**3GPP TSG- Meeting # *8604***

**Electronic Meeting, 17th – 28th Aug 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **1870** | **rev** | **1** | **Current version:** | **16.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Remaining ASN.1 review issues | | | | | | | | | |
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| ***Source to WG:*** | Ericsson | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_eMIMO-Core, TEI16 | | | | |  | ***Date:*** | | | 2020-08-31 |
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| ***Category:*** | F |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | The following agreed RIL was missed to be implemented into the specification.  Q023 - enablePLRSupdateForPUSCHSRS in *ServingCellConfig*  According to the latest RAN1 agreement in [R1-2001260](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1/TSGR1_100_e/Docs/R1-2001260.zip), 'If MAC-CE based PL RS activation/update is not enabled, UE is not expected to be configured with more than 4 PL RS”. This limit also excludes pathloss RS for SRS transmissions for positioning (configured by SRS-PosResourceSet-r16). | | | | | | | | |
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| ***Summary of change:*** | | 1. Q023 – enablePLRSupdateForPUSCHSRS in *ServingCellConfig*   Added text to field description to cover RAN1 agreement.  Corrected field and IE names to follow 38.331 principles.  Other minor/editorial corrections:   1. 5.3.5.13.4 Conditional reconfiguration evaluation   In Note, changed ”contitional handover” to ”conditional reconfiguration”.   1. 5.5.3.3 Derivation of cell measurement results   Corrected underlined text ”... for measurements performed according to 5.7.8.2a”.   1. UEInformationResponse message   Added extension markers for fields nr-RLF-Report-r16 and eutra-RLF-Report-r16, for future proofness.  **Impact Analysis**  Impacted 5G architecture options: NR SA, (NG)EN-DC, NE-DC, NR-DC  Impacted functionality:  RS resource configuration for pathloss estimation.  Inter-operability:  1. If the network is implemented according to the CR and the UE is not, UE might support fewer pathloss references than assued by network.  2. If the UE is implemented according to the CR and the network is not, the network might configure more RSs for pathloss estimation than supported by UE.  This CR is considered mandatory to support the UEInformationResponse message. | | | | | | | | |
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| ***Consequences if not approved:*** | | UE limitation on support of RSs for pathloss estimation will not be captured in the specification.  Future additions of RLF information will be more complex to add to the UEInformationResponse message. | | | | | | | | |
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| ***Clauses affected:*** | | 5.3.5.13.4, 5.5.3.3, 6.2.2, 6.3.2 | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

##### 5.3.5.13.4 Conditional reconfiguration evaluation

The UE shall:

1> for each *condReconfigId* within the *VarConditionalReconfig*:

2> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* in the received *condRRCReconfig* to be applicable cell;

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *condExecutionCond* associated to *condReconfigId:*

3> if the entry condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*:

4> consider the event associated to that *measId* to be fulfilled;

3> if the leaving condition(s) applicable for this event associated with the *condReconfigId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condTriggerConfig* within *VarConditionalReconfig*, is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfig*:

4> consider the event associated to that *measId* to be not fulfilled;

2> if event(s) associated to all *measId*(s) within *condTriggerConfig* for a target candidate cell within the stored *condRRCReconfig* are fulfilled:

3> consider the target candidate cell within the stored *condRRCReconfig*, associated to that *condReconfigId*, as a triggered cell;

3> initiate the conditional rconfiguration execution, as specified in 5.3.5.13.5;

NOTE: Up to 2 *MeasId* can be configured for each *condReconfigId.* The conditional reconfiguration event of the 2 *MeasId* may have the same or different event conditions, triggering quantity, time to trigger, and triggering threshold.

#### 5.5.3.3 Derivation of cell measurement results

The network may configure the UE in RRC\_CONNECTED to derive RSRP, RSRQ and SINR measurement results per cell associated to NR measurement objects based on parameters configured in the *measObject* (e.g. maximum number of beams to be averaged and beam consolidation thresholds) and in the *reportConfig* (*rsType* to be measured, SS/PBCH block or CSI-RS).

The network may configure the UE in RRC\_IDLE or in RRC\_INACTIVE to derive RSRP and RSRQ measurement results per cell associated to NR carriers based on parameters configured in *measIdleCarrierListNR* within *VarMeasIdleConfig* for measurements performed according to 5.7.8.2a.

The UE shall:

1> for each cell measurement quantity to be derived based on SS/PBCH block:

2> if *nrofSS-BlocksToAverage* is not configured in the associated *measObject* in RRC\_CONNECTED or in the associated entry in *measIdleCarrierListNR* within *VarMeasIdleConfig* in RRC\_IDLE/RRC\_INACTIVE; or

2> if *absThreshSS-BlocksConsolidation* is not configured in the associated *measObject* in RRC\_CONNECTED or in the associated entry in *measIdleCarrierListNR* within *VarMeasIdleConfig* in RRC\_IDLE/RRC\_INACTIVE; or

2> if the highest beam measurement quantity value is below or equal to *absThreshSS-BlocksConsolidation*:

3> derive each cell measurement quantity based on SS/PBCH block as the highest beam measurement quantity value, where each beam measurement quantity is described in TS 38.215 [9];

2> else:

3> derive each cell measurement quantity based on SS/PBCH block as the linear power scale average of the highest beam measurement quantity values above *absThreshSS-BlocksConsolidation* where the total number of averaged beams shall not exceed *nrofSS-BlocksToAverage*;

2> if in RRC\_CONNECTED, apply layer 3 cell filtering as described in 5.5.3.2;

1> for each cell measurement quantity to be derived based on CSI-RS:

2> consider a CSI-RS resource to be applicable for deriving cell measurements when the concerned CSI-RS resource is included in the *csi-rs-CellMobility* including the *physCellId* of the cell in the*CSI-RS-ResourceConfigMobility* in the associated *measObject*;

2> if *nrofCSI-RS-ResourcesToAverage* in the associated *measObject* is not configured; or

2> if *absThreshCSI-RS-Consolidation* in the associated *measObject* is not configured; or

2> if the highest beam measurement quantity value is below or equal to *absThreshCSI-RS-Consolidation*:

3> derive each cell measurement quantity based on applicable CSI-RS resources for the cell as the highest beam measurement quantity value, where each beam measurement quantity is described in TS 38.215 [9];

2> else:

3> derive each cell measurement quantity based on CSI-RS as the linear power scale average of the highest beam measurement quantity values above *absThreshCSI-RS-Consolidation* where the total number of averaged beams shall not exceed *nrofCSI-RS-ResourcesToAverage*;

2> apply layer 3 cell filtering as described in 5.5.3.2.

### 6.2.2 Message definitions

#### <cut until next change>

#### – *UEInformationResponse*

The *UEInformationResponse* message is used by the UE to transfer information requested by the network.

Signalling radio bearer: SRB1 or SRB2 (when logged measurement information is included)

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to network

*UEInformationResponse message*

-- ASN1START

-- TAG-UEINFORMATIONRESPONSE-START

UEInformationResponse-r16 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

ueInformationResponse-r16 UEInformationResponse-r16-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

UEInformationResponse-r16-IEs ::= SEQUENCE {

measResultIdleEUTRA-r16 MeasResultIdleEUTRA-r16 OPTIONAL,

measResultIdleNR-r16 MeasResultIdleNR-r16 OPTIONAL,

logMeasReport-r16 LogMeasReport-r16 OPTIONAL,

connEstFailReport-r16 ConnEstFailReport-r16 OPTIONAL,

ra-ReportList-r16 RA-ReportList-r16 OPTIONAL,

rlf-Report-r16 RLF-Report-r16 OPTIONAL,

mobilityHistoryReport-r16 MobilityHistoryReport-r16 OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

LogMeasReport-r16 ::= SEQUENCE {

absoluteTimeStamp-r16 AbsoluteTimeInfo-r16,

traceReference-r16 TraceReference-r16,

traceRecordingSessionRef-r16 OCTET STRING (SIZE (2)),

tce-Id-r16 OCTET STRING (SIZE (1)),

logMeasInfoList-r16 LogMeasInfoList-r16,

logMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableBT-r16 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r16 ENUMERATED {true} OPTIONAL,

...

}

LogMeasInfoList-r16 ::= SEQUENCE (SIZE (1..maxLogMeasReport-r16)) OF LogMeasInfo-r16

LogMeasInfo-r16 ::= SEQUENCE {

locationInfo-r16 LocationInfo-r16 OPTIONAL,

relativeTimeStamp-r16 INTEGER (0..7200),

servCellIdentity-r16 CGI-Info-Logging-r16 OPTIONAL,

measResultServingCell-r16 MeasResultServingCell-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultListLogging2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

anyCellSelectionDetected-r16 ENUMERATED {true} OPTIONAL

}

ConnEstFailReport-r16 ::= SEQUENCE {

measResultFailedCell-r16 MeasResultFailedCell-r16,

locationInfo-r16 LocationInfo-r16 OPTIONAL,

measResultNeighCells-r16 SEQUENCE {

measResultNeighCellListNR MeasResultList2NR-r16 OPTIONAL,

measResultNeighCellListEUTRA MeasResultList2EUTRA-r16 OPTIONAL

},

numberOfConnFail-r16 INTEGER (1..8),

perRAInfoList-r16 PerRAInfoList-r16,

timeSinceFailure-r16 TimeSinceFailure-r16,

...

}

MeasResultServingCell-r16 ::= SEQUENCE {

resultsSSB-Cell MeasQuantityResults,

resultsSSB SEQUENCE{

best-ssb-Index SSB-Index,

best-ssb-Results MeasQuantityResults,

numberOfGoodSSB INTEGER (1..maxNrofSSBs-r16)

} OPTIONAL

}

MeasResultFailedCell-r16 ::= SEQUENCE {

cgi-Info CGI-Info-Logging-r16,

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList

}

}

}

RA-ReportList-r16 ::= SEQUENCE (SIZE (1..maxRAReport-r16)) OF RA-Report-r16

RA-Report-r16 ::= SEQUENCE {

cellId-r16 CGI-Info-Logging-r16,

ra-InformationCommon-r16 RA-InformationCommon-r16,

raPurpose-r16 ENUMERATED {accessRelated, beamFailureRecovery, reconfigurationWithSync, ulUnSynchronized,

schedulingRequestFailure, noPUCCHResourceAvailable, requestForOtherSI,

spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1}

}

RA-InformationCommon-r16 ::= SEQUENCE {

absoluteFrequencyPointA-r16 ARFCN-ValueNR,

locationAndBandwidth-r16 INTEGER (0..37949),

subcarrierSpacing-r16 SubcarrierSpacing,

msg1-FrequencyStart-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-FrequencyStartCFRA-r16 INTEGER (0..maxNrofPhysicalResourceBlocks-1) OPTIONAL,

msg1-SubcarrierSpacing-r16 SubcarrierSpacing OPTIONAL,

msg1-SubcarrierSpacingCFRA-r16 SubcarrierSpacing OPTIONAL,

msg1-FDM-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

msg1-FDMCFRA-r16 ENUMERATED {one, two, four, eight} OPTIONAL,

perRAInfoList-r16 PerRAInfoList-r16

}

PerRAInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAInfo-r16

PerRAInfo-r16 ::= CHOICE {

perRASSBInfoList-r16 PerRASSBInfo-r16,

perRACSI-RSInfoList-r16 PerRACSI-RSInfo-r16

}

PerRASSBInfo-r16 ::= SEQUENCE {

ssb-Index-r16 SSB-Index,

numberOfPreamblesSentOnSSB-r16 INTEGER (1..200),

perRAAttemptInfoList-r16 PerRAAttemptInfoList-r16

}

PerRACSI-RSInfo-r16 ::= SEQUENCE {

csi-RS-Index-r16 CSI-RS-Index,

numberOfPreamblesSentOnCSI-RS-r16 INTEGER (1..200)

}

PerRAAttemptInfoList-r16 ::= SEQUENCE (SIZE (1..200)) OF PerRAAttemptInfo-r16

PerRAAttemptInfo-r16 ::= SEQUENCE {

contentionDetected-r16 BOOLEAN OPTIONAL,

dlRSRPAboveThreshold-r16 BOOLEAN OPTIONAL,

...

}

RLF-Report-r16 ::= CHOICE {

nr-RLF-Report-r16 SEQUENCE {

measResultLastServCell-r16 MeasResultRLFNR-r16,

measResultNeighCells-r16 SEQUENCE {

measResultListNR-r16 MeasResultList2NR-r16 OPTIONAL,

measResultListEUTRA-r16 MeasResultList2EUTRA-r16 OPTIONAL

} OPTIONAL,

c-RNTI-r16 RNTI-Value,

previousPCellId-r16 CHOICE {

nrPreviousCell-r16 CGI-Info-Logging-r16,

eutraPreviousCell-r16 CGI-InfoEUTRALogging

} OPTIONAL,

failedPCellId-r16 CHOICE {

nrFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-Info-Logging-r16,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 PhysCellId,

carrierFreq-r16 ARFCN-ValueNR

}

},

eutraFailedPCellId-r16 CHOICE {

cellGlobalId-r16 CGI-InfoEUTRALogging,

pci-arfcn-r16 SEQUENCE {

physCellId-r16 EUTRA-PhysCellId,

carrierFreq-r16 ARFCN-ValueEUTRA

}

}

},

reconnectCellId-r16 CHOICE {

nrReconnectCellId-r16 CGI-Info-Logging-r16,

eutraReconnectCellId-r16 CGI-InfoEUTRALogging

} OPTIONAL,

timeUntilReconnection-16 TimeUntilReconnection-16 OPTIONAL,

reestablishmentCellId-r16 CGI-Info-Logging-r16 OPTIONAL,

timeConnFailure-r16 INTEGER (0..1023) OPTIONAL,

timeSinceFailure-r16 TimeSinceFailure-r16,

connectionFailureType-r16 ENUMERATED {rlf, hof},

rlf-Cause-r16 ENUMERATED {t310-Expiry, randomAccessProblem, rlc-MaxNumRetx,

beamFailureRecoveryFailure, lbtFailure-r16,

bh-rlfRecoveryFailure, spare2, spare1},

locationInfo-r16 LocationInfo-r16 OPTIONAL,

noSuitableCellFound-r16 ENUMERATED {true} OPTIONAL,

ra-InformationCommon-r16 RA-InformationCommon-r16 OPTIONAL,

...

},

eutra-RLF-Report-r16 SEQUENCE {

failedPCellId-EUTRA CGI-InfoEUTRALogging,

measResult-RLF-Report-EUTRA-r16 OCTET STRING,

...

}

}

MeasResultList2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2NR-r16

MeasResultList2EUTRA-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResult2EUTRA-r16

MeasResult2NR-r16 ::= SEQUENCE {

ssbFrequency-r16 ARFCN-ValueNR OPTIONAL,

refFreqCSI-RS-r16 ARFCN-ValueNR OPTIONAL,

measResultList-r16 MeasResultListNR

}

MeasResultListLogging2NR-r16 ::= SEQUENCE(SIZE (1..maxFreq)) OF MeasResultListLoggingNR-r16

MeasResultLogging2NR-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueNR,

measResultListLoggingNR-r16 MeasResultListLoggingNR-r16

}

MeasResultListLoggingNR-r16 ::= SEQUENCE (SIZE (1..maxCellReport)) OF MeasResultLoggingNR-r16

MeasResultLoggingNR-r16 ::= SEQUENCE {

physCellId-r16 PhysCellId,

resultsSSB-Cell-r16 MeasQuantityResults,

numberOfGoodSSB-r16 INTEGER (1..maxNrofSSBs-r16) OPTIONAL

}

MeasResult2EUTRA-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueEUTRA,

measResultList-r16 MeasResultListEUTRA

}

MeasResultRLFNR-r16 ::= SEQUENCE {

measResult-r16 SEQUENCE {

cellResults-r16 SEQUENCE{

resultsSSB-Cell-r16 MeasQuantityResults OPTIONAL,

resultsCSI-RS-Cell-r16 MeasQuantityResults OPTIONAL

},

rsIndexResults-r16 SEQUENCE{

resultsSSB-Indexes-r16 ResultsPerSSB-IndexList OPTIONAL,

ssbRLMConfigBitmap-r16 BIT STRING (SIZE (64)) OPTIONAL,

resultsCSI-RS-Indexes-r16 ResultsPerCSI-RS-IndexList OPTIONAL,

csi-rsRLMConfigBitmap-r16 BIT STRING (SIZE (96)) OPTIONAL

} OPTIONAL

}

}

TimeSinceFailure-r16 ::= INTEGER (0..172800)

MobilityHistoryReport-r16 ::= VisitedCellInfoList-r16

TimeUntilReconnection-16 ::= INTEGER (0..172800)

-- TAG-UEINFORMATIONRESPONSE-STOP

-- ASN1STOP

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| *UEInformationResponse-IEs* field descriptions |
| ***logMeasReport***  This field is used to provide the measurement results stored by the UE associated to logged MDT. |
| ***measResultIdleEUTRA***  EUTRA measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***measResultIdleNR***  NR measurement results performed during RRC\_INACTIVE or RRC\_IDLE. |
| ***ra-Report***  This field is used to provide the list of RA reports that is stored by the UE for the past upto *maxRAReport-r16* number of successful random access procedues. |
| ***rlf-Report***  This field is used to indicated the RLF report related contents. |

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| *LogMeasReport* field descriptions |
| ***absoluteTimeStamp***  Indicates the absolute time when the logged measurement configuration logging is provided, as indicated by E-UTRAN within *absoluteTimeInfo*. |
| ***logMeasResultListBT***  This field refers to the Bluetooth measurement results. |
| ***logMeasResultListWLAN***  This field refers to the WLAN measurement results. |
| ***measResultServCell***  This field refers to the log measurement results taken in the Serving cell. |
| ***relativeTimeStamp***  Indicates the time of logging measurement results, measured relative to the *absoluteTimeStamp*. Value in seconds. |
| ***tce-Id***  Parameter Trace Collection Entity Id: See TS 32.422 [52]. |
| ***timeStamp***  Includes time stamps for the waypoints that describe planned locations for the UE. |
| ***traceRecordingSessionRef***  Parameter Trace Recording Session Reference: See TS 32.422 [52]. |

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| *ConnEstFailReport* field descriptions |
| ***measResultFailedCell***  This field refers to the last measurement results taken in the cell, where connection establishment failure or connection resume failure happened. |
| ***measResultNeighCells***  This field refers to the neighbour cell measurements when connection establishment failure or connection resume failure happened. |
| ***numberOfConnFail***  This field is used to indicate the latest number of consecutive failed RRCSetup or RRCResume procedures in the same cell independent of RRC state transition. |
| ***numberOfPreamblesSent***  This field is used to indicate the number of random access preambles that were transmitted. |
| ***maxTxPowerReached***  This field is used to indicate whether or not the maximum power level was used for the last transmitted preamble. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (establishment or resume) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |

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| *RA-Report* field descriptions |
| ***absoluteFrequencyPointA***  This field indicates the absolute frequency position of the reference resource block (Common RB 0). |
| ***cellID***  This field indicates the CGI of the cell in which the associated random access procedure was performed. |
| ***contentionDetected***  This field is used to indicate that contention was detected for the transmitted preamble in the given random access attempt or not. This field is not included when the UE performs random access attempt is using contention free random-access resources or when the *ra-Purpose* is set to *requestForOtherSI*. |
| ***csi-RS-Index***  This field is used to indicate the CSI-RS index corresponding to the random access attempt. |
| ***dlRSRPAboveThreshold***  This field is used to indicate whether the DL beam (SSB) quality associated to the random access attempt was above or below the threshold (*rsrp-ThresholdSSB* in *beamFailureRecoveryConfig* in UL BWP configuration of UL BWP selected for random access procedure initiated for beam failure recovery; Otherwise, *rsrp-ThresholdSSB* in *rach-ConfigCommon* in UL BWP configuration of UL BWP selected for random access procedure. |
| ***locationAndBandwidth***  Frequency domain location and bandwidth of the bandwidth part associated to the random-access resources used by the UE. |
| ***numberOfPreamblesSentOnCSI-RS***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding CSI-RS. |
| ***numberOfPreamblesSentOnSSB***  This field is used to indicate the total number of successive RA preambles that were transmitted on the corresponding SSB/PBCH block. |
| ***perRAAttemptInfoList***  This field provides detailed information about a random access attempt. |
| ***perRAInfoList***  This field provides detailed information about each of the random access attempts in the chronological order of the random access attempts. |
| ***perRACSI-RSInfoList***  This field provides detailed information about the successive random acess attempts associated to the same CSI-RS. |
| ***perRASSBInfoList***  This field provides detailed information about the successive random access attempts associated to the same SS/PBCH block. |
| ***raPurpose***  This field is used to indicate the RA scenario for which the RA report entry is triggered. The RA accesses associated to Initial access from RRC\_IDLE, transition from RRC-INACTIVE and the MSG3 based SI request are indicated using the indicator 'accessRelated'. The indicator *beamFailureRecovery* is used in case of beam failure recovery failure in the SpCell [3]. The indicator *reconfigurationWithSync* is used if the UE executes a reconfiguration with sync. The indicator *ulUnSynchronized* is used if the random access procedure is initiated in a serving cell by DL or UL data arrival during RRC\_CONNECTED when the timeAlignmentTimer is not running in the TAG of the concerned serving cell or by a PDCCH order [3]. The indicator *schedulingRequestFailure* is used in case of SR failures [3]. The indicator *noSRPUCCHResourceAvailable* is used when the UE has no valid SR PUCCH resources configured [3]. The indicator *requestForOtherSI* is used for MSG1 based on demand SI request. |
| ***ra-InformationCommon***  This field is used to indicate the common random-access related information between *RA-report* and *RLF-report*. For RA report, this field is mandatory presented. For *RLF-report*, this field is optionally included when c*onnectionFailureType* is set to 'hof' or when *connectionFailureType* is set to 'rlf' and the *rlf-Cause* equals to 'randomAccessProblem' or 'beamRecoveryFailure'; otherwise this field is absent. |
| ***ssb-Index***  This field is used to indicate the SS/PBCH index of the SS/PBCH block corresponding to the random access attempt. |
| ***ssbRSRPQualityIndicator***  This field is used to indicate the SS/PBCH RSRP of the SS/PBCH block corresponding to the random access attempt is above *rsrp-ThresholdSSB* or not. |
| ***subcarrierSpacing***  Subcarrier spacing used in the BWP associated to the random-access resources used by the UE. |

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| *RLF-Report* field descriptions |
| ***connectionFailureType***  This field is used to indicate whether the connection failure is due to radio link failure or handover failure. |
| ***csi-rsRLMConfigBitmap***  This field is used to indicate the CSI-RS indexes that are also part of the RLM configurations. |
| ***c-RNTI***  This field indicates the C-RNTI used in the PCell upon detecting radio link failure or the C-RNTI used in the source PCell upon handover failure. |
| ***failedPCellId***  This field is used to indicate the PCell in which RLF is detected or the target PCell of the failed handover. For intra-NR handover *nrFailedPCellId* is included and for the handover from NR to EUTRA *eutraFailedPCellId* is included. The UE sets the ARFCN according to the frequency band used for transmission/ reception when the failure occurred. |
| ***failedPCellId-EUTRA***  This field is used to indicate the PCell in which RLF is detected or the source PCell of the failed handover in an E-UTRA RLF report. |
| ***measResultLastServCell***  This field refers to the last measurement results taken in the PCell, where radio link failure or handover failure happened. |
| ***measResultListEUTRA***  This field refers to the last measurement results taken in the neighboring EUTRA Cells, when the radio link failure or handover failure happened. |
| ***measResultListNR***  This field refers to the last measurement results taken in the neighboring NR Cells, when the radio link failure or handover failure happened. |
| ***measResultServCell***  This field refers to the log measurement results taken in the Serving cell. |
| ***measResult-RLF-Report-EUTRA***  Includes the E-UTRA *RLF-Report-r9* IE as specified in TS 36.331 [10]. |
| ***noSuitableCellFound***  This field is set by the UE when the T311 expires. |
| ***previousPCellId***  This field is used to indicate the source PCell of the last handover (source PCell when the last *RRCReconfiguration* message including *reconfigurationWithSync* was received). For intra-NR handover *nrPreviousCell* is included and for the handover from EUTRA to NR *eutraPreviousCell* is included. |
| ***reconnectCellId***  This field is used to indicate the cell in which the UE comes back to connected after connection failure and after failing to perform reestablishment. If the UE comes back to RRC CONNECTED in an NR cell then *nrReconnectCellID* is included and if the UE comes back to RRC CONNECTED in an LTE cell then *eutraReconnectCellID* is included |
| ***reestablishmentCellId***  This field is used to indicate the cell in which the re-establishment attempt was made after connection failure. |
| ***rlf-Cause***  This field is used to indicate the cause of the last radio link failure that was detected. In case of handover failure information reporting (i.e., the *connectionFailureType* is set to '*hof*'), the UE is allowed to set this field to any value. |
| ***ssbRLMConfigBitmap***  This field is used to indicate the SS/PBCH block indexes that are also part of the RLM configurations. |
| ***timeConnFailure***  This field is used to indicate the time elapsed since the last HO initialization until connection failure. Actual value = field value \* 100ms. The maximum value 1023 means 102.3s or longer. |
| ***timeSinceFailure***  This field is used to indicate the time that elapsed since the connection (radio link or handover) failure. Value in seconds. The maximum value 172800 means 172800s or longer. |
| ***timeUntilReconnection***  This field is used to indicate the time that elapsed between the connection (radio link or handover) failure and the next time the UE comes to RRC CONNECTED in an NR or EUTRA cell. Value in seconds. The maximum value 172800 means 172800s or longer. |

#### <cut until next change>

### 6.3.2 Radio resource control information elements

#### <cut until next change>

#### – *ServingCellConfig*

The IE *ServingCellConfig* is used to configure (add or modify) the UE with a serving cell, which may be the SpCell or an SCell of an MCG or SCG. The parameters herein are mostly UE specific but partly also cell specific (e.g. in additionally configured bandwidth parts). Reconfiguration between a PUCCH and PUCCHless SCell is only supported using an SCell release and add.

*ServingCellConfig* information element

-- ASN1START

-- TAG-SERVINGCELLCONFIG-START

ServingCellConfig ::= SEQUENCE {

tdd-UL-DL-ConfigurationDedicated TDD-UL-DL-ConfigDedicated OPTIONAL, -- Cond TDD

initialDownlinkBWP BWP-DownlinkDedicated OPTIONAL, -- Need M

downlinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

downlinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Downlink OPTIONAL, -- Need N

firstActiveDownlinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

bwp-InactivityTimer ENUMERATED {ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30,

ms40,ms50, ms60, ms80,ms100, ms200,ms300, ms500,

ms750, ms1280, ms1920, ms2560, spare10, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL, --Need R

defaultDownlinkBWP-Id BWP-Id OPTIONAL, -- Need S

uplinkConfig UplinkConfig OPTIONAL, -- Need M

supplementaryUplink UplinkConfig OPTIONAL, -- Need M

pdcch-ServingCellConfig SetupRelease { PDCCH-ServingCellConfig } OPTIONAL, -- Need M

pdsch-ServingCellConfig SetupRelease { PDSCH-ServingCellConfig } OPTIONAL, -- Need M

csi-MeasConfig SetupRelease { CSI-MeasConfig } OPTIONAL, -- Need M

sCellDeactivationTimer ENUMERATED {ms20, ms40, ms80, ms160, ms200, ms240,

ms320, ms400, ms480, ms520, ms640, ms720,

ms840, ms1280, spare2,spare1} OPTIONAL, -- Cond ServingCellWithoutPUCCH

crossCarrierSchedulingConfig CrossCarrierSchedulingConfig OPTIONAL, -- Need M

tag-Id TAG-Id,

dummy ENUMERATED {enabled} OPTIONAL, -- Need R

pathlossReferenceLinking ENUMERATED {spCell, sCell} OPTIONAL, -- Cond SCellOnly

servingCellMO MeasObjectId OPTIONAL, -- Cond MeasObject

...,

[[

lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

downlinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]],

[[

supplementaryUplinkRelease ENUMERATED {true} OPTIONAL, -- Need N

tdd-UL-DL-ConfigurationDedicated-iab-mt-r16 TDD-UL-DL-ConfigDedicated-IAB-MT-r16 OPTIONAL, -- Cond TDD\_IAB

dormantBWP-Config-r16 SetupRelease { DormantBWP-Config-r16 } OPTIONAL, -- Need M

ca-SlotOffset-r16 CHOICE {

refSCS15kHz INTEGER (-2..2),

refSCS30KHz INTEGER (-5..5),

refSCS60KHz INTEGER (-10..10),

refSCS120KHz INTEGER (-20..20)

} OPTIONAL, -- Cond AsyncCA

channelAccessConfig-r16 SetupRelease { ChannelAccessConfig-r16 } OPTIONAL, -- Need M

intraCellGuardBandsUL-r16 IntraCellGuardBands-r16 OPTIONAL, -- Need S

intraCellGuardBandsDL-r16 IntraCellGuardBands-r16 OPTIONAL, -- Need S

csi-RS-ValidationWith-DCI-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

lte-CRS-PatternList1-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

lte-CRS-PatternList2-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

crs-RateMatch-PerCORESETPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableTwoDefaultTCI-States-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultTCI-StatePerCoresetPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableBeamSwitchTiming-r16 ENUMERATED {true} OPTIONAL, -- Need R

cbg-TxDiffTBsProcessingType1-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

cbg-TxDiffTBsProcessingType2-r16 ENUMERATED {enabled} OPTIONAL -- Need R

]]

}

UplinkConfig ::= SEQUENCE {

initialUplinkBWP BWP-UplinkDedicated OPTIONAL, -- Need M

uplinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

uplinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Uplink OPTIONAL, -- Need N

firstActiveUplinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

pusch-ServingCellConfig SetupRelease { PUSCH-ServingCellConfig } OPTIONAL, -- Need M

carrierSwitching SetupRelease { SRS-CarrierSwitching } OPTIONAL, -- Need M

...,

[[

powerBoostPi2BPSK BOOLEAN OPTIONAL, -- Need M

uplinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

]],

[[

enablePL-RS-UpdateForPUSCH-SRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForPUSCH0-0-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForPUCCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

enableDefaultBeamPL-ForSRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

uplinkTxSwitching-r16 SetupRelease { UplinkTxSwitching-r16 } OPTIONAL -- Need M

]]

}

ChannelAccessConfig-r16 ::= SEQUENCE {

maxEnergyDetectionThreshold-r16 INTEGER(-85..-52),

energyDetectionThresholdOffset-r16 INTEGER (-20..-13),

ul-toDL-COT-SharingED-Threshold-r16 INTEGER (-85..-52) OPTIONAL, -- Need R

absenceOfAnyOtherTechnology-r16 ENUMERATED {true} OPTIONAL -- Need R

}

IntraCellGuardBands-r16 ::= SEQUENCE (SIZE (1..4)) OF GuardBand-r16

GuardBand-r16 ::= SEQUENCE {

startCRB-r16 INTEGER (0..274),

nrofCRBs-r16 INTEGER (0..15)

}

DormancyGroupID-r16 ::= INTEGER (0..4)

DormantBWP-Config-r16::= SEQUENCE {

dormantBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

withinActiveTimeConfig-r16 SetupRelease { WithinActiveTimeConfig-r16 } OPTIONAL, -- Need M

outsideActiveTimeConfig-r16 SetupRelease { OutsideActiveTimeConfig-r16 } OPTIONAL -- Need M

}

WithinActiveTimeConfig-r16 ::= SEQUENCE {

firstWithinActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

dormancyGroupWithinActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

OutsideActiveTimeConfig-r16 ::= SEQUENCE {

firstOutsideActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

dormancyGroupOutsideActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

UplinkTxSwitching-r16 ::= SEQUENCE {

uplinkTxSwitchingPeriodLocation-r16 BOOLEAN,

uplinkTxSwitchingCarrier-r16 ENUMERATED {carrier1, carrier2}

}

-- TAG-SERVINGCELLCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *ServingCellConfig* field descriptions |
| ***absenceOfAnyOtherTechnology***  Presence of this field indicates absence on a long term basis (e.g. by level of regulation) of any other technology sharing the carrier; absence of this field indicates the potential presence of any other technology sharing the carrier, as specified in TS 37.213 [48} clause Y. |
| ***bwp-InactivityTimer***  The duration in ms after which the UE falls back to the default Bandwidth Part (see TS 38.321 [3], clause 5.15). When the network releases the timer configuration, the UE stops the timer without switching to the default BWP. |
| ***ca-SlotOffset***  Slot offset between the primary cell (PCell/PSCell) and the SCell in unaligned frame boundary with slot alignment and partial SFN alignment inter-band CA. Based on this field, the UE determines the time offset of the SCell as specified in clause 4.5 of TS 38.211 [16]. The granularity of this field is determined by the reference SCS for the slot offset (i.e. the maximum of PCell/PSCell lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfig* and this serving cell's lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfig*).  The Network configures at most single non-zero offset duration in ms (independent on SCS) among CCs in the unaligned CA configuration. If the field is absent, the UE applies the value of 0. |
| ***cbg-TxDiffTBsProcessingType1, cbg-TxDiffTBsProcessingType2***  Indicates whether processing types 1 and 2 based CBG based operation is enabled according to Rel-16 UE capabilities. |
| ***channelAccessConfig***  List of parameters used for access procedures of operation with shared spectrum channel access (see TS 37.213 [48). |
| ***crossCarrierSchedulingConfig***  Indicates whether this serving cell is cross-carrier scheduled by another serving cell or whether it cross-carrier schedules another serving cell. |
| ***csi-RS-ValidationWith-DCI***  Determines how the UE performs periodic and semi-persistent CSI-RS reception in a slot if the UE does not detect a DCI format indicating aperiodic CSI-RS or PDSCH in the set of symbols (see TS 38.213 [13], clause 11.1). |
| ***crs-RateMatch-PerCORESETPoolIndex***  Indicates how UE performs rate matching when both lte-CRS-PatternList1-r16 and lte-CRS-PatternList2-r16 are configured as specified in TS 38.314, clause 5.1.4.2. |
| ***defaultDownlinkBWP-Id***  The initial bandwidth part is referred to by BWP-Id = 0. ID of the downlink bandwidth part to be used upon expiry of the BWP inactivity timer. This field is UE specific. When the field is absent the UE uses the initial BWP as default BWP. (see TS 38.213 [13], clause 12 and TS 38.321 [3], clause 5.15). |
| ***dormantBWP-Config***  The dormant BWP configuration for an SCell. This field can be included only for a (non-PUCCH) SCell. |
| ***downlinkBWP-ToAddModList***  List of additional downlink bandwidth parts to be added or modified. (see TS 38.213 [13], clause 12). |
| ***downlinkBWP-ToReleaseList***  List of additional downlink bandwidth parts to be released. (see TS 38.213 [13], clause 12). |
| ***downlinkChannelBW-PerSCS-List***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *DownlinkConfigCommon* / *DownlinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***enableBeamSwitchTiming***  Indicates the aperiodic CSI-RS triggering with beam switching triggering behaviour as defined in clause 5.2.1.5.1 of TS 38.214 [19]. |
| ***enableDefaultTCI-StatePerCoresetPoolIndex***  Presence of this field indicates the UE shall follow the release 16 behavior of default TCI state per CORESETPoolindex when the UE is configured by higher layer parameter PDCCH-Config that contains two different values of CORESETPoolIndex in ControlResourceSet is enabled. |
| ***enableTwoDefaultTCI-States***  Presence of this field indicates the UE shall follow the release 16 behavior of two default TCI states for PDSCH when at least one TCI codepoint is mapped to two TCI states is enabled |
| ***energyDetectionThresholdOffset***  Indicates the offset to the default maximum energy detection threshold value. Unit in dB. Value -13 corresponds to -13dB, value -12 corresponds to -12dB, and so on (i.e. in steps of 1dB) as specified in TS 37.213 [48]. |
| ***firstActiveDownlinkBWP-Id***  If configured for an SpCell, this field contains the ID of the DL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.  If configured for an SCell, this field contains the ID of the downlink bandwidth part to be used upon activation of an SCell. The initial bandwidth part is referred to by BWP-Id = 0.  Upon PCell change and PSCell addition/change, the network sets the *firstActiveDownlinkBWP-Id* and *firstActiveUplinkBWP-Id* to the same value. |
| ***initialDownlinkBWP***  The dedicated (UE-specific) configuration for the initial downlink bandwidth-part (i.e. DL BWP#0). If any of the optional IEs are configured within this IE, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***intraCellGuardBandsDL, intraCellGuardBandsUL***  List of intra-cell guard bands in a serving cell. For each entry in the list, *startCRB* indicates the starting RB of the guard band and *nrofCRBs* indicates the length of the guard band in RBs. For *intraCellGuardBandsUL,* when *nrofCRBs* is 0, zero-size or no guard band is used. If not configured, the guard bands are defined according the TS 38.104 [12] and 38.101-1 [15]. |
| ***lte-CRS-PatternList***  A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH. The LTE CRS patterns in this list shall be non-overlapping in frequency. The network does not configure this field and *lte-CRS-ToMatchAround* simultaneously. |
| ***lte-CRS-PatternList2***  A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH scheduled with a DCI detected on a CORESET with CORESETPoolIndex configured with 1. This list is configured only if CORESETPoolIndex configured with 1. The first LTE CRS pattern in this list shall be fully overlapping in frequency with the first LTE CRS pattern in lte-CRS-PatternList, The second LTE CRS pattern in this list shall be fully overlapping in frequency with the second LTE CRS pattern in lte-CRS-PatternList, and so on. Network configures this field only if the field *lte-CRS-ToMatchAround* is not configured and there is at least one ControlResourceSet in one DL BWP of this serving cell with *coresetPoolIndex* set to 1. |
| ***lte-CRS-ToMatchAround***  Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***maxEnergyDetectionThreshold***  Indicates the absolute maximum energy detection threshold value. Unit in dBm. Value -85 corresponds to -85 dBm, value -84 corresponds to -84 dBm, and so on (i.e. in steps of 1dBm) as specified in TS 37.213 [48]. If the field is not configured, the UE shall use a default maximum energy detection threshold value as specified in TS 37.213 [48]. |
| ***pathlossReferenceLinking***  Indicates whether UE shall apply as pathloss reference either the downlink of SpCell (PCell for MCG or PSCell for SCG) or of SCell that corresponds with this uplink (see TS 38.213 [13], clause 7). |
| ***pdsch-ServingCellConfig***  PDSCH related parameters that are not BWP-specific. |
| ***rateMatchPatternToAddModList***  Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology. See TS 38.214 [19], clause 5.1.2.2.3. |
| ***sCellDeactivationTimer***  SCell deactivation timer in TS 38.321 [3]. If the field is absent, the UE applies the value infinity. |
| ***servingCellMO***  *measObjectId* of the *MeasObjectNR* in *MeasConfig* which is associated to the serving cell. For this *MeasObjectNR*, the following relationship applies between this MeasObjectNR and *frequencyInfoDL* in *ServingCellConfigCommon* of the serving cell: if *ssbFrequency* is configured, its value is the same as the *absoluteFrequencySSB* and if *csi-rs-ResourceConfigMobility* is configured, the value of its *subcarrierSpacing* is present in one entry of the *scs-SpecificCarrierList*, *csi-RS-CellListMobility* includes an entry corresponding to the serving cell (with *cellId* equal to *physCellId* in *ServingCellConfigCommon*) and the frequency range indicated by the *csi-rs-MeasurementBW* of the entry in *csi-RS-CellListMobility* is included in the frequency range indicated by in the entry of the *scs-SpecificCarrierList*. |
| ***supplementaryUplink***  Network may configure this field only when *supplementaryUplinkConfig* is configured in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*. |
| ***supplementaryUplinkRelease***  If this field is included, the UE shall release the uplink configuration configured by *supplementaryUplink*. The network only includes either *supplementaryUplinkRelease* or *supplementaryUplink* at a time. |
| ***tag-Id***  Timing Advance Group ID, as specified in TS 38.321 [3], which this cell belongs to. |
| ***tdd-UL-DL-ConfigurationDedicated-iab-mt***  Resource configuration per IAB-MT D/U/F overrides all symbols (with a limitation that effectively only flexible symbols can be overwritten in Rel-16) per slot over the number of slots as provided by *TDD-UL-DL ConfigurationCommon*. |
| ***ul-toDL-COT-SharingED-Threshold***  Maximum energy detection threshold that the UE should use to share channel occupancy with gNB for DL transmission with length no longer than 2, 4, and 8 OFDM symbols for 15Khz, 30Khz, 60KHz SCS respectively, as specified in TS 37.213 [48]. |
| ***uplinkConfig***  Network may configure this field only when *uplinkConfigCommon* is configured in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*. Addition or release of this field can only be done upon SCell addition or release (respectively). |

|  |
| --- |
| *UplinkConfig* field descriptions |
| ***carrierSwitching***  Includes parameters for configuration of carrier based SRS switching (see TS 38.214 [19], clause 6.2.1.3. |
| ***enableDefaultBeamPL-ForPUSCH0-0, enableDefaultBeamPL-ForPUCCH, enableDefaultBeamPL-ForSRS***  When the parameter is present, UE derives the spatial relation and the corresponding pathloss reference Rs as specified in 38.213, clauses 7.1.1, 7.2.1, 7.3.1 and 9.2.2The network only configures these parameters for FR2. |
| ***enablePL-RS-UpdateForPUSCH-SRS***  When this parameter is present, the Rel-16 feature of MAC CE based pathloss RS updates for PUSCH/SRS is enabled. Network only configures this parameter when the UE is configured with *sri-PUSCH-PowerControl*. If this field is not configured, network configures at most 4 pathloss RS resources for PUSCH/PUCCH/SRS transmissions per BWP, not including pathloss RS resources for SRS transmissions for positioning. (See TS 38.213 [13], clause 7). |
| ***firstActiveUplinkBWP-Id***  If configured for an SpCell, this field contains the ID of the UL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.  If configured for an SCell, this field contains the ID of the uplink bandwidth part to be used upon MAC-activation of an SCell. The initial bandwidth part is referred to by BandiwdthPartId = 0. |
| ***initialUplinkBWP***  The dedicated (UE-specific) configuration for the initial uplink bandwidth-part (i.e. UL BWP#0). If any of the optional IEs are configured within this IE as part of the IE *uplinkConfig*, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***powerBoostPi2BPSK***  If this field is set to *true*, the UE determines the maximum output power for PUCCH/PUSCH transmissions that use pi/2 BPSK modulation according to TS 38.101-1 [15], clause 6.2.4. |
| ***pusch-ServingCellConfig***  PUSCH related parameters that are not BWP-specific. |
| ***uplinkBWP-ToAddModList***  The additional bandwidth parts for uplink to be added or modified. In case of TDD uplink- and downlink BWP with the same *bandwidthPartId* are considered as a BWP pair and must have the same center frequency. |
| ***uplinkBWP-ToReleaseList***  The additional bandwidth parts for uplink to be released. |
| ***uplinkChannelBW-PerSCS-List***  A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *UplinkConfigCommon* / *UplinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***uplinkTxSwitchingPeriodLocation***  Indicates whether the location of UL Tx switching period is configured in this uplink carrier in case of inter-band UL CA, SUL, or EN-DC, as specified in TS 38.101-1 [15] and TS 38.101-3 [34]. In case of inter-band UL CA or SUL, network configures this field to TRUE for one of the uplink carriers involved in dynamic UL TX switching and configures this field in the other carrier to FALSE. In case of EN-DC, network always configures this field to TRUE for NR carrier (i.e. with EN-DC, the UL switching period always occurs on the NR carrier). |
| ***uplinkTxSwitchingCarrier***  Indicates that the configured carrier is carrier1 or carrier2 for dynamic uplink Tx switching, as defined in TS 38.101-1 [15] and TS 38.101-3 [34]. In case of inter-band UL CA or SUL, network configures one of the two uplink carriers involved in dynamic UL TX switching as carrier1 and the other as carrier2. In case of EN-DC, network always configures the NR carrier as carrier 2. |

|  |
| --- |
| *DormantBWP-Config* field descriptions |
| ***dormancyGroupWithinActiveTime***  This field contains the ID of an SCell group for Dormancy within active time, to which this SCell belongs. The use of the Dormancy within active time SCell groups is specified in TS 38.213 [13]. |
| ***dormancyGroupOutsideActiveTime***  This field contains the ID of an SCell group for Dormancy outside active time, to which this SCell belongs. The use of the Dormancy outside active time SCell groups is specified in TS 38.213 [13]. |
| ***dormantBWP-Id***  This field contains the ID of the downlink bandwidth part to be used as dormant BWP. If this field is configured, its value is different from *defaultDownlinkBWP-Id*, and at least one of the *withinActiveTimeConfig* and *outsideActiveTimeConfig* should be configured. |
| ***firstOutsideActiveTimeBWP-Id***  This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy outside active time. |
| ***firstWithinActiveTimeBWP-Id***  This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy within active time. |
| ***outsideActiveTimeConfig***  This field contains the configuration to be used for SCell dormancy outside active time, as specified in TS 38.213 [13]. The field can only be present when the cell group the SCell belongs to is configured with *dcp-Config*. |
| ***withinActiveTimeConfig***  This field contains the configuration to be used for SCell dormancy within active time, as specified in TS 38.213 [13]. |

NOTE 1: If the dedicated part of initial UL/DL BWP configuration is absent, the initial BWP can be used but with some limitations. For example, changing to another BWP requires *RRCReconfiguration* since DCI format 1\_0 doesn't support DCI-based switching.

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *AsyncCA* | This field is mandatory present for SCells whose slot offset between the SpCell is not 0. Otherwise it is absent, Need S. |
| *MeasObject* | This field is mandatory present for the SpCell if the UE has a *measConfig*, and it is optionally present, Need M, for SCells. |
| *SCellOnly* | This field is optionally present, Need R, for SCells. It is absent otherwise. |
| *ServingCellWithoutPUCCH* | This field is optionally present, Need S, for SCells except PUCCH SCells. It is absent otherwise. |
| *SyncAndCellAdd* | This field is mandatory present for a SpCell upon PCell change and PSCell addition/change and upon *RRCSetup*/*RRCResume*.  The field is mandatory present for an SCell upon addition.  For SpCell, the field is optionally present, Need N, upon reconfiguration without *reconfigurationWithSync*.  In all other cases the field is absent. |
| *TDD* | This field is optionally present, Need R, for TDD cells. It is absent otherwise. |
| *TDD\_IAB* | For IAB-MT, this field is optionally present, Need R, for TDD cells. It is absent otherwise. |