**3GPP TSG-RAN WG2 Meeting #109bis-e *R2-20xxxxxj***

**Elbonia, Online, 20 – 30 April 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.306** | **CR** | **Num** | **rev** | **-** | **Current version:** | **16.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | UE radio access capabilities introduction for IIOT WI (CR for 38.306) | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIOT-Core | | | | |  | ***Date:*** | | | 2020-05 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **Cat B** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Finalization of NR IIOT WI requires introduction of related radio capabilities. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | CR captures UE radio capabilities agreed as part of NR IIOT WI.  Updates after RAN2#109bis-e meeting:   1. Updated the draft CR to be for 16.0.0 version. 2. Added EHC to abbreviations list. 3. Sorted capabilities according to alphabetical order. 4. Updated some parameters name in capabilities descriptions following changes in RRC and agreements. 5. Clarified that maxNumberEHC-Contexts-r16 is across all DRBs and across EHC compressor and decompressor. 6. Removed obsolete editor’s notes and added new and missing ones. 7. Editorial corrections. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | UE radio features introdcued as part of NR IIOT WI are not specified and cannot be utilized. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.3, 4.2.2, 4.2.4, 4.2.6, 4.2.7.10 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modified Subclause*

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BC Band Combination

DL Downlink

EHC Ethernet Header Compression

FS Feature Set

FSPC Feature Set Per Component-carrier

MAC Medium Access Control

MCG Master Cell Group

MN Master Node

MR-DC Multi-RAT Dual Connectivity

PDCP Packet Data Convergence Protocol

RLC Radio Link Control

RTT Round Trip Time

SCG Secondary Cell Group

SDAP Service Data Adaptation Protocol

SN Secondary Node

UL Uplink

*Next Modified Subclause*

### 4.2.2 General parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF | **FR1-FR2**  DIFF |
| --- | --- | --- | --- | --- |
| ***accessStratumRelease***  Indicates the access stratum release the UE supports as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***delayBudgetReporting***  Indicates whether the UE supports delay budget reporting as specified in TS 38.331 [9]. | UE | No | No | No |
| ***dl-DedicatedMessageSegmentation-r16***  Indicates whether the UE supports reception of segmented DL RRC messages. | UE | No | No | No |
| ***inactiveState***  Indicates whether the UE supports RRC\_INACTIVE as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***inDeviceCoexInd-r16***  Indicates whether the UE supports IDC (In-Device Coexistence) assistance information as specified in TS 38.331 [9]. | UE | No | No | No |
| ***overheatingInd***  Indicates whether the UE supports overheating assistance information. | UE | No | No | No |
| ***reducedCP-Latency***  Indicates whether the UE supports reduced control plane latency as defined in TS 38.331 [9] | UE | No | No | No |
| ***referenceTimeProvision-r16***  Indicates whether the UE supports provision of referenceTimeInfo in DLInformationTransfer message and in SIB9 as specified in TS 38.331 [9]. | UE | No | No | No |
| ***referenceTimeInd-r16***  Indicates whether the UE supports reference time information interest indication via assistance information as specified in TS 38.331 [9]. | UE | No | No | No |
| ***splitSRB-WithOneUL-Path***  Indicates whether the UE supports UL transmission via MCG path and DL reception via either MCG path or SCG path, as specified for the split SRB in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | No | No | No |
| ***splitDRB-withUL-Both-MCG-SCG***  Indicates whether the UE supports UL transmission via both MCG path and SCG path for the split DRB as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). | UE | Yes | No | No |
| ***srb3***  Indicates whether the UE supports direct SRB between the SN and the UE as specified in TS 37.340 [7]. The UE shall not set the FDD/TDD specific fields for this capability (i.e. it shall not include this field in *UE-MRDC-CapabilityAddXDD-Mode*). This field is not applied to NE-DC. | UE | Yes | No | No |
| ***v2x-EUTRA***  Indicates whether the UE supports EUTRA V2X according to *UE-EUTRA-Capability* as defined in TS 36.331 [17], independent of the configured EN-DC band combination. This field is only applied to EN-DC. In UE-NR-Capability, this field is not used, and UE does not include the field. | UE | No | Yes | No |

Editor’s note: FFS whether to merge referenceTimeInd-r16 with referenceTimeProvision-r16 or add referenceTimeProvision-r16 as a pre-requisite for referenceTimeInd-r16.

*Next Modified Subclause*

### 4.2.4 PDCP Parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF |
| --- | --- | --- | --- |
| ***continueEHC-Context-r16***  Indicates that the UE supports EHC context continuation operation where the UE keeps the established EHC context(s) upon PDCP re-establishment, as specified in TS 38.323 [16]. | UE | No | No |
| ***continueROHC-Context***  Defines whether the UE supports ROHC context continuation operation where the UE does not reset the current ROHC context upon PDCP re-establishment, as specified in TS 38.323 [16]. | UE | No | No |
| ***ehc-r16***  Indicates that the UE supports Ethernet header compression and decompression using EHC protocol, as specified in TS 38.323 [16]. | UE | No | No |
| ***maxNumberROHC-ContextSessions***  Defines the maximum number of ROHC header compression context sessions supported by the UE, excluding context sessions that leave all headers uncompressed. | UE | No | No |
| ***maxNumberEHC-Contexts-r16***  Defines the maximum number of Ethernet header compression contexts supported by the UE across all DRBs and across UE’s EHC compressor and EHC decompressor. The indicated number defines the number of contexts in addition to CID = "all zeros" as specified in TS 38.323. | UE | No | No |
| ***outOfOrderDelivery***  Indicates whether UE supports out of order delivery of data to upper layers by PDCP. | UE | No | No |
| ***pdcp-DuplicationMCG-OrSCG-DRB***  Indicates whether the UE supports CA-based PDCP duplication over MCG or SCG DRB as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationMoreThanTwoRLC-r16***  Defines whether the UE supports PDCP duplication with more than two RLC entities as specified in TS 38.323 [16]. The UE supporting this feature supports secondary RLC entity(ies) activation and deactivation based on Single DRB Duplication Activation/Deactivation MAC CE as specified in TS 38.321 [8]. | UE | No | No |
| ***pdcp-DuplicationSplitDRB***  Indicates whether the UE supports PDCP duplication over split DRB as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationSplitSRB***  Indicates whether the UE supports PDCP duplication over split SRB1/2 as specified in TS 38.323 [16]. | UE | No | No |
| ***pdcp-DuplicationSRB***  Indicates whether the UE supports CA-based PDCP duplication over SRB1/2 and/or, if EN-DC is supported, SRB3 as specified in TS 38.323 [16]. | UE | No | No |
| ***shortSN***  Indicates whether the UE supports 12 bit length of PDCP sequence number. | UE | Yes | No |
| ***supportedROHC-Profiles***  Defines which ROHC profiles from the list below are supported by the UE:  - 0x0000 ROHC No compression (RFC 5795)  - 0x0001 ROHC RTP/UDP/IP (RFC 3095, RFC 4815)  - 0x0002 ROHC UDP/IP (RFC 3095, RFC 4815)  - 0x0003 ROHC ESP/IP (RFC 3095, RFC 4815)  - 0x0004 ROHC IP (RFC 3843, RFC 4815)  - 0x0006 ROHC TCP/IP (RFC 6846)  - 0x0101 ROHC RTP/UDP/IP (RFC 5225)  - 0x0102 ROHC UDP/IP (RFC 5225)  - 0x0103 ROHC ESP/IP (RFC 5225)  - 0x0104 ROHC IP (RFC 5225)  A UE that supports one or more of the listed ROHC profiles shall support ROHC profile 0x0000 ROHC uncompressed (RFC 5795). | UE | No | No |
| ***uplinkOnlyROHC-Profiles***  Indicates the ROHC profile(s) that are supported in uplink-only ROHC operation by the UE.  - 0x0006 ROHC TCP (RFC 6846)  A UE that supports uplink-only ROHC profile(s) shall support ROHC profile 0x0000 ROHC uncompressed (RFC 5795). | UE | No | No |

Editor’s note: FFS whether additional capability or related signalling is needed for joint EHC and ROHC operation.

*Next Modified Subclause*

### 4.2.6 MAC parameters

| Definitions for parameters | Per | M | FDD-TDD DIFF | FR1-FR2 DIFF |
| --- | --- | --- | --- | --- |
| ***autonomousTransmission-r16***  Indicates whether the UE supports autonomous transmission of the MAC PDU generated for a deprioritized configured uplink grant as specified in TS 38.321 [8]. A UE supporting this feature shall also support lch-priorityBasedPrioritization-r16. | UE | No | No | No |
| ***lch-PriorityBasedPrioritization-r16***  Indicates whether the UE supports prioritization between overlapping grants and between scheduling request and overlapping grants based on LCH priority as specified in TS 38.321 [8]. The UE supporting lch-PriorityBasedPrioritization-r16 shall also support [phy-LayerPrioritization-r16]. | UE | No | No | No |
| ***lch-ToConfiguredGrantMapping-r16***  Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of configured grant configurations (see allowedCG-List-r16 in LogicalChannelConfig in TS 38.331 [9]) as specified in TS 38.321 [8]. A UE supporting [multipleCG-Configs-r16] shall also support lch-ToConfiguredGrantMapping-r16. | UE | No | No | No |
| ***lch-ToGrantPriorityRestriction-r16***  Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of dynamic grant priority levels (see allowedPHY-PriorityIndex-r16 in LogicalChannelConfig in TS 38.331 [9]) as specified in TS 38.321 [8]. A UE supporting lch-ToGrantPriorityRestriction-r16 shall also support [phy-LayerPrioritization]. | UE | No | No | No |
| ***lch-ToSCellRestriction***  Indicates whether the UE supports restricting data transmission from a given LCH to a configured (sub-) set of serving cells (see allowedServingCells in LogicalChannelConfig). A UE supporting pdcp-DuplicationMCG-OrSCG-DRB or pdcp-DuplicationSRB (see PDCP-Config) shall also support lch-ToSCellRestriction. | UE | No | No | No |
| ***lcp-Restriction***  Indicates whether UE supports the selection of logical channels for each UL grant based on RRC configured restriction. | UE | No | No | No |
| ***logicalChannelSR-DelayTimer***  Indicates whether the UE supports the logicalChannelSR-DelayTimer as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***longDRX-Cycle***  Indicates whether UE supports long DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***multipleConfiguredGrants***  Indicates whether UE supports more than one configured grant configurations (including both Type 1 and Type 2) in a cell group. For each cell, the UE supports at most one configured grant per BWP and the maximum number of configured grant configurations per cell group is 2. If absent, for each configured cell group, the UE only supports one configured grant configuration on one serving cell. | UE | No | Yes | No |
| ***multipleSR-Configurations***  Indicates whether the UE supports 8 SR configurations per PUCCH cell group as specified in TS 38.321 [8]. | UE | No | Yes | No |
| ***recommendedBitRate***  Indicates whether the UE supports the bit rate recommendation message from the gNB to the UE as specified in TS 38.321 [8]. | UE | No | No | No |
| ***recommendedBitRateMultiplier-r16***  Indicates whether the UE supports the bit rate multiplier for recommended bit rate MAC CE as specified in TS 38.321 [8], clause 6.1.3.20. This field is only applicable if the UE supports recommendedBitRate. | UE | No | No | No |
| ***recommendedBitRateQuery***  Indicates whether the UE supports the bit rate recommendation query message from the UE to the gNB as specified in TS 38.321 [8]. This field is only applicable if the UE supports recommendedBitRate. | UE | No | No | No |
| ***shortDRX-Cycle***  Indicates whether UE supports short DRX cycle as specified in TS 38.321 [8]. | UE | Yes | Yes | No |
| ***skipUplinkTxDynamic***  Indicates whether the UE supports skipping of UL transmission for an uplink grant indicated on PDCCH if no data is available for transmission as specified in TS 38.321 [8]. | UE | No | Yes | No |

Editor’s note: FFS whether LCH based prioritization can be supported without PHY prioritization. It is expected this can be discussed once RAN1 has defined feature/capability related to PHY layer prioritization

*Next Modified Subclause*

### 4.2.7 Physical layer parameters

<UNCHANGED TEXT OMITTED>

#### 4.2.7.10 *Phy-Parameters*

| Definitions for parameters | Per | M | FDD-TDD  DIFF | FR1-FR2  DIFF |
| --- | --- | --- | --- | --- |
| ***absoluteTPC-Command***  Indicates whether the UE supports absolute TPC command mode. | UE | No | No | Yes |
| ***almostContiguousCP-OFDM-UL***  Indicates whether the UE supports almost contiguous UL CP-OFDM transmissions as defined in clause 6.2 of TS 38.101-1 [2]. | UE | No | No | Yes |
| ***bwp-SwitchingDelay***  Defines whether the UE supports DCI and timer based active BWP switching delay type1 or type2 specified in clause 8.6.2 of TS 38.133 [5]. It is mandatory to report type 1 or type 2. | UE | Yes | No | No |
| ***cbg-FlushIndication-DL***  Indicates whether the UE supports CBG-based (re)transmission for DL using CBG flushing out information (CBGFI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-DL***  Indicates whether the UE supports CBG-based (re)transmission for DL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cbg-TransIndication-UL***  Indicates whether the UE supports CBG-based (re)transmission for UL using CBG transmission information (CBGTI) as specified in TS 38.214 [12]. | UE | No | No | No |
| ***cli-RSSI-FDM-DL-r16***  Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and CLI-RSSI FDMed reception is supported as specified in TS 38.215 [13]. | UE | No | TDD only | Yes |
| ***cli-SRS-RSRP-FDM-DL-r16***  Indicates whether serving cell DL signal/channel (e.g. PDSCH/PDCCH) and SRS-RSRP FDMed reception is supported as specified in TS 38.215 [13]. | UE | No | TDD only | Yes |
| ***configuredUL-GrantType1***  Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***configuredUL-GrantType2***  Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***cqi-TableAlt***  Indicates whether UE supports the CQI table with target BLER of 10^-5. | UE | No | No | Yes |
| ***csi-ReportFramework***  See *csi-ReportFramework* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-ReportWithoutCQI***  Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-ReportWithoutPMI***  Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/CQI' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***csi-RS-CFRA-ForHO***  Indicates whether the UE can perform reconfiguration with sync using a contention free random access on PRACH resources that are associated with CSI-RS resources of the target cell. | UE | No | No | No |
| ***csi-RS-IM-ReceptionForFeedback***  See *csi-RS-IM-ReceptionForFeedback* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | Yes | No | No |
| ***csi-RS-ProcFrameworkForSRS***  See *csi-RS-ProcFrameworkForSRS* in 4.2.7.2. For a band combination comprised of FR1 and FR2 bands, this parameter, if present, limits the corresponding parameter in *MIMO-ParametersPerBand*. | Band or UE | No | No | No |
| ***dl-64QAM-MCS-TableAlt***  Indicates whether the UE supports the alternative 64QAM MCS table for PDSCH. | UE | No | No | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeA***  Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type A. | UE | Yes | Yes | Yes |
| ***dl-SchedulingOffset-PDSCH-TypeB***  Indicates whether the UE supports DL scheduling slot offset (K0) greater than 0 for PDSCH mapping type B. | UE | Yes | Yes | Yes |
| ***downlinkSPS***  Indicates whether the UE supports PDSCH reception based on semi-persistent scheduling. | UE | No | No | No |
| ***dynamicBetaOffsetInd-HARQ-ACK-CSI***  Indicates whether the UE supports indicating beta-offset (UCI repetition factor onto PUSCH) for HARQ-ACK and/or CSI via DCI among the RRC configured beta-offsets. | UE | No | No | No |
| ***dynamicHARQ-ACK-Codebook***  Indicates whether the UE supports HARQ-ACK codebook dynamically constructed by DCI(s). This field shall be set to *supported*. | UE | Yes | No | No |
| ***dynamicHARQ-ACK-CodeB-CBG-Retx-DL***  Indicates whether the UE supports HARQ-ACK codebook size for CBG-based (re)transmission based on the DAI-based solution as specified in TS 38.213 [11]. | UE | No | No | No |
| ***dynamicPRB-BundlingDL***  Indicates whether UE supports DCI-based indication of the PRG size for PDSCH reception. | UE | No | No | No |
| ***dynamicSFI***  Indicates whether the UE supports monitoring for DCI format 2\_0 and determination of slot formats via DCI format 2\_0. | UE | No | Yes | Yes |
| ***dynamicSwitchRA-Type0-1-PDSCH***  Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PDSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***dynamicSwitchRA-Type0-1-PUSCH***  Indicates whether the UE supports dynamic switching between resource allocation Types 0 and 1 for PUSCH as specified in TS 38.212 [10]. | UE | No | No | No |
| ***extendedCG-Periodicities-r16***  Indicates that the UE supports extended periodicities for CG Type 1 (if the UE indicates *configuredUL-GrantType1* capability) or CG Type 2 (if the UE indicates *configuredUL-GrantType2* capability) as specified by *periodicityExt-r16* field of IE *ConfiguredGrantConfig* in TS 38.331 [2]. | UE | No | No | No |
| ***extendedSPS-Periodicities-r16***  Indicates that the UE supports extended periodicities for downlink SPS as specified by *periodicityExt-r16* field of IE *SPS-Config* in TS 38.331 [2]. | UE | No | No | No |
| ***pucch-F0-2WithoutFH***  Indicates whether the UE supports transmission of a PUCCH format 0 or 2 without frequency hopping. When included, the UE does not support PUCCH formats 0 and 2 without frequency hopping. When not included, the UE supports the PUCCH formats 0 and 2 without frequency hopping. | UE | Yes | No | Yes |
| ***pucch-F1-3-4WithoutFH***  Indicates whether the UE supports transmission of a PUCCH format 1, 3 or 4 without frequency hopping. When included, the UE does not support PUCCH formats 1, 3 and 4 without frequency hopping. When not included, the UE supports the PUCCH formats 1, 3 and 4 without frequency hopping. | UE | Yes | No | Yes |
| ***interleavingVRB-ToPRB-PDSCH***  Indicates whether the UE supports receiving PDSCH with interleaved VRB-to-PRB mapping as specified in TS 38.211 [6]. | UE | Yes | No | No |
| ***interSlotFreqHopping-PUSCH***  Indicates whether the UE supports inter-slot frequency hopping for PUSCH transmissions. | UE | No | No | No |
| ***intraSlotFreqHopping-PUSCH***  Indicates whether the UE supports intra-slot frequency hopping for PUSCH transmission, except for PUSCH scheduled by PDCCH in the Type1-PDCCH common search space before RRC connection establishment. | UE | Yes | No | Yes |
| ***maxLayersMIMO-Indication***  Indicates whether the UE supports the network configuration of *maxMIMO-Layers* as specified in TS 38.331 [9]. | UE | Yes | No | No |
| ***maxNumberSearchSpaces***  Indicates whether the UE supports up to 10 search spaces in an SCell per BWP. | UE | No | No | No |
| ***multipleCORESET***  Indicates whether the UE supports configuration of more than one PDCCH CORESET per BWP in addition to the CORESET with CORESET-ID 0 in the BWP. It is mandatory with capability signaling for FR2 and optional for FR1. | UE | CY | No | Yes |
| ***mux-HARQ-ACK-PUSCH-DiffSymbol***  Indicates whether the UE supports HARQ-ACK piggyback on a PUSCH with/without aperiodic CSI once per slot when the starting OFDM symbol of the PUSCH is different from the starting OFDM symbols of the PUCCH resource that HARQ-ACK would have been transmitted on. | UE | Yes | No | Yes |
| ***mux-MultipleGroupCtrlCH-Overlap***  Indicates whether the UE supports more than one group of overlapping PUCCHs and PUSCHs per slot per PUCCH cell group for control multiplexing. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-MultiPerSlot***  Indicates whether the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH more than once per slot when SR, HARQ-ACK and CSI are supposed to be sent with the same or different starting symbol in a slot. | UE | No | No | Yes |
| ***mux-SR-HARQ-ACK-CSI-PUCCH-OncePerSlot***  *sameSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the same starting symbols on the PUCCH resources in a slot. *diffSymbol* indicates the UE supports multiplexing SR, HARQ-ACK and CSI on a PUCCH or piggybacking on a PUSCH once per slot, when SR, HARQ-ACK and CSI are supposed to be sent with the different starting symbols in a slot. The UE is mandated to support the multiplexing and piggybacking features indicated by *sameSymbol* while the UE is optional to support the multiplexing and piggybacking features indicated by *diffSymbol*.  If the UE indicates *sameSymbol* in this field and does not support *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot, when the starting OFDM symbol of the PUSCH is the same as the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on.  If the UE indicates *sameSymbol* in this field and supports *mux-HARQ-ACK-PUSCH-DiffSymbol*, the UE supports HARQ-ACK/CSI piggyback on PUSCH once per slot for which case the starting OFDM symbol of the PUSCH is the different from the starting OFDM symbols of the PUCCH resource(s) that would have been transmitted on. | UE | FD | No | Yes |
| ***mux-SR-HARQ-ACK-PUCCH***  Indicates whether the UE supports multiplexing SR and HARQ-ACK on a PUCCH or piggybacking on a PUSCH once per slot, when SR and HARQ-ACK are supposed to be sent with the different starting symbols in a slot. | UE | No | No | Yes |
| ***nzp-CSI-RS-IntefMgmt***  Indicates whether the UE supports interference measurements using NZP CSI-RS. | UE | No | No | No |
| ***oneFL-DMRS-ThreeAdditionalDMRS-UL***  Defines whether the UE supports DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with three additional DM-RS symbols. | UE | No | No | Yes |
| ***oneFL-DMRS-TwoAdditionalDMRS-UL***  Defines support of DM-RS pattern for UL transmission with 1 symbol front-loaded DM-RS with 2 additional DM-RS symbols and more than 1 antenna ports. | UE | Yes | No | Yes |
| ***onePortsPTRS***  Defines whether UE supports PT-RS with 1 antenna port in DL reception and/or UL transmission. It is mandatory with UE capability signalling for FR2 and optional for FR1. The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | CY | No | Yes |
| ***onePUCCH-LongAndShortFormat***  Indicates whether the UE supports transmission of one long PUCCH format and one short PUCCH format in TDM in the same slot. | UE | No | No | Yes |
| ***pCell-FR2***  Indicates whether the UE supports PCell operation on FR2. | UE | Yes | No | FR2 only |
| ***pdcch-MonitoringSingleOccasion***  Indicates whether the UE supports receiving PDCCH scrambled with C-RNTI or CS-RNTI in a search space configured to be monitored within a single span of any three contiguous OFDM symbols in a slot with the capability of supporting at least 44 blind decodes in a slot for 15 kHz subcarrier spacing. | UE | No | No | FR1 only |
| ***pdcch-BlindDetectionCA***  Indicates PDCCH blind decoding capabilities supported by the UE for CA with more than 4 CCs as specified in TS 38.213 [11]. The field value is from 4 to 16.  NOTE: FR1-FR2 differentiation is not allowed in this release, although the capability signalling is supported for FR1-FR2 differentiation. | UE | No | No | No |
| ***pdcch-BlindDetectionMCG-UE***  Indicates PDCCH blind decoding capabilities supported for MCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].  Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdcch-BlindDetectionSCG-UE***  Indicates PDCCH blind decoding capabilities supported for SCG when in NR DC. The field value is from 1 to 15. The UE sets the value in accordance with the constraints specified in TS 38.213 [11].  Additionally, if the UE does not report *pdcch-BlindDetectionCA*, and if X is the maximum number of CCs supported by the UE across all NR-DC band combinations then there is at least one parameter pair (X1, X2) such that X1 + X2 = X and the UE supports at least one NR-DC band combination with X1 CCs in MCG and X2 CCs in SCG and for which X1 <= *pdcch-BlindDetectionMCG-UE* and X2 <= *pdcch-BlindDetectionSCG-UE*. | UE | No | No | Yes |
| ***pdsch-256QAM-FR1***  Indicates whether the UE supports 256QAM modulation scheme for PDSCH for FR1 as defined in 7.3.1.2 of TS 38.211 [6]. | UE | Yes | No | FR1 only |
| ***pdsch-MappingTypeA***  Indicates whether the UE supports receiving PDSCH using PDSCH mapping type A with less than seven symbols. This field shall be set to *supported*. | UE | Yes | No | No |
| ***pdsch-MappingTypeB***  Indicates whether the UE supports receiving PDSCH using PDSCH mapping type B. | UE | Yes | No | No |
| ***pdsch-RepetitionMultiSlots***  Indicates whether the UE supports receiving PDSCH scheduled by DCI format 1\_1 when configured with higher layer parameter *pdsch-AggregationFactor* > 1, as defined in 5.1.2.1 of TS 38.214 [12]. | UE | No | No | No |
| ***pdsch-RE-MappingFR1-PerSymbol/pdsch-RE-MappingFR1-PerSlot***  Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR1, each described as a resource (including NZP/ZP CSI-RS, CRS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CCare limited by the respective capability parameters. Value n10 means 10 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR1 only |
| ***pdsch-RE-MappingFR2-PerSymbol/pdsch-RE-MappingFR2-PerSlot***  Indicates the maximum number of supported PDSCH Resource Element (RE) mapping patterns for FR2, each described as a resource (including NZP/ZP CSI-RS, CORESET and SSB) or bitmap. The number of patterns coinciding in a symbol in a CC and in a slot in a CC are limited by the respective capability parameters. Value n6 means 6 RE mapping patterns and n16 means 16 RE mapping patterns, and so on. | UE | Yes | No | FR2 only |
| ***precoderGranularityCORESET***  Indicates whether the UE supports receiving PDCCH in CORESETs configured with CORESET-precoder-granularity equal to the size of the CORESET in the frequency domain as specified in TS 38.211 [6]. | UE | No | No | No |
| ***pre-EmptIndication-DL***  Indicates whether the UE supports interrupted transmission indication for PDSCH reception based on reception of DCI format 2\_1 as defined in TS 38.213 [11]. | UE | No | No | No |
| ***pucch-F2-WithFH***  Indicates whether the UE supports transmission of a PUCCH format 2 (2 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-WithFH***  Indicates whether the UE supports transmission of a PUCCH format 3 (4~14 OFDM symbols in total) with frequency hopping in a slot. This field shall be set to *supported*. | UE | Yes | No | Yes |
| ***pucch-F3-4-HalfPi-BPSK***  Indicates whether the UE supports pi/2-BPSK for PUCCH format 3/4 as defined in 6.3.2.6 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. | UE | CY | No | Yes |
| ***pucch-F4-WithFH***  Indicates whether the UE supports transmission of a PUCCH format 4 (4~14 OFDM symbols in total) with frequency hopping in a slot. | UE | Yes | No | Yes |
| ***pusch-RepetitionMultiSlots***  Indicates whether the UE supports transmitting PUSCH scheduled by DCI format 0\_1 when configured with higher layer parameter *pusch-AggregationFactor* > 1, as defined in clause 6.1.2.1 of TS 38.214 [12]. | UE | Yes | No | No |
| ***pucch-Repetition-F1-3-4***  Indicates whether the UE supports transmission of a PUCCH format 1 or 3 or 4 over multiple slots with the repetition factor 2, 4 or 8. | UE | Yes | No | No |
| ***pusch-HalfPi-BPSK***  Indicates whether the UE supports pi/2-BPSK modulation scheme for PUSCH as defined in 6.3.1.2 of TS 38.211 [6]. It is optional for FR1 and mandatory with capability signalling for FR2. | UE | CY | No | Yes |
| ***pusch-LBRM***  Indicates whether the UE supports limited buffer rate matching in UL as specified in TS 38.212 [10]. | UE | No | No | Yes |
| ***ra-Type0-PUSCH***  Indicates whether the UE supports resource allocation Type 0 for PUSCH as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingCtrlResrcSetDynamic***  Indicates whether the UE supports dynamic rate matching for DL control resource set. | UE | Yes | No | No |
| ***rateMatchingResrcSetDynamic***  Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity based on dynamic indication in the scheduling DCI as specified in TS 38.214 [12]. | UE | No | No | No |
| ***rateMatchingResrcSetSemi-Static***  Indicates whether the UE supports receiving PDSCH with resource mapping that excludes the REs corresponding to resource sets configured with RB-symbol level granularity following the semi-static configuration as specified in TS 38.214 [12]. | UE | Yes | No | No |
| ***scs-60kHz***  Indicates whether the UE supports 60kHz subcarrier spacing for data channel in FR1 as defined in clause 4.2-1 of TS 38.211 [6]. | UE | No | No | FR1 only |
| ***semiOpenLoopCSI***  Indicates whether UE supports CSI reporting with report quantity set to 'CRI/RI/i1/CQI ' as defined in clause 5.2.1.4 of TS 38.214 [12]. | UE | No | No | Yes |
| ***semiStaticHARQ-ACK-Codebook***  Indicates whether the UE supports HARQ-ACK codebook constructed by semi-static configuration. | UE | Yes | No | No |
| ***spatialBundlingHARQ-ACK***  Indicates whether the UE supports spatial bundling of HARQ-ACK bits carried on PUCCH or PUSCH per PUCCH group. With spatial bundling, two HARQ-ACK bits for a DL MIMO data is bundled into a single bit by logical "AND" operation. | UE | Yes | No | No |
| ***sp-CSI-IM***  Indicates whether the UE supports semi-persistent CSI-IM. | UE | No | No | Yes |
| ***sp-CSI-ReportPUCCH***  Indicates whether UE supports semi-persistent CSI reporting using PUCCH formats 2, 3 and 4. | UE | No | No | No |
| ***sp-CSI-ReportPUSCH***  Indicates whether UE supports semi-persistent CSI reporting using PUSCH. | UE | No | No | No |
| ***sp-CSI-RS***  Indicates whether the UE supports semi-persistent CSI-RS. | UE | Yes | No | Yes |
| ***supportedDMRS-TypeDL***  Defines supported DM-RS configuration types at the UE for DL reception. Type 1 is mandatory with capability signaling. Type 2 is optional. | UE | CY | No | Yes |
| ***supportedDMRS-TypeUL***  Defines supported DM-RS configuration types at the UE for UL transmission. Support of both type 1 and type 2 is mandatory with capability signalling. | UE | Yes | No | Yes |
| ***tdd-MultiDL-UL-SwitchPerSlot***  Indicates whether the UE supports more than one switch points in a slot for actual DL/UL transmission(s). | UE | No | TDD only | Yes |
| ***tpc-PUCCH-RNTI***  Indicates whether the UE supports group DCI message based on TPC-PUCCH-RNTI for TPC commands for PUCCH. | UE | No | No | Yes |
| ***tpc-PUSCH-RNTI***  Indicates whether the UE supports group DCI message based on TPC-PUSCH-RNTI for TPC commands for PUSCH. | UE | No | No | Yes |
| ***tpc-SRS-RNTI***  Indicates whether the UE supports group DCI message based on TPC-SRS-RNTI for TPC commands for SRS. | UE | No | No | Yes |
| ***twoDifferentTPC-Loop-PUCCH***  Indicates whether the UE supports two different TPC loops for PUCCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoDifferentTPC-Loop-PUSCH***  Indicates whether the UE supports two different TPC loops for PUSCH closed loop power control. | UE | Yes | Yes | Yes |
| ***twoFL-DMRS***  Defines whether the UE supports DM-RS pattern for DL reception and/or UL transmission with 2 symbols front-loaded DM-RS without additional DM-RS symbols.  The left most in the bitmap corresponds to DL reception and the right most bit in the bitmap corresponds to UL transmission. | UE | Yes | No | Yes |
| ***twoFL-DMRS-TwoAdditionalDMRS-UL***  Defines whether the UE supports DM-RS pattern for UL transmission with 2 symbols front-loaded DM-RS with one additional 2 symbols DM-RS. | UE | Yes | No | Yes |
| ***twoPUCCH-AnyOthersInSlot***  Indicates whether the UE supports transmission of two PUCCH formats in TDM in the same slot, which are not covered by *twoPUCCH-F0-2-ConsecSymbols* and *onePUCCH-LongAndShortFormat*. | UE | No | No | Yes |
| ***twoPUCCH-F0-2-ConsecSymbols***  Indicates whether the UE supports transmission of two PUCCHs of format 0 or 2 in consecutive symbols in a slot. | UE | No | Yes | Yes |
| ***type1-PUSCH-RepetitionMultiSlots***  Indicates whether the UE supports Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 1 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-PUSCH-RepetitionMultiSlots***  Indicates whether the UE supports Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value equal to 2, 4, or 8 with a single repetition of the transport block within each slot, and redundancy version pattern as indicated by UL-TWG-RV-rep. A UE supporting this feature shall also support Type 2 PUSCH transmissions with configured grant as specified in TS 38.214 [12] with UL-TWG-repK value of one. | UE | No | No | No |
| ***type2-SP-CSI-Feedback-LongPUCCH***  Indicates whether UE supports Type II CSI semi-persistent CSI reporting over PUCCH Formats 3 and 4 as defined in clause 5.2.4 of TS 38.214 [12]. | UE | No | No | No |
| ***uci-CodeBlockSegmentation***  Indicates whether the UE supports segmenting UCI into multiple code blocks depending on the payload size. | UE | Yes | No | Yes |
| ***ul-64QAM-MCS-TableAlt***  Indicates whether the UE supports the alternative 64QAM MCS table for PUSCH with and without transform precoding respectively. | UE | No | No | Yes |
| ***ul-SchedulingOffset***  Indicates whether the UE supports UL scheduling slot offset (K2) greater than 12. | UE | Yes | Yes | Yes |

Editor’s note: FFS whether to support allowing CG periodicities of multiple of 2/7 symbols as a separate capability with a cross-slot boundary capability as a pre-requisite.

*Next Modified Subclause*

# 8 UE Capability Constraints

The following table lists constraints indicating the UE capabilities that the UE shall support.

| Parameter | Description | Value |
| --- | --- | --- |
| #DRBs | The number of DRBs that a UE shall support. | 16 per UE.  NOTE: 8 per MAC entity with duplication. |
| #minCellperMeasObjectNR | The minimum number of neighbour cells (excluding black list cells) that a UE shall be able to store associated with a MeasObjectNR. | 32 |
| #minBlackCellRangesperMeasObjectNR | The minimum number of blacklist cell PCI ranges that a UE shall be able to store associated with a MeasObjectNR. | 8 |
| #minCellperMeasObjectEUTRA | The minimum number of neighbour cells that a UE shall be able to store associated with a MeasObjectEUTRA. | 32 |
| #minCellTotal | The minimum number of neighbour cells (excluding black list cells) that UE shall be able to store in total from all measurement objects configured. | 256 with counting CSI-RS and SSB as 2. |
| #cell for CGI reporting | the limit regarding the cells NR can configure includes the cell for which the UE is requested to report CGI. | (# minCellperMeasObjectRAT - 1), where RAT represents NR and EUTRA. |
| #maxDeprioritisationFreq | The UE shall be able to store a depriotisation request for up to 8 frequencies (applicable when receiving another frequency specific deprioritisation request via *RRCRelease* before T325 expiry). | 8 |
| #minCellperMeasObjectUTRA-FDD | The minimum number of neighbour cells that a UE shall be able to store associated with a MeasObjectUTRA-FDD. | 32 |

Editor’s note: FFS: Revisit the discussion on the number of DRBs the UE shall support with Rel-16 PDCP duplication after the related issue for Rel-15 is clarified.

Editor’s note: FFS: Allow additional RLC entities to be configured for duplication without impacting the maximum number of DRBs. Discuss further the conditions for allowing additional RLC entities to be configured.

*End of changes*