeedbackType-r16 ENUMERATED {perBH-RLC-Channel, perRoutingID, both} OPTIONAL, -- Need R

...

}

MasterKeyUpdate ::= SEQUENCE {

keySetChangeIndicator BOOLEAN,

nextHopChainingCount NextHopChainingCount,

nas-Container OCTET STRING OPTIONAL, -- Cond securityNASC

...

}

OnDemandSIB-Request-r16 ::= SEQUENCE {

onDemandSIB-RequestProhibitTimer-r16 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30}

}

T316-r16 ::= ENUMERATED {ms50, ms100, ms200, ms300, ms400, ms500, ms600, ms1000, ms1500, ms2000}

IAB-IP-AddressConfigurationList-r16 ::= SEQUENCE {

iab-IP-AddressToAddModList-r16 SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressConfiguration-r16 OPTIONAL, -- Need N

iab-IP-AddressToReleaseList-r16 SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressIndex-r16 OPTIONAL, -- Need N

...

}

IAB-IP-AddressConfiguration-r16 ::= SEQUENCE {

iab-IP-AddressIndex-r16 IAB-IP-AddressIndex-r16,

iab-IP-Address-r16 IAB-IP-Address-r16 OPTIONAL, -- Need M

iab-IP-Usage-r16 IAB-IP-Usage-r16 OPTIONAL, -- Need M

iab-donor-DU-BAP-Address-r16 BIT STRING (SIZE(10)) OPTIONAL, -- Need M

...

}

SL-ConfigDedicatedEUTRA-Info-r16 ::= SEQUENCE {

sl-ConfigDedicatedEUTRA-r16 OCTET STRING OPTIONAL, -- Need M

sl-TimeOffsetEUTRA-List-r16 SEQUENCE (SIZE (8)) OF SL-TimeOffsetEUTRA-r16 OPTIONAL -- Need M

}

SL-TimeOffsetEUTRA-r16 ::= ENUMERATED {ms0, ms0dot25, ms0dot5, ms0dot625, ms0dot75, ms1, ms1dot25, ms1dot5, ms1dot75,

ms2, ms2dot5, ms3, ms4, ms5, ms6, ms8, ms10, ms20}

SL-L2RelayConfig-r17 ::= SEQUENCE {

sl-RemoteUE-ToAddModList-r17 ::= SEQUENCE (SIZE (1..maxRemoteUE)) OF SL-RemoteUE-ToAddMod-r17 OPTIONAL, -- Need M

sl-RemoteUE-ToReleaseList-r17 ::= SEQUENCE (SIZE (1..maxRemoteUE)) OF SL-DestinationIdentity-r16 OPTIONAL, -- Need M

...

}

SL-L2RemoteConfig-r17 ::= SEQUENCE {

sl-SRAP-Config-Remote-r17 SL-SRAP-Config-r17 OPTIONAL, --Need M

...

}

SL-RemoteUE-ToAddMod-r17 ::= SEQUENCE {

sl-L2Identity-Remote-r17 SL-DestinationIdentity-r16,

sl-SRAP-Config-Relay-r17 SL-SRAP-Config-r17 OPTIONAL, -- L2RelayUE

...

}

SL-SRAP-Config-r17 ::= SEQUENCE {

sl-LocalIdentity-r17 FFS OPTIONAL, -- Need M

sl-MappingToAddModList-r17 SEQUENCE (SIZE (1..maxLC-ID)) OF SL-MappingToAddMod-r17 OPTIONAL, -- Need M

sl-MappingToReleaseList-r17 SEQUENCE (SIZE (1..maxLC-ID)) OF [SL-E2E-RB-Ientity-r17] OPTIONAL, -- Need M

...

}

SL-MappingToAddMod-r17 ::= SEQUENCE {

sl-RemoteUE-RB-Identity-r17 [SL-E2E-RB-Ientity-r17],

sl-Egress-RLC-Channel-Uu-r17 LogicalChannelIdentity OPTIONAL, -- L2RelayUE

sl-Egress-RLC-Channel-PC5-r17 SL-RLC-BearerConfigIndex-r16 OPTIONAL, -- Need N

...

}

-- TAG-RRCRECONFIGURATION-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCReconfiguration-IEs* field descriptions** |
| ***bap-Config***  This field is used to configure the BAP entity for IAB nodes. |
| ***bap-Address***  Indicates the BAP address of an IAB-node. The BAP address of an IAB-node cannot be changed once configured to the BAP entity. |
| ***conditionalReconfiguration***  Configuration of candidate target SpCell(s) and execution condition(s) for conditional handover or conditional PSCell change. For conditional PSCell change, this field may only be present in an *RRCReconfiguration* message for intra-SN PSCell change. The network does not configure a UE with both conditional PCell change and conditional PSCell change simultaneously. The field is absent if any DAPS bearer is configured or if the *masterCellGroup* includes *ReconfigurationWithSync*. For conditional PSCell change, the field is absent if the *secondaryCellGroup* includes *ReconfigurationWithSync*. The *RRCReconfiguration* message contained in *DLInformationTransferMRDC* cannot contain the field *conditionalReconfiguration* for conditional PSCell change. |
| ***daps-SourceRelease***  Indicates to UE that the source cell part of DAPS operation is to be stopped and the source cell part of DAPS configuration is to be released. |
| ***dedicatedNAS-MessageList***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. |
| ***dedicatedPosSysInfoDelivery***  This field is used to transfer *SIBPos* to the UE in RRC\_CONNECTED. |
| ***dedicatedSIB1-Delivery***  This field is used to transfer *SIB1* to the UE. The field has the same values as the corresponding configuration in *servingCellConfigCommon*. |
| ***dedicatedSystemInformationDelivery***  This field is used to transfer *SIB6*, *SIB7*, *SIB8* to the UE with an active BWP with no common serach space configured. For UEs in RRC\_CONNECTED, this field is used to transfer the SIBs requested on-demand. |
| ***defaultUL-BAP-RoutingID***  This field is used for IAB-node to configure the default uplink Routing ID, which is used by IAB-node during IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment for *F1-C* and *non-F1* traffic. The *defaultUL-BAP-RoutingID* can be (re-)configured when IAB-node IP address for *F1-C* related traffic changes. This field is mandatory only for IAB-node bootstrapping. |
| ***defaultUL-BH-RLC-Channel***  This field is used for IAB-nodes to configure the default uplink BH RLC channel*,* which is used by IAB-nodeduring IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment *for F1-C and non-F1 traffic*. The *defaultUL-BH-RLC-Channel* can be (re-)configured when IAB-node IP address for *F1-C* related traffic changes, and the new IP address is anchored at a different IAB-donor-DU. This field is mandatory for IAB-node bootstrapping. If the IAB-MT is operating in EN-DC, the default uplink BH RLC channel is referring to an RLC channel on the SCG; Otherwise, it is referring to an RLC channel on the MCG. |
| ***flowControlFeedbackType***  This field is only used for IAB-node that support hop-by-hop flow control to configure the type of flow control feedback. Value *perBH-RLC-Channel* indicates that the IAB-node shall provide flow control feedback per BH RLC channel, value *perRoutingID* indicates that the IAB-node shall provide flow control feedback per routing ID, and value *both* indicates that the IAB-node shall provide flow control feedback both per BH RLC channel and per routing ID. |
| ***fullConfig***  Indicates that the full configuration option is applicable for the *RRCReconfiguration* message for intra-system intra-RAT HO. For inter-RAT HO from E-UTRA to NR, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent if any DAPS bearer is configured or when the *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message for SCG contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***iab-IP-Address***  This field is used to provide the IP address information for IAB-node. |
| ***iab-IP-AddressIndex***  This field is used to identify a configuration of an IP address. |
| ***iab-IP-AddressToAddModList***  List of IP addresses allocated for IAB-node to be added and modified. |
| ***iab-IP-AddressToReleaseList***  List of IP address allocated for IAB-node to be released. |
| ***iab-IP-Usage***  This field is used to indicate the usage of the assigned IP address. If this field is not configured, the assigned IP address is used for all traffic. |
| ***iab-donor-DU-BAP-Address***  This field is used to indicate the BAP address of the IAB-donor-DU where the IP address is anchored. |
| ***keySetChangeIndicator***  Indicates whether UE shall derive a new KgNB. If *reconfigurationWithSync* is included, value *true* indicates that a KgNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, or N2 handover procedure with KAMF change, as described in TS 33.501 [11] for KgNB re-keying. Value *false* indicates that the new KgNB key is obtained from the current KgNB key or from the NH as described in TS 33.501 [11]. |
| ***masterCellGroup***  Configuration of master cell group. |
| ***mrdc-ReleaseAndAdd***  This field indicates that the current SCG configuration is released and a new SCG is added at the same time. |
| ***mrdc-SecondaryCellGroup***  Includes an RRC message for SCG configuration in NR-DC or NE-DC. For NR-DC (nr-SCG), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup, otherConfig, conditionalReconfiguration* and *measConfig*.  For NE-DC (eutra-SCG), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. |
| ***nas-Container***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS security after inter-system handover to NR. The content is defined in TS 24.501 [23]. |
| ***needForGapsConfigNR***  Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***nextHopChainingCount***  Parameter NCC: See TS 33.501 [11] |
| ***onDemandSIB-Request***  If the field is present, the UE is allowed to request SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. |
| ***onDemandSIB-RequestProhibitTimer***  Prohibit timer for requesting SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 seconds, value s1 means prohibit timer is set to 1 second and so on. |
| ***otherConfig***  Contains configuration related to other configurations. When configured for the SCG, only fields *drx-PreferenceConfig, maxBW-PreferenceConfig, maxCC-PreferenceConfig, maxMIMO-LayerPreferenceConfig*, *minSchedulingOffsetPreferenceConfig, btNameList, wlanNameList, sensorNameList* and *obtainCommonLocation* can be included. |
| ***radioBearerConfig***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. In EN-DC this field may only be present if the *RRCReconfiguration* is transmitted over SRB3. |
| ***radioBearerConfig2***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***Sl-L2RelayConfig***  Contains L2 U2N relay operation related configurations used by L2 U2N Relay UE. |
| ***Sl-L2RemoteConfig***  Contains L2 U2N relay operation related configurations used by L2 U2N Remote UE. |
| ***secondaryCellGroup***  Configuration of secondary cell group ((NG)EN-DC or NR-DC). |
| ***sk-Counter***  A counter used upon initial configuration of S-KgNB or S-KeNB, as well as upon refresh of S-KgNB or S-KeNB. This field is always included either upon initial configuration of an NR SCG or upon configuration of the first RB with *keyToUse* set to *secondary*, whichever happens first. This field is absent if there is neither any NR SCG nor any RB with *keyToUse* set to *secondary*. |
| ***sl-ConfigDedicatedNR***  This field is used to provide the dedicated configurations for NR sidelink communication. |
| ***sl-ConfigDedicatedEUTRA-Info***  This field includes the E-UTRA *RRCConnectionReconfiguration* as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA *RRCConnectionReconfiguration* can only includes sidelink related fields for V2X sidelink communication, i.e. *sl-V2X-ConfigDedicated*, *sl-V2X-SPS-Config*, *measConfig* and/or *otherConfig*. |
| ***sl-TimeOffsetEUTRA***  This field indicates the possible time offset to (de)activation of V2X sidelink transmission after receiving DCI format 3\_1 used for scheduling V2X sidelink communication. Value *ms0dpt75* corresponds to 0.75ms, *ms1* corresponds to 1ms and so on. The network includes this field only when *sl-ConfigDedicatedEUTRA* is configured. |
| ***targetCellSMTC-SCG***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. When UE receives this field, UE applies the configuration based on the timing reference of NR PCell for PSCell addition and PSCell change for the case of no reconfiguration with sync of MCG, and UE applies the configuration based on the timing reference of target NR PCell for the case of reconfiguration with sync of MCG. If both this field and the *smtc* in *secondaryCellGroup* -> *SpCellConfig* -> *reconfigurationWithSync* are absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |
| ***t316***  Indicates the value for timer T316 as described in clause 7.1. Value *ms50* corresponds to 50 ms, value *ms100* corresponds to 100 ms and so on. This field can be configured only if the UE is configured with split SRB1 or SRB3. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *nonHO* | The field is absent in case of reconfiguration with sync within NR or to NR; otherwise it is optionally present, need N. |
| *securityNASC* | This field is mandatory present in case of inter system handover. Otherwise the field is optionally present, need N. |
| *MasterKeyChange* | This field is mandatory present in case *masterCellGroup* includes *ReconfigurationWithSync* and *RadioBearerConfig* includes *SecurityConfig* with *SecurityAlgorithmConfig*, indicating a change of the AS security algorithms associated to the master key. If *ReconfigurationWithSync* is included for other cases, this field is optionally present, need N. Otherwise the field is absent. |
| *FullConfig* | The field is mandatory present in case of inter-system handover from E-UTRA/EPC to NR. It is optionally present, Need N, during reconfiguration with sync and also in first reconfiguration after reestablishment; or for intra-system handover from E-UTRA/5GC to NR. It is absent otherwise. |
| *SCG* | The field is mandatory present in:  - an *RRCReconfiguration* message contained in an *RRCResume* message (or in an *RRCConnectionResume* message, see TS 36.331 [10]),  - an *RRCReconfiguration* message contained in an *RRCConnectionReconfiguration* message, see TS 36.331 [10], which is contained in *DLInformationTransferMRDC* transmitted on SRB3 (as a response to *ULInformationTransferMRDC* including an *MCGFailureInformation*).  The field is optional present, Need M, in:  - an *RRCReconfiguration* message transmitted on SRB3,  - an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or in an *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1  - an *RRCReconfiguration* message contained in another *RRCReconfiguration* message which is contained in *DLInformationTransferMRDC* transmitted on SRB3 (as a response to *ULInformationTransferMRDC* including an *MCGFailureInformation*)  Otherwise, the field is absent |
| *L2RelayUE* | For L2 U2N Relay UE, the field is optionally present, Need M. Otherwise, it is absent. |
| *L2RemoteUE* | The field is mandatory present for L2 U2N Remote UE, need M; otherwise it is absent. |

– *RRCResume*

The *RRCResume* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCResume* message**

-- ASN1START

-- TAG-RRCRESUME-START

RRCResume ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcResume RRCResume-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCResume-IEs ::= SEQUENCE {

radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

measConfig MeasConfig OPTIONAL, -- Need M

fullConfig ENUMERATED {true} OPTIONAL, -- Need N

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCResume-v1560-IEs OPTIONAL

}

RRCResume-v1560-IEs ::= SEQUENCE {

radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

sk-Counter SK-Counter OPTIONAL, -- Need N

nonCriticalExtension RRCResume-v1610-IEs OPTIONAL

}

RRCResume-v1610-IEs ::= SEQUENCE {

idleModeMeasurementReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

restoreMCG-SCells-r16 ENUMERATED {true} OPTIONAL, -- Need N

restoreSCG-r16 ENUMERATED {true} OPTIONAL, -- Need N

mrdc-SecondaryCellGroup-r16 CHOICE {

nr-SCG-r16 OCTET STRING (CONTAINING RRCReconfiguration),

eutra-SCG-r16 OCTET STRING

} OPTIONAL, -- Cond RestoreSCG

needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

nonCriticalExtension RRCResume-v17xx-IEs OPTIONAL

}

RRCResume-v17xx-IEs ::= SEQUENCE {

UE-IdentityRemote-r17 RNTI-Value OPTIONAL, -- Cond L2RemoteUE

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCRESUME-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCResume-IEs* field descriptions** |
| ***idleModeMeasurementReq***  This field indicates that the UE shall report the idle/inactive measurements, if available, to the network in the *RRCResumeComplete* message |
| ***masterCellGroup***  Configuration of the master cell group. |
| ***mrdc-SecondaryCellGroup***  Includes an RRC message for SCG configuration in NR-DC or NE-DC.  For NR-DC (*nr-SCG*), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup* (with at least *reconfigurationWithSync*)*,* *otherConfig* and *measConfig*.  For NE-DC (*eutra-SCG*), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message only include the field *scg-Configuration* with at least *mobilityControlInfoSCG*. |
| ***needForGapsConfigNR***  Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***radioBearerConfig***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. |
| ***radioBearerConfig2***  Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***restoreMCG-SCells***  Indicates that the UE shall restore the MCG SCells from the UE Inactive AS Context, if stored. |
| ***restoreSCG***  Indicates that the UE shall restore the SCG configurations from the UE Inactive AS Context, if stored. |
| ***sk-Counter***  A counter used to derive S-KgNB or S-KeNB based on the newly derived KgNB during RRC Resume. The field is only included when there is one or more RB with *keyToUse* set to *secondary* *or mrdc-SecondaryCellGroup* is included. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *RestoreSCG* | The field is mandatory present if *restoreSCG* is included. It is optionally present, Need M, otherwise. |
| *L2RemoteUE* | The field is mandatory present for L2 U2N Remote UE, need M; otherwise it is absent. |

– *RRCSetup*

The *RRCSetup* message is used to establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: Network to UE

***RRCSetup* message**

-- ASN1START

-- TAG-RRCSETUP-START

RRCSetup ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcSetup RRCSetup-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCSetup-IEs ::= SEQUENCE {

radioBearerConfig RadioBearerConfig,

masterCellGroup OCTET STRING (CONTAINING CellGroupConfig),

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCSetup-v17xx-IEs OPTIONAL

}

RRCSetup-v17xx-IEs ::= SEQUENCE {

UE-IdentityRemote-r17 RNTI-Value OPTIONAL, -- Cond L2RemoteUE

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCSETUP-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCSetup-IEs* field descriptions** |
| ***masterCellGroup***  The network configures only the RLC bearer for the SRB1, *mac-CellGroupConfig*, *physicalCellGroupConfig* and *spCellConfig*. |
| ***radioBearerConfig***  Only SRB1 can be configured in RRC setup. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *L2RemoteUE* | The field is mandatory present for L2 U2N Remote UE, need M; otherwise it is absent. |

*Next Modified Subclause*

6.3.1 System information blocks

– *SIB12*

SIB12 contains NR sidelink communication configuration.

***SIB12* information element**

-- ASN1START

-- TAG-SIB12-START

SIB12-r16 ::= SEQUENCE {

segmentNumber-r16 INTEGER (0..63),

segmentType-r16 ENUMERATED {notLastSegment, lastSegment},

segmentContainer-r16 OCTET STRING

}

SIB12-IEs-r16 ::= SEQUENCE {

sl-ConfigCommonNR-r16 SL-ConfigCommonNR-r16,

lateNonCriticalExtension OCTET STRING OPTIONAL,

...,

[[

sl-DiscConfigCommon-r17 SL-DiscConfigCommon-r17 OPTIONAL -- Need R

]]

}

SL-ConfigCommonNR-r16 ::= SEQUENCE {

sl-FreqInfoList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-FreqConfigCommon-r16 OPTIONAL, -- Need R

sl-UE-SelectedConfig-r16 SL-UE-SelectedConfig-r16 OPTIONAL, -- Need R

sl-NR-AnchorCarrierFreqList-r16 SL-NR-AnchorCarrierFreqList-r16 OPTIONAL, -- Need R

sl-EUTRA-AnchorCarrierFreqList-r16 SL-EUTRA-AnchorCarrierFreqList-r16 OPTIONAL, -- Need R

sl-RadioBearerConfigList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SL-RadioBearerConfig-r16 OPTIONAL, -- Need R

sl-RLC-BearerConfigList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfig-r16 OPTIONAL, -- Need R

sl-MeasConfigCommon-r16 SL-MeasConfigCommon-r16 OPTIONAL, -- Need R

sl-CSI-Acquisition-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

sl-OffsetDFN-r16 INTEGER (1..1000) OPTIONAL, -- Need R

t400-r16 ENUMERATED {ms100, ms200, ms300, ms400, ms600, ms1000, ms1500, ms2000} OPTIONAL, -- Need R

sl-MaxNumConsecutiveDTX-r16 ENUMERATED {n1, n2, n3, n4, n6, n8, n16, n32} OPTIONAL, -- Need R

sl-SSB-PriorityNR-r16 INTEGER (1..8) OPTIONAL -- Need R

}

SL-NR-AnchorCarrierFreqList-r16 ::= SEQUENCE (SIZE (1..maxFreqSL-NR-r16)) OF ARFCN-ValueNR

SL-EUTRA-AnchorCarrierFreqList-r16 ::= SEQUENCE (SIZE (1..maxFreqSL-EUTRA-r16)) OF ARFCN-ValueEUTRA

SL-DiscConfigCommon-r17 ::= SEQUENCE {

sl-RelayUE-ConfigCommon-r17 SL-RelayUE-Config-r17,

sl-RemoteUE-ConfigCommon-r17 SL-RemoteUE-Config-r17

}

-- TAG-SIB12-STOP

-- ASN1STOP

| ***SIB12* field descriptions** |
| --- |
| ***segmentContainer***  This field includes a segment of the encoded *SIB12-IEs*. The size of the included segment in this container should be small enough that the SIB message size is less than or equal to the maximum size of a NR SI, i.e. 2976 bits when SIB12 is broadcast. |
| ***segmentNumber***  This field identifies the sequence number of a segment of *SIB12-IEs*. A segment number of zero corresponds to the first segment, A segment number of one corresponds to the second segment, and so on. |
| ***segmentType***  This field indicates whether the included segment is the last segment or not. |
| ***sl-CSI-Acquisition***  This field indicates whether CSI reporting is enabled in sidelink unicast. If not set, SL CSI reporting is disabled. |
| ***sl-EUTRA-AnchorCarrierFreqList***  This field indicates the EUTRA anchor carrier frequency list, which can provide the NR sidelink communication configurations. |
| ***sl-FreqInfoList***  This field indicates the NR sidelink communication configuration on some carrier frequency (ies). In this release, only one entry can be configured in the list. |
| ***sl-MaxNumConsecutiveDTX***  This field indicates the maximum number of consecutive HARQ DTX before triggering sidelink RLF. Value n1 corresponds to 1, value n2 corresponds to 2, and so on. |
| ***sl-MeasConfigCommon***  This field indicates the measurement configurations (e.g. RSRP) for NR sidelink communication. |
| ***sl-NR-AnchorCarrierFreqList***  This field indicates the NR anchor carrier frequency list, which can provide the NR sidelink communication configurations. |
| ***sl-OffsetDFN***  Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on. |
| ***sl-RadioBearerConfigList***  This field indicates one or multiple sidelink radio bearer configurations. |
| ***sl-RLC-BearerConfigList***  This field indicates one or multiple sidelink RLC bearer configurations. |
| ***sl-SSB-PriorityNR***  This field indicates the priority of NR sidelink SSB transmission and reception. |
| ***t400***  Indicates the value for timer T400 as described in clause 7.1. Value ms100 corresponds to 100 ms, value ms200 corresponds to 200 ms and so on. |

*Next Modified Subclause*

6.3.2 Radio resource control information elements

– *CellGroupConfig*

The *CellGroupConfig* IE is used to configure a master cell group (MCG) or secondary cell group (SCG). A cell group comprises of one MAC entity, a set of logical channels with associated RLC entities and of a primary cell (SpCell) and one or more secondary cells (SCells).

***CellGroupConfig* information element**

-- ASN1START

-- TAG-CELLGROUPCONFIG-START

-- Configuration of one Cell-Group:

CellGroupConfig ::= SEQUENCE {

cellGroupId CellGroupId,

rlc-BearerToAddModList SEQUENCE (SIZE(1..maxLC-ID)) OF RLC-BearerConfig OPTIONAL, -- Need N

rlc-BearerToReleaseList SEQUENCE (SIZE(1..maxLC-ID)) OF LogicalChannelIdentity OPTIONAL, -- Need N

mac-CellGroupConfig MAC-CellGroupConfig OPTIONAL, -- Need M

physicalCellGroupConfig PhysicalCellGroupConfig OPTIONAL, -- Need M

spCellConfig SpCellConfig OPTIONAL, -- Need M

sCellToAddModList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellConfig OPTIONAL, -- Need N

sCellToReleaseList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellIndex OPTIONAL, -- Need N

...,

[[

reportUplinkTxDirectCurrent ENUMERATED {true} OPTIONAL -- Cond BWP-Reconfig

]],

[[

bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

bh-RLC-ChannelToAddModList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelConfig-r16 OPTIONAL, -- Need N

bh-RLC-ChannelToReleaseList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelID-r16 OPTIONAL, -- Need N

f1c-TransferPath-r16 ENUMERATED {lte, nr, both} OPTIONAL, -- Need M

simultaneousTCI-UpdateList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousTCI-UpdateList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousSpatial-UpdatedList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousSpatial-UpdatedList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

uplinkTxSwitchingOption-r16 ENUMERATED {switchedUL, dualUL} OPTIONAL, -- Need R

uplinkTxSwitchingPowerBoosting-r16 ENUMERATED {enabled} OPTIONAL -- Need R

]],

[[

reportUplinkTxDirectCurrentTwoCarrier-r16 ENUMERATED {true} OPTIONAL -- Need N

]]

}

-- Serving cell specific MAC and PHY parameters for a SpCell:

SpCellConfig ::= SEQUENCE {

servCellIndex ServCellIndex OPTIONAL, -- Cond SCG

reconfigurationWithSync ReconfigurationWithSync OPTIONAL, -- Cond ReconfWithSync

rlf-TimersAndConstants SetupRelease { RLF-TimersAndConstants } OPTIONAL, -- Need M

rlmInSyncOutOfSyncThreshold ENUMERATED {n1} OPTIONAL, -- Need S

spCellConfigDedicated ServingCellConfig OPTIONAL, -- Need M

...

}

ReconfigurationWithSync ::= SEQUENCE {

spCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Need M

newUE-Identity RNTI-Value,

t304 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

rach-ConfigDedicated CHOICE {

uplink RACH-ConfigDedicated,

supplementaryUplink RACH-ConfigDedicated

} OPTIONAL, -- Need N

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

]],

[[

daps-UplinkPowerConfig-r16 DAPS-UplinkPowerConfig-r16 OPTIONAL -- Need N

]],

[[

pathSwitchConfig-r17 PathSwitchConfig-r17 OPTIONAL -- DirectToIndirect-PathSwitch

]]

}

DAPS-UplinkPowerConfig-r16 ::= SEQUENCE {

p-DAPS-Source-r16 P-Max,

p-DAPS-Target-r16 P-Max,

uplinkPowerSharingDAPS-Mode-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic }

}

SCellConfig ::= SEQUENCE {

sCellIndex SCellIndex,

sCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Cond SCellAdd

sCellConfigDedicated ServingCellConfig OPTIONAL, -- Cond SCellAddMod

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

]],

[[

sCellState-r16 ENUMERATED {activated} OPTIONAL, -- Cond SCellAddSync

secondaryDRX-GroupConfig-r16 ENUMERATED {true} OPTIONAL -- Cond DRX-Config2

]]}

PathSwitchConfig-r17 ::= SEQUENCE {

targetRelayUEIdentity-r17 FFS,

txxx-r17 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

...,

}

-- TAG-CELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***CellGroupConfig* field descriptions** |
| ***bap-Address***  BAP address of the parent node in cell group. |
| ***bh-RLC-ChannelToAddModList***  Configuration of the backhaul RLC entities and the corresponding MAC Logical Channels to be added and modified. |
| ***bh-RLC-ChannelToReleaseList***  List of the backhaul RLC entities and the corresponding MAC Logical Channels to be released. |
| ***f1c-TransferPath***  The F1-C transfer path that an EN-DC IAB-MT should use for transferring F1-C packets to the IAB-donor-CU. If IAB-MT is configured with *lte*, IAB-MT can only use LTE leg for F1-C transfer. If IAB-MT is configured with *nr*, IAB-MT can only use NR leg for F1-C transfer. If IAB-MT is configured with *both*, it is up to IAB-MT to select an LTE leg or a NR leg for F1-C transfer. If the field is not configured, the IAB node uses the NR leg as the default one. |
| ***mac-CellGroupConfig***  MAC parameters applicable for the entire cell group. |
| ***rlc-BearerToAddModList***  Configuration of the MAC Logical Channel, the corresponding RLC entities and association with radio bearers. |
| ***reportUplinkTxDirectCurrent***  Enables reporting of uplink and supplementary uplink Direct Current location information upon BWP configuration and reconfiguration. This field is only present when the BWP configuration is modified or any serving cell is added or removed. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. If UE is configured with SUL carrier, UE reports both UL and SUL Direct Current locations. |
| ***reportUplinkTxDirectCurrentTwoCarrier***  Enables reporting of uplink Direct Current location information when the UE is configured with uplink intra-band CA with two carriers. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. |
| ***rlmInSyncOutOfSyncThreshold***  BLER threshold pair index for IS/OOS indication generation, see TS 38.133 [14], table 8.1.1-1. *n1* corresponds to the value 1. When the field is absent, the UE applies the value 0. Whenever this is reconfigured, UE resets N310 and N311, and stops T310, if running. Network does not include this field. |
| ***sCellState***  Indicates whether the SCell shall be considered to be in activated state upon SCell configuration. |
| ***sCellToAddModList***  List of secondary serving cells (SCells) to be added or modified. |
| ***sCellToReleaseList***  List of secondary serving cells (SCells) to be released. |
| ***secondaryDRX-GroupConfig***  The field is used to indicate whether the SCell belongs to the secondary DRX group. All serving cells in the secondary DRX group shall belong to one Frequency Range and all serving cells in the legacy DRX group shall belong to another Frequency Range. |
| ***simultaneousTCI-UpdateList1, simultaneousTCI-UpdateList2***  List of serving cells which can be updated simultaneously for TCI relation with a MAC CE. The *simultaneousTCI-UpdateList1* and *simultaneousTCI-UpdateList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***simultaneousSpatial-UpdatedList1, simultaneousSpatial-UpdatedList2***  List of serving cells which can be updated simultaneously for spatial relation with a MAC CE. The *simultaneousSpatial-UpdatedList1* and *simultaneousSpatial-UpdatedList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***spCellConfig***  Parameters for the SpCell of this cell group (PCell of MCG or PSCell of SCG). |
| ***uplinkTxSwitchingOption***  Indicates which option is configured for dynamic UL Tx switching for inter-band UL CA or (NG)EN-DC. The field is set to *switchedUL* if network configures option 1 as specified in TS 38.214 [19], or *dualUL* if network configures option 2 as specified in TS 38.214 [19]. Network always configures UE with a value for this field in inter-band UL CA case and (NG)EN-DC case where UE supports dynamic UL Tx switching. |
| ***uplinkTxSwitchingPowerBoosting***  Indicates whether the UE is allowed to enable 3dB boosting on the maximum output power for transmission on carrier2 under the operation state in which 2-port transmission can be supported on carrier2 for inter-band UL CA case with dynamic UL Tx switching as defined in TS 38.101-1 [15]. Network can only configure this field for dynamic UL Tx switching in inter-band UL CA case with power Class 3 as defined in TS 38.101-1 [15]. |

|  |
| --- |
| ***DAPS-UplinkPowerConfig* field descriptions** |
| ***p-DAPS-Source***  The maximum total transmit power to be used by the UE in the source cell group during DAPS handover. |
| ***p-DAPS-Target***  The maximum total transmit power to be used by the UE in the target cell group during DAPS handover. |
| ***uplinkPowerSharingDAPS-Mode***  Indicates the uplink power sharing mode that the UE uses in DAPS handover (see TS 38.213 [13]). |

|  |
| --- |
| ***ReconfigurationWithSync* field descriptions** |
| ***rach-ConfigDedicated***  Random access configuration to be used for the reconfiguration with sync (e.g. handover). The UE performs the RA according to these parameters in the *firstActiveUplinkBWP* (see *UplinkConfig*). |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell change and NR PCell change. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *spCellConfigCommon*.  For case of NR PCell change, the *smtc* is based on the timing reference of (source) PCell. For case of NR PSCell change, it is based on the timing reference of source PSCell.  If both this field and *targetCellSMTC-SCG* are absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

|  |
| --- |
| ***SCellConfig* field descriptions** |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR SCell addition. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *sCellConfigCommon*. The *smtc* is based on the timing of the SpCell of associated cell group. In case of inter-RAT handover to NR, the timing reference is the NR PCell. In case of intra-NR PCell change (standalone NR) or NR PSCell change (EN-DC), the timing reference is the target SpCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

|  |
| --- |
| ***SpCellConfig* field descriptions** |
| ***reconfigurationWithSync***  Parameters for the synchronous reconfiguration to the target SpCell. |
| ***rlf-TimersAndConstants***  Timers and constants for detecting and triggering cell-level radio link failure. For the SCG, *rlf-TimersAndConstants* can only be set to *setup* and is always included at SCG addition. |
| ***servCellIndex***  Serving cell ID of a PSCell. The PCell of the Master Cell Group uses ID = 0. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *BWP-Reconfig* | The field is optionally present, Need N, if the BWPs are reconfigured or if serving cells are added or removed. Otherwise it is absent. |
| *DRX-Config2* | The field is optionally present, Need N, if *drx-ConfigSecondaryGroup* is configured. It is absent otherwise. |
| *ReconfWithSync* | The field is mandatory present in the *RRCReconfiguration* message:  - in each configured *CellGroupConfig* for which the SpCell changes,  - in the *masterCellGroup:*  - at change of AS security key derived from KgNB,  - in an *RRCReconfiguration* message contained in a *DLInformationTransferMRDC* message,  - path switch to the target PCell for a L2 U2N Remote UE,  - path switch to the target L2 U2N Relay UE,  - in the *secondaryCellGroup* at:  - PSCell addition,  - SCG resume with NR-DC or (NG)EN-DC,  - update of required SI for PSCell,  - change of AS security key derived from S-KgNB in NR-DC while the UE is configured with at least one radio bearer with *keyToUse* set to *secondary* and that is not released by this *RRCReconfiguration* message,  - MN handover in (NG)EN-DC.  Otherwise, it is optionally present, need M. The field is absent in the *masterCellGroup* in *RRCResume* and *RRCSetup* messages and is absent in the *masterCellGroup* in *RRCReconfiguration* messages if source configuration is not released during DAPS handover. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is absent, Need M. |
| *SCellAddMod* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need M. |
| *SCellAddSync* | The field is optionally present, Need N, in case of SCell addition, reconfiguration with sync, and resuming an RRC connection. It is absent otherwise. |
| *SCG* | The field is mandatory present in an *SpCellConfig* for the PSCell. It is absent otherwise. |
| *DirectToIndirect-PathSwitch* | The field is mandatory present at path switch to the target L2 U2N Relay UE, need M. It is absent otherwise. |

NOTE: In case of change of AS security key derived from S-KgNB/S-KeNB, if *reconfigurationWithSync* is not included in the *masterCellGroup*, the network releases all existing MCG RLC bearers associated with a radio bearer with *keyToUse* set to *secondary*. In case of change of AS security key derived from KgNB/KeNB, if *reconfigurationWithSync* is not included in the *secondaryCellGroup*, the network releases all existing SCG RLC bearers associated with a radio bearer with *keyToUse* set to *primary*.

*Next Modified Subclause*

– *MeasObjectToAddModList*

The IE *MeasObjectToAddModList* concerns a list of measurement objects to add or modify.

***MeasObjectToAddModList* information element**

-- ASN1START

-- TAG-MEASOBJECTTOADDMODLIST-START

MeasObjectToAddModList ::= SEQUENCE (SIZE (1..maxNrofObjectId)) OF MeasObjectToAddMod

MeasObjectToAddMod ::= SEQUENCE {

measObjectId MeasObjectId,

measObject CHOICE {

measObjectNR MeasObjectNR,

...,

measObjectEUTRA MeasObjectEUTRA,

measObjectUTRA-FDD-r16 MeasObjectUTRA-FDD-r16,

measObjectNR-SL-r16 MeasObjectNR-SL-r16,

measObjectCLI-r16 MeasObjectCLI-r16,

[[

measObjectRelay-r17 SL-MeasObject-r16

]]

}

}

-- TAG-MEASOBJECTTOADDMODLIST-STOP

-- ASN1STOP

*Next Modified Subclause*

– *MeasResults*

The IE *MeasResults* covers measured results for intra-frequency, inter-frequency, inter-RAT mobility and measured results for NR sidelink communication.

***MeasResults* information element**

-- ASN1START

-- TAG-MEASRESULTS-START

MeasResults ::= SEQUENCE {

measId MeasId,

measResultServingMOList MeasResultServMOList,

measResultNeighCells CHOICE {

measResultListNR MeasResultListNR,

...,

measResultListEUTRA MeasResultListEUTRA,

measResultListUTRA-FDD-r16 MeasResultListUTRA-FDD-r16,

[[

sl-MeasResultsCandRelay-r17 SL-MeasResultsRelay-r17

]]

} OPTIONAL,

...,

[[

measResultServFreqListEUTRA-SCG MeasResultServFreqListEUTRA-SCG OPTIONAL,

measResultServFreqListNR-SCG MeasResultServFreqListNR-SCG OPTIONAL,

measResultSFTD-EUTRA MeasResultSFTD-EUTRA OPTIONAL,

measResultSFTD-NR MeasResultCellSFTD-NR OPTIONAL

]],

[[

measResultCellListSFTD-NR MeasResultCellListSFTD-NR OPTIONAL

]],

[[

measResultForRSSI-r16 MeasResultForRSSI-r16 OPTIONAL,

locationInfo-r16 LocationInfo-r16 OPTIONAL,

ul-PDCP-DelayValueResultList-r16 UL-PDCP-DelayValueResultList-r16 OPTIONAL,

measResultsSL-r16 MeasResultsSL-r16 OPTIONAL,

measResultCLI-r16 MeasResultCLI-r16 OPTIONAL

]],

[[

sl-MeasResultServingRelay-r17 SL-MeasResultRelay-r17

]]

}

MeasResultServMOList ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF MeasResultServMO

MeasResultServMO ::= SEQUENCE {

servCellId ServCellIndex,

measResultServingCell MeasResultNR,

measResultBestNeighCell MeasResultNR OPTIONAL,

...

}

MeasResultListNR ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultNR

MeasResultNR ::= SEQUENCE {

physCellId PhysCellId OPTIONAL,

measResult SEQUENCE {

cellResults SEQUENCE{

resultsSSB-Cell MeasQuantityResults OPTIONAL,

resultsCSI-RS-Cell MeasQuantityResults OPTIONAL

},

rsIndexResults SEQUENCE{

resultsSSB-Indexes ResultsPerSSB-IndexList OPTIONAL,

resultsCSI-RS-Indexes ResultsPerCSI-RS-IndexList OPTIONAL

} OPTIONAL

},

...,

[[

cgi-Info CGI-InfoNR OPTIONAL

]]

}

MeasResultListEUTRA ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultEUTRA

MeasResultEUTRA ::= SEQUENCE {

eutra-PhysCellId PhysCellId,

measResult MeasQuantityResultsEUTRA,

cgi-Info CGI-InfoEUTRA OPTIONAL,

...

}

MultiBandInfoListEUTRA ::= SEQUENCE (SIZE (1..maxMultiBands)) OF FreqBandIndicatorEUTRA

MeasQuantityResults ::= SEQUENCE {

rsrp RSRP-Range OPTIONAL,

rsrq RSRQ-Range OPTIONAL,

sinr SINR-Range OPTIONAL

}

MeasQuantityResultsEUTRA ::= SEQUENCE {

rsrp RSRP-RangeEUTRA OPTIONAL,

rsrq RSRQ-RangeEUTRA OPTIONAL,

sinr SINR-RangeEUTRA OPTIONAL

}

ResultsPerSSB-IndexList::= SEQUENCE (SIZE (1..maxNrofIndexesToReport2)) OF ResultsPerSSB-Index

ResultsPerSSB-Index ::= SEQUENCE {

ssb-Index SSB-Index,

ssb-Results MeasQuantityResults OPTIONAL

}

ResultsPerCSI-RS-IndexList::= SEQUENCE (SIZE (1..maxNrofIndexesToReport2)) OF ResultsPerCSI-RS-Index

ResultsPerCSI-RS-Index ::= SEQUENCE {

csi-RS-Index CSI-RS-Index,

csi-RS-Results MeasQuantityResults OPTIONAL

}

MeasResultServFreqListEUTRA-SCG ::= SEQUENCE (SIZE (1..maxNrofServingCellsEUTRA)) OF MeasResult2EUTRA

MeasResultServFreqListNR-SCG ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF MeasResult2NR

MeasResultListUTRA-FDD-r16 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultUTRA-FDD-r16

MeasResultUTRA-FDD-r16 ::= SEQUENCE {

physCellId-r16 PhysCellIdUTRA-FDD-r16,

measResult-r16 SEQUENCE {

utra-FDD-RSCP-r16 INTEGER (-5..91) OPTIONAL,

utra-FDD-EcN0-r16 INTEGER (0..49) OPTIONAL

}

}

MeasResultForRSSI-r16 ::= SEQUENCE {

rssi-Result-r16 RSSI-Range-r16,

channelOccupancy-r16 INTEGER (0..100)

}

MeasResultCLI-r16 ::= SEQUENCE {

measResultListSRS-RSRP-r16 MeasResultListSRS-RSRP-r16 OPTIONAL,

measResultListCLI-RSSI-r16 MeasResultListCLI-RSSI-r16 OPTIONAL

}

MeasResultListSRS-RSRP-r16 ::= SEQUENCE (SIZE (1.. maxCLI-Report-r16)) OF MeasResultSRS-RSRP-r16

MeasResultSRS-RSRP-r16 ::= SEQUENCE {

srs-ResourceId-r16 SRS-ResourceId,

srs-RSRP-Result-r16 SRS-RSRP-Range-r16

}

MeasResultListCLI-RSSI-r16 ::= SEQUENCE (SIZE (1.. maxCLI-Report-r16)) OF MeasResultCLI-RSSI-r16

MeasResultCLI-RSSI-r16 ::= SEQUENCE {

rssi-ResourceId-r16 RSSI-ResourceId-r16,

cli-RSSI-Result-r16 CLI-RSSI-Range-r16

}

UL-PDCP-DelayValueResultList-r16 ::= SEQUENCE (SIZE (1..maxDRB)) OF UL-PDCP-DelayValueResult-r16

UL-PDCP-DelayValueResult-r16 ::= SEQUENCE {

drb-Id-r16 DRB-Identity,

averageDelay-r16 INTEGER (0..10000),

...

}

-- TAG-MEASRESULTS-STOP

-- ASN1STOP

|  |
| --- |
| ***MeasResultEUTRA* field descriptions** |
| ***eutra-PhysCellId***  Identifies the physical cell identity of the E-UTRA cell for which the reporting is being performed. The UE reports a value in the range 0..503, other values are reserved. |

|  |
| --- |
| ***MeasResultNR* field descriptions** |
| ***averageDelay***  Indicates average delay for the packets during the reporting period, as specified in TS 38.314 [53]. Value 0 corresponds to 0 millisecond, value 1 corresponds to 0.1 millisecond, value 2 corresponds to 0.2 millisecond, and so on. |
| ***cellResults***  Cell level measurement results. |
| ***drb-Id***  Indicates DRB value for which uplink PDCP delay ratio or value is provided, according to TS 38.314 [53]. |
| ***locationInfo***  Positioning related information and measurements. |
| ***physCellId***  The physical cell identity of the NR cell for which the reporting is being performed. |
| ***resultsSSB-Cell***  Cell level measurement results based on SS/PBCH related measurements. |
| ***resultsSSB-Indexes***  Beam level measurement results based on SS/PBCH related measurements. |
| ***resultsCSI-RS-Cell***  Cell level measurement results based on CSI-RS related measurements. |
| ***resultsCSI-RS-Indexes***  Beam level measurement results based on CSI-RS related measurements. |
| ***rsIndexResults***  Beam level measurement results. |

|  |
| --- |
| ***MeasResultUTRA-FDD* field descriptions** |
| ***physCellId***  The physical cell identity of the UTRA-FDD cell for which the reporting is being performed. |
| ***utra-FDD-EcN0***  According to CPICH\_Ec/No in TS 25.133 [46] for FDD. |
| ***utra-FDD-RSCP***  According to CPICH\_RSCP in TS 25.133 [46] for FDD. |

| ***MeasResults* field descriptions** |
| --- |
| ***measId***  Identifies the measurement identity for which the reporting is being performed. |
| ***measQuantityResults***  The value sinr is not included when it is used for *LogMeasReport-r16*. |
| ***measResultCellListSFTD-NR***  SFTD measurement results between the PCell and the NR neighbour cell(s) in NR standalone. |
| ***measResultCLI***  CLI measurement results. |
| ***measResultEUTRA***  Measured results of an E-UTRA cell. |
| ***measResultForRSSI***  Includes measured RSSI result in dBm (see TS 38.215 [9]) and *channelOccupancy* which is the percentage of samples when the RSSI was above the configured *channelOccupancyThreshold* for the associated *reportConfig*. |
| ***measResultListEUTRA***  List of measured results for the maximum number of reported best cells for an E-UTRA measurement identity. |
| ***measResultListNR***  List of measured results for the maximum number of reported best cells for an NR measurement identity. |
| ***measResultListUTRA-FDD***  List of measured results for the maximum number of reported best cells for a UTRA-FDD measurement identity. |
| ***measResultNR***  Measured results of an NR cell. |
| ***measResultServFreqListEUTRA-SCG***  Measured results of the E-UTRA SCG serving frequencies: the measurement result of PSCell and each SCell, if any, and of the best neighbouring cell on each E-UTRA SCG serving frequency. |
| ***measResultServFreqListNR-SCG***  Measured results of the NR SCG serving frequencies: the measurement result of PSCell and each SCell, if any, and of the best neighbouring cell on each NR SCG serving frequency. |
| ***measResultServingMOList***  Measured results of measured cells with reference signals indicated in the serving cell measurement objects including measurement results of SpCell, configured SCell(s) and best neighbouring cell within measured cells with reference signals indicated in on each serving cell measurement object. If the sending of the *MeasurementReport* message is triggered by a measurement configured by the field *sl-ConfigDedicatedForNR* received within an E-UTRA *RRCConnectionReconfiguration* message (i.e. CBR measurements), this field is not applicable and its contents is ignored by the network. |
| ***measResultSFTD-EUTRA***  SFTD measurement results between the PCell and the E-UTRA PScell in NE-DC. |
| ***measResultSFTD-NR***  SFTD measurement results between the PCell and the NR PScell in NR-DC. |
| ***measResultsSL***  CBR measurements results for NR sidelink communication. |
| ***measResultUTRA-FDD***  Measured result of a UTRA-FDD cell. |

*Next Modified Subclause*

– *SL-MeasResultsRelay*

The IE *MeasResultsSLRelay* covers measured results of L2 U2N Relay UEs.

***SL-MeasResultsRelay* information element**

-- ASN1START

-- TAG-SL-MEASRESULTSRELAY-START

SL-MeasResultsRelay-r17 ::= SEQUENCE {

sl-MeasResultsListRelay-r17 CHOICE {

sl-MeasResultNR-Relay-r17 SL-MeasResultNR-Relay-r17,

...

},

...

}

SL-MeasResultNR-Relay-r17 ::= SEQUENCE {

sl-FrequencyInfo-r17 ARFCN-ValueNR,

sl-MeasResultListRelay-r17 SEQUENCE (SIZE (1.. maxNrofRelayToMeasure-r17)) OF SL-MeasResultRelay-r17,

...

}

SL-MeasResultRelay-r17 ::= SEQUENCE {

cellIdentity-r17 FFS,

sl-RelayUEIdentity-r17 FFS,

sl-MeasResult-r17-r17 SL-MeasResult-r16,

...

}

-- TAG-SL-MEASRESULTSRELAY-STOP

-- ASN1STOP

| ***SL-MeasResultsRelay* field descriptions** |
| --- |
| ***Sl-MeasResultNR-Relay***  Include the measured results for L2 U2N Relay UEs. |

|  |
| --- |
| ***SL-MeasResultRelay* field descriptions** |
| ***sl-RelayUEIdentity***  The identitity of the measured L2 U2N Relay UE. |

*Next Modified Subclause*

*– ReportConfigInterRAT*

The IE *ReportConfigInterRAT* specifies criteria for triggering of an inter-RAT measurement reporting event, or an L2 U2N relay measurement reporting event. The inter-RAT measurement reporting events for E-UTRA and UTRA-FDD and L2 U2N Relay UE are labelled B*N* with *N* equal to 1, 2 and so on.

Event B1: Neighbour becomes better than absolute threshold;

Event B2: PCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2;

Event Y1: PCell becomes worse than absolute threshold1 AND candidate L2 U2N Relay UE becomes better than another absolute threshold2;

***ReportConfigInterRAT* information element**

-- ASN1START

-- TAG-REPORTCONFIGINTERRAT-START

ReportConfigInterRAT ::= SEQUENCE {

reportType CHOICE {

periodical PeriodicalReportConfigInterRAT,

eventTriggered EventTriggerConfigInterRAT,

reportCGI ReportCGI-EUTRA,

...,

reportSFTD ReportSFTD-EUTRA

}

}

ReportCGI-EUTRA ::= SEQUENCE {

cellForWhichToReportCGI EUTRA-PhysCellId,

...,

[[

useAutonomousGaps-r16 ENUMERATED {setup} OPTIONAL -- Need R

]]

}

ReportSFTD-EUTRA ::= SEQUENCE {

reportSFTD-Meas BOOLEAN,

reportRSRP BOOLEAN,

...

}

EventTriggerConfigInterRAT ::= SEQUENCE {

eventId CHOICE {

eventB1 SEQUENCE {

b1-ThresholdEUTRA MeasTriggerQuantityEUTRA,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

...

},

eventB2 SEQUENCE {

b2-Threshold1 MeasTriggerQuantity,

b2-Threshold2EUTRA MeasTriggerQuantityEUTRA,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

...

},

...,

[[

eventB1-UTRA-FDD-r16 SEQUENCE {

b1-ThresholdUTRA-FDD-r16 MeasTriggerQuantityUTRA-FDD-r16,

reportOnLeave-r16 BOOLEAN,

hysteresis-r16 Hysteresis,

timeToTrigger-r16 TimeToTrigger,

...

},

eventB2-UTRA-FDD-r16 SEQUENCE {

b2-Threshold1-r16 MeasTriggerQuantity,

b2-Threshold2UTRA-FDD-r16 MeasTriggerQuantityUTRA-FDD-r16,

reportOnLeave-r16 BOOLEAN,

hysteresis-r16 Hysteresis,

timeToTrigger-r16 TimeToTrigger,

...

}

]],

[[

eventY1-Relay-r17 SEQUENCE {

y1-Threshold1-r17 MeasTriggerQuantity,

y1-Threshold2-Relay-r17 SL-MeasTriggerQuantity,

reportOnLeave-r17 BOOLEAN,

hysteresis-r17 Hysteresis,

timeToTrigger-r17 TimeToTrigger,

...

}

]]

},

rsType NR-RS-Type,

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantity MeasReportQuantity,

maxReportCells INTEGER (1..maxCellReport),

...,

[[

reportQuantityUTRA-FDD-r16 MeasReportQuantityUTRA-FDD-r16 OPTIONAL -- Need R

]],

[[

includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL -- Need M

]],

[[

reportQuantityRelay-r17 SL-MeasReportQuantity-r16 OPTIONAL -- Need R

]]

}

PeriodicalReportConfigInterRAT ::= SEQUENCE {

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantity MeasReportQuantity,

maxReportCells INTEGER (1..maxCellReport),

...,

[[

reportQuantityUTRA-FDD-r16 MeasReportQuantityUTRA-FDD-r16 OPTIONAL -- Need R

]],

[[

includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL -- Need M

]],

[[

reportQuantityRelay-r17 SL-MeasReportQuantity-r16 OPTIONAL -- Need R

]]

}

MeasTriggerQuantityUTRA-FDD-r16 ::= CHOICE{

utra-FDD-RSCP-r16 INTEGER (-5..91),

utra-FDD-EcN0-r16 INTEGER (0..49)

}

MeasReportQuantityUTRA-FDD-r16 ::= SEQUENCE {

cpich-RSCP BOOLEAN,

cpich-EcN0 BOOLEAN

}

-- TAG-REPORTCONFIGINTERRAT-STOP

-- ASN1STOP

|  |
| --- |
| ***ReportConfigInterRAT field descriptions*** |
| ***reportType***  Type of the configured measurement report. In (NG)EN-DC, and NR-DC, network does not configure report of type *ReportCGI-EUTRA* for SCG. |

|  |
| --- |
| ***ReportCGI-EUTRA field descriptions*** |
| ***useAutonomousGaps***  Indicates whether or not the UE is allowed to use autonomous gaps in acquiring system information from the E-UTRAN neighbour cell. When the field is included, the UE applies the corresponding value for T321. |

|  |
| --- |
| ***EventTriggerConfigInterRAT* field descriptions** |
| ***b2-Threshold1***  NR threshold to be used in inter RAT measurement report triggering condition for event B2. |
| ***bN-ThresholdEUTRA***  E-UTRA threshold value associated with the selected trigger quantity (RSRP, RSRQ, SINR) to be used in inter RAT measurement report triggering condition for event number bN. In the same *eventB2*, the network configures the same CHOICE name (*rsrp*, *rsrq* or *sinr*) for the *MeasTriggerQuantity* of the *b2-Threshold1* and for the *MeasTriggerQuantityEUTRA* of the *b2-Threshold2EUTRA*. |
| ***eventId***  Choice of inter RAT event triggered reporting criteria. |
| ***maxReportCells***  Max number of non-serving cells to include in the measurement report. |
| ***reportAmount***  *Number* of measurement reports applicable for *eventTriggered* as well as for *periodical* report types |
| ***reportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1. |
| ***reportQuantity, reportQuantityUTRA-FDD***  The cell measurement quantities to be included in the measurement report. If the field *eventB1-UTRA-FDD* or *eventB2-UTRA-FDD* is present, the UE shall ignore the value(s) provided in *reportQuantity*. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |
| ***bN-ThresholdUTRA-FDD***  UTRA-FDD threshold value associated with the selected trigger quantity (RSCP, EcN0) to be used in inter RAT measurement report triggering condition for event number bN.  *utra-FDD-RSCP* corresponds to CPICH\_RSCP in TS 25.133 [46] for FDD. *utra-FDD-EcN0* corresponds to CPICH\_Ec/No in TS 25.133 [46] for FDD.  For *utra-FDD-RSCP*: The actual value is field value – 115 dBm.  For *utra-FDD-EcN0*: The actual value is (field value – 49)/2 dB. |
| ***y-Threshold1***  NR threshold to be used in measurement report triggering condition for event Y. |
| ***y-Threshold2-Relay***  L2 U2N Relay threshold value associated with the selected trigger quantity (i.e. RSRP) to be used in measurement report triggering condition for event number Y. |

|  |
| --- |
| ***PeriodicalReportConfigInterRAT* field descriptions** |
| ***maxReportCells***  Max number of non-serving cells to include in the measurement report. |
| ***reportAmount***  Number of measurement reports applicable for *eventTriggered* as well as for *periodical* report types |
| ***reportQuantity, reportQuantityUTRA-FDD***  The cell measurement quantities to be included in the measurement report. If the field *reportQuantityUTRA-FDD* is present, the UE shall ignore the value(s) provided in *reportQuantity*. |

– *ReportConfigNR*

The IE *ReportConfigNR* specifies criteria for triggering of an NR measurement reporting event or of a CHO or CPC event. For events labelled AN with N equal to 1, 2 and so on, measurement reporting events and CHO or CPC events are based on cell measurement results, which can either be derived based on SS/PBCH block or CSI-RS.

Event A1: Serving becomes better than absolute threshold;

Event A2: Serving becomes worse than absolute threshold;

Event A3: Neighbour becomes amount of offset better than PCell/PSCell;

Event A4: Neighbour becomes better than absolute threshold;

Event A5: PCell/PSCell becomes worse than absolute threshold1 AND Neighbour/SCell becomes better than another absolute threshold2;

Event A6: Neighbour becomes amount of offset better than SCell;

CondEvent A3: Conditional reconfiguration candidate becomes amount of offset better than PCell/PSCell;

CondEvent A5: PCell/PSCell becomes worse than absolute threshold1 AND Conditional reconfiguration candidate becomes better than another absolute threshold2;

For event I1, measurement reporting event is based on CLI measurement results, which can either be derived based on SRS-RSRP or CLI-RSSI.

Event I1: Interference becomes higher than absolute threshold.

Event X1: Seving L2 U2N Relay UE becomes worse than absolute threshold1 AND NR Cell becomes better than another absolute threshold2;

***ReportConfigNR* information element**

-- ASN1START

-- TAG-REPORTCONFIGNR-START

ReportConfigNR ::= SEQUENCE {

reportType CHOICE {

periodical PeriodicalReportConfig,

eventTriggered EventTriggerConfig,

...,

reportCGI ReportCGI,

reportSFTD ReportSFTD-NR,

condTriggerConfig-r16 CondTriggerConfig-r16,

cli-Periodical-r16 CLI-PeriodicalReportConfig-r16,

cli-EventTriggered-r16 CLI-EventTriggerConfig-r16

}

}

ReportCGI ::= SEQUENCE {

cellForWhichToReportCGI PhysCellId,

...,

[[

useAutonomousGaps-r16 ENUMERATED {setup} OPTIONAL -- Need R

]]

}

ReportSFTD-NR ::= SEQUENCE {

reportSFTD-Meas BOOLEAN,

reportRSRP BOOLEAN,

...,

[[

reportSFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

drx-SFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

cellsForWhichToReportSFTD SEQUENCE (SIZE (1..maxCellSFTD)) OF PhysCellId OPTIONAL -- Need R

]]

}

CondTriggerConfig-r16 ::= SEQUENCE {

condEventId CHOICE {

condEventA3 SEQUENCE {

a3-Offset MeasTriggerQuantityOffset,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

condEventA5 SEQUENCE {

a5-Threshold1 MeasTriggerQuantity,

a5-Threshold2 MeasTriggerQuantity,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

...

},

rsType-r16 NR-RS-Type,

...

}

EventTriggerConfig::= SEQUENCE {

eventId CHOICE {

eventA1 SEQUENCE {

a1-Threshold MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

eventA2 SEQUENCE {

a2-Threshold MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

eventA3 SEQUENCE {

a3-Offset MeasTriggerQuantityOffset,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

eventA4 SEQUENCE {

a4-Threshold MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

eventA5 SEQUENCE {

a5-Threshold1 MeasTriggerQuantity,

a5-Threshold2 MeasTriggerQuantity,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

eventA6 SEQUENCE {

a6-Offset MeasTriggerQuantityOffset,

reportOnLeave BOOLEAN,

hysteresis Hysteresis,

timeToTrigger TimeToTrigger,

useWhiteCellList BOOLEAN

},

...,

[[

eventX1-r17 SEQUENCE {

x1-Threshold1-Relay-r17 SL-MeasTriggerQuantity-r16,

x1-Threshold2-r17 MeasTriggerQuantity,

reportOnLeave-r17 BOOLEAN,

hysteresis-r17 Hysteresis,

timeToTrigger-r17 TimeToTrigger

}

]]

},

rsType NR-RS-Type,

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantityCell MeasReportQuantity,

maxReportCells INTEGER (1..maxCellReport),

reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

includeBeamMeasurements BOOLEAN,

reportAddNeighMeas ENUMERATED {setup} OPTIONAL, -- Need R

...,

[[

measRSSI-ReportConfig-r16 MeasRSSI-ReportConfig-r16 OPTIONAL, -- Need R

useT312-r16 BOOLEAN OPTIONAL, -- Need M

includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL -- Need M

]]

}

PeriodicalReportConfig ::= SEQUENCE {

rsType NR-RS-Type,

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantityCell MeasReportQuantity,

maxReportCells INTEGER (1..maxCellReport),

reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

includeBeamMeasurements BOOLEAN,

useWhiteCellList BOOLEAN,

...,

[[

measRSSI-ReportConfig-r16 MeasRSSI-ReportConfig-r16 OPTIONAL, -- Need R

includeCommonLocationInfo-r16 ENUMERATED {true} OPTIONAL, -- Need R

includeBT-Meas-r16 SetupRelease {BT-NameList-r16} OPTIONAL, -- Need M

includeWLAN-Meas-r16 SetupRelease {WLAN-NameList-r16} OPTIONAL, -- Need M

includeSensor-Meas-r16 SetupRelease {Sensor-NameList-r16} OPTIONAL, -- Need M

ul-DelayValueConfig-r16 SetupRelease { UL-DelayValueConfig-r16 } OPTIONAL, -- Need M

reportAddNeighMeas-r16 ENUMERATED {setup} OPTIONAL -- Need R

]]

}

NR-RS-Type ::= ENUMERATED {ssb, csi-rs}

MeasTriggerQuantity ::= CHOICE {

rsrp RSRP-Range,

rsrq RSRQ-Range,

sinr SINR-Range

}

MeasTriggerQuantityOffset ::= CHOICE {

rsrp INTEGER (-30..30),

rsrq INTEGER (-30..30),

sinr INTEGER (-30..30)

}

MeasReportQuantity ::= SEQUENCE {

rsrp BOOLEAN,

rsrq BOOLEAN,

sinr BOOLEAN

}

MeasRSSI-ReportConfig-r16 ::= SEQUENCE {

channelOccupancyThreshold-r16 RSSI-Range-r16 OPTIONAL -- Need R

}

CLI-EventTriggerConfig-r16 ::= SEQUENCE {

eventId-r16 CHOICE {

eventI1-r16 SEQUENCE {

i1-Threshold-r16 MeasTriggerQuantityCLI-r16,

reportOnLeave-r16 BOOLEAN,

hysteresis-r16 Hysteresis,

timeToTrigger-r16 TimeToTrigger

},

...

},

reportInterval-r16 ReportInterval,

reportAmount-r16 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

maxReportCLI-r16 INTEGER (1..maxCLI-Report-r16),

...

}

CLI-PeriodicalReportConfig-r16 ::= SEQUENCE {

reportInterval-r16 ReportInterval,

reportAmount-r16 ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

reportQuantityCLI-r16 MeasReportQuantityCLI-r16,

maxReportCLI-r16 INTEGER (1..maxCLI-Report-r16),

...

}

MeasTriggerQuantityCLI-r16 ::= CHOICE {

srs-RSRP-r16 SRS-RSRP-Range-r16,

cli-RSSI-r16 CLI-RSSI-Range-r16

}

MeasReportQuantityCLI-r16 ::= ENUMERATED {srs-rsrp, cli-rssi}

-- TAG-REPORTCONFIGNR-STOP

-- ASN1STOP

|  |
| --- |
| ***CondTriggerConfig* field descriptions** |
| ***a3-Offset***  Offset value(s) to be used in NR conditional reconfiguration triggering condition for cond event a3. The actual value is field value \* 0.5 dB. |
| ***a5-Threshold1/ a5-Threshold2***  Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR conditional reconfiguration triggering condition for cond event a5. In the same *condeventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***condEventId***  Choice of NR conditional reconfiguration event triggered criteria. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to execute the conditional reconfiguration evaluation. |

|  |
| --- |
| ***ReportConfigNR* field descriptions** |
| ***reportType***  Type of the configured measurement report. In MR-DC, network does not configure report of type *reportCGI* using SRB3. The *condTriggerConfig is* used for CHO or CPC configuration. |

|  |
| --- |
| ***ReportCGI* field descriptions** |
| ***useAutonomousGaps***  Indicates whether or not the UE is allowed to use autonomous gaps in acquiring system information from the NR neighbour cell. When the field is included, the UE applies the corresponding value for T321. |

|  |
| --- |
| ***EventTriggerConfig* field descriptions** |
| ***a3-Offset/a6-Offset***  Offset value(s) to be used in NR measurement report triggering condition for event a3/a6. The actual value is field value \* 0.5 dB. |
| ***aN-ThresholdM***  Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR measurement report triggering condition for event number aN. If multiple thresholds are defined for event number aN, the thresholds are differentiated by M. The network configures aN-Threshold1 only for events A1, A2, A4, A5 and a5-Threshold2 only for event A5. In the same *eventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***channelOccupancyThreshold***  RSSI threshold which is used for channel occupancy evaluation. |
| ***eventId***  Choice of NR event triggered reporting criteria. |
| ***maxNrofRS-IndexesToReport***  Max number of RS indexes to include in the measurement report for A1-A6 events. |
| ***maxReportCells***  Max number of non-serving cells to include in the measurement report. |
| ***reportAddNeighMeas***  Indicates that the UE shall include the best neighbour cells per serving frequency. |
| ***reportAmount***  *Number* of measurement reports applicable for *eventTriggered* as well as for *periodical* report types. |
| ***reportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1. |
| ***reportQuantityCell***  The cell measurement quantities to be included in the measurement report. |
| ***reportQuantityRS-Indexes***  Indicates which measurement information per RS index the UE shall include in the measurement report. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |
| ***useT312***  If value *TRUE* is configured, the UE shall use the timer T312 with the value *t312* as specified in the corresponding *measObjectNR*. If value FALSE is configured, the timer T312 is considered as disabled. Network configures value *TRUE* only if *reportType* is set to *eventTriggered*. This field can not be configured to event X1. |
| ***useWhiteCellList***  Indicates whether only the cells included in the white-list of the associated measObject are applicable as specified in 5.5.4.1. |
| ***x1-Threshold***  Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR measurement report triggering condition for event x1. X1-Threshold1 indicates the threshold value for the serving L2 U2N Relay UE, X1-Threshold2 indicates the threshold value for the NR Cells. |

|  |
| --- |
| ***CLI-EventTriggerConfig* field descriptions** |
| ***i1-Threshold***  Threshold value associated to the selected trigger quantity (e.g. SRS-RSRP, CLI-RSSI) to be used in CLI measurement report triggering condition for event i1. |
| ***eventId***  Choice of CLI event triggered reporting criteria. |
| ***maxReportCLI***  Max number of CLI measurement resource to include in the measurement report. |
| ***reportAmount***  *Number* of measurement reports. |
| ***reportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a CLI measurement resource in *srsTriggeredList* or *rssiTriggeredList*, as specified in 5.5.4.1. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to trigger a measurement report. |

|  |
| --- |
| ***CLI-PeriodicalReportConfig* field descriptions** |
| ***maxReportCLI***  Max number of CLI measurement resource to include in the measurement report. |
| ***reportAmount***  *Number* of measurement reports. |
| ***reportQuantityCLI***  The CLI measurement quantities to be included in the measurement report. |

*Next Modified Subclause*

6.3.5 Sidelink information elements

– *SL-BWP-Config*

The IE *SL-BWP-Config* is used to configure the UE specific NR sidelink communication on one particular sidelink bandwidth part.

***SL-BWP-Config* information element**

-- ASN1START

-- TAG-SL-BWP-CONFIG-START

SL-BWP-Config-r16 ::= SEQUENCE {

sl-BWP-Id BWP-Id,

sl-BWP-Generic-r16 SL-BWP-Generic-r16 OPTIONAL, -- Need M

sl-BWP-PoolConfig-r16 SL-BWP-PoolConfig-r16 OPTIONAL, -- Need M

...,

[[

sl-BWP-DiscPoolConfig-r17 SetupRelease {SL-BWP-DiscPoolConfig-r17} OPTIONAL -- Need M

]]

}

SL-BWP-Generic-r16 ::= SEQUENCE {

sl-BWP-r16 BWP OPTIONAL, -- Need M

sl-LengthSymbols-r16 ENUMERATED {sym7, sym8, sym9, sym10, sym11, sym12, sym13, sym14} OPTIONAL, -- Need M

sl-StartSymbol-r16 ENUMERATED {sym0, sym1, sym2, sym3, sym4, sym5, sym6, sym7} OPTIONAL, -- Need M

sl-PSBCH-Config-r16 SetupRelease {SL-PSBCH-Config-r16} OPTIONAL, -- Need M

sl-TxDirectCurrentLocation-r16 INTEGER (0..3301) OPTIONAL, -- Need M

...

}

-- TAG-SL-BWP-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***SL-BWP-Config* field descriptions** |
| ***sl-BWP-Generic***  This field indicates the generic parameters on the configured sidelink BWP. |
| ***sl-BWP-PoolConfig***  This field indicates the resource pool configurations on the configured sidelink BWP. |
| ***sl-BWP-DiscPoolConfig***  This field indicates the NR sidelink discovery dedicated resource pool configurations on the configured sidelink BWP. The total number of Rx/Tx resource pools configured for communication and discovery does not exceed the maximum number of Rx/Tx resource pool for NR sidelink communication (i.e. *maxNrofRXPool-r16*/*maxNrofTXPool-r16*). |

|  |
| --- |
| ***SL-BWP-Generic* field descriptions** |
| ***sl-LengthSymbols***  This field indicates the number of symbols used for sidelink in a slot without SL-SSB. A single value can be (pre)configured per sidelink bandwidth part. |
| ***sl-StartSymbol***  This field indicates the starting symbol used for sidelink in a slot without SL-SSB. A single value can be (pre)configured per sidelink bandwidth part. |
| ***sl-TxDirectCurrentLocation***  The sidelink Tx/Rx Direct Current location for the carrier. Only values in the value range of this field between 0 and 3299, which indicate the subcarrier index within the carrier corresponding to the numerology of the corresponding sidelink BWP and value 3300, which indicates "Outside the carrier" and value 3301, which indicates "Undetermined position within the carrier" are used in this version of the specification. |

– *SL-BWP-ConfigCommon*

The IE *SL-BWP-ConfigCommon* is used to configure the cell-specific configuration information on one particular sidelink bandwidth part.

***SL-BWP-ConfigCommon* information element**

-- ASN1START

-- TAG-SL-BWP-CONFIGCOMMON-START

SL-BWP-ConfigCommon-r16 ::= SEQUENCE {

sl-BWP-Generic-r16 SL-BWP-Generic-r16 OPTIONAL, -- Need R

sl-BWP-PoolConfigCommon-r16 SL-BWP-PoolConfigCommon-r16 OPTIONAL, -- Need R

...,

[[

sl-BWP-DiscPoolConfigCommon-r17 SL-BWP-DiscPoolConfigCommon-r17 OPTIONAL -- Need R

]]

}

-- TAG-SL-BWP-CONFIGCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| ***SL-BWP-ConfigCommon* field descriptions** |
| ***sl-BWP-Generic***  This field indicates the generic parameters on the configured sidelink BWP. |
| ***sl-BWP-PoolConfigCommon***  This field indicates the resource pool configurations on the configured sidelink BWP. |
| ***sl-BWP-DiscPoolConfigCommon***  This field indicates the NR sidelink discovery dedicated resource pool configurations on the configured sidelink BWP. The total number of Rx/Tx resource pools configured for communication and discovery does not exceed the maximum number of Rx/Tx resource pool for NR sidelink communication (i.e. *maxNrofRXPool-r16*/*maxNrofTXPool-r16*). |

– *SL-BWP-DiscPoolConfig*

The IE *SL-BWP-DiscPoolConfig* is used to configure UE specific NR sidelink discovery dedicated resource pool.

***SL-BWP-DiscPoolConfig* information element**

-- ASN1START

-- TAG-SL-BWP-DISCPOOLCONFIG-START

SL-BWP-DiscPoolConfig-r17 ::= SEQUENCE {

sl-DiscRxPool-r17 SEQUENCE (SIZE (1..maxNrofRXPool-r16)) OF SL-ResourcePool-r16 OPTIONAL, -- Cond HO

sl-DiscTxPoolSelected-r17 SL-TxPoolDedicated-r16 OPTIONAL, -- Need M

sl-DiscTxPoolScheduling-r17 SL-TxPoolDedicated-r16 OPTIONAL -- Need N

}

-- TAG-SL-BWP-DISCPOOLCONFIG-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *HO* | This field is optionally present, need M, in an *RRCReconfiguration* message including *reconfigurationWithSync*; otherwise it is absent, need M. |

– *SL-BWP-DiscPoolConfigCommon*

The IE *SL-BWP-DiscPoolConfigCommon* is used to configure the cell-specific NR sidelink discovery dedicated resource pool.

***SL-BWP-DiscPoolConfigCommon* information element**

-- ASN1START

-- TAG-SL-BWP-DISCPOOLCONFIGCOMMON-START

SL-BWP-DiscPoolConfigCommon-r17 ::= SEQUENCE {

sl-DiscRxPool-r17 SEQUENCE (SIZE (1..maxNrofRXPool-r16)) OF SL-ResourcePool-r16 OPTIONAL, -- Need R

sl-DiscTxPoolSelected-r17 SEQUENCE (SIZE (1..maxNrofTXPool-r16)) OF SL-ResourcePoolConfig-r16 OPTIONAL, -- Need R

...

}

-- TAG-SL-BWP-DISCPOOLCONFIGCOMMON-STOP

-- ASN1STOP

*Next Modified Subclause*

– *SL-ConfigDedicatedNR*

The IE *SL-ConfigDedicatedNR* specifies the dedicated configuration information for NR sidelink communication/discovery.

***SL-ConfigDedicatedNR* information element**

-- ASN1START

-- TAG-SL-CONFIGDEDICATEDNR-START

SL-ConfigDedicatedNR-r16 ::= SEQUENCE {

sl-PHY-MAC-RLC-Config-r16 SL-PHY-MAC-RLC-Config-r16 OPTIONAL, -- Need M

sl-RadioBearerToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SLRB-Uu-ConfigIndex-r16 OPTIONAL, -- Need N

sl-RadioBearerToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SL-RadioBearerConfig-r16 OPTIONAL, -- Need N

sl-MeasConfigInfoToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-DestinationIndex-r16 OPTIONAL, -- Need N

sl-MeasConfigInfoToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-MeasConfigInfo-r16 OPTIONAL, -- Need N

t400-r16 ENUMERATED {ms100, ms200, ms300, ms400, ms600, ms1000, ms1500, ms2000} OPTIONAL, -- Need M

...,

[[

sl-DiscConfig-r17 SL-DiscConfig-r17 OPTIONAL -- Need M

]]

}

SL-DestinationIndex-r16 ::= INTEGER (0..maxNrofSL-Dest-1-r16)

SL-PHY-MAC-RLC-Config-r16::= SEQUENCE {

sl-ScheduledConfig-r16 SetupRelease { SL-ScheduledConfig-r16 } OPTIONAL, -- Need M

sl-UE-SelectedConfig-r16 SetupRelease { SL-UE-SelectedConfig-r16 } OPTIONAL, -- Need M

sl-FreqInfoToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-Freq-Id-r16 OPTIONAL, -- Need N

sl-FreqInfoToAddModList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-FreqConfig-r16 OPTIONAL, -- Need N

sl-RLC-BearerToReleaseList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfigIndex-r16 OPTIONAL, -- Need N

sl-RLC-BearerToAddModList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfig-r16 OPTIONAL, -- Need N

sl-MaxNumConsecutiveDTX-r16 ENUMERATED {n1, n2, n3, n4, n6, n8, n16, n32} OPTIONAL, -- Need M

sl-CSI-Acquisition-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

sl-CSI-SchedulingRequestId-r16 SetupRelease {SchedulingRequestId} OPTIONAL, -- Need M

sl-SSB-PriorityNR-r16 INTEGER (1..8) OPTIONAL, -- Need R

networkControlledSyncTx-r16 ENUMERATED {on, off} OPTIONAL -- Need M

}

SL-DiscConfig-r17::= SEQUENCE {

sl-RelayUE-Config-r17 SetupRelease { SL-RelayUE-Config-r17} OPTIONAL, -- L2RelayUE

sl-RemoteUE-Config-r17 SetupRelease { SL-RemoteUE-Config-r17} OPTIONAL -- L2RemoteUE

}

-- TAG-SL-CONFIGDEDICATEDNR-STOP

-- ASN1STOP

| ***SL-ConfigDedicatedNR* field descriptions** |
| --- |
| ***sl-MeasConfigInfoToAddModList***  This field indicates the RSRP measurement configurations for unicast destinations to add and/or modify. |
| ***sl-MeasConfigInfoToReleaseList***  This field indicates the RSRP measurement configurations for unicast destinations to remove. |
| ***sl-PHY-MAC-RLC-Config***  This field indicates the lower layer sidelink radio bearer configurations. |
| ***sl-RadioBearerToAddModList***  This field indicates one or multiple sidelink radio bearer configurations to add and/or modify. |
| ***sl-RadioBearerToReleaseList***  This field indicates one or multiple sidelink radio bearer configurations to remove. |

| ***SL-PHY-MAC-RLC-Config* field descriptions** |
| --- |
| ***networkControlledSyncTx***  This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *on* indicates the UE to transmit synchronisation information while value *off* indicates the UE to not transmit such information. |
| ***sl-MaxNumConsecutiveDTX***  This field indicates the maximum number of consecutive HARQ DTX before triggering sidelink RLF. Value n1 corresponds to 1, value n2 corresponds to 2, and so on. |
| ***sl-FreqInfoToAddModList***  This field indicates the NR sidelink communication configuration on some carrier frequency (ies) to add and/or modify. In this release, only one entry can be configured in the list. |
| ***sl-FreqInfoToReleaseList***  This field indicates the NR sidelink communication configuration on some carrier frequency (ies) to remove. In this release, only one entry can be configured in the list. |
| ***sl-RLC-BearerToAddModList***  This field indicates one or multiple sidelink RLC bearer configurations to add and/or modify. |
| ***sl-RLC-BearerToReleaseList***  This field indicates one or multiple sidelink RLC bearer configurations to remove. |
| ***sl-ScheduledConfig***  Indicates the configuration for UE to transmit NR sidelink communication based on network scheduling. This field is not configured simultaneously with sl-UE-SelectedConfig. |
| ***sl-UE-SelectedConfig***  Indicates the configuration used for UE autonomous resource selection. This field is not configured simultaneously with *sl-ScheduledConfig*. |
| ***sl-CSI-Acquisition***  Indicates whether CSI reporting is enabled in sidelink unicast. If the field is absent, sidelink CSI reporting is disabled. |
| ***sl-CSI-SchedulingRequestId***  If present, it indicates the scheduling request configuration applicable for sidelink CSI report MAC CE, as specified in TS 38.321 [3]. |
| ***sl-SSB-PriorityNR***  This field indicates the priority of NR sidelink SSB transmission and reception. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *L2RelayUE* | For L2 U2N Relay UE, the field is optionally present, Need M. Otherwise, it is absent. |
| *L2RemoteUE* | For L2 U2N Remote UE, the field is optionally present, Need M. Otherwise, it is absent. |

– *SL-RelayUE-Config*

The IE *SL-RelayUE-Config* specifies the configuration information for NR sidelink U2N Relay UE.

***SL-RelayUE-Config* information element**

-- ASN1START

-- TAG-SL-RELAYUE-CONFIG-START

SL-RelayUE-Config-r17::= SEQUENCE {

threshHighRelay-r17 FFS OPTIONAL, -- Need R

threshLowRelay-r17 FFS OPTIONAL, -- Need R

hystMaxRelay-r17 ENUMERATED {FFS} OPTIONAL, -- Cond ThreshHighRelay

hystMinRelay-r17 ENUMERATED {FFS} OPTIONAL -- Cond ThreshLowRelay

}

-- TAG-SL-RELAYUE-CONFIG-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *ThreshHighRelay* | This field is mandatory present if *threshHighRelay* is included. Otherwise, the field is absent, Need R. |
| *ThreshLowRelay* | This field is mandatory present if *threshLowRelay* is included. Otherwise, the field is absent, Need R. |

– *SL-RemoteUE-Config*

The IE *SL-RemoteUE-Config* specifies the configuration information for NR sidelink U2N Remote UE.

***SL-RemoteUE-Config* information element**

-- ASN1START

-- TAG-SL-REMOTEUE-CONFIG-START

SL-RemoteUE-Config-r17::= SEQUENCE {

threshHighRemote-r17 FFS OPTIONAL, -- Need R

hystMaxRemote-r17 ENUMERATED {FFS} OPTIONAL, -- Cond ThreshHighRemote

sl-ReselectionConfig-r17 SL-ReselectionConfig-r17 OPTIONAL -- Need R

}

SL-ReselectionConfig-r17::= SEQUENCE {

sl-RSRP-Thresh-r17 FFS OPTIONAL, -- Need R

sl-FilterCoefficient-RSRP-r17 FilterCoefficient OPTIONAL, -- Need R

sl-HystMin-r17 ENUMERATED {FFS} OPTIONAL -- Need R

}

-- TAG-SL-REMOTEUE-CONFIG-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *ThreshHighRemote* | This field is mandatory present if *threshHighRemote* is included. Otherwise, the field is absent, Need R. |

*Next Modified Subclause*

– *SL-RLC-BearerConfig*

The IE *SL-RLC-BearerConfig* specifies the SL RLC bearer configuration information for NR sidelink communication.

***SL-RLC-BearerConfig* information element**

-- ASN1START

-- TAG-SL-RLC-BEARERCONFIG-START

SL-RLC-BearerConfig-r16 ::= SEQUENCE {

sl-RLC-BearerConfigIndex-r16 SL-RLC-BearerConfigIndex-r16,

sl-ServedRadioBearer-r16 SLRB-Uu-ConfigIndex-r16 OPTIONAL, -- Cond LCH-SetupOnly

sl-RLC-Config-r16 SL-RLC-Config-r16 OPTIONAL, -- Cond LCH-Setup

sl-MAC-LogicalChannelConfig-r16 SL-LogicalChannelConfig-r16 OPTIONAL, -- Cond LCH-Setup

...,

[[

sl-PacketDelayBudget-r17 INTEGER (0..1023) OPTIONAL -- Cond L2U2N

]]

}

-- TAG-SL-RLC-BEARERCONFIG-STOP

-- ASN1STOP

| ***SL-RLC-BearerConfig* field descriptions** |
| --- |
| ***sl-MAC-LogicalChannelConfig***  The field is used to configure MAC SL logical channel paramenters. |
| ***sl-RLC-BearerConfigIndex***  The index of the RLC bearer configuration. |
| ***sl-RLC-Config***  Determines the RLC mode (UM, AM) and provides corresponding parameters. |
| ***sl-ServedRadioBearer***  Associates the sidelink RLC Bearer with a sidelink DRB. It indicates the index of SL radio bearer configuration, which is corresponding to the RLC bearer configuration. |
| ***sl-PacketDelayBudget***  Indicates the Packet Delay Budget for a PC5 RLC bearer. Upper bound value for the delay that a packet may experience expressed in unit of 0.5ms |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *LCH-Setup* | The field is mandatory present upon creation of a new sidelink logical channel via the dedicated signalling and in case of sidelink DRB configuration via system information and pre-configuration; otherwise the field is optionally present, Need M. |
| *LCH-SetupOnly* | This field is mandatory present upon creation of a new sidelink logical channel via the dedicated signalling and in case of sidelink DRB configuration via system information and pre-configuration. Otherwise, it is absent, Need M. |
| *L2U2N* | The field is optional present for L2 U2N Relay UE and L2 U2N Remote UE, need M. Otherwise, it is absent. |

*Next Modified Subclause*

6.4 RRC multiplicity and type constraint values

– Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxAI-DCI-PayloadSize-r16 INTEGER ::= 128 --Maximum size of the DCI payload scrambled with ai-RNTI

maxAI-DCI-PayloadSize-1-r16 INTEGER ::= 127 --Maximum size of the DCI payload scrambled with ai-RNTI minus 1

maxBandComb INTEGER ::= 65536 -- Maximum number of DL band combinations

maxBandsUTRA-FDD-r16 INTEGER ::= 64 -- Maximum number of bands listed in UTRA-FDD UE caps

maxBH-RLC-ChannelID-r16 INTEGER ::= 65536 -- Maximum value of BH RLC Channel ID

maxBT-IdReport-r16 INTEGER ::= 32 -- Maximum number of Bluetooth IDs to report

maxBT-Name-r16 INTEGER ::= 4 -- Maximum number of Bluetooth name

maxCAG-Cell-r16 INTEGER ::= 16 -- Maximum number of NR CAG cell ranges in SIB3, SIB4

maxTwoPUCCH-Grp-ConfigList-r16 INTEGER ::= 32 -- Maximum number of supported configuration(s) of {primary PUCCH group

-- config, secondary PUCCH group config}

maxCBR-Config-r16 INTEGER ::= 8 -- Maximum number of CBR range configurations for sidelink communication

-- congestion control

maxCBR-Config-1-r16 INTEGER ::= 7 -- Maximum number of CBR range configurations for sidelink communication

-- congestion control minus 1

maxCBR-Level-r16 INTEGER ::= 16 -- Maximum nuber of CBR levels

maxCBR-Level-1-r16 INTEGER ::= 15 -- Maximum number of CBR levels minus 1

maxCellBlack INTEGER ::= 16 -- Maximum number of NR blacklisted cell ranges in SIB3, SIB4

maxCellGroupings-r16 INTEGER ::= 32 -- Maximum number of cell groupings for NR-DC

maxCellHistory-r16 INTEGER ::= 16 -- Maximum number of visited cells reported

maxCellInter INTEGER ::= 16 -- Maximum number of inter-Freq cells listed in SIB4

maxCellIntra INTEGER ::= 16 -- Maximum number of intra-Freq cells listed in SIB3

maxCellMeasEUTRA INTEGER ::= 32 -- Maximum number of cells in E-UTRAN

maxCellMeasIdle-r16 INTEGER ::= 8 -- Maximum number of cells per carrier for idle/inactive measurements

maxCellMeasUTRA-FDD-r16 INTEGER ::= 32 -- Maximum number of cells in FDD UTRAN

maxCellWhite INTEGER ::= 16 -- Maximum number of NR whitelisted cell ranges in SIB3, SIB4

maxEARFCN INTEGER ::= 262143 -- Maximum value of E-UTRA carrier frequency

maxEUTRA-CellBlack INTEGER ::= 16 -- Maximum number of E-UTRA blacklisted physical cell identity ranges

-- in SIB5

maxEUTRA-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxLogMeasReport-r16 INTEGER ::= 520 -- Maximum number of entries for logged measurements

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands that a cell belongs to

maxNARFCN INTEGER ::= 3279165 -- Maximum value of NR carrier frequency

maxNR-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxFreqIdle-r16 INTEGER ::= 8 -- Maximum number of carrier frequencies for idle/inactive measurements

maxNrofServingCells INTEGER ::= 32 -- Max number of serving cells (SpCells + SCells)

maxNrofServingCells-1 INTEGER ::= 31 -- Max number of serving cells (SpCell + SCells) per cell group

maxNrofAggregatedCellsPerCellGroup INTEGER ::= 16

maxNrofAggregatedCellsPerCellGroupMinus4-r16 INTEGER ::= 12

maxNrofDUCells-r16 INTEGER ::= 512 -- Max number of cells configured on the collocated IAB-DU

maxNrofAvailabilityCombinationsPerSet-r16 INTEGER ::= 512 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5

maxNrofAvailabilityCombinationsPerSet-1-r16 INTEGER ::= 511 -- Max number of AvailabilityCombinationId used in the DCI format 2\_5 minus 1

maxNrofSCells INTEGER ::= 31 -- Max number of secondary serving cells per cell group

maxNrofCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the cell lists in a measurement object

maxNrofRelayMeas INTEGER ::= FFS -- Maximum number of entries in each of the Relay lists in a measurement object

maxNrofRelayToMeasure INTEGER ::= FFS -- Maximum number of L2 U2N Relay UEs to measure for each measurement object on sidelink frequency

maxNrofCG-SL-r16 INTEGER ::= 8 -- Max number of sidelink configured grant

maxNrofCG-SL-1-r16 INTEGER ::= 7 -- Max number of sidelink configured grant minus 1

maxNrofSS-BlocksToAverage INTEGER ::= 16 -- Max number for the (max) number of SS blocks to average to determine cell measurement

maxNrofCondCells-r16 INTEGER ::= 8 -- Max number of conditional candidate SpCells

maxNrofCSI-RS-ResourcesToAverage INTEGER ::= 16 -- Max number for the (max) number of CSI-RS to average to determine cell measurement

maxNrofDL-Allocations INTEGER ::= 16 -- Maximum number of PDSCH time domain resource allocations

maxNrofSR-ConfigPerCellGroup INTEGER ::= 8 -- Maximum number of SR configurations per cell group

maxLCG-ID INTEGER ::= 7 -- Maximum value of LCG ID

maxLC-ID INTEGER ::= 32 -- Maximum value of Logical Channel ID

maxLC-ID-Iab-r16 INTEGER ::= 65855 -- Maximum value of BH Logical Channel ID extension

maxLTE-CRS-Patterns-r16 INTEGER ::= 3 -- Maximum number of additional LTE CRS rate matching patterns

maxNrofTAGs INTEGER ::= 4 -- Maximum number of Timing Advance Groups

maxNrofTAGs-1 INTEGER ::= 3 -- Maximum number of Timing Advance Groups minus 1

maxNrofBWPs INTEGER ::= 4 -- Maximum number of BWPs per serving cell

maxNrofCombIDC INTEGER ::= 128 -- Maximum number of reported MR-DC combinations for IDC

maxNrofSymbols-1 INTEGER ::= 13 -- Maximum index identifying a symbol within a slot (14 symbols, indexed from 0..13)

maxNrofSlots INTEGER ::= 320 -- Maximum number of slots in a 10 ms period

maxNrofSlots-1 INTEGER ::= 319 -- Maximum number of slots in a 10 ms period minus 1

maxNrofPhysicalResourceBlocks INTEGER ::= 275 -- Maximum number of PRBs

maxNrofPhysicalResourceBlocks-1 INTEGER ::= 274 -- Maximum number of PRBs minus 1

maxNrofPhysicalResourceBlocksPlus1 INTEGER ::= 276 -- Maximum number of PRBs plus 1

maxNrofControlResourceSets INTEGER ::= 12 -- Max number of CoReSets configurable on a serving cell

maxNrofControlResourceSets-1 INTEGER ::= 11 -- Max number of CoReSets configurable on a serving cell minus 1

maxNrofControlResourceSets-1-r16 INTEGER ::= 15 -- Max number of CoReSets configurable on a serving cell extended in minus 1

maxNrofCoresetPools-r16 INTEGER ::= 2 -- Maximum number of CORESET pools

maxCoReSetDuration INTEGER ::= 3 -- Max number of OFDM symbols in a control resource set

maxNrofSearchSpaces-1 INTEGER ::= 39 -- Max number of Search Spaces minus 1

maxSFI-DCI-PayloadSize INTEGER ::= 128 -- Max number payload of a DCI scrambled with SFI-RNTI

maxSFI-DCI-PayloadSize-1 INTEGER ::= 127 -- Max number payload of a DCI scrambled with SFI-RNTI minus 1

maxIAB-IP-Address-r16 INTEGER ::= 32 -- Max number of assigned IP addresses

maxINT-DCI-PayloadSize INTEGER ::= 126 -- Max number payload of a DCI scrambled with INT-RNTI

maxINT-DCI-PayloadSize-1 INTEGER ::= 125 -- Max number payload of a DCI scrambled with INT-RNTI minus 1

maxNrofRateMatchPatterns INTEGER ::= 4 -- Max number of rate matching patterns that may be configured

maxNrofRateMatchPatterns-1 INTEGER ::= 3 -- Max number of rate matching patterns that may be configured minus 1

maxNrofRateMatchPatternsPerGroup INTEGER ::= 8 -- Max number of rate matching patterns that may be configured in one group

maxNrofCSI-ReportConfigurations INTEGER ::= 48 -- Maximum number of report configurations

maxNrofCSI-ReportConfigurations-1 INTEGER ::= 47 -- Maximum number of report configurations minus 1

maxNrofCSI-ResourceConfigurations INTEGER ::= 112 -- Maximum number of resource configurations

maxNrofCSI-ResourceConfigurations-1 INTEGER ::= 111 -- Maximum number of resource configurations minus 1

maxNrofAP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrOfCSI-AperiodicTriggers INTEGER ::= 128 -- Maximum number of triggers for aperiodic CSI reporting

maxNrofReportConfigPerAperiodicTrigger INTEGER ::= 16 -- Maximum number of report configurations per trigger state for aperiodic reporting

maxNrofNZP-CSI-RS-Resources INTEGER ::= 192 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources

maxNrofNZP-CSI-RS-Resources-1 INTEGER ::= 191 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources minus 1

maxNrofNZP-CSI-RS-ResourcesPerSet INTEGER ::= 64 -- Maximum number of NZP CSI-RS resources per resource set

maxNrofNZP-CSI-RS-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-RS resource sets per cell

maxNrofNZP-CSI-RS-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-RS resource sets per cell minus 1

maxNrofNZP-CSI-RS-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of resource sets per resource configuration

maxNrofNZP-CSI-RS-ResourcesPerConfig INTEGER ::= 128 -- Maximum number of resources per resource configuration

maxNrofZP-CSI-RS-Resources INTEGER ::= 32 -- Maximum number of Zero-Power (ZP) CSI-RS resources

maxNrofZP-CSI-RS-Resources-1 INTEGER ::= 31 -- Maximum number of Zero-Power (ZP) CSI-RS resources minus 1

maxNrofZP-CSI-RS-ResourceSets-1 INTEGER ::= 15

maxNrofZP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrofZP-CSI-RS-ResourceSets INTEGER ::= 16

maxNrofCSI-IM-Resources INTEGER ::= 32 -- Maximum number of CSI-IM resources

maxNrofCSI-IM-Resources-1 INTEGER ::= 31 -- Maximum number of CSI-IM resources minus 1

maxNrofCSI-IM-ResourcesPerSet INTEGER ::= 8 -- Maximum number of CSI-IM resources per set

maxNrofCSI-IM-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-IM resource sets per cell

maxNrofCSI-IM-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-IM resource sets per cell minus 1

maxNrofCSI-IM-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of CSI IM resource sets per resource configuration

maxNrofCSI-SSB-ResourcePerSet INTEGER ::= 64 -- Maximum number of SSB resources in a resource set

maxNrofCSI-SSB-ResourceSets INTEGER ::= 64 -- Maximum number of CSI SSB resource sets per cell

maxNrofCSI-SSB-ResourceSets-1 INTEGER ::= 63 -- Maximum number of CSI SSB resource sets per cell minus 1

maxNrofCSI-SSB-ResourceSetsPerConfig INTEGER ::= 1 -- Maximum number of CSI SSB resource sets per resource configuration

maxNrofFailureDetectionResources INTEGER ::= 10 -- Maximum number of failure detection resources

maxNrofFailureDetectionResources-1 INTEGER ::= 9 -- Maximum number of failure detection resources minus 1

maxNrofFreqSL-r16 INTEGER ::= 8 -- Maximum number of carrier frequncy for for NR sidelink communication

maxNrofSL-BWPs-r16 INTEGER ::= 4 -- Maximum number of BWP for for NR sidelink communication

maxFreqSL-EUTRA-r16 INTEGER ::= 8 -- Maximum number of EUTRA anchor carrier frequncy for NR sidelink communication

maxNrofSL-MeasId-r16 INTEGER ::= 64 -- Maximum number of sidelink measurement identity (RSRP) per destination

maxNrofSL-ObjectId-r16 INTEGER ::= 64 -- Maximum number of sidelink measurement objects (RSRP) per destination

maxNrofSL-ReportConfigId-r16 INTEGER ::= 64 -- Maximum number of sidelink measurement reporting configuration(RSRP) per destination

maxNrofSL-PoolToMeasureNR-r16 INTEGER ::= 8 -- Maximum number of resoure pool for NR sidelink measurement to measure for

-- each measurement object (for CBR)

maxFreqSL-NR-r16 INTEGER ::= 8 -- Maximum number of NR anchor carrier frequncy for NR sidelink communication

maxNrofSL-QFIs-r16 INTEGER ::= 2048 -- Maximum number of QoS flow for NR sidelink communication per UE

maxNrofSL-QFIsPerDest-r16 INTEGER ::= 64 -- Maximum number of QoS flow per destination for NR sidelink communication

maxNrofObjectId INTEGER ::= 64 -- Maximum number of measurement objects

maxNrofPageRec INTEGER ::= 32 -- Maximum number of page records

maxNrofPCI-Ranges INTEGER ::= 8 -- Maximum number of PCI ranges

maxPLMN INTEGER ::= 12 -- Maximum number of PLMNs broadcast and reported by UE at establisghment

maxNrofCSI-RS-ResourcesRRM INTEGER ::= 96 -- Maximum number of CSI-RS resources per cell for an RRM measurement object

maxNrofCSI-RS-ResourcesRRM-1 INTEGER ::= 95 -- Maximum number of CSI-RS resources per cell for an RRM measurement object minus 1

maxNrofMeasId INTEGER ::= 64 -- Maximum number of configured measurements

maxNrofQuantityConfig INTEGER ::= 2 -- Maximum number of quantity configurations

maxNrofCSI-RS-CellsRRM INTEGER ::= 96 -- Maximum number of cells with CSI-RS resources for an RRM measurement object

maxNrofSL-Dest-r16 INTEGER ::= 32 -- Maximum number of destination for NR sidelink communication

maxNrofSL-Dest-1-r16 INTEGER ::= 31 -- Highest index of destination for NR sidelink communication

maxNrofSLRB-r16 INTEGER ::= 512 -- Maximum number of radio bearer for NR sidelink communication per UE

maxSL-LCID-r16 INTEGER ::= 512 -- Maximum number of RLC bearer for NR sidelink communication per UE

maxSL-SyncConfig-r16 INTEGER ::= 16 -- Maximum number of sidelink Sync configurations

maxNrofRXPool-r16 INTEGER ::= 16 -- Maximum number of Rx resource poolfor NR sidelink communication

maxNrofTXPool-r16 INTEGER ::= 8 -- Maximum number of Tx resourcepoolfor NR sidelink communication

maxNrofPoolID-r16 INTEGER ::= 16 -- Maximum index of resource pool for NR sidelink communication

maxNrofSRS-PathlossReferenceRS-r16 INTEGER ::= 64 -- Maximum number of RSs used as pathloss reference for SRS power control.

maxNrofSRS-PathlossReferenceRS-1-r16 INTEGER ::= 63 -- Maximum number of RSs used as pathloss reference for SRS power control-1.

maxNrofSRS-ResourceSets INTEGER ::= 16 -- Maximum number of SRS resource sets in a BWP.

maxNrofSRS-ResourceSets-1 INTEGER ::= 15 -- Maximum number of SRS resource sets in a BWP minus 1.

maxNrofSRS-PosResourceSets-r16 INTEGER ::= 16 -- Maximum number of SRS Positioning resource sets in a BWP.

maxNrofSRS-PosResourceSets-1-r16 INTEGER ::= 15 -- Maximum number of SRS Positioning resource sets in a BWP minus 1.

maxNrofSRS-Resources INTEGER ::= 64 -- Maximum number of SRS resources.

maxNrofSRS-Resources-1 INTEGER ::= 63 -- Maximum number of SRS resources minus 1.

maxNrofSRS-PosResources-r16 INTEGER ::= 64 -- Maximum number of SRS Positioning resources.

maxNrofSRS-PosResources-1-r16 INTEGER ::= 63 -- Maximum number of SRS Positioning resources in an SRS Positioning

-- resource set minus 1.

maxNrofSRS-ResourcesPerSet INTEGER ::= 16 -- Maximum number of SRS resources in an SRS resource set

maxNrofSRS-TriggerStates-1 INTEGER ::= 3 -- Maximum number of SRS trigger states minus 1, i.e., the largest code point.

maxNrofSRS-TriggerStates-2 INTEGER ::= 2 -- Maximum number of SRS trigger states minus 2.

maxRAT-CapabilityContainers INTEGER ::= 8 -- Maximum number of interworking RAT containers (incl NR and MRDC)

maxSimultaneousBands INTEGER ::= 32 -- Maximum number of simultaneously aggregated bands

maxULTxSwitchingBandPairs INTEGER ::= 32 -- Maximum number of band pairs supporting dynamic UL Tx switching in a band combination

maxNrofSlotFormatCombinationsPerSet INTEGER ::= 512 -- Maximum number of Slot Format Combinations in a SF-Set.

maxNrofSlotFormatCombinationsPerSet-1 INTEGER ::= 511 -- Maximum number of Slot Format Combinations in a SF-Set minus 1.

maxNrofTrafficPattern-r16 INTEGER ::= 8 -- Maximum number of Traffic Pattern for NR sidelink communication.

maxNrofPUCCH-Resources INTEGER ::= 128

maxNrofPUCCH-Resources-1 INTEGER ::= 127

maxNrofPUCCH-ResourceSets INTEGER ::= 4 -- Maximum number of PUCCH Resource Sets

maxNrofPUCCH-ResourceSets-1 INTEGER ::= 3 -- Maximum number of PUCCH Resource Sets minus 1.

maxNrofPUCCH-ResourcesPerSet INTEGER ::= 32 -- Maximum number of PUCCH Resources per PUCCH-ResourceSet

maxNrofPUCCH-P0-PerSet INTEGER ::= 8 -- Maximum number of P0-pucch present in a p0-pucch set

maxNrofPUCCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUCCH power control.

maxNrofPUCCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUCCH power control minus 1.

maxNrofPUCCH-PathlossReferenceRSs-r16 INTEGER ::= 64 -- Maximum number of RSs used as pathloss reference for PUCCH power control extended.

maxNrofPUCCH-PathlossReferenceRSs-1-r16 INTEGER ::= 63 -- Maximum number of RSs used as pathloss reference for PUCCH power control

-- minus 1 extended.

maxNrofPUCCH-PathlossReferenceRSsDiff-r16 INTEGER ::= 60 -- Difference between the extended maximum and the non-extended maximum

maxNrofPUCCH-ResourceGroups-r16 INTEGER ::= 4 -- Maximum number of PUCCH resources groups.

maxNrofPUCCH-ResourcesPerGroup-r16 INTEGER ::= 128 -- Maximum number of PUCCH resources in a PUCCH group.

maxNrofMultiplePUSCHs-r16 INTEGER ::= 8 -- Maximum number of multiple PUSCHs in PUSCH TDRA list

maxNrofP0-PUSCH-AlphaSets INTEGER ::= 30 -- Maximum number of P0-pusch-alpha-sets (see 38,213, clause 7.1)

maxNrofP0-PUSCH-AlphaSets-1 INTEGER ::= 29 -- Maximum number of P0-pusch-alpha-sets minus 1 (see 38,213, clause 7.1)

maxNrofPUSCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUSCH power control.

maxNrofPUSCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUSCH power control minus 1.

maxNrofPUSCH-PathlossReferenceRSs-r16 INTEGER ::= 64 -- Maximum number of RSs used as pathloss reference for PUSCH power control extended

maxNrofPUSCH-PathlossReferenceRSs-1-r16 INTEGER ::= 63 -- Maximum number of RSs used as pathloss reference for PUSCH power control minus 1

maxNrofPUSCH-PathlossReferenceRSsDiff-r16 INTEGER ::= 60 -- Difference between maxNrofPUSCH-PathlossReferenceRSs-r16 and

-- maxNrofPUSCH-PathlossReferenceRSs

maxNrofNAICS-Entries INTEGER ::= 8 -- Maximum number of supported NAICS capability set

maxBands INTEGER ::= 1024 -- Maximum number of supported bands in UE capability.

maxBandsMRDC INTEGER ::= 1280

maxBandsEUTRA INTEGER ::= 256

maxCellReport INTEGER ::= 8

maxDRB INTEGER ::= 29 -- Maximum number of DRBs (that can be added in DRB-ToAddModLIst).

maxFreq INTEGER ::= 8 -- Max number of frequencies.

maxFreqLayers INTEGER ::= 4 -- Max number of frequency layers.

maxFreqIDC-r16 INTEGER ::= 128 -- Max number of frequencies for IDC indication.

maxCombIDC-r16 INTEGER ::= 128 -- Max number of reported UL CA for IDC indication.

maxFreqIDC-MRDC INTEGER ::= 32 -- Maximum number of candidate NR frequencies for MR-DC IDC indication

maxNrofCandidateBeams INTEGER ::= 16 -- Max number of PRACH-ResourceDedicatedBFR that in BFR config.

maxNrofCandidateBeams-r16 INTEGER ::= 64 -- Max number of candidate beam resources in BFR config.

maxNrofCandidateBeamsExt-r16 INTEGER ::= 48 -- Max number of PRACH-ResourceDedicatedBFR in the CandidateBeamRSListExt

maxNrofPCIsPerSMTC INTEGER ::= 64 -- Maximun number of PCIs per SMTC.

maxNrofQFIs INTEGER ::= 64

maxNrofResourceAvailabilityPerCombination-r16 INTEGER ::= 256

maxNrOfSemiPersistentPUSCH-Triggers INTEGER ::= 64 -- Maximum number of triggers for semi persistent reporting on PUSCH

maxNrofSR-Resources INTEGER ::= 8 -- Maximum number of SR resources per BWP in a cell.

maxNrofSlotFormatsPerCombination INTEGER ::= 256

maxNrofSpatialRelationInfos INTEGER ::= 8

maxNrofSpatialRelationInfos-plus-1 INTEGER ::= 9

maxNrofSpatialRelationInfos-r16 INTEGER ::= 64

maxNrofSpatialRelationInfosDiff-r16 INTEGER ::= 56 -- Difference between maxNrofSpatialRelationInfos-r16 and maxNrofSpatialRelationInfos

maxNrofIndexesToReport INTEGER ::= 32

maxNrofIndexesToReport2 INTEGER ::= 64

maxNrofSSBs-r16 INTEGER ::= 64 -- Maximum number of SSB resources in a resource set.

maxNrofSSBs-1 INTEGER ::= 63 -- Maximum number of SSB resources in a resource set minus 1.

maxNrofS-NSSAI INTEGER ::= 8 -- Maximum number of S-NSSAI.

maxNrofTCI-StatesPDCCH INTEGER ::= 64

maxNrofTCI-States INTEGER ::= 128 -- Maximum number of TCI states.

maxNrofTCI-States-1 INTEGER ::= 127 -- Maximum number of TCI states minus 1.

maxNrofUL-Allocations INTEGER ::= 16 -- Maximum number of PUSCH time domain resource allocations.

maxQFI INTEGER ::= 63

maxRA-CSIRS-Resources INTEGER ::= 96

maxRA-OccasionsPerCSIRS INTEGER ::= 64 -- Maximum number of RA occasions for one CSI-RS

maxRA-Occasions-1 INTEGER ::= 511 -- Maximum number of RA occasions in the system

maxRA-SSB-Resources INTEGER ::= 64

maxSCSs INTEGER ::= 5

maxSecondaryCellGroups INTEGER ::= 3

maxNrofServingCellsEUTRA INTEGER ::= 32

maxMBSFN-Allocations INTEGER ::= 8

maxNrofMultiBands INTEGER ::= 8

maxCellSFTD INTEGER ::= 3 -- Maximum number of cells for SFTD reporting

maxReportConfigId INTEGER ::= 64

maxNrofCodebooks INTEGER ::= 16 -- Maximum number of codebooks suppoted by the UE

maxNrofCSI-RS-ResourcesExt-r16 INTEGER ::= 16 -- Maximum number of codebook resources supported by the UE for eType2/Codebook combo

maxNrofCSI-RS-Resources INTEGER ::= 7 -- Maximum number of codebook resources supported by the UE

maxNrofCSI-RS-ResourcesAlt-r16 INTEGER ::= 512 -- Maximum number of alternative codebook resources supported by the UE

maxNrofCSI-RS-ResourcesAlt-1-r16 INTEGER ::= 511 -- Maximum number of alternative codebook resources supported by the UE minus 1

maxNrofSRI-PUSCH-Mappings INTEGER ::= 16

maxNrofSRI-PUSCH-Mappings-1 INTEGER ::= 15

maxSIB INTEGER::= 32 -- Maximum number of SIBs

maxSI-Message INTEGER::= 32 -- Maximum number of SI messages

maxPO-perPF INTEGER ::= 4 -- Maximum number of paging occasion per paging frame

maxAccessCat-1 INTEGER ::= 63 -- Maximum number of Access Categories minus 1

maxBarringInfoSet INTEGER ::= 8 -- Maximum number of access control parameter sets

maxCellEUTRA INTEGER ::= 8 -- Maximum number of E-UTRA cells in SIB list

maxEUTRA-Carrier INTEGER ::= 8 -- Maximum number of E-UTRA carriers in SIB list

maxPLMNIdentities INTEGER ::= 8 -- Maximum number of PLMN identites in RAN area configurations

maxDownlinkFeatureSets INTEGER ::= 1024 -- (for NR DL) Total number of FeatureSets (size of the pool)

maxUplinkFeatureSets INTEGER ::= 1024 -- (for NR UL) Total number of FeatureSets (size of the pool)

maxEUTRA-DL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxEUTRA-UL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxFeatureSetsPerBand INTEGER ::= 128 -- (for NR) The number of feature sets associated with one band.

maxPerCC-FeatureSets INTEGER ::= 1024 -- (for NR) Total number of CC-specific FeatureSets (size of the pool)

maxFeatureSetCombinations INTEGER ::= 1024 -- (for MR-DC/NR)Total number of Feature set combinations (size of the pool)

maxInterRAT-RSTD-Freq INTEGER ::= 3

maxHRNN-Len-r16 INTEGER ::= 48 -- Maximum length of HRNNs

maxNPN-r16 INTEGER ::= 12 -- Maximum number of NPNs broadcast and reported by UE at establishment

maxNrOfMinSchedulingOffsetValues-r16 INTEGER ::= 2 -- Maximum number of min. scheduling offset (K0/K2) configurations

maxK0-SchedulingOffset-r16 INTEGER ::= 16 -- Maximum number of slots configured as min. scheduling offset (K0)

maxK2-SchedulingOffset-r16 INTEGER ::= 16 -- Maximum number of slots configured as min. scheduling offset (K2)

maxDCI-2-6-Size-r16 INTEGER ::= 140 -- Maximum size of DCI format 2-6

maxDCI-2-6-Size-1-r16 INTEGER ::= 139 -- Maximum DCI format 2-6 size minus 1

maxNrofUL-Allocations-r16 INTEGER ::= 64 -- Maximum number of PUSCH time domain resource allocations

maxNrofP0-PUSCH-Set-r16 INTEGER ::= 2 -- Maximum number of P0 PUSCH set(s)

maxOnDemandSIB-r16 INTEGER ::= 8 -- Maximum number of SIB(s) that can be requested on-demand

maxOnDemandPosSIB-r16 INTEGER ::= 32 -- Maximum number of posSIB(s) that can be requested on-demand

maxCI-DCI-PayloadSize-r16 INTEGER ::= 126 -- Maximum number of the DCI size for CI

maxCI-DCI-PayloadSize-1-r16 INTEGER ::= 125 -- Maximum number of the DCI size for CI minus 1

maxWLAN-Id-Report-r16 INTEGER ::= 32 -- Maximum number of WLAN IDs to report

maxWLAN-Name-r16 INTEGER ::= 4 -- Maximum number of WLAN name

maxRAReport-r16 INTEGER ::= 8 -- Maximum number of RA procedures information to be included in the RA report

maxTxConfig-r16 INTEGER ::= 64 -- Maximum number of sidelink transmission parameters configurations

maxTxConfig-1-r16 INTEGER ::= 63 -- Maximum number of sidelink transmission parameters configurations minus 1

maxPSSCH-TxConfig-r16 INTEGER ::= 16 -- Maximum number of PSSCH TX configurations

maxNrofCLI-RSSI-Resources-r16 INTEGER ::= 64 -- Maximum number of CLI-RSSI resources for UE

maxNrofCLI-RSSI-Resources-1-r16 INTEGER ::= 63 -- Maximum number of CLI-RSSI resources for UE minus 1

maxNrofCLI-SRS-Resources-r16 INTEGER ::= 32 -- Maximum number of SRS resources for CLI measurement for UE

maxCLI-Report-r16 INTEGER ::= 8

maxNrofConfiguredGrantConfig-r16 INTEGER ::= 12 -- Maximum number of configured grant configurations per BWP

maxNrofConfiguredGrantConfig-1-r16 INTEGER ::= 11 -- Maximum number of configured grant configurations per BWP minus 1

maxNrofCG-Type2DeactivationState INTEGER ::= 16 -- Maximum number of deactivation state for type 2 configured grants per BWP

maxNrofConfiguredGrantConfigMAC-1-r16 INTEGER ::= 31 -- Maximum number of configured grant configurations per MAC entity minus 1

maxNrofSPS-Config-r16 INTEGER ::= 8 -- Maximum number of SPS configurations per BWP

maxNrofSPS-Config-1-r16 INTEGER ::= 7 -- Maximum number of SPS configurations per BWP minus 1

maxNrofSPS-DeactivationState INTEGER ::= 16 -- Maximum number of deactivation state for SPS per BWP

maxNrofDormancyGroups INTEGER ::= 5 --

maxNrofPUCCH-ResourceGroups-1-r16 INTEGER ::= 3 --

maxNrofServingCellsTCI-r16 INTEGER ::= 32 -- Maximum number of serving cells in simultaneousTCI-UpdateList

maxNrofTxDC-TwoCarrier-r16 INTEGER ::= 64 -- Maximum number of UL Tx DC locations reported by the UE for 2CC uplink CA

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-STOP

-- ASN1STOP

*Next Modified Subclause*

6.6 PC5 RRC messages

6.6.1 General message structure

– *SCCH-Message*

The *SCCH-Message* class is the set of RRC messages that may be sent from the UE to the UE for unicast of NR sidelink communication on SCCH logical channel.

-- ASN1START

-- TAG-SCCH-MESSAGE-START

SCCH-Message ::= SEQUENCE {

message SCCH-MessageType

}

SCCH-MessageType ::= CHOICE {

c1 CHOICE {

measurementReportSidelink MeasurementReportSidelink,

rrcReconfigurationSidelink RRCReconfigurationSidelink,

rrcReconfigurationCompleteSidelink RRCReconfigurationCompleteSidelink,

rrcReconfigurationFailureSidelink RRCReconfigurationFailureSidelink,

ueCapabilityEnquirySidelink UECapabilityEnquirySidelink,

ueCapabilityInformationSidelink UECapabilityInformationSidelink,

uuMessageTransferSidelink-r17 UuMessageTransferSidelink-r17,

remoteUEInformationSidelink-r17 RemoteUEInformationSidelink-r17

},

messageClassExtension CHOICE {

c2 CHOICE {

notificationMessageSidelink-r17 NotificationMessageSidelink,

spare7 NULL, spare6 NULL, spare5 NULL, spare4 NULL, spare3 NULL, spare2 NULL, spare1 NULL

},

messageClassExtensionFuture-r17 SEQUENCE {}

}

-- TAG-SCCH-MESSAGE-STOP

-- ASN1STOP

*Next Modified Subclause*

6.6.2 Message definitions

– *NotificationMessageSidelink*

The *NotificationMessageSidelink* message is used to send notification message from U2N Relay UE to the connected U2N Remote UE.

Signalling radio bearer: SL-SRB3

RLC-SAP: AM

Logical channel: SCCH

Direction: U2N Relay UE to U2N Remote UE

***NotificationMessageSidelink* message**

-- ASN1START

-- TAG-NOTIFICATIONMESSAGESIDELINK-START

NotificationMessageSidelink-r17 ::= SEQUENCE {

criticalExtensions CHOICE {

notificationMessageSidelink-r17 NotificationMessageSidelink-r17-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

NotificationMessageSidelink-r17-IEs ::= SEQUENCE {

indicationType-r17 ENUMERATED {

relayUE-UuRLF-r17, relayUE-HO-r17, relayUE-CellReselection-r17,

spare1},

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG- NOTIFICATIONMESSAGESIDELINK -STOP

-- ASN1STOP

– *RemoteUEInformationSidelink*

The *RemoteUEInformationSidelink* message is used to request SIB(s) or provide paging related information as specified in clause 5.8.9.x2.1.

Signalling radio bearer: SL-SRB3

RLC-SAP: AM

Logical channel: SCCH

Direction: L2 U2N Remote UE to L2 U2N Relay UE

***RemoteUEInformationSidelink* message**

-- ASN1START

-- TAG-REMOTEUEINFORMATIONSIDELINK-START

RemoteUEInformationSidelink-r17 ::= SEQUENCE {

criticalExtensions CHOICE {

remoteInformationSidelink-r17 RemoteUEInformationSidelink-r17-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RemoteUEInformationSidelink-r17-IEs ::= SEQUENCE {

sl-Requested-SI-List-r17 BIT STRING (SIZE (maxSI-Message)) OPTIONAL,

sl-RemotePagingIdentity-r17 PagingUE-IdentityRemote-R17 OPTIONAL,

sl-RemotePagingCycle-r17 PagingCycle OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

PagingUE-IdentityRemote-r17 ::= SEQUENCE {

ng-5G-S-TMSI-r17 NG-5G-S-TMSI,

fullI-RNTI-r17 I-RNTI-Value OPTIONAL

}

-- TAG-REMOTEUEINFORMATIONSIDELINK-STOP

-- ASN1STOP

|  |
| --- |
| ***RemoteInformationSidelink-IEs* field descriptions** |
| ***sl-Requested-SI-List***  Contains a list of requested SI messages. |
| ***sl-RemotePagingCycle***  Refers to the UE specific cycle for paging. Value *rf32* corresponds to 32 radio frames, value *rf64* corresponds to 64 radio frames and so on. |

– *UuMessageTransferSidelink*

The *UuMessageTransferSidelink* message is used for the sidelink transfer of Paging message and System Information messages.

Signalling radio bearer: SL-SRB3

RLC-SAP: AM

Logical channel: SCCH

Direction: L2 U2N Relay UE to L2 U2N Remote UE

***UuMessageTransferSidelink* message**

-- ASN1START

-- TAG-UUMESSAGETRANSFERSIDELINK-START

UuMessageTransferSidelink-r17 ::= SEQUENCE {

criticalExtensions CHOICE {

uuMessageTransferSidelink-r17 UuMessageTransferSidelink-r17-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

UuMessageTransferSidelink-r17-IEs ::= SEQUENCE {

sl-PagingDelivery-r17 OCTET STRING (CONTAINING FFS) OPTIONAL, -- Need N

sl-SystemInformationDelivery-r17 OCTET STRING (CONTAINING SystemInformation) OPTIONAL, -- Need N

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-UUMESSAGETRANSFERSIDELINK-STOP

-- ASN1STOP

|  |
| --- |
| ***DLInformationTransferSidelink-IEs* field descriptions** |
| ***sl-PagingDelivery***  This field is used to transfer *[FFS]* to the L2 U2N Remote UE in RRC\_IDLE or RRC\_INACTIVE. |
| ***sl-SystemInformationDelivery***  This field is used to transfer SIBs requested by the L2 U2N Remote UE in RRC\_IDLE or RRC\_INACTIVE. |

*Next Modified Subclause*

7 Variables and constants

7.1 Timers

7.1.1 Timers (Informative)

| **Timer** | **Start** | **Stop** | **At expiry** |
| --- | --- | --- | --- |
| T300 | Upon transmission of *RRCSetupRequest.* | Upon reception of *RRCSetup* or *RRCReject* message, cell re-selection and upon abortion of connection establishment by upper layers. | Perform the actions as specified in 5.3.3.7. |
| T301 | Upon transmission of *RRCReestabilshmentRequest* | Upon reception of *RRCReestablishment* or *RRCSetup* message as well as when the selected cell becomes unsuitable | Go to RRC\_IDLE |
| T302 | Upon reception of *RRCReject* while performing RRC connection establishment or resume, upon reception of *RRCRelease* with *waitTime*. | Upon entering RRC\_CONNECTED or RRC\_IDLE, upon cell re-selection and upon reception of *RRCReject* message. | Inform upper layers about barring alleviation as specified in 5.3.14.4 |
| T304 | Upon reception of *RRCReconfiguration* message including *reconfigurationWithSync* or upon conditional reconfiguration execution i.e. when applying a stored *RRCReconfiguration* message including *reconfigurationWithSync*. | Upon successful completion of random access on the corresponding SpCell  For T304 of SCG, upon SCG release | For T304 of MCG, in case of the handover from NR or intra-NR handover, initiate the RRC re-establishment procedure; In case of handover to NR, perform the actions defined in the specifications applicable for the source RAT. If any DAPS bearer is configured and if there is no RLF in source PCell, initiate the failure information procedure.  For T304 of SCG, inform network about the reconfiguration with sync failure by initiating the SCG failure information procedure as specified in 5.7.3. |
| T310 | Upon detecting physical layer problems for the SpCell i.e. upon receiving N310 consecutive out-of-sync indications from lower layers. | Upon receiving N311 consecutive in-sync indications from lower layers for the SpCell, upon receiving RRCReconfiguration with *reconfigurationWithSync* for that cell group, upon reception of *MobilityFromNRCommand*, upon the reconfiguration of *rlf-TimersAndConstant,* upon initiating the connection re-establishment procedure, upon conditional reconfiguration execution i.e. when applying a stored RRCReconfiguration message including *reconfigurationWithSync* for that cell group, and upon initiating the MCG failure information procedure.  Upon SCG release, if the T310 is kept in SCG. | If the T310 is kept in MCG: If AS security is not activated: go to RRC\_IDLE else: initiate the MCG failure information procedure as specified in 5.7.3b or the connection re-establishment procedure as specified in 5.3.7 or the procedure as specified in 5.3.10.3 if any DAPS bearer is configured.  If the T310 is kept in SCG, Inform E-UTRAN/NR about the SCG radio link failure by initiating the SCG failure information procedure as specified in 5.7.3. |
| T311 | Upon initiating the RRC connection re-establishment procedure | Upon selection of a suitable NR cell or a cell using another RAT. | Enter RRC\_IDLE |
| T312 | If T312 is configured in MCG: Upon triggering a measurement report for a measurement identity for which T312 has been configured and *useT312* has been set to true, while T310 in PCell is running.  If T312 is configured in SCG and *useT312* has been set to true: Upon triggering a measurement report for a measurement identity for which T312 has been configured, while T310 in PSCell is running. | Upon receiving N311 consecutive in-sync indications from lower layers for the SpCell, receiving *RRCReconfiguration* with *reconfigurationWithSync* for that cell group, upon reception of *MobilityFromNRCommand*, upon initiating the connection re-establishment procedure, upon the reconfiguration of *rlf-TimersAndConstant*, upon initiating the MCG failure information procedure, upon conditional reconfiguration execution i.e. when applying a stored RRCReconfiguration message including *reconfigurationWithSync* for that cell group, and upon the expiry of T310 in corresponding SpCell.  Upon SCG release, if the T312 is kept in SCG | If the T312 is kept in MCG initiate the MCG failure information procedure as specified in 5.7.3b or the connection re-establishment procedure.  If the T312 is kept in SCG, Inform E-UTRAN/NR about the SCG radio link failure by initiating the SCG failure information procedure.as specified in 5.7.3. |
| T316 | Upon transmission of the *MCGFailureInformation* message | Upon receiving *RRCRelease*, *RRCReconfiguration* with *reconfigurationwithSync* for the PCell, *MobilityFromNRCommand,* or upon initiating the re-establishment procedure | Perform the actions as specified in 5.7.3b.5. |
| T319 | Upon transmission of *RRCResumeRequest* or *RRCResumeRequest1.* | Upon reception of *RRCResume,* *RRCSetup, RRCRelease, RRCRelease* with *suspendConfig* or *RRCReject* message and upon cell re-selection. | Perform the actions as specified in 5.3.13.5. |
| T320 | Upon reception of *t320* or upon cell (re)selection to NR from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied). | Upon entering RRC\_CONNECTED, upon reception of *RRCRelease*, when PLMN selection or SNPN selection is performed on request by NAS, when the UE enters RRC\_IDLE from RRC\_INACTIVE, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT). | Discard the cell reselection priority information provided by dedicated signalling. |
| T321 | Upon receiving *measConfig* including a *reportConfig* with the purpose set to *reportCGI* | Upon acquiring the information needed to set all fields of *cgi-info*, upon receiving *measConfig* that includes removal of the *reportConfig* with the *purpose* set to *reportCGI* and upon detecting that a cell is not broadcasting SIB1. | Initiate the measurement reporting procedure, stop performing the related measurements. |
| T322 | Upon receving *measConfig* including *reportConfigNR* with the purpose set to *reportSFTD* and *drx-SFTD-NeighMeas* is set to *true*. | Upon acquiring the SFTD measurement results, upon receiving *measConfig* that includes removal of the *reportConfig* with the *purpose* set to *reportSFTD*. | Initiate the measurement reporting procedure, stop performing the related measurements*.* |
| T325 | Upon reception of *RRCRelease* message with *deprioritisationTimer*. |  | Stop deprioritisation of all frequencies or NR signalled by *RRCRelease.* |
| T330 | Upon receiving *LoggedMeasurementConfiguration* message | Upon log volume exceeding the suitable UE memory, upon initiating the release of *LoggedMeasurementConfiguration* procedure | Perform the actions specified in 5.5a.1.4 |
| T331 | Upon receiving *RRCRelease* message with *measIdleDuration* | Upon receiving *RRCSetup, RRCResume*, *RRCRelease* with idle/inactive measurement configuration, upon cell selection/reselection to a cell that does not belong to the *validityArea* (if configured)*,* or upon cell re-selection to another RAT*.* | Perform the actions as specified in 5.7.8.3. |
| T342 | Upon transmitting *UEAssistanceInformation* message with *DelayBudgetReport*. | Upon releasing *delayBudgetReportingConfig* during the connection re-establishment/resume procedures, and upon receiving *delayBudgetReportingConfig* set to *release.* | No action. |
| T345 | Upon transmitting *UEAssistanceInformation* message with *overheatingAssistance* | Upon releasing *overheatingAssistance* during the connection re-establishment procedure, upon initiating the connection resumption procedure, and upon receiving *overheatingAssistanceConfig* set to *release.* | No action. |
| T346a (The UE maintains one instance of this timer per cell group) | Upon transmitting *UEAssistanceInformation* message with *drx-Preference*. | Upon releasing *drx-PreferenceConfig* during the connection re-establishment/resume procedures, upon receiving *drx-PreferenceConfig* set to *release*, or upon performing MR-DC release*.* | No action. |
| T346b (The UE maintains one instance of this timer per cell group) | Upon transmitting *UEAssistanceInformation* message with *maxBW-Preference*. | Upon releasing *maxBW-PreferenceConfig* during the connection re-establishment/resume procedures, upon receiving *maxBW-PreferenceConfig* set to *release*, or upon performing MR-DC release*.* | No action. |
| T346c (The UE maintains one instance of this timer per cell group) | Upon transmitting *UEAssistanceInformation* message with *maxCC-Preference*. | Upon releasing *maxCC-PreferenceConfig* during the connection re-establishment/resume procedures, upon receiving *maxCC-PreferenceConfig* set to *release*, or upon performing MR-DC release*.* | No action. |
| T346d (The UE maintains one instance of this timer per cell group) | Upon transmitting *UEAssistanceInformation* message with *maxMIMO-LayerPreference*. | Upon releasing *maxMIMO-LayerPreferenceConfig* during the connection re-establishment/resume procedures, upon receiving *maxMIMO-LayerPreferenceConfig* set to *release*, or upon performing MR-DC release*.* | No action. |
| T346e (The UE maintains one instance of this timer per cell group) | Upon transmitting *UEAssistanceInformation* message with *minSchedulingOffsetPreference*. | Upon releasing *minSchedulingOffsetPreferenceConfig* during the connection re-establishment/resume procedures, upon receiving *minSchedulingOffsetPreferenceConfig* set to *release*, or upon performing MR-DC release*.* | No action. |
| T346f | Upon transmitting *UEAssistanceInformation* message with *releasePreference*. | Upon releasing *releasePreferenceConfig* during the connection re-establishment/resume procedures, or upon receiving *releasePreferenceConfig* set to *release.* | No action. |
| T350 | Upon transmitting *DedicatedSIBRequest* message with *requestedSIB-List* and/or *requestedPosSIB-List*. | Upon acquiring the requested SIB(s) or posSIB(s), upon releasing *onDemandSIB-Request* during the connection re-establishment procedures, upon receiving *onDemandSIB-Request* set to release, upon reception of *RRCRelease* or upon successful change of PCell while in RRC\_CONNECTED. | No action |
| T380 | Upon reception of t380 in *RRCRelease.* | Upon reception of *RRCResume*, *RRCSetup* or *RRCRelease*. | Perform the actions as specified in 5.3.13. |
| T390 | When access attempt is barred at access barring check for an Access Category. The UE maintains one instance of this timer per Access Category. | Upon cell (re)selection, upon entering RRC\_CONNECTED, upon reception of *RRCReconfiguration* including *reconfigurationWithSync*, upon change of PCell while in RRC\_CONNECTED, upon reception of *MobilityFromNRCommand*, or upon reception of *RRCRelease*. | Perform the actions as specified in 5.3.14.4. |
| T400 | Upon transmission of RRCReconfigurationSidelink | Upon reception of RRCReconfigurationFailureSidelink or RRCReconfigurationCompleteSidelink | Perform the Sidelink radio link failure related actions as specified in 5.8.9.3. |
| Txxx | Upon reception of the RRC reconfiguration message indicating direct-to-indirect path switch | FFS | Perform the RRC re-establishment procedure as specified in 5.3.7. |

*Next Modified Subclause*

– *VarMeasReportList*

The UE variable *VarMeasReportList* includes information about the measurements for which the triggering conditions have been met.

***VarMeasReportList UE variable***

-- ASN1START

-- TAG-VARMEASREPORTLIST-START

VarMeasReportList ::= SEQUENCE (SIZE (1..maxNrofMeasId)) OF VarMeasReport

VarMeasReport ::= SEQUENCE {

-- List of measurement that have been triggered

measId MeasId,

cellsTriggeredList CellsTriggeredList OPTIONAL,

numberOfReportsSent INTEGER,

cli-TriggeredList-r16 CLI-TriggeredList-r16 OPTIONAL,

tx-PoolMeasToAddModListNR-r16 Tx-PoolMeasList-r16 OPTIONAL,

[[

relaysTriggeredList-r17 RelaysTriggeredList-r17 OPTIONAL

]]

}

CellsTriggeredList ::= SEQUENCE (SIZE (1..maxNrofCellMeas)) OF CHOICE {

physCellId PhysCellId,

physCellIdEUTRA EUTRA-PhysCellId,

physCellIdUTRA-FDD-r16 PhysCellIdUTRA-FDD-r16

}

CLI-TriggeredList-r16 ::= CHOICE {

srs-RSRP-TriggeredList-r16 SRS-RSRP-TriggeredList-r16,

cli-RSSI-TriggeredList-r16 CLI-RSSI-TriggeredList-r16

}

SRS-RSRP-TriggeredList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCLI-SRS-Resources-r16)) OF SRS-ResourceId

CLI-RSSI-TriggeredList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCLI-RSSI-Resources-r16)) OF RSSI-ResourceId-r16

RelaysTriggeredList-r17 ::= SEQUENCE (SIZE (1..[maxNrofRelayMeas])) OF [SL-RelayUEIdentity]

-- TAG-VARMEASREPORTLIST-STOP

-- ASN1STOP

*Next Modified Subclause*

9.1.1.4 SCCH configuration

Parameters that are specified for unicast of NR sidelink communication, which is used for the sidelink signalling radio bearer of PC5-RRC message. The SL-SRB using this SCCH configuration is named as SL-SRB3.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | AM RLC |  |
| *>sn-FieldLength* | 12 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 3 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified of NR sidelink communication, which is used for the sidelink signalling radio bearer of unprotected PC5-S message (e.g. Direct Link Establishment Request, TS 24.587 [57]). The SL-SRB using this SCCH configuration is named as SL-SRB0.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | UM RLC |  |
| *>sn-FieldLength* | 6 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 0 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for unicast of NR sidelink communication, which is used for the sidelink signalling radio bearer of PC5-S message establishing PC5-S security (e.g. Direct Link Security Mode Command and Direct Link Security Mode Complete, TS 24.587 [57]). The SL-SRB using this SCCH configuration is named as SL-SRB1.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | AM RLC |  |
| *>sn-FieldLength* | 12 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 1 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for unicast of NR sidelink communication, which is used for the sidelink signalling radio bearer of protected PC5-S message except Direct Link Security Mode Complete. The SL-SRB using this SCCH configuration is named as SL-SRB2.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | AM RLC |  |
| *>sn-FieldLength* | 12 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 2 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for NR sidelink discovery, which is used for the sidelink signalling radio bearer of NR sidelink U2N relay related discovery messages (e.g., Announcement message, Solicitation message and Response message, see TS 23.304 [x1]). The SL-SRB using this SCCH configuration is named as SL-SRB4.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | UM RLC |  |
| *>sn-FieldLength* | 6 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | FFS |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for NR sidelink L2 U2N Relay operations, which is used for the sidelink RLC channel for Remote UE’s SRB0 message transmission. The sidelink RLC bearer using this configuration is named as SL-RLC0.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| RLC configuration |  | AM |  |
| *>sn-FieldLength* | 12 |  |  |
| *>t-Reassembly* | Undefined | Selected by the receving UE, up to UE implementation |  |
| *>logicalChannelIdentity* | FFS |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>proritisedBitRate* | Inifinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |

*Next Modified Subclause*

9.2 Default radio configurations

9.2.x Default sidelink RLC bearer configuration

Parameters that are used for the sidelink RLC bearer for Remote UE’s SRB1 RRC message such as *RRCResume* and *RRCReestablishment* message. The sidelink RLC bearer using this configuration is named as SL-RLC1.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| RLC configuration |  | AM |  |
| *>sn-FieldLength* | 12 |  |  |
| *>t-Reassembly* | Undefined | Selected by the receving UE, up to UE implementation |  |
| *>logicalChannelIdentity* | FFS |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>proritisedBitRate* | Inifinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |

*Next Modified Subclause*

9.3 Sidelink pre-configured parameters

– *SL-PreconfigurationNR*

The IE *SL-PreconfigurationNR* includes the sidelink pre-configured parameters used for NR sidelink communication. Need codes or conditions specified for subfields in *SL-PreconfigurationNR* do not apply.

***SL-PreconfigurationNR* information elements**

-- ASN1START

-- TAG-SL-PRECONFIGURATIONNR-START

SL-PreconfigurationNR-r16 ::= SEQUENCE {

sidelinkPreconfigNR-r16 SidelinkPreconfigNR-r16,

...

}

SidelinkPreconfigNR-r16 ::= SEQUENCE {

sl-PreconfigFreqInfoList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-FreqConfigCommon-r16 OPTIONAL,

sl-PreconfigNR-AnchorCarrierFreqList-r16 SL-NR-AnchorCarrierFreqList-r16 OPTIONAL,

sl-PreconfigEUTRA-AnchorCarrierFreqList-r16 SL-EUTRA-AnchorCarrierFreqList-r16 OPTIONAL,

sl-RadioBearerPreConfigList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SL-RadioBearerConfig-r16 OPTIONAL,

sl-RLC-BearerPreConfigList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfig-r16 OPTIONAL,

sl-MeasPreConfig-r16 SL-MeasConfigCommon-r16 OPTIONAL,

sl-OffsetDFN-r16 INTEGER (1..1000) OPTIONAL,

t400-r16 ENUMERATED{ms100, ms200, ms300, ms400, ms600, ms1000, ms1500, ms2000} OPTIONAL,

sl-MaxNumConsecutiveDTX-r16 ENUMERATED {n1, n2, n3, n4, n6, n8, n16, n32} OPTIONAL,

sl-SSB-PriorityNR-r16 INTEGER (1..8) OPTIONAL,

sl-PreconfigGeneral-r16 SL-PreconfigGeneral-r16 OPTIONAL,

sl-UE-SelectedPreConfig-r16 SL-UE-SelectedConfig-r16 OPTIONAL,

sl-CSI-Acquisition-r16 ENUMERATED {enabled} OPTIONAL,

sl-RoHC-Profiles-r16 SL-RoHC-Profiles-r16 OPTIONAL,

sl-MaxCID-r16 INTEGER (1..16383) DEFAULT 15,

...,

[[

sl-PreconfigDiscConfig-r17 SL-DiscConfigCommon-r17 OPTIONAL

]]

}

SL-PreconfigGeneral-r16 ::= SEQUENCE {

sl-TDD-Configuration-r16 TDD-UL-DL-ConfigCommon OPTIONAL,

reservedBits-r16 BIT STRING (SIZE (2)) OPTIONAL,

...

}

SL-RoHC-Profiles-r16 ::= SEQUENCE {

profile0x0001-r16 BOOLEAN,

profile0x0002-r16 BOOLEAN,

profile0x0003-r16 BOOLEAN,

profile0x0004-r16 BOOLEAN,

profile0x0006-r16 BOOLEAN,

profile0x0101-r16 BOOLEAN,

profile0x0102-r16 BOOLEAN,

profile0x0103-r16 BOOLEAN,

profile0x0104-r16 BOOLEAN

}

-- TAG-SL-PRECONFIGURATIONNR-STOP

-- ASN1STOP

| ***SL-PreconfigurationNR* field descriptions** |
| --- |
| ***sl-OffsetDFN***  Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on. If the field is absent, no offset is applied. |
| ***sl-PreconfigEUTRA-AnchorCarrierFreqList***  This field indicates the EUTRA anchor carrier frequency list, which can provide the NR sidelink communication configuration. |
| ***sl-PreconfigFreqInfoList***  This field indicates the NR sidelink communication configuration some carrier frequency(ies). In this release, only one SL-FreqConfig can be configured in the list. |
| ***sl-PreconfigNR-AnchorCarrierFreqList***  This field indicates the NR anchor carrier frequency list, which can provide the NR sidelink communication configuration. |
| ***sl-RadioBearerPreConfigList***  This field indicates one or multiple sidelink radio bearer configurations. |
| ***sl-RLC-BearerPreConfigList***  This field indicates one or multiple sidelink RLC bearer configurations. |
| ***sl-RoHC-Profiles***  This field indicates the supported RoHC profiles for NR sidelink communications. |
| ***sl-SSB-PriorityNR***  This field indicates the priority of NR sidelink SSB transmission and reception. |