**3GPP TSG-RAN2 Meeting #104 *R2-18xxxxx***

**Spokane, USA, 2018-11-12 to 2018-11-16**

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| *CR-Form-v11.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **0422** | **rev** | **-** | **Current version:** | **15.3.0** |  |
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| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:***  | Correction to UE capability procedures [103bis-23] |
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| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | RAN2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2018-11-01 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | This CR implements the corrections discussed in RAN2 email discussion [103bis-23] as well as some additional corrections and clarifications.38.331 v15.3.0 and 36.331 v15.3.0 do not describe how the UE compiles and includes feature sets, feature set combinations when the NW enquires capabilities for *etura*. When the NW enquires capabilities for *nr* or *eutra-nr*, a UE following the current specifications includes feature sets required for the supportedBandCombinations that the UE includes. However, it is unclear whether and how the NW may request filtered band combinations (FreqBandList) and how the UE includes the corresponding band combinations and feature sets. Procedural text for the eutra-nr-only flag was missing. In section 5.6.1.4 the band combinations are prioritized in the order of the the band numbers in FreqBandList if no additional filter criteria (number of carriers, ...) are provided. The same prioritization by band number should be applied if those additional parameters are also provided but it is currently missing. It is not obvious from the current structure of section 5.6.1 which parts are used only for a UE that is RRC CONNECTED in NR (NR SA) and which parts are also referred to from 36.331.The field names used in the procedural text of 5.6.1.3 are not consistent with the actual ASN.1 field names.The structure of section 5.6.1.4 has unnecessary repetitions that could be avoided by re-sorting the if statements.  |
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| ***Summary of change:*** | 1) Split section 5.6.1.4 into two subsection for compilation of band combinations and feature sets, respectively. The former is invoked when capabilities for NR or MRDC are requested. The latter is also invoked when capabilities for EUTRA are requested. 2) Clarify in section 5.6.1.4.1 that the NW may include EUTRA band numbers in the FreqBandList when requesting capabities for “nr”. Correct the procedural text so that the UE includes anyway only NR band combinations when the NW requests “*nr*” and only MRDC band combinations when the NW requests “*eutra-nr*”. 3) Describe in procedural text that the UE shall not include band combinations in the UE-NR-Capabilities when the NW includes the eutra-nr-only flag.4) In section 5.6.1.4.1, restructure the if statements to reduce repetition and to apply filtering by band number also in case the additional filtering parameters are provided. 5) Corret the procedural text in section 5.6.1.4.2 so that the UE includes all necessary featureSets and featureSetCombinations for the requested FreqBandList and not just for the included band combinations. 6) Add a note in section 5.6.1.2 explaining that sections 5.6.1.4.1 and 5.6.1.4.2 are also invoked by 36.331.7) In section 5.6.1.3 use the actual ASN.1 field names in the procedural text.  |
|  |  |
| ***Consequences if not approved:*** | It is unspecified how the network requests (filtered) band combinations and how the UE includes feature sets and band combinations.It is unclear whether and how the UE includes the featureSetsEUTRA. The prioritization of band combinations is not appiled if additional filtering parameters are provided. The structure remains unnecessarliy complex which makes it difficult to extend it later on. It remains difficult to quickly identify the actual ASN.1 field names being used by this procedrual text. The relation between the procedures in 36.331 and 38.331 remains unspecified and ambiguous. |
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| ***Clauses affected:*** | 5.6.1, 6.3.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS/TR 36.331 CR XXXXXXXX |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |

## 5.6 UE capabilities

### 5.6.1 UE capability transfer

#### 5.6.1.1 General

This section describes how the UE compiles and uploads its UE capability information upon receiving a UECapabilityEnquiry from the network.



Figure 5.6.1.1-1: UE capability transfer

#### 5.6.1.2 Initiation

The network initiates the procedure to a UE in RRC\_CONNECTED when it needs (additional) UE radio access capability information.

NOTE: The UE also invokes the procedures for compilation of band combinations (5.6.1.4.1) when the network requests UE capabilities for *nr* or *eutra-nr* via EUTRA if the UE supports NR or EN-DC, respectively. And the UE invokes the procedures for compilation of feature sets (5.6.1.4.2) when the network requests capabilities for eutra via EUTRA if the UE supports NR and/or EN-DC.

#### 5.6.1.3 Reception of the *UECapabilityEnquiry* by the UE

The UE shall set the contents of *UECapabilityInformation* message as follows:

1> if the *ue-CapabilityRAT-RequestList* contains a *UE-CapabilityRAT-Request* with *rat-Type* set to *nr*:

2> include in the *ue-CapabilityRAT-ContainerList* a *ue-CapabilityRAT-Container* of the type *UE-NR-Capability* and with the *rat-Type* set to *nr*;

2> include the *supportedBandCombinationList, featureSets* and *featureSetCombinations* as specified in section 5.6.1.4.1 and 5.6.1.4.2;

1> if the *ue-CapabilityRAT-RequestList* contains a *UE-CapabilityRAT-Request* with *rat-Type* set to *eutra*:

2> if the UE supports EUTRA:

3> include in the *ue-CapabilityRAT-ContainerList* a *ue-CapabilityRAT-Container* of the type *UE-EUTRA-Capability* and with the *rat-Type* set to *eutra*;

1> submit the *UECapabilityInformation* message to lower layers for transmission, upon which the procedure ends.

#### 5.6.1.4 Compilation of band combinations and feature sets supported by the UE

##### 5.6.1.4.1 Compilation of band combinations

The UE shall:

1> if *FreqBandList* is received:

2> compile a list of candidate band combinations only consisting of bands included in *FreqBandList*, and prioritized in the order of *FreqBandList*, (i.e. first include remaining band combinations containing the first-listed band, then include remaining band combinations containing the second-listed band, and so on), where for each band in the band combination, the parameters of the band do not exceed the corresponding parameters provided by the IEs *maxBandwidthRequestedDL, maxBandwidthRequestedUL, maxCarriersRequestedDL, maxCarriersRequested, ca-BandwidthClassDL-EUTRA or ca-BandwidthClassUL-EUTRA,* whichever are recevied;

2> include the received *FreqBandList* in the field *appliedFreqBandListFilter* of the requested UE capability;

1> else,

2> compile a list of candidate band combinations consisting of all band combinations that the UE supports;

1> for each band combination included in the candidate list:

2> if it is regarded as a fallback band combination with the same capabilities of another band combination included in the list of candidates, or

2> if the requested *rat-Type* is *nr* and if the band combination includes an EUTRA band, or

2> if the requested *rat-Type* is *nr* and if the network (EUTRA) included the *eutra-nr-only* field, or

2> if the requested *rat-Type* is *eutra-nr* and if the band combination includes no EUTRA band:

3> remove the band combination from the list of candidates;

1> include the band combinations in the candidate list into *supportedBandCombinationList*;NOTE: Even if the network requests (only) capabilities for *nr*, it may include EUTRA band numbers in the *FreqBandList* in order to ensure that the UE includes all necessary feature sets needed for subsequently requested eutra-nr capabilities (see 5.6.1.4.2). In such cases, the procedural text above ensures that the UE does not include *eutra-nr* band combinations in the *UE-NR-Capabilities*. Similarly, the procedural text above ensures that the UE does not include NR-only band combinations in the *UE-MRDC-Capabilities*. Furthermore, the (EUTRA) network may requests capabilities for *nr* but indicate with the *eutra-nr-only* flag that the UE shall not include any NR band combinations in the *UE-NR-Capabilities*.

##### 5.6.1.4.2 Compilation of feature sets

The UE shall:

1> if the requested *rat-Type* is *nr*:

2> if *FreqBandList* is received:

3> include the feature sets and feature set combinations applicable for the received *FreqBandList* into *featureSets* and *featureSetCombinations*, respectively;

2> else:

3> include all feature sets and feature set combinations supported by the UE into *featureSets* and *featureSetCombinations*, respectively;

2> if *eutra-nr-only* is received (via EUTRA):

3> remove the feature sets and feature set combinations that are not required for EN-DC;

1> if the requested *rat-Type* is *eutra-nr*:

2> if *FreqBandList* is received:

3> include the feature set combinations applicable for the received *FreqBandList* into *featureSetCombinations*;

2> else:

3> include all feature set combinations supported by the UE into *featureSetCombinations*;

1> if the requested *rat-Type* is *eutra*:

2> if *FreqBandList* is received:

3> include the feature sets applicable for the received *FreqBandList* into *featureSetsEUTRA*;

2> else:

3> include all feature sets supported by the UE into *featureSetsEUTRA*.

2> if *eutra-nr-only* is received (via EUTRA):

3> remove the feature sets that are not required for EN-DC;

NOTE: For EN-DC, the gNB network needs the capabilities for RAT types *nr* and *eutra-nr* and it uses the *featureSets* in the *UE-NR-Capabilities* together with the *featureSetCombinations* in the *UE-MRDC-Capabilities* to determine the NR UE capabilities for the supported MRDC band combinations. Similarly, the eNB needs the capabilities for RAT types *eutra* and *eutra-nr* and it uses the *featureSetsEUTRA-r15* in the *UE-EUTRA-Capabilities* together with the *featureSetCombinations* in the *UE-MRDC-Capabilities* to determine the EUTRA UE capabilities for the supported MRDC band combinations.Hence, the IDs used in the *featureSets* must match to the IDs referred to in *featureSetCombinations* across all three containers.

#### 5.6.1.5 Void

===== The following sections are only for reference! =====

### 6.2.2 Message definitions

===== Intermediate Sections omitted =====

#### – *UECapabilityEnquiry*

The *UECapabilityEnquiry* message is used to request UE radio access capabilities for NR as well as for other RATs.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*UECapabilityEnquiry* information element

-- ASN1START

-- TAG-UECAPABILITYENQUIRY-START

UECapabilityEnquiry ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 ueCapabilityEnquiry UECapabilityEnquiry-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

UECapabilityEnquiry-IEs ::= SEQUENCE {

 ue-CapabilityRAT-RequestList UE-CapabilityRAT-RequestList,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- TAG-UECAPABILITYENQUIRY-STOP

-- ASN1STOP

#### – *UECapabilityInformation*

The IE *UECapabilityInformation* message is used to transfer UE radio access capabilities requested by the network.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

*UECapabilityInformation* information element

-- ASN1START

-- TAG-UECAPABILITYINFORMATION-START

UECapabilityInformation ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 ueCapabilityInformation UECapabilityInformation-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

UECapabilityInformation-IEs ::= SEQUENCE {

 ue-CapabilityRAT-ContainerList UE-CapabilityRAT-ContainerList OPTIONAL,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension SEQUENCE{} OPTIONAL

}

-- TAG-UECAPABILITYINFORMATION-STOP

-- ASN1STOP

### 6.3.3 UE capability information elements

#### – *AccessStratumRelease*

The IE *AccessStratumRelease* indicates the release supported by the UE.

*AccessStratumRelease* information element

-- ASN1START

-- TAG-ACCESSSTRATUMRELEASE-START

AccessStratumRelease ::= ENUMERATED {

 rel15, spare7, spare6, spare5, spare4, spare3, spare2, spare1, ... }

-- TAG-ACCESSSTRATUMRELEASE-STOP

-- ASN1STOP

#### – *BandCombinationList*

The IE *BandCombinationList* contains a list of NR CA and/or MR-DC band combinations (also including DL only or UL only band).

*BandCombinationList* information element

-- ASN1START

-- TAG-BANDCOMBINATIONLIST-START

BandCombinationList ::= SEQUENCE (SIZE (1..maxBandComb)) OF BandCombination

BandCombination ::= SEQUENCE {

 bandList SEQUENCE (SIZE (1..maxSimultaneousBands)) OF BandParameters,

 featureSetCombination FeatureSetCombinationId,

 ca-ParametersEUTRA CA-ParametersEUTRA OPTIONAL,

 ca-ParametersNR CA-ParametersNR OPTIONAL,

 mrdc-Parameters MRDC-Parameters OPTIONAL,

 supportedBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL,

 powerClass-v15xy ENUMERATED {pc2} OPTIONAL

}

BandParameters ::= CHOICE {

 eutra SEQUENCE {

 bandEUTRA FreqBandIndicatorEUTRA,

 ca-BandwidthClassDL-EUTRA CA-BandwidthClassEUTRA OPTIONAL,

 ca-BandwidthClassUL-EUTRA CA-BandwidthClassEUTRA OPTIONAL

 },

 nr SEQUENCE {

 bandNR FreqBandIndicatorNR,

 ca-BandwidthClassDL-NR CA-BandwidthClassNR OPTIONAL,

 ca-BandwidthClassUL-NR CA-BandwidthClassNR OPTIONAL

 }

}

-- TAG-BANDCOMBINATIONLIST-STOP

-- ASN1STOP

|  |
| --- |
| *BandCombination field descriptions* |
| ***powerClass***Power class that the UE supports when operating according to this band combination. If the field is absent, the UE supports the default power class. If this power class is higher than the power class that the UE supports on the individual bands of this band combination (ue-PowerClass in BandNR), the latter determines maximum TX power available in each band. The UE sets the new power class parameter only in band combinations with two FR1 uplink serving cells.  |
| ***supportedBandwidthCombinationSet***For NR SA and for inter-band EN-DC, the field defines the bandwidth combinations for the NR part of the band combination. For intra-band EN-DC, the field indicates the supported bandwidth combination set applicable to the NR and LTE band combinations. The first (left-most) bit in the bitmap corresponds to the BWCS#0 and so on. If the bit is set to 1, the UE supports the corresponding BWCS. |

#### – *CA-BandwidthClassEUTRA*

-- ASN1START

-- TAG-CA-BANDWIDTHCLASSEUTRA-START

CA-BandwidthClassEUTRA ::= ENUMERATED {a, b, c, d, e, f, ...}

-- TAG-CA-BANDWIDTHCLASSEUTRA-STOP

-- ASN1STOP

#### – *CA-BandwidthClassNR*

-- ASN1START

-- TAG-CA-BANDWIDTHCLASSNR-START

CA-BandwidthClassNR ::= ENUMERATED {a, b, c, d, e, f, g, h, i, j, k, l, m, n, o, p, q, ...}

-- TAG-CA-BANDWIDTHCLASSNR-STOP

-- ASN1STOP

#### – *CA-ParametersEUTRA*

The IE *CA-ParameterEUTRA* contains the EUTRA part of band combination parameters for a given MR-DC band combination.

NOTE: If an additional EUTRA band combination parameters are defined in TS 36.331 [10], which are supported for MR-DC, they will be defined here as well.

-- ASN1START

-- TAG-CA-PARAMETERSEUTRA-START

CA-ParametersEUTRA ::= SEQUENCE {

 multipleTimingAdvance ENUMERATED {supported} OPTIONAL,

 simultaneousRx-Tx ENUMERATED {supported} OPTIONAL,

 supportedNAICS-2CRS-AP BIT STRING (SIZE (1..8)) OPTIONAL,

 additionalRx-Tx-PerformanceReq ENUMERATED {supported} OPTIONAL,

 ue-CA-PowerClass-N ENUMERATED {class2} OPTIONAL,

 supportedBandwidthCombinationSetEUTRA-v15xy BIT STRING (SIZE (1..32)) OPTIONAL,

 ...

}

-- TAG-CA-PARAMETERSEUTRA-STOP

-- ASN1STOP

|  |
| --- |
| *CA-ParametersEUTRA field descriptions* |
| ***supportedBandwidthCombinationSetEUTRA***Indicates the set of supported bandwidth combinations for the LTE part for inter-band EN-DC. The first (left-most) bit in the bitmap corresponds to the BWCS#0 and so on. If the bit is set to 1, the UE supports the corresponding BWCS. |

#### – *CA-ParametersNR*

The IE *CA-ParametersNR* contains carrier aggregation related capabilities that are defined per band combination.

*CA-ParametersNR* information element

-- ASN1START

-- TAG-CA-PARAMETERSNR-START

CA-ParametersNR ::= SEQUENCE {

 multipleTimingAdvances ENUMERATED {supported} OPTIONAL,

 parallelTxSRS-PUCCH-PUSCH ENUMERATED {supported} OPTIONAL,

 parallelTxPRACH-SRS-PUCCH-PUSCH ENUMERATED {supported} OPTIONAL,

 simultaneousRxTxInterBandCA ENUMERATED {supported} OPTIONAL,

 simultaneousRxTxSUL ENUMERATED {supported} OPTIONAL,

 diffNumerologyAcrossPUCCH-Group ENUMERATED {supported} OPTIONAL,

 diffNumerologyWithinPUCCH-Group ENUMERATED {supported} OPTIONAL,

 supportedNumberTAG ENUMERATED {n2, n3, n4} OPTIONAL,

 ...

}

-- TAG-CA-PARAMETERSNR-STOP

-- ASN1STOP

#### – *FeatureSetCombination*

The IE FeatureSetCombination is a two-dimensional matrix of FeatureSet entries.

Each FeatureSetsPerBand contains a list of feature sets applicable to the carrier(s) of one band entry of the associated band combination. Across the associated bands, the UE shall support the combination of FeatureSets at the same position in the FeatureSetsPerBand. All FeatureSetsPerBand in one FeatureSetCombination must have the same number of entries.

The number of FeatureSetsPerBand in the FeatureSetCombination must be equal to the number of band entries in an associated band combination. The first FeatureSetPerBand applies to the first band entry of the band combination, and so on.

Each FeatureSet contains either a pair of NR- or EUTRA feature set IDs for UL and DL.

In case of NR, the actual feature sets for UL and DL are defined in the FeatureSets IE and referred to from here by their ID, i.e., their position in the featureSetsUplink / featureSetsDownlink list in the FeatureSet IE.

In case of EUTRA, the feature sets referred to from this list are defined in TS 36.331 and conveyed as part of the UE-EUTRA-Capability container. The FeatureSetUL-Id-r15 and FeatureSetDL-Id-r15 in the EUTRA feature sets correspond to the FeatureSetEUTRA-DownlinkId and FeatureSetEUTRA-UplinkId, respectively.

The FeatureSetUplink and FeatureSetDownlink referred to from the FeatureSet comprise, among other information, a set of FeatureSetUplinkPerCC-Id:s and FeatureSetDownlinkPerCC-Id:s. The number of these per-CC IDs determines the number of carriers that the UE is able to aggregate contiguously in frequency domain in the corresponding band. The number of carriers supported by the UE is also restricted by the BWC indicated in the associated BandCombination, if present.

NOTE: The UE may advertise fallback band-combinations in which it supports additional functionality explicitly in two ways: Either by setting FeatureSet IDs to zero (inter-band and intra-band non-contiguous fallback) and by reducing the number of FeatureSet-PerCC Ids in a Feature Set (intra-band contiguous fallback). Or by separate BandCombination entries with associated FeatureGroupCombinations.

NOTE: The UE may advertise a FeatureSetCombinations containing only fallback band combinations. That means, in a FeatureSetCombination each group of FeatureSets across the bands may contain at least one pair of FeatureSetUplinkId and FeatureSetDownlinkId which is set to 0/0.

*FeatureSetCombination* information element

-- ASN1START

-- TAG-FEATURESETCOMBINATION-START

FeatureSetCombination ::= SEQUENCE (SIZE (1..maxSimultaneousBands)) OF FeatureSetsPerBand

FeatureSetsPerBand ::= SEQUENCE (SIZE (1..maxFeatureSetsPerBand)) OF FeatureSet

FeatureSet ::= CHOICE {

 eutra SEQUENCE {

 downlinkSetEUTRA FeatureSetEUTRA-DownlinkId,

 uplinkSetEUTRA FeatureSetEUTRA-UplinkId

 },

 nr SEQUENCE {

 downlinkSetNR FeatureSetDownlinkId,

 uplinkSetNR FeatureSetUplinkId

 }

}

-- ASN1STOP

-- TAG-FEATURESETCOMBINATION-STOP

#### – *FeatureSetCombinationId*

The IE *FeatureSetCombinationId* identifies a FeatureSetCombination. The *FeatureSetCombinationId* of a *FeatureSetCombination* is the position of the *FeatureSetCombination* in the featureSetCombinations list (in *UE-NR-Capability* or *UE-MRDC-Capability*).

*FeatureSetCombinationId* information element

-- ASN1START

-- TAG-FEATURESET-COMBINATION-ID-START

FeatureSetCombinationId ::= INTEGER (0.. maxFeatureSetCombinations)

-- TAG-FEATURESET-COMBINATION-ID-STOP

-- ASN1STOP

#### – *FeatureSetDownlink*

The IE *FeatureSetDownlink* indicates a set of features that the UE supports on the carriers corresponding to one band entry in a band combination.

*FeatureSetDownlink* information element

-- ASN1START

-- TAG-FEATURESETDOWNLINK-START

FeatureSetDownlink ::= SEQUENCE {

 featureSetListPerDownlinkCC SEQUENCE (SIZE (1..maxNrofServingCells)) OF FeatureSetDownlinkPerCC-Id,

 intraBandFreqSeparationDL FreqSeparationClass OPTIONAL,

 scalingFactor ENUMERATED {f0p4, f0p75, f0p8} OPTIONAL,

 crossCarrierScheduling-OtherSCS ENUMERATED {supported} OPTIONAL,

 scellWithoutSSB ENUMERATED {supported} OPTIONAL,

 csi-RS-MeasSCellWithoutSSB ENUMERATED {supported} OPTIONAL,

 srs-AssocCSI-RS ENUMERATED {supported} OPTIONAL,

 type1-3-CSS ENUMERATED {supported} OPTIONAL,

 pdcch-MonitoringAnyOccasions ENUMERATED {withoutDCI-Gap, withDCI-Gap} OPTIONAL,

 pdcch-MonitoringAnyOccasionsWithSpanGap ENUMERATED {supported} OPTIONAL,

 ue-SpecificUL-DL-Assignment ENUMERATED {supported} OPTIONAL,

 searchSpaceSharingCA-DL ENUMERATED {supported} OPTIONAL,

 timeDurationForQCL SEQUENCE {

 scs-60kHz ENUMERATED {s7, s14, s28} OPTIONAL,

 sch-120kHz ENUMERATED {s14, s28} OPTIONAL

 } OPTIONAL,

 pdsch-DifferentTB-PerSlot SEQUENCE {

 scs-15kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

 scs-30kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

 scs-60kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

 scs-120kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL

 } OPTIONAL,

 csi-RS-IM-ReceptionForFeedback CSI-RS-IM-ReceptionForFeedback OPTIONAL,

 typeI-SinglePanelCodebookList SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF TypeI-SinglePanelCodebook OPTIONAL,

 typeI-MultiPanelCodebookList SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF TypeI-MultiPanelCodebook OPTIONAL,

 typeII-CodebookList SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF TypeII-Codebook OPTIONAL,

 typeII-CodebookPortSelectionList SEQUENCE (SIZE (1.. maxNrofCodebooks)) OF TypeII-CodebookPortSelection OPTIONAL

}

CSI-RS-IM-ReceptionForFeedback ::= SEQUENCE {

 maxNumberNZP-CSI-RS-PerCC INTEGER (1..32),

 maxNumberPortsAcrossNZP-CSI-RS-PerCC ENUMERATED {p2, p4, p8, p12, p16, p24, p32, p40, p48, p56, p64, p72, p80,

 p88, p96, p104, p112, p120, p128, p136, p144, p152, p160, p168,

 p176, p184, p192, p200, p208, p216, p224, p232, p240, p248, p256},

 maxNumberCS-IM-PerCC ENUMERATED {n1, n2, n4, n8, n16, n32},

 maxNumberSimultaneousCSI-RS-ActBWP-AllCC ENUMERATED {n5, n6, n7, n8, n9, n10, n12, n14, n16, n18, n20, n22, n24, n26,

 n28, n30, n32, n34, n36, n38, n40, n42, n44, n46, n48, n50, n52,

 n54, n56, n58, n60, n62, n64},

 totalNumberPortsSimultaneousCSI-RS-ActBWP-AllCC ENUMERATED {p8, p12, p16, p24, p32, p40, p48, p56, p64, p72, p80,

 p88, p96, p104, p112, p120, p128, p136, p144, p152, p160, p168,

 p176, p184, p192, p200, p208, p216, p224, p232, p240, p248, p256}

}

TypeI-SinglePanelCodebook ::= SEQUENCE {

 maxNumberTxPortsPerResource ENUMERATED {p2, p4, p8, p12, p16, p24, p32},

 maxNumberResources INTEGER (1..64),

 totalNumberTxPorts INTEGER (2..256),

 supportedCodebookMode ENUMERATED {mode1, mode1AndMode2},

 maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

TypeI-MultiPanelCodebook ::= SEQUENCE {

 maxNumberTxPortsPerResource ENUMERATED {p8, p16, p32},

 maxNumberResources INTEGER (1..64),

 totalNumberTxPorts INTEGER (2..256),

 supportedCodebookMode ENUMERATED {mode1, mode2, both},

 supportedNumberPanels ENUMERATED {n2, n4},

 maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

TypeII-Codebook ::= SEQUENCE {

 maxNumberTxPortsPerResource ENUMERATED {p4, p8, p12, p16, p24, p32},

 maxNumberResources INTEGER (1..64),

 totalNumberTxPorts INTEGER (2..256),

 parameterLx INTEGER (2..4),

 amplitudeScalingType ENUMERATED {wideband, widebandAndSubband},

 amplitudeSubsetRestriction ENUMERATED {supported} OPTIONAL,

 maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

TypeII-CodebookPortSelection ::= SEQUENCE {

 maxNumberTxPortsPerResource ENUMERATED {p4, p8, p12, p16, p24, p32},

 maxNumberResources INTEGER (1..64),

 totalNumberTxPorts INTEGER (2..256),

 parameterLx INTEGER (2..4),

 amplitudeScalingType ENUMERATED {wideband, widebandAndSubband},

 maxNumberCSI-RS-PerResourceSet INTEGER (1..8)

}

-- TAG-FEATURESETDOWNLINK-STOP

-- ASN1STOP

|  |
| --- |
| *FeatureSetDownlink field descriptions* |
| ***crossCarrierScheduling-OtherSCS***The UE shall set this field to the same value as *crossCarrierScheduling-OtherSCS* in the associated FeatureSetUplink (if present). |
| ***featureSetListPerDownlinkCC***Indicates which features the UE supports on the individual carriers of the feature set (and hence of a band entry that refer to the feature set). The UE shall hence include as many FeatureSetDownlinkPerCC-Id in this list as the number of carriers it supports according to the ca-bandwidthClassDL. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the FeatureSetDownlinkPerCC-Id in this list. |

#### – *FeatureSetDownlinkId*

The IE *FeatureSetDownlinkId* identifies a downlink feature set. The *FeatureSetDownlinkId* of a *FeatureSetDownlink* is the index position of the *FeatureSetDownlink* in the *featureSetsDownlink* list in the *FeatureSets* IE. The first element in that list is referred to by *FeatureSetDownlinkId* = 1. The *FeatureSetDownlinkId=0* is not used by an actual *FeatureSetDownlink* but means that the UE does not support a carrier in this band of a band combination.

*FeatureSetDownlinkId* information element

-- ASN1START

-- TAG-FEATURESET-DOWNLINK-ID-START

FeatureSetDownlinkId ::= INTEGER (0..maxDownlinkFeatureSets)

-- TAG-FEATURESET-DOWNLINK-ID-STOP

-- ASN1STOP

#### – *FeatureSetDownlinkPerCC*

The IE *FeatureSetDownlinkPerCC* indicates a set of features that the UE supports on the corresponding carrier of one band entry of a band combination.

*FeatureSetDownlinkPerCC* information element

-- ASN1START

-- TAG-FEATURESETDOWNLINKPERCC-START

FeatureSetDownlinkPerCC ::= SEQUENCE {

 supportedSubcarrierSpacingDL SubcarrierSpacing,

 supportedBandwidthDL SupportedBandwidth,

 channelBW-90mhz ENUMERATED {supported} OPTIONAL,

 maxNumberMIMO-LayersPDSCH MIMO-LayersDL OPTIONAL,

 supportedModulationOrderDL ModulationOrder OPTIONAL

}

-- TAG-FEATURESETDOWNLINKPERCC-STOP

-- ASN1STOP

#### – *FeatureSetDownlinkPerCC-Id*

The IE *FeatureSetDownlinkPerCC-Id* identifies a set of features applicable to one carrier of a feature set. The *FeatureSetDownlinkPerCC-Id* of a *FeatureSetDownlinkPerCC* is the index position of the *FeatureSetDownlinkPerCC* in the *featureSetsDownlinkPerCC*. The first element in the list is referred to by *FeatureSetDownlinkPerCC-Id* = 1, and so on.

*FeatureSetDownlinkPerCC-Id* information element

-- ASN1START

-- TAG-FEATURESET-DOWNLINK-PER-CC-ID-START

FeatureSetDownlinkPerCC-Id ::= INTEGER (1..maxPerCC-FeatureSets)

-- TAG-FEATURESET-DOWNLINK-PER-CC-ID-STOP

-- ASN1STOP

#### – *FeatureSetEUTRA-DownlinkId*

The IE *FeatureSetEUTRA-DownlinkId* identifies a downlink feature set in EUTRA. The *FeatureSetEUTRA-DownlinkId=0* is used when the UE does not support a carrier in this band of a band combination.

*FeatureSetEUTRA-DownlinkId* information element

-- ASN1START

-- TAG-FEATURESET-EUTRA-DOWNLINK-ID-START

FeatureSetEUTRA-DownlinkId ::= INTEGER (0..maxEUTRA-DL-FeatureSets)

-- TAG-FEATURESET-EUTRA-DOWNLINK-ID-STOP

-- ASN1STOP

#### – *FeatureSetEUTRA-UplinkId*

The IE *FeatureSetEUTRA-UplinkId* identifies an uplink feature set. The *FeatureSetEUTRA-UplinkId* *=0* is used when the UE does not support a carrier in this band of a band combination.

*FeatureSetEUTRA-UplinkId* information element

-- ASN1START

-- TAG-FEATURESET-EUTRA-UPLINK-ID-START

FeatureSetEUTRA-UplinkId ::= INTEGER (0..maxEUTRA-UL-FeatureSets)

-- TAG-FEATURESET-EUTRA-UPLINK-ID-STOP

-- ASN1STOP

#### – *FeatureSets*

The IE *FeatureSets* is used to provide pools of downlink and uplink features sets. A *FeatureSetCombination* refers to the IDs of the feature set(s) that the UE supports in that *FeatureSetCombination*. The *BandCombination* entries in the *BandCombinationList* then indicate the ID of the *FeatureSetCombination* that the UE supports fot that band combination.

The entries in the lists in this IE are identified by their index position. For example, the *FeatureSetUplinkPerCC-Id* = 4 identifies the 4th element in the *featureSetsUplinkPerCC* list.

NOTE: When feature sets (per CC) IEs require extension in future versions of the specification, new versions of the FeatureSetDownlink, FeatureSetUplink, FeatureSets, FeatureSetDownlinkPerCC and/or FeatureSetUplinkPerCC will be created and instantiated in corresponding new lists in the FeatureSets IE. For example, if new capability bits are to be added to the FeatureSetDownlink, they will instead be defined in a new FeatureSetDownlink-rxy which will be instantiated in a new featureSetDownlinkList-rxy list. If a UE indicates in a FeatureSetCombination that it supports the FeatureSetDownlink with ID #5, it implies that it supports both the features in FeatureSetDownlink #5 and FeatureSetDownlink-rxy #5 (if present).

*FeatureSets* information element

-- ASN1START

-- TAG-FEATURESETS-START

FeatureSets ::= SEQUENCE {

 featureSetsDownlink SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink OPTIONAL,

 featureSetsDownlinkPerCC SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC OPTIONAL,

 featureSetsUplink SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink OPTIONAL,

 featureSetsUplinkPerCC SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetUplinkPerCC OPTIONAL,

 ...

}

-- ASN1STOP

-- TAG-FEATURESETS-STOP

#### – *FeatureSetUplink*

The IE *FeatureSetUplink* is used to indicate the features that the UE supports on the carriers corresponding to one band entry in a band combination.

*FeatureSetUplink* information element

-- ASN1START

-- TAG-FEATURESETUPLINK-START

FeatureSetUplink ::= SEQUENCE {

 featureSetListPerUplinkCC SEQUENCE (SIZE (1.. maxNrofServingCells)) OF FeatureSetUplinkPerCC-Id,

 scalingFactor ENUMERATED {f0p4, f0p75, f0p8} OPTIONAL,

 crossCarrierScheduling-OtherSCS ENUMERATED {supported} OPTIONAL,

 intraBandFreqSeparationUL FreqSeparationClass OPTIONAL,

 searchSpaceSharingCA-UL ENUMERATED {supported} OPTIONAL,

 srs-TxSwitch SRS-TxSwitch OPTIONAL,

 supportedSRS-Resources SRS-Resources OPTIONAL,

 twoPUCCH-Group ENUMERATED {supported} OPTIONAL,

 dynamicSwitchSUL ENUMERATED {supported} OPTIONAL,

 simultaneousTxSUL-NonSUL-v15xy ENUMERATED {supported} OPTIONAL,

 pusch-DifferentTB-PerSlot SEQUENCE {

 scs-15kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

 scs-30kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

 scs-60kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL,

 scs-120kHz ENUMERATED {upto2, upto4, upto7} OPTIONAL

 } OPTIONAL,

 csi-ReportFramework CSI-ReportFramework OPTIONAL

}

CSI-ReportFramework ::= SEQUENCE {

 maxNumberPeriodicCSI-ReportPerBWP INTEGER (1..4),

 maxNumberAperiodicCSI-ReportPerBWP INTEGER (1..4),

 maxNumberSemiPersistentCSI-ReportPerBWP INTEGER (0..4),

 simultaneousCSI-ReportsAllCC INTEGER (5..32)

}

-- TAG- FEATURESETUPLINK-STOP

-- ASN1STOP

|  |
| --- |
| *FeatureSetUplink field descriptions* |
| ***crossCarrierScheduling-OtherSCS***The UE shall set this field to the same value as *crossCarrierScheduling-OtherSCS* in the associated FeatureSetDownlink (if present). |
| ***featureSetsPerUplinkCC***Indicates which features the UE supports on the individual carriers of the feature set (and hence of a band entry that refers to the feature set). The UE shall hence include as many FeatureSetUplinkPerCC-Id in this list as the number of carriers it supports according to the ca-BandwidthClassUL. The order of the elements in this list is not relevant, i.e., the network may configure any of the carriers in accordance with any of the FeatureSetUplinkPerCC-Id in this list. |

#### – *FeatureSetUplinkId*

The IE *FeatureSetUplinkId* identifies a downlink feature set. The *FeatureSetUplinkId* of a *FeatureSetUplink* is the index position of the *FeatureSetUplink* in the *featureSetsUplink* list in the *FeatureSets* IE. The first element in the list is referred to by *FeatureSetUplinkPerCC-Id* = 1, and so on. The *FeatureSetUplinkId =0* is not used by an actual *FeatureSetUplink* but means that the UE does not support a carrier in this band of a band combination.

*FeatureSetUplinkId* information element

-- ASN1START

-- TAG-FEATURESET-UPLINK-ID-START

FeatureSetUplinkId ::= INTEGER (0..maxUplinkFeatureSets)

-- TAG-FEATURESET-UPLINK-ID-STOP

-- ASN1STOP

#### – *FeatureSetUplinkPerCC*

The IE *FeatureSetDownlinkPerCC* indicates a set of features that the UE supports on the corresponding carrier of one band entry of a band combination.

*FeatureSetUplinkPerCC* information element

-- ASN1START

-- TAG-FEATURESETUPLINKPERCC-START

FeatureSetUplinkPerCC ::= SEQUENCE {

 supportedSubcarrierSpacingUL SubcarrierSpacing,

 supportedBandwidthUL SupportedBandwidth,

 channelBW-90mhz ENUMERATED {supported} OPTIONAL,

 mimo-CB-PUSCH SEQUENCE {

 maxNumberMIMO-LayersCB-PUSCH MIMO-LayersUL OPTIONAL,

 maxNumberSRS-ResourcePerSet INTEGER (1..2)

 } OPTIONAL,

 maxNumberMIMO-LayersNonCB-PUSCH MIMO-LayersUL OPTIONAL,

 supportedModulationOrderUL ModulationOrder OPTIONAL

}

-- TAG-FEATURESETUPLINKPERCC-STOP

-- ASN1STOP

#### – *FeatureSetUplinkPerCC-Id*

The IE *FeatureSetUplinkPerCC-Id* identifies a set of features applicable to one carrier of a feature set. The *FeatureSetUplinkPerCC-Id* of a *FeatureSetUplinkPerCC* is the index position of the *FeatureSetUplinkPerCC* in the *featureSetsUplinkPerCC*. The first element in the list is referred to by *FeatureSetUplinkPerCC-Id* = 1, and so on.

*FeatureSetUplinkPerCC-Id* information element

-- ASN1START

-- TAG-FEATURESET-UPLINK-PER-CC-ID-START

FeatureSetUplinkPerCC-Id ::= INTEGER (1..maxPerCC-FeatureSets)

-- TAG-FEATURESET-UPLINK-PER-CC-ID-STOP

-- ASN1STOP

#### – *FreqBandIndicatorEUTRA*

-- ASN1START

-- TAG-FREQ-BAND-INDICATOR-EUTRA-START

FreqBandIndicatorEUTRA ::= INTEGER (1..maxBandsEUTRA)

-- TAG-FREQ-BAND-INDICATOR-EUTRA-STOP

-- ASN1STOP

#### – *FreqBandList*

The IE *FreqBandList* is used by the network to request NR CA and/or MR-DC band combinations for specific NR and/or E-UTRA frequency bands and/or up to a specific number of carriers and/or up to specific aggregated bandwidth.

*FreqBandList* information element

-- ASN1START

-- TAG-FREQBANDLIST-START

FreqBandList ::= SEQUENCE (SIZE (1..maxBandsMRDC)) OF FreqBandInformation

FreqBandInformation ::= CHOICE {

 bandInformationEUTRA FreqBandInformationEUTRA,

 bandInformationNR FreqBandInformationNR

}

FreqBandInformationEUTRA ::= SEQUENCE {

 bandEUTRA FreqBandIndicatorEUTRA,

 ca-BandwidthClassDL-EUTRA CA-BandwidthClassEUTRA OPTIONAL, -- Need N

 ca-BandwidthClassUL-EUTRA CA-BandwidthClassEUTRA OPTIONAL -- Need N

}

FreqBandInformationNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 maxBandwidthRequestedDL AggregatedBandwidth OPTIONAL, -- Need N

 maxBandwidthRequestedUL AggregatedBandwidth OPTIONAL, -- Need N

 maxCarriersRequestedDL INTEGER (1..maxNrofServingCells) OPTIONAL, -- Need N

 maxCarriersRequestedUL INTEGER (1..maxNrofServingCells) OPTIONAL -- Need N

}

AggregatedBandwidth ::= ENUMERATED {mhz50, mhz100, mhz150, mhz200, mhz250, mhz300, mhz350,

 mhz400, mhz450, mhz500, mhz550, mhz600, mhz650, mhz700, mhz750, mhz800}

-- TAG-FREQBANDLIST-STOP

-- ASN1STOP

#### – *FreqSeparationClass*

The IE *FreqSeparationClas*s is used for an intra-band non-contiguous CA band combination to indicate frequency separation between lower edge of lowest CC and upper edge of highest CC in a frequency band.

*FreqSeparationClass* information element

-- ASN1START

-- TAG-FREQSEPARATIONCLASS-START

FreqSeparationClass ::= ENUMERATED {c1, c2, c3, ...}

-- TAG-FREQSEPARATIONCLASS-STOP

-- ASN1STOP

#### – *InterRAT-Parameters*

The IE *InterRAT-Parameters* is used convey UE capabilities related to the other RATs.

*InterRAT-Parameters* information element

-- ASN1START

-- TAG-INTERRAT-PARAMETERS-START

InterRAT-Parameters ::= SEQUENCE {

 eutra EUTRA-Parameters OPTIONAL,

 ...

}

EUTRA-Parameters ::= SEQUENCE {

 supportedBandListEUTRA SEQUENCE (SIZE (1..maxBandsEUTRA)) OF FreqBandIndicatorEUTRA,

 eutra-ParametersCommon EUTRA-ParametersCommon OPTIONAL,

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff OPTIONAL,

 ...

}

EUTRA-ParametersCommon ::= SEQUENCE {

 mfbi-EUTRA ENUMERATED {supported} OPTIONAL,

 modifiedMRP-BehaviorEUTRA BIT STRING (SIZE (32)) OPTIONAL,

 multiNS-Pmax-EUTRA ENUMERATED {supported} OPTIONAL,

 rs-SINR-MeasEUTRA ENUMERATED {supported} OPTIONAL,

 ...

}

EUTRA-ParametersXDD-Diff ::= SEQUENCE {

 rsrqMeasWidebandEUTRA ENUMERATED {supported} OPTIONAL,

 ...

}

-- TAG-INTERRAT-PARAMETERS-STOP

-- ASN1STOP

#### – *MAC-Parameters*

The IE *MAC-Parameters* is used to convey capabilities related to MAC.

*MAC-Parameters* information element

-- ASN1START

-- TAG-MAC-PARAMETERS-START

MAC-Parameters ::= SEQUENCE {

 mac-ParametersCommon MAC-ParametersCommon OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL

}

MAC-ParametersCommon ::= SEQUENCE {

 lcp-Restriction ENUMERATED {supported} OPTIONAL,

 pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

 lch-ToSCellRestriction ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 recommendedBitRate ENUMERATED {supported} OPTIONAL,

 recommendedBitRateQuery ENUMERATED {supported} OPTIONAL

 ]]

}

MAC-ParametersXDD-Diff ::= SEQUENCE {

 skipUplinkTxDynamic ENUMERATED {supported} OPTIONAL,

 logicalChannelSR-DelayTimer ENUMERATED {supported} OPTIONAL,

 longDRX-Cycle ENUMERATED {supported} OPTIONAL,

 shortDRX-Cycle ENUMERATED {supported} OPTIONAL,

 multipleSR-Configurations ENUMERATED {supported} OPTIONAL,

 multipleConfiguredGrants ENUMERATED {supported} OPTIONAL,

 ...

}

-- TAG-MAC-PARAMETERS-STOP

-- ASN1STOP

#### – *MeasAndMobParameters*

The IE *MeasAndMobParameters* is used to convey UE capabilities related to measurements for radio resource management (RRM), radio link monitoring (RLM) and mobility (e.g. handover).

*MeasAndMobParameters* information element

-- ASN1START

-- TAG-MEASANDMOBPARAMETERS-START

MeasAndMobParameters ::= SEQUENCE {

 measAndMobParametersCommon MeasAndMobParametersCommon OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

MeasAndMobParametersCommon ::= SEQUENCE {

 supportedGapPattern BIT STRING (SIZE (22)) OPTIONAL,

 ssb-RLM ENUMERATED {supported} OPTIONAL,

 ssb-AndCSI-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 eventB-MeasAndReport ENUMERATED {supported} OPTIONAL,

 handoverFDD-TDD ENUMERATED {supported} OPTIONAL,

 eutra-CGI-Reporting ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting ENUMERATED {supported} OPTIONAL

 ]]

}

MeasAndMobParametersXDD-Diff ::= SEQUENCE {

 intraAndInterF-MeasAndReport ENUMERATED {supported} OPTIONAL,

 eventA-MeasAndReport ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE ENUMERATED {supported} OPTIONAL,

 handover-eLTE ENUMERATED {supported} OPTIONAL

 ]]

}

MeasAndMobParametersFRX-Diff ::= SEQUENCE {

 ss-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithSSB ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithoutSSB ENUMERATED {supported} OPTIONAL,

 csi-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE ENUMERATED {supported} OPTIONAL,

 handover-eLTE ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-MEASANDMOBPARAMETERS-STOP

-- ASN1STOP

#### – *MeasAndMobParametersMRDC*

The IE *MeasAndMobParametersMRDC* is used to convey capability parameters related to RRM measurements and RRC mobility.

*MeasAndMobParametersMRDC* information element

-- ASN1START

-- TAG-MEASANDMOBPARAMETERSMRDC-START

MeasAndMobParametersMRDC ::= SEQUENCE {

 measAndMobParametersMRDC-Common MeasAndMobParametersMRDC-Common OPTIONAL,

 measAndMobParametersMRDC-XDD-Diff MeasAndMobParametersMRDC-XDD-Diff OPTIONAL,

 measAndMobParametersMRDC-FRX-Diff MeasAndMobParametersMRDC-FRX-Diff OPTIONAL

}

MeasAndMobParametersMRDC-Common ::= SEQUENCE {

 independentGapConfig ENUMERATED {supported} OPTIONAL

}

MeasAndMobParametersMRDC-XDD-Diff ::= SEQUENCE {

 sftd-MeasPSCell ENUMERATED {supported} OPTIONAL,

 sftd-MeasNR-Cell ENUMERATED {supported} OPTIONAL

}

MeasAndMobParametersMRDC-FRX-Diff ::= SEQUENCE {

 simultaneousRxDataSSB-DiffNumerology ENUMERATED {supported} OPTIONAL

}

-- TAG-MEASANDMOBPARAMETERSMRDC-STOP

-- ASN1STOP

#### – *MIMO-Layers*

-- ASN1START

-- TAG-MIMO-LAYERS-START

MIMO-LayersDL ::= ENUMERATED {twoLayers, fourLayers, eightLayers}

MIMO-LayersUL ::= ENUMERATED {oneLayer, twoLayers, fourLayers}

-- TAG-MIMO-LAYERS-STOP

-- ASN1STOP

#### – *MIMO-ParametersPerBand*

The IE *MIMO-ParametersPerBand* is used to convey MIMO related parameters specific for a certain band (not per feature set or band combination).

*MIMO-ParametersPerBand* information element

-- ASN1START

-- TAG-MIMO-PARAMETERSPERBAND-START

MIMO-ParametersPerBand ::= SEQUENCE {

 tci-StatePDSCH SEQUENCE {

 maxNumberConfiguredTCIstatesPerCC ENUMERATED {n4, n8, n16, n32, n64, n128} OPTIONAL,

 maxNumberActiveTCI-PerBWP ENUMERATED {n1, n2, n4, n8} OPTIONAL

 } OPTIONAL,

 additionalActiveTCI-StatePDCCH ENUMERATED {supported} OPTIONAL,

 pusch-TransCoherence ENUMERATED {nonCoherent, partialNonCoherent, fullCoherent} OPTIONAL,

 beamCorrespondence ENUMERATED {supported} OPTIONAL,

 periodicBeamReport ENUMERATED {supported} OPTIONAL,

 aperiodicBeamReport ENUMERATED {supported} OPTIONAL,

 sp-BeamReportPUCCH ENUMERATED {supported} OPTIONAL,

 sp-BeamReportPUSCH ENUMERATED {supported} OPTIONAL,

 beamManagementSSB-CSI-RS BeamManagementSSB-CSI-RS OPTIONAL,

 maxNumberRxBeam INTEGER (2..8) OPTIONAL,

 maxNumberRxTxBeamSwitchDL SEQUENCE {

 scs-15kHz ENUMERATED {n4, n7, n14} OPTIONAL,

 scs-30kHz ENUMERATED {n4, n7, n14} OPTIONAL,

 scs-60kHz ENUMERATED {n4, n7, n14} OPTIONAL,

 scs-120kHz ENUMERATED {n4, n7, n14} OPTIONAL,

 scs-240kHz ENUMERATED {n4, n7, n14} OPTIONAL

 } OPTIONAL,

 maxNumberNonGroupBeamReporting ENUMERATED {n1, n2, n4} OPTIONAL,

 groupBeamReporting ENUMERATED {supported} OPTIONAL,

 uplinkBeamManagement SEQUENCE {

 maxNumberSRS-ResourcePerSet-BM ENUMERATED {n2, n4, n8, n16},

 maxNumberSRS-ResourceSet INTEGER (1..8)

 } OPTIONAL,

 maxNumberCSI-RS-BFR INTEGER (1..64) OPTIONAL,

 maxNumberSSB-BFR INTEGER (1..64) OPTIONAL,

 maxNumberCSI-RS-SSB-BFR INTEGER (1..256) OPTIONAL,

 twoPortsPTRS-DL ENUMERATED {supported} OPTIONAL,

 twoPortsPTRS-UL ENUMERATED {supported} OPTIONAL,

 supportedSRS-Resources SRS-Resources OPTIONAL,

 maxNumberSimultaneousSRS-PerCC INTEGER (1..4) OPTIONAL,

 beamReportTiming SEQUENCE {

 scs-15kHz ENUMERATED {sym2, sym4, sym8} OPTIONAL,

 scs-30kHz ENUMERATED {sym4, sym8, sym14} OPTIONAL,

 scs-60kHz ENUMERATED {sym8, sym14, sym28} OPTIONAL,

 scs-120kHz ENUMERATED {sym14, sym28, sym56} OPTIONAL

 } OPTIONAL,

 ptrs-DensityRecommendationSetDL SEQUENCE {

 scs-15kHz PTRS-DensityRecommendationDL OPTIONAL,

 scs-30kHz PTRS-DensityRecommendationDL OPTIONAL,

 scs-60kHz PTRS-DensityRecommendationDL OPTIONAL,

 scs-120kHz PTRS-DensityRecommendationDL OPTIONAL

 } OPTIONAL,

 ptrs-DensityRecommendationSetUL SEQUENCE {

 scs-15kHz PTRS-DensityRecommendationUL OPTIONAL,

 scs-30kHz PTRS-DensityRecommendationUL OPTIONAL,

 scs-60kHz PTRS-DensityRecommendationUL OPTIONAL,

 scs-120kHz PTRS-DensityRecommendationUL OPTIONAL

 } OPTIONAL,

 csi-RS-ForTracking CSI-RS-ForTracking OPTIONAL,

 aperiodicTRS ENUMERATED {supported} OPTIONAL,

 ...

}

BeamManagementSSB-CSI-RS ::= SEQUENCE {

 maxNumberSSB-CSI-RS-ResourceOneTx ENUMERATED {n8, n16, n32, n64},

 maxNumberSSB-CSI-RS-ResourceTwoTx ENUMERATED {n0, n4, n8, n16, n32, n64},

 supportedCSI-RS-Density ENUMERATED {one, three, oneAndThree}

}

CSI-RS-ForTracking ::= SEQUENCE {

 burstLength INTEGER (1..2),

 maxSimultaneousResourceSetsPerCC INTEGER (1..8),

 maxConfiguredResourceSetsPerCC INTEGER (1..64),

 maxConfiguredResourceSetsAllCC INTEGER (1..128)

}

PTRS-DensityRecommendationDL ::= SEQUENCE {

 frequencyDensity1 INTEGER (1..276),

 frequencyDensity2 INTEGER (1..276),

 timeDensity1 INTEGER (0..29),

 timeDensity2 INTEGER (0..29),

 timeDensity3 INTEGER (0..29)

}

PTRS-DensityRecommendationUL ::= SEQUENCE {

 frequencyDensity1 INTEGER (1..276),

 frequencyDensity2 INTEGER (1..276),

 timeDensity1 INTEGER (0..29),

 timeDensity2 INTEGER (0..29),

 timeDensity3 INTEGER (0..29),

 sampleDensity1 INTEGER (1..276),

 sampleDensity2 INTEGER (1..276),

 sampleDensity3 INTEGER (1..276),

 sampleDensity4 INTEGER (1..276),

 sampleDensity5 INTEGER (1..276)

}

SRS-Resources ::= SEQUENCE {

 maxNumberAperiodicSRS-PerBWP ENUMERATED {n1, n2, n4, n8, n16},

 maxNumberAperiodicSRS-PerBWP-PerSlot INTEGER (1..6),

 maxNumberPeriodicSRS-PerBWP ENUMERATED {n1, n2, n4, n8, n16},

 maxNumberPeriodicSRS-PerBWP-PerSlot INTEGER (1..6),

 maxNumberSemiPersitentSRS-PerBWP ENUMERATED {n1, n2, n4, n8, n16},

 maxNumberSP-SRS-PerBWP-PerSlot INTEGER (1..6),

 maxNumberSRS-Ports-PerResource ENUMERATED {n1, n2, n4}

}

SRS-TxSwitch ::= SEQUENCE {

 supportedSRS-TxPortSwitch ENUMERATED {t1r2, t1r4, t2r4, t1r4-t2r4, tr-equal},

 txSwitchImpactToRx ENUMERATED {true} OPTIONAL

}

-- ASN1STOP

-- TAG-MIMO-PARAMETERSPERBAND-STOP

#### – *ModulationOrder*

-- ASN1START

-- TAG-MODULATION-ORDER-START

ModulationOrder ::= ENUMERATED {bpsk-halfpi, bpsk, qpsk, qam16, qam64, qam256}

-- TAG-MODULATION-ORDER-STOP

-- ASN1STOP

#### – *MRDC-Parameters*

The IE *MRDC-Parameters* contains the band combination parameters specific to MR-DC for a given MR-DC band combination.

*MRDC-Parameters* information element

-- ASN1START

-- TAG-MRDC-PARAMETERS-START

MRDC-Parameters ::= SEQUENCE {

 singleUL-Transmission ENUMERATED {supported} OPTIONAL,

 dynamicPowerSharing ENUMERATED {supported} OPTIONAL,

 tdm-Pattern ENUMERATED {supported} OPTIONAL,

 ul-SharingEUTRA-NR ENUMERATED {tdm, fdm, both} OPTIONAL,

 ul-SwitchingTimeEUTRA-NR ENUMERATED {type1, type2} OPTIONAL,

 simultaneousRxTxInterBandENDC ENUMERATED {supported} OPTIONAL,

 asyncIntraBandENDC ENUMERATED {supported} OPTIONAL,

 ...

}

-- TAG-MRDC-PARAMETERS-STOP

-- ASN1STOP

#### – *PDCP-Parameters*

The IE *PDCP-Parameters* is used to convey capabilities related to PDCP.

*PDCP-Parameters* information element

-- ASN1START

-- TAG-PDCP-PARAMETERS-START

PDCP-Parameters ::= SEQUENCE {

 supportedROHC-Profiles SEQUENCE {

 profile0x0000 BOOLEAN,

 profile0x0001 BOOLEAN,

 profile0x0002 BOOLEAN,

 profile0x0003 BOOLEAN,

 profile0x0004 BOOLEAN,

 profile0x0006 BOOLEAN,

 profile0x0101 BOOLEAN,

 profile0x0102 BOOLEAN,

 profile0x0103 BOOLEAN,

 profile0x0104 BOOLEAN

 },

 maxNumberROHC-ContextSessions ENUMERATED {cs2, cs4, cs8, cs12, cs16, cs24, cs32, cs48, cs64,

 cs128, cs256, cs512, cs1024, cs16384, spare2, spare1},

 uplinkOnlyROHC-Profiles ENUMERATED {supported} OPTIONAL,

 continueROHC-Context ENUMERATED {supported} OPTIONAL,

 outOfOrderDelivery ENUMERATED {supported} OPTIONAL,

 shortSN ENUMERATED {supported} OPTIONAL,

 pdcp-DuplicationSRB3 ENUMERATED {supported} OPTIONAL,

 pdcp-DuplicationMCG-OrSCG ENUMERATED {supported} OPTIONAL,

 ...

}

-- TAG-PDCP-PARAMETERS-STOP

-- ASN1STOP

#### – *PDCP-ParametersMRDC*

The IE *PDCP-ParametersMRDC* is used to convey PDCP related capabilities for MR-DC.

*PDCP-ParametersMRDC* information element

-- ASN1START

-- TAG-PDCP-PARAMETERSMRDC-START

PDCP-ParametersMRDC ::= SEQUENCE {

 pdcp-DuplicationSplitSRB ENUMERATED {supported} OPTIONAL,

 pdcp-DuplicationSplitDRB ENUMERATED {supported} OPTIONAL

}

-- TAG-PDCP-PARAMETERSMRDC-STOP

-- ASN1STOP

#### – *Phy-Parameters*

The IE *Phy-Parameters* is used to convey the physical layer capabilities.

*Phy-Parameters* information element

-- ASN1START

-- TAG-PHY-PARAMETERS-START

Phy-Parameters ::= SEQUENCE {

 phy-ParametersCommon Phy-ParametersCommon OPTIONAL,

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 phy-ParametersFR1 Phy-ParametersFR1 OPTIONAL,

 phy-ParametersFR2 Phy-ParametersFR2 OPTIONAL

}

Phy-ParametersCommon ::= SEQUENCE {

 csi-RS-CFRA-ForHO ENUMERATED {supported} OPTIONAL,

 dynamicPRB-BundlingDL ENUMERATED {supported} OPTIONAL,

 sp-CSI-ReportPUCCH ENUMERATED {supported} OPTIONAL,

 sp-CSI-ReportPUSCH ENUMERATED {supported} OPTIONAL,

 nzp-CSI-RS-IntefMgmt ENUMERATED {supported} OPTIONAL,

 type2-SP-CSI-Feedback-LongPUCCH ENUMERATED {supported} OPTIONAL,

 precoderGranularityCORESET ENUMERATED {supported} OPTIONAL,

 dynamicHARQ-ACK-Codebook ENUMERATED {supported} OPTIONAL,

 semiStaticHARQ-ACK-Codebook ENUMERATED {supported} OPTIONAL,

 spatialBundlingHARQ-ACK ENUMERATED {supported} OPTIONAL,

 dynamicBetaOffsetInd-HARQ-ACK-CSI ENUMERATED {supported} OPTIONAL,

 pucch-Repetition-F1-3-4 ENUMERATED {supported} OPTIONAL,

 ra-Type0-PUSCH ENUMERATED {supported} OPTIONAL,

 dynamicSwitchRA-Type0-1-PDSCH ENUMERATED {supported} OPTIONAL,

 dynamicSwitchRA-Type0-1-PUSCH ENUMERATED {supported} OPTIONAL,

 pdsch-MappingTypeA ENUMERATED {supported} OPTIONAL,

 pdsch-MappingTypeB ENUMERATED {supported} OPTIONAL,

 interleavingVRB-ToPRB-PDSCH ENUMERATED {supported} OPTIONAL,

 interSlotFreqHopping-PUSCH ENUMERATED {supported} OPTIONAL,

 type1-PUSCH-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 type2-PUSCH-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 pusch-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 pdsch-RepetitionMultiSlots ENUMERATED {supported} OPTIONAL,

 downlinkSPS ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType1 ENUMERATED {supported} OPTIONAL,

 configuredUL-GrantType2 ENUMERATED {supported} OPTIONAL,

 pre-EmptIndication-DL ENUMERATED {supported} OPTIONAL,

 cbg-TransIndication-DL ENUMERATED {supported} OPTIONAL,

 cbg-TransIndication-UL ENUMERATED {supported} OPTIONAL,

 cbg-FlushIndication-DL ENUMERATED {supported} OPTIONAL,

 dynamicHARQ-ACK-CodeB-CBG-Retx-DL ENUMERATED {supported} OPTIONAL,

 rateMatchingResrcSetSemi-Static ENUMERATED {supported} OPTIONAL,

 rateMatchingResrcSetDynamic ENUMERATED {supported} OPTIONAL,

 bwp-SwitchingDelay ENUMERATED {type1, type2} OPTIONAL,

 ... ,

 [[

 eutra-RS-SINR-measurement ENUMERATED {supported} OPTIONAL

 ]]

}

Phy-ParametersXDD-Diff ::= SEQUENCE {

 dynamicSFI ENUMERATED {supported} OPTIONAL,

 twoPUCCH-F0-2-ConsecSymbols ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUSCH ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUCCH ENUMERATED {supported} OPTIONAL,

 ...

}

Phy-ParametersFRX-Diff ::= SEQUENCE {

 dynamicSFI ENUMERATED {supported} OPTIONAL,

 oneFL-DMRS-TwoAdditionalDMRS BIT STRING (SIZE (2)) OPTIONAL,

 twoFL-DMRS BIT STRING (SIZE (2)) OPTIONAL,

 twoFL-DMRS-TwoAdditionalDMRS BIT STRING (SIZE (2)) OPTIONAL,

 oneFL-DMRS-ThreeAdditionalDMRS BIT STRING (SIZE (2)) OPTIONAL,

 supportedDMRS-TypeDL ENUMERATED {type1, type1And2} OPTIONAL,

 supportedDMRS-TypeUL ENUMERATED {type1, type1And2} OPTIONAL,

 semiOpenLoopCSI ENUMERATED {supported} OPTIONAL,

 csi-ReportWithoutPMI ENUMERATED {supported} OPTIONAL,

 csi-ReportWithoutCQI ENUMERATED {supported} OPTIONAL,

 onePortsPTRS BIT STRING (SIZE (2)) OPTIONAL,

 twoPUCCH-F0-2-ConsecSymbols ENUMERATED {supported} OPTIONAL,

 pucch-F2-WithFH ENUMERATED {supported} OPTIONAL,

 pucch-F3-WithFH ENUMERATED {supported} OPTIONAL,

 pucch-F4-WithFH ENUMERATED {supported} OPTIONAL,

 freqHoppingPUCCH-F0-2 ENUMERATED {notSupported} OPTIONAL,

 freqHoppingPUCCH-F1-3-4 ENUMERATED {notSupported} OPTIONAL,

 mux-SR-HARQ-ACK-CSI-PUCCH ENUMERATED {supported} OPTIONAL,

 uci-CodeBlockSegmentation ENUMERATED {supported} OPTIONAL,

 onePUCCH-LongAndShortFormat ENUMERATED {supported} OPTIONAL,

 twoPUCCH-AnyOthersInSlot ENUMERATED {supported} OPTIONAL,

 intraSlotFreqHopping-PUSCH ENUMERATED {supported} OPTIONAL,

 pusch-LBRM ENUMERATED {supported} OPTIONAL,

 pdcch-BlindDetectionCA INTEGER (4..16) OPTIONAL,

 tpc-PUSCH-RNTI ENUMERATED {supported} OPTIONAL,

 tpc-PUCCH-RNTI ENUMERATED {supported} OPTIONAL,

 tpc-SRS-RNTI ENUMERATED {supported} OPTIONAL,

 absoluteTPC-Command ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUSCH ENUMERATED {supported} OPTIONAL,

 twoDifferentTPC-Loop-PUCCH ENUMERATED {supported} OPTIONAL,

 pusch-HalfPi-BPSK ENUMERATED {supported} OPTIONAL,

 pucch-F3-4-HalfPi-BPSK ENUMERATED {supported} OPTIONAL,

 almostContiguousCP-OFDM-UL ENUMERATED {supported} OPTIONAL,

 sp-CSI-RS ENUMERATED {supported} OPTIONAL,

 sp-CSI-IM ENUMERATED {supported} OPTIONAL,

 tdd-MultiDL-UL-SwitchPerSlot ENUMERATED {supported} OPTIONAL,

 multipleCORESET ENUMERATED {supported} OPTIONAL,

 ...

}

Phy-ParametersFR1 ::= SEQUENCE {

 pdcchMonitoringSingleOccasion ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR1 ENUMERATED {supported} OPTIONAL,

 pdsch-RE-MappingFR1 ENUMERATED {n10, n20} OPTIONAL,

 ...

}

Phy-ParametersFR2 ::= SEQUENCE {

 calibrationGapPA ENUMERATED {supported} OPTIONAL,

 pdsch-RE-MappingFR2 ENUMERATED {n6, n20} OPTIONAL,

 ...

}

-- TAG-PHY-PARAMETERS-STOP

-- ASN1STOP

#### – *Phy-ParametersMRDC*

The IE *Phy-ParametersMRDC* is used to convey physical layer capabilities for MR-DC.

*Phy-ParametersMRDC* information element

-- ASN1START

-- TAG-PHY-PARAMETERSMRDC-START

Phy-ParametersMRDC ::= SEQUENCE {

 naics-Capability-List SEQUENCE (SIZE (1..maxNrofNAICS-Entries)) OF NAICS-Capability-Entry OPTIONAL,

 ...

}

NAICS-Capability-Entry ::= SEQUENCE {

 numberOfNAICS-CapableCC INTEGER(1..5),

 numberOfAggregatedPRB ENUMERATED {n50, n75, n100, n125, n150, n175, n200, n225,

 n250, n275, n300, n350, n400, n450, n500, spare},

 ...

}

-- TAG-PHY-PARAMETERSMRDC-STOP

-- ASN1STOP

|  |
| --- |
| *PHY-ParametersMRDC field descriptions* |
| ***naics-Capability-List***Indicates that UE in MR-DC supports NAICS as defined in defined in TS 36.331 [10]. |

#### – *RAT-Type*

The IE *RAT-Type* is used to indicate the radio access technology (RAT), including NR, of the requested/transferred UE capabilities.

*RAT-Type* information element

-- ASN1START

-- TAG-RAT-TYPE-START

RAT-Type ::= ENUMERATED {nr, eutra-nr, eutra, spare1, ...}

-- TAG-RAT-TYPE-STOP

-- ASN1STOP

#### – *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

*RF-Parameters* information element

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

 supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...

}

BandNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

 mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

 extendedCP ENUMERATED {supported} OPTIONAL,

 multipleTCI ENUMERATED {supported} OPTIONAL,

 bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

 bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

 bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

 crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

 pusch-256QAM ENUMERATED {supported} OPTIONAL,

 ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

 rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

 channelBWs-DL-v15xy CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL-v15xy CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 ...,

 [[

 maxUplinkDutyCycle ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

|  |
| --- |
| *RF-Parameters field descriptions* |
| ***appliedFreqBandListFilter***In this field the UE mirrors the FreqBandList that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the supportedBandCombinationList in accordance with this appliedFreqBandListFilter. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for NR (without MR-DC). The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-NR-Capability* IE. The UE does not include this field if the UE capability is requested by E-UTRAN and the network request includes the field *eutra-nr-only* [10]. |

#### – *RF-ParametersMRDC*

The IE *RF-ParametersMRDC* is used to convey RF related capabilities for MR-DC.

*RF-ParametersMRDC* information element

-- ASN1START

-- TAG-RF-PARAMETERSMRDC-START

RF-ParametersMRDC ::= SEQUENCE {

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...

}

-- TAG-RF-PARAMETERSMRDC-STOP

-- ASN1STOP

|  |
| --- |
| *RF-ParametersMRDC field descriptions* |
| ***appliedFreqBandListFilter***In this field the UE mirrors the FreqBandList that the NW provided in the capability enquiry, if any. The UE filtered the band combinations in the supportedBandCombinationList in accordance with this appliedFreqBandListFilter. |
| ***supportedBandCombinationList***A list of band combinations that the UE supports for MR-DC. The *FeatureSetCombinationId*:s in this list refer to the *FeatureSetCombination* entries in the *featureSetCombinations* list in the *UE-MRDC-Capability* IE. |

#### – *RLC-Parameters*

The IE *RLC-Parameters* is used to convey capabilities related to RLC.

*RLC-Parameters* information element

-- ASN1START

-- TAG-RLC-PARAMETERS-START

RLC-Parameters ::= SEQUENCE {

 am-WithShortSN ENUMERATED {supported} OPTIONAL,

 um-WithShortSN ENUMERATED {supported} OPTIONAL,

 um-WithLongSN ENUMERATED {supported} OPTIONAL,

 ...

}

-- TAG-RLC-PARAMETERS-STOP

-- ASN1STOP

#### – *SupportedBandwidth*

The IE *SupportedBandwidth* is used to indicate the maximum channel bandwidth supported by the UE on one carrier of a band of a band combination.

*SupportedBandwidth* information element

-- ASN1START

-- TAG-SUPPORTEDBANDWIDTH-START

SupportedBandwidth ::= CHOICE {

 fr1 ENUMERATED {mhz5, mhz10, mhz15, mhz20, mhz25, mhz30, mhz40, mhz50, mhz60, mhz80, mhz100},

 fr2 ENUMERATED {mhz50, mhz100, mhz200, mhz400}

}

-- TAG-SUPPORTEDBANDWIDTH-STOP

-- ASN1STOP

#### – *UE-CapabilityRAT-ContainerList*

The IE *UE-CapabilityRAT-ContainerList* contains a list of radio access technology specific capability containers.

*UE-CapabilityRAT-ContainerList* information element

-- ASN1START

-- TAG-UE-CAPABILITY-RAT-CONTAINER-LIST-START

UE-CapabilityRAT-ContainerList ::=SEQUENCE (SIZE (0..maxRAT-CapabilityContainers)) OF UE-CapabilityRAT-Container

UE-CapabilityRAT-Container ::= SEQUENCE {

 rat-Type RAT-Type,

 ue-CapabilityRAT-Container OCTET STRING

}

-- TAG-UE-CAPABILITY-RAT-CONTAINER-LIST-STOP

-- ASN1STOP

|  |
| --- |
| *UE-CapabilityRAT-ContainerList* field descriptions |
| ***ue-CapabilityRAT-Container***Container for the UE capabilities of the indicated RAT. The encoding is defined in the specification of each RAT:For rat-Type set to *nr*: the encoding of UE capabilities is defined in UE-NR-Capability.For rat-Type set to *eutra-nr*: the encoding of UE capabilities is defined in UE-MRDC-Capability.For rat-Type set to *eutra*: the encoding of UE capabilities is defined in UE-EUTRA-Capability specified in 36.331. |

#### – *UE-CapabilityRAT-RequestList*

The IE *UE-CapabilityRAT-RequestList* is used to request UE capabilities for one or more RATs from the UE.

*UE-CapabilityRAT-RequestList* information element

-- ASN1START

-- TAG-UE-CAPABILITYRAT-REQUESTLIST-START

UE-CapabilityRAT-RequestList ::= SEQUENCE (SIZE (1..maxRAT-CapabilityContainers)) OF UE-CapabilityRAT-Request

UE-CapabilityRAT-Request ::= SEQUENCE {

 rat-Type RAT-Type,

 capabilityRequestFilter OCTET STRING OPTIONAL, -- Need N

 ...

}

-- TAG-UE-CAPABILITYRAT-REQUESTLIST-STOP

-- ASN1STOP

|  |
| --- |
| *UE-CapabilityRAT-Request field descriptions* |
| ***capabilityRequestFilter***Information by which the network requests the UE to filter the UE capabilities. For ratType set to nr: the encoding of the capabilityRequestFilter is defined in UE-CapabilityRequestFilterNR.  |
| ***rat-Type***The RAT type for which the NW requests UE capabilities. |

#### – *UE-CapabilityRequestFilterNR*

The IE *UE-CapabilityRequestFilterNR* is used to request filtered UE capabilities.

*UE-CapabilityRequestFilterNR* information element

-- ASN1START

-- TAG-UE-CAPABILITYREQUESTFILTERNR-START

UE-CapabilityRequestFilterNR ::= SEQUENCE {

 frequencyBandList FreqBandList OPTIONAL, -- Need N

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-UE-CAPABILITYREQUESTFILTERNR-STOP

-- ASN1STOP

#### – *UE-MRDC-Capability*

The IE *UE-MRDC-Capability* is used to convey the UE Radio Access Capability Parameters for MR-DC, see TS 38.306 [yy].

*UE-MRDC-Capability* information element

-- ASN1START

-- TAG-UE-MRDC-CAPABILITY-START

UE-MRDC-Capability ::= SEQUENCE {

 measAndMobParametersMRDC MeasAndMobParametersMRDC OPTIONAL,

 phy-ParametersMRDC-v15xy Phy-ParametersMRDC OPTIONAL,

 rf-ParametersMRDC RF-ParametersMRDC,

 generalParametersMRDC GeneralParametersMRDC-XDD-Diff OPTIONAL,

 fdd-Add-UE-MRDC-Capabilities UE-MRDC-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-MRDC-Capabilities UE-MRDC-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-MRDC-Capabilities UE-MRDC-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-MRDC-Capabilities UE-MRDC-CapabilityAddFRX-Mode OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 pdcp-ParametersMRDC-v15xy PDCP-ParametersMRDC OPTIONAL,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-MRDC-CapabilityAddXDD-Mode ::= SEQUENCE {

 measAndMobParametersMRDC-XDD-Diff MeasAndMobParametersMRDC-XDD-Diff OPTIONAL,

 generalParametersMRDC-XDD-Diff GeneralParametersMRDC-XDD-Diff OPTIONAL

}

UE-MRDC-CapabilityAddFRX-Mode ::= SEQUENCE {

 measAndMobParametersMRDC-FRX-Diff MeasAndMobParametersMRDC-FRX-Diff

}

GeneralParametersMRDC-XDD-Diff ::= SEQUENCE {

 splitSRB-WithOneUL-Path ENUMERATED {supported} OPTIONAL,

 splitDRB-withUL-Both-MCG-SCG ENUMERATED {supported} OPTIONAL,

 srb3 ENUMERATED {supported} OPTIONAL,

 v2x-EUTRA-v15xy ENUMERATED {supported} OPTIONAL,

 ...

}

-- TAG-UE-MRDC-CAPABILITY-STOP

-- ASN1STOP

|  |
| --- |
| *UE-MRDC-Capability field descriptions* |
| ***featureSetCombinations***A list of FeatureSetCombination:s for MR-DC. The FeatureSetDownlink:s and FeatureSetUplink:s referred to from these FeatureSetCombination:s are defined in the featureSets list in UE-NR-Capability. |

#### – *UE-NR-Capability*

The IE *UE-NR-Capability* is used to convey the NR UE Radio Access Capability Parameters, see TS 38.306.

*UE-NR-Capability* information element

-- ASN1START

-- TAG-UE-NR-CAPABILITY-START

UE-NR-Capability ::= SEQUENCE {

 accessStratumRelease AccessStratumRelease,

 pdcp-Parameters PDCP-Parameters,

 rlc-Parameters RLC-Parameters OPTIONAL,

 mac-Parameters MAC-Parameters OPTIONAL,

 phy-Parameters Phy-Parameters,

 rf-Parameters RF-Parameters,

 measAndMobParameters MeasAndMobParameters OPTIONAL,

 fdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 tdd-Add-UE-NR-Capabilities UE-NR-CapabilityAddXDD-Mode OPTIONAL,

 fr1-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 fr2-Add-UE-NR-Capabilities UE-NR-CapabilityAddFRX-Mode OPTIONAL,

 featureSets FeatureSets OPTIONAL,

 featureSetCombinations SEQUENCE (SIZE (1..maxFeatureSetCombinations)) OF FeatureSetCombination OPTIONAL,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension UE-NR-Capability-15xy OPTIONAL

}

UE-NR-Capability-15xy ::= SEQUENCE {

 fdd-Add-UE-NR-Capabilities-15xy UE-NR-CapabilityAddXDD-Mode-15xy OPTIONAL,

 tdd-Add-UE-NR-Capabilities-15xy UE-NR-CapabilityAddXDD-Mode-15xy OPTIONAL,

 voiceOverMCG-Bearer ENUMERATED {supported} OPTIONAL,

 interRAT-Parameters InterRAT-Parameters OPTIONAL,

 inactiveState ENUMERATED {supported} OPTIONAL,

 delayBudgetReporting ENUMERATED {supported} OPTIONAL,

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode ::= SEQUENCE {

 phy-ParametersXDD-Diff Phy-ParametersXDD-Diff OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL

}

UE-NR-CapabilityAddXDD-Mode-15xy ::= SEQUENCE {

 eutra-ParametersXDD-Diff EUTRA-ParametersXDD-Diff

}

UE-NR-CapabilityAddFRX-Mode ::= SEQUENCE {

 phy-ParametersFRX-Diff Phy-ParametersFRX-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

-- TAG-UE-NR-CAPABILITY-STOP

-- ASN1STOP

|  |
| --- |
| *UE-NR-Capability field descriptions* |
| ***featureSetCombinations***A list of FeatureSetCombination:s for NR (not for MR-DC). The FeatureSetDownlink:s and FeatureSetUplink:s referred to from these FeatureSetCombination:s are defined in the featureSets list in UE-NR-Capability. |