**3GPP TSG-RAN WG2 Meeting #115-e *R2-xxxxx***

**Electronic Meeting, August 09 – 27, 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **xxxx** | **rev** | **-** | **Current version:** | **16.5.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | FR1/FR2 differentiation for enhanced UL grant skipping capabilities | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Qualcomm Incorporated, Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core, TEI16 | | | | |  | ***Date:*** | | | 2021-08-29 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Currently the Rel-16 capabilities *enhancedSkipUplinkTxDynamic-r16* & *enhancedSkipUplinkTxConfigured*-*r16* are differentiated at the duplex mode level only (FDD vsTDD). If UE indicates the support of these features in TDD mode, in practice UE is indicating to network that it supports these features for FR1 TDD and FR2 TDD.  Supporting distinct UE capabilities for FR1-FDD Vs FR1-TDD will allow deployment of this feature flexibly from interoperability testing perspective, as testing for a specific FR will be peformed per need basis.  It was agreed as a principle based on R2-2006280 “*For release-16 UE capabilities for which both xDD and FRx differentiations are allowed, RAN2 intends to use “per band” capability signalling. This way, the problem above no longer exists for release-16 capabilities.*” | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Adding new capabilities “*enhancedSkipUplinkTxConfigured*-*v1660*” and “*enhancedSkipUplinkTxDynamic*-*v1660*” that is defined per nr-band to allow differentiation between FR1-FDD / FR1-TDD / FR2-TDD based on the agreed principle for Rel-16 capabilities requiring both FRx and xDD differentiation.  **Impact Analysis:**  Impacted 5G architecture options:  NR-SA, (NG)EN-DC, NR-DC, NE-DC  Impacted functionality:  UL grant skipping  Interoperability issue:   * if the network is implemented according to the CR and the UE is not, per FR deployment of this feature from interoperability testing perspective will not be possible * if the UE is implemented according to the CR and the network is not, the network is unable to differentiate feature support from a per FR deployment interoperability testing perspective. In addition, an inter-operability issue may rise if UE reports its capability using the new signalling which NW will ignore and consider the reported capability not supported by the UE. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The UE will not be able to indicate support of “*enhancedSkipUplinkTxDynamic-r16*” and “*enhancedSkipUplinkTxConfigured-r16*” with differentiation between FR1 and FR2. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **x** |  | Other core specifications | | | | TS38.306 CR xxx | | |
| ***affected:*** | |  | **X** | Test specifications | | | |  | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | |  | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

Change start

### 6.3.3 UE capability information elements

<<skipped>>

#### – *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

*RF-Parameters* information element

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

supportedBandCombinationList BandCombinationList OPTIONAL,

appliedFreqBandListFilter FreqBandList OPTIONAL,

...,

[[

supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

]],

[[

supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

]],

[[

supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL

]],

[[

supportedBandCombinationList-v1610 BandCombinationList-v1610 OPTIONAL,

supportedBandCombinationListSidelinkEUTRA-NR-r16 BandCombinationListSidelinkEUTRA-NR-r16 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-r16 BandCombinationList-UplinkTxSwitch-r16 OPTIONAL

]],

[[

supportedBandCombinationList-v1630 BandCombinationList-v1630 OPTIONAL,

supportedBandCombinationListSidelinkEUTRA-NR-v1630 BandCombinationListSidelinkEUTRA-NR-v1630 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-v1630 BandCombinationList-UplinkTxSwitch-v1630 OPTIONAL

]],

[[

supportedBandCombinationList-v1640 BandCombinationList-v1640 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-v1640 BandCombinationList-UplinkTxSwitch-v1640 OPTIONAL

]],

[[

supportedBandCombinationList-v1650 BandCombinationList-v1650 OPTIONAL,

supportedBandCombinationList-UplinkTxSwitch-v1650 BandCombinationList-UplinkTxSwitch-v1650 OPTIONAL

]]

}

BandNR ::= SEQUENCE {

bandNR FreqBandIndicatorNR,

modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

extendedCP ENUMERATED {supported} OPTIONAL,

multipleTCI ENUMERATED {supported} OPTIONAL,

bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

pusch-256QAM ENUMERATED {supported} OPTIONAL,

ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

channelBWs-DL CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

}

} OPTIONAL,

channelBWs-UL CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

}

} OPTIONAL,

...,

[[

maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

]],

[[

pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

]],

[[

maxUplinkDutyCycle-FR2 ENUMERATED {n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL

]],

[[

channelBWs-DL-v1590 CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

}

} OPTIONAL,

channelBWs-UL-v1590 CHOICE {

fr1 SEQUENCE {

scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

},

fr2 SEQUENCE {

scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

}

} OPTIONAL

]],

[[

asymmetricBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL

]],

[[

-- R1 10: NR-unlicensed

sharedSpectrumChAccessParamsPerBand-r16 SharedSpectrumChAccessParamsPerBand-r16 OPTIONAL,

-- R1 11-7b: Independent cancellation of the overlapping PUSCHs in an intra-band UL CA

cancelOverlappingPUSCH-r16 ENUMERATED {supported} OPTIONAL,

-- R1 14-1: Multiple LTE-CRS rate matching patterns

multipleRateMatchingEUTRA-CRS-r16 SEQUENCE {

maxNumberPatterns-r16 INTEGER (2..6),

maxNumberNon-OverlapPatterns-r16 INTEGER (1..3)

} OPTIONAL,

-- R1 14-1a: Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier

overlapRateMatchingEUTRA-CRS-r16 ENUMERATED {supported} OPTIONAL,

-- R1 14-2: PDSCH Type B mapping of length 9 and 10 OFDM symbols

pdsch-MappingTypeB-Alt-r16 ENUMERATED {supported} OPTIONAL,

-- R1 14-3: One slot periodic TRS configuration for FR1

oneSlotPeriodicTRS-r16 ENUMERATED {supported} OPTIONAL,

olpc-SRS-Pos-r16 OLPC-SRS-Pos-r16 OPTIONAL,

spatialRelationsSRS-Pos-r16 SpatialRelationsSRS-Pos-r16 OPTIONAL,

simulSRS-MIMO-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

channelBW-DL-IAB-r16 CHOICE {

fr1-100mhz SEQUENCE {

scs-15kHz ENUMERATED {supported} OPTIONAL,

scs-30kHz ENUMERATED {supported} OPTIONAL,

scs-60kHz ENUMERATED {supported} OPTIONAL

},

fr2-200mhz SEQUENCE {

scs-60kHz ENUMERATED {supported} OPTIONAL,

scs-120kHz ENUMERATED {supported} OPTIONAL

}

} OPTIONAL,

channelBW-UL-IAB-r16 CHOICE {

fr1-100mhz SEQUENCE {

scs-15kHz ENUMERATED {supported} OPTIONAL,

scs-30kHz ENUMERATED {supported} OPTIONAL,

scs-60kHz ENUMERATED {supported} OPTIONAL

},

fr2-200mhz SEQUENCE {

scs-60kHz ENUMERATED {supported} OPTIONAL,

scs-120kHz ENUMERATED {supported} OPTIONAL

}

} OPTIONAL,

rasterShift7dot5-IAB-r16 ENUMERATED {supported} OPTIONAL,

ue-PowerClass-v1610 ENUMERATED {pc1dot5} OPTIONAL,

condHandover-r16 ENUMERATED {supported} OPTIONAL,

condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

condPSCellChange-r16 ENUMERATED {supported} OPTIONAL,

condPSCellChangeTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL,

mpr-PowerBoost-FR2-r16 ENUMERATED {supported} OPTIONAL,

-- R1 11-9: Multiple active configured grant configurations for a BWP of a serving cell

activeConfiguredGrant-r16 SEQUENCE {

maxNumberConfigsPerBWP-r16 ENUMERATED {n1, n2, n4, n8, n12},

maxNumberConfigsAllCC-r16 INTEGER (2..32)

} OPTIONAL,

-- R1 11-9a: Joint release in a DCI for two or more configured grant Type 2 configurations for a given BWP of a serving cell

jointReleaseConfiguredGrantType2-r16 ENUMERATED {supported} OPTIONAL,

-- R1 12-2: Multiple SPS configurations

sps-r16 SEQUENCE {

maxNumberConfigsPerBWP-r16 INTEGER (1..8),

maxNumberConfigsAllCC-r16 INTEGER (2..32)

} OPTIONAL,

-- R1 12-2a: Joint release in a DCI for two or more SPS configurations for a given BWP of a serving cell

jointReleaseSPS-r16 ENUMERATED {supported} OPTIONAL,

-- R1 13-19: Simultaneous positioning SRS and MIMO SRS transmission within a band across multiple CCs

simulSRS-TransWithinBand-r16 ENUMERATED {n2} OPTIONAL,

trs-AdditionalBandwidth-r16 ENUMERATED {trs-AddBW-Set1, trs-AddBW-Set2} OPTIONAL,

handoverIntraF-IAB-r16 ENUMERATED {supported} OPTIONAL

]],

[[

-- R1 22-5a: Simultaneous transmission of SRS for antenna switching and SRS for CB/NCB /BM for intra-band UL CA

-- R1 22-5c: Simultaneous transmission of SRS for antenna switching and SRS for antenna switching for intra-band UL CA

simulTX-SRS-AntSwitchingIntraBandUL-CA-r16 SimulSRS-ForAntennaSwitching-r16 OPTIONAL,

-- R1 10: NR-unlicensed

sharedSpectrumChAccessParamsPerBand-v1630 SharedSpectrumChAccessParamsPerBand-v1630 OPTIONAL

]],

[[

handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL,

-- R4 7-4: Report the shorter transient capability supported by the UE: 2, 4 or 7us

enhancedUL-TransientPeriod-r16 ENUMERATED {us2, us4, us7} OPTIONAL,

sharedSpectrumChAccessParamsPerBand-v1640 SharedSpectrumChAccessParamsPerBand-v1640 OPTIONAL

]],

[[

type1-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

type2-PUSCH-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

pusch-RepetitionMultiSlots-v1650 ENUMERATED {supported} OPTIONAL,

configuredUL-GrantType1-v1650 ENUMERATED {supported} OPTIONAL,

configuredUL-GrantType2-v1650 ENUMERATED {supported} OPTIONAL,

sharedSpectrumChAccessParamsPerBand-v1650 SharedSpectrumChAccessParamsPerBand-v1650 OPTIONAL

]],

[[

enhancedSkipUplinkTxConfigured-v1660 ENUMERATED {supported} OPTIONAL,

enhancedSkipUplinkTxDynamic-v1660 ENUMERATED {supported} OPTIONAL

]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| *RF-Parameters* field descriptions |
| ***appliedFreqBandListFilter***  In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList***  A list of band combinations that the UE supports for NR (and NR-DC, if requested). The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationListSidelinkEUTRA-NR***  A list of band combinations that the UE supports for NR sidelink communication only, for joint NR sidelink communication and V2X sidelink communication, or for V2X sidelink communication only. The UE does not include this field if the UE capability is requested by E-UTRAN (see TS 36.331[10]) and the network request includes the field *eutra-nr-only*. |
| ***supportedBandCombinationList-UplinkTxSwitch***  A list of band combinations that the UE supports dynamic uplink Tx switching for NR UL CA and SUL. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |

Change End