**3GPP TSG- Meeting #**

**Electronic, 20 April – 30 April 2020**

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| *CR-Form-v12.0* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **DraftCR** | **rev** | **-** | **Current version:** | **16.0.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  | | | | | | | | | | |
| ***Title:*** | Running CR for correction of NR IIoT | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Ericsson (Rapporteur) | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_IIoT | | | | |  | ***Date:*** | | | 2020-05-04 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) Rel-12 (Release 12)* *Rel-13 (Release 13) Rel-14 (Release 14) Rel-15 (Release 15) Rel-16 (Release 16)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Tbd | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Per RAN1 parameter list in R1-2001478, add the field pdsch-AggregationFactor in the SPS-Config 2. Add baseline support for the request of the reference time sent via UEAssistanceInformation message. 3. Add clarification that SPS-Config and SPS-ConfigList cannot be configured simultanesouly 4. Add clarification that ConfiguredGrantConfig and ConfiguredGrantConfigList cannot be configured simultanesouly 5. Remove the Editor’s note on separate SR/Data configurability 6. Rename autonomousReTx to autonomousTX and remove the corresponding editor’s note 7. Clarfiy the meaning of duplicationState for DRBs and SRBs 8. Remove the Editor’s note on splitSecondaryPath 9. Add ”The network reconfigures ethernetHeaderCompression only upon reconfiguration involving PDCP re-establishment.” 10. Rename “ehc-HeaderSize” to “ehc-CID-Length” 11. Add maxNumberEHC-ContextsSN in ConfigRestrictInfoSCG 12. For better ASN.1 structure, remove sps-PUCCH-AN-ListPerCodebook from SPS-ConfigList and add sps-PUCCH-AN-List in PUCCH-Config. An editor’s note on a need for confirmation is added. 13. Correct field description of lch-BasedPrioritization, according to RIL issue O404 14. Rename SPS-ConfligList to SPS-ConfigMulti and ConfiguredGrantConfigList to ConfiguredGrantConfigMulti, and remove the setup release structure, according to RIL issue Z132, Z134 15. Move multiple SPS/CG reconfiguration/release conditions from BWP Dedicated IE to ToAddModList, according to RIL issue S201/S202/Z133/Z135 16. Change the need code of sps-ConfigDeactivationStateList to ”R” and the same for configuredGrantConfigType2DeactivationStateList, according to RIL issue I666 17. Change the need code of maxPayloadSize-r16 included in SPS-PUCCH-AN to ”R”, according to RIL issue I667 18. Add IE SchedulingRequestResourceConfig-v16xy in IE PUCCH-Config, according to RIL issue S206. 19. Change the need code of referenceTimeInfo-r16 to "R", according to RIL issue S203 20. Delete the late noncritical extension in DLInformationTransfer-v16xy-IEs, according to RIL issue I682 21. Move one part of the field description of timeReferenceSFN "If the field timeReferenceSFN is not present, the reference SFN is 0." to the field description of timeReferenceSFN, according to RIL issue O403 22. Change the need code of allowedCG-List and allowedPHY-PriorityIndex to "S", accordgin to RIL issue Z136 23. Clarify that ConfiguredGrantMulti and SPS-ConfigMulti can be used to configured only one configuration by change the description of ”multiple” to ”one or more”, according to the RIL editorial 44-51. 24. Change the need node of ehc-Downlink and ehc-Uplink to ”M”, according to RIL issue I645. 25. Change the need code of “uncertainty-r16” and “timeInfoType-r16” to “S”, according to RIL I652. 26. Change the need code of harq-ProcID-Offset-r16, periodicityExt-r16 and harq-CodebookID-r16 to”M” | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Tbd | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  |  | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  |  | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  |  | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*START OF CHANGES*

### 5.7.4 UE Assistance Information

#### 5.7.4.1 General



Figure 5.7.4.1-1: UE Assistance Information

The purpose of this procedure is for the UE to inform the network of:

- its delay budget report carrying desired increment/decrement in the connected mode DRX cycle length, or;

- its overheating assistance information, or;

- its IDC assistance information, or;

- its preference on DRX parameters for power saving, or;

- its preference on the maximum aggregated bandwidth for power saving, or;

- its preference on the maximum number of secondary component carriers for power saving, or;

- its preference on the maximum number of MIMO layers for power saving, or;

- its preference on the minimum scheduling offset for cross-slot scheduling for power saving, or;

- assistance information to transition out of RRC\_CONNECTED state when the UE does not expect to send or receive data in the near future, or;

- configured grant assistance for NR sidelink communication, or;

- its interest in reference time information.

#### 5.7.4.2 Initiation

A UE capable of providing delay budget report in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide delay budget report and upon change of delay budget preference.

A UE capable of providing overheating assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting internal overheating, or upon detecting that it is no longer experiencing an overheating condition.

A UE capable of providing IDC assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting IDC problem if the UE did not transmit an IDC assistance information since it was configured to provide IDC indications, or upon change of IDC problem information.

A UE capable of providing its preference on DRX parameters for power saving in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide its preference on DRX parameters and upon change of its preference on DRX parameters.

A UE capable of providing its preference on the maximum aggregated bandwidth for power saving in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide its maximum aggregated bandwidth preference and upon change of its maximum aggregated bandwidth preference.

A UE capable of providing its preference on the maximum number of secondary component carriers for power saving in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide its maximum number of secondary component carriers preference and upon change of its maximum number of secondary component carriers preference.

A UE capable of providing its preference on the maximum number of MIMO layers for power saving in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide its maximum number of MIMO layers preference and upon change of its maximum number of MIMO layers preference.

A UE capable of providing its preference on the minimum scheduling offset for cross-slot scheduling for power saving in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide its minimum scheduling offset preference and upon change of its minimum scheduling offset preference.

A UE capable of providing assistance information to transition out of RRC\_CONNECTED state may initiate the procedure if it was configured to do so, upon determining that it prefers to leave RRC\_CONNECTED state, or upon change of its preferred RRC state.

A UE capable of providing configured grant assistance information for NR sidelink communication in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide traffic pattern information and upon change of traffic pattern.

A UE capable of providing an indication of its interest in reference time information may initiate the procedure upon being configured to provide an indication of its interest in reference time information, or if it was configured to provide this indication and upon change of its interest in reference time information.

Upon initiating the procedure, the UE shall:

1> if configured to provide delay budget report:

2> if the UE did not transmit a *UEAssistanceInformation* message with *delayBudgetReport* since it was configured to provide delay budget report; or

2> if the current delay budget is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *delayBudgetReport* and timer T342 is not running:

3> start or restart timer T342 with the timer value set to the *delayBudgetReportingProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide a delay budget report;

1> if configured to provide overheating assistance information:

2> if the overheating condition has been detected and T345 is not running; or

2> if the current overheating assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *overheatingAssistance* and timer T345 is not running:

3> start timer T345 with the timer value set to the *overheatingIndicationProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide overheating assistance information;

1> if configured to provide IDC assistance information:

2> if the UE did not transmit a *UEAssistanceInformation* message with *idc-Assistance* since it was configured to provide IDC assistance information:

3> if on one or more frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself; or

3> if on one or more supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:

4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide IDC assistance information;

2> else if the current IDC assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide IDC assistance information;

NOTE 1: The term "IDC problems" refers to interference issues applicable across several subframes/slots where not necessarily all the subframes/slots are affected.

NOTE 2: For the frequencies on which a serving cell or serving cells is configured that is activated, IDC problems consist of interference issues that the UE cannot solve by itself, during either active data exchange or upcoming data activity which is expected in up to a few hundred milliseconds.  
For frequencies on which a SCell or SCells is configured that is deactivated, reporting IDC problems indicates an anticipation that the activation of the SCell or SCells would result in interference issues that the UE would not be able to solve by itself.  
For a non-serving frequency, reporting IDC problems indicates an anticipation that if the non-serving frequency or frequencies became a serving frequency or serving frequencies then this would result in interference issues that the UE would not be able to solve by itself.

1> if configured to provide its preference on DRX parameters for power saving:

2> if the UE did not transmit a *UEAssistanceInformation* message with *drx-Preference* since it was configured to provide its preference on DRX parameters for power saving; or

2> if the current preference on DRX parameters is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *drx-Preference* and timer T346a is not running:

3> start timer T346a with the timer value set to the *drx-PreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide its preference on DRX parameters for power saving;

1> if configured to provide its preference on the maximum aggregated bandwidth for power saving:

2> if the UE did not transmit a *UEAssistanceInformation* message with *maxBW-Preference* since it was configured to provide its preference on the maximum aggregated bandwidth for power saving; or

2> if the current preference on the maximum aggregated bandwidth is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxBW-Preference* and timer T346b is not running:

3> start timer T346b with the timer value set to the *maxBW-PreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide its preference on the maximum aggregated bandwidth for power saving;

1> if configured to provide its preference on the maximum number of secondary component carriers for power saving:

2> if the UE did not transmit a *UEAssistanceInformation* message with *maxCC-Preference* since it was configured to provide its preference on the maximum number of secondary component carriers for power saving; or

2> if the current preference on the maximum number of secondary component carriers is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxCC-Preference* and timer T346c is not running:

3> start timer T346c with the timer value set to the *maxCC-PreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide its preference on the maximum number of secondary component carriers for power saving;

1> if configured to provide its preference on the maximum number of MIMO layers for power saving:

2> if the UE did not transmit a *UEAssistanceInformation* message with *maxMIMO-LayerPreference* since it was configured to provide its preference on the maximum number of MIMO layers for power saving; or

2> if the current preference on the maximum number of MIMO layers is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *maxMIMO-LayerPreference* and timer T346d is not running:

3> start timer T346d with the timer value set to the *maxMIMO-LayerPreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide its preference on the maximum number of MIMO layers for power saving;

1> if configured to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving:

2> if the UE did not transmit a *UEAssistanceInformation* message with *minSchedulingOffsetPreference* since it was configured to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving; or

2> if the current preference on the minimum scheduling offset for cross-slot scheduling is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *minSchedulingOffsetPreference* and timer T346e is not running:

3> start timer T346e with the timer value set to the *minSchedulingOffsetPreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving;

1> if configured to provide its release preference:

2> if the UE determines that it would prefer to leave RRC\_CONNECTED state and the UE did not transmit a *UEAssistanceInformation* message with *releasePreference* since it was configured to provide its release preference; or

2> if the current preferred RRC state is different from the one indicated in the last transmission of the *UEAssistanceInformation* message including *releasePreference* and timer T346f is not running:

3> start timer T346f with the timer value set to the *releasePreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide the release preference;

1> if configured to provide configured grant assistance information for NR sidelink communication:

2> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide configured grant assistance information for NR sidelink communication;

1> if configured with *referenceTimeInterestReporting* to provide interest in reference time information:

2> if the UE did not transmit a *UEAssistanceInformation* message with *referenceTimeInfoInterest* since it was configured to provide interest in reference time information; or

2> if the UE’s interest in reference time information changed from the last time UE initiated transmission of the *UEAssistanceInformation* message including *referenceTimeInfoInterest*:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.7.4.3 to provide an indication of interest in reference time information;

IIoT Editor’s note: It is FFS the need for a prohibit timer T346. FFS whether the UE is allowed to send the same interest message.

#### 5.7.4.3 Actions related to transmission of *UEAssistanceInformation* message

The UE shall set the contents of the *UEAssistanceInformation* message as follows:

1> if transmission of the *UEAssistanceInformation* message is initiated to provide a delay budget report according to 5.7.4.2;

2> set *delayBudgetReport* to *type1* according to a desired value;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide overheating assistance information according to 5.7.4.2;

2> if the UE experiences internal overheating:

3> if the UE prefers to temporarily reduce the number of maximum secondary component carriers:

4> include reducedMaxCCs in the OverheatingAssistance IE;

4> set reducedCCsDL to the number of maximum SCells the UE prefers to be temporarily configured in downlink;

4> set reducedCCsUL to the number of maximum SCells the UE prefers to be temporarily configured in uplink;

3> if the UE prefers to temporarily reduce maximum aggregated bandwidth of FR1:

4> include reducedMaxBW-FR1 in the OverheatingAssistance IE;

4> set reducedBW-FR1-DL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all downlink carriers of FR1;

4> set reducedBW-FR1-UL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all uplink carriers of FR1;

3> if the UE prefers to temporarily reduce maximum aggregated bandwidth of FR2:

4> include reducedMaxBW-FR2 in the OverheatingAssistance IE;

4> set reducedBW-FR2-DL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all downlink carriers of FR2;

4> set reducedBW-FR2-UL to the maximum aggregated bandwidth the UE prefers to be temporarily configured across all uplink carriers of FR2;

3> if the UE prefers to temporarily reduce the number of maximum MIMO layers of each serving cell operating on FR1:

4> include reducedMaxMIMO-LayersFR1 in the OverheatingAssistance IE;

4> set reducedMIMO-LayersFR1-DL to the number of maximum MIMO layers of each serving cell operating on FR1 the UE prefers to be temporarily configured in downlink;

4> set reducedMIMO-LayersFR1-UL to the number of maximum MIMO layers of each serving cell operating on FR1 the UE prefers to be temporarily configured in uplink;

3> if the UE prefers to temporarily reduce the number of maximum MIMO layers of each serving cell operating on FR2:

4> include reducedMaxMIMO-LayersFR2 in the OverheatingAssistance IE;

4> set reducedMIMO-LayersFR2-DL to the number of maximum MIMO layers of each serving cell operating on FR2 the UE prefers to be temporarily configured in downlink;

4> set reducedMIMO-LayersFR2-UL to the number of maximum MIMO layers of each serving cell operating on FR2 the UE prefers to be temporarily configured in uplink;

2> else (if the UE no longer experiences an overheating condition):

3> do not include reducedMaxCCs, reducedMaxBW-FR1, reducedMaxBW-FR2, reducedMaxMIMO-LayersFR1 and reducedMaxMIMO-LayersFR2 in OverheatingAssistance IE;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide IDC assistance information according to 5.7.4.2:

2> if there is at least one carrier frequency included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:

3> include the field *affectedCarrierFreqList* with an entry for each affected carrier frequency included in *candidateServingFreqListNR*;

3> for each carrier frequency included in the field *affectedCarrierFreqList*, include *interferenceDirection* and set it accordingly;

2> if there is at least one supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, the UE is experiencing IDC problems that it cannot solve by itself:

3> include *victimSystemType* for each UL CA combination included in *affectedCarrierFreqCombList*;

3> if the UE sets *victimSystemType* to *wlan* or *bluetooth*:

4> include *affectedCarrierFreqCombList* with an entry for each supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, that is affected by IDC problems;

3> else:

4> optionally include *affectedCarrierFreqCombList* with an entry for each supported UL CA combination comprising of carrier frequencies included in *candidateServingFreqListNR*, that is affected by IDC problems;

NOTE 1: When sending an *UEAssistanceInformation* message to inform the IDC problems, the UE includes all IDC assistance information (rather than providing e.g. the changed part(s) of the IDC assistance information).

NOTE 2: Upon not anymore experiencing a particular IDC problem that the UE previously reported, the UE provides an IDC indication with the modified contents of the *UEAssistanceInformation* message (e.g. by not including the IDC assistance information in the *idc-Assistance* field).

1> if transmission of the *UEAssistanceInformation* message is initiated to provide its preference on DRX parameters for power saving according to 5.7.4.2:

2> include *drx-Preference* in the *UEAssistanceInformation* message;

2> set *preferredDRX-LongCycle* to a desired value;

2> set *preferredDRX-InactivityTimer* to a desired value;

2> set *preferredDRX-ShortCycle* to a desired value;

2> set *preferredDRX-ShortCycleTimer* to a desired value;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide its preference on the maximum aggregated bandwidth for power saving according to 5.7.4.2:

2> include *maxBW-Preference* in the *UEAssistanceInformation* message;

2> if the UE prefers to reduce the maximum aggregated bandwidth of FR1:

3> include *reducedMaxBW-FR1* in the *MaxBW-Preference* IE;

3> set *reducedBW-FR1-DL* to the maximum aggregated bandwidth the UE desires to have configured across all downlink carriers of FR1;

3> set *reducedBW-FR1-UL* to the maximum aggregated bandwidth the UE desires to have configured across all uplink carriers of FR1;

2> if the UE prefers to reduce the maximum aggregated bandwidth of FR2:

3> include *reducedMaxBW-FR2* in the *MaxBW-Preference* IE;

3> set *reducedBW-FR2-DL* to the maximum aggregated bandwidth the UE desires to have configured across all downlink carriers of FR2;

3> set *reducedBW-FR2-UL* to the maximum aggregated bandwidth the UE desires to have configured across all uplink carriers of FR2;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide its preference on the maximum number of secondary component carriers for power saving according to 5.7.4.2:

2> include *maxCC-Preference* in the *UEAssistanceInformation* message;

2> set *reducedCCsDL* to the number of maximum SCells the UE desires to have configured in downlink;

2> set *reducedCCsUL* to the number of maximum SCells the UE desires to have configured in uplink;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide its preference on the maximum number of MIMO layers for power saving according to 5.7.4.2:

2> include *maxMIMO-LayerPreference* in the *UEAssistanceInformation* message;

2> if the UE prefers to reduce the number of maximum MIMO layers of each serving cell operating on FR1:

3> include *reducedMaxMIMO-LayersFR1* in the *MaxMIMO-LayerPreference* IE;

3> set *reducedMIMO-LayersFR1-DL* to the number of maximum MIMO layers of each serving cell operating on FR1 the UE desires to have configured in downlink;

3> set *reducedMIMO-LayersFR1-UL* to the number of maximum MIMO layers of each serving cell operating on FR1 the UE desires to have configured in uplink;

2> if the UE prefers to reduce the number of maximum MIMO layers of each serving cell operating on FR2:

3> include *reducedMaxMIMO-LayersFR2* in the *MaxMIMO-LayerPreference* IE;

3> set *reducedMIMO-LayersFR2-DL* to the number of maximum MIMO layers of each serving cell operating on FR2 the UE desires to have configured in downlink;

3> set *reducedMIMO-LayersFR2-UL* to the number of maximum MIMO layers of each serving cell operating on FR2 the UE desires to have configured in uplink;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide its preference on the minimum scheduling offset for cross-slot scheduling for power saving according to 5.7.4.2:

2> include *minSchedulingOffsetPreference* in the *UEAssistanceInformation* message;

2> set *preferredK0-SCS-15kHz* to the desired value of *K*0 (TS 38.214 [19], clause 5.1.2.1) for cross-slot scheduling with 15 kHz SCS;

2> set *preferredK0-SCS-30kHz* to the desired value of *K*0 for cross-slot scheduling with 30 kHz SCS;

2> set *preferredK0-SCS-60kHz* to the desired value of *K*0 for cross-slot scheduling with 60 kHz SCS;

2> set *preferredK0-SCS-120kHz* to the desired value of *K*0 for cross-slot scheduling with 120 kHz SCS;

2> set *preferredK2-SCS-15kHz* to the desired value of *K*2 (TS 38.214 [19], clause 6.1.2.1) for cross-slot scheduling with 15 kHz SCS;

2> set *preferredK2-SCS-30kHz* to the desired value of *K*2 for cross-slot scheduling with 30 kHz SCS;

2> set *preferredK2-SCS-60kHz* to the desired value of *K*2 for cross-slot scheduling with 60 kHz SCS;

2> set *preferredK2-SCS-120kHz* to the desired value of *K*2 for cross-slot scheduling with 120 kHz SCS;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide a release preference according to 5.7.4.2:

2> include *releasePreference* in the *UEAssistanceInformation* message;

2> if the UE has a preferred RRC state on transmission of the *UEAssistanceInformation* message:

3> include *preferredRRC-State* in the *ReleasePreference* IE;

3> set *preferredRRC-State* to the desired RRC state on transmission of the *UEAssistanceInformation* message;

1> if transmission of the *UEAssistanceInformation* message is initiated to provide an indication of interest in reference time information according to 5.7.4.2:

2> if the UE has an interest in being provisioned with reference time information:

3> set *referenceTimeInfoInterest* to *true*;

2> else:

3> set *referenceTimeInfoInterest* to *false*.

The UE shall set the contents of the *UEAssistanceInformation* message for configured grant assistance information for NR sidelink communication:

1> if configured to provide configured grant assistance information for NR sidelink communication:

2> include the sl-UE-AssistanceInformationNR;

NOTE 1: It is up to UE implementation when and how to trigger configured grant assistance information for NR sidelink communication.

The UE shall submit the *UEAssistanceInformation* message to lower layers for transmission.

*NEXT CHANGE*

# 6 Protocol data units, formats and parameters (ASN.1)

## 6.2 RRC messages

### 6.2.2 Message definitions

#### – *DLInformationTransfer*

The *DLInformationTransfer* message is used for the downlink transfer of NAS dedicated information and timing information for the 5G internal system clock.

Signalling radio bearer: SRB2 or SRB1 (only if SRB2 not established yet. If SRB2 is suspended, the network does not send this message until SRB2 is resumed.)

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*DLInformationTransfer* message

-- ASN1START

-- TAG-DLINFORMATIONTRANSFER-START

DLInformationTransfer ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

dlInformationTransfer DLInformationTransfer-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

DLInformationTransfer-IEs ::= SEQUENCE {

dedicatedNAS-Message DedicatedNAS-Message OPTIONAL, -- Need N

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension DLInformationTransfer-v16xy-IEs OPTIONAL

}

DLInformationTransfer-v16xy-IEs ::= SEQUENCE {

referenceTimeInfo-r16 ReferenceTimeInfo-r16 OPTIONAL, -- Need R

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-DLINFORMATIONTRANSFER-STOP

-- ASN1STOP

*NEXT CHANGE*

#### – *UEAssistanceInformation*

The *UEAssistanceInformation* message is used for the indication of UE assistance information to the network.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

*UEAssistanceInformation message*

-- ASN1START

-- TAG-UEASSISTANCEINFORMATION-START

UEAssistanceInformation ::= SEQUENCE {

criticalExtensions CHOICE {

ueAssistanceInformation UEAssistanceInformation-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

UEAssistanceInformation-IEs ::= SEQUENCE {

delayBudgetReport DelayBudgetReport OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension UEAssistanceInformation-v1540-IEs OPTIONAL

}

DelayBudgetReport::= CHOICE {

type1 ENUMERATED {

msMinus1280, msMinus640, msMinus320, msMinus160,msMinus80, msMinus60, msMinus40,

msMinus20, ms0, ms20,ms40, ms60, ms80, ms160, ms320, ms640, ms1280},

...

}

UEAssistanceInformation-v1540-IEs ::= SEQUENCE {

overheatingAssistance OverheatingAssistance OPTIONAL,

nonCriticalExtension UEAssistanceInformation-v16xy-IEs OPTIONAL

}

OverheatingAssistance ::= SEQUENCE {

reducedMaxCCs SEQUENCE {

reducedCCsDL INTEGER (0..31),

reducedCCsUL INTEGER (0..31)

} OPTIONAL,

reducedMaxBW-FR1 SEQUENCE {

reducedBW-FR1-DL ReducedAggregatedBandwidth,

reducedBW-FR1-UL ReducedAggregatedBandwidth

} OPTIONAL,

reducedMaxBW-FR2 SEQUENCE {

reducedBW-FR2-DL ReducedAggregatedBandwidth,

reducedBW-FR2-UL ReducedAggregatedBandwidth

} OPTIONAL,

reducedMaxMIMO-LayersFR1 SEQUENCE {

reducedMIMO-LayersFR1-DL MIMO-LayersDL,

reducedMIMO-LayersFR1-UL MIMO-LayersUL

} OPTIONAL,

reducedMaxMIMO-LayersFR2 SEQUENCE {

reducedMIMO-LayersFR2-DL MIMO-LayersDL,

reducedMIMO-LayersFR2-UL MIMO-LayersUL

} OPTIONAL

}

ReducedAggregatedBandwidth ::= ENUMERATED {mhz0, mhz10, mhz20, mhz30, mhz40, mhz50, mhz60, mhz80, mhz100, mhz200, mhz300, mhz400}

UEAssistanceInformation-v16xy-IEs ::= SEQUENCE {

idc-Assistance-r16 IDC-Assistance-r16 OPTIONAL,

drx-Preference-r16 DRX-Preference-r16 OPTIONAL,

maxBW-Preference-r16 MaxBW-Preference-r16 OPTIONAL,

maxCC-Preference-r16 MaxCC-Preference-r16 OPTIONAL,

maxMIMO-LayerPreference-r16 MaxMIMO-LayerPreference-r16 OPTIONAL,

minSchedulingOffsetPreference-r16 MinSchedulingOffsetPreference-r16 OPTIONAL,

releasePreference-r16 ReleasePreference-r16 OPTIONAL,

sl-UE-AssistanceInformationNR-r16 SL-UE-AssistanceInformationNR-r16 OPTIONAL,

referenceTimeInfoInterest-r16 BOOLEAN OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

IDC-Assistance-r16 ::= SEQUENCE {

affectedCarrierFreqList-r16 AffectedCarrierFreqList-r16 OPTIONAL,

affectedCarrierFreqCombList-r16 AffectedCarrierFreqCombList-r16 OPTIONAL,

...

}

AffectedCarrierFreqList-r16 ::= SEQUENCE (SIZE (1.. maxFreqIDC-r16)) OF AffectedCarrierFreq-r16

AffectedCarrierFreq-r16 ::= SEQUENCE {

carrierFreq-r16 ARFCN-ValueNR,

interferenceDirection-r16 ENUMERATED {nr, other, both, spare}

}

AffectedCarrierFreqCombList-r16 ::= SEQUENCE (SIZE (1..maxCombIDC-r16)) OF AffectedCarrierFreqComb-r16

AffectedCarrierFreqComb-r16 ::= SEQUENCE {

affectedCarrierFreqComb-r16 SEQUENCE (SIZE (2..maxNrofServingCells)) OF ARFCN-ValueNR OPTIONAL,

victimSystemType-r16 VictimSystemType-r16

}

VictimSystemType-r16 ::= SEQUENCE {

gps-r16 ENUMERATED {true} OPTIONAL,

glonass-r16 ENUMERATED {true} OPTIONAL,

bds-r16 ENUMERATED {true} OPTIONAL,

galileo-r16 ENUMERATED {true} OPTIONAL,

navIC-r16 ENUMERATED {true} OPTIONAL,

wlan-r16 ENUMERATED {true} OPTIONAL,

bluetooth-r16 ENUMERATED {true} OPTIONAL,

...

}

DRX-Preference-r16 ::= SEQUENCE {

preferredDRX-InactivityTimer-r16 ENUMERATED {

ms0, ms1, ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30, ms40, ms50, ms60, ms80,

ms100, ms200, ms300, ms500, ms750, ms1280, ms1920, ms2560, spare9, spare8,

spare7, spare6, spare5, spare4, spare3, spare2, spare1} OPTIONAL,

preferredDRX-LongCycle-r16 ENUMERATED {

ms10, ms20, ms32, ms40, ms60, ms64, ms70, ms80, ms128, ms160, ms256, ms320, ms512,

ms640, ms1024, ms1280, ms2048, ms2560, ms5120, ms10240, spare12, spare11, spare10,

spare9, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL,

preferredDRX-ShortCycle-r16 ENUMERATED {

ms2, ms3, ms4, ms5, ms6, ms7, ms8, ms10, ms14, ms16, ms20, ms30, ms32,

ms35, ms40, ms64, ms80, ms128, ms160, ms256, ms320, ms512, ms640, spare9,

spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL,

preferredDRX-ShortCycleTimer-r16 INTEGER (1..16) OPTIONAL

}

MaxBW-Preference-r16 ::= SEQUENCE {

reducedMaxBW-FR1-r16 SEQUENCE {

reducedBW-FR1-DL-r16 ReducedAggregatedBandwidth,

reducedBW-FR1-UL-r16 ReducedAggregatedBandwidth

} OPTIONAL,

reducedMaxBW-FR2-r16 SEQUENCE {

reducedBW-FR2-DL-r16 ReducedAggregatedBandwidth,

reducedBW-FR2-UL-r16 ReducedAggregatedBandwidth

} OPTIONAL

}

MaxCC-Preference-r16 ::= SEQUENCE {

reducedCCsDL-r16 INTEGER (0..31),

reducedCCsUL-r16 INTEGER (0..31)

}

MaxMIMO-LayerPreference-r16 ::= SEQUENCE {

reducedMaxMIMO-LayersFR1-r16 SEQUENCE {

reducedMIMO-LayersFR1-DL-r16 INTEGER (1..8),

reducedMIMO-LayersFR1-UL-r16 INTEGER (1..4)

} OPTIONAL,

reducedMaxMIMO-LayersFR2-r16 SEQUENCE {

reducedMIMO-LayersFR2-DL-r16 INTEGER (1..8),

reducedMIMO-LayersFR2-UL-r16 INTEGER (1..4)

} OPTIONAL

}

MinSchedulingOffsetPreference-r16 ::= SEQUENCE {

preferredK0-r16 SEQUENCE {

preferredK0-SCS-15kHz-r16 ENUMERATED {sl1, sl2, sl4, sl6} OPTIONAL,

preferredK0-SCS-30kHz-r16 ENUMERATED {sl1, sl2, sl4, sl6} OPTIONAL,

preferredK0-SCS-60kHz-r16 ENUMERATED {sl2, sl4, sl8, sl12} OPTIONAL,

preferredK0-SCS-120kHz-r16 ENUMERATED {sl2, sl4, sl8, sl12} OPTIONAL

} OPTIONAL,

preferredK2-r16 SEQUENCE {

preferredK2-SCS-15kHz-r16 ENUMERATED {sl1, sl2, sl4, sl6} OPTIONAL,

preferredK2-SCS-30kHz-r16 ENUMERATED {sl1, sl2, sl4, sl6} OPTIONAL,

preferredK2-SCS-60kHz-r16 ENUMERATED {sl2, sl4, sl8, sl12} OPTIONAL,

preferredK2-SCS-120kHz-r16 ENUMERATED {sl2, sl4, sl8, sl12} OPTIONAL

} OPTIONAL

}

ReleasePreference-r16 ::= SEQUENCE {

preferredRRC-State-r16 ENUMERATED {idle, inactive, connected} OPTIONAL

}

SL-UE-AssistanceInformationNR-r16 ::= SEQUENCE (SIZE (1..maxNrofTrafficPattern-r16)) OF TrafficPatternInfo-r16

TrafficPatternInfo-r16::= SEQUENCE {

trafficPeriodicity-r16 ENUMERATED {

ms20,ms50, ms100, ms200, ms300, ms400, ms500, ms600, ms700, ms800, ms900, ms1000},

timingOffset-r16 INTEGER (0..10239) OPTIONAL,

messageSize-r16 BIT STRING (SIZE (8)) OPTIONAL,

sl-QoS-FlowIdentity-r16 SL-QoS-FlowIdentity-r16 OPTIONAL

}

-- TAG-UEASSISTANCEINFORMATION-STOP

-- ASN1STOP

| *UEAssistanceInformation* field descriptions |
| --- |
| ***affectedCarrierFreqList***  Indicates a list of NR carrier frequencies that are affected by IDC problem. |
| ***affectedCarrierFreqCombList***  Indicates a list of NR carrier frequencie combinations that are affected by IDC problems due to Inter-Modulation Distortion and harmonics from NR when configured with UL CA. |
| ***delayBudgetReport***  Indicates the UE-preferred adjustment to connected mode DRX. |
| ***interferenceDirection***  Indicates the direction of IDC interference. Value *nr* indicates that only NR is victim of IDC interference, value *other* indicates that only another radio is victim of IDC interference and value *both* indicates that both NR and another radio are victims of IDC interference. The other radio refers to either the ISM radio or GNSS (see TR 36.816 [44]). |
| ***messageSize***  Indicates the maximum TB size based on the observed traffic pattern. The value refers to the index of TS 38.321 [3], table 6.1.3.1-2. |
| ***minSchedulingOffsetPreference***  Indicates the UE's preferences on *minimumSchedulingOffset* of cross-slot scheduling for power saving. |
| ***preferredDRX-InactivityTimer***  Indicates the UE's preferred DRX inactivity timer length for power saving. Value in ms (milliSecond). *ms0* corresponds to 0, *ms1* corresponds to 1 ms, *ms2* corresponds to 2 ms, and so on. |
| ***preferredDRX-LongCycle***  Indicates the UE's preferred long DRX cycle length for power saving. Value in ms. *ms10* corresponds to 10ms, *ms20* corresponds to 20 ms, *ms32* corresponds to 32 ms, and so on. If *preferredDRX-ShortCycle* is provided, the value of *preferredDRX-LongCycle* shall be a multiple of the *preferredDRX-ShortCycle* value. |
| ***preferredDRX-ShortCycle***  Indicates the UE's preferred short DRX cycle length for power saving. Value in ms. *ms2* corresponds to 2ms, *ms3* corresponds to 3 ms, *ms4* corresponds to 4 ms, and so on. |
| ***preferredDRX-ShortCycleTimer***  Indicates the UE's preferred short DRX cycle timer for power saving. Value in multiples of *preferredDRX-ShortCycle*. A value of 1 corresponds to *preferredDRX-ShortCycle*, a value of 2 corresponds to 2 \* *preferredDRX-ShortCycle* and so on. |
| ***preferredK0***  Indicates the UE's preferred value of *k0* (slot offset between DCI and its scheduled PDSCH - see TS 38.214 [19], clause 5.1.2.1) for cross-slot scheduling for power saving. Value is defined for each subcarrier spacing (numerology) in units of slots. *sl1* corresponds to 1 slot, *sl2* corresponds to 2 slots, *sl4* corresponds to 4 slots, and so on. |
| ***preferredK2***  Indicates the UE's preferred value of *k2* (slot offset between DCI and its scheduled PUSCH - see TS 38.214 [19], clause 6.1.2.1) for cross-slot scheduling for power saving. Value is defined for each subcarrier spacing (numerology) in units of slots. *sl1* corresponds to 1 slot, *sl2* corresponds to 2 slots, *sl4* corresponds to 4 slots, and so on. |
| ***preferredRRC-State***  Indicates the UE's preferred RRC state on switching out of RRC\_CONNECTED state. The state *connected* is indicated if the UE prefers to remain in RRC\_CONNECTED state. If *preferredRRC-State* IE is not included, the UE would prefer to leave RRC\_CONNECTED state. |
| ***reducedBW-FR1-DL***  Indicates the UE's preference on reduced configuration corresponding to the maximum aggregated bandwidth across all downlink carrier(s) of FR1 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cell(s) operating on FR1. This maximum aggregated bandwidth includes downlink carrier(s) of FR1 of both the MCG and the SCG. Value *mhz0* is not used when indicated to address overheating. The aggregated bandwidth across all downlink carrier(s) of FR1 is the sum of bandwidth of active downlink BWP(s) across all activated downlink carrier(s) of FR1. The aggregated bandwidth can only range up to the current active configuration when indicated to address power savings. |
| ***reducedBW-FR1-UL***  Indicates the UE's preference on reduced configuration corresponding to the maximum aggregated bandwidth across all uplink carrier(s) of FR1 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cell(s) operating on FR1. This maximum aggregated bandwidth includes uplink carrier(s) of FR1 of both the MCG and the SCG. Value *mhz0* is not used when indicated to address overheating. The aggregated bandwidth across all uplink carrier(s) of FR1 is the sum of bandwidth of active uplink BWP(s) across all activated uplink carrier(s) of FR1. The aggregated bandwidth can only range up to the current active configuration when indicated to address power savings. |
| ***reducedBW-FR2-DL***  Indicates the UE's preference on reduced configuration corresponding to the maximum aggregated bandwidth across all downlink carrier(s) of FR2 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cell(s) operating on FR2. This maximum aggregated bandwidth includes downlink carrier(s) of FR2 of both the MCG and the NR SCG. The aggregated bandwidth across all downlink carrier(s) of FR2 is the sum of bandwidth of active downlink BWP(s) across all activated downlink carrier(s) of FR2. The aggregated bandwidth can only range up to the current active configuration when indicated to address power savings. |
| ***reducedBW-FR2-UL***  Indicates the UE's preference on reduced configuration corresponding to the maximum aggregated bandwidth across all uplink carrier(s) of FR2 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cell(s) operating on FR2. This maximum aggregated bandwidth includes uplink carrier(s) of FR2 of both the MCG and the NR SCG. The aggregated bandwidth across all uplink carrier(s) of FR2 is the sum of bandwidth of active uplink BWP(s) across all activated uplink carrier(s) of FR2. The aggregated bandwidth can only range up to the current active configuration when indicated to address power savings. |
| ***reducedCCsDL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of downlink SCells indicated by the field, to address overheating or power saving. This maximum number includes both SCells of the MCG and PSCell/SCells of the SCG. The maximum number of downlink SCells can only range up to the current active configuration when indicated to address power savings. |
| ***reducedCCsUL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of uplink SCells indicated by the field, to address overheating or power saving. This maximum number includes both SCells of the MCG and PSCell/SCells of the SCG. The maximum number of uplink SCells can only range up to the current active configuration when indicated to address power savings. |
| ***reducedMIMO-LayersFR1-DL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of downlink MIMO layers of each serving cell operating on FR1 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cells operating on FR1. The maximum number of downlink ***MIMO layers*** can only range up to the current active configuration when indicated to address power savings. |
| ***reducedMIMO-LayersFR1-UL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of uplink MIMO layers of each serving cell operating on FR1 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cells operating on FR1. The maximum number of ***uplink MIMO layers*** can only range up to the current active configuration when indicated to address power savings. |
| ***reducedMIMO-LayersFR2-DL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of downlink MIMO layers of each serving cell operating on FR2 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cells operating on FR2. The maximum number of downlink ***MIMO layers*** can only range up to the current active configuration when indicated to address power savings. |
| ***reducedMIMO-LayersFR2-UL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of uplink MIMO layers of each serving cell operating on FR2 indicated by the field, to address overheating or power saving. This field is allowed to be reported only when UE is configured with serving cells operating on FR2. The maximum number of ***uplink MIMO layers*** can only range up to the current active configuration when indicated to address power savings. |
| ***referenceTimeInfoInterest***  Indicates whether the UE is interested in being provisioned with the timing information specified in the IE *ReferenceTimeInfo*. |
| ***sl-DestinationIndex***  Indicates the index of the destination for which the UE is interested to perform NR sidelink communication. The value 0 corresponds to the destination of the first entry in *sl-TxResourceReqList* in *SidelinkUEInformationNR*, the value 1 corresponds to the destination of the second entry in *sl-TxResourceReqList* in *SidelinkUEInformationNR* and so on. |
| ***sl-UEAssistanceInformationNR***  indicates the traffic characteristic of sidelink logical channel(s) that are setup for NR sidelink communication, |
| ***timingOffset***  This field indicates the estimated timing for a packet arrival in a SL logical channel. Specifically, the value indicates the timing offset with respect to subframe#0 of SFN#0 in milliseconds. |
| ***trafficPeriodicity***  This field indicates the estimated data arrival periodicity in a SL logical channel. Value ms20 corresponds to 20 ms, ms50 corresponds to 50 ms and so on. |
| ***type1***  Indicates the preferred amount of increment/decrement to the long DRX cycle length with respect to the current configuration. Value in number of milliseconds. Value *ms40* corresponds to 40 milliseconds, *msMinus40* corresponds to -40 milliseconds and so on. |
| ***victimSystemType***  Indicate the list of victim system types to which IDC interference is caused from NR when configured with UL CA. Value *gps*, *glonass*, *bds*, *galileo* and *navIC* indicates the type of GNSS. Value *wlan* indicates WLAN and value *bluetooth* indicates Bluetooth. |

*NEXT CHANGE*

## 6.3 RRC information elements

### 6.3.2 Radio resource control information elements

#### – *BWP-DownlinkDedicated*

The IE *BWP-DownlinkDedicated* is used to configure the dedicated (UE specific) parameters of a downlink BWP.

*BWP-DownlinkDedicated* information element

-- ASN1START

-- TAG-BWP-DOWNLINKDEDICATED-START

BWP-DownlinkDedicated ::= SEQUENCE {

pdcch-Config SetupRelease { PDCCH-Config } OPTIONAL, -- Need M

pdsch-Config SetupRelease { PDSCH-Config } OPTIONAL, -- Need M

sps-Config SetupRelease { SPS-Config } OPTIONAL, -- Need M

radioLinkMonitoringConfig SetupRelease { RadioLinkMonitoringConfig } OPTIONAL, -- Need M

...,

[[

sps-ConfigMulti-r16 SPS-ConfigMulti-r16 OPTIONAL, -- Need M

beamFailureRecoverySCellConfig-r16 SetupRelease {BeamFailureRecoverySCellConfig-r16} OPTIONAL -- Cond SCellOnly

]]

}

-- TAG-BWP-DOWNLINKDEDICATED-STOP

-- ASN1STOP

|  |
| --- |
| *BWP-DownlinkDedicated* field descriptions |
| ***beamFailureRecoverySCellConfig***  Configuration of candidate RS for beam failure recovery in SCells. |
| ***pdcch-Config***  UE specific PDCCH configuration for one BWP. |
| ***pdsch-Config***  UE specific PDSCH configuration for one BWP. |
| ***sps-Config***  UE specific SPS (Semi-Persistent Scheduling) configuration for one BWP. Except for reconfiguration with sync, the NW does not reconfigure *sps-Config* when there is an active configured downlink assignment (see TS 38.321 [3]). However, the NW may release the *sps-Config* at any time. |
| ***sps-ConfigMulti***  UE specific one or more SPS (Semi-Persistent Scheduling) configurations for one BWP. *sps-Config* and *sps-ConfigMulti* cannot be configured simultaneously. |
| ***radioLinkMonitoringConfig***  UE specific configuration of radio link monitoring for detecting cell- and beam radio link failure occasions. The maximum number of failure detection resources should be limited up to 8 for both cell and beam radio link failure detection. For SCells, only periodic 1-port CSI-RS can be configured in IE *RadioLinkMonitoringConfig*. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *ScellOnly* | The field is optionally present, Need M, in the *BWP-DownlinkDedicated* of an Scell. It is absent otherwise. |

*NEXT CHANGE*

#### – *BWP-UplinkDedicated*

The IE *BWP-UplinkDedicated* is used to configure the dedicated (UE specific) parameters of an uplink BWP.

*BWP-UplinkDedicated* information element

-- ASN1START

-- TAG-BWP-UPLINKDEDICATED-START

BWP-UplinkDedicated ::= SEQUENCE {

pucch-Config SetupRelease { PUCCH-Config } OPTIONAL, -- Need M

pusch-Config SetupRelease { PUSCH-Config } OPTIONAL, -- Need M

configuredGrantConfig SetupRelease { ConfiguredGrantConfig } OPTIONAL, -- Need M

srs-Config SetupRelease { SRS-Config } OPTIONAL, -- Need M

beamFailureRecoveryConfig SetupRelease { BeamFailureRecoveryConfig } OPTIONAL, -- Cond SpCellOnly

...,

[[

cp-ExtensionC2-r16 INTEGER (1..28) OPTIONAL, -- Need R

cp-ExtensionC3-r16 INTEGER (1..28) OPTIONAL, -- Need R

useInterlacePUCCH-PUSCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need M

pucch-ConfigurationList-r16 SetupRelease { PUCCH-ConfigurationList-r16 } OPTIONAL, -- Need M

configuredGrantConfigMulti-r16 ConfiguredGrantConfigMulti-r16 OPTIONAL -- Need M

]]

}

-- TAG-BWP-UPLINKDEDICATED-STOP

-- ASN1STOP

|  |
| --- |
| *BWP-UplinkDedicated* field descriptions |
| ***beamFailureRecoveryConfig***  Configuration of beam failure recovery. If *supplementaryUplink* is present, the field is present only in one of the uplink carriers, either UL or SUL. |
| ***configuredGrantConfig***  A *Configured-Grant* of *type1* or *type2*. It may be configured for UL or SUL but in case of *type1* not for both at a time. Except for reconfiguration with sync, the NW does not reconfigure *configuredGrantConfig* when there is an active configured uplink grant Type 2 (see TS 38.321 [3]). However, the NW may release the *configuredGrantConfig* at any time. |
| ***configuredGrantConfigMulti***  A list of one or more configured grant configurations for one BWP. *configuredGrantConfig* and *configuredGrantConfigMulti* cannot be configured simultaneously. |
| ***cp-ExtensionC2, cp-ExtensionC3***  Configures the cyclic prefix (CP) extension (see TS 38.211 [16], clause 5.3.1). For 15 and 30 kHz SCS, {1..28} are valid. For 60 kHz SCS, {2..28} are valid. |
| ***pucch-Config***  PUCCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL, the network configures PUCCH only on the BWPs of one of the uplinks (normal UL or SUL). The network configures *PUCCH-Config* at least on non-initial BWP(s) for SpCell and PUCCH SCell. If supported by the UE, the network may configure at most one additional SCell of a cell group with *PUCCH-Config* (i.e. PUCCH SCell).  In EN-DC, The NW configures at most one serving cell per frequency range with PUCCH. And in EN-DC, if two PUCCH groups are configured, the serving cells of the NR PUCCH group in FR2 use the same numerology.  The NW may configure PUCCH for a BWP when setting up the BWP. The network may also add/remove the *pucch-Config* in an *RRCReconfiguration* with *reconfigurationWithSync* (for SpCell or PUCCH SCell) or with SCell release and add (for PUCCH SCell) to move the PUCCH between the UL and SUL carrier of one serving cell. In other cases, only modifications of a previously configured *pucch-Config* are allowed.  If one (S)UL BWP of a serving cell is configured with PUCCH, all other (S)UL BWPs must be configured with PUCCH, too. |
| ***pucch-ConfigurationList***  PUCCH configurations for two simultaneously constructed HARQ-ACK codebooks (see TS 38.213 [13], clause 9.1).  Editor's note: From RAN1 Rapporteur Note: We don't have agreement on whether to do separate configuration for schedulingRequestResourceToAddModList and multi-CSI-PUCCH-ResourceList yet. However, we agreed to do separate configuration for all the remaining RRC parameter. From RRC parameter implementation perspective, it seems easier to introduce separate PUCCH-Confi for different HARQ-ACK codebooks. If there is no need to do separate configuration for schedulingRequestResourceToAddModList and multi-CSI-PUCCH-ResourceList, the corrsponding configuration can not include these two optional parameters and then in RAN1 spec can indicate that SR PUCCH resource and multi-CSI PUCCH resource can just follow the configuration in one of the PUCCH configurations.  Editor's note: It is not clear about how to use the *pucch-ConfigurationList* for PUCCH resources for SR and CSI in RAN2 understandings, for example, whether to use a PUCCH Config ID to indicate the corresponding *pucch-Config* in the *pucch-ConfigurationList* for a PUCCH resource. More RAN1 inputs are needed. |
| ***pusch-Config***  PUSCH configuration for one BWP of the normal UL or SUL of a serving cell. If the UE is configured with SUL and if it has a *PUSCH-Config* for both UL and SUL, an UL/SUL indicator field in DCI indicates which of the two to use. See TS 38.212 [17], clause 7.3.1. |
| ***srs-Config***  Uplink sounding reference signal configuration. |
| ***useInterlacePUCCH-PUSCH***  If the field is present, the UE uses uplink frequency domain resource allocation Type 2 for PUSCH (see 38.213 clause 8.3 and 38.214 clause 6.1.2.2) and uses interlaced PUCCH Format 0, 1, 2, and 3 for PUCCH (see TS 38.213 [13], clause 9.2.1). |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *SpCellOnly* | The field is optionally present, Need M, in the *BWP-UplinkDedicated* of an SpCell. It is absent otherwise. |

*NEXT CHANGE*

#### – *ConfiguredGrantConfig*

The IE *ConfiguredGrantConfig* is used to configure uplink transmission without dynamic grant according to two possible schemes. The actual uplink grant may either be configured via RRC (*type1*) or provided via the PDCCH (addressed to CS-RNTI) (*type2*). Multiple Configured Grant configurations may be configured in one BWP of a serving cell.

*ConfiguredGrantConfig* information element

-- ASN1START

-- TAG-CONFIGUREDGRANTCONFIG-START

ConfiguredGrantConfig ::= SEQUENCE {

frequencyHopping ENUMERATED {intraSlot, interSlot} OPTIONAL, -- Need S

cg-DMRS-Configuration DMRS-UplinkConfig,

mcs-Table ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

mcs-TableTransformPrecoder ENUMERATED {qam256, qam64LowSE} OPTIONAL, -- Need S

uci-OnPUSCH SetupRelease { CG-UCI-OnPUSCH } OPTIONAL, -- Need M

resourceAllocation ENUMERATED { resourceAllocationType0, resourceAllocationType1, dynamicSwitch },

rbg-Size ENUMERATED {config2} OPTIONAL, -- Need S

powerControlLoopToUse ENUMERATED {n0, n1},

p0-PUSCH-Alpha P0-PUSCH-AlphaSetId,

transformPrecoder ENUMERATED {enabled, disabled} OPTIONAL, -- Need S

nrofHARQ-Processes INTEGER(1..16),

repK ENUMERATED {n1, n2, n4, n8},

repK-RV ENUMERATED {s1-0231, s2-0303, s3-0000} OPTIONAL, -- Need R

periodicity ENUMERATED {

sym2, sym7, sym1x14, sym2x14, sym4x14, sym5x14, sym8x14, sym10x14, sym16x14, sym20x14,

sym32x14, sym40x14, sym64x14, sym80x14, sym128x14, sym160x14, sym256x14, sym320x14, sym512x14,

sym640x14, sym1024x14, sym1280x14, sym2560x14, sym5120x14,

sym6, sym1x12, sym2x12, sym4x12, sym5x12, sym8x12, sym10x12, sym16x12, sym20x12, sym32x12,

sym40x12, sym64x12, sym80x12, sym128x12, sym160x12, sym256x12, sym320x12, sym512x12, sym640x12,

sym1280x12, sym2560x12

},

configuredGrantTimer INTEGER (1..64) OPTIONAL, -- Need R

rrc-ConfiguredUplinkGrant SEQUENCE {

timeDomainOffset INTEGER (0..5119),

timeDomainAllocation INTEGER (0..15),

frequencyDomainAllocation BIT STRING (SIZE(18)),

antennaPort INTEGER (0..31),

dmrs-SeqInitialization INTEGER (0..1) OPTIONAL, -- Need R

precodingAndNumberOfLayers INTEGER (0..63),

srs-ResourceIndicator INTEGER (0..15) OPTIONAL, -- Need R

mcsAndTBS INTEGER (0..31),

frequencyHoppingOffset INTEGER (1.. maxNrofPhysicalResourceBlocks-1) OPTIONAL, -- Need R

pathlossReferenceIndex INTEGER (0..maxNrofPUSCH-PathlossReferenceRSs-1),

...,

[[

pusch-RepTypeIndicator-r16 ENUMERATED {pusch-RepTypeA,pusch-RepTypeB} OPTIONAL, -- Need M

frequencyHoppingPUSCH-RepTypeB-r16 ENUMERATED {interRepetition, interSlot} OPTIONAL, -- Cond RepTypeB

timeReferenceSFN-r16 ENUMERATED {sfn512} OPTIONAL -- Need S

]]

} OPTIONAL, -- Need R

...,

[[

cg-RetransmissionTimer-r16 INTEGER (1..64) OPTIONAL, -- Need R

cg-minDFI-Delay-r16 INTEGER (1..ffsValue) OPTIONAL, -- Need R Upper limit 7 FFS

cg-nrofPUSCH-InSlot-r16 INTEGER (1..ffsValue) OPTIONAL, -- Need R

cg-nrofSlots-r16 INTEGER (1..ffsValue) OPTIONAL, -- Need R

cg-StartingFullBW-InsideCOT-r16 ENUMERATED {ffs} OPTIONAL, -- Need R

cg-StartingFullBW-OutsideCOT-r16 ENUMERATED {ffs} OPTIONAL, -- Need R

cg-StartingPartialBW-InsideCOT-r16 ENUMERATED {ffs} OPTIONAL, -- Need R

cg-StartingPartialBW-OutsideCOT-r16 ENUMERATED {ffs} OPTIONAL, -- Need R

cg-UCI-Multiplexing ENUMERATED {enabled} OPTIONAL, -- Need R

cg-COT-SharingOffset-r16 INTEGER (1..ffsValue) OPTIONAL, -- Need R

betaOffsetCG-UCI-r16 INTEGER (1..ffsValue) OPTIONAL, -- Need R

cg-COT-SharingList-r16 SEQUENCE (SIZE (1..ffsValue)) OF CG-COT-Sharing-r16 OPTIONAL, -- Need R

harq-ProcID-Offset-r16 INTEGER (0..15) OPTIONAL, -- Need M

harq-ProcID-Offset2-r16 INTEGER (0..15) OPTIONAL, -- Need M

configuredGrantConfigIndex-r16 ConfiguredGrantConfigIndex-r16 OPTIONAL, -- Need M

configuredGrantConfigIndexMAC-r16 ConfiguredGrantConfigIndexMAC-r16 OPTIONAL, -- Need M

periodicityExt-r16 INTEGER (1..5120) OPTIONAL, -- Need M

startingFromRV0-r16 ENUMERATED {on, off} OPTIONAL, -- Need M

phy-PriorityIndex-r16 ENUMERATED {p0, p1} OPTIONAL, -- Need M

autonomousTx-r16 ENUMERATED {enabled} OPTIONAL -- Cond LCH-BasedPrioritization

]]

}

CG-UCI-OnPUSCH ::= CHOICE {

dynamic SEQUENCE (SIZE (1..4)) OF BetaOffsets,

semiStatic BetaOffsets

}

CG-COT-Sharing-r16 ::= SEQUENCE {

duration-r16 INTEGER (1..ffsValue),

offset-r16 INTEGER (1..ffsValue),

channelAccessPriority-r16 INTEGER (1..4)

}

-- TAG-CONFIGUREDGRANTCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *ConfiguredGrantConfig* field descriptions |
| ***antennaPort***  Indicates the antenna port(s) to be used for this configuration, and the maximum bitwidth is 5. See TS 38.214 [19], clause 6.1.2, and TS 38.212 [17], clause 7.3.1. |
| ***autonomousTx***  If this field is present, the Configured Grant configuration is configured with autonomous transmission, see TS 38.321 [3]. |
| ***betaOffsetCG-UCI***  Beta offset for CG-UCI in CG-PUSCH, see TS 38.213 [13], clause 9.3 |
| ***cg-COT-SharingOffset***  Indicates the number of symbols from the end of the slot where the COT sharing indication in UCI is enabled. Applicable when *ULtoDL-COT-SharingED-Threshold-r16* is not configured (see 37.213 [48], clause 4.1.3). |
| ***cg-DMRS-Configuration***  DMRS configuration (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-minDFIDelay***  Indicates the minimum duration (in unit of symbols) from the ending symbol of the CG-PUSCH to the starting symbol of the DFI carrying HARQ-ACK for that PUSCH. UE assumes HARQ-ACK is valid only for PUSCH transmissions ending before n-cg-DFIDelay-r16, where n is the time corresponding to the beginning of the start symbol of the DFI (see TS 38.213 [13], clause 10.3).. |
| ***cg-nrofPUSCH-InSlot***  Indicates the number of consecutive PUSCH configured to CG within a slot where the SLIV indicating the first PUSCH and additional PUSCH appended with the same length (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-nrofSlots***  Indicates the number of allocated slots in a configured grant periodicity following the time instance of configured grant offset (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-RetransmissionTimer***  Indicates the initial value of the configured retransmission timer (see TS 38.321 [3]) in multiples of *periodicity*. The value of *cg-RetransmissionTimer* is always less than the value of *configuredGrantTimer.* This IE is always configured for configured grants on operation with shared spectrum channel access. |
| ***cg-StartingFullBW-InsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation includes all interlaces in the allocated RB set(s) and the CG PUSCH resource is inside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingFullBW-OutsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation includes all interlaces in the allocated RB set(s) and the CG PUSCH resource is outside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingPartialBW-InsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation does not include all interlaces in the allocated RB set(s) and the CG PUSCH resource is inside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-StartingPartialBW-OutsideCOT***  A set of configured grant PUSCH transmission starting offsets which indicates the length of a CP extension of the first symbol that is located before the configured resource when frequency domain resource allocation does not include all interlaces in the allocated RB set(s) and the CG PUSCH resource is outside gNB COT (see TS 38.214 [19], clause 6.1.2.3). |
| ***cg-UCI-Multiplexing***  When configured, in the case of PUCCH overlapping with CG-PUSCH(s) within a PUCCH group, the CG-UCI and HARQ-ACK are jointly encoded (CG-UCI is treated as the same type as a HARQ-ACK). When not configured, In the case of PUCCH overlapping with CG-PUSCH(s) within a PUCCH group and PUCCH carries HARQ ACK feedback, configured grant PUSCH is skipped (see TS 38.214 [19], clause 6.3.2.1.4). |
| ***channelAccessPriority***  Indicates the Channel Access Priority Class that the gNB can assume when sharing the UE initiated COT (see 37.213 [48], clause 4.1.3). |
| ***configuredGrantConfigIndex***  Indicates the index of the Configured Grant configurations within the BWP. |
| ***configuredGrantConfigIndexMAC***  Indicates the index of the Configured Grant configurations within the MAC entity. |
| ***configuredGrantTimer***  Indicates the initial value of the configured grant timer (see TS 38.321 [3]) in multiples of periodicity. When *cg-RetransmissonTimer* is configured, if HARQ processes are shared among different configured grants on the same BWP, *configuredGrantTimer* is set to the same value for all of configurations on this BWP. |
| ***dmrs-SeqInitialization***  The network configures this field if *transformPrecoder* is disabled. Otherwise the field is absent. |
| ***frequencyDomainAllocation***  Indicates the frequency domain resource allocation, see TS 38.214 [19], clause 6.1.2, and TS 38.212 [17], clause 7.3.1). |
| ***frequencyHopping***  The value *intraSlot* enables 'Intra-slot frequency hopping' and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, frequency hopping is not configured. The field *frequencyHopping* refers to configured grant for 'pusch-RepTypeA' (see TS 38.214 [19], clause 6.3.1). |
| ***frequencyHoppingOffset***  Frequency hopping offset used when frequency hopping is enabled (see TS 38.214 [19], clause 6.1.2 and clause 6.3). |
| ***frequencyHoppingPUSCH-RepTypeB***  Indicates the frequency hopping scheme for Type 1 CG when *pusch-RepTypeIndicator* is set to 'pusch-RepTypeB' (see TS 38.214 [19], clause 6.1). The value *interRepetition* enables 'Inter-repetition frequency hopping', and the value *interSlot* enables 'Inter-slot frequency hopping'. If the field is absent, the frequency hopping is not enabled for Type 1 CG.  Editor's note: FFS on intraRepetition for frequency hopping for PUSCH repetition type B.  Editor's note: FFS on CG Type 2 for frequency hopping indication. |
| ***harq-ProcID-Offset***  For operation with shared spectrum channel access, this configures the range of HARQ process IDs which can be used for this configured grant where the UE can select a HARQ process ID within [*harq-procID-offset, ..,* (*harq-procID-offset + nrofHARQ-Processes* – 1)]. |
| ***harq-ProcID-Offset2***  Indicates the offset used in deriving the HARQ process IDs, see TS 38.321 [3], clause 5.4.1. |
| ***mcs-Table***  Indicates the MCS table the UE shall use for PUSCH without transform precoding. If the field is absent the UE applies the value *qam64*. |
| ***mcs-TableTransformPrecoder***  Indicates the MCS table the UE shall use for PUSCH with transform precoding. If the field is absent the UE applies the value *qam64*. |
| ***mcsAndTBS***  The modulation order, target code rate and TB size (see TS 38.214 [19], clause 6.1.2). The NW does not configure the values 28~31 in this version of the specification. |
| ***nrofHARQ-Processes***  The number of HARQ processes configured. It applies for both Type 1 and Type 2. See TS 38.321 [3], clause 5.4.1. |
| ***p0-PUSCH-Alpha***  Index of the *P0-PUSCH-AlphaSet* to be used for this configuration. |
| ***periodicity***  Periodicity for UL transmission without UL grant for type 1 and type 2 (see TS 38.321 [3], clause 5.8.2).  The following periodicities are supported depending on the configured subcarrier spacing [symbols]:  15 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 320, 640}  30 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 640, 1280}  60 kHz with normal CP 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1280, 2560}  60 kHz with ECP: 2, 6, n\*12, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1280, 2560}  120 kHz: 2, 7, n\*14, where n={1, 2, 4, 5, 8, 10, 16, 20, 32, 40, 64, 80, 128, 160, 256, 320, 512, 640, 1024, 1280, 2560, 5120} |
| ***periodicityExt***  This field is used to calculate the periodicity for UL transmission without UL grant for type 1 and type 2 (see TS 38.321 [3], clause 5,8.2). If this field is present, the field *periodicity* is ignored.  The following periodicites are supported depending on the configured subcarrier spacing [symbols]:  15 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 640.  30 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 1280.  60 kHz with normal CP: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 2560.  60 kHz with ECP: *periodicityExt*\*12, where *periodicityExt* has a value between 1 and 2560.  120 kHz: *periodicityExt*\*14, where *periodicityExt* has a value between 1 and 5120. |
| ***phy-PriorityIndex***  Indicates the PHY priority of CG PUSCH at least for PHY-layer collision handling. Value *p0* indicates low priority and value *p1* indicates high priority. |
| ***powerControlLoopToUse***  Closed control loop to apply (see TS 38.213 [13], clause 7.1.1). |
| ***pusch-RepTypeIndicator***  Indicates whether UE follows the behavior for PUSCH repetition type A or the behavior for PUSCH repetition type B for each Type 1 configured grant configuration. The value *pusch-RepTypeA* enables the 'PUSCH repetition type A' and the value *pusch-RepTypeB* enables the 'PUSCH repetition type B' (see TS 38.214 [19], clause 6.1.2.3). |
| ***rbg-Size***  Selection between configuration 1 and configuration 2 for RBG size for PUSCH. The UE does not apply this field if *resourceAllocation* is set to *resourceAllocationType1*. Otherwise, the UE applies the value *config1* when the field is absent. Note: *rbg-Size* is used when the *transformPrecoder* parameter is disabled. |
| ***repK-RV***  The redundancy version (RV) sequence to use. See TS 38.214 [19], clause 6.1.2. The network configures this field if repetitions are used, i.e., if *repK* is set to *n2*, *n4* or *n8*. Otherwise, the field is absent. |
| ***repK***  The number of repetitions of K. |
| ***resourceAllocation***  Configuration of resource allocation type 0 and resource allocation type 1. For Type 1 UL data transmission without grant, *resourceAllocation* should be *resourceAllocationType0* or *resourceAllocationType1*. |
| ***rrc-ConfiguredUplinkGrant***  Configuration for "configured grant" transmission with fully RRC-configured UL grant (Type1). If this field is absent the UE uses UL grant configured by DCI addressed to CS-RNTI (Type2). Type 1 configured grant may be configured for UL or SUL, but not for both simultaneously. |
| ***srs-ResourceIndicator***  Indicates the SRS resource to be used. |
| ***startingFromRV0***  This field is used to determine the initial transmission occasion of a transport block for a given RV sequence, see TS 38.214 [19], clause 6.1.2.3.1. |
| ***timeDomainAllocation***  Indicates a combination of start symbol and length and PUSCH mapping type, see TS 38.214 [19], clause 6.1.2 and TS 38.212 [17], clause 7.3.1. |
| ***timeDomainOffset***  Offset related to the reference SFN indicated by *timeReferenceSFN*, see TS 38.321 [3], clause 5.8.2. |
| ***timeReferenceSFN***  Indicates SFN used for determination of the offset of a resource in time domain. The UE uses the closest SFN with the indicated number preceding the reception of the configured grant configuration, see TS 38.321 [3], clause 5.8.2. If the field *timeReferenceSFN* is not present, the reference SFN is 0. |
| ***transformPrecoder***  Enables or disables transform precoding for *type1* and *type2*. If the field is absent, the UE enables or disables transform precoding in accordance with the field *msg3-transformPrecoder* in *RACH-ConfigCommon*, see TS 38.214 [19], clause 6.1.3. |
| ***uci-OnPUSCH***  Selection between and configuration of dynamic and semi-static beta-offset. For Type 1 UL data transmission without grant, *uci-OnPUSCH* should be set to *semiStatic.* |

|  |
| --- |
| *CG-COT-Sharing* field descriptions |
| ***duration***  Indicates the number of DL transmission slots within UE initiated COT (see 37.213 [48], clause 4.1.3). |
| ***offset***  Indicates the number of DL transmission slots from the end of the slot where CG-UCI is detected after which COT sharing can be used (see 37.213 [48], clause 4.1.3). |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *LCH-BasedPrioritization* | This fiels is optionally present, Need R, if *lch-BasedPrioritization* is configured in the MAC entity. It is absent otherwise. |
| *RepTypeB* | The field is optionally present if pusch-RepTypeIndicator is set to pusch-RepTypeB, Need S, and absent otherwise. |

#### – *ConfiguredGrantConfigIndex*

The IE *ConfiguredGrantConfigIndex* is used to indicate the index of one of multiple UL Configured Grant configurations in one BWP.

*ConfiguredGrantConfigIndex* information element

-- ASN1START

-- TAG-CONFIGUREDGRANTCONFIGINDEX-START

ConfiguredGrantConfigIndex-r16 ::= INTEGER (0.. maxNrofConfiguredGrantConfig-r16-1)

-- TAG-CONFIGUREDGRANTCONFIGINDEX-STOP

-- ASN1STOP

#### – *ConfiguredGrantConfigIndexMAC*

The IE *ConfiguredGrantConfigIndexMAC* is used to indicate the unique Configured Grant configurations index per MAC entity.

*ConfiguredGrantConfigIndexMAC* information element

-- ASN1START

-- TAG-CONFIGUREDGRANTCONFIGINDEXMAC-START

ConfiguredGrantConfigIndexMAC-r16 ::= INTEGER (0.. maxNrofConfiguredGrantConfigMAC-r16-1)

-- TAG-CONFIGUREDGRANTCONFIGINDEXMAC-STOP

-- ASN1STOP

#### – *ConfiguredGrantConfigMulti*

The IE *ConfiguredGrantConfigMulti* is used to configure one or more uplink Configured Grant configurations in one BWP.

IIoT Editor’s note: The name “Multi” literally means more than one. FFS how to make clear that the AddModList can also be used to configure one CG, e.g., by renaming *ConfiguredGrantConfigMulti* to *ConfiguredGrantConfigAtLeastOne* or *ConfiguredGrantConfigAny*, or moving all its child fields to IE *BWP-UplinkDedicated*.

*ConfiguredGrantConfigMulti* information element

-- ASN1START

-- TAG-CONFIGUREDGRANTCONFIGMULTI-START

ConfiguredGrantConfigMulti-r16 ::= SEQUENCE {

configuredGrantConfigToAddModList-r16 ConfiguredGrantConfigToAddModList-r16 OPTIONAL, -- Need N

configuredGrantConfigToReleaseList-r16 ConfiguredGrantConfigToReleaseList-r16 OPTIONAL, -- Need N

configuredGrantConfigType2DeactivationStateList-r16 ConfiguredGrantConfigType2DeactivationStateList-r16 OPTIONAL -- Need R

}

ConfiguredGrantConfigToAddModList-r16 ::= SEQUENCE (SIZE (1..maxNrofConfiguredGrantConfig-r16)) OF ConfiguredGrantConfig

ConfiguredGrantConfigToReleaseList-r16 ::= SEQUENCE (SIZE (1..maxNrofConfiguredGrantConfig-r16)) OF ConfiguredGrantConfigIndex-r16

ConfiguredGrantConfigType2DeactivationState-r16 ::= SEQUENCE (SIZE (1..maxNrofConfiguredGrantConfig-r16)) OF ConfiguredGrantConfigIndex-r16

ConfiguredGrantConfigType2DeactivationStateList-r16 ::= SEQUENCE (SIZE (1..16)) OF ConfiguredGrantConfigType2DeactivationState-r16

-- TAG-CONFIGUREDGRANTCONFIGMULTI-STOP

-- ASN1STOP

|  |
| --- |
| *ConfiguredGrantConfigMulti field descriptions* |
| ***configuredGrantConfigToAddModList***  Indicates a list of one or more UL Configured Grant configurations to be added or modified. Except for reconfiguration with sync, the NW does not reconfigure a Type 2 configured grant configuration when it is active (see TS 38.321 [3]). However, the NW may release a configured grant configuration at any time. |
| ***configuredGrantConfigToReleaseList***  Indicates a list of one or more UL Configured Grant configurations to be released. |
| ***configuredGrantConfigType2DeactivationStateList***  Indicates a list of the deactivation states in which each state can be mapped to a single or multiple Configured Grant type 2 configurations to be deactivated when the corresponding deactivation DCI is received, see clause 7.3.1 in TS 38.212 [17] and clause 6.1 in TS 38.214 [19]. |

*NEXT CHANGE*

#### – *LogicalChannelConfig*

The IE *LogicalChannelConfig* is used to configure the logical channel parameters.

*LogicalChannelConfig* information element

-- ASN1START

-- TAG-LOGICALCHANNELCONFIG-START

LogicalChannelConfig ::= SEQUENCE {

ul-SpecificParameters SEQUENCE {

priority INTEGER (1..16),

prioritisedBitRate ENUMERATED {kBps0, kBps8, kBps16, kBps32, kBps64, kBps128, kBps256, kBps512,

kBps1024, kBps2048, kBps4096, kBps8192, kBps16384, kBps32768, kBps65536, infinity},

bucketSizeDuration ENUMERATED {ms5, ms10, ms20, ms50, ms100, ms150, ms300, ms500, ms1000,

spare7, spare6, spare5, spare4, spare3,spare2, spare1},

allowedServingCells SEQUENCE (SIZE (1..maxNrofServingCells-1)) OF ServCellIndex

OPTIONAL, -- PDCP-CADuplication

allowedSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SubcarrierSpacing OPTIONAL, -- Need R

maxPUSCH-Duration ENUMERATED {ms0p02, ms0p04, ms0p0625, ms0p125, ms0p25, ms0p5, spare2, spare1}

OPTIONAL, -- Need R

configuredGrantType1Allowed ENUMERATED {true} OPTIONAL, -- Need R

logicalChannelGroup INTEGER (0..maxLCG-ID) OPTIONAL, -- Need R

schedulingRequestID SchedulingRequestId OPTIONAL, -- Need R

logicalChannelSR-Mask BOOLEAN,

logicalChannelSR-DelayTimerApplied BOOLEAN,

...,

bitRateQueryProhibitTimer ENUMERATED {s0, s0dot4, s0dot8, s1dot6, s3, s6, s12, s30} OPTIONAL, -- Need R

[[

allowedCG-List-r16 SEQUENCE (SIZE (0.. maxNrofConfiguredGrantConfigMAC-r16-1)) OF ConfiguredGrantConfigIndexMAC-r16

OPTIONAL, -- Need S

allowedPHY-PriorityIndex-r16 ENUMERATED {p0, p1} OPTIONAL -- Need S

]]

} OPTIONAL, -- Cond UL

...,

[[

channelAccessPriority-r16 INTEGER (1..4) OPTIONAL, -- Need R

bitRateMultiplier-r16 ENUMERATED {x40, x70, x100, x200} OPTIONAL -- Need R

]]

}

-- TAG-LOGICALCHANNELCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *LogicalChannelConfig* field descriptions |
| ***allowedCG-List***  This restriction applies only when the UL grant is a configured grant. If present, UL MAC SDUs from this logical channel can only be mapped to the indicated configured grant configuration. If the size of the sequence is zero, then UL MAC SDUs from this logical channel cannot be mapped to any configured grant configurations. If the field is not present, UL MAC SDUs from this logical channel can be mapped to any configured grant configurations. Corresponds to "allowedCG-List" as specified in TS 38.321 [3]. |
| ***allowedPHY-PriorityIndex***  This restriction applies only when the UL grant is a dynamic grant. If the field is present and the dynamic grant has a PHY-priority index, UL MAC SDUs from this logical channel can only be mapped to the dynamic grants indicating PHY-priority index equal to the values configured by this field. If the field is present and the dynamic grant does not have a PHY-priority index, UL MAC SDUs from this logical channel can only be mapped to this dynamic grant if the value of the field is *p0*, see TS 38.213 [13], clause 9. If the field is not present, UL MAC SDUs from this logical channel can be mapped to any dynamic grants. Corresponds to "allowedPHY-PriorityIndex" as specified in TS 38.321 [3]. |
| ***allowedSCS-List***  If present, UL MAC SDUs from this logical channel can only be mapped to the indicated numerology. Otherwise, UL MAC SDUs from this logical channel can be mapped to any configured numerology. Only the values 15/30/60 kHz (for FR1) and 60/120 kHz (for FR2) are applicable. Corresponds to 'allowedSCS-List' as specified in TS 38.321 [3]. |
| ***allowedServingCells***  If present, UL MAC SDUs from this logical channel can only be mapped to the serving cells indicated in this list. Otherwise, UL MAC SDUs from this logical channel can be mapped to any configured serving cell of this cell group. Corresponds to 'allowedServingCells' in TS 38.321 [3]. |
| ***bitRateMultiplier***  Bit rate multiplier for recommended bit rate MAC CE as specified in TS 38.321 [3]. Value *x40* indicates bit rate multiplier 40, value *x60* indicates bit rate multiplier 60 and so on. |
| ***bitRateQueryProhibitTimer***  The timer is used for bit rate recommendation query in TS 38.321 [3], in seconds. Value *s0* means 0 s, *s0dot4* means 0.4 s and so on. |
| ***bucketSizeDuration***  Value in ms. *ms5* corresponds to 5 ms, value *ms10* corresponds to 10 ms, and so on. |
| ***channellAccessPriority***  Indicates the Channel Access Priority Class (CAPC), as specified in TS 38.300 [2] and TS 38.321 [3], to be used on transmission using configured grants on shared spectrum. The network configures this field only for SRB2 and DRBs. |
| ***configuredGrantType1Allowed***  If present, UL MAC SDUs from this logical channel can be transmitted on a configured grant type 1. Corresponds to 'configuredGrantType1Allowed' in TS 38.321 [3]. |
| ***logicalChannelGroup***  ID of the logical channel group, as specified in TS 38.321 [3], which the logical channel belongs to. |
| ***logicalChannelSR-Mask***  Controls SR triggering when a configured uplink grant of *type1* or *type2* is configured. *true* indicates that SR masking is configured for this logical channel as specified in TS 38.321 [3]. |
| ***logicalChannelSR-DelayTimerApplied***  Indicates whether to apply the delay timer for SR transmission for this logical channel. Set to *false* if *logicalChannelSR-DelayTimer* is not included in *BSR-Config*. |
| ***maxPUSCH-Duration***  If present, UL MAC SDUs from this logical channel can only be transmitted using uplink grants that result in a PUSCH duration shorter than or equal to the duration indicated by this field. Otherwise, UL MAC SDUs from this logical channel can be transmitted using an uplink grant resulting in any PUSCH duration. Corresponds to "maxPUSCH-Duration" in TS 38.321 [3]. |
| ***priority***  Logical channel priority, as specified in TS 38.321 [3]. |
| ***prioritisedBitRate***  Value in kiloBytes/s. Value *kBps0* corresponds to 0 kiloBytes/s, value *kBps8* corresponds to 8 kiloBytes/s, value *kBps16* corresponds to 16 kiloBytes/s, and so on. For SRBs, the value can only be set to *infinity*. |
| ***schedulingRequestId***  If present, it indicates the scheduling request configuration applicable for this logical channel, as specified in TS 38.321 [3]. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *PDCP-CADuplication* | The field is mandatory present if the DRB/SRB associated with this logical channel is configured with PDCP CA duplication in UL (i.e. the PDCP entity is associated with multiple RLC entities belonging to the same cell group). Otherwise the field is optionally present, need R. |
| *UL* | The field is mandatory present for a logical channel with uplink if it serves DRB. It is optionally present, Need R, for a logical channel with uplink if it serves an SRB. Otherwise it is absent. |

*NEXT CHANGE*

#### – *MAC-CellGroupConfig*

The IE *MAC-CellGroupConfig* is used to configure MAC parameters for a cell group, including DRX.

*MAC-CellGroupConfig* information element

-- ASN1START

-- TAG-MAC-CELLGROUPCONFIG-START

MAC-CellGroupConfig ::= SEQUENCE {

drx-Config SetupRelease { DRX-Config } OPTIONAL, -- Need M

schedulingRequestConfig SchedulingRequestConfig OPTIONAL, -- Need M

bsr-Config BSR-Config OPTIONAL, -- Need M

tag-Config TAG-Config OPTIONAL, -- Need M

phr-Config SetupRelease { PHR-Config } OPTIONAL, -- Need M

skipUplinkTxDynamic BOOLEAN,

...,

[[

csi-Mask BOOLEAN OPTIONAL, -- Need M

dataInactivityTimer SetupRelease { DataInactivityTimer } OPTIONAL -- Cond MCG-Only

]],

[[

usePreBSR-r16 ENUMERATED {true} OPTIONAL, -- Need M

lbt-FailureRecoveryConfig-r16 LBT-FailureRecoveryConfig-r16 OPTIONAL, -- Need M

schedulingRequestID-LBT-SCell-r16 SchedulingRequestId OPTIONAL, -- Need M

lch-BasedPrioritization-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

schedulingRequestID-BFR-SCell-r16 SchedulingRequestId OPTIONAL -- Need R

]]

}

DataInactivityTimer ::= ENUMERATED {s1, s2, s3, s5, s7, s10, s15, s20, s40, s50, s60, s80, s100, s120, s150, s180}

-- TAG-MAC-CELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *MAC-CellGroupConfig* field descriptions |
| ***usePreBSR***  If set to true, the MAC entity of the IAB-MT will activate the pre-BSR. |
| ***csi-Mask***  If set to true, the UE limits CSI reports to the on-duration period of the DRX cycle, see TS 38.321 [3]. |
| ***dataInactivityTimer***  Releases the RRC connection upon data inactivity as specified in clause 5.3.8.5 and in TS 38.321 [3]. Value *s1* corresponds to 1 second, value s2 corresponds to 2 seconds, and so on. |
| ***drx-Config***  Used to configure DRX as specified in TS 38.321 [3]. |
| ***lch-BasedPrioritization***  If this field is present, the corresponding MAC entity of the UE is configured with prioritization between overlapping grants and between scheduling request and overlapping grants based on LCH priority, see TS 38.321 [3]. |
| ***schedulingRequestID-BFR-SCell***  If present, it indicates the scheduling request configuration applicable for BFR on SCell, as specified in TS 38.321 [3]. |
| ***schedulingRequestID-LBT-SCell***  Indicates the scheduling request configuration applicable for consistent uplink LBT recovery on SCell, as specified in TS 38.321 [3]. |
| ***skipUplinkTxDynamic***  If set to *true*, the UE skips UL transmissions as described in TS 38.321 [3]. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *MCG-Only* | This field is optionally present, Need M, for the *MAC-CellGroupConfig* of the MCG. It is absent otherwise. |

*NEXT CHANGE*

#### – *PDCP-Config*

The IE *PDCP-Config* is used to set the configurable PDCP parameters for signalling and data radio bearers.

*PDCP-Config* information element

-- ASN1START

-- TAG-PDCP-CONFIG-START

PDCP-Config ::= SEQUENCE {

drb SEQUENCE {

discardTimer ENUMERATED {ms10, ms20, ms30, ms40, ms50, ms60, ms75, ms100, ms150, ms200,

ms250, ms300, ms500, ms750, ms1500, infinity} OPTIONAL, -- Cond Setup

pdcp-SN-SizeUL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup2

pdcp-SN-SizeDL ENUMERATED {len12bits, len18bits} OPTIONAL, -- Cond Setup2

headerCompression CHOICE {

notUsed NULL,

rohc SEQUENCE {

maxCID INTEGER (1..16383) DEFAULT 15,

profiles SEQUENCE {

profile0x0001 BOOLEAN,

profile0x0002 BOOLEAN,

profile0x0003 BOOLEAN,

profile0x0004 BOOLEAN,

profile0x0006 BOOLEAN,

profile0x0101 BOOLEAN,

profile0x0102 BOOLEAN,

profile0x0103 BOOLEAN,

profile0x0104 BOOLEAN

},

drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

},

uplinkOnlyROHC SEQUENCE {

maxCID INTEGER (1..16383) DEFAULT 15,

profiles SEQUENCE {

profile0x0006 BOOLEAN

},

drb-ContinueROHC ENUMERATED { true } OPTIONAL -- Need N

},

...

},

integrityProtection ENUMERATED { enabled } OPTIONAL, -- Cond ConnectedTo5GC1

statusReportRequired ENUMERATED { true } OPTIONAL, -- Cond Rlc-AM

outOfOrderDelivery ENUMERATED { true } OPTIONAL -- Need R

} OPTIONAL, -- Cond DRB

moreThanOneRLC SEQUENCE {

primaryPath SEQUENCE {

cellGroup CellGroupId OPTIONAL, -- Need R

logicalChannel LogicalChannelIdentity OPTIONAL -- Need R

},

ul-DataSplitThreshold UL-DataSplitThreshold OPTIONAL, -- Cond SplitBearer

pdcp-Duplication BOOLEAN OPTIONAL -- Need R

} OPTIONAL, -- Cond MoreThanOneRLC

t-Reordering ENUMERATED {

ms0, ms1, ms2, ms4, ms5, ms8, ms10, ms15, ms20, ms30, ms40,

ms50, ms60, ms80, ms100, ms120, ms140, ms160, ms180, ms200, ms220,

ms240, ms260, ms280, ms300, ms500, ms750, ms1000, ms1250,

ms1500, ms1750, ms2000, ms2250, ms2500, ms2750,

ms3000, spare28, spare27, spare26, spare25, spare24,

spare23, spare22, spare21, spare20,

spare19, spare18, spare17, spare16, spare15, spare14,

spare13, spare12, spare11, spare10, spare09,

spare08, spare07, spare06, spare05, spare04, spare03,

spare02, spare01 } OPTIONAL, -- Need S

...,

[[

cipheringDisabled ENUMERATED {true} OPTIONAL -- Cond ConnectedTo5GC

]],

[[

discardTimerExt-r16 ENUMERATED {ms0dot5, ms1, ms2, ms4, ms6, ms8, spare3, spare2, spare1} OPTIONAL, -- Cond DRB2

moreThanTwoRLC-r16 SEQUENCE {

splitSecondaryPath LogicalChannelIdentity OPTIONAL, -- Cond SplitBearer2

duplicationState SEQUENCE (SIZE (3)) OF BOOLEAN OPTIONAL -- Need S

} OPTIONAL, -- Cond MoreThanTwoRLC

ethernetHeaderCompression-r16 CHOICE {

notUsed NULL,

ehc SEQUENCE {

ehc-Common SEQUENCE {

ehc-CID-Length ENUMERATED { bits7, bits15 },

...

},

ehc-Downlink SEQUENCE {

drb-ContinueEHC-DL ENUMERATED { true } OPTIONAL, -- Need N

...

} OPTIONAL, -- Need M

ehc-Uplink SEQUENCE {

drb-ContinueEHC-UL ENUMERATED { true } OPTIONAL, -- Need N

...

} OPTIONAL, -- Need M

...

},

...

} OPTIONAL -- Cond DRB2

]]

}

UL-DataSplitThreshold ::= ENUMERATED {

b0, b100, b200, b400, b800, b1600, b3200, b6400, b12800, b25600, b51200, b102400, b204800,

b409600, b819200, b1228800, b1638400, b2457600, b3276800, b4096000, b4915200, b5734400,

b6553600, infinity, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1}

-- TAG-PDCP-CONFIG-STOP

-- ASN1STOP

Editor's note: FFS on moreThanonRLC in pdcp-Config.

| *PDCP-Config* field descriptions |
| --- |
| ***cipheringDisabled***  If included, ciphering is disabled for this DRB regardless of which ciphering algorithm is configured for the SRB/DRBs. The field may only be included if the UE is connected to 5GC. Otherwise the field is absent. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***discardTimer***  Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms10* corresponds to 10 ms, value *ms20* corresponds to 20 ms and so on. The value for this field cannot be changed in case of reconfiguration with sync, if *dapsConfig* is configured for this bearer. |
| ***discardTimerExt***  Value in ms of *discardTimer* specified in TS 38.323 [5]. Value *ms0dot5* corresponds to 0.5 ms, value *ms1* corresponds to 1ms and so on. If this field is present, the field *discardTimer* is ignored and *discardTimerExt* is used instead. |
| ***drb-ContinueEHC-DL, drb-ContinueEHC-UL***  The fieldsindicate whether the PDCP entity continues or resets the EHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. The field *drb-ContinueEHC-DL* indicates whether the PDCP entity continues or resets for downlink and the field *drb-ContinueEHC-UL* indicates whether the PDCP entity continues or resets for uplink. These fields are configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***drb-ContinueROHC***  Indicates whether the PDCP entity continues or resets the ROHC header compression protocol during PDCP re-establishment, as specified in TS 38.323 [5]. This field is configured only in case of resuming an RRC connection or reconfiguration with sync, where the PDCP termination point is not changed and the *fullConfig* is not indicated. |
| ***duplicationState***  This field indicates the initial uplink PDCP duplication state for the associated RLC entities. If set to *true,* the initial PDCP duplication state is activated for the associated RLC entity. The index for the indication is determined by ascending order of logical channel ID of all RLC entities other than the primary RLC entityindicated by *primaryPath* in the order of MCG and SCG, as in clause 6.1.3.32 of TS 38.321 [3]. If the number of associated RLC entities other than the primary RLC entity is two, UE ignores the value in the largest index of this field. If the field is absent, the initial PDCP duplication states are deactivated for all associated RLC entities. |
| ***ehc-CID-Length***  Indicates the length of the CID field for EHC packet. |
| ***ethernetHeaderCompression***  If *ehc-Downlink* is configured, then Ethernet header compression is configured for downlink. Otherwise, it is not configured for downlink.  If *ehc-Uplink* is configured, then Ethernet header compression is configured for uplink. Otherwise, it is not configured for uplink.  The fields in *ehc-Common* applies for both downlink and uplink once configured. Ethernet Header compression can only be configured for DRB.  The network reconfigures *ethernetHeaderCompression* only upon reconfiguration involving PDCP re-establishment. |
| ***headerCompression***  If rohc is configured, the UE shall apply the configured ROHC profile(s) in both uplink and downlink. If *uplinkOnlyROHC* is configured, the UE shall apply the configured ROHC profile(s) in uplink (there is no header compression in downlink). ROHC can be configured for any bearer type. ROHC and EHC can be both configured simultaneously for a DRB. The network reconfigures *headerCompression* only upon reconfiguration involving PDCP re-establishment. Network configures *headerCompression* to *notUsed* when *outOfOrderDelivery* is configured. |
| ***integrityProtection***  Indicates whether or not integrity protection is configured for this radio bearer. The network configures all DRBs with the same PDU-session ID with same value for this field. The value for this field cannot be changed after the DRB is set up. |
| ***maxCID***  Indicates the value of the MAX\_CID parameter as specified in TS 38.323 [5].  The total value of MAX\_CIDs across all bearers for the UE should be less than or equal to the value of *maxNumberROHC-ContextSessions* parameter as indicated by the UE. |
| ***moreThanOneRLC***  This field configures UL data transmission when more than one RLC entity is associated with the PDCP entity. |
| ***moreThanTwoRLC***  This field configures UL data transmission when more than two RLC entities are associated with the PDCP entity for DRBs. The presence of this field indicates that PDCP duplication is configured. PDCP duplication is not configured for CA packet duplication of LTE RLC bearer. For SRBs, when more than two RLC entities are associated with the PDCP entity, the initial PDCP duplication state of the associated RLC entity is always activated. |
| ***outOfOrderDelivery***  Indicates whether or not *outOfOrderDelivery* specified in TS 38.323 [5] is configured. This field should be either always present or always absent, after the radio bearer is established. |
| ***pdcp-Duplication***  Indicates whether or not uplink duplication status at the time of receiving this IE is configured and activated as specified in TS 38.323 [5]. The presence of this field indicates that duplication is configured. PDCP duplication is not configured for CA packet duplication of LTE RLC bearer. The value of this field, when the field is present, indicates the initial state of the duplication. If set to *true*, duplication is activated. The value of this field is always *true*, when configured for a SRB. This field is absent, if the field *moreThanTwoRLC* is present. |
| ***pdcp-SN-SizeDL***  PDCP sequence number size for downlink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if *dapsConfig* is configured for this bearer. |
| ***pdcp-SN-SizeUL***  PDCP sequence number size for uplink, 12 or 18 bits, as specified in TS 38.323 [5]. For SRBs only the value *len12bits* is applicable. The value for this field cannot be changed in case of reconfiguration with sync, if *dapsConfig* is configured for this bearer. |
| ***primaryPath***  Indicates the cell group ID and LCID of the primary RLC entity as specified in TS 38.323 [5], clause 5.2.1 for UL data transmission when more than one RLC entity is associated with the PDCP entity. In this version of the specification, only cell group ID corresponding to MCG is supported for SRBs. The NW indicates *cellGroup* for split bearers using logical channels in different cell groups. The NW indicates *logicalChannel* for CA based PDCP duplication, i.e., if both logical channels terminate in the same cell group. |
| ***splitSecondaryPath***  Indicates the LCID of the split secondary RLC entity as specified in TS 38.323 [5] for fallback to split bearer operation when UL data transmission with more than two RLC entities is associated with the PDCP entity. This RLC entity belongs to a cell group that is different from the cell group indicated by *cellGroup* in the field *primaryPath.* |
| ***statusReportRequired***  For AM DRBs, indicates whether the DRB is configured to send a PDCP status report in the uplink, as specified in TS 38.323 [5]. |
| ***t-Reordering***  Value in ms of t-Reordering specified in TS 38.323 [5]. Value *ms0* corresponds to 0 ms, value *ms20* corresponds to 20 ms, value *ms40* corresponds to 40 ms, and so on. When the field is absent the UE applies the value *infinity*. The value for this field cannot be changed in case of reconfiguration with sync, if *dapsConfig* is configured for this bearer. |
| ***ul-DataSplitThreshold***  Parameter specified in TS 38.323 [5]. Value *b0* corresponds to 0 bytes, value *b100* corresponds to 100 bytes, value *b200* corresponds to 200 bytes, and so on. The network sets this field to *infinity* for UEs not supporting *splitDRB-withUL-Both-MCG-SCG*. If the field is absent when the split bearer is configured for the radio bearer first time, then the default value *infinity* is applied. |

| Conditional presence | Explanation |
| --- | --- |
| *DRB* | This field is mandatory present when the corresponding DRB is being set up, absent for SRBs. Otherwise this field is optionally present, need M. |
| *DRB2* | This field is optionally present in case of DRB, need M. Otherwise, it is absent for SRBs. |
| *MoreThanOneRLC* | This field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than one associated logical channel and upon RRC reconfiguration with the association of additional logical channels to the PDCP entity.  The field is also mandatory present in case the field *moreThanTwoRLC* is included in *PDCP-Config*.  Upon RRC reconfiguration when a PDCP entity is associated with multiple logical channels, this field is optionally present need M. Otherwise, this field is absent. Need R. |
| *MoreThanTwoRLC* | For SRBs, this field is absent.  For DRBs, this field is mandatory present upon RRC reconfiguration with setup of a PDCP entity for a radio bearer with more than two associated logical channels and upon RRC reconfiguration with the association of more than one additional logical channel to the PDCP entity.Upon RRC reconfiguration when none of the RLC entities is re-established, this field is optionally present, Need M. Otherwise, the field is absent, Need R. |
| *Rlc-AM* | For RLC AM, the field is optionally present, need R. Otherwise, the field is absent. |
| *Setup* | The field is mandatory present in case of radio bearer setup. Otherwise the field is optionally present, need M. |
| *SplitBearer* | The field is absent for SRBs. Otherwise, the field is optional present, need M, in case of radio bearer with more than one associated RLC mapped to different cell groups. |
| *SplitBearer2* | The field is mandatory present, in case of a split bearer. Otherwise the field is absent. |
| *ConnectedTo5GC* | The field is optionally present, need R, if the UE is connected to 5GC. Otherwise the field is absent. |
| *ConnectedTo5GC1* | The field is optionally present, need R, if the UE is connected to NR/5GC. Otherwise the field is absent. |
| *Setup2* | This field is mandatory present in case for radio bearer setup for RLC-AM and RLC-UM. Otherwise, this field is absent, Need M. |

*NEXT CHANGE*

#### – *ReferenceTimeInfo*

The IE *ReferenceTimeInfo* contains timing information for 5G internal system clock used for, e.g., time stamping, see TS 23.501 [32], clause 5.27.1.2.

*ReferenceTimeInfo* information element

-- ASN1START

-- TAG-REFERENCETIMEINFO-START

ReferenceTimeInfo-r16 ::= SEQUENCE {

time-r16 ReferenceTime-r16,

uncertainty-r16 INTEGER (0..32767) OPTIONAL, -- Need S

timeInfoType-r16 ENUMERATED {localClock} OPTIONAL, -- Need S

referenceSFN-r16 INTEGER (0..1023) OPTIONAL -- Cond RefTime

}

ReferenceTime-r16 ::= SEQUENCE {

refDays-r16 INTEGER (0..72999),

refSeconds-r16 INTEGER (0..86399),

refMilliSeconds-r16 INTEGER (0..999),

refTenNanoSeconds-r16 INTEGER (0..99999)

}

-- TAG-REFERENCETIMEINFO-STOP

-- ASN1STOP

|  |
| --- |
| *ReferenceTimeInfo field descriptions* |
| ***referenceSFN***  This field indicates the reference SFN corresponding to the reference time information. If *referenceTimeInfo* field is received in *DLInformationTransfer* message, this field indicates the SFN of PCell. |
| ***time***  This field indicates time reference with 10ns granularity. The indicated time is referenced at the network, i.e., without compensating for RF propagation delay. The indicated time in 10ns unit from the origin is *refDays*\*86400\*1000\*100000 + *refSeconds*\*1000\*100000 + *refMilliSeconds*\*100000 + *refTenNanoSeconds*. The *refDays* field specifies the sequential number of days (with day count starting at 0) from the origin of the *time* field.  If the *referenceTimeInfo* field is received in *DLInformationTransfer* message, the time field indicates the *time* at the ending boundary of the system frame indicated by *referenceSFN*. The UE considers this frame (indicated by *referenceSFN*) to be the frame which is nearest to the frame where the message is received (which can be either in the past or in the future).  If the *referenceTimeInfo* field is received in *SIB9*, the *time* field indicates the time at the SFN boundary at or immediately after the ending boundary of the SI-window in which *SIB9* is transmitted.  If *referenceTimeInfo* field is received in *SIB9*, this field is excluded when determining changes in system information, i.e. changes of time should neither result in system information change notifications nor in a modification of *valueTag* in *SIB1*. |
| ***timeInfoType***  If *timeInfoType* is not included, the *time* indicates the GPS time and the origin of the *time* field is 00:00:00 on Gregorian calendar date 6 January, 1980 (start of GPS time). If *timeInfoType* is set to *localClock*, the origin of the *time* is unspecified. |
| ***uncertainty***  This field indicates the uncertainty of the reference time information provided by the time field. The uncertainty is 25ns multiplied by this field*.* If this field is absent, the uncertainty is unspecified. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *RefTime* | The field is mandatory present if *referenceTimeInfo* is included in *DLInformationTransfer* message; otherwise the field is absent. |

*NEXT CHANGE*

#### – *PUCCH-Config*

The IE *PUCCH-Config* is used to configure UE specific PUCCH parameters (per BWP).

*PUCCH-Config* information element

-- ASN1START

-- TAG-PUCCH-CONFIG-START

PUCCH-Config ::= SEQUENCE {

resourceSetToAddModList SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceSets)) OF PUCCH-ResourceSet OPTIONAL, -- Need N

resourceSetToReleaseList SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceSets)) OF PUCCH-ResourceSetId OPTIONAL, -- Need N

resourceToAddModList SEQUENCE (SIZE (1..maxNrofPUCCH-Resources)) OF PUCCH-Resource OPTIONAL, -- Need N

resourceToReleaseList SEQUENCE (SIZE (1..maxNrofPUCCH-Resources)) OF PUCCH-ResourceId OPTIONAL, -- Need N

format1 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

format2 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

format3 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

format4 SetupRelease { PUCCH-FormatConfig } OPTIONAL, -- Need M

schedulingRequestResourceToAddModList SEQUENCE (SIZE (1..maxNrofSR-Resources)) OF SchedulingRequestResourceConfig

OPTIONAL, -- Need N

schedulingRequestResourceToReleaseList SEQUENCE (SIZE (1..maxNrofSR-Resources)) OF SchedulingRequestResourceId

OPTIONAL, -- Need N

multi-CSI-PUCCH-ResourceList SEQUENCE (SIZE (1..2)) OF PUCCH-ResourceId OPTIONAL, -- Need M

dl-DataToUL-ACK SEQUENCE (SIZE (1..8)) OF INTEGER (0..15) OPTIONAL, -- Need M

spatialRelationInfoToAddModList SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos)) OF PUCCH-SpatialRelationInfo

OPTIONAL, -- Need N

spatialRelationInfoToReleaseList SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos)) OF PUCCH-SpatialRelationInfoId

OPTIONAL, -- Need N

pucch-PowerControl PUCCH-PowerControl OPTIONAL, -- Need M

...,

[[

resourceToAddModList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-Resources)) OF PUCCH-Resource-r16 OPTIONAL, -- Need N

dl-DataToUL-ACK-r16 SEQUENCE (SIZE (1..8)) OF INTEGER (-1..15) OPTIONAL, -- Need M

dl-DCI-triggered-UL-ChannelAccess-CPext-r16 SEQUENCE (SIZE (1..16)) OF INTEGER (0..15) OPTIONAL, -- Need M

subslotLengthForPUCCH-r16 ENUMERATED {n2,n7} OPTIONAL, -- Need M

dl-DataToUL-ACK-ForDCI-Format1-2-r16 SEQUENCE (SIZE (1..8)) OF INTEGER (0..15) OPTIONAL, -- Need M

numberOfBitsForPUCCH-ResourceIndicatorForDCI-Format1-2-r16 INTEGER (0..3) OPTIONAL, -- Need M

dmrs-UplinkTransformPrecodingPUCCH-r16 ENUMERATED {enabled} OPTIONAL, -- Cond PI2-BPSK

spatialRelationInfoToAddModList-r16 PUCCH-SpatialRelationInfoList-r16 OPTIONAL, -- Need N

spatialRelationInfoToReleaseList-r16 PUCCH-SpatialRelationInfoIdList-r16 OPTIONAL, -- Need N

resourceGroupToAddModList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceGroups-r16)) OF PUCCH-ResourceGroup-r16

OPTIONAL, -- Need N

resourceGroupToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-ResourceGroups-r16)) OF PUCCH-ResourceGroupId-r16

OPTIONAL, -- Need N

sps-PUCCH-AN-List-r16 SetupRelease { SPS-PUCCH-AN-List-r16 } OPTIONAL, -- Need M

schedulingRequestResourceToAddModList-v16xy SEQUENCE (SIZE (1..maxNrofSR-Resources)) OF SchedulingRequestResourceConfig-v16xy

OPTIONAL -- Need N

]]

}

PUCCH-FormatConfig ::= SEQUENCE {

interslotFrequencyHopping ENUMERATED {enabled} OPTIONAL, -- Need R

additionalDMRS ENUMERATED {true} OPTIONAL, -- Need R

maxCodeRate PUCCH-MaxCodeRate OPTIONAL, -- Need R

nrofSlots ENUMERATED {n2,n4,n8} OPTIONAL, -- Need S

pi2BPSK ENUMERATED {enabled} OPTIONAL, -- Need R

simultaneousHARQ-ACK-CSI ENUMERATED {true} OPTIONAL -- Need R

}

PUCCH-MaxCodeRate ::= ENUMERATED {zeroDot08, zeroDot15, zeroDot25, zeroDot35, zeroDot45, zeroDot60, zeroDot80}

-- A set with one or more PUCCH resources

PUCCH-ResourceSet ::= SEQUENCE {

pucch-ResourceSetId PUCCH-ResourceSetId,

resourceList SEQUENCE (SIZE (1..maxNrofPUCCH-ResourcesPerSet)) OF PUCCH-ResourceId,

maxPayloadSize INTEGER (4..256) OPTIONAL -- Need R

}

PUCCH-ResourceSetId ::= INTEGER (0..maxNrofPUCCH-ResourceSets-1)

PUCCH-Resource ::= SEQUENCE {

pucch-ResourceId PUCCH-ResourceId,

startingPRB PRB-Id,

intraSlotFrequencyHopping ENUMERATED { enabled } OPTIONAL, -- Need R

secondHopPRB PRB-Id OPTIONAL, -- Need R

format CHOICE {

format0 PUCCH-format0,

format1 PUCCH-format1,

format2 PUCCH-format2,

format3 PUCCH-format3,

format4 PUCCH-format4

}

}

PUCCH-Resource-r16 ::= SEQUENCE {

pucch-ResourceId PUCCH-ResourceId,

interlaceAllocation-r16 SEQUENCE {

rb-SetIndex INTEGER (0..4),

interlace0 CHOICE {

scs15 INTEGER (0..9),

scs30 INTEGER (0..4)

}

},

format CHOICE {

format0 PUCCH-format0,

format1 PUCCH-format1,

format2 PUCCH-format2-r16,

format3 PUCCH-format3-r16,

format4 PUCCH-format4

}

}

PUCCH-ResourceId ::= INTEGER (0..maxNrofPUCCH-Resources-1)

PUCCH-format0 ::= SEQUENCE {

initialCyclicShift INTEGER(0..11),

nrofSymbols INTEGER (1..2),

startingSymbolIndex INTEGER(0..13)

}

PUCCH-format1 ::= SEQUENCE {

initialCyclicShift INTEGER(0..11),

nrofSymbols INTEGER (4..14),

startingSymbolIndex INTEGER(0..10),

timeDomainOCC INTEGER(0..6)

}

PUCCH-format2 ::= SEQUENCE {

nrofPRBs INTEGER (1..16),

nrofSymbols INTEGER (1..2),

startingSymbolIndex INTEGER(0..13)

}

PUCCH-format2-r16 ::= SEQUENCE {

nrofSymbols INTEGER (1..2),

startingSymbolIndex INTEGER (0..13),

interlace1-r16 INTEGER (0..9) OPTIONAL, -- Need M

occ-Length-r16 OCC-Length-r16 OPTIONAL, -- Need M

occ-Index-r16 OCC-Index-r16 OPTIONAL -- Need M

}

PUCCH-format3 ::= SEQUENCE {

nrofPRBs INTEGER (1..16),

nrofSymbols INTEGER (4..14),

startingSymbolIndex INTEGER(0..10)

}

PUCCH-format3-r16 ::= SEQUENCE {

nrofSymbols INTEGER (4..14),

startingSymbolIndex INTEGER (0..10),

interlace1-r16 INTEGER (0..9) OPTIONAL, -- Need M

occ-Length-r16 OCC-Length-r16 OPTIONAL, -- Need M

occ-Index-r16 OCC-Index-r16 OPTIONAL -- Need M

}

PUCCH-format4 ::= SEQUENCE {

nrofSymbols INTEGER (4..14),

occ-Length ENUMERATED {n2,n4},

occ-Index ENUMERATED {n0,n1,n2,n3},

startingSymbolIndex INTEGER(0..10)

}

OCC-Length-r16 ::= ENUMERATED {n2,n4}

OCC-Index-r16 ::= ENUMERATED {n0,n1,n2,n3}

PUCCH-SpatialRelationInfoList-r16 ::= SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos-r16)) OF PUCCH-SpatialRelationInfo-r16

PUCCH-SpatialRelationInfoIdList-r16 ::= SEQUENCE (SIZE (1..maxNrofSpatialRelationInfos-r16)) OF PUCCH-SpatialRelationInfoId-r16

PUCCH-ResourceGroup-r16 ::= SEQUENCE {

pucch-ResourceGroupId-r16 PUCCH-ResourceGroupId-r16,

resourcePerGroupList-r16 SEQUENCE (SIZE (1..maxNrofPUCCH-ResourcesPerGroup-r16)) OF PUCCH-ResourceId

}

PUCCH-ResourceGroupId-r16 ::= INTEGER (0..maxNrofPUCCH-ResourceGroups-1-r16)

-- TAG-PUCCH-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *PUCCH-Config* field descriptions |
| ***dl-DataToUL-ACK, dl-DataToUL-ACK-ForDCI-Format1-2***  List of timing for given PDSCH to the DL ACK (see TS 38.213 [13], clause 9.1.2). The field *dl-DataToUL-ACK* refers to DCI format 1\_1 and the field *dl-DataToUL-ACKForDCI-Format1-2* refers to DCI format 1\_2, respectively (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.2.3). |
| ***dl-dci-triggered-UL-ChannelAccess-CPext***  List of the combinations of CP extension and UL channel access type (See TS 38.212 [17], Clause 7.3.1). |
| ***dmrs-UplinkTransformPrecodingPUCCH***  This field is used for PUCCH formats 3 and 4 according to TS 38.211, Clause 6.4.1.3.3.1. |
| ***format1***  Parameters that are common for all PUCCH resources of format 1. |
| ***format2***  Parameters that are common for all PUCCH resources of format 2. |
| ***format3***  Parameters that are common for all PUCCH resources of format 3. |
| ***format4.***  Parameters that are common for all PUCCH resources of format 4 |
| ***numberOfBitsForPUCCH-ResourceIndicatorForDCI-Format1-2***  Configuration of the number of bits for "PUCCH resource indicator" in DCI format 1\_2 (see TS 38.212 [17], clause 7.3.1 and TS 38.213 [13], clause 9.2.3). |
| ***resourceGroupToAddModList, resourceGroupToReleaseList***  Lists for adding and releasing groups of PUCCH resources that can be updated simultaneously for spatial relations with a MAC CE |
| ***resourceSetToAddModList, resourceSetToReleaseList***  Lists for adding and releasing PUCCH resource sets (see TS 38.213 [13], clause 9.2). |
| ***resourceToAddModList, resourceToReleaseList***  Lists for adding and releasing PUCCH resources applicable for the UL BWP and serving cell in which the *PUCCH-Config* is defined. The resources defined herein are referred to from other parts of the configuration to determine which resource the UE shall use for which report. |
| ***spatialRelationInfoToAddModList***  Configuration of the spatial relation between a reference RS and PUCCH. Reference RS can be SSB/CSI-RS/SRS. If the list has more than one element, MAC-CE selects a single element (see TS 38.321 [3], clause 5.18.8 and TS 38.213 [13], clause 9.2.2). |
| ***sps-PUCCH-AN-List***  Indicates a list of PUCCH resources for DL SPS HARQ ACK. The field *maxPayloadSize* is absent for the first and the last *SPS-PUCCH-AN* in the list. If configured, this overrides *n1PUCCH-AN* in *SPS-config.*  IIoT Editor’s note: The change on moving *sps-PUCCH-AN-List* from *SPS-ConfigList* to *PUCCH-Config* may be revisited in RAN2#110e if RAN1 impacts are identified. |
| ***subslotLengthForPUCCH***  Indicate the sub-slot length for sub-slot based PUCCH feedback in number of symbols (see TS 38.213 [13], clause 9.1). Value *n2* corresponds to 2 symbols, value *n7* corresponds to 7 symbols. |

|  |
| --- |
| *PUCCH-format3* field descriptions |
| ***interlace1***  A second interlace, in addition to interlace 0, as specified in TS 38.213 [13], clause 9.2.1. For 15KHz SCS, values {0..9} are applicable; for 30Khz SCS, values {0..4} are applicable. For 15kHz SCS, the values of *interlace1* shall satisfy *interlace1*=mod(*interlace0*+X,10) where X=1, -1, or 5. |
| ***nrofPRBs***  The supported values are 1,2,3,4,5,6,8,9,10,12,15 and 16. |
| ***occ-Index***  Indicates the orthogonal cover code index (see TS 38.213 [13], clause 9.2.1). Applicable when *useInterlacePUCCH-Dedicated-r16* is configured and *interlace1* is not configured. |
| ***occ-Length***  Indicates the orthogonal cover code length (see TS 38.213 [13], clause 9.2.1). Applicable when *useInterlacePUCCH-Dedicated-r16* is configured and *interlace1* is not configured. |

|  |
| --- |
| *PUCCH-FormatConfig* field descriptions |
| ***additionalDMRS***  If the field is present, the UE enables 2 DMRS symbols per hop of a PUCCH Format 3 or 4 if both hops are more than X symbols when FH is enabled (X=4). And it enables 4 DMRS symbols for a PUCCH Format 3 or 4 with more than 2X+1 symbols when FH is disabled (X=4). The field is not applicable for format 1 and 2. See TS 38.213 [13], clause 9.2.2. |
| ***interlace0***  This is the only interlace of interlaced PUCCH Format 0 and 1 and the first interlace for interlaced PUCCH Format 2 and 3. |
| ***interslotFrequencyHopping***  If the field is present, the UE enables inter-slot frequency hopping when PUCCH Format 1, 3 or 4 is repeated over multiple slots. For long PUCCH over multiple slots, the intra and inter slot frequency hopping cannot be enabled at the same time for a UE. The field is not applicable for format 2. See TS 38.213 [13], clause 9.2.6. |
| ***maxCodeRate***  Max coding rate to determine how to feedback UCI on PUCCH for format 2, 3 or 4. The field is not applicable for format 1. See TS 38.213 [13], clause 9.2.5. |
| ***nrofSlots***  Number of slots with the same PUCCH F1, F3 or F4. When the field is absent the UE applies the value *n1*. The field is not applicable for format 2. See TS 38.213 [13], clause 9.2.6. |
| ***pi2BPSK***  If the field is present, the UE uses pi/2 BPSK for UCI symbols instead of QPSK for PUCCH. The field is not applicable for format 1 and 2. See TS 38.213 [13], clause 9.2.5. |
| ***rb-SetIndex***  Indicates the RB set where the first interlace allocated for a PUCCH resource. |
| ***simultaneousHARQ-ACK-CSI***  If the field is present, the UE uses simultaneous transmission of CSI and HARQ-ACK feedback with or without SR with PUCCH Format 2, 3 or 4. See TS 38.213 [13], clause 9.2.5. When the field is absent the UE applies the value *off.* The field is not applicable for format 1. |

|  |
| --- |
| *PUCCH-Resource* field descriptions |
| ***format***  Selection of the PUCCH format (format 0 – 4) and format-specific parameters, see TS 38.213 [13], clause 9.2. *format0* and *format1* are only allowed for a resource in a first PUCCH resource set. *format2*, *format3* and *format4* are only allowed for a resource in non-first PUCCH resource set. |
| ***intraSlotFrequencyHopping***  Enabling intra-slot frequency hopping, applicable for all types of PUCCH formats. For long PUCCH over multiple slots, the intra and inter slot frequency hopping cannot be enabled at the same time for a UE. See TS 38.213 [13], clause 9.2.1. |
| ***pucch-ResourceId***  Identifier of the PUCCH resource. |
| ***secondHopPRB***  Index of first PRB after frequency hopping of PUCCH. This value is applicable for intra-slot frequency hopping (see TS 38.213 [13], clause 9.2.1) or inter-slot frequency hopping (see TS 38.213 [13], clause 9.2.6). |

|  |
| --- |
| *PUCCH-ResourceSet* field descriptions |
| ***maxPayloadSize***  Maximum number of UCI information bits that the UE may transmit using this PUCCH resource set (see TS 38.213 [13], clause 9.2.1). In a PUCCH occurrence, the UE chooses the first of its *PUCCH-ResourceSet* which supports the number of bits that the UE wants to transmit. The field is absent in the first set (Set0) and in the last configured set since the UE derives the maximum number of UCI information bits as specified in TS 38.213 [13], clause 9.2.1. This field can take integer values that are multiples of 4. |
| ***resourceList***  PUCCH resources of *format0* and *format1* are only allowed in the first PUCCH resource set, i.e., in a PUCCH-ResourceSet with *pucch-ResourceSetId* = 0. This set may contain between 1 and 32 resources. PUCCH resources of *format2*, *format3* and *format4* are only allowed in a *PUCCH-ResourceSet* with *pucch-ResourceSetId* > 0. If present, these sets contain between 1 and 8 resources each. The UE chooses a *PUCCH-Resource* from this list as specified in TS 38.213 [13], clause 9.2.3. Note that this list contains only a list of resource IDs. The actual resources are configured in *PUCCH-Config*. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *PI2-BPSK* | The field is optionally present, Need R, if *format3* and/or *format4* are configured and *pi2BPSK* is configured in each of them. It is absent, Need R otherwise. |

*NEXT CHANGE*

#### – *SPS-Config*

The IE *SPS-Config* is used to configure downlink semi-persistent transmission. Multiple Downlink SPS configurations may be configured in one BWP of a serving cell.

*SPS-Config* information element

-- ASN1START

-- TAG-SPS-CONFIG-START

SPS-Config ::= SEQUENCE {

periodicity ENUMERATED {ms10, ms20, ms32, ms40, ms64, ms80, ms128, ms160, ms320, ms640,

spare6, spare5, spare4, spare3, spare2, spare1},

nrofHARQ-Processes INTEGER (1..8),

n1PUCCH-AN PUCCH-ResourceId OPTIONAL, -- Need M

mcs-Table ENUMERATED {qam64LowSE} OPTIONAL, -- Need S

...,

[[

sps-ConfigIndex-r16 SPS-ConfigIndex-r16 OPTIONAL, -- Need N

harq-ProcID-Offset-r16 INTEGER (0..15) OPTIONAL, -- Need M

periodicityExt-r16 INTEGER (1..5120) OPTIONAL, -- Need M

harq-CodebookID-r16 INTEGER (1..2) OPTIONAL, -- Need M

pdsch-AggregationFactor-r16 ENUMERATED {n1, n2, n4, n8 } OPTIONAL -- Need S

]]

}

-- TAG-SPS-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| *SPS-Config* field descriptions |
| ***harq-CodebookID***  Indicates the HARQ-ACK codebook index for the corresponding HARQ-ACK codebook for SPS PDSCH and ACK for SPS PDSCH release. |
| ***harq-ProcID-Offset***  Indicates the offset used in deriving the HARQ process IDs, see TS 38.321 [3], clause 5.3.1. |
| ***mcs-Table***  Indicates the MCS table the UE shall use for DL SPS (see TS 38.214 [19],clause 5.1.3.1. If present, the UE shall use the MCS table of low-SE 64QAM table indicated in Table 5.1.3.1-3 of TS 38.214 [19]. If this field is absent and field mcs-table in PDSCH-Config is set to 'qam256' and the activating DCI is of format 1\_1, the UE applies the 256QAM table indicated in Table 5.1.3.1-2 of TS 38.214 [19]. Otherwise, the UE applies the non-low-SE 64QAM table indicated in Table 5.1.3.1-1 of TS 38.214 [19]. |
| ***n1PUCCH-AN***  HARQ resource for PUCCH for DL SPS. The network configures the resource either as format0 or format1. The actual *PUCCH-Resource* is configured in *PUCCH-Config* and referred to by its ID. See TS 38.213 [13], clause 9.2.3. |
| ***nrofHARQ-Processes***  Number of configured HARQ processes for SPS DL (see TS 38.321 [3], clause 5.8.1). |
| ***pdsch-AggregationFactor***  Number of repetitions for SPS PDSCH (see TS 38.214 [19], clause 5.1.2.1). When the field is absent, the UE applies PDSCH aggregation factor signalled in PDSCH-Config. |
| ***periodicity***  Periodicity for DL SPS (see TS 38.214 [19] and TS 38.321 [3], clause 5.8.1). |
| ***periodicityExt***  This field is used to calculate the periodicity for DL SPS (see TS 38.214 [19] and see TS 38.321 [3], clause 5,8.1). If this field is present, the field *periodicity* is ignored.  The following periodicities are supported depending on the configured subcarrier spacing [slots]:  15 kHz: *periodicityExt*, where *periodicityExt* has a value between 1 and 640.  30 kHz: *periodicityExt*, where *periodicityExt* has a value between 1 and 1280.  60 kHz with normal CP: *periodicityExt*, where *periodicityExt* has a value between 1 and 2560.  60 kHz with ECP: *periodicityExt*, where *periodicityExt* has a value between 1 and 2560.  120 kHz: *periodicityExt*, where *periodicityExt* has a value between 1 and 5120. |
| ***sps-ConfigIndex***  Indicates the index of one of multiple SPS configurations. |

#### – *SPS-ConfigIndex*

The IE *SPS-ConfigIndex* is used to indicate the index of one of multiple DL SPS configurations in one BWP.

*SPS-ConfigIndex* information element

-- ASN1START

-- TAG-SPS-CONFIGINDEX-START

SPS-ConfigIndex-r16 ::= INTEGER (0.. maxNrofSPS-Config-r16-1)

-- TAG-SPS-CONFIGINDEX-STOP

-- ASN1STOP

#### – *SPS-ConfigMulti*

The IE *SPS-ConfigMulti* is used to configure one or more downlink SPS configurations in one BWP.

IIoT Editor’s note: The name “Multi” literally means more than one. FFS how to make clear that the AddModList can also be used to configure one SPS, e.g., by renaming *SPS-ConfigMulti* to *SPS-ConfigAtLeastOne* or *SPS-ConfigAny*, or moving all its child fields to IE *BWP-DownlinkDedicated*.

*SPS-ConfigMulti* information element

-- ASN1START

-- TAG-SPS-CONFIGMULTI-START

SPS-ConfigMulti-r16 ::= SEQUENCE {

sps-ConfigDeactivationStateList-r16 SPS-ConfigDeactivationStateList-r16 OPTIONAL, -- Need R

sps-ConfigToAddModList-r16 SPS-ConfigToAddModList-r16 OPTIONAL, -- Need N

sps-ConfigToReleaseList-r16 SPS-ConfigToReleaseList-r16 OPTIONAL -- Need N

}

SPS-ConfigToAddModList-r16 ::= SEQUENCE (SIZE (1..maxNrofSPS-Config-r16)) OF SPS-Config

SPS-ConfigToReleaseList-r16 ::= SEQUENCE (SIZE (1..maxNrofSPS-Config-r16)) OF SPS-ConfigIndex-r16

SPS-ConfigDeactivationState-r16 ::= SEQUENCE (SIZE (1..maxNrofSPS-Config-r16)) OF SPS-ConfigIndex-r16

SPS-ConfigDeactivationStateList-r16 ::= SEQUENCE (SIZE (1..16)) OF SPS-ConfigDeactivationState-r16

-- TAG-SPS-CONFIGMULTI-STOP

-- ASN1STOP

|  |
| --- |
| *SPS-ConfigMulti field descriptions* |
| ***sps-ConfigDeactivationStateList***  Indicates a list of the deactivation states in which each state can be mapped to a single or multiple SPS configurations to be deactivated, see clause 10.2 in TS 38.213 [13] . If a state is mapped to multiple SPS configurations, each of these SPS configurations is configured with the same *harq-CodebookID*. |
| ***sps-ConfigToAddModList***  Indicates a list of one or more DL SPS configurations to be added or modified. Except for reconfiguration with sync, the NW does not reconfigure a SPS configuration when it is active (see TS 38.321 [3]). However, the NW may release a SPS configuration at any time. |
| ***sps-ConfigToReleaseList***  Indicates a list of one or more DL SPS configurations to be released. |

#### – *SPS-PUCCH-AN*

The IE *SPS-PUCCH-AN* is used to indicate a PUCCH resource for HARQ ACK and configure the corresponding maximum payload size for the PUCCH resource.

*SPS-PUCCH-AN* information element

-- ASN1START

-- TAG-SPS-PUCCH-AN-START

SPS-PUCCH-AN-r16 ::= SEQUENCE {

sps-PUCCH-AN-ResourceID-r16 PUCCH-ResourceId,

maxPayloadSize-r16 INTEGER (4..256) OPTIONAL -- Need R

}

-- TAG-SPS-PUCCH-AN-STOP

-- ASN1STOP

|  |
| --- |
| *SPS-PUCCH-AN field descriptions* |
| ***maxPayloadSize***  Indicates the maximum payload size for the corresponding PUCCH resource ID. |
| ***sps-PUCCH-AN-ResourceID***  Indicates the PUCCH resource ID |

#### – *SPS-PUCCH-AN-List*

The IE *SPS-PUCCH-AN-List* is used to configure the list of PUCCH resources per HARQ ACK codebook

*SPS-PUCCH-AN-List* information element

-- ASN1START

-- TAG-SPS-PUCCH-AN-LIST-START

SPS-PUCCH-AN-List-r16 ::= SEQUENCE (SIZE(1..4)) OF SPS-PUCCH-AN-r16

-- TAG-SPS-PUCCH-AN-LIST-STOP

-- ASN1STOP



*NEXT CHANGE*

### 6.3.4 Other information elements

#### – *OtherConfig*

The IE *OtherConfig* contains configuration related to miscellaneous other configurations.

*OtherConfig* information element

-- ASN1START

-- TAG-OTHERCONFIG-START

OtherConfig ::= SEQUENCE {

delayBudgetReportingConfig CHOICE{

release NULL,

setup SEQUENCE{

delayBudgetReportingProhibitTimer ENUMERATED {s0, s0dot4, s0dot8, s1dot6, s3, s6, s12, s30}

}

} OPTIONAL -- Need M

}

OtherConfig-v1540 ::= SEQUENCE {

overheatingAssistanceConfig SetupRelease {OverheatingAssistanceConfig} OPTIONAL, -- Need M

...,

[[

idc-AssistanceConfig-r16 SetupRelease {IDC-AssistanceConfig-r16} OPTIONAL, -- Need M

btNameList-r16 BT-NameListConfig-r16 OPTIONAL, -- Need N

wlanNameList-r16 WLAN-NameListConfig-r16 OPTIONAL, -- Need N

sensorNameList-r16 Sensor-NameListConfig-r16 OPTIONAL, -- Need N

obtainLocationConfig-r16 ObtainLocationConfig-r16 OPTIONAL, -- Need N

sl-AssistanceConfigEUTRA-r16 ENUMERATED {true} OPTIONAL, -- Need R

sl-AssistanceConfigNR-r16 ENUMERATED {true} OPTIONAL -- Need R

]]

}

IDC-AssistanceConfig-r16 ::= SEQUENCE {

candidateServingFreqListNR-r16 CandidateServingFreqListNR-r16 OPTIONAL, -- Need M

...

}

CandidateServingFreqListNR-r16 ::= SEQUENCE (SIZE (1..maxFreqIDC-r16)) OF ARFCN-ValueNR

OtherConfig-v16xy ::= SEQUENCE {

drx-PreferenceConfig-r16 SetupRelease {DRX-PreferenceConfig-r16} OPTIONAL, -- Need M

maxBW-PreferenceConfig-r16 SetupRelease {MaxBW-PreferenceConfig-r16} OPTIONAL, -- Need M

maxCC-PreferenceConfig-r16 SetupRelease {MaxCC-PreferenceConfig-r16} OPTIONAL, -- Need M

maxMIMO-LayerPreferenceConfig-r16 SetupRelease {MaxMIMO-LayerPreferenceConfig-r16} OPTIONAL, -- Need M

minSchedulingOffsetPreferenceConfig-r16 SetupRelease {MinSchedulingOffsetPreferenceConfig-r16} OPTIONAL, -- Need M

releasePreferenceConfig-r16 SetupRelease {ReleasePreferenceConfig-r16} OPTIONAL, -- Need M

referenceTimeInterestReporting-r16 ENUMERATED {true} OPTIONAL -- Need R

}

OverheatingAssistanceConfig ::= SEQUENCE {

overheatingIndicationProhibitTimer ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30,

s60, s90, s120, s300, s600, spare3, spare2, spare1}

}

DRX-PreferenceConfig-r16 ::= SEQUENCE {

drx-PreferenceProhibitTimer-r16 ENUMERATED {

s0, s0dot5, s1, s2, s3, s4, s5, s6, s7,

s8, s9, s10, s20, s30, spare2, spare1}

}

MaxBW-PreferenceConfig-r16 ::= SEQUENCE {

maxBW-PreferenceProhibitTimer-r16 ENUMERATED {

s0, s0dot5, s1, s2, s3, s4, s5, s6, s7,

s8, s9, s10, s20, s30, spare2, spare1}

}

MaxCC-PreferenceConfig-r16 ::= SEQUENCE {

maxCC-PreferenceProhibitTimer-r16 ENUMERATED {

s0, s0dot5, s1, s2, s3, s4, s5, s6, s7,

s8, s9, s10, s20, s30, spare2, spare1}

}

MaxMIMO-LayerPreferenceConfig-r16 ::= SEQUENCE {

maxMIMO-LayerPreferenceProhibitTimer-r16 ENUMERATED {

s0, s0dot5, s1, s2, s3, s4, s5, s6, s7,

s8, s9, s10, s20, s30, spare2, spare1}

}

MinSchedulingOffsetPreferenceConfig-r16 ::= SEQUENCE {

minSchedulingOffsetPreferenceProhibitTimer-r16 ENUMERATED {

s0, s0dot5, s1, s2, s3, s4, s5, s6, s7,

s8, s9, s10, s20, s30, spare2, spare1}

}

ReleasePreferenceConfig-r16 ::= SEQUENCE {

releasePreferenceProhibitTimer-r16 ENUMERATED {

s0, s0dot5, s1, s2, s3, s4, s5, s6, s7,

s8, s9, s10, s20, s30, infinity, spare1}

}

ObtainLocationConfig-r16 ::= SEQUENCE {

obtainLocation-r16 ENUMERATED {setup} OPTIONAL -- Need N

}

-- TAG-OTHERCONFIG-STOP

-- ASN1STOP

| *OtherConfig* field descriptions |
| --- |
| ***candidateServingFreqListNR***  Indicates for each candidate NR serving cells, the center frequency around which UE is requested to report IDC issues. |
| ***delayBudgetReportingProhibitTimer***  Prohibit timer for delay budget reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot4* means prohibit timer is set to 0.4 seconds, and so on. |
| ***drx-PreferenceConfig***  Configuration for the UE to report assistance information to inform the gNB about the UE's DRX preferences for power saving. |
| ***drx-PreferenceProhibitTimer***  Prohibit timer for DRX preferences assistance information reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot5* means prohibit timer is set to 0.5 seconds, value *s1* means prohibit timer is set to 1 second and so on. |
| ***idc-AssistanceConfig***  Configuration for the UE to report assistance information to inform the gNB about UE detected IDC problem. |
| ***maxBW-PreferenceConfig***  Configuration for the UE to report assistance information to inform the gNB about the UE's preferred bandwidth for power saving. |
| ***maxBW-PreferenceProhibitTimer***  Prohibit timer for preferred bandwidth assistance information reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot5* means prohibit timer is set to 0.5 seconds, value *s1* means prohibit timer is set to 1 second and so on. |
| ***maxCC-PreferenceConfig***  Configuration for the UE to report assistance information to inform the gNB about the UE's preferred number of carriers for power saving. |
| ***maxCC-PreferenceProhibitTimer***  Prohibit timer for preferred number of carriers assistance information reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot5* means prohibit timer is set to 0.5 seconds, value *s1* means prohibit timer is set to 1 second and so on. |
| ***maxMIMO-LayerPreferenceConfig***  Configuration for the UE to report assistance information to inform the gNB about the UE's preferred number of MIMO layers for power saving. |
| ***maxMIMO-LayerPreferenceProhibitTimer***  Prohibit timer for preferred number of number of MIMO layers assistance information reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot5* means prohibit timer is set to 0.5 seconds, value *s1* means prohibit timer is set to 1 second and so on. |
| ***minSchedulingOffsetPreferenceConfig***  Configuration for the UE to report assistance information to inform the gNB about the UE's preferred *minimumSchedulingOffset* value for cross-slot scheduling for power saving. |
| ***minSchedulingOffsetPreferenceProhibitTimer***  Prohibit timer for preferred *minimumSchedulingOffset* assistance information reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot5* means prohibit timer is set to 0.5 seconds, value *s1* means prohibit timer is set to 1 second and so on. |
| ***obtainLocation***  Requests the UE to attempt to have detailed location information available using GNSS. NR configures the field only if *includeLocationInfo* is configured for one or more measurements. |
| ***overheatingAssistanceConfig***  Configuration for the UE to report assistance information to inform the gNB about UE detected internal overheating. |
| ***overheatingIndicationProhibitTimer***  Prohibit timer for overheating assistance information reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot5* means prohibit timer is set to 0.5 seconds, value *s1* means prohibit timer is set to 1 second and so on. |
| ***referenceTimeInterestReporting***  If present, the field indicates the UE is configured to provide reference time assistance information. |
| ***releasePreferenceConfig***  Configuration for the UE to report assistance information to inform the gNB about the UE's preference to leave RRC\_CONNECTED state. |
| ***releasePreferenceProhibitTimer***  Prohibit timer for release preference assistance information reporting. Value in seconds. Value *s0* means prohibit timer is set to 0 seconds, value *s0dot5* means prohibit timer is set to 0.5 seconds, value *s1* means prohibit timer is set to 1 second and so on. Value *infinity* means that once a UE has reported a release preference, the UE cannot report a release preference again during the RRC connection. |
| ***sensorNameList***  Configuration for the UE to report measurements from specific sensors. |
| ***sl-AssistanceConfigEUTRA***  Indicate whether UE is configured to provide SPS assistance information for V2X sidelink communication. |
| ***sl-AssistanceConfigNR***  Indicate whether UE is configured to provide configured grant assistance information for NR sidelink communication. |

*NEXT CHANGE*

# 11 Radio information related interactions between network nodes

## 11.2 Inter-node RRC messages

### 11.2.2 Message definitions

#### *– CG-ConfigInfo*

This message is used by master eNB or gNB to request the SgNB or SeNB to perform certain actions e.g. to establish, modify or release an SCG. The message may include additional information e.g. to assist the SgNB or SeNB to set the SCG configuration. It can also be used by a CU to request a DU to perform certain actions, e.g. to establish, or modify an MCG or SCG.

Direction: Master eNB or gNB to secondary gNB or eNB, alternatively CU to DU.

*CG-ConfigInfo* message

-- ASN1START

-- TAG-CG-CONFIG-INFO-START

CG-ConfigInfo ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE{

cg-ConfigInfo CG-ConfigInfo-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

CG-ConfigInfo-IEs ::= SEQUENCE {

ue-CapabilityInfo OCTET STRING (CONTAINING UE-CapabilityRAT-ContainerList) OPTIONAL,-- Cond SN-AddMod

candidateCellInfoListMN MeasResultList2NR OPTIONAL,

candidateCellInfoListSN OCTET STRING (CONTAINING MeasResultList2NR) OPTIONAL,

measResultCellListSFTD-NR MeasResultCellListSFTD-NR OPTIONAL,

scgFailureInfo SEQUENCE {

failureType ENUMERATED { t310-Expiry, randomAccessProblem,

rlc-MaxNumRetx, synchReconfigFailure-SCG,

scg-reconfigFailure,

srb3-IntegrityFailure},

measResultSCG OCTET STRING (CONTAINING MeasResultSCG-Failure)

} OPTIONAL,

configRestrictInfo ConfigRestrictInfoSCG OPTIONAL,

drx-InfoMCG DRX-Info OPTIONAL,

measConfigMN MeasConfigMN OPTIONAL,

sourceConfigSCG OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL,

scg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

mcg-RB-Config OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL,

mrdc-AssistanceInfo MRDC-AssistanceInfo OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1540-IEs OPTIONAL

}

CG-ConfigInfo-v1540-IEs ::= SEQUENCE {

ph-InfoMCG PH-TypeListMCG OPTIONAL,

measResultReportCGI SEQUENCE {

ssbFrequency ARFCN-ValueNR,

cellForWhichToReportCGI PhysCellId,

cgi-Info CGI-InfoNR

} OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1560-IEs OPTIONAL

}

CG-ConfigInfo-v1560-IEs ::= SEQUENCE {

candidateCellInfoListMN-EUTRA OCTET STRING OPTIONAL,

candidateCellInfoListSN-EUTRA OCTET STRING OPTIONAL,

sourceConfigSCG-EUTRA OCTET STRING OPTIONAL,

scgFailureInfoEUTRA SEQUENCE {

failureTypeEUTRA ENUMERATED { t313-Expiry, randomAccessProblem,

rlc-MaxNumRetx, scg-ChangeFailure},

measResultSCG-EUTRA OCTET STRING

} OPTIONAL,

drx-ConfigMCG DRX-Config OPTIONAL,

measResultReportCGI-EUTRA SEQUENCE {

eutraFrequency ARFCN-ValueEUTRA,

cellForWhichToReportCGI-EUTRA EUTRA-PhysCellId,

cgi-InfoEUTRA CGI-InfoEUTRA

} OPTIONAL,

measResultCellListSFTD-EUTRA MeasResultCellListSFTD-EUTRA OPTIONAL,

fr-InfoListMCG FR-InfoList OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1570-IEs OPTIONAL

}

CG-ConfigInfo-v1570-IEs ::= SEQUENCE {

sftdFrequencyList-NR SFTD-FrequencyList-NR OPTIONAL,

sftdFrequencyList-EUTRA SFTD-FrequencyList-EUTRA OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v1590-IEs OPTIONAL

}

CG-ConfigInfo-v1590-IEs ::= SEQUENCE {

servFrequenciesMN-NR SEQUENCE (SIZE (1.. maxNrofServingCells-1)) OF ARFCN-ValueNR OPTIONAL,

nonCriticalExtension CG-ConfigInfo-v16xy-IEs OPTIONAL

}

CG-ConfigInfo-v16xy-IEs ::= SEQUENCE {

drx-InfoMCG2 DRX-Info2 OPTIONAL,

alignedDRX-Indication ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

SFTD-FrequencyList-NR ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueNR

SFTD-FrequencyList-EUTRA ::= SEQUENCE (SIZE (1..maxCellSFTD)) OF ARFCN-ValueEUTRA

ConfigRestrictInfoSCG ::= SEQUENCE {

allowedBC-ListMRDC BandCombinationInfoList OPTIONAL,

powerCoordination-FR1 SEQUENCE {

p-maxNR-FR1 P-Max OPTIONAL,

p-maxEUTRA P-Max OPTIONAL,

p-maxUE-FR1 P-Max OPTIONAL

} OPTIONAL,

servCellIndexRangeSCG SEQUENCE {

lowBound ServCellIndex,

upBound ServCellIndex

} OPTIONAL, -- Cond SN-AddMod

maxMeasFreqsSCG INTEGER(1..maxMeasFreqsMN) OPTIONAL,

dummy INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

...,

[[

selectedBandEntriesMNList SEQUENCE (SIZE (1..maxBandComb)) OF SelectedBandEntriesMN OPTIONAL,

pdcch-BlindDetectionSCG INTEGER (1..15) OPTIONAL,

maxNumberROHC-ContextSessionsSN INTEGER(0.. 16384) OPTIONAL

]],

[[

maxIntraFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL,

maxInterFreqMeasIdentitiesSCG INTEGER(1..maxMeasIdentitiesMN) OPTIONAL

]],

[[

p-maxNR-FR1-MCG-r16 P-Max OPTIONAL,

powerCoordination-FR2-r16 SEQUENCE {

p-maxNR-FR2-MCG-r16 P-Max OPTIONAL,

p-maxNR-FR2-SCG-r16 P-Max OPTIONAL,

p-maxUE-FR2-r16 P-Max OPTIONAL

} OPTIONAL,

nrdc-PC-mode-FR1-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

nrdc-PC-mode-FR2-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic} OPTIONAL,

maxMeasSRS-ResourceSCG-r16 INTEGER(0..maxNrofSRS-Resources-r16) OPTIONAL,

maxMeasCLI-ResourceSCG-r16 INTEGER(0..maxNrofCLI-RSSI-Resources-r16) OPTIONAL,

maxNumberEHC-ContextsSN-r16 INTEGER(0..65536) OPTIONAL

]]

}

SelectedBandEntriesMN ::= SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandEntryIndex

BandEntryIndex ::= INTEGER (0.. maxNrofServingCells)

PH-TypeListMCG ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF PH-InfoMCG

PH-InfoMCG ::= SEQUENCE {

servCellIndex ServCellIndex,

ph-Uplink PH-UplinkCarrierMCG,

ph-SupplementaryUplink PH-UplinkCarrierMCG OPTIONAL,

...

}

PH-UplinkCarrierMCG ::= SEQUENCE{

ph-Type1or3 ENUMERATED {type1, type3},

...

}

BandCombinationInfoList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombinationInfo

BandCombinationInfo ::= SEQUENCE {

bandCombinationIndex BandCombinationIndex,

allowedFeatureSetsList SEQUENCE (SIZE (1..maxFeatureSetsPerBand)) OF FeatureSetEntryIndex

}

FeatureSetEntryIndex ::= INTEGER (1.. maxFeatureSetsPerBand)

DRX-Info ::= SEQUENCE {

drx-LongCycleStartOffset CHOICE {

ms10 INTEGER(0..9),

ms20 INTEGER(0..19),

ms32 INTEGER(0..31),

ms40 INTEGER(0..39),

ms60 INTEGER(0..59),

ms64 INTEGER(0..63),

ms70 INTEGER(0..69),

ms80 INTEGER(0..79),

ms128 INTEGER(0..127),

ms160 INTEGER(0..159),

ms256 INTEGER(0..255),

ms320 INTEGER(0..319),

ms512 INTEGER(0..511),

ms640 INTEGER(0..639),

ms1024 INTEGER(0..1023),

ms1280 INTEGER(0..1279),

ms2048 INTEGER(0..2047),

ms2560 INTEGER(0..2559),

ms5120 INTEGER(0..5119),

ms10240 INTEGER(0..10239)

},

shortDRX SEQUENCE {

drx-ShortCycle ENUMERATED {

ms2, ms3, ms4, ms5, ms6, ms7, ms8, ms10, ms14, ms16, ms20, ms30, ms32,

ms35, ms40, ms64, ms80, ms128, ms160, ms256, ms320, ms512, ms640, spare9,

spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 },

drx-ShortCycleTimer INTEGER (1..16)

} OPTIONAL

}

DRX-Info2 ::= SEQUENCE {

drx-onDurationTimer CHOICE {

subMilliSeconds INTEGER (1..31),

milliSeconds ENUMERATED {

ms1, ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30, ms40, ms50, ms60,

ms80, ms100, ms200, ms300, ms400, ms500, ms600, ms800, ms1000, ms1200,

ms1600, spare8, spare7, spare6, spare5, spare4, spare3, spare2, spare1 }

}

}

MeasConfigMN ::= SEQUENCE {

measuredFrequenciesMN SEQUENCE (SIZE (1..maxMeasFreqsMN)) OF NR-FreqInfo OPTIONAL,

measGapConfig SetupRelease { GapConfig } OPTIONAL,

gapPurpose ENUMERATED {perUE, perFR1} OPTIONAL,

...,

[[ measGapConfigFR2 SetupRelease { GapConfig } OPTIONAL

]]

}

MRDC-AssistanceInfo ::= SEQUENCE {

affectedCarrierFreqCombInfoListMRDC SEQUENCE (SIZE (1..maxNrofCombIDC)) OF AffectedCarrierFreqCombInfoMRDC,

...

}

AffectedCarrierFreqCombInfoMRDC ::= SEQUENCE {

victimSystemType VictimSystemType,

interferenceDirectionMRDC ENUMERATED {eutra-nr, nr, other, utra-nr-other, nr-other, spare3, spare2, spare1},

affectedCarrierFreqCombMRDC SEQUENCE {

affectedCarrierFreqCombEUTRA AffectedCarrierFreqCombEUTRA OPTIONAL,

affectedCarrierFreqCombNR AffectedCarrierFreqCombNR

} OPTIONAL

}

VictimSystemType ::= SEQUENCE {

gps ENUMERATED {true} OPTIONAL,

glonass ENUMERATED {true} OPTIONAL,

bds ENUMERATED {true} OPTIONAL,

galileo ENUMERATED {true} OPTIONAL,

wlan ENUMERATED {true} OPTIONAL,

bluetooth ENUMERATED {true} OPTIONAL

}

AffectedCarrierFreqCombEUTRA ::= SEQUENCE (SIZE (1..maxNrofServingCellsEUTRA)) OF ARFCN-ValueEUTRA

AffectedCarrierFreqCombNR ::= SEQUENCE (SIZE (1..maxNrofServingCells)) OF ARFCN-ValueNR

-- TAG-CG-CONFIG-INFO-STOP

-- ASN1STOP

|  |
| --- |
| *CG-ConfigInfo* field descriptions |
| ***alignedDRX-Indication***  This field is signalled upon MN triggered CGI reporting by the UE that requires aligned DRX configurations between the MCG and the SCG (i.e. same DRX cycle and on-duration configured by MN completely contains on-duration configured by SN). |
| ***allowedBC-ListMRDC***  A list of indices referring to band combinations in MR-DC capabilities from which SN is allowed to select the SCG band combination. Each entry refers to:  - a band combination numbered according to *supportedBandCombinationList* in the *UE-MRDC-Capability* (in case of (NG)EN-DC), or according to *supportedBandCombinationList* and *supportedBandCombinationListNEDC-Only* in the *UE-MRDC-Capability* (in case of NE-DC), or according to *supportedBandCombinationList* in the UE-NR-Capability (in case of NR-DC),  - and the Feature Sets allowed for each band entry. All MR-DC band combinations indicated by this field comprise the MCG band combination, which is a superset of the MCG band(s) selected by MN. |
| ***candidateCellInfoListMN***, ***candidateCellInfoListSN***  Contains information regarding cells that the master node or the source node suggests the target gNB or DU to consider configuring.  For (NG)EN-DC, including CSI-RS measurement results in *candidateCellInfoListMN* is not supported in this version of the specification. For NR-DC, including SSB and/or CSI-RS measurement results in *candidateCellInfoListMN* is supported. |
| ***candidateCellInfoListMN-EUTRA***, ***candidateCellInfoListSN-EUTRA***  Includes the *MeasResultList3EUTRA* as specified in TS 36.331 [10]. Contains information regarding cells that the master node or the source node suggests the target secondary eNB to consider configuring. These fields are only used in NE-DC. |
| ***configRestrictInfo***  Includes fields for which SgNB is explictly indicated to observe a configuration restriction. |
| ***drx-ConfigMCG***  This field contains the complete DRX configuration of the MCG. This field is only used in NR-DC. |
| ***drx-InfoMCG***  This field contains the DRX long and short cycle configuration of the MCG. This field is used in (NG)EN-DC and NE-DC. |
| ***drx-InfoMCG2***  This field contains the *drx-onDurationTimer* configuration of the MCG and a DRX alignment indication. This field is only used in (NG)EN-DC. |
| ***fr-InfoListMCG***  Contains information of FR information of serving cells that include PCell and SCell(s) configured in MCG. |
| ***dummy***  This field is not used in the specification and SN ignores the received value. |
| ***maxInterFreqMeasIdentitiesSCG***  Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for inter-frequency measurement. The maximum value for this field is 10. If the field is absent, the SCG is allowed to configure inter-frequency measurements up to the maximum value. This field is only used in NR-DC. |
| ***maxIntraFreqMeasIdentitiesSCG***  Indicates the maximum number of allowed measurement identities that the SCG is allowed to configure for intra-frequency measurement on each serving frequency. The maximum value for this field is 9 (in case of (NG)EN-DC or NR-DC) or 10 (in case of NE-DC). If the field is absent, the SCG is allowed to configure intra-frequency measurements up to the maximum value on each serving frequency. |
| ***maxMeasCLI-ResourceSCG***  Indicates the maximum number of CLI RSSI resources that the SCG is allowed to configure. |
| ***maxMeasFreqsSCG***  Indicates the maximum number of NR inter-frequency carriers the SN is allowed to configure with PSCell for measurements. |
| ***maxMeasSRS-ResourceSCG***  Indicates the maximum number of SRS resources that the SCG is allowed to configure for CLI measurement. |
| ***maxNumberROHC-ContextSessionsSN***  Indicates the maximum number of ROHC context sessions allowed to SN terminated bearer, excluding context sessions that leave all headers uncompressed. |
| ***maxNumberEHC-ContextsSN***  Indicates the maximum number of EHC contexts allowed to the SN terminated bearer. |
| ***measuredFrequenciesMN***  Used by MN to indicate a list of frequencies measured by the UE. |
| ***measGapConfig***  Indicates the FR1 and perUE measurement gap configuration configured by MN. |
| ***measGapConfigFR2***  Indicates the FR2 measurement gap configuration configured by MN. |
| ***mcg-RB-Config***  Contains all of the fields in the IE *RadioBearerConfig* used in MCG, used by the SN to support delta configuration to UE, for bearer type change between MN terminated bearer with NR PDCP to SN terminated bearer. It is also used to indicate the PDCP duplication related information for MN terminated split bearer (whether duplication is configured and if so, whether it is initially activated) in SN Addition/Modification procedure. Otherwise, this field is absent. |
| ***measResultReportCGI, measResultReportCGI-EUTRA***  Used by MN to provide SN with CGI-Info for the cell as per SN′s request. In this version of the specification, the *measResultReportCGI* is used for (NG)EN-DC and NR-DC and the *measResultReportCGI-EUTRA* is used only for NE-DC. |
| ***measResultSCG-EUTRA***  This field includes the *MeasResultSCG-FailureMRDC* IE as specified in TS 36.331 [10]. This field is only used in NE-DC. |
| ***measResultSFTD-EUTRA***  SFTD measurement results between the PCell and the E-UTRA PScell in NE-DC. This field is only used in NE-DC. |
| ***mrdc-AssistanceInfo***  Contains the IDC assistance information for MR-DC reported by the UE (see TS 36.331 [10]). |
| ***nrdc-PC-mode-FR1***  Indicates the uplink power sharing mode that the UE uses in NR-DC FR1 (see TS 38.213 [13], clause 7.6). |
| ***nrdc-PC-mode-FR2***  Indicates the uplink power sharing mode that the UE uses in NR-DC FR2 (see TS 38.213 [13], clause 7.6). |
| ***p-maxEUTRA***  Indicates the maximum total transmit power to be used by the UE in the E-UTRA cell group (see TS 36.104 [33]). This field is used in (NG)EN-DC and NE-DC. |
| ***p-maxNR-FR1***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]). The field is used in (NG)EN-DC and NE-DC. |
| ***p-maxUE-FR1***  Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1). |
| ***p-maxNR-FR1-MCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 1 (FR1) (see TS 38.104 [12]) the UE can use in NR MCG. This field is only used in NR-DC. |
| ***p-maxNR-FR2-SCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR SCG. |
| ***p-maxUE-FR2***  Indicates the maximum total transmit power to be used by the UE across all serving cells in frequency range 2 (FR2). |
| ***p-maxNR-FR2-MCG***  Indicates the maximum total transmit power to be used by the UE in the NR cell group across all serving cells in frequency range 2 (FR2) (see TS 38.104 [12]) the UE can use in NR MCG. |
| ***pdcch-BlindDetectionSCG***  Indicates the maximum value of the reference number of cells for PDCCH blind detection allowed to be configured for the SCG. |
| ***ph-InfoMCG***  Power headroom information in MCG that is needed in the reception of PHR MAC CE in SCG. |
| ***ph-SupplementaryUplink***  Power headroom information for supplementary uplink. For UE in (NG)EN-DC, this field is absent. |
| ***ph-Type1or3***  Type of power headroom for a serving cell in MCG (PCell and activated SCells). *type1* refers to type 1 power headroom, *type3* refers to type 3 power headroom. (See TS 38.321 [3]). |
| ***ph-Uplink***  Power headroom information for uplink. |
| ***powerCoordination-FR1***  Indicates the maximum power that the UE can use in FR1. |
| ***powerCoordination-FR2***  Indicates the maximum power that the UE can use in frequency range 2 (FR2). This field is only used in NR-DC. |
| ***scgFailureInfo***  Contains SCG failure type and measurement results. In case the sender has no measurement results available, the sender may include one empty entry (i.e. without any optional fields present) in *measResultPerMOList*. This field is used in (NG)EN-DC and NR-DC. |
| ***scgFailureInfoEUTRA***  Contains SCG failure type and measurement results of the EUTRA secondary cell group. This field is only used in NE-DC. |
| ***scg-RB-Config***  Contains all of the fields in the IE RadioBearerConfig used in SCG, used to allow the target SN to use delta configuration to the UE, e.g. during SN change. The field is signalled upon change of SN. Otherwise, the field is absent. This field is also absent when master eNB uses full configuration option. |
| ***selectedBandEntriesMNList***  A list of indices referring to the position of a band entry selected by the MN, in each band combination entry in *allowedBC-ListMRDC* IE. *BandEntryIndex* 0 identifies the first band in the *bandList* of the *BandCombination*, *BandEntryIndex* 1 identifies the second band in the *bandList* of the *BandCombination*, and so on. This *selectedBandEntriesMNList* includes the same number of entries, and listed in the same order as in *allowedBC-ListMRDC*. The SN uses this information to determine which bands out of the NR band combinations in *allowedBC-ListMRDC* it can configure in SCG. This field is only used in NR-DC. |
| ***servCellIndexRangeSCG***  Range of serving cell indices that SN is allowed to configure for SCG serving cells. |
| ***servFrequenciesMN-NR***  Indicates the frequency of all serving cells that include PCell and SCell(s) configured in MCG. This field is only used in NR-DC. |
| ***sftdFrequencyList-NR***  Includes a list of SSB frequencies. Each entry identifies the SSB frequency of a PSCell, which corresponds to one *MeasResultCellSFTD-NR* entry in the *MeasResultCellListSFTD-NR*. |
| ***sftdFrequencyList-EUTRA***  Includes a list of E-UTRA frequencies. Each entry identifies the carrier frequency of a PSCell, which corresponds to one *MeasResultSFTD-EUTRA* entry in the *MeasResultCellListSFTD-EUTRA*. |
| ***sourceConfigSCG***  Includes all of the current SCG configurations used by the target SN to build delta configuration to be sent to UE, e.g. during SN change. The field contains the *RRCReconfiguration* message, i.e. including *secondaryCellGroup* and *measConfig*. The field is signalled upon change of SN, unless MN uses full configuration option. Otherwise, the field is absent. |
| ***sourceConfigSCG-EUTRA***  Includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration.* In this version of the specification, this field is absent when master gNB uses full configuration option. This field is only used in NE-DC. |
| ***ue-CapabilityInfo***  Contains the IE *UE-CapabilityRAT-ContainerList* supported by the UE (see NOTE 3). A gNB that retrieves MRDC related capability containers ensures that the set of included MRDC containers is consistent w.r.t. the feature set related information. |

|  |
| --- |
| *BandCombinationInfo* field descriptions |
| ***allowedFeatureSetsList***  Defines a subset of the entries in a *FeatureSetCombination*. Each index identifies a position in the *FeatureSetCombination*, which corresponds to one *FeatureSetUplink*/*Downlink* for each band entry in the associated band combination. |
| ***bandCombinationIndex***  In case of (NG)EN-DC and NR-DC, this field indicates the position of a band combination in the *supportedBandCombinationList*. In case of NE-DC, this field indicates the position of a band combination in the *supportedBandCombinationList* and/or *supportedBandCombinationListNEDC-Only*. Band combination entries in *supportedBandCombinationList* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationList*. Band combination entries in *supportedBandCombinationListNEDC-Only* are referred by an index which corresponds to the position of a band combination in the *supportedBandCombinationListNEDC-Only* increased by the number of entries in *supportedBandCombinationList*. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *SN-AddMod* | The field is mandatory present upon SN addition and SN change. It is optionally present upon SN modification and inter-MN handover without SN change. Otherwise, the field is absent. |

NOTE 3: The following table indicates per source RAT whether RAT capabilities are included or not in *ue-CapabilityInfo*.

|  |  |  |  |
| --- | --- | --- | --- |
| Source RAT | NR capabilities | E-UTRA capabilities | MR-DC capabilities |
| E-UTRA | Included | Not included | Included |