**3GPP TSG-RAN2 Meeting #106 *R2-190xxxx***

 **Reno, Nevada, United States, 13th May 2019 - 17th May 2019**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **<CR#>** | **rev** | **-** | **Current version:** | **15.5.1** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  |  |
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| ***Source to WG:*** | NTT DOCOMO, INC. |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2019-05-24 |
|  |  |  |  |  |
| ***Category:*** | **C** |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | RAN2 received the (final) update of Rel-15 L1 UE feature list from RAN1 in [R2-1908485](https://www.3gpp.org/ftp/tsg_ran/WG2_RL2/TSGR2_106/LSin/R2-1908485.zip) which covers late drops. In the updated feature list, the existing UE feature of different numerologies across NR carriers within the same NR PUCCH group (6-9) is updated to clarity that PUCCH is transmitted on the carrrier with smaller Sub-Carrier Spacing (SCS). In addition, Another feature is added to cover the case where PUCCH is transmitted on the carrier with larger SCS (6-9a). These updates are applicable to EN-DC, NR-CA as well as late drop options, i.e. NE-DC and NR-DC. Therefore, the capability signalling needs to be updated, in accordance with their updates. |
|  |  |
| ***Summary of change:*** | - The existing capability field name, diffNumerologyWithinPUCCH-Group is changed to diffNumerologyWithinPUCCH-Group**SmallerSCS**.- Another capability of diffNumerologyWithinPUCCH-Group**LargerSCS** is defined as per-band combination signalling.**Impact analysis:**Impacted 5G architecture options:Standalone, EN-DC, NGEN-DC, NE-DC, NR-DCImpacted functionality:Different numerologies across NR carriers within the same NR PUCCH groupInter-operability:On the change of the existing capability field name, there is no inter-operabiltiy issue, since TS 38.306 has already described that PUCCH is transmitted on the carrrier with smaller SCS.On the new capability for larger sub-carrier spacing;If the UE implements this CR but the gNB does not, the gNB ignores the extended fields.If the gNB implements this CR but the UE does not, the UE does not signal the capability bit introduced by this CR. The gNB considers that the UE does not support the corresponding feature.From these viewpoints, no inter-operability issue is foreseen. |
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| ***Consequences if not approved:*** | A UE cannot support another case that PUCCH is transmitted on the carrier with larger SCS |
|  |  |
| ***Clauses affected:*** | 6.3.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 38.306 CR 0XYZ |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

### 6.3.3 UE capability information elements

<< skip unchanged part >>

#### – *BandCombinationList*

The IE *BandCombinationList* contains a list of NR CA and/or MR-DC band combinations (also including DL only or UL only band).

*BandCombinationList* information element

-- ASN1START

-- TAG-BANDCOMBINATIONLIST-START

BandCombinationList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination

BandCombinationList-v1540 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1540

BandCombinationList-v1550 ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v1550

BandCombinationList-v15xy ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination-v15xy

BandCombination ::= SEQUENCE {

 bandList SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters,

 featureSetCombination FeatureSetCombinationId,

 ca-ParametersEUTRA CA-ParametersEUTRA OPTIONAL,

 ca-ParametersNR CA-ParametersNR OPTIONAL,

 mrdc-Parameters MRDC-Parameters OPTIONAL,

 supportedBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL,

 powerClass-v1530 ENUMERATED {pc2} OPTIONAL

}

BandCombination-v1540::= SEQUENCE {

 bandList-v1540 SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters-v1540,

 ca-ParametersNR-v1540 CA-ParametersNR-v1540 OPTIONAL

}

BandCombination-v1550 ::= SEQUENCE {

 ca-ParametersNR-v1550 CA-ParametersNR-v1550

}

BandCombination-v15xy ::= SEQUENCE {

 ca-ParametersNR-v15xy CA-ParametersNR-v15xy

}

BandParameters ::= CHOICE {

 eutra SEQUENCE {

 bandEUTRA FreqBandIndicatorEUTRA,

 ca-BandwidthClassDL-EUTRA CA-BandwidthClassEUTRA OPTIONAL,

 ca-BandwidthClassUL-EUTRA CA-BandwidthClassEUTRA OPTIONAL

 },

 nr SEQUENCE {

 bandNR FreqBandIndicatorNR,

 ca-BandwidthClassDL-NR CA-BandwidthClassNR OPTIONAL,

 ca-BandwidthClassUL-NR CA-BandwidthClassNR OPTIONAL

 }

}

BandParameters-v1540 ::= SEQUENCE {

 srs-CarrierSwitch CHOICE {

 nr SEQUENCE {

 srs-SwitchingTimesListNR SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeNR

 },

 eutra SEQUENCE {

 srs-SwitchingTimesListEUTRA SEQUENCE (SIZE (1..maxSimultaneousBands)) OF SRS-SwitchingTimeEUTRA

 }

 } OPTIONAL,

 srs-TxSwitch-v1540 SEQUENCE {

 supportedSRS-TxPortSwitch ENUMERATED {t1r2, t1r4, t2r4, t1r4-t2r4, t1r1, t2r2, t4r4, notSupported},

 txSwitchImpactToRx INTEGER (1..32) OPTIONAL,

 txSwitchWithAnotherBand INTEGER (1..32) OPTIONAL

 } OPTIONAL

}

-- TAG-BANDCOMBINATIONLIST-STOP

-- ASN1STOP

|  |
| --- |
| *BandCombination* field descriptions |
| ***BandCombinationList-v1540***The UE shall include the same number of entries, and listed in the same order, as in *BandCombinationList* (without suffix). |
| ***powerClass***Power class that the UE supports when operating according to this band combination. If the field is absent, the UE supports the default power class. If this power class is higher than the power class that the UE supports on the individual bands of this band combination (*ue-PowerClass* in *BandNR*), the latter determines maximum TX power available in each band. The UE sets the new power class parameter only in band combinations with two FR1 uplink serving cells.  |
| ***supportedBandwidthCombinationSet***For NR SA and for inter-band EN-DC, the field defines the bandwidth combinations for the NR part of the band combination. For intra-band EN-DC, the field indicates the supported bandwidth combination set applicable to the NR and LTE band combinations. The first (left-most) bit in the bitmap corresponds to the BWCS#0 and so on. If the bit is set to 1, the UE supports the corresponding BWCS. |
| ***srs-SwitchingTimesListNR***Indicates, for a particular pair of NR bands, the RF retuning time when switching between a NR carrier corresponding to this band entry and another (PUSCH-less) NR carrier corresponding to the band entry in the order indicated below:- For the first NR band, the UE shall include the same number of entries for NR bands as in *bandList*, i.e. first entry corresponds to first NR band in *bandList* and so on,- For the second NR band, the UE shall include one entry less, i.e. first entry corresponds to the second NR band in *bandList* and so on- And so on |
| ***srs-SwitchingTimesListEUTRA***Indicates, for a particular pair of E-UTRA bands, the RF retuning time when switching between an E-UTRA carrier corresponding to this band entry and another (PUSCH-less) E-UTRA carrier corresponding to the band entry in the order indicated below:- For the first E-UTRA band, the UE shall include the same number of entries for E-UTRA bands as in *bandList,* i.e. first entry corresponds to first E-UTRA band in *bandList* and so on,- For the second E-UTRA band, the UE shall include one entry less, i.e. first entry corresponds to the second E-UTRA band in *bandList* and so on - And so on |

<< skip unchanged part >>

#### – *CA-ParametersNR*

The IE *CA-ParametersNR* contains carrier aggregation related capabilities that are defined per band combination.

*CA-ParametersNR* information element

-- ASN1START

-- TAG-CA-PARAMETERSNR-START

CA-ParametersNR ::= SEQUENCE {

 multipleTimingAdvances ENUMERATED {supported} OPTIONAL,

 parallelTxSRS-PUCCH-PUSCH ENUMERATED {supported} OPTIONAL,

 parallelTxPRACH-SRS-PUCCH-PUSCH ENUMERATED {supported} OPTIONAL,

 simultaneousRxTxInterBandCA ENUMERATED {supported} OPTIONAL,

 simultaneousRxTxSUL ENUMERATED {supported} OPTIONAL,

 diffNumerologyAcrossPUCCH-Group ENUMERATED {supported} OPTIONAL,

 diffNumerologyWithinPUCCH-GroupSmallerSCS ENUMERATED {supported} OPTIONAL,

 supportedNumberTAG ENUMERATED {n2, n3, n4} OPTIONAL,

 ...

}

CA-ParametersNR-v1540 ::= SEQUENCE {

 simultaneousSRS-AssocCSI-RS-AllCC INTEGER (5..32) OPTIONAL,

 csi-RS-IM-ReceptionForFeedbackPerBandComb SEQUENCE {

 maxNumberSimultaneousNZP-CSI-RS-ActBWP-AllCC INTEGER (1..64) OPTIONAL,

 totalNumberPortsSimultaneousNZP-CSI-RS-ActBWP-AllCC INTEGER (2..256) OPTIONAL

 } OPTIONAL,

 simultaneousCSI-ReportsAllCC INTEGER (5..32) OPTIONAL,

 dualPA-Architecture ENUMERATED {supported} OPTIONAL

}

CA-ParametersNR-v1550 ::= SEQUENCE {

 aperiodic-CSI-diffSCS ENUMERATED {supported} OPTIONAL

}

CA-ParametersNR-v15xy ::= SEQUENCE {

 diffNumerologyWithinPUCCH-GroupLargerSCS ENUMERATED {supported} OPTIONAL

}

-- TAG-CA-PARAMETERSNR-STOP

-- ASN1STOP

|  |
| --- |
| *CA-ParametersNR field description* |
| ***maxNumberSimultaneousNZP-CSI-RS-ActBWP-AllCC***Limits the total number of NZP-CSI-RS resources that the NW may configure across all CCs (irrespective of the associated codebook type). The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand*-> *maxNumberSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff*-> *maxNumberSimultaneousNZP-CSI-RS-PerCC*. |
| ***simultaneousCSI-ReportsAllCC***This parameter may further limit *simultaneousCSI-ReportsPerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. |
| ***simultaneousSRS-AssocCSI-RS-AllCC***This parameter may further limit *simultaneousSRS-AssocCSI-RS-PerCC* in *MIMO-ParametersPerBand* and *Phy-ParametersFRX-Diff* for each band in a given band combination. |
| ***totalNumberPortsSimultaneousNZP-CSI-RS-ActBWP-AllCC***Limits the total number of ports that the NW may configure across all NZP-CSI-RS resources across all CCs (irrespective of the associated codebook type). The network applies this limit in addition to the limits signalled in *MIMO-ParametersPerBand*-> *totalNumberPortsSimultaneousNZP-CSI-RS-PerCC* and in *Phy-ParametersFRX-Diff*-> t*otalNumberPortsSimultaneousNZP-CSI-RS-PerCC*. |

<< skip unchanged part >>

#### – *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

*RF-Parameters* information element

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

 supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v15xy BandCombinationList-v15xy OPTIONAL

 ]]

}

BandNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

 mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

 extendedCP ENUMERATED {supported} OPTIONAL,

 multipleTCI ENUMERATED {supported} OPTIONAL,

 bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

 bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

 bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

 crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

 pusch-256QAM ENUMERATED {supported} OPTIONAL,

 ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

 rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

 channelBWs-DL-v1530 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL-v1530 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 ...,

 [[

 maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

 powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| *RF-Parameters* field descriptions |
| ***appliedFreqBandListFilter***In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for NR (without MR-DC). The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |

#### – *RF-ParametersMRDC*

The IE *RF-ParametersMRDC* is used to convey RF related capabilities for MR-DC.

*RF-ParametersMRDC* information element

-- ASN1START

-- TAG-RF-PARAMETERSMRDC-START

RF-ParametersMRDC ::= SEQUENCE {

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL,

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v15xy BandCombinationList-v15xy OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERSMRDC-STOP

-- ASN1STOP

|  |
| --- |
| *RF-ParametersMRDC* field descriptions |
| ***appliedFreqBandListFilter***In this field the UE mirrors the *FreqBandList* that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the *supportedBandCombinationList* in accordance with this *appliedFreqBandListFilter*. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for MR-DC. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-MRDC-Capability* IE. |