**3GPP TSG-RAN WG3 #113-e *R3-21XXXX***

**E-Meeting, August 16-27, 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.473** | **CR** | **0785** | **rev** | **1** | **Current version:** | **16.6.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Duplicate gNB-DU ID failure | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_newRAT-Core | | | | |  | ***Date:*** | | | 2021-08-05 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | 16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | The F1 Interface setup procedure is initiated by the gNB-DU, in which it indicates its “gNB-DU ID” as a mandatory IE. Likewise, the gNB-DU ID shall be unique within a gNB. Therefore, an F1 interface setup procedure request with an already established identifier at the gNB shall be failed.  However, given that there is no suitable cause value for this scenario, a gNB-DU may continue to re-attempt the F1 interface setup indefinitively utilizing the same gNB-DU ID despite the failure response. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introduce “Duplicated gNB-DU ID” cause value.  Impact assessment towards the previous version of the specification (same release):  This CR has an impact under protocol and functional point of view.  The impact can be considered isolated because the change is limited to introduction of a new cause value.  This CR is ASN.1 backwards compatible. | | | | | | | | |
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| ***Consequences if not approved:*** | | A gNB-DU may continue to re-attempt the F1 interface setup indefinitively utilizing the same gNB-DU ID without means to identify the issue. | | | | | | | | |
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| ***Clauses affected:*** | | 9.3.1.2, 9.4 (ASN.1) | | | | | | | | |
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|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev.1: Updated cause value name | | | | | | | | |

*Beginning of Text Proposal to TS 38.473*

#### 9.3.1.2 Cause

The purpose of the *Cause* IE is to indicate the reason for a particular event for the F1AP protocol.

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| **IE/Group Name** | **Presence** | **Range** | **IE Type and Reference** | **Semantics Description** |
| CHOICE *Cause Group* | M |  |  |  |
| >*Radio Network Layer* |  |  |  |  |
| >>Radio Network Layer Cause | M |  | ENUMERATED (Unspecified, RL failure-RLC, Unknown or already allocated gNB-CU UE F1AP ID,  Unknown or already allocated gNB-DU UE F1AP ID,  Unknown or inconsistent pair of UE F1AP ID,  Interaction with other procedure,  Not supported QCI Value,  Action Desirable for Radio Reasons,  No Radio Resources Available,  Procedure cancelled, Normal Release, ..., Cell not available, RL failure-others, UE rejection, Resources not available for the slice(s), AMF initiated abnormal release, Release due to Pre-Emption, PLMN not served by the gNB-CU, Multiple DRB ID Instances, Unknown DRB ID, Multiple BH RLC CH ID Instances, Unknown BH RLC CH ID, CHO-CPC resources to be changed, NPN not supported, NPN access denied, gNB-CU Cell Capacity Exceeded, Report Characteristics Empty, Existing Measurement ID, Measurement Temporarily not Available, Measurement not Supported For The Object, Unknown BAP address, Unknown BAP routing ID, Insufficient UE Capabilities, Duplicated gNB-DU-ID) |  |
| *>Transport Layer* |  |  |  |  |
| >>Transport Layer Cause | M |  | ENUMERATED (Unspecified, Transport Resource Unavailable, ... , Unknown TNL address for IAB, Unknown UP TNL information for IAB) |  |
| *>Protocol* |  |  |  |  |
| >>Protocol Cause | M |  | ENUMERATED (Transfer Syntax Error, Abstract Syntax Error (Reject), Abstract Syntax Error (Ignore and Notify), Message not Compatible with Receiver State,  Semantic Error,  Abstract Syntax Error (Falsely Constructed Message), Unspecified, ...) |  |
| *>Misc* |  |  |  |  |
| >>Miscellaneous Cause | M |  | ENUMERATED (Control Processing Overload, Not enough User Plane Processing Resources, Hardware Failure, O&M Intervention, Unspecified, ...) |  |

The meaning of the different cause values is described in the following table. In general, "not supported" cause values indicate that the related capability is missing. On the other hand, "not available" cause values indicate that the related capability is present, but insufficient resources were available to perform the requested action.

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| Radio Network Layer cause | Meaning |
| Unspecified | Sent for radio network layer cause when none of the specified cause values applies. |
| RL Failure-RLC | The action is due to an RL failure caused by exceeding the maximum number of ARQ retransmissions. |
| Unknown or already allocated gNB-CU UE F1AP ID | The action failed because the gNB-CU UE F1AP ID is either unknown, or (for a first message received at the gNB-CU) is known and already allocated to an existing context. |
| Unknown or already allocated gNB-DU UE F1AP ID | The action failed because the gNB-DU UE F1AP ID is either unknown, or (for a first message received at the gNB-DU) is known and already allocated to an existing context. |
| Unknown or inconsistent pair of UE F1AP ID | The action failed because both UE F1AP IDs are unknown, or are known but do not define a single UE context. |
| Interaction with other procedure | The action is due to an ongoing interaction with another procedure. |
| Not supported QCI Value | The action failed because the requested QCI is not supported. |
| Action Desirable for Radio Reasons | The reason for requesting the action is radio related. |
| No Radio Resources Available | The cell(s) in the requested node don’t have sufficient radio resources available. |
| Procedure cancelled | The sending node cancelled the procedure due to other urgent actions to be performed. |
| Normal Release | The action is due to a normal release of the UE (e.g. because of mobility) and does not indicate an error. |
| Cell Not Available | The action failed due to no cell available in the requested node. |
| RL Failure-others | The action is due to an RL failure caused by other radio link failures than exceeding the maximum number of ARQ retransmissions. |
| UE rejection | The action is due to gNB-CU’s rejection of a UE access request. |
| Resources not available for the slice(s) | The requested resources are not available for the slice(s). |
| AMF initiated abnormal release | The release is triggered by an error in the AMF or in the NAS layer. |
| Release due to Pre-Emption | Release is initiated due to pre-emption. |
| PLMN not served by the gNB-CU | The PLMN indicated by the UE is not served by the gNB-CU. |
| Multiple DRB ID Instances | The action failed because multiple instances of the same DRB had been provided. |
| Unknown DRB ID | The action failed because the DRB ID is unknow. |
| Multiple BH RLC CH ID Instances | The action failed because multiple instances of the same BH RLC CH ID had been provided. This cause value is only applicable to IAB. |
| Unknown BH RLC CH ID | The action failed because the BH RLC CH ID is unknown. This cause value is only applicable to IAB. |
| CHO-CPC resources to be changed | The gNB-DU requires gNB-CU to replace, i.e. overwrite the configuration of indicated candidate target cell. |
| NPN not supported | The action fails because the indicated SNPN is not supported in the node. |
| NPN access denied | The action is due to rejection of a UE access request for NPN. |
| gNB-CU Cell Capacity Exceeded | The number of cells requested to be added was exceeding maximum cell capacity in the gNB-CU. |
| Report Characteristics Empty | The action failed because there is no measurement object in the report characteristics. |
| Existing Measurement ID | The action failed because the measurement ID is already used. |
| Measurement Temporarily not Available | The gNB-DU can temporarily not provide the requested measurement object. |
| Measurement not Supported For The Object | At least one of the concerned object(s) does not support the requested measurement. |
| Unknown BAP address | The action failed because the BAP address is unknown. This cause value is only applicable to IAB. |
| Unknown BAP routing ID | The action failed because the BAP routing ID is unknown. This cause value is only applicable to IAB. |
| Insufficient UE Capabilities | The setup can’t proceed due to insufficient UE capabilities. |
| Duplicated gNB-DU ID | The action failed because the gNB-DU ID is already in use by a different gNB-DU. |

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| Transport Layer cause | Meaning |
| Unspecified | Sent when none of the above cause values applies but still the cause is Transport Network Layer related. |
| Transport Resource Unavailable | The required transport resources are not available. |
| Unknown TNL address for IAB | The action failed because the TNL address is unknown. This cause value is only applicable to IAB. |
| Unknown UP TNL information for IAB | The action failed because the UP TNL information is unknown. This cause value is only applicable to IAB. |

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| **Protocol cause** | **Meaning** |
| Transfer Syntax Error | The received message included a transfer syntax error. |
| Abstract Syntax Error (Reject) | The received message included an abstract syntax error and the concerning criticality indicated "reject". |
| Abstract Syntax Error (Ignore And Notify) | The received message included an abstract syntax error and the concerning criticality indicated "ignore and notify". |
| Message Not Compatible With Receiver State | The received message was not compatible with the receiver state. |
| Semantic Error | The received message included a semantic error. |
| Abstract Syntax Error (Falsely Constructed Message) | The received message contained IEs or IE groups in wrong order or with too many occurrences. |
| Unspecified | Sent when none of the above cause values applies but still the cause is Protocol related. |

| **Miscellaneous cause** | **Meaning** |
| --- | --- |
| Control Processing Overload | Control processing overload. |
| Not EnoughUser Plane Processing Resources Available | No enough resources are available related to user plane processing. |
| Hardware Failure | Action related to hardware failure. |
| O&M Intervention | The action is due to O&M intervention. |
| Unspecified Failure | Sent when none of the above cause values applies and the cause is not related to any of the categories Radio Network Layer, Transport Network Layer or Protocol. |

*Next Text Proposal to TS 38.473*

-- C

CAGID ::= BIT STRING (SIZE(32))

Cancel-all-Warning-Messages-Indicator ::= ENUMERATED {true, ...}

Candidate-SpCell-Item ::= SEQUENCE {

candidate-SpCell-ID NRCGI ,

iE-Extensions ProtocolExtensionContainer { { Candidate-SpCell-ItemExtIEs } } OPTIONAL,

...

}

Candidate-SpCell-ItemExtIEs F1AP-PROTOCOL-EXTENSION ::= {

...

}

CapacityValue::= SEQUENCE {

capacityValue INTEGER (0..100),

sSBAreaCapacityValueList SSBAreaCapacityValueList OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { CapacityValue-ExtIEs} } OPTIONAL

}

CapacityValue-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

...

}

Cause ::= CHOICE {

radioNetwork CauseRadioNetwork,

transport CauseTransport,

protocol CauseProtocol,

misc CauseMisc,

choice-extension ProtocolIE-SingleContainer { { Cause-ExtIEs} }

}

Cause-ExtIEs F1AP-PROTOCOL-IES ::= {

...

}

CauseMisc ::= ENUMERATED {

control-processing-overload,

not-enough-user-plane-processing-resources,

hardware-failure,

om-intervention,

unspecified,

...

}

CauseProtocol ::= ENUMERATED {

transfer-syntax-error,

abstract-syntax-error-reject,

abstract-syntax-error-ignore-and-notify,

message-not-compatible-with-receiver-state,

semantic-error,

abstract-syntax-error-falsely-constructed-message,

unspecified,

...

}

CauseRadioNetwork ::= ENUMERATED {

unspecified,

rl-failure-rlc,

unknown-or-already-allocated-gnb-cu-ue-f1ap-id,

unknown-or-already-allocated-gnb-du-ue-f1ap-id,

unknown-or-inconsistent-pair-of-ue-f1ap-id,

interaction-with-other-procedure,

not-supported-qci-Value,

action-desirable-for-radio-reasons,

no-radio-resources-available,

procedure-cancelled,

normal-release,

...,

cell-not-available,

rl-failure-others,

ue-rejection,

resources-not-available-for-the-slice,

amf-initiated-abnormal-release,

release-due-to-pre-emption,

plmn-not-served-by-the-gNB-CU,

multiple-drb-id-instances,

unknown-drb-id,

multiple-bh-rlc-ch-id-instances,

unknown-bh-rlc-ch-id,

cho-cpc-resources-tobechanged,

nPN-not-supported,

nPN-access-denied,

gNB-CU-Cell-Capacity-Exceeded,

report-characteristics-empty,

existing-measurement-ID,

measurement-temporarily-not-available,

measurement-not-supported-for-the-object,

unknown-bh-address,

unknown-bap-routing-id,

insufficient-ue-capabilities,

duplicated-gnb-du-id

}

CauseTransport ::= ENUMERATED {

unspecified,

transport-resource-unavailable,

...,

unknown-TNL-address-for-IAB,

unknown-UP-TNL-information-for-IAB

}

*End of Text Proposal to TS 38.473*