**3GPP TSG-RAN WG3 Meeting #110-e *R3-206984***

**E-meeting, 2 – 12 Nov 2020**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.413** | **CR** | **0411** | **rev** | **2** | **Current version:** | **16.3.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network | **x** |

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|  | | | | | | | | | | |
| ***Title:*** | Correction on immediate suspension | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, China Telecom, Nokia, Nokia Shanghai Bell, Qualcomm Incorporated, ZTE | | | | | | | | | |
| ***Source to TSG:*** | R3 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LTE\_eMTC5-Core, NB\_IOTenh3-Core | | | | |  | ***Date:*** | | | 2020-11-04 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-16 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | In case of immediate suspension, the ng-eNB needs to send the information used for paging optimization and last cell info for WUS to AMF in the UE context resume request message, otherwise the information stored at AMF will not up to date. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | Introduce *Information on Recommended Cells and RAN Nodes for Paging* IE and *Paging Assistance Data for CE Capable UE* IE in NGAP: UE CONTEXT RESUME REQUEST message  Impact Analysis:  Impact assessment towards the previous version of the specification (same release):  This CR has **isolated impact** with the previous version of the specification (same release).  This CR has an impact under paging optimization functional point of view.  The impact **can** be considered isolated because the change only affects paging optimization function. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | The paging optimization related information and last cell infor for WUS stored at AMF will not be up to date. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 8.3.12.2, 9.2.2.19, 9.4.4 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **x** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | | Rev 1: updated to latest version of specification.  Rev 2: update coverpage to add WUS scenario, and update co-source company. | | | | | | | | |

***-----------------------Start of the First Change----------------------------***

### 8.3.12 UE Context Resume

#### 8.3.12.1 General

The purpose of the UE Context Resume procedure is to resume the UE context, the suspended UE-associated logical NG-connection and the related NG-U transport bearer in the 5GC for this UE.

In this version of the specification, this procedure applies only if the NG-RAN node is an ng-eNB.

#### 8.3.12.2 Successful Operation



Figure 8.3.12.2-1: UE Context Resume procedure. Successful operation.

The NG-RAN node initiates the procedure by sending the UE CONTEXT RESUME REQUEST message to the AMF. If the NG-RAN node is not able to admit any suspended PDU sessions, the NG-RAN node shall indicate this in the *PDU Session Resource Failed to Resume List* IE. If the NG-RAN node is not able to admit certain QoS flows for a PDU session, the NG-RAN node shall indicate this in the *QoS Flow Failed to Resume List* IE included in the *UE Context Resume Request Transfer* IE for that PDU session.

Upon receipt of the UE CONTEXT RESUME REQUEST message the AMF shall act as defined in TS 23.502 [10] and respond with the UE CONTEXT RESUME RESPONSE message. If the AMF is not able to admit any suspended PDU sessions, the AMF shall indicate this in the *PDU Session Resource Failed to Resume List* IE. If the SMF is not able to admit certain QoS flows for a PDU session, the SMF shall indicate this in the *QoS Flow Failed to Resume List* IE included in the *UE Context Resume Response Transfer* IE for that PDU session.

The NG-RAN node shall release resources for each PDU session or QoS flow failed to resume and shall assume that the 5GC has released respective resources as well.

If the *Security Context* IE is included in the UE CONTEXT RESUME RESPONSE message, the NG-RAN node shall store the received *Security Context* IE in the UE context and the NG-RAN node shall use it for the next suspend/resume or Xn handover or Intra NG-RAN node handovers as specified in TS 33.501 [13].

If the *Suspend Request Indication* IE is included in the UE CONTEXT RESUME REQUEST message, the AMF shall, if supported, consider that the NG-RAN node is requesting immediate transition to RRC IDLE with Suspend as specified in TS 23.502 [10]. If the *Suspend Response Indication* IE is included in the UE CONTEXT RESUME RESPONSE message, the NG-RAN node shall suspend the UE context, the UE-associated logical NG-connection and the related PDU session contexts and send the UE to RRC\_IDLE.

If the *Information on* *Recommended Cells and RAN Nodes for Paging* IE is included in the UE CONTEXT RESUME REQUEST message, the AMF shall, if supported, store it and may use it for subsequent paging.

If the *Paging Assistance Data for CE Capable UE* IE is included in the UE CONTEXT RESUME REQUEST message, the AMF shall, if supported, store it and use it for subsequent paging, as specified in TS 23.502 [10].

If the *Extended Connected Time* IE is included in the UE CONTEXT RESUME RESPONSE message, the NG-RAN node shall, if supported, use it as described in TS 23.501 [9].

#### 8.3.12.3 Unsuccessful Operation



Figure 8.3.12.3-1: UE Context resume: unsuccessful operation.

If the AMF is not able to resume a single PDU session, it releases the UE-associated logical NG-connection by sending the UE CONTEXT RESUME FAILURE message to the NG-RAN node. Upon reception of the UE CONTEXT RESUME FAILURE message the NG-RAN node shall release the RRC connection as specified in TS 36.331 [21] and release all related signalling and user data transport resources.

***-----------------------Start of the Next Change----------------------------***

#### 9.2.2.19 UE CONTEXT RESUME REQUEST

This message is sent by the NG-RAN node to request the AMF to resume the UE-associated logical NG-connection and UE context.

Direction: NG-RAN node → AMF

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| AMF UE NGAP ID | M |  | 9.3.3.1 |  | YES | reject |
| RAN UE NGAP ID | M |  | 9.3.3.2 |  | YES | reject |
| RRC Resume Cause | M |  | RRC Establishment Cause  9.3.1.111 |  | YES | ignore |
| **PDU Session Resource Resume List** |  | *0..1* |  |  | YES | reject |
| **>PDU Session Resource Resume Item** |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
| >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
| >>UE Context Resume Request Transfer | M |  | OCTET STRING | Containing the *UE Context Resume Request Transfer* IE specified in subclause 9.3.4.24 | - |  |
| **PDU Session Resource Failed to Resume List** |  | *0..1* |  |  | YES | reject |
| **>PDU Session Resource Failed to Resume Item** |  | *1..<maxnoofPDUSessions>* |  |  | - |  |
| >>PDU Session ID | M |  | 9.3.1.50 |  | - |  |
| >>Cause | M |  | 9.3.1.2 |  | - |  |
| Suspend Request Indication | O |  | 9.3.1.18 |  | YES | ignore |
| Information on Recommended Cells and RAN Nodes for Paging | O |  | 9.3.1.100 |  | YES | ignore |
| Paging Assistance Data for CE Capable UE | O |  | 9.3.1.141 |  | YES | ignore |

|  |  |
| --- | --- |
| **Range bound** | **Explanation** |
| maxnoofPDUSessions | Maximum no. of PDU sessions allowed towards one UE. Value is 256. |

***-----------------------Start of the Next Change----------------------------***

### 9.4.4 PDU Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- PDU definitions for NGAP.

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

NGAP-PDU-Contents {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-Access (22) modules (3) ngap (1) version1 (1) ngap-PDU-Contents (1) }

***//skip the unchanged part***

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- UE Context Resume Elementary Procedure

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

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-- UE CONTEXT RESUME REQUEST

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-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

UEContextResumeRequest ::= SEQUENCE {

protocolIEs ProtocolIE-Container { {UEContextResumeRequestIEs} },

...

}

UEContextResumeRequestIEs NGAP-PROTOCOL-IES ::= {

{ ID id-AMF-UE-NGAP-ID CRITICALITY reject TYPE AMF-UE-NGAP-ID PRESENCE mandatory }|

{ ID id-RAN-UE-NGAP-ID CRITICALITY reject TYPE RAN-UE-NGAP-ID PRESENCE mandatory }|

{ ID id-RRC-Resume-Cause CRITICALITY ignore TYPE RRCEstablishmentCause PRESENCE mandatory }|

{ ID id-PDUSessionResourceResumeListRESReq CRITICALITY reject TYPE PDUSessionResourceResumeListRESReq PRESENCE optional }|

{ ID id-PDUSessionResourceFailedToResumeListRESReq CRITICALITY reject TYPE PDUSessionResourceFailedToResumeListRESReq PRESENCE optional }|

{ ID id-Suspend-Request-Indication CRITICALITY ignore TYPE Suspend-Request-Indication PRESENCE optional }|

{ ID id-InfoOnRecommendedCellsAndRANNodesForPaging CRITICALITY ignore TYPE InfoOnRecommendedCellsAndRANNodesForPaging PRESENCE optional }|

{ ID id-PagingAssisDataforCEcapabUE CRITICALITY ignore TYPE PagingAssisDataforCEcapabUE PRESENCE optional },

...

}

***-----------------------End of the Changes----------------------------***