**3GPP TSG-RAN WG2 Meeting #112-e *Draft R2-2010807 (alt3)***

**Electronic Meeting, 2nd – 13th Nov 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **2276** | **rev** | **1** | **Current version:** | **16.2.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of capability bit for multi-CC simultaneous TCI activation with multi-TRP | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_eMIMO-Core | | | | |  | ***Date:*** | | | 2020-11-13 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | CR0994r2 to 38.321 indicates that when the "Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE" indicates a serving Cell is configured as part of a simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2 as specified in TS 38.331 [5], this MAC CE applies to all the Serving Cells configured in the set simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2.  Without that CR, the MAC CE only applies to the indicated serving cell.  To avoid inter-operability issues, it is necessary to add a UE capability indicating that the UE supports the use of the "Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE" with a serving cell that belongs to simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Add a UE capability to indicate support of the use of the "Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE" with a serving cell that belongs to simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2  Add a parameter indicating, in that case, whether the UE shall apply the MAC CE to the whole list or only to the indicated cell.  **Impact analysis**  **Impacted 5G architectures: all**  **Impacted functionality**  mTRP sDCI transmission  **Inter-operability:**  If the UE implements this CR and 38.321 CR 0994r2 but not the network, the network may use the "Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE" with a serving cell that belongs to simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2, which will create L1 information mismatch between the UE and the network, possibly resulting in transmission failures and reestablshment.  If the network implements this CR and 38.321 CR 0994r2 but not the UE, there is no issue because the network will not send the "Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE" with a serving cell that belongs to simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2.  **Note: this CR must be implemented if 38.321 CR0994r2 is implemented.** | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | If CR00994r2 to 38.321 is approved but not this CR, the network may use the "Enhanced PUCCH Spatial Relation Activation/Deactivation MAC CE" with a serving cell that belongs to simultaneousTCI-UpdateList1 or simultaneousTCI-UpdateList2, which will create L1 information mismatch between the UE and the network, possibly resulting in transmission failures and reestablshment. | | | | | | | | |
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| ***Clauses affected:*** | | 6.3.2, 6.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.321 CR0994r2 TS 38.306 CR 472r1 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

6.3.2 Radio resource control information elements

– *CellGroupConfig*

The *CellGroupConfig* IE is used to configure a master cell group (MCG) or secondary cell group (SCG). A cell group comprises of one MAC entity, a set of logical channels with associated RLC entities and of a primary cell (SpCell) and one or more secondary cells (SCells).

***CellGroupConfig* information element**

-- ASN1START

-- TAG-CELLGROUPCONFIG-START

-- Configuration of one Cell-Group:

CellGroupConfig ::= SEQUENCE {

cellGroupId CellGroupId,

rlc-BearerToAddModList SEQUENCE (SIZE(1..maxLC-ID)) OF RLC-BearerConfig OPTIONAL, -- Need N

rlc-BearerToReleaseList SEQUENCE (SIZE(1..maxLC-ID)) OF LogicalChannelIdentity OPTIONAL, -- Need N

mac-CellGroupConfig MAC-CellGroupConfig OPTIONAL, -- Need M

physicalCellGroupConfig PhysicalCellGroupConfig OPTIONAL, -- Need M

spCellConfig SpCellConfig OPTIONAL, -- Need M

sCellToAddModList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellConfig OPTIONAL, -- Need N

sCellToReleaseList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellIndex OPTIONAL, -- Need N

...,

[[

reportUplinkTxDirectCurrent ENUMERATED {true} OPTIONAL -- Cond BWP-Reconfig

]],

[[

bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

bh-RLC-ChannelToAddModList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelConfig-r16 OPTIONAL, -- Need N

bh-RLC-ChannelToReleaseList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelID-r16 OPTIONAL, -- Need N

f1c-TransferPath-r16 ENUMERATED {lte, nr, both} OPTIONAL, -- Need M

simultaneousTCI-UpdateList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousTCI-UpdateList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousSpatial-UpdatedList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

simultaneousSpatial-UpdatedList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

uplinkTxSwitchingOption-r16 ENUMERATED {switchedUL, dualUL} OPTIONAL, -- Need R

uplinkTxSwitchingPowerBoosting-r16 ENUMERATED {enabled} OPTIONAL -- Need R

]],

[[

simultaneousTwoTCI-Update ENUMERATED {true} OPTIONAL -- Need R

]]

}

-- Serving cell specific MAC and PHY parameters for a SpCell:

SpCellConfig ::= SEQUENCE {

servCellIndex ServCellIndex OPTIONAL, -- Cond SCG

reconfigurationWithSync ReconfigurationWithSync OPTIONAL, -- Cond ReconfWithSync

rlf-TimersAndConstants SetupRelease { RLF-TimersAndConstants } OPTIONAL, -- Need M

rlmInSyncOutOfSyncThreshold ENUMERATED {n1} OPTIONAL, -- Need S

spCellConfigDedicated ServingCellConfig OPTIONAL, -- Need M

...

}

ReconfigurationWithSync ::= SEQUENCE {

spCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Need M

newUE-Identity RNTI-Value,

t304 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

rach-ConfigDedicated CHOICE {

uplink RACH-ConfigDedicated,

supplementaryUplink RACH-ConfigDedicated

} OPTIONAL, -- Need N

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

]],

[[

daps-UplinkPowerConfig-r16 DAPS-UplinkPowerConfig-r16 OPTIONAL -- Need N

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}

DAPS-UplinkPowerConfig-r16 ::= SEQUENCE {

p-DAPS-Source-r16 P-Max,

p-DAPS-Target-r16 P-Max,

uplinkPowerSharingDAPS-Mode-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic }

}

SCellConfig ::= SEQUENCE {

sCellIndex SCellIndex,

sCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Cond SCellAdd

sCellConfigDedicated ServingCellConfig OPTIONAL, -- Cond SCellAddMod

...,

[[

smtc SSB-MTC OPTIONAL -- Need S

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[[

sCellState-r16 ENUMERATED {activated} OPTIONAL, -- Cond SCellAddSync

secondaryDRX-GroupConfig-r16 ENUMERATED {true} OPTIONAL -- Cond DRX-Config2

]]}

-- TAG-CELLGROUPCONFIG-STOP

-- ASN1STOP

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| ***CellGroupConfig* field descriptions** |
| ***bap-Address***  BAP address of the parent node in cell group. |
| ***bh-RLC-ChannelToAddModList***  Configuration of the backhaul RLC entities and the corresponding MAC Logical Channels to be added and modified. |
| ***bh-RLC-ChannelToReleaseList***  List of the backhaul RLC entities and the corresponding MAC Logical Channels to be released. |
| ***f1c-TransferPath***  The F1-C transfer path that an EN-DC IAB-MT should use for transferring F1-C packets to the IAB-donor-CU. If IAB-MT is configured with lte, IAB-MT can only use LTE leg for F1-C transfer. If IAB-MT is configured with nr, IAB-MT can only use NR leg for F1-C transfer. If IAB-MT is configured with both, it is up to IAB-MT to select an LTE leg or a NR leg for F1-C transfer. If the field is not configured, the IAB node uses the NR leg as the default one. |
| ***mac-CellGroupConfig***  MAC parameters applicable for the entire cell group. |
| ***rlc-BearerToAddModList***  Configuration of the MAC Logical Channel, the corresponding RLC entities and association with radio bearers. |
| ***reportUplinkTxDirectCurrent***  Enables reporting of uplink and supplementary uplink Direct Current location information upon BWP configuration and reconfiguration. This field is only present when the BWP configuration is modified or any serving cell is added or removed. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. If UE is configured with SUL carrier, UE reports both UL and SUL Direct Current locations. |
| ***rlmInSyncOutOfSyncThreshold***  BLER threshold pair index for IS/OOS indication generation, see TS 38.133 [14], table 8.1.1-1. *n1* corresponds to the value 1. When the field is absent, the UE applies the value 0. Whenever this is reconfigured, UE resets N310 and N311, and stops T310, if running. Network does not include this field. |
| ***sCellState***  Indicates whether the SCell shall be considered to be in activated state upon SCell configuration. |
| ***sCellToAddModList***  List of secondary serving cells (SCells) to be added or modified. |
| ***sCellToReleaseList***  List of secondary serving cells (SCells) to be released. |
| ***secondaryDRX-GroupConfig***  The field is used to indicate whether the SCell belongs to the secondary DRX group. All serving cells in the secondary DRX group shall belong to one Frequency Range and all serving cells in the legacy DRX group shall belong to another Frequency Range. |
| ***simultaneousTCI-UpdateList1, simultaneousTCI-UpdateList2***  List of serving cells which can be updated simultaneously for TCI relation with a MAC CE. The *simultaneousTCI-UpdateList1* and *simultaneousTCI-UpdateList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***simultaneousTwoTCI-ActMultipleCC***  When this field is configured, upon reception of the Enhanced TCI States Activation/Deactivation for UE-specific PDSCH MAC CE, as speciified in TS 38.321 clause 6.1.3.24, indicating a serving cell in the *simultaneousTCI-UpdateList1* or in *simultaneousTCI-UpdateList2*, the UE shall apply the MAC CE to all serving cells in the same list. If this field is not configured, the UE only applies the MAC CE for the indicated serving cell. |
| ***simultaneousSpatial-UpdatedList1, simultaneousSpatial-UpdatedList2***  List of serving cells which can be updated simultaneously for spatial relation with a MAC CE. The *simultaneousSpatial-UpdatedList1* and *simultaneousSpatial-UpdatedList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***spCellConfig***  Parameters for the SpCell of this cell group (PCell of MCG or PSCell of SCG). |
| ***uplinkTxSwitchingOption***  Indicates which option is configured for dynamic UL Tx switching for inter-band UL CA or (NG)EN-DC. The field is set to *switchedUL* if network configures option 1 as specified in TS 38.214 [19], or *dualUL* if network configures option 2 as specified in TS 38.214 [19]. Network always configures UE with a value for this field in inter-band UL CA case and (NG)EN-DC case where UE supports dynamic UL Tx switching. |
| ***uplinkTxSwitchingPowerBoosting***  Indicates whether the UE is allowed to enable 3dB boosting on the maximum output power for transmission on carrier2 under the operation state in which 2-port transmission can be supported on carrier2 for inter-band UL CA case with dynamic UL Tx switching as defined in TS 38.101-1 [15]. Network can only configure this field for dynamic UL Tx switching in inter-band UL CA case with power Class 3 as defined in TS 38.101-1 [15]. |

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| ***DAPS-Configuration* field descriptions** |
| ***p-DAPS-Source***  The maximum total transmit power to be used by the UE in the source cell group during DAPS handover. |
| ***p-DAPS-Target***  The maximum total transmit power to be used by the UE in the target cell group during DAPS handover. |
| ***uplinkPowerSharingDAPS-Mode***  Indicates the uplink power sharing mode that the UE uses in DAPS handover (see TS 38.213 [13]). |

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| ***ReconfigurationWithSync* field descriptions** |
| ***rach-ConfigDedicated***  Random access configuration to be used for the reconfiguration with sync (e.g. handover). The UE performs the RA according to these parameters in the *firstActiveUplinkBWP* (see *UplinkConfig*). |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell change, NR PCell change and NR PSCell addition. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *spCellConfigCommon*. For case of NR PCell change and NR PSell addition, the *smtc* is based on the timing reference of (source) PCell. For case of NR PSCell change, it is based on the timing reference of source PSCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

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| ***SCellConfig* field descriptions** |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR SCell addition. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *sCellConfigCommon*. The *smtc* is based on the timing of the SpCell of associated cell group. In case of inter-RAT handover to NR, the timing reference is the NR PCell. In case of intra-NR PCell change (standalone NR) or NR PSCell change (EN-DC), the timing reference is the target SpCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

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| ***SpCellConfig* field descriptions** |
| ***reconfigurationWithSync***  Parameters for the synchronous reconfiguration to the target SpCell. |
| ***rlf-TimersAndConstants***  Timers and constants for detecting and triggering cell-level radio link failure. For the SCG, *rlf-TimersAndConstants* can only be set to *setup* and is always included at SCG addition. |
| ***servCellIndex***  Serving cell ID of a PSCell. The PCell of the Master Cell Group uses ID = 0. |

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| **Conditional Presence** | **Explanation** |
| *BWP-Reconfig* | The field is optionally present, Need N, if the BWPs are reconfigured or if serving cells are added or removed. Otherwise it is absent. |
| *DRX-Config2* | The field is optionally present, Need N, if *drx-ConfigSecondaryGroup* is configured. It is absent otherwise. |
| *ReconfWithSync* | The field is mandatory present in the *RRCReconfiguration* message:  - in each configured *CellGroupConfig* for which the SpCell changes,  - in the *masterCellGroup* at change of AS security key derived from KgNB,  - in the *secondaryCellGroup* at:  - PSCell addition,  - SCG resume with NR-DC or (NG)EN-DC,  - update of required SI for PSCell,  - change of AS security key derived from S-KgNB while the UE is configured with at least one radio bearer with *keyToUse* set to *secondary* and that is not released by this *RRCReconfiguration* message,  Otherwise, it is optionally present, need M. The field is absent in the *masterCellGroup* in *RRCResume* and *RRCSetup* messages and is absent in the *masterCellGroup* in *RRCReconfiguration* messages if source configuration is not released during DAPS handover. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is absent, Need M. |
| *SCellAddMod* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need M. |
| *SCellAddSync* | The field is optionally present, Need N, in case of SCell addition, reconfiguration with sync, and resuming an RRC connection. It is absent otherwise. |
| *SCG* | The field is mandatory present in an *SpCellConfig* for the PSCell. It is absent otherwise. |

NOTE: In case of change of AS security key derived from S-KgNB/S-KeNB, if *reconfigurationWithSync* is not included in the *masterCellGroup*, the network releases all existing MCG RLC bearers associated with a radio bearer with *keyToUse* set to *secondary*. In case of change of AS security key derived from KgNB/KeNB, if *reconfigurationWithSync* is not included in the *secondaryCellGroup*, the network releases all existing SCG RLC bearers associated with a radio bearer with *keyToUse* set to *primary*.

6.3.3 UE capability information elements

– *Phy-Parameters*

The IE *Phy-Parameters* is used to convey the physical layer capabilities.

***Phy-Parameters* information element**

-- ASN1START

-- TAG-PHY-PARAMETERS-START

Phy-Parameters ::= SEQUENCE {

phy-ParametersCommon Phy-ParametersCommon OPTIONAL,

phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

phy-ParametersFR1 Phy-ParametersFR1 OPTIONAL,

phy-ParametersFR2 Phy-ParametersFR2 OPTIONAL

}

Phy-ParametersCommon ::= SEQUENCE {

csi-RS-CFRA-ForHO ENUMERATED {supported} OPTIONAL,

dynamicPRB-BundlingDL ENUMERATED {supported} OPTIONAL,

sp-CSI-ReportPUCCH ENUMERATED {supported} OPTIONAL,

sp-CSI-ReportPUSCH ENUMERATED {supported} OPTIONAL,

nzp-CSI-RS-IntefMgmt ENUMERATED {supported} OPTIONAL,

type2-SP-CSI-Feedback-LongPUCCH ENUMERATED {supported} OPTIONAL,

precoderGranularityCORESET ENUMERATED {supported} OPTIONAL,

dynamicHARQ-ACK-Codebook ENUMERATED {supported} OPTIONAL,

semiStaticHARQ-ACK-Codebook ENUMERATED {supported} OPTIONAL,

spatialBundlingHARQ-ACK ENUMERATED {supported} OPTIONAL,

dynamicBetaOffsetInd-HARQ-ACK-CSI ENUMERATED {supported} OPTIONAL,

pucch-Repetition-F1-3-4 ENUMERATED {supported} OPTIONAL,

ra-Type0-PUSCH ENUMERATED {supported} OPTIONAL,

dynamicSwitchRA-Type0-1-PDSCH ENUMERATED {supported} OPTIONAL,

dynamicSwitchRA-Type0-1-PUSCH ENUMERATED {supported} OPTIONAL,

pdsch-MappingTypeA ENUMERATED {supported} OPTIONAL,

pdsch-MappingTypeB ENUMERATED {supported} OPTIONAL,

interleavingVRB-ToPRB-PDSCH ENUMERATED {supported} OPTIONAL,

interSlotFreqHopping-PUSCH ENUMERATED {supported} OPTIONAL,

type1-PUSCH-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

type2-PUSCH-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

pusch-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

pdsch-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

downlinkSPS ENUMERATED {supported} OPTIONAL,

configuredUL-GrantType1 ENUMERATED {supported} OPTIONAL,

configuredUL-GrantType2 ENUMERATED {supported} OPTIONAL,

pre-EmptIndication-DL ENUMERATED {supported} OPTIONAL,

cbg-TransIndication-DL ENUMERATED {supported} OPTIONAL,

cbg-TransIndication-UL ENUMERATED {supported} OPTIONAL,

cbg-FlushIndication-DL ENUMERATED {supported} OPTIONAL,

dynamicHARQ-ACK-CodeB-CBG-Retx-DL ENUMERATED {supported} OPTIONAL,

rateMatchingResrcSetSemi-Static ENUMERATED {supported} OPTIONAL,

rateMatchingResrcSetDynamic ENUMERATED {supported} OPTIONAL,

bwp-SwitchingDelay ENUMERATED {type1, type2} OPTIONAL,

...,

[[

dummy ENUMERATED {supported} OPTIONAL

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[[

maxNumberSearchSpaces ENUMERATED {n10} OPTIONAL,

rateMatchingCtrlResrcSetDynamic ENUMERATED {supported} OPTIONAL,

maxLayersMIMO-Indication ENUMERATED {supported} OPTIONAL

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[[

spCellPlacement CarrierAggregationVariant OPTIONAL

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[[

-- R1 9-1: Basic channel structure and procedure of 2-step RACH

twoStepRACH-r16 ENUMERATED {supported} OPTIONAL,

-- R1 11-1: Monitoring DCI format 1\_2 and DCI format 0\_2

dci-Format1-2And0-2-r16 ENUMERATED {supported} OPTIONAL,

-- R1 11-1a: Monitoring both DCI format 0\_1/1\_1 and DCI format 0\_2/1\_2 in the same search space

monitoringDCI-SameSearchSpace-r16 ENUMERATED {supported} OPTIONAL,

-- R1 11-10: Type 2 configured grant release by DCI format 0\_1

type2-CG-ReleaseDCI-0-1-r16 ENUMERATED {supported} OPTIONAL,

-- R1 11-11: Type 2 configured grant release by DCI format 0\_2

type2-CG-ReleaseDCI-0-2-r16 ENUMERATED {supported} OPTIONAL,

-- R1 12-3: SPS release by DCI format 1\_1

sps-ReleaseDCI-1-1-r16 ENUMERATED {supported} OPTIONAL,

-- R1 12-3a: SPS release by DCI format 1\_2

sps-ReleaseDCI-1-2-r16 ENUMERATED {supported} OPTIONAL,

-- R1 14-8: CSI trigger states containing non-active BWP

csi-TriggerStateNon-ActiveBWP-r16 ENUMERATED {supported} OPTIONAL,

-- R1 20-2: Support up to 4 SMTCs configured for an IAB node MT per frequency location, including IAB-specific SMTC window periodicities

seperateSMTC-InterIAB-Support-r16 ENUMERATED {supported} OPTIONAL,

-- R1 20-3: Support RACH configuration separately from the RACH configuration for UE access, including new IAB-specific offset and scaling factors

seperateRACH-IAB-Support-r16 ENUMERATED {supported} OPTIONAL,

-- R1 20-5a: Support semi-static configuration/indication of UL-Flexible-DL slot formats for IAB-MT resources

ul-flexibleDL-SlotFormatSemiStatic-IAB-r16 ENUMERATED {supported} OPTIONAL,

-- R1 20-5b: Support dynamic indication of UL-Flexible-DL slot formats for IAB-MT resources

ul-flexibleDL-SlotFormatDynamics-IAB-r16 ENUMERATED {supported} OPTIONAL,

dft-S-OFDM-WaveformUL-IAB-r16 ENUMERATED {supported} OPTIONAL,

-- R1 20-6: Support DCI Format 2\_5 based indication of soft resource availability to an IAB node

dci-25-AI-RNTI-Support-IAB-r16 ENUMERATED {supported} OPTIONAL,

-- R1 20-7: Support T\_delta reception.

t-DeltaReceptionSupport-IAB-r16 ENUMERATED {supported} OPTIONAL,

-- R1 20-8: Support of Desired guard symbol reporting and provided guard symbok reception.

guardSymbolReportReception-IAB-r16 ENUMERATED {supported} OPTIONAL,

-- R1 18-8 HARQ-ACK codebook type and spatial bundling per PUCCH group

harqACK-CB-SpatialBundlingPUCCH-Group-r16 ENUMERATED {supported} OPTIONAL,

-- R1 19-2: Cross Slot Scheduling

crossSlotScheduling-r16 SEQUENCE {

non-SharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL,

sharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL

} OPTIONAL,

maxNumberSRS-PosPathLossEstimateAllServingCells-r16 ENUMERATED {n1, n4, n8, n16} OPTIONAL,

extendedCG-Periodicities-r16 ENUMERATED {supported} OPTIONAL,

extendedSPS-Periodicities-r16 ENUMERATED {supported} OPTIONAL,

codebookVariantsList-r16 CodebookVariantsList-r16 OPTIONAL,

-- R1 11-6: PUSCH repetition Type A

pusch-RepetitionTypeA-r16 SEQUENCE {

sharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL,

non-SharedSpectrumChAccess-r16 ENUMERATED {supported} OPTIONAL

} OPTIONAL,

-- R1 11-4b: DL priority indication in DCI with mixed DCI formats

dci-DL-PriorityIndicator-r16 ENUMERATED {supported} OPTIONAL,

-- R1 12-1a: UL priority indication in DCI with mixed DCI formats

dci-UL-PriorityIndicator-r16 ENUMERATED {supported} OPTIONAL,

-- R1 16-1e: Maximum number of configured pathloss reference RSs for PUSCH/PUCCH/SRS by RRC for MAC-CE based pathloss reference RS update

maxNumberPathlossRS-Update-r16 ENUMERATED {n4, n8, n16, n32, n64} OPTIONAL,

-- R1 18-9: Usage of the PDSCH starting time for HARQ-ACK type 2 codebook

type2-HARQ-ACK-Codebook-r16 ENUMERATED {supported} OPTIONAL,

-- R1 16-1g-1: Resources for beam management, pathloss measurement, BFD, RLM and new beam identification across frequency ranges

maxTotalResourcesForAcrossFreqRanges-r16 SEQUENCE {

maxNumberResWithinSlotAcrossCC-AcrossFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n64, n128} OPTIONAL,

maxNumberResAcrossCC-AcrossFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n40, n48, n64, n72, n80, n96, n128, n256}

OPTIONAL

} OPTIONAL,

-- R1 16-2a-4: HARQ-ACK for multi-DCI based multi-TRP – separate

harqACK-separateMultiDCI-MultiTRP-r16 SEQUENCE {

maxNumberLongPUCCHs-r16 ENUMERATED {longAndLong, longAndShort, shortAndShort} OPTIONAL

} OPTIONAL,

-- R1 16-2a-4: HARQ-ACK for multi-DCI based multi-TRP – joint

harqACK-jointMultiDCI-MultiTRP-r16 ENUMERATED {supported} OPTIONAL,

-- R4 9-1: BWP switching on multiple CCs RRM requirements

bwp-SwitchingMultiCCs-r16 CHOICE {

type1-r16 ENUMERATED {us100, us200},

type2-r16 ENUMERATED {us200, us400, us800, us1000}

} OPTIONAL

]]

}

Phy-ParametersXDD-Diff ::= SEQUENCE {

dynamicSFI ENUMERATED {supported} OPTIONAL,

twoPUCCH-F0-2-ConsecSymbols ENUMERATED {supported} OPTIONAL,

twoDifferentTPC-Loop-PUSCH ENUMERATED {supported} OPTIONAL,

twoDifferentTPC-Loop-PUCCH ENUMERATED {supported} OPTIONAL,

...,

[[

dl-SchedulingOffset-PDSCH-TypeA ENUMERATED {supported} OPTIONAL,

dl-SchedulingOffset-PDSCH-TypeB ENUMERATED {supported} OPTIONAL,

ul-SchedulingOffset ENUMERATED {supported} OPTIONAL

]]

}

Phy-ParametersFRX-Diff ::= SEQUENCE {

dynamicSFI ENUMERATED {supported} OPTIONAL,

dummy1 BIT STRING (SIZE (2)) OPTIONAL,

twoFL-DMRS BIT STRING (SIZE (2)) OPTIONAL,

dummy2 BIT STRING (SIZE (2)) OPTIONAL,

dummy3 BIT STRING (SIZE (2)) OPTIONAL,

supportedDMRS-TypeDL ENUMERATED {type1, type1And2} OPTIONAL,

supportedDMRS-TypeUL ENUMERATED {type1, type1And2} OPTIONAL,

semiOpenLoopCSI ENUMERATED {supported} OPTIONAL,

csi-ReportWithoutPMI ENUMERATED {supported} OPTIONAL,

csi-ReportWithoutCQI ENUMERATED {supported} OPTIONAL,

onePortsPTRS BIT STRING (SIZE (2)) OPTIONAL,

twoPUCCH-F0-2-ConsecSymbols ENUMERATED {supported} OPTIONAL,

pucch-F2-WithFH ENUMERATED {supported} OPTIONAL,

pucch-F3-WithFH ENUMERATED {supported} OPTIONAL,

pucch-F4-WithFH ENUMERATED {supported} OPTIONAL,

pucch-F0-2WithoutFH ENUMERATED {notSupported} OPTIONAL,

pucch-F1-3-4WithoutFH ENUMERATED {notSupported} OPTIONAL,

mux-SR-HARQ-ACK-CSI-PUCCH-MultiPerSlot ENUMERATED {supported} OPTIONAL,

uci-CodeBlockSegmentation ENUMERATED {supported} OPTIONAL,

onePUCCH-LongAndShortFormat ENUMERATED {supported} OPTIONAL,

twoPUCCH-AnyOthersInSlot ENUMERATED {supported} OPTIONAL,

intraSlotFreqHopping-PUSCH ENUMERATED {supported} OPTIONAL,

pusch-LBRM ENUMERATED {supported} OPTIONAL,

pdcch-BlindDetectionCA INTEGER (4..16) OPTIONAL,

tpc-PUSCH-RNTI ENUMERATED {supported} OPTIONAL,

tpc-PUCCH-RNTI ENUMERATED {supported} OPTIONAL,

tpc-SRS-RNTI ENUMERATED {supported} OPTIONAL,

absoluteTPC-Command ENUMERATED {supported} OPTIONAL,

twoDifferentTPC-Loop-PUSCH ENUMERATED {supported} OPTIONAL,

twoDifferentTPC-Loop-PUCCH ENUMERATED {supported} OPTIONAL,

pusch-HalfPi-BPSK ENUMERATED {supported} OPTIONAL,

pucch-F3-4-HalfPi-BPSK ENUMERATED {supported} OPTIONAL,

almostContiguousCP-OFDM-UL ENUMERATED {supported} OPTIONAL,

sp-CSI-RS ENUMERATED {supported} OPTIONAL,

sp-CSI-IM ENUMERATED {supported} OPTIONAL,

tdd-MultiDL-UL-SwitchPerSlot ENUMERATED {supported} OPTIONAL,

multipleCORESET ENUMERATED {supported} OPTIONAL,

...,

[[

csi-RS-IM-ReceptionForFeedback CSI-RS-IM-ReceptionForFeedback OPTIONAL,

csi-RS-ProcFrameworkForSRS CSI-RS-ProcFrameworkForSRS OPTIONAL,

csi-ReportFramework CSI-ReportFramework OPTIONAL,

mux-SR-HARQ-ACK-CSI-PUCCH-OncePerSlot SEQUENCE {

sameSymbol ENUMERATED {supported} OPTIONAL,

diffSymbol ENUMERATED {supported} OPTIONAL

} OPTIONAL,

mux-SR-HARQ-ACK-PUCCH ENUMERATED {supported} OPTIONAL,

mux-MultipleGroupCtrlCH-Overlap ENUMERATED {supported} OPTIONAL,

dl-SchedulingOffset-PDSCH-TypeA ENUMERATED {supported} OPTIONAL,

dl-SchedulingOffset-PDSCH-TypeB ENUMERATED {supported} OPTIONAL,

ul-SchedulingOffset ENUMERATED {supported} OPTIONAL,

dl-64QAM-MCS-TableAlt ENUMERATED {supported} OPTIONAL,

ul-64QAM-MCS-TableAlt ENUMERATED {supported} OPTIONAL,

cqi-TableAlt ENUMERATED {supported} OPTIONAL,

oneFL-DMRS-TwoAdditionalDMRS-UL ENUMERATED {supported} OPTIONAL,

twoFL-DMRS-TwoAdditionalDMRS-UL ENUMERATED {supported} OPTIONAL,

oneFL-DMRS-ThreeAdditionalDMRS-UL ENUMERATED {supported} OPTIONAL

]],

[[

pdcch-BlindDetectionNRDC SEQUENCE {

pdcch-BlindDetectionMCG-UE INTEGER (1..15),

pdcch-BlindDetectionSCG-UE INTEGER (1..15)

} OPTIONAL,

mux-HARQ-ACK-PUSCH-DiffSymbol ENUMERATED {supported} OPTIONAL

]],

[[

-- R1 11-1b: Type 1 HARQ-ACK codebook support for relative TDRA for DL

type1-HARQ-ACK-Codebook-r16 ENUMERATED {supported} OPTIONAL,

-- R1 11-8: Enhanced UL power control scheme

enhancedPowerControl-r16 ENUMERATED {supported} OPTIONAL,

-- R1 16-1b-1: TCI state activation across multiple CCs

simultaneousTCI-ActMultipleCC-r16 ENUMERATED {supported} OPTIONAL,

-- R1 16-1b-2: Spatial relation update across multiple CCs

simultaneousSpatialRelationMultipleCC-r16 ENUMERATED {supported} OPTIONAL,

cli-RSSI-FDM-DL-r16 ENUMERATED {supported} OPTIONAL,

cli-SRS-RSRP-FDM-DL-r16 ENUMERATED {supported} OPTIONAL,

-- R1 19-3: Maximum MIMO Layer Adaptation

maxLayersMIMO-Adaptation-r16 ENUMERATED {supported} OPTIONAL,

-- R1 12-5: Configuration of aggregation factor per SPS configuration

aggregationFactorSPS-DL-r16 ENUMERATED {supported} OPTIONAL,

-- R1 16-1g: Resources for beam management, pathloss measurement, BFD, RLM and new beam identification

maxTotalResourcesForOneFreqRange-r16 SEQUENCE {

maxNumberResWithinSlotAcrossCC-OneFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n64, n128} OPTIONAL,

maxNumberResAcrossCC-OneFR-r16 ENUMERATED {n2, n4, n8, n12, n16, n32, n40, n48, n64, n72, n80, n96, n128, n256}

OPTIONAL

} OPTIONAL,

-- R1 16-7: Extension of the maximum number of configured aperiodic CSI report settings

csi-ReportFrameworkExt-r16 CSI-ReportFrameworkExt-r16 OPTIONAL

]],

[[

twoTCI-Act-servingCellInCC-List-r16 ENUMERATED {supported} OPTIONAL,

]]

}

Phy-ParametersFR1 ::= SEQUENCE {

pdcch-MonitoringSingleOccasion ENUMERATED {supported} OPTIONAL,

scs-60kHz ENUMERATED {supported} OPTIONAL,

pdsch-256QAM-FR1 ENUMERATED {supported} OPTIONAL,

pdsch-RE-MappingFR1-PerSymbol ENUMERATED {n10, n20} OPTIONAL,

...,

[[

pdsch-RE-MappingFR1-PerSlot ENUMERATED {n16, n32, n48, n64, n80, n96, n112, n128,

n144, n160, n176, n192, n208, n224, n240, n256} OPTIONAL

]]

}

Phy-ParametersFR2 ::= SEQUENCE {

dummy ENUMERATED {supported} OPTIONAL,

pdsch-RE-MappingFR2-PerSymbol ENUMERATED {n6, n20} OPTIONAL,

...,

[[

pCell-FR2 ENUMERATED {supported} OPTIONAL,

pdsch-RE-MappingFR2-PerSlot ENUMERATED {n16, n32, n48, n64, n80, n96, n112, n128,

n144, n160, n176, n192, n208, n224, n240, n256} OPTIONAL

]],

[[

-- R1 16-1c: Support of default spatial relation and pathloss reference RS for dedicated-PUCCH/SRS and PUSCH

defaultSpatialRelationPathlossRS-r16 ENUMERATED {supported} OPTIONAL,

-- R1 16-1d: Support of spatial relation update for AP-SRS via MAC CE

spatialRelationUpdateAP-SRS-r16 ENUMERATED {supported} OPTIONAL,

maxNumberSRS-PosSpatialRelationsAllServingCells-r16 ENUMERATED {n0, n1, n2, n4, n8, n16} OPTIONAL

]]

}

-- TAG-PHY-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| ***Phy-ParametersFRX-Diff field description*** |
| ***csi-RS-IM-ReceptionForFeedback/ csi-RS-ProcFrameworkForSRS/ csi-ReportFramework***  These fields are optionally present in *fr1-fr2-Add-UE-NR-Capabilities* in *UE-NR-Capability*. They shall not be set in any other instance of the IE *Phy-ParametersFRX-Diff*. If the network configures the UE with serving cells on both FR1 and FR2 bands, these parameters, if present, limit the corresponding parameters in *MIMO-ParametersPerBand*. |