**3GPP TSG-****RAN2 Meeting #112 electronic R2-2010858**

**Electronic, November 2nd – 13th, 2020**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
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|  | **38.331** | **CR** | **2064** | **rev** | **1** | **Current version:** | **16.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Corrections on PDCP duplication capability for NR-DC |
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| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | R2 |
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| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2020-11-02 |
|  |  |  |  |  |
| ***Category:*** | **A** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
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| ***Reason for change:*** | 1/ The current spec in TS 38.331 has capatured the parameters of *pdcp-DuplicationSplitSRB* and *pdcp-DuplicationSplitDRB* in *UE-MRDC-Capability-> pdcp-ParametersMRDC-v1530-> PDCP-ParametersMRDC* as below:***PDCP-ParametersMRDC* information element**-- ASN1START-- TAG-PDCP-PARAMETERSMRDC-STARTPDCP-ParametersMRDC ::= SEQUENCE { pdcp-DuplicationSplitSRB ENUMERATED {supported} OPTIONAL, pdcp-DuplicationSplitDRB ENUMERATED {supported} OPTIONAL}PDCP-ParametersMRDC-v1610 ::= SEQUENCE { scg-DRB-NR-IAB-r16 ENUMERATED {supported} OPTIONAL}-- TAG-PDCP-PARAMETERSMRDC-STOP-- ASN1STOPHowever, the IE *PDCP-ParametersMRDC* is used to convey PDCP duplication related capabilities for MR-DC, which is not for NR-DC. In other words, the capabilities of *pdcp-DuplicationSplitSRB* and *pdcp-DuplicationSplitDRB* are absent for NR-DC. So corrections are needed to make up for the missing capabilities.2/ It is better to use a single place in UE-NR-Capability message for late non-critical extension, so the field lateNonCriticalExtension in IE NRDC-Parameters should be dummified. |
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| ***Summary of change:*** | 1/ Two following parameters are added in *UE-NR-Capability*-> *lateNonCriticalExtension*:* *pdcp-DuplicationSplitSRB*
* *pdcp-DuplicationSplitDRB*

2/ the lateNonCriticalExtension field in IE *NRDC-Parameters* is dummified.**Impact Analysis**Impacted 5G architecture options:NR-DCImpacted functionality:UE CapabilityInter-operability:1. If the network is implemented according to the CR and the UE is not, there is no inter-operability issue, since the UE will not report the added capabilities to the network, and the network will not configure the PDCP duplication functions to the UE in NR-DC.2. If the UE is implemented according to the CR and the network is not, there is no inter-operability issue, since the UE may report the added capabilities to the network but the network will not see the capabilities and thus will not configure the PDCP duplication functions to the UE in NR-DC.. |
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| ***Consequences if not approved:*** | DC-based PDCP duplication for split DRB and DC-based PDCP duplication for split SRB1/2 in NR-DC will not be supported. |
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| ***Clauses affected:*** | 6.3.3 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ... |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
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| ***Other comments:*** |  |
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| ***This CR's revision history:*** |  |

#### <Start of the first modification>

– *NRDC-Parameters*

The IE *NRDC-Parameters* contains parameters specific to NR-DC, i.e., which are not applicable to NR SA.

***NRDC-Parameters* information element**

-- ASN1START

-- TAG-NRDC-PARAMETERS-START

NRDC-Parameters ::= SEQUENCE {

 measAndMobParametersNRDC MeasAndMobParametersMRDC OPTIONAL,

 generalParametersNRDC GeneralParametersMRDC-XDD-Diff OPTIONAL,

 fdd-Add-UE-NRDC-Capabilities UE-MRDC-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NRDC-Capabilities UE-MRDC-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NRDC-Capabilities UE-MRDC-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NRDC-Capabilities UE-MRDC-CapabilityAddFRX-Mode OPTIONAL,

 dummy2 OCTET STRING OPTIONAL,

 dummy SEQUENCE {} OPTIONAL

}

NRDC-Parameters-v1570 ::= SEQUENCE {

 sfn-SyncNRDC ENUMERATED {supported} OPTIONAL

}

NRDC-Parameters-v15xy ::= SEQUENCE {

 pdcp-DuplicationSplitSRB ENUMERATED {supported} OPTIONAL,

 pdcp-DuplicationSplitDRB ENUMERATED {supported} OPTIONAL

}

NRDC-Parameters-v1610 ::= SEQUENCE {

 measAndMobParametersNRDC-v1610 MeasAndMobParametersMRDC-v1610 OPTIONAL

}

-- TAG-NRDC-PARAMETERS-STOP

-- ASN1STOP

#### <End of the first modification>

#### <Start of the second modification>

– *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306 [26].

***UE-NR-Capability* information element**

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING (CONTAINING UE-NR-Capability-v15xy) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1530 OPTIONAL

}

UE-NR-Capability-v1530 ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 tdd-Add-UE-NR-Capabilities-v1530 UE-NR-CapabilityAddXDD-Mode-v1530 OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1540 OPTIONAL

}

UE-NR-Capability-v1540 ::= SEQUENCE {

 sdap-Parameters SDAP-Parameters OPTIONAL,

 overheatingInd ENUMERATED {supported} OPTIONAL,

 ims-Parameters IMS-Parameters OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1540 UE-NR-CapabilityAddFRX-Mode-v1540 OPTIONAL,

 fr1-fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1550 OPTIONAL

}

UE-NR-Capability-v1550 ::= SEQUENCE {

 reducedCP-Latency ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1560 OPTIONAL

}

UE-NR-Capability-v1560 ::= SEQUENCE {

 nrdc-Parameters NRDC-Parameters OPTIONAL,

 receivedFilters OCTET STRING (CONTAINING UECapabilityEnquiry-v1560-IEs) OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1570 OPTIONAL

}

UE-NR-Capability-v1570 ::= SEQUENCE {

 nrdc-Parameters-v1570 NRDC-Parameters-v1570 OPTIONAL,

 nonCriticalExtension UE-NR-Capability-v1610 OPTIONAL

}

UE-NR-Capability-v15xy ::= SEQUENCE {

 nrdc-Parameters-v15xy NRDC-Parameters-v15xy OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-Capability-v1610 ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nrdc-Parameters-v1610 NRDC-Parameters-v1610 OPTIONAL,

 powSav-Parameters-r16 PowSav-Parameters-r16 OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v1610 UE-NR-CapabilityAddFRX-Mode-v1610 OPTIONAL,

 bh-RLF-Indication-r16 ENUMERATED {supported} OPTIONAL,

 directSN-AdditionFirstRRC-IAB-r16 ENUMERATED {supported} OPTIONAL,

 bap-Parameters-r16 BAP-Parameters-r16 OPTIONAL,

 referenceTimeProvision-r16 ENUMERATED {supported} OPTIONAL,

 sidelinkParameters-r16 SidelinkParameters-r16 OPTIONAL,

 highSpeedParameters-r16 HighSpeedParameters-r16 OPTIONAL,

 mac-Parameters-v1610 MAC-Parameters-v1610 OPTIONAL,

 mcgRLF-RecoveryViaSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredMCG-SCells-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithStoredSCG-r16 ENUMERATED {supported} OPTIONAL,

 resumeWithSCG-Config-r16 ENUMERATED {supported} OPTIONAL,

 ue-BasedPerfMeas-Parameters-r16 UE-BasedPerfMeas-Parameters-r16 OPTIONAL,

 son-Parameters-r16 SON-Parameters-r16 OPTIONAL,

 onDemandSIB-Connected-r16 ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-v1530 ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::= SEQUENCE {

 ims-ParametersFRX-Diff IMS-ParametersFRX-Diff OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1610 ::= SEQUENCE {

 powSav-ParametersFRX-Diff-r16 PowSav-ParametersFRX-Diff-r16 OPTIONAL,

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

BAP-Parameters-r16 ::= SEQUENCE {

 flowControlBH-RLC-ChannelBased-r16 ENUMERATED {supported} OPTIONAL,

 flowControlRouting-ID-Based-r16 ENUMERATED {supported} OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

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| ***UE-NR-Capability* field descriptions** |
| ***featureSetCombinations***A list of *FeatureSetCombination:s* for *supportedBandCombinationList* in *UE-NR-Capability*. The *FeatureSetDownlink:s* and *FeatureSetUplink:s* referred to from these *FeatureSetCombination:s* are defined in the *featureSets* list in *UE-NR-Capability*. |

#### <End of the second modification>