**3GPP TSG-RAN WG2 Meeting #113bis-e *R2-21xxxxx***

**Online, 12 – 20 April 2021**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.321** | **CR** |  | **rev** |  | **Current version:** | **16.3.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| ***Title:*** | Correction on SL configured grant | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | OPPO(rapporteur) | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | 5G\_V2X\_NRSL | | | | |  | ***Date:*** | | | 2020-03-18 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12) Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | 1. RAN2 agreed that “The equation to define CG resource slot should be defined based on Level\_3 logical slots i.e. logical slots within one resource pool” in RAN2#113e meeting 2. The parameter CURRENT\_slot and PeriodicitySL to calculate CG resource slot and HARQ process ID should be aligned with each other | | | | | | | | |
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| ***Summary of change:*** | | 1. To correct the equation of configured grant type1 and type2 resource allocation in section 5.8.3 2. To align the defition of parameter CURRENT\_slot and PeriodicitySL to calculate SL HARQ process ID in section 5.22.1.1 to the ones in section 5.8.3   **Impact analysis**  Impacted 5G NR SL:  NR SA  Impacted functionality:  SL mode 1 operation  Inter-operability:  If one UE is implemented according to this CR while the other UE is not, there maybe resource collision among TX UEs.  If UE implements according to the CR and the network does not, the CG resource allocation is misaligned between TX UE and network.  If the network implements according to the CR and the UE does not, the CG resource allocation is misaligned between TX UE and network. | | | | | | | | |
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| ***Consequences if not approved:*** | | If the CR is not agreed, resource allocation and HARQ process ID of SL configured grant type1 and type2 is not correct. | | | | | | | | |
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| ***Clauses affected:*** | | 5.8.3,5.22.1.1 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
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| ***This CR's revision history:*** | |  | | | | | | | | |

START OF THE 1st CHANGE

5.8.3 Sidelink

There are two types of transmission without dynamic grant:

- configured grant Type 1 where an sidelink grant is provided by RRC, and stored as configured sidelink grant;

- configured grant Type 2 where an sidelink grant is provided by PDCCH, and stored or cleared as configured sidelink grant based on L1 signalling indicating configured sidelink grant activation or deactivation.

Type 1 and/or Type 2 are configured with a single BWP. Multiple configurations of up to 8 configured grants (including both Type 1 and Type 2, if configured) can be active simultaneously on the BWP.

RRC configures the following parameters when the configured grant Type 1 is configured, as specified in TS 38.331 [5] or TS 36.331 [21]:

- *sl-ConfigIndexCG*: the identifier of a configured grant for sidelink;

- *sl-CS-RNTI*: SLCS-RNTI for retransmission;

- *sl-NrOfHARQ-Processes*: the number of HARQ processes for configured grant;

- *sl-PeriodCG*: periodicity of the configured grant Type 1;

- *sl-OffsetSlotCG-Type1*: Offset of a resource with respect to logical slot = *sl-ReferenceSlotCG-Type1* in time domain, referring to the number of logical slots in a resource pool;

- *sl-TimeResourceCG-Type1*: time resource location of the configured grant Type 1;

- *sl-CG-MaxTransNumList*: the maximum number of times that a TB can be transmitted using the configured grant;

*- sl-HARQ-ProcID-offset*: offset of HARQ process for configured grant Type 1;

- *sl-ReferenceSlotCG-Type1*: logical slot which is used for determination of the offset of a resource in a resource pool. The UE uses the closest logical slot with the indicated number preceding the reception of the sidelink configured grant configuration Type 1.

RRC configures the following parameters when the configured grant Type 2 is configured, as specified in TS 38.331 [5]:

- *sl-ConfigIndexCG*: the identifier of a configured grant for sidelink;

- *sl-CS-RNTI*: SLCS-RNTI for activation, deactivation, and retransmission;

- *sl-NrOfHARQ-Processes*: the number of HARQ processes for configured grant;

- *sl-PeriodCG*: periodicity of the configured grant Type 2;

- *sl-CG-MaxTransNumList*: the maximum number of times that a TB can be transmitted using the configured grant;

*- sl-HARQ-ProcID-offset*: offset of HARQ process for configured grant Type 2.

Upon configuration of a configured grant Type 1, the MAC entity shall for each configured sidelink grant:

1> store the sidelink grant provided by RRC as a configured sidelink grant;

1> initialise or re-initialise the configured sidelink grant to determine PSCCH duration(s) and PSSCH duration(s) according to *sl-TimeOffsetCG-Type1* and *sl-TimeResourceCG-Type1*, and to reoccur with *sl-periodCG* for transmissions of multiple MAC PDUs according to clause 8.1.2 of TS 38.214 [7].

NOTE 1: If the MAC entity is configured with multiple configured sidelink grants, collision among the configured sidelink grants may occur. How to handle the collision is left to UE implementation.

After a sidelink grant is configured for a configured grant Type 1, the MAC entity shall consider sequentially that the first slot of the Sth sidelink grant occurs in the logical slot for which:

*CURRENT\_slot=(sl-ReferenceSlotCG-Type1+ sl-OffsetSlotCG-Type1+* S × *PeriodicitySL)* modulo *T’max*

Where CURRENT\_slot refers to current logical slot in the resource pool, and T’max is the number of slots that belongs to a resource pool as defined in clause 8 of TS 38.214[7].

After a sidelink grant is configured for a configured grant Type 2, the MAC entity shall consider sequentially that the first slot of Sth sidelink grant occurs in the logical slot for which:

*CURRENT\_slot=(sl-StartSlotCG-Type2+* S × *PeriodicitySL)* modulo *T’max*

Where *sl-StartSlotCG-Type2* refers to the logical slot of the first transmission opportunity of PSSCH where the configured sidelink grant was (re)initialised.

When a configured sidelink grant is released by RRC, all the corresponding configurations shall be released and all corresponding sidelink grants shall be cleared.

The MAC entity shall:

1> if the configured sidelink grant confirmation has been triggered and not cancelled; and

1> if the MAC entity has UL resources allocated for new transmission:

2> instruct the Multiplexing and Assembly procedure to generate a Sidelink Configured Grant Confirmation MAC CE as defined in clause 6.1.3.34;

2> cancel the triggered configured sidelink grant confirmation.

For a configured grant Type 2, the MAC entity shall clear the corresponding configured sidelink grant immediately after first transmission of Sidelink Configured Grant Confirmation MAC CE triggered by the configured sidelink grant deactivation.

END OF THE 1st CHANGE

START OF THE 2nd CHANGE

5.22.1.1 SL Grant reception and SCI transmission

Sidelink grant is received dynamically on the PDCCH, configured semi-persistently by RRC or autonomously selected by the MAC entity. The MAC entity shall have a sidelink grant on an active SL BWP to determine a set of PSCCH duration(s) in which transmission of SCI occurs and a set of PSSCH duration(s) in which transmission of SL-SCH associated with the SCI occurs. A sidelink grant addressed to SLCS-RNTI with NDI = 1 is considered as a dynamic sidelink grant.

If the MAC entity has been configured with Sidelink resource allocation mode 1 as indicated in TS 38.331 [5], the MAC entity shall for each PDCCH occasion and for each grant received for this PDCCH occasion:

1> if a sidelink grant has been received on the PDCCH for the MAC entity's SL-RNTI:

2> if the NDI received on the PDCCH has not been toggled compared to the value in the previously received HARQ information for the HARQ Process ID:

3> use the received sidelink grant to determine PSCCH duration(s) and PSSCH duration(s) for one or more retransmissions of a single MAC PDU for the corresponding Sidelink process according to clause 8.1.2 of TS 38.214 [7].

2> else:

3> use the received sidelink grant to determine PSCCH duration(s) and PSSCH duration(s) for initial transmission and, if available, retransmission(s) of a single MAC PDU according to clause 8.1.2 of TS 38.214 [7].

2> if a sidelink grant is available for retransmission(s) of a MAC PDU which has been positively acknowledged as specified in clause 5.22.1.3.1a:

3> clear the PSCCH duration(s) and PSSCH duration(s) corresponding to retransmission(s) of the MAC PDU from the sidelink grant.

1> else if a sidelink grant has been received on the PDCCH for the MAC entity's SLCS-RNTI:

2> if PDCCH contents indicate retransmission(s) for the identifed HARQ process ID that has been set for an activated configured sidelink grant identified by *sl-ConfigIndexCG*:

3> use the received sidelink grant to determine PSCCH duration(s) and PSSCH duration(s) for one or more retransmissions of a single MAC PDU according to clause 8.1.2 of TS 38.214 [7].

2> else if PDCCH contents indicate configured grant Type 2 deactivation for a configured sidelink grant:

3> trigger configured sidelink grant confirmation for the configured sidelink grant.

2> else if PDCCH contents indicate configured grant Type 2 activation for a configured sidelink grant:

3> trigger configured sidelink grant confirmation for the configured sidelink grant;

3> store the configured sidelink grant;

3> initialise or re-initialise the configured sidelink grant to determine the set of PSCCH durations and the set of PSSCH durations for transmissions of multiple MAC PDUs according to clause 8.1.2 of TS 38.214 [7].

If the MAC entity has been configured with Sidelink resource allocation mode 2 to transmit using pool(s) of resources in a carrier as indicated in TS 38.331 [5] or TS 36.331 [21] based on sensing or random selection, the MAC entity shall for each Sidelink process:

NOTE 1: If the MAC entity is configured with Sidelink resource allocation mode 2 to transmit using a pool of resources in a carrier as indicated in TS 38.331 [5] or TS 36.331 [21], the MAC entity can create a selected sidelink grant on the pool of resources based on random selection or sensing only after releasing configured sidelink grant(s), if any.

NOTE 2: The MAC entity expects that PSFCH is always configured by RRC for at least one pool of resources in case that at least a logical channel configured with *sl-HARQ-FeedbackEnabled* is set to *enabled*.

1> if the MAC entity has selected to create a selected sidelink grant corresponding to transmissions of multiple MAC PDUs, and SL data is available in a logical channel:

2> if the MAC entity has not selected a pool of resources allowed for the logical channel:

3> if *sl-HARQ-FeedbackEnabled* is set to *enabled* for the logical channel:

4> select any pool of resources configured with PSFCH resources among the pools of resources;

3> else:

4> select any pool of resources among the pools of resources;

2> perform the TX resource (re-)selection check on the selected pool of resources as specified in clause 5.22.1.2;

NOTE 3: The MAC entity continuously performs the TX resource (re-)selection check until the corresponding pool of resources is released by RRC or the MAC entity decides to cancel creating a selected sidelink grant corresponding to transmissions of multiple MAC PDUs.

2> if the TX resource (re-)selection is triggered as the result of the TX resource (re-)selection check:

3> select one of the allowed values configured by RRC in *sl-ResourceReservePeriodList* and set the resource reservation interval, , with the selected value;

NOTE 3A: The MAC entity selects a value for the resource reservation interval which is larger than the remaining PDB of SL data available in the logical channel.

3> randomly select, with equal probability, an integer value in the interval [5, 15] for the resource reservation interval higher than or equal to 100ms or in the interval for the resource reservation interval lower than 100ms and set *SL\_RESOURCE\_RESELECTION\_COUNTER* to the selected value;

3> select the number of HARQ retransmissions from the allowed numbers that are configured by RRC in *sl-MaxTxTransNumPSSCH* included in *sl-PSSCH-TxConfigList* and, if configured by RRC, overlapped in *sl-MaxTxTransNumPSSCH* indicated in *sl-CBR-PriorityTxConfigList* for the highest priority of the logical channel(s) allowed on the carrier and the CBR measured by lower layers according to clause 5.1.27 of TS 38.215 [24] if CBR measurement results are available or the corresponding *sl-defaultTxConfigIndex* configured by RRC if CBR measurement results are not available;

3> select an amount of frequency resources within the range that is configured by RRC between *sl-MinSubChannelNumPSSCH* and *sl-MaxSubchannelNumPSSCH* included in *sl-PSSCH-TxConfigList* and, if configured by RRC, overlapped between *MinSubChannelNumPSSCH* and *MaxSubchannelNumPSSCH* indicated in *sl-CBR-PriorityTxConfigList* for the highest priority of the logical channel(s) allowed on the carrier and the CBR measured by lower layers according to clause 5.1.27 of TS 38.215 [24] if CBR measurement results are available or the corresponding *sl-defaultTxConfigIndex* configured by RRC if CBR measurement results are not available;

3> randomly select the time and frequency resources for one transmission opportunity from the resources indicated by the physical layer as specified in clause 8.1.4 of TS 38.214 [7], according to the amount of selected frequency resources and the remaining PDB of SL data available in the logical channel(s) allowed on the carrier.

3> use the randomly selected resource to select a set of periodic resources spaced by the resource reservation interval for transmissions of PSCCH and PSSCH corresponding to the number of transmission opportunities of MAC PDUs determined in TS 38.214 [7];

3> if one or more HARQ retransmissions are selected:

4> if there are available resources left in the resources indicated by the physical layer according to clause 8.1.4 of TS 38.214 [7] for more transmission opportunities:

5> randomly select the time and frequency resources for one or more transmission opportunities from the available resources, according to the amount of selected frequency resources, the selected number of HARQ retransmissions and the remaining PDB of SL data available in the logical channel(s) allowed on the carrier by ensuring the minimum time gap between any two selected resources in case that PSFCH is configured for this pool of resources and that a retransmission resource can be indicated by the time resource assignment of a prior SCI according to clause 8.3.1.1 of TS 38.212 [9];

5> use the randomly selected resource to select a set of periodic resources spaced by the resource reservation interval for transmissions of PSCCH and PSSCH corresponding to the number of retransmission opportunities of the MAC PDUs determined in TS 38.214 [7];

5> consider the first set of transmission opportunities as the initial transmission opportunities and the other set(s) of transmission opportunities as the retransmission opportunities;

5> consider the sets of initial transmission opportunities and retransmission opportunities as the selected sidelink grant.

3> else:

4> consider the set as the selected sidelink grant.

3> use the selected sidelink grant to determine the set of PSCCH durations and the set of PSSCH durations according to TS 38.214 [7].

2> else if *SL\_RESOURCE\_RESELECTION\_COUNTER* = 0 and when *SL\_RESOURCE\_RESELECTION\_COUNTER* was equal to 1 the MAC entity randomly selected, with equal probability, a value in the interval [0, 1] which is less than or equal to the probability configured by RRC in *sl-ProbResourceKeep*:

3> clear the selected sidelink grant, if available;

3> randomly select, with equal probability, an integer value in the interval [5, 15] for the resource reservation interval higher than or equal to 100ms or in the interval for the resource reservation interval lower than 100ms and set *SL\_RESOURCE\_RESELECTION\_COUNTER* to the selected value;

3> reuse the previously selected sidelink grant for the number of transmissions of the MAC PDUs determined in TS 38.214 [7] with the resource reservation interval to determine the set of PSCCH durations and the set of PSSCH durations according to TS 38.214 [7].

1> if the MAC entity has selected to create a selected sidelink grant corresponding to transmission(s) of a single MAC PDU, and if SL data is available in a logical channel, or a SL-CSI reporting is triggered:

2> if SL data is available in the logical channel:

3> if *sl-HARQ-FeedbackEnabled* is set to *enabled* for the logical channel:

4> select any pool of resources configured with PSFCH resources among the pools of resources;

3> else:

4> select any pool of resources among the pools of resources;

2> else if a SL-CSI reporting is triggered:

3> select any pool of resources among the pools of resources.

2> perform the TX resource (re-)selection check on the selected pool of resources as specified in clause 5.22.1.2;

2> if the TX resource (re-)selection is triggered as the result of the TX resource (re-)selection check:

3> select the number of HARQ retransmissions from the allowed numbers that are configured by RRC in *sl-MaxTxTransNumPSSCH* included in *sl-PSSCH-TxConfigList* and, if configured by RRC, overlapped in *sl-MaxTxTransNumPSSCH* indicated in *sl-CBR-PriorityTxConfigList* for the highest priority of the logical channel(s) allowed on the carrier and the CBR measured by lower layers according to clause 5.1.27 of TS 38.215 [24] if CBR measurement results are available or the corresponding *sl-defaultTxConfigIndex* configured by RRC if CBR measurement results are not available;

3> select an amount of frequency resources within the range that is configured by RRC between *sl-MinSubChannelNumPSSCH* and *sl-MaxSubChannelNumPSSCH* included in *sl-PSSCH-TxConfigList* and, if configured by RRC, overlapped between *sl-MinSubChannelNumPSSCH* and *sl-MaxSubChannelNumPSSCH* indicated in *sl-CBR-PriorityTxConfigList* for the highest priority of the logical channel(s) allowed on the carrier and the CBR measured by lower layers according to clause 5.1.27 of TS 38.215 [24] if CBR measurement results are available or the corresponding *sl-defaultTxConfigIndex* configured by RRC if CBR measurement results are not available;

3> randomly select the time and frequency resources for one transmission opportunity from the resources indicated by the physical layer as specified in clause 8.1.4 of TS 38.214 [7], according to the amount of selected frequency resources and the remaining PDB of SL data available in the logical channel(s) allowed on the carrier, and the latency requirement of the triggered SL CSI reporting;

3> if one or more HARQ retransmissions are selected:

4> if there are available resources left in the resources indicated by the physical layer according to clause 8.1.4 of TS 38.214 [7] for more transmission opportunities:

5> randomly select the time and frequency resources for one or more transmission opportunities from the available resources, according to the amount of selected frequency resources, the selected number of HARQ retransmissions and the remaining PDB of SL data available in the logical channel(s) allowed on the carrier by ensuring the minimum time gap between any two selected resources in case that PSFCH is configured for this pool of resources, and that a retransmission resource can be indicated by the time resource assignment of a prior SCI according to clause 8.3.1.1 of TS 38.212 [9];

5> consider a transmission opportunity which comes first in time as the initial transmission opportunity and other transmission opportunities as the retransmission opportunities;

5> consider all the transmission opportunities as the selected sidelink grant;

3> else:

4> consider the set as the selected sidelink grant;

3> use the selected sidelink grant to determine PSCCH duration(s) and PSSCH duration(s) according to TS 38.214 [7].

NOTE 3B: If retransmission resource(s) cannot be selected by ensuring that the resource(s) can be indicated by the time resource assignment of a prior SCI, how to select the time and frequency resources for one or more transmission opportunities from the available resources is left for UE implementation by ensuring the minimum time gap between any two selected ‎resources in case that PSFCH is configured for this pool of ‎resources.

1> if a selected sidelink grant is available for retransmission(s) of a MAC PDU which has been positively acknowledged as specified in clause 5.22.1.3.3:

2> clear the PSCCH duration(s) and PSSCH duration(s) corresponding to retransmission(s) of the MAC PDU from the selected sidelink grant.

NOTE 3a: How the MAC entity determines the remaining PDB of SL data is left to UE implementation.

For a selected sidelink grant, the minimum time gap between any two selected resources comprises:

- a time gap between the end of the last symbol of a PSSCH transmission of the first resource and the start of the first symbol of the corresponding PSFCH reception determined by *sl-MinTimeGapPSFCH* and *sl-PSFCH-Period* for the pool of resources; and

- a time required for PSFCH reception and processing plus sidelink retransmission preparation including multiplexing of necessary physical channels and any TX-RX/RX-TX switching time.

NOTE 4: How to determine the time required for PSFCH reception and processing plus sidelink retransmission preparation is left to UE implementation.

The MAC entity shall for each PSSCH duration:

1> for each sidelink grant occurring in this PSSCH duration:

2> if the MAC entity has been configured with Sidelink resource allocation mode 1:

3> select a MCS which is, if configured, within the range that is configured by RRC between *sl-MinMCS-PSSCH* and *sl-MaxMCS-PSSCH* included in *sl-ConfigDedicatedNR*;

3> set the resource reservation interval to 0ms.

2> else:

3> select a MCS which is, if configured, within the range that is configured by RRC between *sl-MinMCS-PSSCH* and *sl-MaxMCS-PSSCH* included in *sl-PSSCH-TxConfigList* and, if configured by RRC, overlapped between *sl-MinMCS-PSSCH* and *sl-MaxMCS-PSSCH* indicated in *sl-CBR-PriorityTxConfigList* for the highest priority of the sidelink logical channel(s) in the MAC PDU and the CBR measured by lower layers according to clause 5.1.27 of TS 38.215 [24] if CBR measurement results are available or the corresponding *sl-defaultTxConfigIndex* configured by RRC if CBR measurement results are not available;

3> if the MAC entity decides not to use the selected sidelink grant for the next PSSCH duration:

4> set the resource reservation interval to 0ms.

3> else:

4> set the resource reservation interval to the selected value.

NOTE 5: MCS selection is up to UE implementation if the MCS or the corresponding range is not configured by RRC.

2> if the configured sidelink grant has been activated and this PSSCH duration corresponds to the first PSSCH transmission opportunity within this *sl-PeriodCG* of the configured sidelink grant:

3> set the HARQ Process ID to the HARQ Process ID associated with this PSSCH duration and, if available, all subsequent PSSCH duration(s) occuring in this *sl-PeriodCG* for the configured sidelink grant;

3> determine that this PSSCH duration is used for initial transmission;

3> flush the HARQ buffer of Sidelink process associated with the HARQ Process ID.

2> deliver the sidelink grant, the selected MCS, and the associated HARQ information to the Sidelink HARQ Entity for this PSSCH duration.

For configured sidelink grants, the HARQ Process ID associated with the first slot of a SL transmission is derived from the following equation:

HARQ Process ID = [floor(CURRENT\_slot / *PeriodicitySL*)] modulo *sl-NrOfHARQ-Processes* + *sl-HARQ-ProcID-offset*

where CURRENT\_slot refers to current logical slot in a resource pool, and *PeriodicitySL* is defined in section 5.8.3.

END OF THE 2nd CHANGE