**3GPP TSG-RAN WG2 Meeting #113-e *R2-20xxxxx***

**E-meeting, 25th Jan – 5th Feb 2021**

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| *CR-Form-v11.2* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** | **xx** | **rev** | **-** | **Current version:** | **15.12.0** |  |
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| *For* [*HELP*](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | CR on SyncAndCellAdd condition |
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| ***Source to WG:*** | ZTE Corporation, Sanechips, Huawei, HiSilicon |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2021-01-14 |
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| ***Category:*** | **F** |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | CR1748 has been agreed to clarify that firstActiveDownlinkBWP-Id and firstActiveUplinkBWP-Id can be optional configured upon reconfigurationWithSync to the same SpCell (i.e. intra-cell handover). However, during RAN2\_112e meeting, it is observed that this conclusion may cause ambiguity issue to other configuration (rach-ConfigDedicated), because it is unclear which BWP is referring to when firstActiveUplinkBWP-Id is not provided. According to the discussion of [Post112-e][061], to avoid any interoperability issue, RAN2 agree to revise previous agreed CR1748, so that firstActiveDownlinkBWP-Id and firstActiveUplinkBWP-Id should be mandatory configured upon reconfigurationWithSync to the same SpCell. In addition, after RAN2\_112e meeting, the in-principle agreed CR R2-2011131 was not submitted to RANP thus cannot be implemented. Since the changes are related, so those changes are merged in this CR. The reason for change in R2-2011131 is that: based on the condition *SyncAndCellAdd*, for SpCell, the downlink/uplink first active BWP can be seen as one-shot configuration, as they are only used immediately upon the RRC reconfiguration, so the need code can be Need N. However, for SCell, the downlink/uplink first active BWPs are used for each SCell activation using MAC CE. After the SCell addition, the SCell configuration may be reconfigured, so it should be clarified that the configured firstActiveUplinkBWP-Id/firstActiveDownlinkBWP-Id should be maintained. |
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| ***Summary of change:*** | 1. Update the explanation of condition *syncAndCellAdd* , remove sentence “and upon reconfiguration with reconfigurationWithSync to the same SpCell”, and replace “upon PCell change and PSCell addition/change” with “reconfiguration with reconfigurationWithSync”*;*
2. Merge the changes from R2-2011131, clarify that, the configuration of firstActiveUplinkBWP-Id/firstActiveDownlinkBWP-Id is Need M for SCell when absent;
3. Remove redundant sentence “In all other cases the field is absent”;
4. Reorder the sentences for readability.

**Impact analysis**Impacted 5G architecture options:NR SA, (NG)EN-DC, NE-DC, NR-DCImpacted functionality:Configuration of first active BWPInter-operability: **For the changes related to SpCell:**1. If the network is implemented according to the CR and the UE is not, there is no inter-operability issue.
2. If the UE is implemented according to the CR and the network is not, if network triggers intra-cell handover without providing firstActiveUplinkBWP-Id or firstActiveDownlinkBWP-Id, the UE may consider the configuration is incorrect and causes reconfiguration failure.

**For the changes related to SCell:**1. If the network is implemented according to the CR and the UE is not, the UE may release the configuration of firstActiveUplinkBWP-Id/firstActiveDownlinkBWP-Id upon SCell reconfiguration when they are not present, but the network assumes the configuration should be kept.
2. If the UE is implemented according to the CR and the network is not, there is no inter-operability issue forseen.
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| ***Consequences if not approved:*** | Upon reconfigurationWithSync to the same SpCell, in case network provides rach-ConfigDedicated without providing firstActiveUplinkBWP-Id field, it is unclear which BWP is associated with the dedicated RACH resource.In addition, the Need codes for firstActiveUplinkBWP-Id/firstActiveDownlinkBWP-Id are not clear for some cases, and for SCells the UE may accidently release the configuration and cause SCell activation failure. |
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| ***Clauses affected:*** | 6.3.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | CR  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

Start of changes

### 6.3.2 Radio resource control information elements

\*\*\*\* ignore non-related part \*\*\*\*

– *ServingCellConfig*

The IE *ServingCellConfig* is used to configure (add or modify) the UE with a serving cell, which may be the SpCell or an SCell of an MCG or SCG. The parameters herein are mostly UE specific but partly also cell specific (e.g. in additionally configured bandwidth parts). Reconfiguration between a PUCCH and PUCCHless SCell is only supported using an SCell release and add.

***ServingCellConfig* information element**

-- ASN1START

-- TAG-SERVINGCELLCONFIG-START

ServingCellConfig ::= SEQUENCE {

 tdd-UL-DL-ConfigurationDedicated TDD-UL-DL-ConfigDedicated OPTIONAL, -- Cond TDD

 initialDownlinkBWP BWP-DownlinkDedicated OPTIONAL, -- Need M

 downlinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

 downlinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Downlink OPTIONAL, -- Need N

 firstActiveDownlinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

 bwp-InactivityTimer ENUMERATED {ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30,

 ms40,ms50, ms60, ms80,ms100, ms200,ms300, ms500,

 ms750, ms1280, ms1920, ms2560, spare10, spare9, spare8,

 spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL, --Need R

 defaultDownlinkBWP-Id BWP-Id OPTIONAL, -- Need S

 uplinkConfig UplinkConfig OPTIONAL, -- Need M

 supplementaryUplink UplinkConfig OPTIONAL, -- Need M

 pdcch-ServingCellConfig SetupRelease { PDCCH-ServingCellConfig } OPTIONAL, -- Need M

 pdsch-ServingCellConfig SetupRelease { PDSCH-ServingCellConfig } OPTIONAL, -- Need M

 csi-MeasConfig SetupRelease { CSI-MeasConfig } OPTIONAL, -- Need M

 sCellDeactivationTimer ENUMERATED {ms20, ms40, ms80, ms160, ms200, ms240,

 ms320, ms400, ms480, ms520, ms640, ms720,

 ms840, ms1280, spare2,spare1} OPTIONAL, -- Cond ServingCellWithoutPUCCH

 crossCarrierSchedulingConfig CrossCarrierSchedulingConfig OPTIONAL, -- Need M

 tag-Id TAG-Id,

 dummy ENUMERATED {enabled} OPTIONAL, -- Need R

 pathlossReferenceLinking ENUMERATED {spCell, sCell} OPTIONAL, -- Cond SCellOnly

 servingCellMO MeasObjectId OPTIONAL, -- Cond MeasObject

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 lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

 rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

 rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

 downlinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

 ]]

}

UplinkConfig ::= SEQUENCE {

 initialUplinkBWP BWP-UplinkDedicated OPTIONAL, -- Need M

 uplinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

 uplinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Uplink OPTIONAL, -- Need N

 firstActiveUplinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

 pusch-ServingCellConfig SetupRelease { PUSCH-ServingCellConfig } OPTIONAL, -- Need M

 carrierSwitching SetupRelease { SRS-CarrierSwitching } OPTIONAL, -- Need M

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 powerBoostPi2BPSK BOOLEAN OPTIONAL, -- Need M

 uplinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

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}

-- TAG-SERVINGCELLCONFIG-STOP

-- ASN1STOP

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| ***ServingCellConfig* field descriptions** |
| ***bwp-InactivityTimer***The duration in ms after which the UE falls back to the default Bandwidth Part (see TS 38.321 [3], clause 5.15). When the network releases the timer configuration, the UE stops the timer without switching to the default BWP. |
| ***crossCarrierSchedulingConfig***Indicates whether this serving cell is cross-carrier scheduled by another serving cell or whether it cross-carrier schedules another serving cell. |
| ***defaultDownlinkBWP-Id***The initial bandwidth part is referred to by BWP-Id = 0. ID of the downlink bandwidth part to be used upon expiry of the BWP inactivity timer. This field is UE specific. When the field is absent the UE uses the initial BWP as default BWP. (see TS 38.213 [13], clause 12 and TS 38.321 [3], clause 5.15). |
| ***downlinkBWP-ToAddModList***List of additional downlink bandwidth parts to be added or modified. (see TS 38.213 [13], clause 12). |
| ***downlinkBWP-ToReleaseList***List of additional downlink bandwidth parts to be released. (see TS 38.213 [13], clause 12). |
| ***downlinkChannelBW-PerSCS-List***A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *DownlinkConfigCommon* / *DownlinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***firstActiveDownlinkBWP-Id***If configured for an SpCell, this field contains the ID of the DL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.If configured for an SCell, this field contains the ID of the downlink bandwidth part to be used upon MAC-activation of an SCell. The initial bandwidth part is referred to by BWP-Id = 0.Upon PCell change and PSCell addition/change, the network sets the *firstActiveDownlinkBWP-Id* and *firstActiveUplinkBWP-Id* to the same value. |
| ***initialDownlinkBWP***The dedicated (UE-specific) configuration for the initial downlink bandwidth-part (i.e. DL BWP#0). If any of the optional IEs are configured within this IE, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***lte-CRS-ToMatchAround***Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***pathlossReferenceLinking***Indicates whether UE shall apply as pathloss reference either the downlink of SpCell (PCell for MCG or PSCell for SCG) or of SCell that corresponds with this uplink (see TS 38.213 [13], clause 7). |
| ***pdsch-ServingCellConfig***PDSCH related parameters that are not BWP-specific. |
| ***rateMatchPatternToAddModList***Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology. See TS 38.214 [19], clause 5.1.2.2.3. |
| ***sCellDeactivationTimer***SCell deactivation timer in TS 38.321 [3]. If the field is absent, the UE applies the value infinity. |
| ***servingCellMO****measObjectId* of the *MeasObjectNR* in *MeasConfig* which is associated to the serving cell. For this *MeasObjectNR*, the following relationship applies between this MeasObjectNR and *frequencyInfoDL* in *ServingCellConfigCommon* of the serving cell: if *ssbFrequency* is configured, its value is the same as the *absoluteFrequencySSB* and if *csi-rs-ResourceConfigMobility* is configured, the value of its *subcarrierSpacing* is present in one entry of the *scs-SpecificCarrierList*, *csi-RS-CellListMobility* includes an entry corresponding to the serving cell (with *cellId* equal to *physCellId* in *ServingCellConfigCommon*) and the frequency range indicated by the *csi-rs-MeasurementBW* of the entry in *csi-RS-CellListMobility* is included in the frequency range indicated by in the entry of the *scs-SpecificCarrierList*.  |
| ***supplementaryUplink***Network may configure this field only when *supplementaryUplinkConfig* is configured in *ServingCellConfigCommon* or *supplementaryUplink* is configured in *ServingCellConfigCommonSIB*. |
| ***tag-Id***Timing Advance Group ID, as specified in TS 38.321 [3], which this cell belongs to. |
| ***uplinkConfig***Network may configure this field only when *uplinkConfigCommon* is configured in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*. Addition or release of this field can only be done upon SCell addition or release (respectively). |

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| ***UplinkConfig* field descriptions** |
| ***carrierSwitching***Includes parameters for configuration of carrier based SRS switching (see TS 38.214 [19], clause 6.2.1.3. |
| ***firstActiveUplinkBWP-Id***If configured for an SpCell, this field contains the ID of the UL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.If configured for an SCell, this field contains the ID of the uplink bandwidth part to be used upon MAC-activation of an SCell. The initial bandwidth part is referred to by BandiwdthPartId = 0. |
| ***initialUplinkBWP***The dedicated (UE-specific) configuration for the initial uplink bandwidth-part (i.e. UL BWP#0). If any of the optional IEs are configured within this IE as part of the IE *uplinkConfig*, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***powerBoostPi2BPSK***If this field is set to *true*, the UE determines the maximum output power for PUCCH/PUSCH transmissions that use pi/2 BPSK modulation according to TS 38.101-1 [15], clause 6.2.4. |
| ***pusch-ServingCellConfig***PUSCH related parameters that are not BWP-specific. |
| ***uplinkBWP-ToAddModList***The additional bandwidth parts for uplink to be added or modified. In case of TDD uplink- and downlink BWP with the same *bandwidthPartId* are considered as a BWP pair and must have the same center frequency. |
| ***uplinkBWP-ToReleaseList***The additional bandwidth parts for uplink to be released. |
| ***uplinkChannelBW-PerSCS-List***A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *UplinkConfigCommon* / *UplinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |

NOTE 1: If the dedicated part of initial UL/DL BWP configuration is absent, the initial BWP can be used but with some limitations. For example, changing to another BWP requires *RRCReconfiguration* since DCI format 1\_0 doesn't support DCI-based switching.

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| **Conditional Presence** | **Explanation** |
| *MeasObject* | This field is mandatory present for the SpCell if the UE has a *measConfig*, and it is optionally present, Need M, for SCells. |
| *SCellOnly* | This field is optionally present, Need R, for SCells. It is absent otherwise.  |
| *ServingCellWithoutPUCCH* | This field is optionally present, Need S, for SCells except PUCCH SCells. It is absent otherwise. |
| *SyncAndCellAdd* | This field is mandatory present for a SpCell upon reconfiguration with *reconfigurationWithSync* and upon *RRCSetup*/*RRCResume*.The field is optionally present for a SpCell, Need N, upon reconfiguration without *reconfigurationWithSync*.The field is mandatory present for an SCell upon addition, and absent for SCell in other cases, Need M. |
| *TDD* | This field is optionally present, Need R, for TDD cells. It is absent otherwise. |

End of changes