**3GPP TSG-RAN WG2 Meeting #110-e R2-200xxxx**

**1 June – 12 June 2020**

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| *CR-Form-v11.2.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.306** | **CR** | **0347** | **rev** | **1** | **Current version:** | **15.9.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | UE Capability Enhancement for FR1(TDD/FDD) / FR2 CA and DC | | | | | | | | | |
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| ***Source to WG:*** | Qualcomm Incorporated | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
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| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2020-06-01 |
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| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-15 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
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| ***Reason for change:*** | | **Current Signaling Limitation:** If UL CA on FDD TDD is supported by the UE then, currently there is no way for the network to distinguish between:   * FDD(PCell) + TDD(SCell) and * TDD(PCell) + FDD(SCell).   From a 3GPP perspective, network shall consider both combinations to be supported.  The distinction between the two configurations is necessary as each configuration requires a separate interoperability testing. UE Capability is motivated to ensure that the network is aware of which feature(s) the UE has been tested as part of the interoperability tests.  LTE had already introduced a per-UE capability ***tdd-FDD-CA-PCellDuplex*** in Rel. 12 to provide the same capability as shown above. | | | | | | | | |
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| ***Summary of change:*** | | The proposal adds 9 new per UE capability flavors to indicate which one is supported on the SpCell.  CarrierAggregationVariantcapability was added for (NG)EN-DC, NR SA, NR-DC and NE-DC with these variants:  fr1fdd-FR1TDD-CA-SpCellOnFR1FDD  fr1fdd-FR1TDD-CA-SpCellOnFR1TDD  fr1fdd-FR2TDD-CA-SpCellOnFR1FDD  fr1fdd-FR2TDD-CA-SpCellOnFR2TDD  fr1tdd-FR2TDD-CA-SpCellOnFR1TDD  fr1tdd-FR2TDD-CA-SpCellOnFR2TDD  fr1fdd-FR1TDD-FR2TDD-CA-SpCellOnFR1FDD  fr1fdd-FR1TDD-FR2TDD-CA-SpCellOnFR1TDD  fr1fdd-FR1TDD-FR2TDD-CA-SpCellOnFR2TDD  **Impact Analysis:**  Impacted 5G architecture options:  (NG)EN-DC, NR SA, NE-DC, NR-DC  Impacted functionality:  Duplex mode of operation in CA.  Interoperability issue:   * if the network is implemented according to the CR and the UE is not,   network may assume that the UE support PCell/PSCell on any of the bands which has a FeatureSetUplink in the band combination.   * if the UE is implemented according to the CR and the network is not,   The network may misconfigure the UE with PCell/PSCell on a band on which UE has not indicated support. | | | | | | | | |
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| ***Consequences if not approved:*** | | UE may be deployed with a capability that has not been interoperability tested, resulting in undefined performance. | | | | | | | | |
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| ***Clauses affected:*** | | 4.2.7.9 & 4.2.7.10, 4.2.7.xx (new) | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS/TR 38.331 CR 1684 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
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| ***Other comments:*** | |  | | | | | | | | |

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| Start of changes |

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| Change 1 |

4.2.7.9 *MRDC-Parameters*

| **Definitions for parameters** | **Per** | **M** | **FDD-TDD**  **DIFF** | **FR1-FR2**  **DIFF** |
| --- | --- | --- | --- | --- |
| ***asyncIntraBandENDC***  Indicates whether the UE supports asynchronous FDD-FDD intra-band EN-DC with MRTD and MTTD as specified in clause 7.5 and 7.6 of TS 38.133 [5]. If asynchronous FDD-FDD intra-band EN-DC is not supported, the UE supports only synchronous FDD-FDD intra-band EN-DC. | BC | No | FDD only | FR1 only |
| ***dualPA-Architecture***  For an intra-band band combination, this field indicates the support of dual PAs. If absent in an intra-band band combination, the UE supports single PA for all the ULs in the intra-band band combination. For other band combinations, this field is not applicable. | BC | No | No | No |
| ***dynamicPowerSharingENDC***  Indicates whether the UE supports dynamic (NG)EN-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability the UE supports the dynamic power sharing behaviour as specified in clause 7 of TS 38.213 [11]. | BC | Yes | No | FR1 only |
| ***dynamicPowerSharingNEDC***  Indicates whether the UE supports dynamic NE-DC power sharing between NR FR1 carriers and the LTE carriers. If the UE supports this capability, the UE supports the dynamic power sharing behavior as specified in clause 7 of TS 38.213 [11]. | BC | Yes | No | FR1 only |
| ***intraBandENDC-Support***  Indicates whether the UE supports intra-band EN-DC with only non-contiguous spectrum, or with both contiguous and non-contiguous spectrum for the EN-DC combination as specified in TS 38.101-3 [4].  If the UE does not include this field for an intra-band EN-DC combination the UE only supports the contiguous spectrum for the intra-band EN-DC combination. | BC | No | No | No |
| ***interBandContiguousMRDC***  Indicates for an inter-band (NG)EN-DC/NE-DC combination, where the frequency range of the E-UTRA band is a subset of the frequency range of the NR band (as specified in Table 5.5B.4.1-1 of TS 38.101-3 [4]), that the UE supports intra-band contiguous (NG)EN-DC/NE-DC requirements (see TS 38.101-3 [4]). If the field is absent for such an inter-band (NG)EN-DC/NE-DC combination, the UE supports intra-band non-contiguous (NG)EN-DC/NE-DC requirements. | BC | CY | No | No |
| ***simultaneousRxTxInterBandENDC***  Indicates whether the UE supports simultaneous transmission and reception in TDD-TDD and TDD-FDD inter-band EN-DC. It is mandatory for certain TDD-FDD and TDD-TDD band combinations defined in TS 38.101-3 [4]. | BC | CY | No | No |
| ***singleUL-Transmission***  Indicates that the UE does not support simultaneous UL transmissions as defined in TS 38.101-3 [4]. The UE may only include this field for certain band combinations defined in TS 38.101-3 [4]. If included for a particular band combination, the field applies to all fallback band combinations of this band combination that are defined in TS 38.101-3 [4] as being allowed to include this field and does not apply to any other fallback band combinations defined in TS 38.101-3 [4]. | BC | No | No | No |
| ***spCellPlacement***  Indicates whether the UE supports a SpCell on FR1-FDD, FR1-TDD and/or FR2-TDD depending on which additional SCells of other frequency range(s) / duplex mode(s) are configured. It is applicable to SCG of (NG)EN-DC and MCG of NE-DC. If not included, the UE supports SpCell on any serving cell with UL in the carrier aggregation variants as defined in *CarrierAggregationVariant*. | UE | No | No | No |
| ***tdm-Pattern***  Indicates whether the UE supports the *tdm-PatternConfig* for *single UL-transmission* associated functionality, as specified in TS 36.331 [17]. Support is conditionally mandatory in (NG)EN-DC for UEs that do not support dynamicPowerSharingENDC and for UEs that indicate single UL transmission for any (NG)EN-DC BC. Support is conditionally mandatory in NE-DC for UEs that do not support dynamicPowerSharingNEDC and for UEs that indicate single UL transmission for any NE-DC BC. The feature is optional otherwise. | BC | CY | Yes | Yes |
| ***ul-SharingEUTRA-NR***  Indicates whether the UE supports EN-DC with EUTRA-NR coexistence in UL sharing via TDM only, FDM only, or both TDM and FDM from UE perspective as specified in TS 38.101-3 [4]. | BC | No | No | FR1 only |
| ***ul-SwitchingTimeEUTRA-NR***  Indicates support of switching type between LTE UL and NR UL for EN-DC with LTE-NR coexistence in UL sharing from UE perspective as defined in clause 6.3B of TS 38.101-3 [4]. It is mandatory to report switching time type 1 or type 2 if UE reports *ul-SharingEUTRA-NR* is *tdm* or *both*. | BC | CY | No | FR1 only |
| ***ul-TimingAlignmentEUTRA-NR***  Indicates whether to apply the same UL timing between NR and LTE for dynamic power sharing capable UE operating in a synchronous intra-band contiguous (NG)EN-DC. If this field is absent, UE shall be capable of handling a timing difference up to applicable MTTD requirements when operating in a synchronous intra-band contiguous (NG)EN-DC network, as specified in TS 38.133 [5]. If this capability is included in an inter-band (NG)EN-DC BC with an intra-band (NG)EN-DC BC part, this capability is used to indicate the restriction to the intra-band (NG)EN-DC BC part. | BC | No | No | No |

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| Change 2 |

#### 4.2.7.10 *Phy-Parameters*

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
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| ***absoluteTPC-Command***  Indicates whether the UE supports absolute TPC command mode. | UE | No | No | Yes |
| ***almostContiguousCP-OFDM-UL***  Indicates whether the UE supports almost contiguous UL CP-OFDM transmissions as defined in clause 6.2 of TS 38.101-1 [2]. | UE | No | No | Yes |
| ***bwp-SwitchingDelay***  Defines whether the UE supports DCI and timer based active BWP switching delay type1 or type2 specified in clause 8.6.2 of TS 38.133 [5]. It is mandatory to report type 1 or type 2. | UE | Yes | No | No |
| ***cbg-FlushIndication-DL***  Indicates whether the UE supports CBG-based (re)transmission for DL using CBG flushing out information (CBGFI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-DL***  Indicates whether the UE supports CBG-based (re)transmission for DL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-UL***  Indicates whether the UE supports CBG-based (re)transmission for UL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***configuredUL-GrantType1***  Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***configuredUL-GrantType2***  Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***cqi-TableAlt***  Indicates whether UE supports the CQI table with target BLER of 10^-5. | UE | No | No | Yes |
| ***csi-ReportFramework***  See *csi-ReportFramework* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-ReportWithoutCQI***  Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-ReportWithoutPMI***  Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/CQI' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-RS-CFRA-ForHO***  Indicates whether the UE can perform reconfiguration with sync using a contention free random access on PRACH resources that are associated with CSI-RS resources of the target cell. | UE | No | No | No |
| ***csi-RS-IM-ReceptionForFeedback***  See *csi-RS-IM-ReceptionForFeedback* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-RS-ProcFrameworkForSRS***  See *csi-RS-ProcFrameworkForSRS* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | No | No | No |
| ***dl-64QAM-MCS-TableAlt***  Indicates whether the UE supports the alternative 64QAM MCS table for PDSCH. | UE | No | No | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeA***  Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A. | UE | Yes | Yes | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeB***  Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B. | UE | Yes | Yes | Yes |
| ***downlinkSPS***  Indicates whether the UE supports PDSCH reception based on semi-persistent scheduling. | UE | No | No | No |
| ***dynamicBetaOffsetInd-HARQ-ACK-CSI***  Indicates whether the UE supports indicating beta-offset (UCI repetition factor onto PUSCH) for HARQ-ACK and/or CSI via DCI among the RRC configured beta-offsets. | UE | No | No | No |
| ***dynamicHARQ-ACK-Codebook***  Indicates whether the UE supports HARQ-ACK codebook dynamically constructed by DCI(s). This field shall be set to *supported*. | UE | Yes | No | No |
| ***dynamicHARQ-ACK-CodeB-CBG-Retx-DL***  Indicates whether the UE supports HARQ-ACK codebook size for CBG-based (re)transmission based on the DAI-based solution as specified in TS 38.213 [11]. | UE | No | No | No |
| ***dynamicPRB-BundlingDL***  Indicates whether UE supports DCI-based indication of the PRG size for PDSCH reception. | UE | No | No | No |
| ***dynamicSFI***  Indicates whether the UE supports monitoring for DCI format 2\_0 and determination of slot formats via DCI format 2\_0. | UE | No | Yes | Yes |
| ***dynamicSwitchRA-Type0-1-PDSCH***  Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PDSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***dynamicSwitchRA-Type0-1-PUSCH***  Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PUSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***mux-HARQ-ACK-PUSCH-DiffSymbol***  Indicates whether the UE supports HARQ-ACK piggyback on a PUSCH with/without aperiodic CSI once per slot when the starting OFDM symbol of the PUSCH is different from the starting OFDM symbols of the PUCCH resource that HARQ-ACK would have been transmitted on. | UE | Yes | No | Yes |
| ***mux-MultipleGroupCtrlCH-Overlap***  Indicates whether the UE supports more than one group of overlapping PUCCHs and PUSCHs per slot per PUCCH cell group for control multiplexing. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-MultiPerSlot***  Indicates whether the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH more than once per slot when SR, HARQ-ACK and CSI are supposed to be sent with the same or different starting symbol in a slot. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-OncePerSlot***  *sameSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the same starting symbols on the PUCCH resources in a slot. *diffSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the different starting symbols in a slot. The UE is mandated to support the multiplexing and piggybacking features indicated by *sameSymbol* while the UE is optional to support the multiplexing and piggybacking features indicated by *diffSymbol*.  If the UE indicates *sameSymbol* in this field and does not support *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot, when the starting OFDM symbol of the PUSCH is the same as the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on.  If the UE indicates *sameSymbol* in this field and supports *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot for which case the starting OFDM symbol of the PUSCH is the different from the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on. | UE | FD | No | Yes |
| ***mux-SR-HARQ-ACK-PUCCH***  Indicates whether the UE supports multiplexing SR and HARQ-ACK on a PUCCH or piggybacking on a PUSCH once per slot, when SR and HARQ-ACK are supposed to be sent with the different starting symbols in a slot. | UE | No | No | Yes |
| ***nzp-CSI-RS-IntefMgmt***  Indicates whether the UE supports interference measurements using NZP CSI-RS. | UE | No | No | No |
| ***oneFL-DMRS-ThreeAdditionalDMRS-UL***  Defines whether the UE supports DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | UE | No | No | Yes |
| ***oneFL-DMRS-TwoAdditionalDMRS-UL***  Defines support of DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | UE | Yes | No | Yes |
| ***onePortsPTRS***  Defines whether UE supports PT-RS with 1 antenna port in DL reception and/or UL transmission. It is mandatory with UE capability signalling for FR2 and optional for FR1. The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | CY | No | Yes |
| ***onePUCCH-LongAndShortFormat***  Indicates whether the UE supports transmission of one long PUCCH format and one short PUCCH format in TDM in the same slot. | UE | No | No | Yes |
| ***pCell-FR2***  Indicates whether the UE supports PCell operation on FR2. | UE | Yes | No | FR2 only |
| ***pdcch-MonitoringSingleOccasion***  Indicates whether the UE supports receiving PDCCH scrambled with C-RNTI or CS-RNTI in a search space configured to be monitored within a single span of any three contiguous OFDM symbols in a slot with the capability of supporting at least 44 blind decodes in a slot for 15 kHz subcarrier spacing. | UE | No | No | FR1 only |
| ***pdcch-BlindDetectionCA***  Indicates PDCCH blind decoding capabilities supported by the UE for CA with more than 4 CCs as specified in TS 38.213 [11]. The field value is from 4 to 16.  NOTE: FR1-FR2 differentiation is not allowed in this release, although the capability signalling is supported for FR1-FR2 differentiation. | UE | No | No | No |
| ***pdcch-BlindDetectionMCG-UE***  Indicates PDCCH blind decoding capabilities supported for MCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].  Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdcch-BlindDetectionSCG-UE***  Indicates PDCCH blind decoding capabilities supported for SCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].  Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdsch-256QAM-FR1***  Indicates whether the UE supports 256QAM modulation scheme for PDSCH for FR1 as defined in 7.3.1.2 of TS 38.211 [6]. | UE | Yes | No | FR1 only |
| ***pdsch-MappingTypeA***  Indicates whether the UE supports receiving PDSCH using PDSCH mapping type A with less than seven symbols. This field shall be set to *supported*. | UE | Yes | No | No |
| ***pdsch-MappingTypeB***  Indicates whether the UE supports receiving PDSCH using PDSCH mapping type B. | UE | Yes | No | No |
| ***pdsch-RepetitionMultiSlots***  Indicates whether the UE supports receiving PDSCH scheduled by DCI format 1\_1 when configured with higher layer parameter *pdsch-AggregationFactor* > 1, as defined in 5.1.2.1 of TS 38.214 [12]. | UE | No | No | No |
| ***pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot***  Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR1, each described as a resource (including NZP/ZP CSI-RS, CRS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CCare limited by the respective capability parameters. Value n10 means 10 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR1 only |
| ***pdsch-RE-MappingFR2-PerSymbol/pdsch-RE-MappingFR2-PerSlot***  Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR2, each described as a resource (including NZP/ZP CSI-RS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CC are limited by the respective capability parameters. Value n6 means 6 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR2 only |
| ***precoderGranularityCORESET***  Indicates whether the UE supports receiving PDCCH in CORESETs configured with CORESET-precoder-granularity equal to the size of the CORESET in the frequency domain as specified in TS 38.211 [6]. | UE | No | No | No |
| ***pre-EmptIndication-DL***  Indicates whether the UE supports interrupted transmission indication for PDSCH reception based on reception of DCI format 2\_1 as defined in TS 38.213 [11]. | UE | No | No | No |
| ***pucch-F2-WithFH***  Indicates whether the UE supports transmission of a PUCCH format 2 (2 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-WithFH***  Indicates whether the UE supports transmission of a PUCCH format 3 (4~14 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-4-HalfPi-BPSK***  Indicates whether the UE supports pi/2-BPSK for PUCCH format 3/4 as defined in 6.3.2.6 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. | UE | CY | No | Yes |
| ***pucch-F4-WithFH***  Indicates whether the UE supports transmission of a PUCCH format 4 (4~14 OFDM symbols in total) with frequency hopping in a slot. | UE | Yes | No | Yes |
| ***pusch-RepetitionMultiSlots***  Indicates whether the UE supports transmitting PUSCH scheduled by DCI format 0\_1 when configured with higher layer parameter *pusch-AggregationFactor* > 1, as defined in clause 6.1.2.1 of TS 38.214 [12]. | UE | Yes | No | No |
| ***pucch-Repetition-F1-3-4***  Indicates whether the UE supports transmission of a PUCCH format 1 or 3 or 4 over multiple slots with the repetition factor 2, 4 or 8. | UE | Yes | No | No |
| ***pusch-HalfPi-BPSK***  Indicates whether the UE supports pi/2-BPSK modulation scheme for PUSCH as defined in 6.3.1.2 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. | UE | CY | No | Yes |
| ***pusch-LBRM***  Indicates whether the UE supports limited buffer rate matching in UL as specified in TS 38.212 [10]. | UE | No | No | Yes |
| ***ra-Type0-PUSCH***  Indicates whether the UE supports resource allocation Type 0 for PUSCH as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingCtrlResrcSetDynamic***  Indicates whether the UE supports dynamic rate matching for DL control resource set. | UE | Yes | No | No |
| ***rateMatchingResrcSetDynamic***  Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity based on dynamic indication in the scheduling DCI as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingResrcSetSemi-Static***  Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity following the semi-static configuration as specified in TS 38.214 [12]. | UE | Yes | No | No |
| ***scs-60kHz***  Indicates whether the UE supports 60kHz subcarrier spacing for data channel in FR1 as defined in clause 4.2-1 of TS 38.211 [6]. | UE | No | No | FR1 only |
| ***semiOpenLoopCSI***  Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1/CQI ' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***semiStaticHARQ-ACK-Codebook***  Indicates whether the UE supports HARQ-ACK codebook constructed by semi-static configuration. | UE | Yes | No | No |
| ***spatialBundlingHARQ-ACK***  Indicates whether the UE supports spatial bundling of HARQ-ACK bits carried on PUCCH or PUSCH per PUCCH group. With spatial bundling, two HARQ-ACK bits for a DL MIMO data is bundled into a single bit by logical "AND" operation. | UE | Yes | No | No |
| ***spCellPlacement***  Indicates whether the UE supports a SpCell on FR1-FDD, FR1-TDD and/or FR2 depending on which additional SCells of other frequency range(s) / duplex mode(s) are configured. It is applicable to NR SA and NR-DC (both MCG and SCG). If not included, the UE supports SpCell on any serving cell with UL in the carrier aggregation variants as defined in *CarrierAggregationVariant*. | UE | No | No | No |
| ***sp-CSI-IM***  Indicates whether the UE supports semi-persistent CSI-IM. | UE | No | No | Yes |
| ***sp-CSI-ReportPUCCH***  Indicates whether UE supports semi-persistent CSI reporting using PUCCH formats 2, 3 and 4. | UE | No | No | No |
| ***sp-CSI-ReportPUSCH***  Indicates whether UE supports semi-persistent CSI reporting using PUSCH. | UE | No | No | No |
| ***sp-CSI-RS***  Indicates whether the UE supports semi-persistent CSI-RS. | UE | Yes | No | Yes |
| ***supportedDMRS-TypeDL***  Defines supported DM-RS configuration types at the UE for DL reception. Type 1 is mandatory with capability signaling. Type 2 is optional. | UE | CY | No | Yes |
| ***supportedDMRS-TypeUL***  Defines supported DM-RS configuration types at the UE for UL transmission. Support of both type 1 and type 2 is mandatory with capability signalling. | UE | Yes | No | Yes |
| ***tdd-MultiDL-UL-SwitchPerSlot***  Indicates whether the UE supports more than one switch points in a slot for actual DL/UL transmission(s). | UE | No | TDD only | Yes |
| ***tpc-PUCCH-RNTI***  Indicates whether the UE supports group DCI message based on TPC-PUCCH-RNTI for TPC commands for PUCCH. | UE | No | No | Yes |
| ***tpc-PUSCH-RNTI***  Indicates whether the UE supports group DCI message based on TPC-PUSCH-RNTI for TPC commands for PUSCH. | UE | No | No | Yes |
| ***tpc-SRS-RNTI***  Indicates whether the UE supports group DCI message based on TPC-SRS-RNTI for TPC commands for SRS. | UE | No | No | Yes |
| ***twoDifferentTPC-Loop-PUCCH***  Indicates whether the UE supports two different TPC loops for PUCCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoDifferentTPC-Loop-PUSCH***  Indicates whether the UE supports two different TPC loops for PUSCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoFL-DMRS***  Defines whether the UE supports DM-RS pattern for DL reception and/or UL transmission with 2 symbols front-loaded DM-RS without additional DM-RS symbols.  The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | Yes | No | Yes |
| ***twoFL-DMRS-TwoAdditionalDMRS-UL***  Defines whether the UE supports DM-RS pattern for UL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | UE | Yes | No | Yes |
| ***twoPUCCH-AnyOthersInSlot***  Indicates whether the UE supports transmission of two PUCCH formats in TDM in the same slot, which are not covered by *twoPUCCH-F0-2-ConsecSymbols* and *onePUCCH-LongAndShortFormat*. | UE | No | No | Yes |
| ***twoPUCCH-F0-2-ConsecSymbols***  Indicates whether the UE supports transmission of two PUCCHs of format 0 or 2 in consecutive symbols in a slot. | UE | No | Yes | Yes |
| ***type1-PUSCH-RepetitionMultiSlots***  Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-PUSCH-RepetitionMultiSlots***  Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-SP-CSI-Feedback-LongPUCCH***  Indicates whether UE supports Type II CSI semi-persistent CSI reporting over PUCCH Formats 3 and 4 as defined in clause 5.2.4 of TS 38.214 [12]. | UE | No | No | No |
| ***uci-CodeBlockSegmentation***  Indicates whether the UE supports segmenting UCI into multiple code blocks depending on the payload size. | UE | Yes | No | Yes |
| ***ul-64QAM-MCS-TableAlt***  Indicates whether the UE supports the alternative 64QAM MCS table for PUSCH with and without transform precoding respectively. | UE | No | No | Yes |
| ***ul-SchedulingOffset***  Indicates whether the UE supports UL scheduling slot offset (K2) greater than 12. | UE | Yes | Yes | Yes |

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| Change 3 |

#### 4.2.7.xx *CarrierAggregationVariant*

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
| --- | --- | --- | --- | --- |
| ***fr1fdd-FR1TDD-CA-SpCellOnFR1FDD***  Indicates whether the UE supports an FR1-FDD SpCell in any supported band combination where UL is supported in FR1-FDD and FR1-TDD, but not in FR2-TDD. | UE | No | No | No |
| ***fr1fdd-FR1TDD-CA-SpCellOnFR1TDD***  Indicates whether the UE supports an FR1-TDD SpCell in any supported band combination where UL is supported in FR1-FDD and FR1-TDD, but not in FR2-TDD. | UE | No | No | No |
| ***fr1fdd-FR1TDD-FR2TDD-CA-SpCellOnFR1FDD***  Indicates whether the UE supports an FR1-FDD SpCell in any supported band combination where UL is supported in FR1-FDD, FR1-TDD and FR2-TDD. | UE | No | No | No |
| ***fr1fdd-FR1TDD-FR2TDD-CA-SpCellOnFR1TDD***  Indicates whether the UE supports an FR1-TDD SpCell in any supported band combination where UL is supported in FR1-FDD, FR1-TDD and FR2-TDD. | UE | No | No | No |
| ***fr1fdd-FR1TDD-FR2TDD-CA-SpCellOnFR2TDD***  Indicates whether the UE supports an FR2-TDD SpCell in any supported band combination where UL is supported in FR1-FDD, FR1-TDD and FR2-TDD. | UE | No | No | No |
| ***fr1fdd-FR2TDD-CA-SpCellOnFR1FDD***  Indicates whether the UE supports an FR1-FDD SpCell in any supported band combination where UL is supported in FR1-FDD and FR2-TDD, but not in FR1-TDD. | UE | No | No | No |
| ***fr1fdd-FR2TDD-CA-SpCellOnFR2TDD***  Indicates whether the UE supports an FR2-TDDin any supported band combination where UL is supported in FR1-FDD and FR2-TDD, but not in FR1-TDD. | UE | No | No | No |
| ***fr1tdd-FR2TDD-CA-SpCellOnFR1TDD***  Indicates whether the UE supports an FR1-TDD SpCell in any supported band combination where UL is supported in FR1-TDD and FR2-TDD, but not in FR1-FDD. | UE | No | No | No |
| ***fr1tdd-FR2TDD-CA-SpCellOnFR2TDD***  Indicates whether the UE supports an FR2 TDD SpCell (in any supported band combination where UL is supported in FR1-TDD and FR2-TDD, but no in FR1-FDD. | UE | No | No | No |

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| End of changes |