3GPP TSG-RAN WG2 #113-e Tdoc DocNumber

Electronic meeting, April 12th – April 20th 2021

Agenda Item: xxxx

Source: Ericsson

Title: [Post113-e][851][NR17 SON/MDT]  HO related SON changes (Ericsson)

Document for: Discussion, Decision

# 1 Introduction

This document captures the outcome of this email discussion:

* [Post113-e][851][NR17 SON/MDT]  HO related SON changes (Ericsson)

- Scope:

impacts of CHO failure on RLF report

impacts of DAPS HO failure on RLF report

the successful HO report

- All the not-treated cat-a and cat-b proposals in 2.1.1, 2.1.2 and 2.31 of R2-2102265 should be taken into account

Intended outcome: Report

Deadline: Long

Companies inputs to this email discussion are appreciated by the 24th March 2021 1100 UTC.

# 2 Discussion

According to the scope of this email discussion, the following SON topics are treated in this document:

* CHO
* DAPS
* Successful HO Report

Rapporteur also notes that contributions submitted at RAN2#113 and summarized in R2-2102265 are taken into account in the following questions.

## 2.1 CHO

### 2.1.1 Scenarios

Related to scenarios, the following agreements have been already taken by RAN2:

|  |
| --- |
| **From RAN2#111:**  => The following scenarios are considered:  1) Successful CHO and HO (i.e. no failure happens). FFS consideration in RAN2/3  2) Unsuccessful CHO due to late CHO execution.  3) Unsuccessful CHO after CHO execution.  4) Successful or Unsuccessful CHO after unsuccessful CHO or handover failure. |

**From RAN2#112**

Focused scenarios:

In case of successive CHO related failures, the UE stores and reports both RLF related information in the RLF report. The successive failure referred above, includes at least the following scenarios.

a. A UE that has CHO configuration declares RLF in the source cell. The UE selects for connection re-establishment a configured candidate CHO target cell. The UE fails to re-establish to the selected CHO candidate cell.

b. A UE that has CHO configuration executes the CHO towards the target cell upon fulfilling the configured condition and experiences a HO failure. The UE selects for connection re-establishment a configured candidate CHO target cell. The UE fails to re-establish to the selected CHO candidate cell.

c. A UE that has CHO configuration executes the normal HO towards the target cell and experiences a HO failure. The UE selects for connection re-establishment a configured candidate CHO target cell. The UE fails to re-establish to the selected CHO candidate cell using CHO procedure.

Note: other scenarios still can be discussed.

Additional scenarios to consider for CHO were described in [1] and [4] submitted at RAN2#112. Rapporteur proposes to discuss which of those scenarios should be taken into account in this WI.

The following table summarizes new possible CHO scenarios that RAN2 can consider, as well as the scenarios already agreed. As proposed in [4], scenarios are cathegorized in:

* Too late CHO
* Too early CHO
* CHO To wrong cell

Rapporteur´s note: Rapporteur believes that some of these scenarios may overlap between each other, and that new or legacy parameters used to represent one scenario may be used to represent other scenarios. However, Rapporteur would like to invite companies at this stage to just assess the validity of such scenarios. Which new or legacy parameters can be (re)used to address such scenarios, can be discussed in a later stage.

**Q1: Companies are invited to provide comments (if any) to the below table of CHO scenarios. Companies are also invited to include any additional scenario if missing**.  
**Please also see the Annex 5 for the detailed flow charts.**

Table 1: CHO scenarios

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Macro scenario** | **Sub-scenario** | **Reason for failure** | **1st Re-establishment** | **2nd Re-establishment** | **Trigger for HO** | **Description** | **Comments** |
| Too late CHO | 1a | RLF in source | Successful reestablishment in candidate CHO cell | - | - | * The UE received a CHO configuration from a source cell. * The RLF occurs in the source cell before CHO execution conditions for any of the candidate cells are fulfilled. * The UE selects for reestablishment one of the candidate CHO target and successfully performs a reestablishment to such candidate CHO target cell | [QC] Should it be consider under successful HO reporting ot RLF reporting? |
| 1b | RLF in source | Unsuccessful reestablishment in candidate CHO cell | Successful in non-candidate CHO cell | - | * The UE received a CHO configuration from a source cell. * The RLF occurs in the source cell before CHO execution conditions for any of the candidate cells are fulfilled. * The UE selects for reestablishment one of the candidate CHO target, but the reestablishment in such cell fails. * The UE then successfully performs a reestablishment in a non-candidate CHO target cell |  |
| 1c | RLF in source | Unsuccessful reestablishment in candidate CHO cell | Unsuccessful in non-candidate CHO cell or no suitable cell found | - | * The UE received a CHO configuration from a source cell. * The RLF occurs in the source cell before CHO execution conditions for any of the candidate cells are fulfilled. * The UE selects for reestablishment one of the candidate CHO target, but the reestablishment in such cell fails. * The UE then performs a reestablishment in a non-candidate CHO target cell but it also fails, or it does not find a suitable cell | [QC] No need to differentiate between 1b, 1c, and 1d. In the legacy HO also, similar situation can happen, where RLF may happen at the source then UE performs reeastbalishmnet and fails but we donot treat reeastbalishment failure. We should stick to the similar philoshphy of RLF reporting in CHO. |
| 1d | RLF in source | (Un)Successful reestablishment in non-candidate CHO cell | - | - | * The UE received a CHO configuration from a source cell. * The RLF occurs in the source cell before CHO execution conditions for any of the candidate cells are fulfilled. * The UE selects for reestablishment a non-candidate CHO cell | [Rapporteur´s note]: for simplicity, the cases of successful/unsuccessful reestablishment in non-candidate CHO cell was not splitted in two separate scenarios, since both scenarios are already covered in legacy. |
| 1e | RLF in source | No suitable cell found | - | - | * The UE received a CHO configuration from a source cell. * The RLF occurs in the source cell before CHO execution conditions for any of the candidate cells are fulfilled. * The UE does not find a suitable cell (neither CHO candidate, nor non-CHO candidate) | [QC] This is considered in the CEF reporting. No need to consider it here. |
| 1f |  |  |  |  |  |  |
| 1g |  |  |  |  |  |  |
| Too early CHO | 2a | HOF/early RLF in target | (Un)Successful reestablishment in source cell | - | CHO | * The UE receives the CHO configuration from a source cell and executes the HO in one of the candidate CHO target cell. * The UE experiences an HOF or RLF shortly after HO completion, and selects the source cell as a reestablishment cell | [QC] RLF reporting wise, it doesn’t matter whether UE reestbalished to the source cell or a third cell. Only the ReestbalishmentPCell ID will be source cell ID here. |
| 2b | HOF in target | Unsuccessful reestablishment in candidate CHO target cell | (Un)Successful Reestablishment in source cell | CHO | * The UE receives the CHO configuration from a source cell and executes the HO in one of the candidate CHO target cell. * The UE experiences an HOF, it then selects for reestablishment a candidate target cell but it also fails * The UE selects for reestablishment the source cell | [QC] second reestablishment shouldn’t matter. |
| 2c |  |  |  |  |  |  |
| 2d |  |  |  |  |  |  |
| CHO to wrong cell | 3a | HOF/early RLF in target | Successful reestablishment in another candidate CHO target cell | - | CHO | * The UE receives the CHO configuration from a source cell and executes the HO in one of the candidate CHO target cell. * The UE experiences an HOF or RLF shortly after the HO completion, and successfully reestablishes in another candidate target cell | [QC] Should it be consider under successful HO reporting ot RLF reporting? |
| 3b | HOF/early RLF in target | (Un)Successful reestablishment in non-candidate CHO target cell different from the source cell | - | CHO | * The UE receives the CHO configuration from a source cell and executes the HO in one of the candidate CHO target cell. * The UE experiences an HOF, and selects for reestablishment a non-candidate target cell | [QC] Similar to response to 1c. There is no need for the statistic of second reestablishment failure, therefor this can be consider under 3e. Or, this is the supper set of 3e. |
| 3c | HOF/early RLF in target | Successful reestablishment in another candidate CHO target cell | - | Ordinary HO | * The UE receives the CHO configuration from a source cell * Before executing such CHO, the UE receives an ordinary HO command * The UE experiences an HOF or RLF shortly after the HO completion, and successfully reestblishes in another candidate CHO target cell |  |
| 3d | HOF/early RLF in target | No suitable cell found | - | CHO | * The UE receives the CHO configuration from a source cell and executes the HO in one of the candidate CHO target cell. * The UE experiences an HOF or RLF shortly after the HO completion, and it does not find any suitable cell (neither CHO candidate, nor non-CHO candidate) | [QC] Conisder in CEF reporting. |
| 3e | HOF in target | Unsuccessful reestablishment in candidate CHO target cell | (Un)Successful reestablishment in a non-candidate CHO cell different from the source or no suitable cell found | CHO | * The UE receives the CHO configuration from a source cell and executes the HO in one of the candidate CHO target cell. * The UE experiences an HOF * The UE selects for reestablishment a candidate CHO target cell which fails * The UE performs a reestablishment in a non-candidate CHO cell, or it does not find any suitable cell | [Rapporteur]: Already agreed |
| 3f | HOF in target | Unsuccessful reestablishment in candidate CHO target cell | (Un)Successful reestablishment in a non-candidate CHO target cell different from the source or no suitable cell found | Ordinary HO | * The UE receives the CHO configuration from a source cell * Before executing such CHO, the UE receives an ordinary HO command * The UE experiences an HOF * The UE selects for reestablishment a candidate CHO target cell which fails * The UE performs a reestablishment in a non-candidate CHO cell, or it does not find any suitable cell | [Rapporteur]: Already agreed |
| 3g |  |  |  |  |  |  |
| 3h |  |  |  |  |  |  |

Given the above scenarios, companies are now asked to indicate which of the above CHO scenarios should be consider as valid, and hence studied in the WI.

**Q2: Which of the above CHO-related scenarios should be taken into account by RAN2 in the SON WI?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Scenarios (e.g. all, 1a, 3b, etc)** | **Comments** |
| **Qualcomm** | 1a, (1b, 1c, and 1d should be merged, we donot consider statistics of 2nd reestbalishment). (2a and 2b should be merged, we we donot consider statistics of 2nd reestbalishment). 3a, (3b and 3e need to be merged, we donot consider statistics of 2nd reestbalishment), 3c, 3f. | 1e is considered under CEF reporting, no need to consider in RLF reporting. 3d is consider under CEF reporting. 1a and 3a should be consider under successful HO reporting, as the HO is successful considering same RRCReconfiguration. |
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### 2.1.2 CHO-Related Parameters

Related to CHO parameters, the following has been agreed in previous meetings:

**From RAN2#112:**

The following time information is as part of the UE RLF report:

Time between the first CHO execution and the corresponding CHO command received at UE at least in the CHO failure case.

FFS: The following time information is as part of the UE report:

c. The time elapsed since receiving the CHO configuration until the immediate HO reception or execution.

d. Timeline relationship between two consecutive RLF reports for cases of successful or unsuccessful CHO after unsuccessful CHO or handover failure

e. Time between the UE receiving the CHO command and RLF

f. UE reports the time elapsed since CHO execution until connection failure

g. In case of multiple failures case, UE includes the time elapsed since CHO execution until connection failure (TimeConnFailure) and time elapsed since the last radio link or handover failure (TimeSinceFailure) in each RLF-Report

h. The time between CHO execution and successful reestablishment to a third cell after CHO failure towards the candidate target cell selected at CHO execution

i. The time elapsed since CHO configuration until the immediate HO reception or execution

Agreements:

The following cells’ related cell and beam measurements are included in the RLF report associated to CHO failure:

a. Source cell of the CHO. FFS the detail on cell ID. Try our best to reuse the existing information.

b. The target cell towards which the CHO was executed, if CHO related condition was satisfied. FFS the detail on cell ID. Try our best to reuse the existing information.

c. The cell in which the re-establishment is performed after the CHO failure or source RLF. Try our best to reuse the existing information. FFS on the related measurements.

FFS: Candidate target cells as configured in the CHO configuration.

RLF-report shall contain information to differentiate an ordinary HO failure from the CHO failure and CHO recovery failure. FFS: implicit indication vs explicit indication.

**From RAN2#113:**

1 Include in the RLF report the “Time elapsed since CHO execution until connection failure”. How to convey this information is FFS. (email discussion 886, Qualcomm)

2 Reuse the following legacy timers in the RLF report also for CHO: timeUntilReconnection, timeSinceFailure.

3 In the RLF report for CHO, the UE includes of the latest radio measurement results. FFS: to indicate whether or not it is candidate target cell. (email discussion 887, Ericsson)

UE reports "Time elapsed since CHO execution until connection failure" implicitly or explicitly, i.e. UE either explicitly provides the aforementioned timing information or provides sufficient information for the network to compute it.

=> Continue the discussion ”UE shall include the latest radio measurement results of the candidate target cells in the RLF-report.” through email. (Ericsson)

=> Before agreeing on including an indication indicating whether a neighbor cell, included as part of neighbor cell measurement result, is associated to a CHO candidate target cell or not, RAN2 waits RAN3 to confirm whether the source cell can keep the UE context, at least up to the point the RLF-report is received by the source cell. Draft LS to RAN3 for this.(#899, Ericsson)

A number of parameters were proposed by different companies in contributions submitted to RAN2#113, and that have not been discussed or agreed yet. The following sections are meant to describe such parameters and for companies to provide their view/support.

#### 2.1.2.1 Radio measurements-related parameters for RLF-Report

The below list contains the possible radio-related measurements that were proposed in contributions submitted to RAN2#113 and partly already addressed in the email discussion R2-2101451 Post RAN2#112.

Companies are invited to review the description of the below radio-measurements and include any other additional radio-measurement, if missing

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| --- | --- | --- |
| **#** | **Measurement** | **Comments on the definition** |
| A | Configured CHO execution condition(s), e.g. A3 and/or A5 event configuration, of the candidate target cells and the corresponding TTT value [5][6] | [QC] This will make RLF report unnecessarily bulky. |
| B | Fulfilled CHO execution condition(s), e.g. A3 and/or A5 event configuration, for the cell(s) in which CHO execution was triggered. [5][7] | [QC] This will make RLF report unnecessarily bulky. |
| C | Latest radio measurement results of the candidate target cells [7][8][9][6] | [Rapporteur]: For this, RAN2 agreed “Continue the discussion ”UE shall include the latest radio measurement results of the candidate target cells in the RLF-report.” through email. (Ericsson)” |
| D |  |  |

Companies are now invited to indicate their preference for the inclusion of the above radio-related measurements and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 1 a certain parameter can be beneficial).

**Q3: Which of the above radio-related measurements need to be included in the RLF report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A, B)** | **Comments** |
| **Qulacomm** | None | **While details of C is dicussed whether UE should have the similar behaviour for measurement reporting of the neighboring cell. In our understnading, we prefere the similar behaviour for neighboring cell measurement and candidate cell can be member of that. For A and B, we prefer to Avoid making RLF report unnecessarily bulky.** |
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#### 2.1.2.2 Timer-related parameters for RLF-Report

The below list contains timer-related CHO parameters that were proposed in contributions submitted to RAN2#113 and partly already addressed in the email discussion R2-2101451 Post RAN2#112.

Companies are invited to review the description and include any other additional timer-related CHO parameter, if missing.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **#** | **Timer** | **Start time (for time related measurements)** | **End time (for time related measurements)** | **Comments on the definition** |
| A | Timeline relationship between two consecutive RLF reports for cases of successful or unsuccessful CHO after unsuccessful CHO or handover failure [4] | Time of declaring first RLF / HOF | Time of declaring second RLF/HOF | [QC] As discussed in [886], we need to comeup with a system design that avoid unnecessary duplication. |
| B | Time between the UE receiving the CHO command and RLF | Time of received CHO configuration | Time of declaring RLF in the source cell. | [QC] As discussed in [886], we need to comeup with a system design that avoid unnecessary duplication. |
| C | Time elapsed between the first CHO execution and the corresponding latest CHO configuration received for the selected target cell [6][8][5] | Time of received CHO configuration | Time of CHO execution | [QC] As discussed in [886], we need to comeup with a system design that avoid unnecessary duplication. |
| D | Time elapsed between CHO execution until the first HOF [11] | Time of executing the first CHO | Time of first HOF | [QC] As discussed in [886], we need to comeup with a system design that avoid unnecessary duplication. |
| E | CHO interruption time | Time of executing the first CHO | Time of HO completion or successful reestablishment | [QC] This can be computed using other timing information. |
| .... |  |  |  |  |

Companies are now invited to indicate their preference for the inclusion of the above timer-related information and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 1 a certain parameter can be beneficial).

**Q4: Which of the above other timer-related CHO parameters need to be included in the RLF report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A, B)** | **Comments** |
| **Qualcomm** | Need a detailed system design to avoid duplication | Should be left to new TDoc submissions. |
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#### 2.1.2.3 Other CHO-related parameters for RLF-Report

The below list contains other CHO-related parameters that were proposed in contributions submitted to RAN2#113 and partly already addressed in the email discussion R2-2101451 Post RAN2#112.

Companies are invited to review the description and include any other additional CHO-related parameter, if missing.

Rapporteur´s note: For some of the below parameters, e.g. the list of candidate cell IDs, RAN2 has sent an LS to RAN3 asking whether the network can “remember” the CHO cells. So it is suggested waiting their reply before agreeing such parameters. Nevertheless, companies are invited to reiterate their views.

|  |  |  |
| --- | --- | --- |
| **#** | **Parameter** | **Comments on the definition** |
| A | Indication of whether a measured neighbour cell included in the existing measResultNeighCells was a CHO candidate cell or not . | [QC] Depends on RAN3 response. |
| B | Indication of whether the cell in which the UE re-established after CHO failure or RLF was a CHO candidate cell [7][11][6] | [QC] Depends on RAN3 response. |
| C | Indication of whether the target cell in which the UE experienced a HO failure was a CHO candidate cell | [Rapporteur]: This is for the case in which the UE executed a normal HO, while it was configured with CHO  [QC] Depends on RAN3 response. |
| D | List of candidate cells IDs [10][7][5][6] | [QC] Depends on RAN3 response. Even if RAN3 disagree on our LS, A should be sufficient, network want to know which target cell was good. It can be figured out from A. |
| E | List of candidate cell IDs satisfying the CHO execution trigger condition and the execution condition used when the first HO was triggered | [QC] Depends on RAN3 response. Even if RAN3 disagree on our LS, A should be sufficient, network want to know which target cell was good. It can be figured out from A. |
| F | Indication/differentiation on what kind of HO this was by means of (e.g) a flag. This would also be helful in case the UE was configured with two HO types at the same time (e.g. CHO and HO) | [QC] Need further study, whether an explicit indication is required. |
| G | CHOCellId, to indicate the selected CHO cell after the first connection failure and before the reestablishment [11] | [QC] Agree. |
| ... |  |  |

Companies are now invited to provide their support on the inclusion of the above CHO-related parameters and also for which of the scenarios listed in Table 1 the parameters can be beneficial.

**Q5: Which of the above other CHO-related parameters need to be included in the RLF report?**

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| --- | --- | --- | --- |
| **Company** | **Preferred option (e.g. A, B)** | **Scenarios (e.g. 1a, 3b, etc)** | **Comments** |
| **Qualcomm** | G | Merged 1b, 1c, and 1d. Merged 2a and 2b, Merged 3b and 3e, and 3f. |  |
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### 2.1.3 Signalling model

The signalling model was discussed in the email discussion Post RAN2#112 [2], and eventually in RAN2#113, the following FFS was left:

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| **From RAN2#113:**  Signalling model for RLF report:  FFS: Separate IEs/fields within the existing RLF-report are used to represent the second HOF. Also consider the second HO is successful case together. What measurements also need to be considered. |

The intention of this signalling model, is that whenever the UE generates an RLF report while being configured with CHO configuration, the UE represents in such RLF report both the event associated to the first RLF/HOF and the event associated to the second reestablishment attempt (which can be successful or unsuccessful) in a CHO candidate cell.

Given tha that a larger number of companies supported the above signalling modeled, Rapporteur would like to ask the following:

**Q6: Is it ok to assume the following signalling model for the RLF report?:**

* **In case the UE generates an RLF report while being configured with a CHO configuration, separate IEs within the existing RLF-report are used to represent the second (un)successful reestablishment attempt in a candidate CHO cell.**

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| --- | --- | --- |
| **Company** | **Yes/No/Postpone** | **Comments** |
| **Qualcomm** | Yes |  |
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## 2.2 DAPS

### 2.2.1 Scenarios

Related to scenarios, the following agreements have been already taken by RAN2:

**From RAN2#112:**

Agreements:

In case of successive failures associated to DAPS, the UE stores and reports both failure related information(FFS the details of the information). The successive failure referred above, includes the following scenarios:

UE declares RLF on the source cell while performing the DAPS towards the target cell and declares HOF towards the target cell.

**From RAN2#113:**

Following DAPS HO scenarios are considered:

a. Failed DAPS handover to the target cell but successfully fallback to source

b. UE declares RLF on the source cell before successfully DAPS handover towards target cell

Besides those agreed scenarios, other possible scenarios were addressed in various contributions submitted at RAN2#113, e.g. [8][12][13][14][15][16][4].

The following table summarizes new possible DAPS scenarios that RAN2 can consider, as well as the scenarios already agreed.

**Q7: Companies are invited to provide comments (if any) to the below table of DAPS scenarios. Companies are also invited to include any additional scenario if missing**.  
**Please also see the Annex 6 for the detailed flow charts.**

Table 2: DAPS scenarios

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Macro scenario** | **Sub-scenario** | **Failure in source** | **Failure in target** | **Fallback  (i.e. the UE transmits FailureInformation message with FailureInfoDAPS to source cell)** | **Description** | **Comments** |
| Too late DAPS | 1a | RLF | - | N/A | * The UE gets an RLF while configured with DAPS bearers, before receiving a HO command | [Rapporteur]: Already agreed. It seems that too late DAPS scenarios can be handled as legacy too late HO, since unlike CHO, the RLF happens before the reception of HO command. |
| 1b | RLF after fallback | HOF | Yes | * The UE executes the DAPS HO to the target but it fails * The UE falls-back to the source cell * The UE experiences an RLF after the fallback | [QC] I believe this is still the case of too early DAPS. |
| 1c |  |  |  |  |  |
| Too early DAPS | 2a | - | HOF | Yes | * The UE executes the DAPS HO to the target but it fails * The UE falls-back to the source cell | [Rapporteur]: Already agreed |
| 2b | - | Early RLF after HO completion before daps-sourceRelease | N/A | * The UE executes the DAPS HO to the target, and it succeeds * The UE experiences an RLF in the target after the HO completion and before the daps configuration is released * The UE reestablishes to the source cell | [QC] I am wondering if there is any usecase from RAN2 perspective to differentiate 2b and 2c. |
| 2c | - | Early RLF after HO completion after daps-sourceRelease | N/A | * The UE executes the DAPS HO to the target, and it succeeds * The UE experiences an RLF in the target after the HO completion and after the daps configuration is released * The UE reestablishes to the source cell |  |
| 2d |  |  |  |  |  |
| DAPS to wrong cell | 3a | RLF during HO | HOF | No | * The UE executes the DAPS HO to the target but it fails * While doing HO, the UE also experiences an RLF in the source * The UE reestablishes in the a third cell different from source and target | [Rapporteur]: Already agreed |
| 3b | - | Early RLF after HO completion before *daps-SourceRelease* | N/A | * The UE executes the DAPS HO to the target, and it succeeds * The UE experiences an RLF in the target after the HO completion and before the daps configuration is released * The UE reestablishes to a third cell, different from source and target or it does not find any suitable cell | [QC] No. Considered under CEF report. |
| 3c | - | Early RLF after HO completion after *daps-SourceRelease* | N/A | * The UE executes the DAPS HO to the target, and it succeeds * The UE experiences an RLF in the target after the HO completion and after the daps configuration is released * The UE reestablishes to a third cell, different from source and target or it does not find any suitable cell | [QC] No. Considered under CEF report. |
| 3d |  |  |  |  |  |
| 3e |  |  |  |  |  |

Given the above scenarios, companies are now asked to indicate which of the above DAPS scenarios should be consider as valid, and hence studied in the WI.

**Q8: Which of the above DAPS-related scenarios should be taken into account by RAN2 in the SON WI?**

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| --- | --- | --- |
| **Company** | **Scenarios (e.g. all, 1a, 3b, etc)** | **Comments** |
| **Qualcomm** | 1a, 1b, 2a, (merged 2b and 2c), 3a | **No need to differentiate 2b and 2c. No need to consider 3b and 3c.** |
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### 2.2.2 DAPS-Related Parameters

Related to CHO parameters, the following has been agreed in previous meetings:

**From RAN2#112:**

Agreements:

At least the following cells’ related cell and beam measurements are included in the UE report associated to DAPS failure (try to reuse existing information):

a. Source cell of the DAPS

b. Target cell of the DAPS

A number of parameters were proposed by different companies in contributions submitted to RAN2#113, and that have not been discussed or agreed yet. The following sections are meant to describe such parameters and for companies to provide their view/support.

#### 2.2.2.1 Radio measurements-related parameters for RLF-Report

The below list contains the possible radio-related measurements that were proposed in contributions submitted to RAN2#113 and already summarized in the summary document [1].

Companies are invited to review the description of the below radio-measurements and include any other additional radio-measurement, if missing.

|  |  |  |
| --- | --- | --- |
| **#** | **Measurement** | **Comments on the definition** |
| A | Measurements of neighbour cells when HOF or RLF occurs [12][8][13] | [QC] RLF report already includes this. |
| B | Measurements for PCell of the target gNB [12][8][13][17] | [QC] RLF report already includes this. |
| C | Measurements for PCell of the source [12][8][13][17] | [QC] RLF report already includes this. |
| D | HO interruption time [8] | [QC] This can be obtained using other timing information in the RLF report. |
| E | Amount of duplicates received by the UE [8] | [QC] Network should know this and left it to network implementation. Furthermore, during the DAPS, source and target might not be duplicating the DL packets rather sending different packets. This should be handled on network implementation. |
| … |  |  |

Companies are now invited to indicate their preference for the inclusion of the above radio-related measurements and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 2 a certain parameter can be beneficial).

**Q9: Which of the above radio-related measurements need to be included in the RLF report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A, B)** | **Comments** |
| **Qualcomm** | None |  |
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#### 2.2.2.2 Timer-related parameters for RLF-Report

The below list contains timer-related DAPS parameters that were proposed in contributions submitted to RAN2#113 and included in the summary document [1].

Companies are invited to review the description and include any other additional timer-related DAPS parameter, if missing.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **#** | **Timer** | **Start time (for time related measurements)** | **End time (for time related measurements)** | **Comments on the definition** |
| A | Time elapsed since DAPS HO execution until RLF occurs in source cell before fallback [13][10] | Time of executing DAPS HO | Time of declaring RLF in source before fallback | [QC] IF we first fix the diffinition of *timeConnFailure* for DAPS. We may need the introduction of a single time to cover all A, B, C, and D. |
| B | Time elapsed since DAPS HO execution until RLF occurs in source cell after fallback | Time of executing DAPS HO | Time of declaring RLF in source after fallback |  |
| C | The elapsed time between the execution of DAPS and RLF in target cell [13] | Time of executing DAPS HO | Time of declaring RFL in target cell |  |
| D | The elapsed time between first failure in source (or target) and second failure in target (or source) while performing the DAPS HO [11] | Time of first failure in source (or target) | Time of second failure in target (or source) |  |
| … |  |  |  |  |

Companies are now invited to indicate their preference for the inclusion of the above time-related parameters and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 2 a certain parameter can be beneficial).

**Q10: Which of the above other timer-related DAPS parameters need to be included in the RLF report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A, B)** | **Comments** |
| **Qualcomm** | depends | IF we first fix the diffinition of *timeConnFailure* for DAPS. We need the introduction of a single time to cover all A, B, C, and D. All others can be computed using *timeConnFailure* and one new timing information. |
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#### 2.2.2.3 Other DAPS-related parameters for RLF-Report

The below list contains other CHO-related parameters that were proposed in contributions submitted to RAN2#113 and partly already addressed in the email discussion R2-2101451 Post RAN2#112.

Companies are invited to review the description and include any other additional DAPS-related parameter, if missing.

|  |  |  |
| --- | --- | --- |
| **#** | **Parameter** | **Comments on the definition** |
| A | DAPS failure order, to indicate whether the failure between the UE and the source cell occurs before the one between the UE and the target cell. [11] | [QC] No need. The tming information will convey this. |
| B | Indication if fallback was performed [8] | [QC] No need. RLF report content will be sufficient. |
| C | RLF-cause of the RLF occurred in the source cell while performing a DAPS HO | [QC] Yes. |
| D | Explicit indicator for DAPS handover failure [8][18][15][11][13][9] | [QC] Yes. For DAPS we need explicit indicator. By setting DAPS failure, we allow UE to include the RLF cause in the RLF report. |
| E | Implicit indicator [16] |  |
| ... |  |  |

Companies are now invited to indicate their preference for the inclusion of the above other DAPS-related parameters and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 2 a certain parameter can be beneficial).

**Q11: Which of the above other DAPS-related parameters need to be included in the RLF report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A, B)** | **Comments** |
| **Qualcomm** | C and D |  |
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### 2.2.3 Signalling model

Related to the signalling model, the following left was left in RAN2#112:

|  |
| --- |
| **From RAN2#112:**  FFS: For the case of failed DAPS handover to the target cell but successful fallback to source, no further information is needed in the legacy FailureInformation message. |

Intention of the above signalling model is to limit the amount of information transferred within the FailureInformation message, which is used by the UE to signal the fallback to the source cell. Since the signal is likely to be sent when the UE is in poor coverage conditions, it is important to make it as light as possible.

**Q12: Is it ok to assume that in case of DAPS HO fallback to source cell, no further information are included in the existing FailureInformation message?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Yes/No/Postpone** | **Comments** |
| **Qualcomm** | Include RLF report in a container of failureInformation | RLF report should be added as the part of failureInformation. Such that network can no the cause of failure and take appropriate action. |
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## 2.3 Successful HO Report

### 2.3.1 Scenarios

Scenarios for Successful HO report were addressed in various contributions submitted at RAN2#113, e.g. [8][12][13][14][15][16][4].

The following table summarizes the possible scenarios for HO Success Reports that RAN2 can consider, as well as the scenarios already agreed.

**Q13: Companies are invited to provide comments (if any) to the below table of successful HO scenarios. Companies are also invited to include any additional scenario if missing**.

Table 3: Scenarios for HO success reports

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Macro scenario** | **Sub-scenario** | **Source** | **Target** | **Description** | **Comments** |
| Ordinary HO | 1a | NR cell | NR cell | UE successfully performs an intra-RAT HO | [QC] Agree |
| 1b | NR cell | LTE cell | UE successfully performs an inter-RAT HO | [QC] We may postpone inter-system inter-RAT while consider intra-system inter-RAT. |
|  |  |  |  |  |
| CHO | 2a | UE executes CHO | - | UE successfully performs a CHO towards a candidate target | [QC] Agree |
| 2b | Ordinary HO for a CHO-configured UE | - | UE is configured with CHO, but before executing it, it receives an ordinary HO command and successfully performs it | [QC] Agree |
| 2c | Successful HO while initial failure | - | UE is configured with CHO, the first attempt fails while UE recover using the CHO configuration in successive attempt | [QC] Agree |
| DAPS | 3a | No RLF during DAPS HO | - | UE successfully performed a DAPS HO towards the target cell. No RLF is experienced in the source cell while performing DAPS | [QC] Agree |
| 3b | RLF during DAPS HO | - | UE successfully performed a DAPS HO towards the target cell. RLF is experienced in the source cell while performing DAPS | [QC] Agree |
| … |  |  |  |  |

Given the above scenarios, companies are now asked to indicate which of the above HO success scenarios should be consider as valid, and hence studied in the WI.

**Q14: Which of the above HO success-related scenarios should be taken into account by RAN2 in the SON WI?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Scenarios (e.g. all, 1a, 3b, etc)** | **Comments** |
| **Qualcomm** | All including 2c. |  |
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### 2.3.2 Triggering conditions for storing HO success report

One issue addressed by many contributions is when the UE should log the HO success report. In the following is a list of possible events:

|  |  |  |
| --- | --- | --- |
| **#** | **Triggering condition** | **Comments on the definition** |
| A | The UE logs the HO success report only if it does not get RLF in the target after successful HO completion | [QC] No. Depends on whether we want to consider 2C under successful HO reporting or Handover failure reporting. |
| B | The UE logs the HO success report if, while doing HO, T310 value exceeds a threshold | [QC] Agree. |
| C | The UE logs the HO success report if, while doing HO, T312 value exceeds a threshold | [QC] Agree. |
| D | The UE logs the HO success report if, while doing HO, N310 value exceeds a threshold | [QC] Agree. |
| E | The UE logs the HO success report if, while doing HO, T304 exceeds a threshold | [QC] Agree. |
| F | The UE logs the HO success report if the beam(s) configured with CFRA for the RACH to the target, are not the best beams at the time of HO. | [QC] No. |
| G | The UE logs the HO success report BFD/BFR related beam measurements are poor (Qin/Qout exceeds a threshold) | [QC] No. |
| H | In case of DAPS, if the UE gets an RLF in the source while doing DAPS | [QC] Agree. But it is subcase of 1B. |
| I | The UE logs the HO success report if the HO interruption time is too large | [QC] No. This is not indicative of lower layer issue. |
| J | Transmission power of the UE reaches the maximum UE transmission power | [QC] No. This is not indicative of lower layer issue. |
| K | RA procedure delay is too large | [QC] No. |
| L | Count of Beam Failure Indication exceeds a threshold | [QC] No. |
| M | Count Of Beam Failure Recovery exceeds a threshold | [QC] No. |
| … |  |  |

Companies are now invited to indicate their preference for the above triggering conditions and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 3 a certain triggering condition is needed).

**Q15: Which of the above triggering conditions for the storing of the HO success report need to be considered?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A, B)** | **Comments** |
| **Qualcomm** | B, C, D, E, H |  |
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### 2.3.3 HO Success-related parameters

Related to parameters to include in the HO success reports, the following has been agreed so far:

**From RAN2#113**

Agreements:

Contents of the HO success report:

The source cell and target cell related identifiers and measurements are to be included in the successful HO report.

The following tables contains the parameters mentioned in various contributions submitted at RAN2#113. In particular, the parameters have been divided into three HO categories , i.e. ordinary HO, CHO, and DAPS. Please note that some parameters have been repeated across the three HO categories, since they may beneficial in multiple scenarios.

#### 2.3.3.1 Radio measurements/RLM

The below list contains radio measurements and RLM related info to be included in the HO success report and that were proposed in contributions submitted to RAN2#113.

|  |  |  |  |
| --- | --- | --- | --- |
| **Macro scenario** | **#** | **Description** | **Comments** |
| Ordinary HO | A1 | Latest radio link quality of neighbour cells before HO command was received | [QC] No. if no lower layer issue is detected UE donot log SHO report.  Yes, if lower layer issue is setected. For example, based on N310 status. |
| A2 | Flag to indicate RLM issues in source cell before HO command reception | [QC] RRM measurement should be sufficient. |
| … |  |  |
| CHO | B1 | Latest radio link quality of source cell before HO command was received | [QC] No. if no lower layer issue is detected UE donot log SHO report.  Yes, if lower layer issue is setected. For example, based on N310 status. |
| B2 | The radio quality of source cell after RACH towards target cell succeeded | [QC] No. if no lower layer issue is detected UE donot log SHO report. |
| B3 | Latest radio measurement results of the candidate target cells | [QC] No. if no lower layer issue is detected UE donot log SHO report.  Yes, if lower layer issue is setected. For example, based on N310 status. |
| B4 | Fullfilled CHO execution condition(s), e.g. A3 and/or A5 event configuration, for the cell(s) in which CHO execution was triggered.an ordinary HO command and successfully performs it | [QC] No. Avoid making SHO report bulky. |
| B5 | Configured CHO execution condition(s), e.g. A3 and/or A5 event configuration, of the candidate target cells | [QC] No. Avoid making SHO report bulky. |
| B6 | List of candidate cell IDs satisfying the CHO execution trigger condition and the execution condition used | [QC] Agree. If we agree on 2c. |
| B7 | List of candidate cell IDs not satisfying the CHO execution trigger condition and the execution condition used | [QC] No. |
| B8 | The radio quality of source cell when ConditionalReconfiguration is received before conditional handover execution condition is satisfied | [QC] Yes. if lower layer issue is detected between the reception and execution of RRCReconfiguration. |
| … |  |  |
| DAPS | C1 | Latest radio link quality of source cell before HO command was received | [QC] No. if no lower layer issue is detected UE donot log SHO report.  Yes, if lower layer issue is setected. For example, based on N310 status. |
| C2 | The radio quality of source cell after RACH towards target cell succeeded | [QC] No. if no lower layer issue is detected UE donot log SHO report. |
| C3 | The radio quality of target cell after RACH towards target cell succeeded | [QC] No. if no lower layer issue is detected UE donot log SHO report. |
| C4 | Flag to indicate RLM issues in source cell during DAPS HO | [QC] RRM measurement should be sufficient. |
| C5 | Flag to indicate RLM issues in source cell before HO command reception | [QC] RRM measurement should be sufficient. |
| … |  |  |

Companies are now invited to indicate their preference for the inclusion of the above radio-related measurements and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 3 a certain parameter can be beneficial).

**Q16: Which of the above radio-related measurements need to be included in the HO success report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A1, B1, C1, etc)** | **Comments** |
| **Qualcomm** | B6 and B8. A1, B1, B3, C1 depnds if lower layer issue is already detected prior to the reception of RRCReconfiguration. |  |
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#### 2.3.3.2 Timer-related parameters

The below list contains timer related info to be included in the HO success report and that were proposed in contributions submitted to RAN2#113.

|  |  |  |  |
| --- | --- | --- | --- |
| **Macro scenario** | **#** | **Description** | **Comments** |
| Ordinary HO | A1 | T304 elapsed time | [QC] NO. |
| A2 | T310 elapsed time | [QC] NO. |
| A3 | T312 elapsed time | [QC] NO. |
| A4 | HO interruption time, i.e. time elapsed between last received packet in the DL (last transmitted packet in the UL) in source cell, and first received packet in the DL (transmitted packet in the UL) in the target cell | [QC] Okay. |
| … |  |  |
| CHO | B1 | Same as A1 | [QC] NO. |
| B2 | Same as A2 | [QC] NO. |
| B3 | Same as A3 | [QC] NO. |
| B4 | Same as A4 | [QC] Okay. |
| B5 | Time elapsed between the CHO execution towards the target cell and the corresponding latest CHO configuration received for the selected target cell | [QC] Okay. |
| B6 | Same as A4 | [QC] Okay |
| DAPS | C1 | Same as A1 | [QC] NO. |
| C2 | Same as A2 | [QC] NO. |
| C3 | Same as A3 | [QC] NO. |
| C4 | Same as A4 | [QC] Okay. |
| C5 | Same as A4 | [QC] Okay. |

Companies are now invited to indicate their preference for the inclusion of the above time-related paramters and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 3 a certain parameter can be beneficial).

**Q17: Which of the above timer-related parameters need to be included in the HO success report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A1, B1, C1, etc)** | **Comments** |
| **Qualcomm** | A4, B4, B5, B6, C4, and C5 |  |
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#### 2.3.3.3 Other HO success report parameters

The below list contains other possible parameters to be included in the HO success report and that were proposed in contributions submitted to RAN2#113.

|  |  |  |  |
| --- | --- | --- | --- |
| **Macro scenario** | **#** | **Description** | **Comments** |
| Ordinary HO | A1 | Status of RLC retransmission counter before HO | [QC] NO. |
| A2 | Location Information | [QC] May be. |
| … |  |  |
| CHO | A1 | Same as A1 | [QC] NO. |
| A2 | Same as A2 | [QC] May be. |
| … |  |  |
| DAPS | C1 | Same as A1 | [QC] NO. |
| C2 | Status of RLC retransmission counter during DAPS HO | [QC] NO. |
| C3 | Same as A2 | [QC] May be. |
| C4 | Amount of duplicates received during DAPS HO | [QC] NO. |
| … |  |  |

Companies are now invited to indicate their preference for the inclusion of the above parameters and also provide the reasons for their preference (e.g. by indicating for which of the scenarios listed in Table 3 a certain parameter can be beneficial).

**Q18: Which of the above parameters need to be included in the HO success report?**

|  |  |  |
| --- | --- | --- |
| **Company** | **Preferred option (e.g. A1, B1, etc)** | **Comments** |
| **Qualcomm** | A2 (may be) |  |
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|  |  |  |
|  |  |  |
|  |  |  |

# 3 Conclusion

To be updated

# 4 References

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# 5 Annex - CHO scenarios flow charts

**Figures concerning failure scenarios involving Conditional HO**

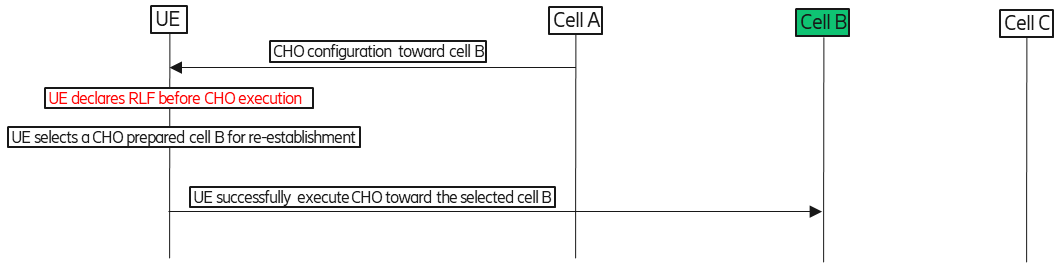


Figure 1: Too Late HO:1a

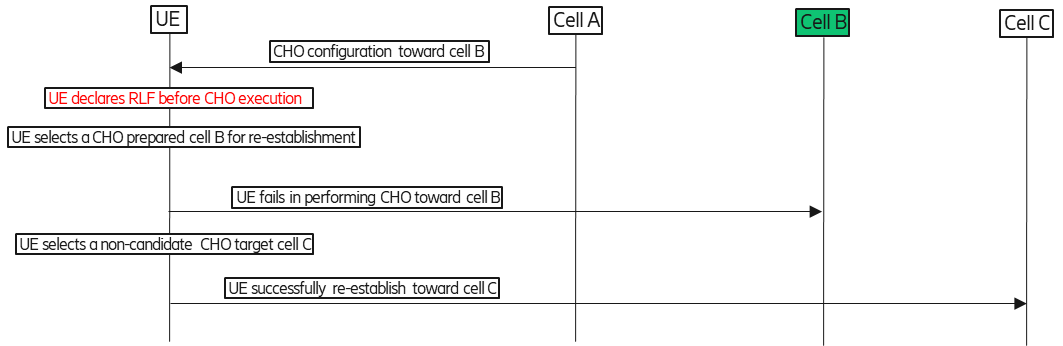


Figure 2: Too Late HO:1b

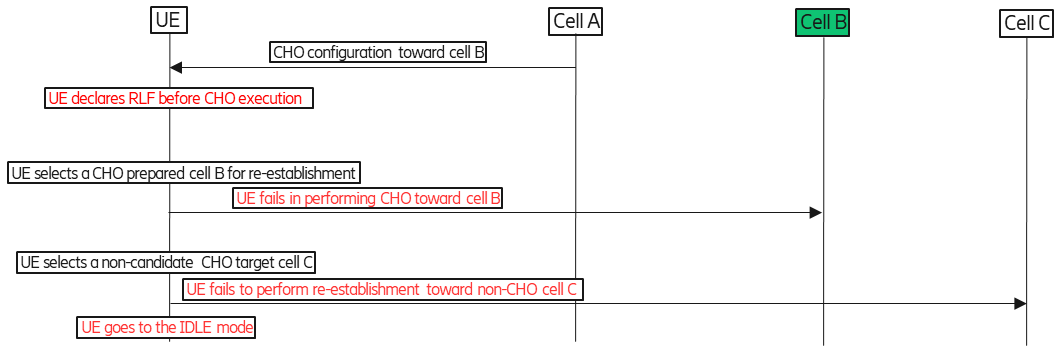


Figure 3-1: Too Late HO:1c- failure in re-establishment

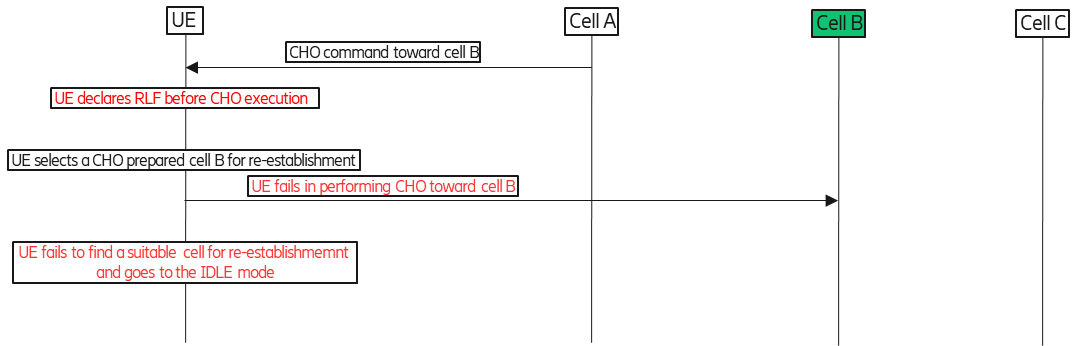


Figure 3-2: Too Late HO:1c- failure in finding a suitale cell.



Figure 4: Too Late HO:1d

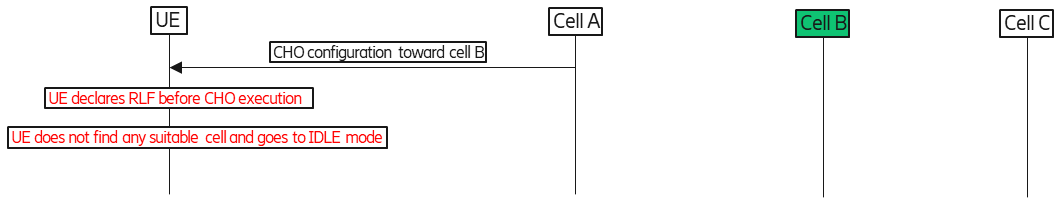


Figure 5: Too Late HO:1e

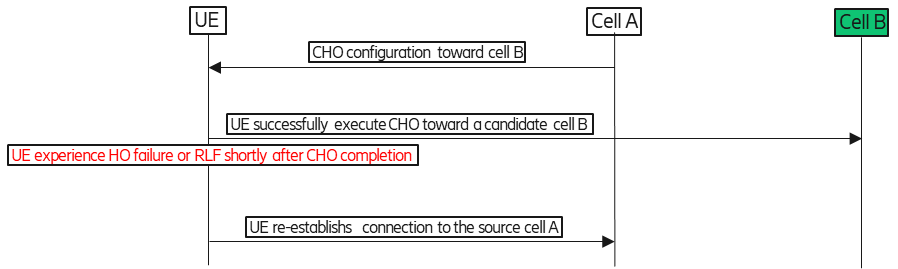


Figure 6: Too Early HO: 2a

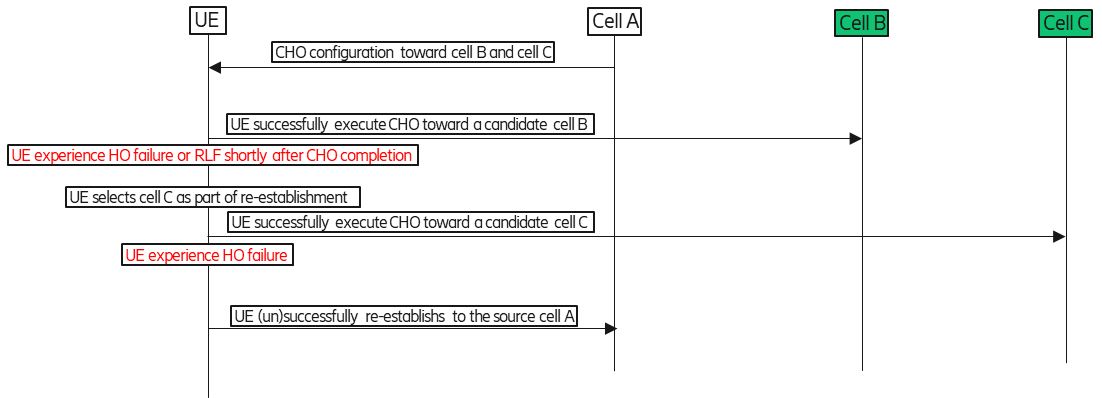


Figure 7: Too Early HO:2b

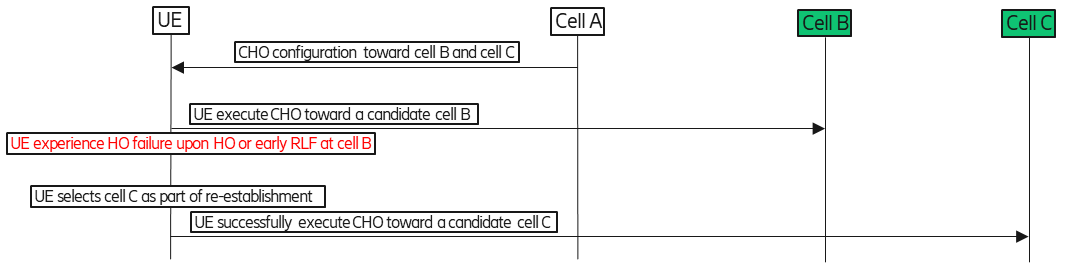


Figure 8: CHO to wrong cell:3a

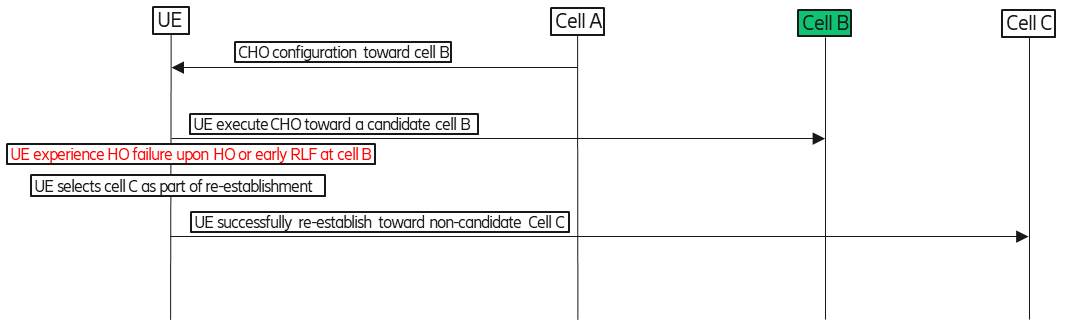


Figure 9: CHO to Wrong Cell:3b

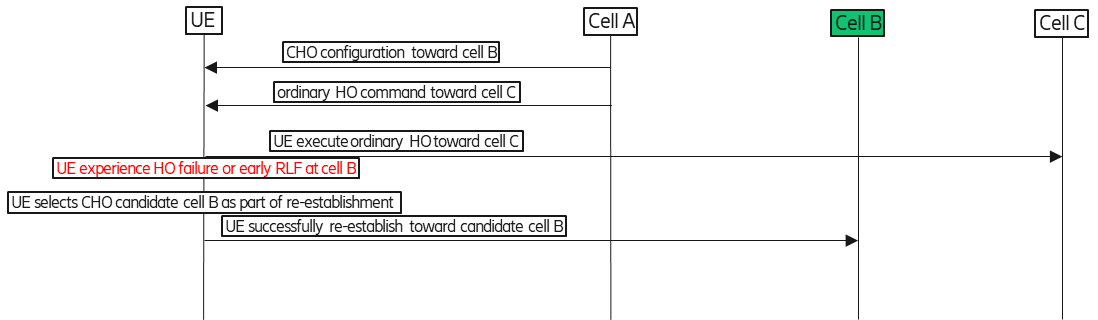


Figure 10: CHO to Wrong cell: 3c



Figure 11: CHO to wrong cell: 3d

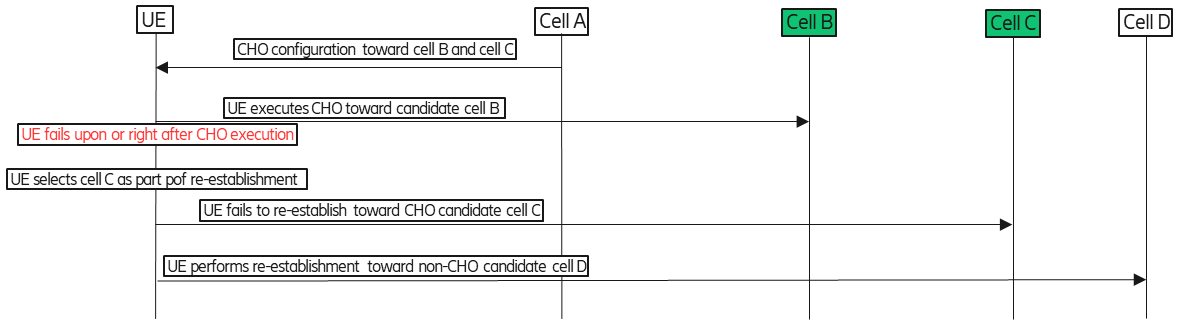


Figure 12-1: CHO to wrong cell: 3e – reestablishment toward non-CHO candidate cell

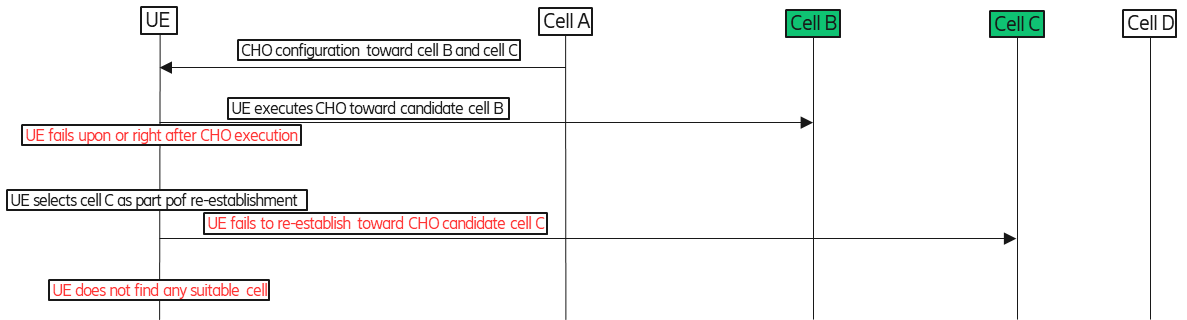


Figure 12-2: CHO to wrong cell: 3e – not finding any suitable cell

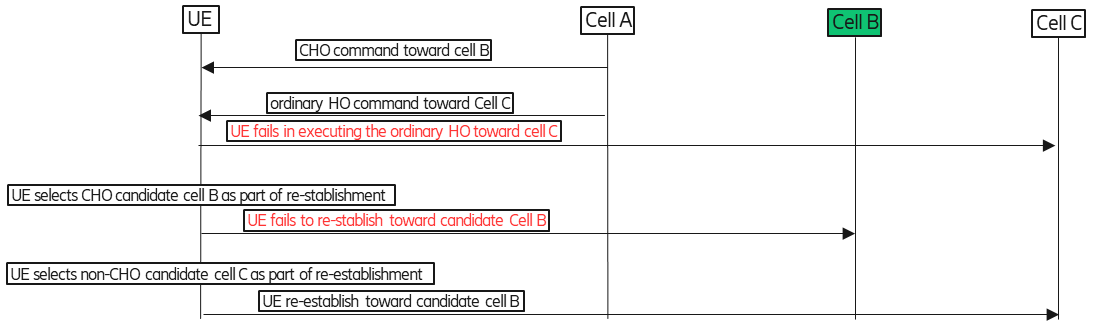


Figure 13-1: CHO to wrong cell: 3f – reestablishment toward non-CHO candidate cell

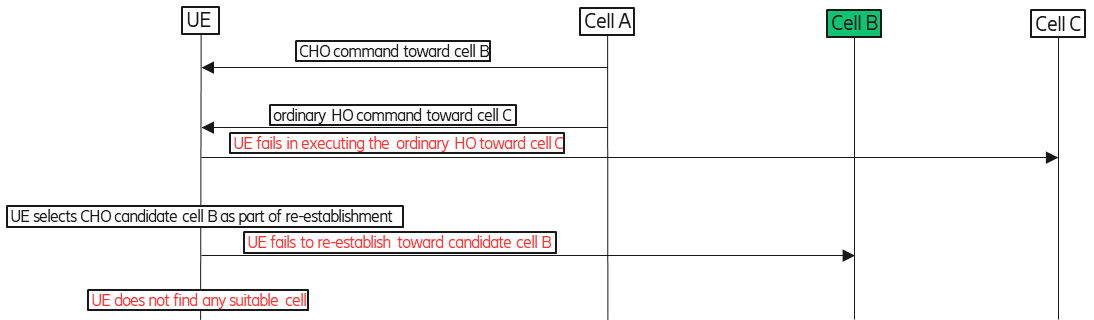


Figure 13-2: CHO to wrong cell: 3f – not finding any suitable cell

# 6 Annex – DAPS scenarios flow charts

**Figures concerning failure scenarios involving DAPS HO**

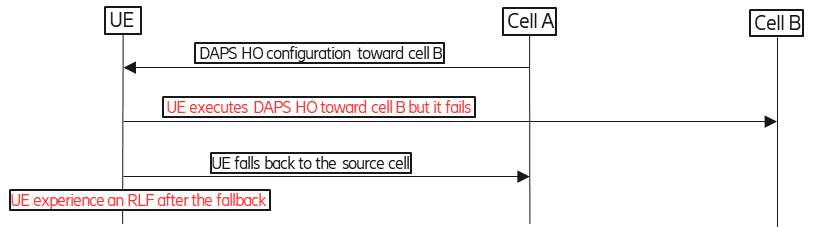


Figure 14: Too Late DAPS: 1b – RLF after DAPS

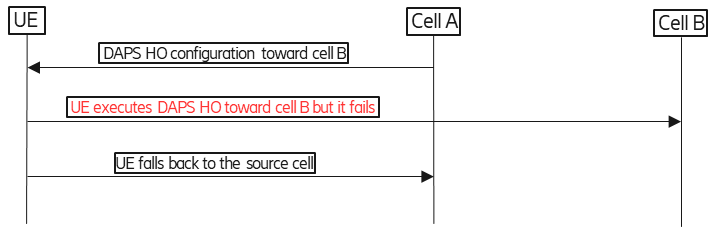


Figure 15: Too Early DAPS: 2a

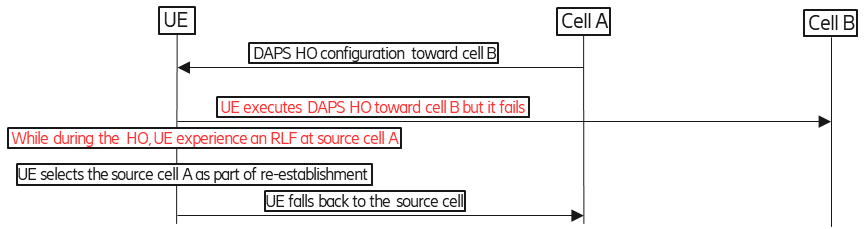


Figure 17: Too Early DAPS: 2b: Early RLF after HO completion before daps-sourceRelease

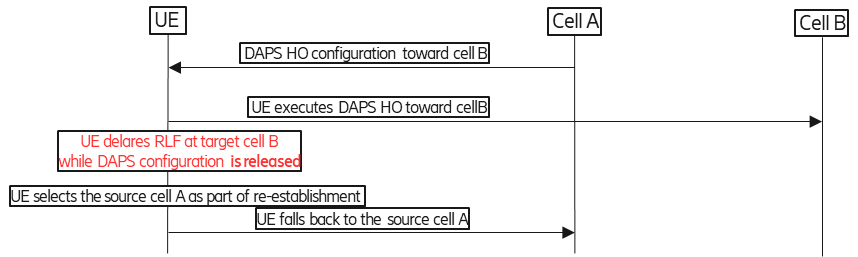


Figure 18: Too Early DAPS: 2c - Early RLF after HO completion after daps-sourceRelease

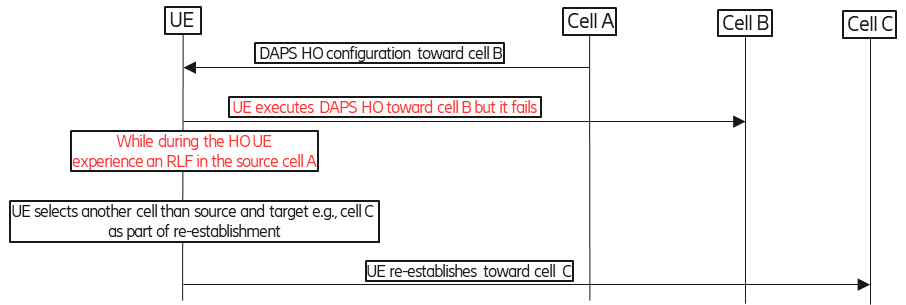


Figure 19: DAPS to Wrong cell: 3a - RLF during HO

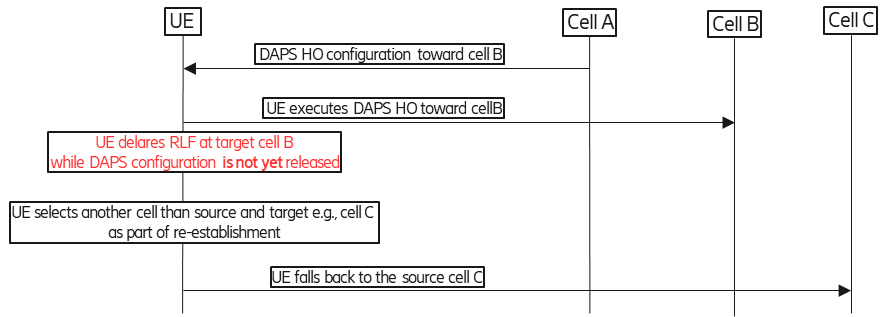


Figure 20: DAPS to Wrong cell: 3b - Early RLF after HO completion before daps-SourceRelease

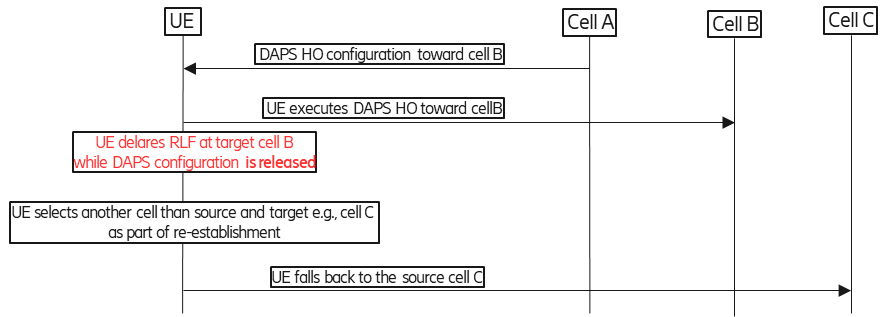


Figure 21: DAPS to Wrong cell: 3c - Early RLF after HO completion after daps-SourceRelease