**3GPP TSG-RAN WG2 Meeting #109 electronic** ***R2-200xxxx***

**Elbonia, 24 Feb - 6 March 2020 revision of R2-2002248**

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| *CR-Form-v11.4* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **-** | **rev** | **-** | **Current version:** | **15.8.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  | Introduction of Conditional PSCell Change for intra-SN without MN involvement |
|  |  |
| ***Source to WG:*** | CATT  |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_Mob\_enh-Core  |  | ***Date:*** | 2020-01-22 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** |  Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | To capture agreements for conditional PScell change into stage 3 specification. It was agreed to support the conditional NR PSCell change for intra-SN without MN involvement and agreements were made as following:**Agreements**1. We will prioritize work in SN-initiated PSCell change for conditional PSCell change.
2. Maintain Rel-15 principle that only one PScell is active at a time even with conditional PScell change.

2 For conditional PScell change, A3/A5 execution condition should be supported. 3 For conditional SN change, the source SN configuration can be used as the reference in generation of delta signalling for the candidate SNs. 4 Both the execution condition and the configuration for the candidate PSCell (as a container) can be included in the RRCReconfiguration message generated by the SN for intra-SN conditional PSCell change initiated by the SN (without MN involvement).5 SRB1 can be used in all cases. SRB3 may be used to transmit conditional PScell change configuration to the UE for intra-SN change without MN involvement.6 limit to intra-SN change without MN involvement (i.e. no MN reconfiguration or decision needed but SRB1 can be used) in Rel-16. **Same as for CHO, the following were agreed fro CPC.**1. Usage of CPAC is decided by the network. The UE evaluates when the condition is valid.2. Support configuration of one or more candidate cells for CPAC;o FFS how many candidate cells (UE and network impacts should be clarified). FFS whether the number of candidate cells for CPAC different from that of CHO.3. Allow having multiple triggering conditions (using “and”) for CPAC execution of a single candidate cell. Only single RS type per CPAC candidate is supported. At most two triggering quantities (e.g. RSRP and RSRQ, RSRP and SINR, etc.) can be configured simultaneously. FFS on UE capability4. Define an execution condition for conditional PSCell change by the measurement identity which identifies a measurement configuration 5. Cell level quality is used as baseline for Conditional NR PSCell change execution condition;g. Only single RS type (SSB or CSI-RS) per candidate PSCell is supported for PSCell change. h. At most two triggering quantities (e.g. RSRP and RSRQ, RSRP and SINR, etc.) can be configured simultaneously. FFS on UE capability.i. TTT is supported for CPAC execution condition (as per legacy configuration)6. No additional optimizations with multi-beam operation are introduced to improve RACH performance for conditional PSCell change completion with multi-beam operation.7. For FR1 and FR2, leave it up to UE implementation to select the candidate PSCell if more than one candidate cell meets the triggering condition. UE may consider beam information in this.8. UE is not required to continue evaluating the triggering condition of other candidate PSCell(s) during conditional SN execution. .**Agreements (RAN2#109e)**1) Similar to CHO, the following applies to CPC-intra-SN configuration - Reuse the RRCReconfiguration/RRCConnectionReconfiguration procedure to signal CPC-intra-SN configuration to UE. - The MN is not allowed to alter any content of the configuration from the SN which is carried in an RRC container. - Multiple candidate PSCells can be sent in either one or multiple RRC messages. - Use add/mod list + release list to configure multiple candidate PSCells. - CPC-intra-SN execution condition and/or candidate PSCell configuration can be updated by the SN (i.e. by modifying the existing CPC-intra-SN configuration).2) Once the CPC-intra-SN procedure is executed successfully, the UE releases all CPC-intra-SN configurations stored on the UE side.3) Upon the successful completion of conventional PSCell change procedure, the UE releases all CPC-intra –SN configurations.4) The SCG failure information procedure can be used for CPC-intra-SN procedure failure (due to RLF, T304-like timer expiry or compliance check failure).5) FFS: In case of SRB3, the MN is not informed of CPC-intra-SN execution by the UE.6) If SRB3 is not configured, the UE first informs the MN that the message has been received. Then the UE needs to provide the CPC complete message to the SN via the MN upon CPC execution.7) CPC reuses the IE defined for CHO. The field name of the IE could be changed to reflect that the IE is used for both CHO and CPC.S1\_1: While executing CPC procedure, the UE continues to receive RRC reconfiguration from the MN. However, the UE should finalise the ongoing CPC execution before processing the RRC message received from the MN (same as in the conventional PSCell change). i.e. legacy behaviour and no specific UE requirement. S1\_2: As in legacy PSCell change, the UE sends RRCReconfigurationComplete to the MN at execution of CPC when no SRB3 is configured and the MN informs the SN. i.e the complete message to MN includes an embedded complete message to the SN.S1\_3: The UE sends RRCReconfigurationComplete to the MN at configuration of CPC when no SRB3 is configured and the MN informs the SN. i.e. the complete message to the MN includes an embedded complete message to the SN.S1\_4. Upon RLF on PCell during the execution of Conditional PSCell change for intra-SN change without MN involvement, the UE supports the Rel-16 MR-DC procedures, i.e. performs connection re-establishment procedure without any fast MCG link recovery.S1\_5: Support of CHO and CPC-intra-SN configuration simultaneously is not considered in Rel-16. Leave it up to the network solution to ensure there is no simultaneous CHO and CPC configuration. S2\_6: Reconfirm the use of SCG failure information upon declaring SCG failure in the procedure of the conditional PSCell change.S2\_7. When the conditional PSCell configuration received over SRB3 is invalid, UE initiates SCG failure information procedure to report to the MN about the SN change failure due to invalid configuration (legacy procedure).S2\_9. Like CHO, UE shall follow the below procedures for handling the T310 and T304 timers during conditional PSCell addition/change procedure for EN-DC, NGEN-DC, NR-DC cases:• UE shall not stop MN T310 or SN T310 and shall not start T304 when it receives configuration of a CPC-intra-SN • The timer T310 (SN only in case of SN Change) is stopped and timer T304-like is started when the UE begins execution of a CPC-intra-SN. S3\_11. UE checks the validity of conditional PSCell change execution criteria configuration immediately on receiving the conditional PSCell change RRC Reconfiguration message, either embedded in the MN RRC message over SRB1 or received over SRB3 (same as CHO).S3\_12. Introduce no specification changes regarding compliance checking of embedded Reconfiguration message containing configuration of conditional PSCell candidate (same as for CHO).S2\_8 UE performs connection re-establishment procedure or actions upon going to RRC\_IDLE (legacy procedure) when the conditional PSCell configuration received over SRB1 is invalid, i.e. UE cannot comply with the embedded PSCell configuration for intra-SN Change  |
|  |  |
| ***Summary of change:*** | Capture agreements on CPC; |
|  |  |
| ***Consequences if not approved:*** | Conditional PSCell change is missing in stage 3. |
|  |  |
| ***Clauses affected:*** |  |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS 37.340 ... CR TBD ... TS 38.306 ... CR TBD |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** | The CR is based on the agreed baseline R2-2001271 |

**1st change**

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CCCH Common Control Channel

CG Cell Group

CHO Conditional Handover

CMAS Commercial Mobile Alert Service

CP Control Plane

CPC Conditional PSCell Change

C-RNTI Cell RNTI

CSI Channel State Information

**Next change**

### 5.3.5 RRC reconfiguration

#### 5.3.5.1 General



Figure 5.3.5.1-1: RRC reconfiguration, successful



Figure 5.3.5.1-2: RRC reconfiguration, failure

The purpose of this procedure is to modify an RRC connection, e.g. to establish/modify/release RBs, to perform reconfiguration with sync, to setup/modify/release measurements, to add/modify/release SCells and cell groups, to add/modify/release conditional handover configuration, to add/modify/release conditional PSCell change configuration. As part of the procedure, NAS dedicated information may be transferred from the Network to the UE.

RRC reconfiguration to perform reconfiguration with sync includes, but is not limited to, the following cases:

- reconfiguration with sync and security key refresh, involving RA to the PCell/PSCell, MAC reset, refresh of security and re-establishment of RLC and PDCP triggered by explicit L2 indicators;

- reconfiguration with sync but without security key refresh, involving RA to the PCell/PSCell, MAC reset and RLC re-establishment and PDCP data recovery (for AM DRB) triggered by explicit L2 indicators.

In (NG)EN-DC and NR-DC, SRB3 can be used for measurement configuration and reporting, to (re-)configure MAC, RLC, physical layer and RLF timers and constants of the SCG configuration, and to reconfigure PDCP for DRBs associated with the S-KgNB or SRB3, and to reconfigure SDAP for DRBs associated with S-KgNB in NGEN-DC and NR-DC, and to add/modify/release conditional PSCell change configuration, provided that the (re-)configuration does not require any MN involvement. In (NG)EN-DC and NR-DC, only *measConfig*, *radioBearerConfig,conditionalReconfiguration* and/or *secondaryCellGroup* are included in *RRCReconfiguration* received via SRB3.

#### 5.3.5.2 Initiation

The Network may initiate the RRC reconfiguration procedure to a UE in RRC\_CONNECTED. The Network applies the procedure as follows:

- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is performed only when AS security has been activated;

- the addition of Secondary Cell Group and SCells is performed only when AS security has been activated;

- the *reconfigurationWithSync* is included in *secondaryCellGroup* only when at least one DRB is setup in SCG;

- the *reconfigurationWithSync* is included in *masterCellGroup* only when AS security has been activated, and SRB2 with at least one DRB are setup and not suspended.

- the *conditionalReconfiguration*  is included only when AS security has been activated, and SRB2 with at least one DRB are setup and not suspended;

#### 5.3.5.3 Reception of an *RRCReconfiguration* by the UE

The UE shall perform the following actions upon reception of the *RRCReconfiguration,* or upon execution of the conditional configuration (CHO or CPC):

1> if the *RRCReconfiguration* is applied due to a conditional configuration execution upon cell selection while timer T311 is running, as defined in 5.3.7.3:

2> remove all the entries within *VarCHO-Config*, if any;

NOTE: This step is performed so the UE only performs conditional configuration execution while timer T311 is running once for a given failure detection.

1> if the *RRCReconfiguration* includes the *daps-SourceRelease*:

2> reset source MAC and release the source MAC configuration;

2> for each DRB with a DAPS PDCP entity:

3> release the RLC entity and the associated logical channel for the source;

3> reconfigure the PDCP entity to normal PDCP as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source;

3> release the RLC entity and the associated logical channel for the source;

2> release the physical channel configuration for the source;

2> discard the keys used in source (the KgNB key, the S-KgNB key, the S-KeNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the *RRCReconfiguration* is received via other RAT (i.e., inter-RAT handover to NR):

2> if the *RRCReconfiguration* does not include the *fullConfig* and the UE is connected to 5GC (i.e., delta signalling during intra 5GC handover):

3> re-use the source RAT SDAP and PDCP configurations if available (i.e., current SDAP/PDCP configurations for all RBs from source E-UTRA RAT prior to the reception of the inter-RAT HO *RRCReconfiguration* message);

1> else:

2> if the RRCReconfiguration includes the fullConfig:

3> perform the full configuration procedure as specified in 5.3.5.11;

1> if the *RRCReconfiguration* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *masterKeyUpdate*:

2> perform AS security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCReconfiguration* includes the *secondaryCellGroup*:

2> perform the cell group configuration for the SCG according to 5.3.5.5;

1> if the *RRCReconfiguration* includes the *mrdc-SecondaryCellGroupConfig:*

2> if the *mrdc-SecondaryCellGroupConfig* is set to *setup*:

3> if the *mrdc-SecondaryCellGroupConfig* includes *mrdc-ReleaseAndAdd*:

4> perform MR-DC release as specified in clause 5.3.5.10;

3> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

4> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

3> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

4> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

2> else (*mrdc-SecondaryCellGroupConfig* is set to *release*):

3> perform MR-DC release as specified in clause 5.3.5.10;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if the *RRCReconfiguration* message includes the *dedicatedNAS-MessageList*:

2> forward each element of the *dedicatedNAS-MessageList* to upper layers in the same order as listed;

1> if the *RRCReconfiguration* message includes the *dedicatedSIB1-Delivery*:

2> perform the action upon reception of *SIB1* as specified in 5.2.2.4.2;

1> if the *RRCReconfiguration* message includes the *dedicatedSystemInformationDelivery*:

2> perform the action upon reception of System Information as specified in 5.2.2.4;

1> if the *RRCReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.5.9;

1> if the *RRCReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional configuration as specified in 5.3.5.x;

1> set the content of the *RRCReconfigurationComplete* message as follows:

2> if the *RRCReconfiguration* includes the *masterCellGroup* containing the *reportUplinkTxDirectCurrent*; or

2> if the *RRCReconfiguration* includes the *secondaryCellGroup* containing the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each serving cell with UL;

3> if UE is configured with SUL carrier:

4> include *uplinkDirectCurrentBWP-SUL* for each serving cell with SUL within the *uplinkTxDirectCurrentList*;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCReconfiguration* message includes the *mrdc-SecondaryCellGroupConfig* with *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the *RRCReconfigurationComplete* message;

2> if the *RRCReconfiguration* message is stored in the *VarConditional-Config* and included in the *mrdc-SecondaryCellGroupConfig* upon recepetion, and reception via SRB1:

 3> include *RRCReconfigurationComplete* genereated in 5.3.5.x.5.

 3> submit the *RRCReconfigurationComplete* to lower layers for transmissionvia SRB1;

Editor’s note: FFS on whether to inform MN upon the CPC execution if CPC configured via SRB3

1> if the UE is configured with E-UTRA *nr-SecondaryCellGroupConfig* (MCG is E-UTRA):

2> if the *RRCReconfiguration* message was received via SRB1:

3> submit the *RRCReconfigurationComplete* via the E-UTRA MCG embedded in E-UTRA RRC message *RRCConnectionReconfigurationComplete* as specified in TS 36.331 [10];

3> if *reconfigurationWithSync* was included in *spCellConfig* of an SCG:

4> initiate the Random Access procedure on the SpCell, as specified in TS 38.321 [3];

3> else:

4> the procedure ends;

NOTE 1: The order the UE sends the *RRCConnectionReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

2> else (*RRCReconfiguration* was received via SRB3):

3> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

NOTE 2: In (NG)EN-DC and NR-DC, in the case *RRCReconfiguration* is received via SRB1, the random access is triggered by RRC layer itself as there is not necessarily other UL transmission. In the case *RRCReconfiguration* is received via SRB3, the random access is triggered by the MAC layer due to arrival of *RRCReconfigurationComplete*.

1> else if the *RRCReconfiguration* message was received within the *nr-SCG* within *mrdc-SecondaryCellGroup* (NR SCG RRC Reconfiguration):

2> if *reconfigurationWithSync* was included in *spCellConfig* in *nr-SCG*:

3> initiate the Random Access procedure on the PSCell, as specified in TS 38.321 [3];

2> else

3> the procedure ends;

NOTE 2a: The order in which the UE sends the *RRCReconfigurationComplete* message and performs the Random Access procedure towards the SCG is left to UE implementation.

1> else if the *RRCReconfiguration* message was received via SRB3:

2> submit the *RRCReconfigurationComplete* message via SRB3 to lower layers for transmission using the new configuration;

1> else(MCG RRCReconfiguration):

2> submit the *RRCReconfigurationComplete* message via SRB1 to lower layers for transmission using the new configuration;

2> if this is the first *RRCReconfiguration* message after successful completion of the RRC re-establishment procedure:

3> resume SRB2 and DRBs that are suspended;

1> if *reconfigurationWithSync* was included in *spCellConfig* of an MCG or SCG, and when MAC of an NR cell group successfully completes a Random Access procedure triggered above;

2> stop timer T304 for that cell group;

2> stop timer T310 for source if running;

2> apply the parts of the CSI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the respective target SpCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the respective target SpCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of that target SpCell;

2> if the *reconfigurationWithSync* was included in *spCellConfig* of an MCG:

3> if T390 is running:

4> stop timer T390 for all access categories;

4> perform the actions as specified in 5.3.14.4.

3> if *RRCReconfiguration* does not include *dedicatedSIB1-Delivery* and

3> if the active downlink BWP, which is indicated by the *firstActiveDownlinkBWP-Id* for the target SpCell of the MCG, has a common search space configured by *searchSpaceSIB1*:

4> acquire the *SIB1*, which is scheduled as specified in TS 38.213 [13], of the target SpCell of the MCG;

4> upon acquiring *SIB1*, perform the actions specified in clause 5.2.2.4.2;

 2> remove all the entries within *VarConditional-Config*, if any;

2> for each *measId* of the source SpCell configuration, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> if *reconfigurationWithSync* was included in *masterCellGroup*; and

2> if the UE transmitted a *UEAssistanceInformation* message during the last 1 second:

3> initiate transmission of a *UEAssistanceInformation* message with the same contents;

2> the procedure ends.

NOTE 3: The UE is only required to acquire broadcasted *SIB1* if the UE can acquire it without disrupting unicast data reception, i.e. the broadcast and unicast beams are quasi co-located.

**Next change**

#### 5.3.5.x Conditional configuration (ConditionalReconfiguration)

##### 5.3.5.x.1 General

The network configures the UE with one or more candidate target SpCells in the conditional configuration. The UE evaluates the condition of each configured candidate target SpCell. The UE applies the conditional configuration associated with one of the target SpCells which fulfils associated execution condition(s). The network provides the configuration parameters for the target SpCell in the *ConditionalReconfiguration* IE.

The UE performs the following actions based on a received *ConditionalReconfiguration* IE:

1> if the *ConditionalReconfiguration* contains the *condConfigToRemoveList*:

2> perform conditional configuration removal procedure as specified in 5.3.5.x.2;

1> if the *ConditionalReconfiguration* contains the *condConfigAddModList*:

2> perform conditional configuration addition/modification as specified in 5.3.5.x.3;

##### 5.3.5.x.2 Conditional configuration removal

The UE shall:

1> for each *condConfigId* value included in the *condConfigToRemoveList* that is part of the current UE conditional configuration in *VarConditional-Config*:

2> remove the entry with the matching *condConfigId* from the *VarConditional-Config*;

NOTE: The UE does not consider the message as erroneous if the *condConfigToRemoveList* includes any *condConfigId* value that is not part of the current UE configuration.

##### 5.3.5.x.3 Conditional configuration addition/modification

For each *condConfigId* received in the *condConfigToAddModList* IE the UE shall:

1> if an entry with the matching *condConfigId* exists in the *condConfigToAddModList* within the *VarConditionalConfig*:

2> replace the entry with the value received for this *condConfigId*;

2> if the entry entry in *condConfigToAddModList* does not include an *condRRCReconfig*;

3> keep the stored *condRRCReconfig* as the target candidate configuration for this *condConfigId*;

1> else:

2> add a new entry for this *condConfigId* within the *VarConditional-Config*;

1> perform conditional configuration evaluation as specified in 5.3.5.x.4;

##### 5.3.5.x.4 Conditional configuration evaluation

The UE shall:

1> for each *condConfigId* within the *VarConditional-Config*:

2> consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* included in the *reconfigurationWithSync* in the received *condRRCReconfig* to be applicable cell;

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *condExecutionCond* associated to *condConfigId:*

2> if the UE is in (NG)EN-DC or NR-DC and the *condExecutionCond* is configured with *sn-ExecutionCond*, the measId is refered to the *measConfig* configured by SN, or

2> if the UE is in NR-DC or NE-DC or SA and the *condExecutionCond* is configured with *mn-ExecutionCond*, the measId is refered to the *measConfig* configured by MN:

3> if the entry condition(s) applicable for all events associated with the *condConfigId*, i.e. the event(s) corresponding with the *cond-eventId(s)* of the corresponding *condTriggerConfig* within *VarConditional-Config*, are fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the coorsponding *timeToTrigger* defined for this event within the *VarConditional-Config*:

4> consider the entry condition for the associated *measId* within *condTriggerConfig* as fulfilled;

2> if entry conditions for all associated *measId*(s) within *condTriggerConfig* are fulfilled:

4> consider the target candidate cell within the stored *condRRCReconfig*, associated to that *condConfigId*, as a triggered cell;

4> initiate the conditional configuration execution, as specified in 5.3.5.x.5;

Note : up to 2 *MeasId* can be configured for each *condConfigId.* The conditional handover event of the 2 *MeasId* may have the same or different event conditions, triggering quantity, time to trigger, and triggering threshold.

##### 5.3.5.x.5 Conditional configuration execution

The UE shall:

1> if more than one triggered cell exists:

2> select one of the triggered cells as the selected cell for conditional configuration excecution;

1> for the selected cell of conditional configuration execution:2> apply the stored *condRRCReconfig* of the selected cell and perform the actions as specified in 5.3.5.3;

NOTE: If multiple NR cells are triggered in conditional configuration execution, it is up to UE implementation which one to select, e.g. the UE considers beams and beam quality to select one of the triggered cells for execution.

Text Omitted …

**Next change**

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable NR cell, the UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer T311;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if *attemptCHO* is configured; and

1> if the selected cell is one of the candidate cells which the *reconfigurationWithSync* is included in the *masterCellGroup* in *VarConditional-Config*:

2> apply the stored *condRRCReconfig* associated to the selected cell and perform actions as specified in 5.3.5.3;

1> else:

2> if UE is configured with conditionalReconfiguration:

3> release *spCellConfig*, if configured;

2> remove all the entries within *VarConditional-Config*, if any;

2> for each *measId*, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> start timer T301;

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

2> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE 1: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

**Next change**

## 5.5 Measurements

### 5.5.1 Introduction

The network may configure an RRC\_CONNECTED UE to perform measurements. The network may configure the UE to report them in accordance with the measurement configuration or perform conditional configuration evaluation in accordance with the conditional configuration. The measurement configuration is provided by means of dedicated signalling i.e. using the *RRCReconfiguration* or *RRCResume.*

The network may configure the UE to perform the following types of measurements:

- NR measurements;

- Inter-RAT measurements of E-UTRA frequencies.

The network may configure the UE to report the following measurement information based on SS/PBCH block(s):

- Measurement results per SS/PBCH block;

- Measurement results per cell based on SS/PBCH block(s);

- SS/PBCH block(s) indexes.

The network may configure the UE to report the following measurement information based on CSI-RS resources:

- Measurement results per CSI-RS resource;

- Measurement results per cell based on CSI-RS resource(s);

- CSI-RS resource measurement identifiers.

The measurement configuration includes the following parameters:

**1. Measurement objects:** A list of objects on which the UE shall perform the measurements.

- For intra-frequency and inter-frequency measurements a measurement object indicates the frequency/time location and subcarrier spacing of reference signals to be measured. Associated with this measurement object, the network may configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting. Whitelisted cells are the only ones applicable in event evaluation or measurement reporting.

- The *measObjectId* of the MO which corresponds to each serving cell is indicated by *servingCellMO* within the serving cell configuration.

- For inter-RAT E-UTRA measurements a measurement object is a single E-UTRA carrier frequency. Associated with this E-UTRA carrier frequency, the network can configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not applicable in event evaluation or measurement reporting. Whitelisted cells are the only ones applicable in event evaluation or measurement reporting.

**2. Reporting configurations:** A list of reporting configurations where there can be one or multiple reporting configurations per measurement object. Each measurement reporting configuration consists of the following:

- Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.

- RS type: The RS that the UE uses for beam and cell measurement results (SS/PBCH block or CSI-RS).

- Reporting format: The quantities per cell and per beam that the UE includes in the measurement report (e.g. RSRP) and other associated information such as the maximum number of cells and the maximum number beams per cell to report.

In case of conditional configuration triggering configuration, each configuration consists of the following:

- Execution criteria: The criteria that triggers the UE to perform conditional configuration execution.

- RS type: The RS that the UE uses for beam and cell measurement results (SS/PBCH block or CSI-RS) for conditional configuration execution condition.

**3. Measurement identities:** For measurement reporting, a list of measurement identities where each measurement identity links one measurement object with one reporting configuration. By configuring multiple measurement identities, it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is also included in the measurement report that triggered the reporting, serving as a reference to the network. For conditional configuration triggering, one measurement identity links to exactly one conditional configuration trigger. And up to 2 measurement identities can be linked to one conditional configuration execution condition.

**4. Quantity configurations:** The quantity configuration defines the measurement filtering configuration used for all event evaluation and related reporting, and for periodical reporting of that measurement. For NR measurements, the network may configure up to 2 quantity configurations with a reference in the NR measurement object to the configuration that is to be used. In each configuration, different filter coefficients can be configured for different measurement quantities, for different RS types, and for measurements per cell and per beam.

**5. Measurement gaps:** Periods that the UE may use to perform measurements.

Text Omitted …

**Next change**

#### 5.5.2.2 Measurement identity removal

The UE shall:

1> for each *measId* included in the received *measIdToRemoveList* that is part of the current UE configuration in *VarMeasConfig*:

2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> remove the measurement reporting entry for this *measId* from the *VarMeasReportList*, if included;

2> stop the periodical reporting timer or timer T321 or timer T322, whichever one is running, and reset the associated information (e.g. *timeToTrigger*) for this *measId*.

NOTE: The UE does not consider the message as erroneous if the *measIdToRemoveList* includes any *measId* value that is not part of the current UE configuration.

Text Omitted …

**Next change**

### 5.5.3 Performing measurements

#### 5.5.3.1 General

An RRC\_CONNECTED UE shall derive cell measurement results by measuring one or multiple beams associated per cell as configured by the network, as described in 5.5.3.3. For all cell measurement results in RRC\_CONNECTED the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, measurement reporting or the criteria to trigger conditional configuration execution. For cell measurements, the network can configure RSRP, RSRQ or SINR as trigger quantity. Reporting quantities can be any combination of quantities (i.e. only RSRP; only RSRQ; only SINR; RSRP and RSRQ; RSRP and SINR; RSRQ and SINR; RSRP, RSRQ and SINR), irrespective of the trigger quantity. For conditional configuration execution triggering quantities, the network can configure up to 2 quantities.

The network may also configure the UE to report measurement information per beam (which can either be measurement results per beam with respective beam identifier(s) or only beam identifier(s)), derived as described in 5.5.3.3a. If beam measurement information is configured to be included in measurement reports, the UE applies the layer 3 beam filtering as specified in 5.5.3.2. On the other hand, the exact L1 filtering of beam measurements used to derive cell measurement results is implementation dependent.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell for which *servingCellMO* is configured as follows:

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *ssb*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell measurement results based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains an *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *measObject* indicated by the *servingCellMO*:

3> if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* and contains an *rsType* set to *csi-rs*:

4> derive layer 3 filtered RSRP and RSRQ per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell measurement results based on CSI-RS, as described in 5.5.3.3;

1> for each serving cell for which *servingCellMO* is configured, if the *reportConfig* associated with at least one *measId* included in the *measIdList* within *VarMeasConfig* contains SINR as trigger quantity and/or reporting quantity:

2> if the *reportConfig* contains *rsType* set to *ssb* and *ssb-ConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on SS/PBCH block, as described in 5.5.3.3a;

3> derive serving cell SINR based on SS/PBCH block, as described in 5.5.3.3;

2> if the *reportConfig* contains *rsType* set to *csi-rs* and *CSI-RS-ResourceConfigMobility* is configured in the *servingCellMO*:

3> if the *reportConfig*contains a *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport*:

4> derive layer 3 filtered SINR per beam for the serving cell based on CSI-RS, as described in 5.5.3.3a;

3> derive serving cell SINR based on CSI-RS, as described in 5.5.3.3;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *reportType* for the associated *reportConfig* is set to *reportCGI* and timer T321 is running:

3> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods;

3> if the cell indicated by *reportCGI* field for the associated *measObject* is an NR cell and that indicated cell is broadcasting *SIB1* (see TS 38.213 [13], clause 13):

4> try to acquire *SIB1* in the concerned cell;

3> if the cell indicated by *reportCGI* field is an E-UTRA cell:

4> try to acquire *SystemInformationBlockType1* in the concerned cell;

2> if the *reportType* for the associated *reportConfig* is *periodical*, *eventTriggered* or *condTriggerConfig*:

3> if a measurement gap configuration is setup, or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-MeasureConfig* is not configured, or

4> if *s-MeasureConfig* is set to *ssb-RSRP* and the NR SpCell RSRP based on SS/PBCH block, after layer 3 filtering, is lower than *ssb-RSRP,* or

4> if *s-MeasureConfig* is set to *csi-RSRP* and the NR SpCell RSRP based on CSI-RS, after layer 3 filtering, is lower than *csi-RSRP*:

5> if the *measObject* is associated to NR and the *rsType* is set to *csi-rs*:

6> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* for the associated *reportConfig* are configured:

7> derive layer 3 filtered beam measurements only based on CSI-RS for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on CSI-RS for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to NR and the *rsType* is set to *ssb*:

6> if *reportQuantityRS-Indexes* and *maxNrofRS-IndexesToReport* for the associated *reportConfig* are configured:

7> derive layer 3 beam measurements only based on SS/PBCH block for each measurement quantity indicated in *reportQuantityRS-Indexes*, as described in 5.5.3.3a;

6> derive cell measurement results based on SS/PBCH block for the trigger quantity and each measurement quantity indicated in *reportQuantityCell* using parameters from the associated *measObject*, as described in 5.5.3.3;

5> if the *measObject* is associated to E-UTRA:

6> perform the corresponding measurements associated to neighbouring cells on the frequencies indicated in the concerned *measObject*, as described in 5.5.3.2;

2> if the *reportType* for the associated *reportConfig* is set to *reportSFTD*:

3> if the *reportSFTD-Meas* is set to *true:*

4> if the *measObject* is associated to E-UTRA:

5> perform SFTD measurements between the PCell and the E-UTRA PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the E-UTRA PSCell;

4> else if the *measObject* is associated to NR:

5> perform SFTD measurements between the PCell and the NR PSCell;

5> if the *reportRSRP* is set to *true*;

6> perform RSRP measurements for the NR PSCell based on SSB;

3> else if the *reportSFTD-NeighMeas* is included*:*

4> if the *measObject* is associated to NR:

5> if the *drx-SFTD-NeighMeas* is included:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject* using available idle periods;

5> else:

6> perform SFTD measurements between the PCell and the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

5> if the *reportRSRP* is set to *true*:

6> perform RSRP measurements based on SSB for the NR neighbouring cell(s) detected based on parameters in the associated *measObject*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condTriggerConfig*.

NOTE: The evaluation of conditional configuration execution criteria is specified in 5.3.5.x.

**Next change**

#### 5.7.3.3 Failure type determination for (NG)EN-DC

The UE shall set the SCG failure type as follows:

1> if the UE initiates transmission of the *SCGFailureInformationNR* message due to T310 expiry:

2> set the *failureType* as t310-Expiry;

1> else if the UE initiates transmission of the *SCGFailureInformationNR* message to provide reconfiguration with sync failure information for an SCG:

2> set the *failureType* as *synchReconfigFailure-SCG*;

1> else if the UE initiates transmission of the *SCGFailureInformationNR* message to provide random access problem indication from SCG MAC:

2> set the *failureType* as randomAccessProblem;

1> else if the UE initiates transmission of the *SCGFailureInformationNR* message to provide indication from SCG RLC that the maximum number of retransmissions has been reached:

2> set the *failureType* as *rlc-MaxNumRetx*;

1> else if the UE initiates transmission of the *SCGFailureInformationNR* message due to SRB3 integrity check failure:

2> set the *failureType* as *srb3-IntegrityFailure*;

1> else if the UE initiates transmission of the *SCGFailureInformationNR* message due to Reconfiguration failure of NR RRC reconfiguration message:

2> set the *failureType* as *scg-reconfigFailure*.

#### 5.7.3.5 Actions related to transmission of *SCGFailureInformation* message

The UE shall set the contents of the *SCGFailureInformation* message as follows:

1> if the UE initiates transmission of the *SCGFailureInformation* message due to T310 expiry:

2> set the *failureType* as *t310-Expiry*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide reconfiguration with sync failure information for an SCG:

2> set the *failureType* as *synchReconfigFailure-SCG*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide random access problem indication from SCG MAC:

2> set the *failureType* as *randomAccessProblem*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message to provide indication from SCG RLC that the maximum number of retransmissions has been reached:

2> set the *failureType* as *rlc-MaxNumRetx*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message due to SRB3 IP check failure:

2> set the *failureType* as *srb3-IntegrityFailure*;

1> else if the UE initiates transmission of the *SCGFailureInformation* message due to Reconfiguration failure of NR RRC reconfiguration message:

2> set the *failureType* as *scg-reconfigFailure*.

1> include and set *MeasResultSCG*-Failure in accordance with 5.7.3.4;

1> for each *MeasObjectNR* configured by a *MeasConfig* associated with the MCG, and for which measurement results are available:

2> include an entry in *measResultFreqList*;

2> if there is a *measId* configured with the *MeasObjectNR* and a *reportConfig* which has *rsType* set to *ssb*:

3> set *ssbFrequency* in *measResultFreqList* to the value indicated by *ssbFrequency* as included in the *MeasObjectNR*;

2> if there is a *measId* configured with the *MeasObjectNR* and a *reportConfig* which has *rsType* set to *csi-rs*:

3> set *refFreqCSI-RS* in *measResultFreqList* to the value indicated by *refFreqCSI-RS* as included in the associated measurement object;

2> if a serving cell is associated with the *MeasObjectNR*:

3> set *measResultServingCell* in *measResultFreqList* to include the available quantities of the concerned cell and in accordance with the performance requirements in TS 38.133 [14];

2> set the *measResultNeighCellList* in *measResultFreqList* to include the best measured cells, ordered such that the best cell is listed first, and based on measurements collected up to the moment the UE detected the failure, and set its fields as follows;

3> ordering the cells with sorting as follows:

4> based on SS/PBCH block if SS/PBCH block measurement results are available and otherwise based on CSI-RS;

4> using RSRP if RSRP measurement results are available, otherwise using RSRQ if RSRQ measurement results are available, otherwise using SINR;

3> for each neighbour cell included:

4> include the optional fields that are available.

NOTE 1: The measured quantities are filtered by the L3 filter as configured in the mobility measurement configuration. The measurements are based on the time domain measurement resource restriction, if configured. Blacklisted cells are not required to be reported.

NOTE 2: Field *measResultSCG-Failure* is used to report available results for NR frequencies the UE is configured to measure by SCG RRC signalling.

The UE shall submit the *SCGFailureInformation* message to lower layers for transmission.

**Next change**

6.2.2 Message definitions

Text Omitted …

#### – *RRCReconfiguration*

The *RRCReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) and AS security configuration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*RRCReconfiguration message*

-- ASN1START

-- TAG-RRCRECONFIGURATION-START

RRCReconfiguration ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcReconfiguration RRCReconfiguration-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCReconfiguration-IEs ::= SEQUENCE {

 radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

 secondaryCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

 measConfig MeasConfig OPTIONAL, -- Need M

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCReconfiguration-v1530-IEs OPTIONAL

}

RRCReconfiguration-v1530-IEs ::= SEQUENCE {

 masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

 fullConfig ENUMERATED {true} OPTIONAL, -- Cond FullConfig

 dedicatedNAS-MessageList SEQUENCE (SIZE(1..maxDRB)) OF DedicatedNAS-Message OPTIONAL, -- Cond nonHO

 masterKeyUpdate MasterKeyUpdate OPTIONAL, -- Cond MasterKeyChange

 dedicatedSIB1-Delivery OCTET STRING (CONTAINING SIB1) OPTIONAL, -- Need N

 dedicatedSystemInformationDelivery OCTET STRING (CONTAINING SystemInformation) OPTIONAL, -- Need N

 otherConfig OtherConfig OPTIONAL, -- Need M

 nonCriticalExtension RRCReconfiguration-v1540-IEs OPTIONAL

}

RRCReconfiguration-v1540-IEs ::= SEQUENCE {

 otherConfig-v1540 OtherConfig-v1540 OPTIONAL, -- Need M

 nonCriticalExtension RRCReconfiguration-v1560-IEs OPTIONAL

}

RRCReconfiguration-v1560-IEs ::= SEQUENCE {

 mrdc-SecondaryCellGroupConfig SetupRelease { MRDC-SecondaryCellGroupConfig } OPTIONAL, -- Need M

 radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

 sk-Counter SK-Counter OPTIONAL, -- Need N

 nonCriticalExtension RRCReconfiguration-v16xy-IEs-v16xy-IEs {} OPTIONAL

}

RRCReconfiguration-v16xy-IEs ::= SEQUENCE {

 conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need M

 daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need N

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

MRDC-SecondaryCellGroupConfig ::= SEQUENCE {

 mrdc-ReleaseAndAdd ENUMERATED {true} OPTIONAL, -- Need N

 mrdc-SecondaryCellGroup CHOICE {

 nr-SCG OCTET STRING (CONTAINING RRCReconfiguration),

 eutra-SCG OCTET STRING

 }

}

MasterKeyUpdate ::= SEQUENCE {

 keySetChangeIndicator BOOLEAN,

 nextHopChainingCount NextHopChainingCount,

 nas-Container OCTET STRING OPTIONAL, -- Cond securityNASC

 ...

}

-- TAG-RRCRECONFIGURATION-STOP

-- ASN1STOP

|  |
| --- |
| *RRCReconfiguration-IEs* field descriptions |
|  |
| ***conditionalReconfiguration***Configuration of candidate target SpCell(s) and execution condition(s) for conditional handover or conditional PSCell change. For conditional PSCell change, this field may only be present in an *RRCReconfiguration* message for intra-SN PSCell change. The network does not configure a UE with both conditional PCell change and conditional PSCell change simultaneously. The field is absent if *dapsConfig* is configured for any DRB. The network will not configure CPC if CHO is configured for the UE. |
| ***daps-SourceRelease***Indicates that the source is released. |
| ***dedicatedNAS-MessageList***This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list.  |
| ***dedicatedSIB1-Delivery***This field is used to transfer *SIB1* to the UE. The field has the same values as the corresponding configuration in *servingCellConfigCommon*. |
| ***dedicatedSystemInformationDelivery***This field is used to transfer *SIB6*, *SIB7*, *SIB8* to the UE. |
| ***fullConfig***Indicates that the full configuration option is applicable for the *RRCReconfiguration* message for intra-system intra-RAT HO. For inter-RAT HO from E-UTRA to NR, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent if *dapsConfig* is configured for any DRB or when the *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***keySetChangeIndicator***Indicates whether UE shall derive a new KgNB. If *reconfigurationWithSync* is included, value *true* indicates that a KgNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, or N2 handover procedure with KAMF change, as described in TS 33.501 [11] for KgNB re-keying. Value *false* indicates that the new KgNB key is obtained from the current KgNB key or from the NH as described in TS 33.501 [11]. |
| ***masterCellGroup***Configuration of master cell group. |
| ***mrdc-ReleaseAndAdd***This field indicates that the current SCG configuration is released and a new SCG is added at the same time. |
| ***mrdc-SecondaryCellGroup***Includes an RRC message for SCG configuration in NR-DC or NE-DC.For NR-DC (nr-SCG), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup, conditionalReconfiguration* and *measConfig*.For NE-DC (eutra-SCG), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. |
| ***nas-Container***This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS security after inter-system handover to NR. The content is defined in TS 24.501 [23]. |
| ***nextHopChainingCount***Parameter NCC: See TS 33.501 [11] |
| ***otherConfig***Contains configuration related to other configurations. |
| ***radioBearerConfig***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. In EN-DC this field may only be present if the *RRCReconfiguration* is transmitted over SRB3. |
| ***radioBearerConfig2***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***secondaryCellGroup***Configuration of secondary cell group ((NG)EN-DC or NR-DC). This field can only be present in an *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***sk-Counter***A counter used upon initial configuration of S-KgNB or S-KeNB, as well as upon refresh of S-KgNB or S-KeNB. This field is always included either upon initial configuration of an NR SCG or upon configuration of the first RB with *keyToUse* set to *secondary*, whichever happens first. This field is absent if there is neither any NR SCG nor any RB with *keyToUse* set to *secondary*. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *nonHO* | The field is absent in case of reconfiguration with sync within NR or to NR; otherwise it is optionally present, need N. |
| *securityNASC* | This field is mandatory present in case of inter system handover. Otherwise the field is optionally present, need N. |
| *MasterKeyChange* | This field is mandatory present in case *masterCellGroup* includes *ReconfigurationWithSync* and *RadioBearerConfig* includes *SecurityConfig* with *SecurityAlgorithmConfig*, indicating a change of the AS security algorithms associated to the master key. If *ReconfigurationWithSync* is included for other cases, this field is optionally present, need N. Otherwise the field is absent. |
| *FullConfig* | The field is mandatory present in case of inter-system handover from E-UTRA/EPC to NR. It is optionally present, Need N, during reconfiguration with sync and also in first reconfiguration after reestablishment; or for intra-system handover from E-UTRA/5GC to NR. It is absent otherwise. |

**Next change**

### 6.3.2 Radio resource control information elements

Text Omitted …

#### *– CGI-InfoNR*

The IE *CGI-InfoNR* indicates cell access related information, which is reported by the UE as part of report CGI procedure.

***CGI-InfoNR* information element**

-- ASN1START

-- TAG-CGI-INFO-NR-START

CGI-InfoNR ::= SEQUENCE {

 plmn-IdentityInfoList PLMN-IdentityInfoList OPTIONAL,

 frequencyBandList MultiFrequencyBandListNR OPTIONAL,

 noSIB1 SEQUENCE {

 ssb-SubcarrierOffset INTEGER (0..15),

 pdcch-ConfigSIB1 PDCCH-ConfigSIB1

 } OPTIONAL,

 ...

}

-- TAG-CGI-INFO-NR-STOP

-- ASN1STOP

| *CGI-InfoNR* field descriptions |
| --- |
| ***noSIB1***Contains *ssb-SubcarrierOffset* and *pdcch-ConfigSIB1* fields acquired by the UE from *MIB* of the cell for which report CGI procedure was requested by the network in case *SIB1* was not broadcast by the cell. |

#### *– ConditionalReconfiguration*

The IE *ConditionalReconfiguration* is used to add, modify and release the configuration of conditional configuration.

*ConditionalReconfiguration* information element

-- ASN1START

-- TAG-CONDITIONALRECONFIGURATION-START

ConditionalReconfiguration-r16 ::= SEQUENCE {

 attemptCHO-r16 ENUMERATED {true} OPTIONAL, -- Need N

 condConfigToRemoveList-r16 CondConfigToRemoveList-r16 OPTIONAL, -- Need N

 condConfigToAddModList-r16 CondConfigToAddModList-r16 OPTIONAL, -- Need N

 ...

}

CondConfigToRemoveList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCondCells)) OF CondConfigId-r16

-- TAG-CONDITIONALRECONFIGURATION-STOP

-- ASN1STOP

| *CondtionalReconfigurationg* field descriptions |
| --- |
| ***condConfigToAddModList***List of the configuration of candidate SpCells to be added or modified for CHO or CPC. |
| ***condConfigToRemoveList***List of the configuration of candidate SpCells to be removed. When the network removes the stored conditional configuration for a candidate cell, the network releases the measIDs associated to the *condExecutionCond* if it is not used by the *condExecutionCond* of other candidate cells. |

#### *– CondConfigId*

The IE *CondConfigId* is used to identify a CHO or CPC configuration.

*CondConfigId* information element

-- ASN1START

-- TAG-CONDCONFIGID-START

CondConfigId-r16 ::= INTEGER (1.. maxNrofCondCells)

-- TAG-CONDCONFIGID-STOP

-- ASN1STOP

#### *– CondConfigToAddModList*

The IE *CondConfigToAddModList* concerns a list of conditional configurations to add or modify, with for each entry the *condConfigId* and the associated *condCandidateCellConfig*.

*CondConfigToAddModList* information element

-- ASN1START

-- TAG-CONDCONFIGTOADDMODLIST-START

CondConfigToAddModList-r16 ::= SEQUENCE (SIZE (1.. maxNrofCondCells)) OF CondConfigToAddMod-r16

CondConfigToAddMod-r16 ::= SEQUENCE {

 condConfigId-r16 CondConfigId-r16,

 condExecutionCond-r16 SEQUENCE (SIZE (1..2)) OF MeasId,

 condRRCReconfig-r16 OCTET STRING (CONTAINING RRCReconfiguration) OPTIONAL, -- Need S

 ...

}

-- TAG-CONDCONFIGTOADDMODLIST-STOP

-- ASN1STOP

| *CondConfigToAddMod* field descriptions |
| --- |
| ***condExecutionCond***The execution condition that needs to be fulfilled in order to trigger the execution of a conditional configuration. |
| ***condRRCReconfig***The *RRCReconfiguration* message to be applied when the condition(s) are fulfilled. The field is mandatory present when a *condConfigId* is being added. Otherwise, when the *condExecutionCond* associated to a *condConfigId* is being modified it is optionally present and the UE uses the stored value if the field is absent.  |

Text Omitted …

#### – *ReportConfigNR*

The IE *ReportConfigNR* specifies criteria for triggering of an NR measurement reporting event or of a CHO or CPC event. Measurement reporting events and CHO or CPC events are based on cell measurement results, which can either be derived based on SS/PBCH block or CSI-RS. These events are labelled AN with N equal to 1, 2 and so on.

Event A1: Serving becomes better than absolute threshold;

Event A2: Serving becomes worse than absolute threshold;

Event A3: Neighbour becomes amount of offset better than PCell/PSCell;

Event A4: Neighbour becomes better than absolute threshold;

Event A5: PCell/PSCell becomes worse than absolute threshold1 AND Neighbour/SCell becomes better than another absolute threshold2;

Event A6: Neighbour becomes amount of offset better than SCell.

*ReportConfigNR* information element

-- ASN1START

-- TAG-REPORTCONFIGNR-START

ReportConfigNR ::= SEQUENCE {

 reportType CHOICE {

 periodical PeriodicalReportConfig,

 eventTriggered EventTriggerConfig,

 ...,

 reportCGI ReportCGI,

 reportSFTD ReportSFTD-NR,

 condTriggerConfig-r16 CondTriggerConfig-r16

 }

}

ReportCGI ::= SEQUENCE {

 cellForWhichToReportCGI PhysCellId,

 ...

}

ReportSFTD-NR ::= SEQUENCE {

 reportSFTD-Meas BOOLEAN,

 reportRSRP BOOLEAN,

 ...,

 [[

 reportSFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

 drx-SFTD-NeighMeas ENUMERATED {true} OPTIONAL, -- Need R

 cellsForWhichToReportSFTD SEQUENCE (SIZE (1..maxCellSFTD)) OF PhysCellId OPTIONAL -- Need R

 ]]

}

CondTriggerConfig-r16 ::= SEQUENCE {

 condeventId CHOICE {

 cond-eventA3 SEQUENCE {

 a3-Offset MeasTriggerQuantityOffset,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 cond-eventA5 SEQUENCE {

 a5-Threshold1 MeasTriggerQuantity,

 a5-Threshold2 MeasTriggerQuantity,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 ...

 },

 rsType-r16 NR-RS-Type,

 ...

}

EventTriggerConfig::= SEQUENCE {

 eventId CHOICE {

 eventA1 SEQUENCE {

 a1-Threshold MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 eventA2 SEQUENCE {

 a2-Threshold MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger

 },

 eventA3 SEQUENCE {

 a3-Offset MeasTriggerQuantityOffset,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useWhiteCellList BOOLEAN

 },

 eventA4 SEQUENCE {

 a4-Threshold MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useWhiteCellList BOOLEAN

 },

 eventA5 SEQUENCE {

 a5-Threshold1 MeasTriggerQuantity,

 a5-Threshold2 MeasTriggerQuantity,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useWhiteCellList BOOLEAN

 },

 eventA6 SEQUENCE {

 a6-Offset MeasTriggerQuantityOffset,

 reportOnLeave BOOLEAN,

 hysteresis Hysteresis,

 timeToTrigger TimeToTrigger,

 useWhiteCellList BOOLEAN

 },

 ...

 },

 rsType NR-RS-Type,

 reportInterval ReportInterval,

 reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

 reportQuantityCell MeasReportQuantity,

 maxReportCells INTEGER (1..maxCellReport),

 reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

 maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

 includeBeamMeasurements BOOLEAN,

 reportAddNeighMeas ENUMERATED {setup} OPTIONAL, -- Need R

 ... ,

 [[

 useT312-r16 BOOLEAN OPTIONAL -- Need M

 ]]

}

PeriodicalReportConfig ::= SEQUENCE {

 rsType NR-RS-Type,

 reportInterval ReportInterval,

 reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

 reportQuantityCell MeasReportQuantity,

 maxReportCells INTEGER (1..maxCellReport),

 reportQuantityRS-Indexes MeasReportQuantity OPTIONAL, -- Need R

 maxNrofRS-IndexesToReport INTEGER (1..maxNrofIndexesToReport) OPTIONAL, -- Need R

 includeBeamMeasurements BOOLEAN,

 useWhiteCellList BOOLEAN,

 ...

}

NR-RS-Type ::= ENUMERATED {ssb, csi-rs}

MeasTriggerQuantity ::= CHOICE {

 rsrp RSRP-Range,

 rsrq RSRQ-Range,

 sinr SINR-Range

}

MeasTriggerQuantityOffset ::= CHOICE {

 rsrp INTEGER (-30..30),

 rsrq INTEGER (-30..30),

 sinr INTEGER (-30..30)

}

MeasReportQuantity ::= SEQUENCE {

 rsrp BOOLEAN,

 rsrq BOOLEAN,

 sinr BOOLEAN

}

-- TAG-REPORTCONFIGNR-STOP

-- ASN1STOP

Editor’s Note: TBC to define one condTriggerConfig or define cho-TriggerConfig and cpc-TriggerConfig separately?

|  |
| --- |
| *CondTriggerConfig* field descriptions |
| ***a3-Offset***Offset value(s) to be used in NR conditional configuration triggering condition for cond event a3. The actual value is field value \* 0.5 dB. |
| ***a5-Threshold1/ a5-Threshold2***Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR conditional configuration triggering condition for event number a5. In the same *eventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***condEventId***Choice of NR conditional configuration event triggered criteria. |
| ***timeToTrigger***Time during which specific criteria for the event needs to be met in order to execute the conditional configuration execution. |

|  |
| --- |
| *ReportConfigNR* field descriptions |
| ***reportType***Type of the configured measurement report. In EN-DC, network does not configure report of type *reportCGI* using SRB3. The *condTriggerConfig is* used for CHO or CPC configuration. |

|  |
| --- |
| *EventTriggerConfig* field descriptions |
| ***a3-Offset/a6-Offset***Offset value(s) to be used in NR measurement report triggering condition for event a3/a6. The actual value is field value \* 0.5 dB. |
| ***aN-ThresholdM***Threshold value associated to the selected trigger quantity (e.g. RSRP, RSRQ, SINR) per RS Type (e.g. SS/PBCH block, CSI-RS) to be used in NR measurement report triggering condition for event number aN. If multiple thresholds are defined for event number aN, the thresholds are differentiated by M. The network configures aN-Threshold1 only for events A1, A2, A4, A5 and a5-Threshold2 only for event A5. In the same *eventA5*, the network configures the same quantity for the *MeasTriggerQuantity* of the *a5-Threshold1* and for the *MeasTriggerQuantity* of the *a5-Threshold2*. |
| ***eventId***Choice of NR event triggered reporting criteria. |
| ***maxNrofRS-IndexesToReport***Max number of RS indexes to include in the measurement report for A1-A6 events. |
| ***maxReportCells***Max number of non-serving cells to include in the measurement report. |
| ***reportAddNeighMeas***Indicates that the UE shall include the best neighbour cells per serving frequency. |
| ***reportAmount****Number* of measurement reports applicable for *eventTriggered* as well as for *periodical* report types. |
| ***reportOnLeave***Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1. |
| ***reportQuantityCell***The cell measurement quantities to be included in the measurement report. |
| ***reportQuantityRS-Indexes***Indicates which measurement information per RS index the UE shall include in the measurement report. |
| ***timeToTrigger***Time during which specific criteria for the event needs to be met in order to trigger a measurement report or execute the conditional handover or the conditional PSCell change. |
| ***useT312***If value *TRUE* is configured, the UE shall use the timer T312 with the value *t312* as specified in the corresponding *measObjectNR*. If value FALSE is configured, the timer T312 is considered as disabled. Network configures value *TRUE* only if *reportType* is set to *eventTriggered*. |
| ***useWhiteCellList***Indicates whether only the cells included in the white-list of the associated measObject are applicable as specified in 5.5.4.1. |

/\*\*\* Skip unrelated parts\*\*\*/

## 6.4 RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxBandComb INTEGER ::= 65536 -- Maximum number of DL band combinations

maxCellBlack INTEGER ::= 16 -- Maximum number of NR blacklisted cell ranges in SIB3, SIB4

maxCellInter INTEGER ::= 16 -- Maximum number of inter-Freq cells listed in SIB4

maxCellIntra INTEGER ::= 16 -- Maximum number of intra-Freq cells listed in SIB3

maxCellMeasEUTRA INTEGER ::= 32 -- Maximum number of cells in E-UTRAN

maxEARFCN INTEGER ::= 262143 -- Maximum value of E-UTRA carrier frequency

maxEUTRA-CellBlack INTEGER ::= 16 -- Maximum number of E-UTRA blacklisted physical cell identity ranges

 -- in SIB5

maxEUTRA-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxMultiBands INTEGER ::= 8 -- Maximum number of additional frequency bands that a cell belongs to

maxNARFCN INTEGER ::= 3279165 -- Maximum value of NR carrier frequency

maxNR-NS-Pmax INTEGER ::= 8 -- Maximum number of NS and P-Max values per band

maxNrofServingCells INTEGER ::= 32 -- Max number of serving cells (SpCells + SCells)

maxNrofServingCells-1 INTEGER ::= 31 -- Max number of serving cells (SpCell + SCells) per cell group

maxNrofAggregatedCellsPerCellGroup INTEGER ::= 16

maxNrofSCells INTEGER ::= 31 -- Max number of secondary serving cells per cell group

maxNrofCellMeas INTEGER ::= 32 -- Maximum number of entries in each of the cell lists in a measurement

 -- object

maxNrofSS-BlocksToAverage INTEGER ::= 16 -- Max number for the (max) number of SS blocks to average to determine cell

 -- measurement

maxNrofCondCells INTEGER ::= 8 -- Max number of conditional candidate SpCells

maxNrofCSI-RS-ResourcesToAverage INTEGER ::= 16 -- Max number for the (max) number of CSI-RS to average to determine cell

 -- measurement

maxNrofDL-Allocations INTEGER ::= 16 -- Maximum number of PDSCH time domain resource allocations

maxNrofSR-ConfigPerCellGroup INTEGER ::= 8 -- Maximum number of SR configurations per cell group

maxLCG-ID INTEGER ::= 7 -- Maximum value of LCG ID

maxLC-ID INTEGER ::= 32 -- Maximum value of Logical Channel ID

maxNrofTAGs INTEGER ::= 4 -- Maximum number of Timing Advance Groups

maxNrofTAGs-1 INTEGER ::= 3 -- Maximum number of Timing Advance Groups minus 1

maxNrofBWPs INTEGER ::= 4 -- Maximum number of BWPs per serving cell

maxNrofCombIDC INTEGER ::= 128 -- Maximum number of reported MR-DC combinations for IDC

maxNrofSymbols-1 INTEGER ::= 13 -- Maximum index identifying a symbol within a slot (14 symbols, indexed

 -- from 0..13)

maxNrofSlots INTEGER ::= 320 -- Maximum number of slots in a 10 ms period

maxNrofSlots-1 INTEGER ::= 319 -- Maximum number of slots in a 10 ms period minus 1

maxNrofPhysicalResourceBlocks INTEGER ::= 275 -- Maximum number of PRBs

maxNrofPhysicalResourceBlocks-1 INTEGER ::= 274 -- Maximum number of PRBs minus 1

maxNrofPhysicalResourceBlocksPlus1 INTEGER ::= 276 -- Maximum number of PRBs plus 1

maxNrofControlResourceSets-1 INTEGER ::= 11 -- Max number of CoReSets configurable on a serving cell minus 1

maxCoReSetDuration INTEGER ::= 3 -- Max number of OFDM symbols in a control resource set

maxNrofSearchSpaces-1 INTEGER ::= 39 -- Max number of Search Spaces minus 1

maxSFI-DCI-PayloadSize INTEGER ::= 128 -- Max number payload of a DCI scrambled with SFI-RNTI

maxSFI-DCI-PayloadSize-1 INTEGER ::= 127 -- Max number payload of a DCI scrambled with SFI-RNTI minus 1

maxINT-DCI-PayloadSize INTEGER ::= 126 -- Max number payload of a DCI scrambled with INT-RNTI

maxINT-DCI-PayloadSize-1 INTEGER ::= 125 -- Max number payload of a DCI scrambled with INT-RNTI minus 1

maxNrofRateMatchPatterns INTEGER ::= 4 -- Max number of rate matching patterns that may be configured

maxNrofRateMatchPatterns-1 INTEGER ::= 3 -- Max number of rate matching patterns that may be configured minus 1

maxNrofRateMatchPatternsPerGroup INTEGER ::= 8 -- Max number of rate matching patterns that may be configured in one group

maxNrofCSI-ReportConfigurations INTEGER ::= 48 -- Maximum number of report configurations

maxNrofCSI-ReportConfigurations-1 INTEGER ::= 47 -- Maximum number of report configurations minus 1

maxNrofCSI-ResourceConfigurations INTEGER ::= 112 -- Maximum number of resource configurations

maxNrofCSI-ResourceConfigurations-1 INTEGER ::= 111 -- Maximum number of resource configurations minus 1

maxNrofAP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrOfCSI-AperiodicTriggers INTEGER ::= 128 -- Maximum number of triggers for aperiodic CSI reporting

maxNrofReportConfigPerAperiodicTrigger INTEGER ::= 16 -- Maximum number of report configurations per trigger state for aperiodic

 -- reporting

maxNrofNZP-CSI-RS-Resources INTEGER ::= 192 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources

maxNrofNZP-CSI-RS-Resources-1 INTEGER ::= 191 -- Maximum number of Non-Zero-Power (NZP) CSI-RS resources minus 1

maxNrofNZP-CSI-RS-ResourcesPerSet INTEGER ::= 64 -- Maximum number of NZP CSI-RS resources per resource set

maxNrofNZP-CSI-RS-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-RS resources per cell

maxNrofNZP-CSI-RS-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-RS resources per cell minus 1

maxNrofNZP-CSI-RS-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of resource sets per resource configuration

maxNrofNZP-CSI-RS-ResourcesPerConfig INTEGER ::= 128 -- Maximum number of resources per resource configuration

maxNrofZP-CSI-RS-Resources INTEGER ::= 32 -- Maximum number of Zero-Power (ZP) CSI-RS resources

maxNrofZP-CSI-RS-Resources-1 INTEGER ::= 31 -- Maximum number of Zero-Power (ZP) CSI-RS resources minus 1

maxNrofZP-CSI-RS-ResourceSets-1 INTEGER ::= 15

maxNrofZP-CSI-RS-ResourcesPerSet INTEGER ::= 16

maxNrofZP-CSI-RS-ResourceSets INTEGER ::= 16

maxNrofCSI-IM-Resources INTEGER ::= 32 -- Maximum number of CSI-IM resources. See CSI-IM-ResourceMax in 38.214.

maxNrofCSI-IM-Resources-1 INTEGER ::= 31 -- Maximum number of CSI-IM resources minus 1. See CSI-IM-ResourceMax

 -- in 38.214.

maxNrofCSI-IM-ResourcesPerSet INTEGER ::= 8 -- Maximum number of CSI-IM resources per set. See CSI-IM-ResourcePerSetMax

 -- in 38.214

maxNrofCSI-IM-ResourceSets INTEGER ::= 64 -- Maximum number of NZP CSI-IM resources per cell

maxNrofCSI-IM-ResourceSets-1 INTEGER ::= 63 -- Maximum number of NZP CSI-IM resources per cell minus 1

maxNrofCSI-IM-ResourceSetsPerConfig INTEGER ::= 16 -- Maximum number of CSI IM resource sets per resource configuration

maxNrofCSI-SSB-ResourcePerSet INTEGER ::= 64 -- Maximum number of SSB resources in a resource set

maxNrofCSI-SSB-ResourceSets INTEGER ::= 64 -- Maximum number of CSI SSB resource sets per cell

maxNrofCSI-SSB-ResourceSets-1 INTEGER ::= 63 -- Maximum number of CSI SSB resource sets per cell minus 1

maxNrofCSI-SSB-ResourceSetsPerConfig INTEGER ::= 1 -- Maximum number of CSI SSB resource sets per resource configuration

maxNrofFailureDetectionResources INTEGER ::= 10 -- Maximum number of failure detection resources

maxNrofFailureDetectionResources-1 INTEGER ::= 9 -- Maximum number of failure detection resources minus 1

maxNrofObjectId INTEGER ::= 64 -- Maximum number of measurement objects

maxNrofPageRec INTEGER ::= 32 -- Maximum number of page records

maxNrofPCI-Ranges INTEGER ::= 8 -- Maximum number of PCI ranges

maxPLMN INTEGER ::= 12 -- Maximum number of PLMNs broadcast and reported by UE at establisghment

maxNrofCSI-RS-ResourcesRRM INTEGER ::= 96 -- Maximum number of CSI-RS resources for an RRM measurement object

maxNrofCSI-RS-ResourcesRRM-1 INTEGER ::= 95 -- Maximum number of CSI-RS resources for an RRM measurement object minus 1

maxNrofMeasId INTEGER ::= 64 -- Maximum number of configured measurements

maxNrofQuantityConfig INTEGER ::= 2 -- Maximum number of quantity configurations

maxNrofCSI-RS-CellsRRM INTEGER ::= 96 -- Maximum number of cells with CSI-RS resources for an RRM measurement

 -- object

maxNrofSRS-ResourceSets INTEGER ::= 16 -- Maximum number of SRS resource sets in a BWP.

maxNrofSRS-ResourceSets-1 INTEGER ::= 15 -- Maximum number of SRS resource sets in a BWP minus 1.

maxNrofSRS-Resources INTEGER ::= 64 -- Maximum number of SRS resources.

maxNrofSRS-Resources-1 INTEGER ::= 63 -- Maximum number of SRS resources in an SRS resource set minus 1.

maxNrofSRS-ResourcesPerSet INTEGER ::= 16 -- Maximum number of SRS resources in an SRS resource set

maxNrofSRS-TriggerStates-1 INTEGER ::= 3 -- Maximum number of SRS trigger states minus 1, i.e., the largest code

 -- point.

maxNrofSRS-TriggerStates-2 INTEGER ::= 2 -- Maximum number of SRS trigger states minus 2.

maxRAT-CapabilityContainers INTEGER ::= 8 -- Maximum number of interworking RAT containers (incl NR and MRDC)

maxSimultaneousBands INTEGER ::= 32 -- Maximum number of simultaneously aggregated bands

maxNrofSlotFormatCombinationsPerSet INTEGER ::= 512 -- Maximum number of Slot Format Combinations in a SF-Set.

maxNrofSlotFormatCombinationsPerSet-1 INTEGER ::= 511 -- Maximum number of Slot Format Combinations in a SF-Set minus 1.

maxNrofPUCCH-Resources INTEGER ::= 128

maxNrofPUCCH-Resources-1 INTEGER ::= 127

maxNrofPUCCH-ResourceSets INTEGER ::= 4 -- Maximum number of PUCCH Resource Sets

maxNrofPUCCH-ResourceSets-1 INTEGER ::= 3 -- Maximum number of PUCCH Resource Sets minus 1.

maxNrofPUCCH-ResourcesPerSet INTEGER ::= 32 -- Maximum number of PUCCH Resources per PUCCH-ResourceSet

maxNrofPUCCH-P0-PerSet INTEGER ::= 8 -- Maximum number of P0-pucch present in a p0-pucch set

maxNrofPUCCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUCCH power control.

maxNrofPUCCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUCCH power

 -- control minus 1.

maxNrofP0-PUSCH-AlphaSets INTEGER ::= 30 -- Maximum number of P0-pusch-alpha-sets (see 38,213, clause 7.1)

maxNrofP0-PUSCH-AlphaSets-1 INTEGER ::= 29 -- Maximum number of P0-pusch-alpha-sets minus 1 (see 38,213, clause 7.1)

maxNrofPUSCH-PathlossReferenceRSs INTEGER ::= 4 -- Maximum number of RSs used as pathloss reference for PUSCH power control.

maxNrofPUSCH-PathlossReferenceRSs-1 INTEGER ::= 3 -- Maximum number of RSs used as pathloss reference for PUSCH power

 -- control minus 1.

maxNrofNAICS-Entries INTEGER ::= 8 -- Maximum number of supported NAICS capability set

maxBands INTEGER ::= 1024 -- Maximum number of supported bands in UE capability.

maxBandsMRDC INTEGER ::= 1280

maxBandsEUTRA INTEGER ::= 256

maxCellReport INTEGER ::= 8

maxDRB INTEGER ::= 29 -- Maximum number of DRBs (that can be added in DRB-ToAddModLIst).

maxFreq INTEGER ::= 8 -- Max number of frequencies.

maxFreqIDC-MRDC INTEGER ::= 32 -- Maximum number of candidate NR frequencies for MR-DC IDC indication

maxNrofCandidateBeams INTEGER ::= 16 -- Max number of PRACH-ResourceDedicatedBFR that in BFR config.

maxNrofPCIsPerSMTC INTEGER ::= 64 -- Maximun number of PCIs per SMTC.

maxNrofQFIs INTEGER ::= 64

maxNrOfSemiPersistentPUSCH-Triggers INTEGER ::= 64 -- Maximum number of triggers for semi persistent reporting on PUSCH

maxNrofSR-Resources INTEGER ::= 8 -- Maximum number of SR resources per BWP in a cell.

maxNrofSlotFormatsPerCombination INTEGER ::= 256

maxNrofSpatialRelationInfos INTEGER ::= 8

maxNrofIndexesToReport INTEGER ::= 32

maxNrofIndexesToReport2 INTEGER ::= 64

maxNrofSSBs-1 INTEGER ::= 63 -- Maximum number of SSB resources in a resource set minus 1.

maxNrofS-NSSAI INTEGER ::= 8 -- Maximum number of S-NSSAI.

maxNrofTCI-StatesPDCCH INTEGER ::= 64

maxNrofTCI-States INTEGER ::= 128 -- Maximum number of TCI states.

maxNrofTCI-States-1 INTEGER ::= 127 -- Maximum number of TCI states minus 1.

maxNrofUL-Allocations INTEGER ::= 16 -- Maximum number of PUSCH time domain resource allocations.

maxQFI INTEGER ::= 63

maxRA-CSIRS-Resources INTEGER ::= 96

maxRA-OccasionsPerCSIRS INTEGER ::= 64 -- Maximum number of RA occasions for one CSI-RS

maxRA-Occasions-1 INTEGER ::= 511 -- Maximum number of RA occasions in the system

maxRA-SSB-Resources INTEGER ::= 64

maxSCSs INTEGER ::= 5

maxSecondaryCellGroups INTEGER ::= 3

maxNrofServingCellsEUTRA INTEGER ::= 32

maxMBSFN-Allocations INTEGER ::= 8

maxNrofMultiBands INTEGER ::= 8

maxCellSFTD INTEGER ::= 3 -- Maximum number of cells for SFTD reporting

maxReportConfigId INTEGER ::= 64

maxNrofCodebooks INTEGER ::= 16 -- Maximum number of codebooks suppoted by the UE

maxNrofCSI-RS-Resources INTEGER ::= 7 -- Maximum number of codebook resources supported by the UE

maxNrofSRI-PUSCH-Mappings INTEGER ::= 16

maxNrofSRI-PUSCH-Mappings-1 INTEGER ::= 15

maxSIB INTEGER::= 32 -- Maximum number of SIBs

maxSI-Message INTEGER::= 32 -- Maximum number of SI messages

maxPO-perPF INTEGER ::= 4 -- Maximum number of paging occasion per paging frame

maxAccessCat-1 INTEGER ::= 63 -- Maximum number of Access Categories minus 1

maxBarringInfoSet INTEGER ::= 8 -- Maximum number of Access Categories

maxCellEUTRA INTEGER ::= 8 -- Maximum number of E-UTRA cells in SIB list

maxEUTRA-Carrier INTEGER ::= 8 -- Maximum number of E-UTRA carriers in SIB list

maxPLMNIdentities INTEGER ::= 8 -- Maximum number of PLMN identites in RAN area configurations

maxDownlinkFeatureSets INTEGER ::= 1024 -- (for NR DL) Total number of FeatureSets (size of the pool)

maxUplinkFeatureSets INTEGER ::= 1024 -- (for NR UL) Total number of FeatureSets (size of the pool)

maxEUTRA-DL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxEUTRA-UL-FeatureSets INTEGER ::= 256 -- (for E-UTRA) Total number of FeatureSets (size of the pool)

maxFeatureSetsPerBand INTEGER ::= 128 -- (for NR) The number of feature sets associated with one band.

maxPerCC-FeatureSets INTEGER ::= 1024 -- (for NR) Total number of CC-specific FeatureSets (size of the pool)

maxFeatureSetCombinations INTEGER ::= 1024 -- (for MR-DC/NR)Total number of Feature set combinations (size of the

 -- pool)

maxInterRAT-RSTD-Freq INTEGER ::= 3

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-STOP

-- ASN1STOP

**Next change**

## 7.4 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### – *NR-UE-Variables*

This ASN.1 segment is the start of the NR UE variable definitions.

-- ASN1START

-- NR-UE-VARIABLES-START

NR-UE-Variables DEFINITIONS AUTOMATIC TAGS ::=

BEGIN

IMPORTS

 CellIdentity,

 EUTRA-PhysCellId,

 MeasId,

 MeasIdToAddModList,

 MeasObjectToAddModList,

 PhysCellId,

 RNTI-Value,

 ReportConfigToAddModList,

 RSRP-Range,

 QuantityConfig,

 maxNrofCellMeas,

 maxNrofMeasId,

 CondConfigToAddModList-r16

FROM NR-RRC-Definitions;

-- NR-UE-VARIABLES-STOP

-- ASN1STOP

Text Omitted …

– *VarConditionalConfig*

The UE variable *VarConditinoalConfig* includes the accumulated configuration of the conditional handover or conditional PSCell change configurations including the conditional handover or conditional PSCell change execution condition and the stored target candidate SpCell *RRCReconfiguration*.

***VarConditionalConfig UE variable***

-- ASN1START

-- TAG-VARCONDITIONALCONFIG-START

VarConditionalConfig ::= SEQUENCE {

 condConfigList CondConfigToAddModList-r16 OPTIONAL

}

-- TAG-VARCONDITIONALCONFIG-STOP

-- ASN1STOP

Editor’s note: FFS whether separated UE variables are needed for CHO and CPC

Text Omitted …

**Change End**