3GPP RAN WG2 Meeting #111-e R2-2008511  
August 17th– 28th, 2020

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| *CR-Form-v11.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **1996** | **rev** | **-** | **Current version:** | **16.1.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Addition of MPE reporting to TS 38.331 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** |  | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_RF\_FR2\_req\_enh | | | | |  | | ***Date:*** | | 2020-08-24 |
|  |  | | | |  | | |  | |  |
| ***Category:*** | **B** |  | | | | | | ***Release:*** | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14)*  *Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In FR2, there are requirements for maximum permitted exposure (MPE), whereby the UE measures its average energy level over a period.  In RAN4’s LS (R4-2005670), RAN4 is asking RAN2 to to report measured MPE P-MPR estimate when the measured power reduction is higher than a configurable threshold. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | mpe-Reporting is added to configure parameters for MPE reporting, including the MPE P-MPR absolute and relative thresholds and the a prohibit timer for reporting. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | MPE reporting functionality is not introduced | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 6.3.2, 6.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | |  | | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | TS 38.321 CR 0883 | | | |
| ***affected:*** | |  | **X** | Test specifications | | | TS 38.306 CR 0404 | | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | |  | | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BAP Backhaul Adaptation Protocol

BCD Binary Coded Decimal

BH Backhaul

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CAG Closed Access Group

CAG-ID Closed Access Group Identifier

CAPC Channel Access Priority Class

CBR Channel Busy Ratio

CCCH Common Control Channel

CG Cell Group

CHO Conditional Handover

CLI Cross Link Interference

CMAS Commercial Mobile Alert Service

CP Control Plane

CPC Conditional PSCell Change

C-RNTI Cell RNTI

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCP DCI with CRC scrambled by PS-RNTI

DFN Direct Frame Number

DL Downlink

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HRNN Human Readable Network Name

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IDC In-Device Coexistence

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LBT Listen Before Talk

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MIB Master Information Block

MPE Maximum Permissible Exposure

MR-DC Multi-Radio Dual Connectivity

N/A Not Applicable

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NID Network Identifier

NPN Non-Public Network

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated Non-Public Network

posSIB Positioning SIB

PSCell Primary SCG Cell

PWS Public Warning System

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RMTC RSSI Measurement Timing Configuration

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RS Reference Signal

SBAS Satellite Based Augmentation System

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SL Sidelink

SLSS Sidelink Synchronisation Signal

SNPN Stand-alone Non-Public Network

SpCell Special Cell

SRB Signalling Radio Bearer

SRS Sounding Reference Signal

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TM Transparent Mode

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

6.3.2 Radio resource control information elements

*<Start of modification 1>*

#### – *PHR-Config*

The IE *PHR-Config* is used to configure parameters for power headroom reporting.

*PHR-Config* information element

-- ASN1START

-- TAG-PHR-CONFIG-START

PHR-Config ::= SEQUENCE {

phr-PeriodicTimer ENUMERATED {sf10, sf20, sf50, sf100, sf200,sf500, sf1000, infinity},

phr-ProhibitTimer ENUMERATED {sf0, sf10, sf20, sf50, sf100,sf200, sf500, sf1000},

phr-Tx-PowerFactorChange ENUMERATED {dB1, dB3, dB6, infinity},

multiplePHR BOOLEAN,

dummy BOOLEAN,

phr-Type2OtherCell BOOLEAN,

phr-ModeOtherCG ENUMERATED {real, virtual},

...,

[[

mpe-Reporting SetupRelease { MPE-Config-FR2 } OPTIONAL -- Need M

]]

}

MPE-Config-FR2 ::= SEQUENCE {

mpe-ProhibitTimer-r16 ENUMERATED {sf0, sf10, sf20, sf50, sf100, sf200, sf500, sf1000},

mpe-Threshold-r16 ENUMERATED {dB3, dB6, dB9, dB12},

mpe-RelativeThreshold-r16 ENUMERATED {dB1, dB3, dB6, infinity} OPTIONAL -- Need R,

...

}

-- TAG-PHR-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***PHR-Config* field descriptions** |
| ***dummy***  This field is not used in this version of the specification and the UE ignores the received value. |
| ***multiplePHR***  Indicates if power headroom shall be reported using the Single Entry PHR MAC control element or Multiple Entry PHR MAC control element defined in TS 38.321 [3]. True means to use Multiple Entry PHR MAC control element and False means to use the Single Entry PHR MAC control element defined in TS 38.321 [3]. The network configures this field to *true* for MR-DC and UL CA for NR, and to *false* in all other cases. |
| ***phr-ModeOtherCG***  Indicates the mode (i.e. real or virtual) used for the PHR of the activated cells that are part of the other Cell Group (i.e. MCG or SCG), when DC is configured. If the UE is configured with only one cell group (no DC), it ignores the field. |
| ***phr-PeriodicTimer***  Value in number of subframes for PHR reporting as specified in TS 38.321 [3]. Value *sf10* corresponds to 10 subframes, value *sf20* corresponds to 20 subframes, and so on. |
| ***phr-ProhibitTimer***  Value in number of subframes for PHR reporting as specified in TS 38.321 [3]. Value *sf0* corresponds to 0 subframe, value *sf10* corresponds to 10 subframes, value *sf20* corresponds to 20 subframes, and so on. |
| ***phr-Tx-PowerFactorChange***  Value in dB for PHR reporting as specified in TS 38.321 [3]. Value *dB1* corresponds to 1 dB, *dB3* corresponds to 3 dB and so on. The same value applies for each serving cell (although the associated functionality is performed independently for each cell). |
| ***phr-Type2OtherCell***  If set to true, the UE shall report a PHR type 2 for the SpCell of the other MAC entity. See TS 38.321 [3], clause 5.4.6. Network sets this field to *false* if the UE is not configured with an E-UTRA MAC entity. |
| ***mpe-Reporting***  Indicates whether the UE shall report MPE P-MPR in the PHR MAC control element, as specified in TS 38.321 [3]. |
| ***mpe-ProhibitTimer***  Value in number of subframes for MPE reporting, as specified in TS 38.321 [3]. Value sf10 corresponds to 10 subframes, and so on. |
| ***mpe-Threshold***  Value of the absolute P-MPR threshold in dB for reporting FR2 MPE P-MPR, as specified in TS 38.321 [3]. The same value applies for each serving cell (although the associated functionality is performed independently for each cell). |
| ***mpe-RelativeThreshold***  Value of the relative P-MPR threshold in dB for reporting FR2 MPE P-MPR, as specified in TS 38.321 [3]. The same value applies for each serving cell (although the associated functionality is performed independently for each cell). |

*<End of modification 1>*

### 6.3.3 UE capability information elements

*<Start of modification 2>*

– *MAC-Parameters*

The IE *MAC-Parameters* is used to convey capabilities related to MAC.

***MAC-Parameters* information element**

-- ASN1START

-- TAG-MAC-PARAMETERS-START

MAC-Parameters ::= SEQUENCE {

mac-ParametersCommon MAC-ParametersCommon OPTIONAL,

mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL

}

MAC-Parameters-v1610 ::= SEQUENCE {

mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

MAC-ParametersCommon ::= SEQUENCE {

lcp-Restriction ENUMERATED {supported} OPTIONAL,

dummy ENUMERATED {supported} OPTIONAL,

lch-ToSCellRestriction ENUMERATED {supported} OPTIONAL,

...,

[[

recommendedBitRate ENUMERATED {supported} OPTIONAL,

recommendedBitRateQuery ENUMERATED {supported} OPTIONAL

]],

[[

recommendedBitRateMultiplier-r16 ENUMERATED {supported} OPTIONAL,

secondaryDRX-Group ENUMERATED {supported} OPTIONAL,

preEmptiveBSR-r16 ENUMERATED {supported} OPTIONAL,

autonomousTransmission-r16 ENUMERATED {supported} OPTIONAL,

lch-PriorityBasedPrioritization-r16 ENUMERATED {supported} OPTIONAL,

lch-ToConfiguredGrantMapping-r16 ENUMERATED {supported} OPTIONAL,

lch-ToGrantPriorityRestriction-r16 ENUMERATED {supported} OPTIONAL,

singlePHR-P-r16 ENUMERATED {supported} OPTIONAL,

ul-LBT-FailureDetectionRecovery-r16 ENUMERATED {supported} OPTIONAL

]] ,

[[

tdd-MPE-P-MPR-Reporting-r16 ENUMERATED {supported} OPTIONAL

]]

}

MAC-ParametersFRX-Diff-r16 ::= SEQUENCE {

directMCG-SCellActivation-r16 ENUMERATED {supported} OPTIONAL,

directMCG-SCellActivationResume-r16 ENUMERATED {supported} OPTIONAL,

directSCG-SCellActivation-r16 ENUMERATED {supported} OPTIONAL,

directSCG-SCellActivationResume-r16 ENUMERATED {supported} OPTIONAL,

-- R1 19-1: DRX Adaptation

drx-Adaptation-r16 SEQUENCE {

licensedBand-r16 MinTimeGap-r16 OPTIONAL,

unlicensedBand-r16 MinTimeGap-r16 OPTIONAL

} OPTIONAL,

...

}

MAC-ParametersXDD-Diff ::= SEQUENCE {

skipUplinkTxDynamic ENUMERATED {supported} OPTIONAL,

logicalChannelSR-DelayTimer ENUMERATED {supported} OPTIONAL,

longDRX-Cycle ENUMERATED {supported} OPTIONAL,

shortDRX-Cycle ENUMERATED {supported} OPTIONAL,

multipleSR-Configurations ENUMERATED {supported} OPTIONAL,

multipleConfiguredGrants ENUMERATED {supported} OPTIONAL,

...

}

MinTimeGap-r16 ::= SEQUENCE {

scs-15kHz-r16 ENUMERATED {sl1, sl3} OPTIONAL,

scs-30kHz-r16 ENUMERATED {sl1, sl6} OPTIONAL,

scs-60kHz-r16 ENUMERATED {sl1, sl12} OPTIONAL,

scs-120kHz-r16 ENUMERATED {sl2, sl24} OPTIONAL

}

-- TAG-MAC-PARAMETERS-STOP

-- ASN1STOP

*<End of modification 2>*