3GPP TSG RAN WG2 Meeting #110-e R2-200xxxx

**Electronic meeting,** **1st – 12th June 2020**

**Agenda item:** 6.11.1

**Source:** Intel Corporation

**Title:** Report of email discussion [Post109bis-e][941][PowSav] UE capabilities

**Document for:**  Discussion and decision

# Introduction

This contribution discusses the new RAN2 UE capabilities required to specify the features defined for Rel-16 UE power saving WI considering the related RAN2#109bis-e agreements and the related drafted CRs 38.306 [1] and 38.331 [2] under this email discussion until next RAN2#110-e meeting.

**[Post109bis-e][941][PowSav] UE capabilities (Intel)**

Intended outcome: draft CR for 38.306 and 38.331 to reflect the latest RAN2 capabilities agreements (on R2 capabilities and the description of related field on 38.331 email discussion) and to discuss if any new R2 capability is required

Deadline: Next Meeting

The deadline of this email discussion #941 is 2020-05-20 23:59 PST, however we suggest having 2 phases:

* **Phase 1** until 2020-**05-14** 23:59 PST for companies to provide their views on the discussion points listed that are related to the RAN2#109bis-e agreements and the drafted CRs to 38.306 and 38.331.
* **Phase 2** until 2020-**05-20** 23:59 PST for companies to provide their views on new discussion points and/or updated CRs, if this is identified necessary by rapporteur based on companies’ inputs during phase 1.

# Discussion

## Review of the drafted CRs on the new RAN2 UE capabilities for Rel-16 POW-SAV WI

This section discusses the drafted CRs to 38.306 and 38.331 that capture the following agreements on RAN2 UE capabilities taken in RAN2#109bis-e meeting:

1. *A new UE capability (referred e.g. as drx-Preference) is defined to indicate its preference on DRX parameters for power saving in RRC\_CONNECTED. It is included in section 4.2.2 “General parameters” of TS 38.306.*
2. *A new UE capability (referred e.g. as maxBW-Preference) is defined to indicate its preference on the maximum aggregated bandwidth for power saving in RRC\_CONNECTED. It is included in section 4.2.2 “General parameters” of TS 38.306.*
3. *A new UE capability (referred e.g. as maxCC-Preference) is defined to indicate its preference on the maximum number of secondary component carriers for power saving in RRC\_CONNECTED. It is included in section 4.2.2 “General parameters” of TS 38.306.*
4. *A new UE capability (referred e.g. as maxMIMO-LayerPreference) is defined to indicate its preference on the maximum number of MIMO layers for power saving in RRC\_CONNECTED. It is included in section 4.2.2 “General parameters” of TS 38.306.*
5. *It is not agreed to bundle into a separate new UE capability the support for UE’s assistance of the maxCC, maxBW and maxMIMO.*
6. *A new UE capability (referred e.g. as release-Preference) is defined to indicate its preference assistance information to transition out of RRC\_CONNECTED for power saving. It is included in section 4.2.2 “General parameters” of TS 38.306.*
7. *New UE capability is defined for the relaxed measurement feature without signalling to the gNB, i.e. gNB will not know whether a UE supports or not this feature. It is included in section 5 “Optional features without UE radio access capability parameters” of TS 38.306.*
8. *For drx-Preference, maxCC-Preference, release-Preference, maxMIMO-LayerPreference, and maxBW-Preference, its characteristics are defined as as “Per: UE” (to the characteristic associated to the UE), “M: No” (to the characteristic associated with mandatory/optional features), “FDD-TDD DIFF: No” (to the characteristic associated with the applicability for FDD/TDD).*
9. *For drx-Preference, maxCC-Preference, and release-Preference, its characteristics are defined as “FR1-FR2 DIFF: No” (to the characteristic associated to FR1/FR2 equally applicable for FR1/FR2).*
10. *For maxMIMO-LayerPreference, and maxBW-Preference, its characteristics are defined as “FR1-FR2 DIFF: Yes” (to the characteristic associated to FR1/FR2 are not equally applicable for FR1/FR2).*
11. *Rel-16 capability maxMIMO-LayerPreference is not linked to Rel-15 capability maxLayersMIMO-Indication*

Note that these drafted CRs may have further updates based on companies’ views provided in the section 2.2 below.

### Discussion point 1 in 38.306 drafted CR on RAN2 UE capabilities

1. Companies are invited to provide their views on the 38.306 drafted CR [1] that aims to capture the RAN2 UE capability related agreements shown above.

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| **Company’s name** | **Company’s comments, if any** |
| OPPO | We think some description of relaxed measurement should be given in the table of section 5, e.g. relax RRM measurement for neighbouring cells when UE is in RRC IDLE/INACTIVE mode. |
| Ericsson | We share the view from OPPO. Adding on to the suggested wording:Indicates whether the UE supports relaxed RRM measurements of neighbour cells in RRC\_IDLE/RRC\_INACTIVE as specified in TS 38.304 [xx].[xx] 3GPP TS 38.304: "User Equipment (UE) procedures in Idle mode and RRC Inactive state". |
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|  |  |

**Summary of inputs provided on Discussion point 1):** 2 companies (OPPO and Ericsson) suggest adding further description for the relaxed measurement capability in section 5.

1. [38.306 CR] The description of the relaxed measurement capability in section 5 is updated to include “*Indicates whether the UE supports relaxed RRM measurements of neighbour cells in RRC\_IDLE/RRC\_INACTIVE as specified in TS 38.304 [xx]*” (as shown in TP of version \_v3 of 38.306 CR).
2. Companies are invited to provide their views if they do not agree or want to suggest an update on Proposal 1.

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| **Company’s name** | **Company’s comments, if any** |
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### Discussion point 2 - 4 in 38.331 drafted CR on RAN2 UE capabilities

1. How to do you prefer capturing the POW-SAV feature within the *UE-NR-Capability* IE? Note that exemplary ASN.1 of a similar legacy structure is also added as reference in Annex A.
2. All power saving features are bundled together in ASN.1 within a POW-SAV group, for example, as shown in **version #2** of drafted CR to 38.331 (reference of related TP also copied below).

UE-NR-Capability-v16xy ::=               SEQUENCE {

   inDeviceCoexInd-r16 E NUMERATED {supported} OPTIONAL,

   dl-DedicatedMessageSegmentation-r16 ENUMERATED OPTIONAL,

   nru-Parameters-r16 NRU-Parameters-r16 OPTIONAL,

   pow-sav-Preference-r16 POW-SAV-Preference-r16 OPTIONAL,

   fr1-Add-UE-NR-Capabilities-v16xy UE-NR-CapabilityAddFRX-Mode-v16xy OPTIONAL,

   fr2-Add-UE-NR-Capabilities-v16xy UE-NR-CapabilityAddFRX-Mode-v16xy OPTIONAL,

   nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v16xy ::=    SEQUENCE {

   pow-sav-PreferencesFRX-Diff     POW-SAV-PreferencesFRX-Diff                OPTIONAL

}

-- TAG-POW-SAV-PREFERENCES-START

POW-SAV-Preference-r16 ::=         SEQUENCE {

   pow-sav-PreferencesCommon POW-SAV-PreferencesCommon OPTIONAL,

   pow-sav-PreferencesFRX-Diff POW-SAV-PreferencesFRX-Diff OPTIONAL,

    ...

}

POW-SAV-PreferencesCommon ::=   SEQUENCE {

    drx-Preference-r16 ENUMERATED {supported} OPTIONAL,

    maxCC-Preference-r16 ENUMERATED {supported} OPTIONAL,

    release-Preference-r16 ENUMERATED {supported} OPTIONAL,

    ...

}

POW-SAV-PreferencesFRX-Diff ::= SEQUENCE {

    maxBW-Preference-r16 ENUMERATED {supported} OPTIONAL,

    maxMIMO-LayerPreference-r16 ENUMERATED {supported} OPTIONAL,

    ...

}

-- TAG-POW-SAV-PREFERENCES-STOP

1. Each power saving features is captured in ASN.1 separately, for example, as shown in **version #0** of drafted CR to 38.331 (reference of related TP also copied below).

UE-NR-Capability-v16xy ::= SEQUENCE {

 inDeviceCoexInd-r16 ENUMERATED {supported} OPTIONAL,

 dl-DedicatedMessageSegmentation-r16 ENUMERATED {supported} OPTIONAL,

 nru-Parameters-r16 NRU-Parameters-r16 OPTIONAL,

 drx-Preference-r16 ENUMERATED {supported} OPTIONAL,

 maxBW-Preference-r16 ENUMERATED {supported} OPTIONAL,

 maxCC-Preference-r16 ENUMERATED {supported} OPTIONAL,

 maxMIMO-LayerPreference-r16 ENUMERATED {supported} OPTIONAL,

 release-Preference-r16 ENUMERATED {supported} OPTIONAL,

 fr1-Add-UE-NR-Capabilities-v16xy UE-NR-CapabilityAddFRX-Mode-v16xy OPTIONAL,

 fr2-Add-UE-NR-Capabilities-v16xy UE-NR-CapabilityAddFRX-Mode-v16xy OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v16xy ::= SEQUENCE {

 maxBW-Preference-r16 ENUMERATED {supported} OPTIONAL,

 maxMIMO-LayerPreference-r16 ENUMERATED {supported} OPTIONAL

}

1. If other approach is preferable, please explain your motivation and your suggested TP.

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| **Company’s name** | **Option** | **Company’s comments, if any** |
| Intel | Option a) |  |
| OPPO | Option a) or Option b) | Both options are ok for us. |
| Huawei | Option a) or b) | Both are ok.  |
| Sony | Option a) or b) | No strong view. Option b) is more straight forward, while option a) is more clear for a power save point of view . Though there may be a risk in future when adding new capabilities, how to group those.  |
| ZTE | Option a) |  |
| CATT | Option a) | We think “pow-sav-Parameters-r16” would be more appropriate than “pow-sav-Preference-r16” though. |
| Ericsson | Option a) | Also a preference to use “pow-sav-Parameters-r16”, “pow-sav-ParametersCommon” and “pow-savParametersFRX-Diff”. |
| MediaTek | Option a) | I assume *UE-NR-CapabilityAddFRX-Mode-v16xy* is not needed in option a, as *pow-sav-PreferencesFRX-Diff* is present in *POW-SAV-Preference-r16*? Also agree with CATT that it should refer to ‘parameters’ and not ‘preferences’ |
| vivo | Option a) or b) | Both are OK.  |
| Apple | Option b) or a) | Option b) would be more preferred, as it is straight forward and allows for future scalability. If not option b), then option a). |

1. If companies supported option a) in previous discussion point 2) or other approach that also requires the usage of the “UE power saving” terminology. Companies are invited to provide their views on the preferable term to be used when abbreviating “UE power saving” reference in 38.331 drafted CR [1] considering e.g. POW-SAV (currently used on version \_v2), PS, UPS or UPW.

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| **Company’s name** | **Preferred terminology** | **Company’s comments, if any** |
| Intel | POW-SAV | We are OK going with majority view on the term to use |
| OPPO | PS or UPS | It is brief and clear to abbreviating with the capitals.  |
| Huawei | PS or UPS |  |
| SONY | PS or POW-SAV | PS is shorter. UPS may relate to packet delivery outside 3GPP. |
| ZTE | PS |  |
| CATT | POW-SAV | No strong view though. |
| Ericsson | PS or UPS | In case of pow-sav this should not be in capitals, or? |
| MediaTek | powSav | PS typically refers to the protocol stack, while UPS is a common reference to United Parcel Service.Following the identifier naming rules in RRC (A.3.1.2) the field identifier should be *powSavParameters* while the type identifier should be *PowSavParameters*. Only the first letter of an abbreviated word is in upper case and no hyphens are needed.Similarly the hyphen in *release-Preference* should be removed. |
| vivo | PowSav or PS | We can follow the majority. |
| Apple | POW-SAV | PS is too common and refers to Protocol Stack in general |

**Summary of inputs provided on Discussion point 3):** the suggested terminology for power saving features were POW-SAV by 4 (Intel, Sony, CATT, Apple), powSav by 2 (MediaTek, vivo), PS by 6 (OPPO, Huawei, Sony, ZTE, Ericsson, Apple) and UPS by (OPPO, Huawei and Ericsson). There were concerns raised that UPS may relate to packet delivery outside 3GPP, that PS is also used for protocol stack, and that if POW-SAV were used, it should not be in capital. The TP of version \_v3 is updated based on majority view (i.e. PS), although we suggest further discuss in phase 2 whether companies prefer either of the two options that had larger support powSav and PS (note that the hyphen is removed from the names to follow the identifier naming rules in RRC (A.3.1.2)).

**[Phase-1 proposal 2]** To discuss if companies prefer option (a) powSav vs option (b) PS as an abbreviation used for the group of all the UE’s power saving features.

**[phase-1 proposal 3]** [ASN.1] To remove the hyphens from the *release-Preference* and *drx-Preference* to align with the identifier naming rules in RRC section A.3.1.2 (as shown in TP of version \_v3 of 38.306 and 38.331 CR).

1. Companies are invited to provide their views on “phase-1 proposal 2” (i.e. whether for the abbreviation of the UE’s power saving features: option (a) powSav vs option (b) PS.

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| **Company’s name** | **Option** | **Company’s comments, if any** |
| Intel | (a) | We have slightly preference to use powSav but are ok going with majority view on this. |
| vivo | a | We think PowSav is more clear than PS. |
| MediaTek | a | powSav is unambiguous  |
| CATT | a | Agree with above companies |
| Ericsson | b |  |
| Qualcomm | a | powSav is clear about what it is and unambiguous. |
| Apple | a | powSav is clearer than PS |

**Summary of inputs provided on Phase-2 discussion point 2):** the suggested terminology for power saving features were powSav supported by 6 (Intel, vivo, MediaTek, CATT, Qualcomm, Apple), and PS by 1 (Ericsson).

1. [38.331 CR] To use powSav as an abbreviation used for the group of all the UE’s power saving features.
2. Companies are invited to provide their views if they do not agree or want to suggest an update on “phase-1 proposal 3”.

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| **Company’s name** | **Company’s comments, if any** |
| MediaTek | Agree for release-Preference.However, the hyphen in drx-Preference should not be removed. From the naming rules:‘*Field identifiers shall start with a lowercase letter and use mixed case thereafter, e.g., establishmentCause. If a field identifier begins with an acronym (which would normally be in upper case), the entire acronym is lowercase (plmn-Identity, not pLMN-Identity). The acronym is set off with a hyphen (ue-Identity, not ueIdentity), in order to facilitate a consistent search pattern with corresponding type identifiers.*’ |
| CATT | We agree with MediaTek |
| Ericsson | Only for release-Preference |
| Qualcomm | We agree with MediaTek. |
| Apple | Agree with MediaTek |

**Summary of inputs provided on Phase-2 discussion point 3):** 5 companies (MediaTek, CATT, Ericsson and Apple) pointed that “drx-Preference” should keep the hyphen.

1. [38.331 & 38.306 CR] To remove the hyphens from the *release-Preference* to align with the identifier naming rules in RRC section A.3.1.2 (as shown in TP of version \_v3 of 38.306 and 38.331 CR).
2. Companies are invited to provide their views on other aspects of the 38.306 drafted CR [2] that aims to capture the RAN2 UE capability related agreements shown above.

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| **Company’s name** | **Company’s comments, if any** |
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## Additional RAN2 UE capabilities for Rel-16 POW-SAV WI or other miscellaneous topics

This section discusses additional RAN2 UE capabilities that may need to be considered based on the following agreements taken in RAN2#109bis-e meeting:

1. *In NR-DC, SCG specific UAI for power saving can be configured by the network*
2. *The reported UAI for power saving is specific to a cell group*
3. *In (NG)EN-DC, SCG specific UAI for power saving can be configured by the network via SRB1 (using nr-SecondaryCellGroupConfig) or SRB3 (using RRCReconfiguration).*
4. *In (NG)EN-DC, SCG specific UAI for power saving is transmitted in ULInformationTransferMRDC on the LTE leg.*
5. *In (NG)EN-DC, SCG specific UAI for power saving is transmitted on the NR leg via SRB3, if SRB3 is configured.*
6. *In NR-DC, SCG specific UAI for power saving can be configured by the network via SRB1 (using mrdc-SecondaryCellGroup) or SRB3 (using RRCReconfiguration).*
7. *In NR-DC, SCG specific UAI for power saving is transmitted in ULInformationTransferMRDC on SRB1.*
8. *In NR-DC, SCG specific UAI for power saving is transmitted on the SCG via SRB3, if SRB3 is configured.*

The latest POW-SAV CR to 38.331 describe how the new UAI features for *drx-Preference, maxBW-Preference, maxCC-Preference, maxMIMO-Preference,* and *releasePreference* are associated to an **specific cell group**. Therefore these UAI related features (with their specific prohibit timers) can be configured specifically for (NG)EN-DC and NR-DC, as shown below:

***In*** ***(NG)EN-DC and NR-DC****, SRB3 can be used for measurement configuration and reporting****, for UE assistance (re-)configuration and reporting for power savings****, to (re-)configure MAC, RLC, physical layer and RLF timers and constants of the SCG configuration, and to reconfigure PDCP for DRBs associated with the S-KgNB or SRB3, and to reconfigure SDAP for DRBs associated with S-KgNB in NGEN-DC and NR-DC, and to add/modify/release conditional PSCell change configuration, provided that the (re-)configuration does not require any MN involvement.* ***In (NG)EN-DC and NR-DC****, only measConfig, radioBearerConfig, conditionalReconfiguration,* ***otherConfig*** *and/or secondaryCellGroup are included in RRCReconfiguration received via SRB3.*

The corresponding *UEAssistanceInformation* message is sent differently depending on the intended cell group, as shown in reference below from TS 38.331:

*The UE shall:*

***1> if the UE is in (NG)EN-DC:***

*2> if SRB3 is configured:*

*3> submit the UEAssistanceInformation message* ***via SRB3*** *to lower layers for transmission;*

*2> else:*

*3> submit the UEAssistanceInformation message* ***via the E-UTRA MCG embedded in E-UTRA RRC message ULInformationTransferMRDC as specified in TS 36.331 [10]****.*

***1> else if the UE is in NR-DC:***

*2> if the UE assistance configuration that triggered this UE assistance information is associated with the SCG:*

*3> if SRB3 is configured:*

*4> submit the UEAssistanceInformation message* ***via SRB3*** *to lower layers for transmission;*

*3> else:*

*4> submit the UEAssistanceInformation message* ***via the NR MCG embedded in NR RRC message ULInformationTransferMRDC as specified in 5.7.2a.3****;*

*2> else:*

*3> submit the UEAssistanceInformation message via SRB1 to lower layers for transmission;*

*1> else:*

*2> submit the UEAssistanceInformation message to lower layers for transmission.*

### Discussion point 5

1. Should different NR UE capabilities be defined to indicate the UE support of new UE assistance feature for power saving purposes (i.e. *drx-Preference, maxBW-Preference, maxCC-Preference, maxMIMO-Preference,* and *releasePreference*) to an specific cell group for NR vs (NG)EN-DC vs NR-DC?
2. No.
3. Yes. If so, please explained your motivation and your suggested change.

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| **Company’s name** | **Option** | **Company’s comments, if any** |
| Intel | No | We do not see essential to define different UE capabilities for each specific cell group as the minimum that the UE needs to support is the setup (or release) of the related prohibit timer when the feature is configured (or release). Understanding that later it is up to UE whether to report/trigger UAI or not. |
| OPPO | No | Since UE assistance feature for power saving purposes is introduced for NR but not supported for LTE, a common NR UE capability could be used for SA and the NR CG in (NG)EN-DC or NR-DC.  |
| Huawei | No | We don’t see the strong motivation of introducing a new capability. |
| Sony | No | No strong motivation |
| ZTE | No | No clear motivation, |
| CATT | No | We don’t need to define additional NR UE capabilities for MR-DC. But the definitions of these new capabilities in TS 38.306 need to be updated to reflect these capabilities indicate whether UE supports providing the corresponding preference of a cell group. For example:***drx-Preference-r16***Indicates whether the UE supports providing its preference *of a cell group* on DRX parameters for power saving in RRC\_CONNECTED, as specified in TS 38.331 [9]. |
| Ericsson | No | Share the view that it should be clarified that the DRX, BW, CC, and MIMO preference capability is per cell group. |
| MediaTek | No | Agree with Oppo |
| vivo | No | We agree that there is no need to define different NR capabilities for NR vs. EN-DC vs. NR-DC.We also share the same view with CATT that the capability should be updated to indicate for a cell group.  |
| Qualcomm | No | Agree with companies above. |
| Apple | No | Agree with CATT.  |

**Summary of inputs provided on Discussion point 5):** all companies support not defining different NR UE capabilities to indicate the UE support of new UE assistance feature for power saving purposes (i.e. *drx-Preference, maxBW-Preference, maxCC-Preference, maxMIMO-Preference,* and *releasePreference*) to an specific cell group for NR vs (NG)EN-DC vs NR-DC.

One company (CATT) indicates that the definition of each capability (in 38.306 CR) should be updated to indicate that UE supports providing the corresponding preference of a cell group.

**[phase-1 proposal 4]** Different NR UE capabilities are not defined to indicate the UE support of new UE assistance feature for power saving purposes (i.e. drxPreference, maxBW-Preference, maxCC-Preference, maxMIMO-Preference, and releasePreference) per specific cell group.

**[phase-1 proposal 5]** The definitions of the new capabilities on drxPreference, maxBW-Preference, maxCC-Preference, maxMIMO-Preference, and releasePreference is updated to indicate that the preference is corresponding to a cell group (as shown in TP of version \_v3 of 38.306 CR).

1. Companies are invited to provide their views if they do not agree or want to suggest an update on “phase 1 proposal 4” and “phase 1 proposal 5”.

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| **Company’s name** | **Company’s comments, if any** |
| CATT | OK except releasePreference which is not per cell group but only towards the MN |
| Ericsson | Similar comment as CATT |
| Qualcomm | Agree with CATT and Ericsson. |

**Summary of inputs provided on Phase-2 discussion point 4):**  3 companies (CATT, Ericsson and Qualcomm) pointed that *releasePreference* only applies to MN.

1. Different NR UE capabilities are not defined to indicate the UE support of new UE assistance feature for power saving purposes (i.e. *drx-Preference, maxBW-Preference, maxCC-Preference,* and *maxMIMO-Preference*) per specific cell group.
2. [38.306 CR] The definitions of the new capabilities on *drx-Preference, maxBW-Preference, maxCC-Preference,* are *maxMIMO-Preference* are updated to indicate that the preference is corresponding to a cell group (as shown in TP of version \_v3 of 38.306 CR).

### Discussion point 6

1. Companies are invited to provide their views on other RAN2 UE capabilities related aspects for Rel-16 UE power saving WI that was not addressed in previous discussion points.

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| **Company’s name** | **Company’s comments, if any** |
| Sony | We would like to highlight as already mentioned at latest RAN2 meeting and in R2-2002670, that based on RAN1 input, a minimum time gap preference should be included. |
| MediaTek | Shouldn’t a capability for ‘MinSchedulingOffsetPreference’ also be included in the list of UAI capabilities? |
| Ericsson | The RAN1 LS with RAN1 capabilities can be found here [R1-2003072](https://www.3gpp.org/ftp/tsg_ran/WG1_RL1//TSGR1_100b_e/Docs/R1-2003072.zip), but RAN1 has not fully finalized all details. The RAN1 capabilities are discussed in email #963, but I think the power saving capabilities are not included yet:* DRX Adaptation (aka DCP) including ‘minimum gap’ capabilities
* Cross Slot Scheduling
* Maximum MIMO Layer Adaptation
* UE assistance information (K values)
 |

**Summary of inputs provided on Discussion point 6):** two companies suggest defining capabilities for two new UE assistance information: *MinTimeGapPreference* (by Sony) and *MinSchedulingOffsetPreference* (by MediaTek). As rapporteur we understand this features are RAN1-driven and should be discussed, if any, in the other email discussion addressing power saving features lead by RAN1/4 and if applicable, they could be combined in the same power saving structure when merging the 38.331 CR to capture all the UE capabilities for RAN1/2/4 driven features. For further reference, note that RAN1 capability table includes “Support of reporting preferred minimum K0/K2 via UE assistance information” as part of UAI (in element 19-4a) but there is no reference on any minimum time gap preference that we are aware of.

1. Companies are invited to provide their views if they do not agree or want to suggest an update on the summary of Discussion point 6).

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| **Company’s name** | **Company’s comments, if any** |
| vivo | We should leave these issues to RAN1. If RAN1 did not reach any consensus that UE assistance information on *MinTimeGapPreference* and *MinSchedulingOffsetPreference* have benefit, there is no motivation for RAN2 to define such UE assistance information.  |
| MediaTek | We are fine with the rapporteur’s suggestion to leave this to R1. |
| Ericsson | In our understanding this is still being discussed in RAN1, and RAN2 should not discuss this, i.e. RAN2 should only capture capability signalling once RAN1 has finalized their discussions.  |
| Qualcomm | Agree with Ericsson that we need to capture the four additional UE capabilities specified in R1-2003073. Our understanding is they are approved by RAN1 already. The only FFS left is whether there should be differentiation between licensed and unlicensed. So we think we can move forward and capture them in this version of the running CR.  |

**Summary of inputs provided on Phase-2 discussion point 5):** companies seem ok leaving any remaining discussion of those parameters (*MinTimeGapPreference* and *MinSchedulingOffsetPreference*) up to RAN1 on them. For the corresponding new UE capabilities of the RAN1 driven features, we will not include them in current running CR as they are already handled as part of email discussion #963 that addresses UE capabilities for all Rel-16 features lead by RAN1/4. For reference, it is shown below the TP of items 19-2 and 19-4a currently captured on email discussion #963. Note that our understanding is that this TP will be further updated to include the applicable RAN1 PWS capabilities as part of the same power saving structure discussed in previous Discussion point 2) and currently captured on running version \_v4 of 38.331 power saving CR.

     -- R1 19-2: Cross Slot Scheduling

     crossSlotScheduling-r16                 ENUMERATED {supported}           OPTIONAL,

     -- R1 19-4a: UE assistance information

     ue-AssistPreferredSchedulingOffset-r16  ENUMERATED {supported}           OPTIONAL

    ]]

}

# Conclusion

In phase-1, 10 companies participated on this email discussion (OPPO, Huawei, Sony, ZTE, CATT, Ericsson, MediaTek, vivo, Apple and Intel) and section 2 includes the corresponding conclusions and new discussion points for phase-2. In addition, the CRs to 38.306 and 38.331 are updated accordingly in version \_v3.

The proposals captured are the following:

**Proposal 1.** [38.306 CR] The description of the relaxed measurement capability in section 5 is updated to include “*Indicates whether the UE supports relaxed RRM measurements of neighbour cells in RRC\_IDLE/RRC\_INACTIVE as specified in TS 38.304 [xx]*” (as shown in TP of version \_v3 of 38.306 CR).

**Proposal 2.** [38.331 CR] To use powSav as an abbreviation used for the group of all the UE’s power saving features.

**Proposal 3.** [38.331 & 38.306 CR] To remove the hyphens from the *release-Preference* to align with the identifier naming rules in RRC section A.3.1.2 (as shown in TP of version \_v3 of 38.306 and 38.331 CR).

**Proposal 4.** Different NR UE capabilities are not defined to indicate the UE support of new UE assistance feature for power saving purposes (i.e. *drx-Preference, maxBW-Preference, maxCC-Preference,* and *maxMIMO-Preference*) per specific cell group.

**Proposal 5.** [38.306 CR] The definitions of the new capabilities on *drx-Preference, maxBW-Preference, maxCC-Preference,* are *maxMIMO-Preference* are updated to indicate that the preference is corresponding to a cell group (as shown in TP of version \_v3 of 38.306 CR).

# References

1. R2-200xxxx UE capabilities for Rel-16 Power Saving WI, Intel Corporation, Rel-16, TS 38.306, v16.0.0, draftCR, category B, NR\_UE\_pow\_sav.
2. R2-200xxxx UE capabilities for Rel-16 Power Saving WI, Intel Corporation, Rel-16, TS 38.331, v16.0.0, draftCR, category B, NR\_UE\_pow\_sav.

# Annex A

The features that can be configured different for FR1/FR2 or TDD/FDD are defined in a way that a UE can indicate via the general section whether it support it for both (e.g. FR1/FR2), or if it only supports it for one (e.g. FR1 or FR2). For better understanding, an example on how this is captured in TS 38.306 is shown below. It is highlighted in yellow the common structure that includes amongst others, the features that are supported for both FR1/FR2 and, in blue the section that is used by UE to indicate when the feature is only supported for FR1 or FR2. For further reference, it is highlighted in green the common field that is used for those features that could be supported differently for FR1 or FR2.

UE-NR-Capability-v1540 ::=              SEQUENCE {

    sdap-Parameters                     SDAP-Parameters                                               OPTIONAL,

    overheatingInd                          ENUMERATED {supported}                                        OPTIONAL,

    ims-Parameters                          IMS-Parameters                                                OPTIONAL,

    fr1-Add-UE-NR-Capabilities-v1540        UE-NR-CapabilityAddFRX-Mode-v1540                             OPTIONAL,

    fr2-Add-UE-NR-Capabilities-v1540        UE-NR-CapabilityAddFRX-Mode-v1540                             OPTIONAL,

    fr1-fr2-Add-UE-NR-Capabilities          UE-NR-CapabilityAddFRX-Mode                                   OPTIONAL,

    nonCriticalExtension                    UE-NR-Capability-v1550                                        OPTIONAL

}

UE-NR-CapabilityAddFRX-Mode-v1540 ::=    SEQUENCE {

    ims-ParametersFRX-Diff                   IMS-ParametersFRX-Diff                                       OPTIONAL

}

-- TAG-IMS-PARAMETERS-START

IMS-Parameters ::=         SEQUENCE {

    ims-ParametersCommon       IMS-ParametersCommon                  OPTIONAL,

    ims-ParametersFRX-Diff     IMS-ParametersFRX-Diff                OPTIONAL,

    ...

}

IMS-ParametersCommon ::=   SEQUENCE {

    voiceOverEUTRA-5GC         ENUMERATED {supported}                OPTIONAL,

    ...,

    [[

    voiceOverSCG-BearerEUTRA-5GC       ENUMERATED {supported}        OPTIONAL

    ]]

}

IMS-ParametersFRX-Diff ::= SEQUENCE {

    voiceOverNR                ENUMERATED {supported}                OPTIONAL,

    ...

}

-- TAG-IMS-PARAMETERS-STOP

For further clarification, the UE’s expected handling of the capability signaling just explained is also described within the following procedural text:

1>  set all fields of UE-NR/MRDC-Capability except fdd-Add-UE-NR/MRDC-Capabilities, tdd-Add-UE-NR/MRDC-Capabilities, fr1-Add-UE-NR/MRDC-Capabilities and fr2-Add-UE-NR/MRDC-Capabilities, to include the values applicable for all duplex mode(s) and frequency range(s) that the UE supports;

1>  if UE supports both FDD and TDD and if (some of) the UE capability fields have a different value for FDD and TDD

2>  if for FDD, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3>  include field fdd-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FDD;

2>  if for TDD, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3>  include field tdd-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for TDD;

1>  if UE supports both FR1 and FR2 and if (some of) the UE capability fields have a different value for FR1 and FR2:

2>  if for FR1, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3>  include field fr1-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR1;

2>  if for FR2, the UE supports additional functionality compared to what is indicated by the previous fields of UE-NR/MRDC-Capability:

3>  include field fr2-Add-UE-NR/MRDC-Capabilities and set it to include fields reflecting the additional functionality applicable for FR2;