**3GPP TSG-RAN4 Meeting #116 *R4-2509828***

**Bengaluru, India, 25th - 29th August, 2025**

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
|  |
|  | **38.101-4** | **CR** | **0800** | **rev** | **-** | **Current version:** | **17.17.0** |  |
|  |
| *For* ***HE******LP*** *on using this form: comprehensive instructions can be found at http://www.3gpp.org/Change-Requests.* |
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|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network |  | Core Network |  |

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| --- |
|  |
| ***Title:***  | (NR\_FR1\_35MHz\_45MHz\_BW-Perf) Addition of 35MHz CBW on aggregation level and number of candidates for SDR test parameters |
|  |  |
| ***Source to WG:*** | Keysight Technologies |
| ***Source to TSG:*** | R4 |
|  |  |
| ***Work item code:*** | NR\_FR1\_35MHz\_45MHz\_BW-Perf |  | ***Date:*** | 2025-08-15 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP TR 21.900. | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19) Rel-20 (Release 20)* |
|  |  |
| ***Reason for change:*** | Aggregation level and number of candidates needs to be updated to add CBW 35MHz as it was done in R4-2508678 in RAN4#115 |
|  |  |
| ***Summary of change:*** | Updated aggregation level and number of cadidates for considering CBW 35 MHz in Rel-17 and onwards |
|  |  |
| ***Consequences if not approved:*** | Test configuration for CBW 35MHz will remain incorrect and SDR scenarios will not ensure HARQ feedback in PUSCH for all UL slots |
|  |  |
| ***Clauses affected:*** | 5.5A.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  |  |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** | **x** |  |  Test specifications | TS/TR 38.521-4 CR 1002  |
| ***(show related CRs)*** |  |  |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

## <<< START OF CHANGES >>>

## 5.5A Sustained downlink data rate provided by lower layers

### 5.5A.1 FR1 CA requirements

*<Editor*'*s note: Open issues to be resolved:*

*Whether same requirements apply for FR1 DC>*

The Sustained Data Rate (SDR) requirements in this clause are applicable to the FR1 CA.

The purpose of the test is to verify that the Layer 1 and Layer 2 correctly process in a sustained manner the received packets corresponding to the maximum data rate indicated by UE capabilities*.* The sustained downlink data rate shall be verified in terms of the success rate of delivered PDCP SDU(s) by Layer 2. The test case below specifies the RF conditions and the required success rate of delivered TB by Layer 1 to meet the sustained data rate requirement.

The test parameters are determined by the following procedure:

- Select one CA bandwidth combination among all supported CA configurations and set of per component carrier (CC) UE capabilities among all supported UE capabilities that provides the largest data rate in accordance with clause 4.1.2 of TS 38.306 [14].

- Set of per CC UE capabilities includes channel bandwidth, subcarrier spacing, number of PDSCH MIMO layers, modulation format and scaling factor in accordance with clause 4.1.2 of TS 38.306 [14].

- When there are multiple sets of CA bandwidth combinations and UE capabilities (channel bandwidth, subcarrier spacing, number of MIMO layer, modulation format, scaling factor) with same largest data rate, select one among sets with the smallest aggregated channel bandwidth.

- For UE not capable of 1024QAM (*pdsch-1024QAM-FR1*), for each CC in CA bandwidth combination, use Table 5.5A-5 to determine MCS based on test parameters and indicated UE capabilities.

- For UE capable of 1024QAM (*pdsch-1024QAM-FR1*), for each CC in CA bandwidth combination, use Table 5.5A-6 to determine MCS based on test parameters and indicated UE capabilities if the maximum modulation format is 10. If the maximum modulation format (*supportedModulationOrderDL*) is less than 10, use Table 5.5A-5 to determine MCS based on test parameters and indicated UE capabilities.

The TB success rate shall be higher than 85% when PDSCH is scheduled with MCS defined for the selected CA bandwidth combination and with the downlink physical channel setup according to Annex C.3.1.

The TB success rate is defined as 100%\*NDL\_correct\_rx/ (NDL\_newtx + NDL\_retx), where NDL\_newtx is the number of newly transmitted DL transport blocks, NDL\_retx is the number of retransmitted DL transport blocks, and NDL\_correct\_rx is the number of correctly received DL transport blocks.

The common test parameters are specified in Table 5.5A-1. The parameters specified in Table 5.5A-2 are applicable for tests on FDD CCs and parameters specified in Table 5.5A-3 are applicable for tests on TDD CCs.

Unless otherwise stated, no user data is scheduled on slot #0, 10 and 11 within 20 ms for SCS 15 kHz.

Unless otherwise stated, no user data is scheduled on slot #0, 20 and 21 within 20 ms for SCS 30 kHz.

Table 5.5A-1: Common test parameters for FDD and TDD component carriers

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Value** |
| PDSCH transmission scheme |  | Transmission scheme 1 |
| EPRE ratio of PTRS to PDSCH | dB | N/A |
| Channel bandwidth | MHz | Channel bandwidth from selected CA bandwidth combination |
| Common serving cell parameters | Physical Cell ID |  | 0 |
| SSB position in burst |  | First SSB in Slot #0 |
| SSB periodicity | ms | 20 |
| First DMRS position for Type A PDSCH mapping |  | 2 |
| Cross carrier scheduling |  | Not configured |
| Active DL BWP index |  | 1 |
| Actual carrier configuration | Offset between Point A and the lowest usable subcarrier on this carrier (Note 2) | RBs | 0 |
| Subcarrier spacing | kHz | 15 or 30 |
| DL BWP configuration #1 | RB offset | RBs | 0 |
| Number of contiguous PRB |  | Maximum transmission bandwidth configuration as specified in clause 5.3.2 of TS 38.101-1 [6] for tested channel bandwidth and subcarrier spacing |
| Subcarrier spacing | kHz | 15 or 30 |
| Cyclic prefix |  | Normal |
| PDCCH configuration | Slots for PDCCH monitoring |  | Each slot |
| Symbols with PDCCH |  | Symbols #0 |
| Number of PRBs in CORESET |  | Table 5.5A-4 |
| Number of PDCCH candidates and aggregation levels |  | 2/AL2 for 15 kHz / 5 MHz, 30 kHz / 10 MHz and 30 kHz / 15 MHz2/AL4 for 15 kHz / 10 MHz, 15 kHz / 15 MHz, 30 kHz / 20 MHz, 30 kHz / 25 MHz, 30 kHz / 30 MHz and 30 kHz / 35 MHz2/AL8 for other greater combinations |
| CCE-to-REG mapping type |  | Non-interleaved |
| DCI format |  | 1\_1 |
| TCI State |  | TCI state #1 |
| PDCCH & PDCCH DMRS Precoding configuration |  | For number of TX = 1: No precoding;For Number of Tx = 2:Single Panel Type I, Randomized precoder selection for every REG bundle and updated per slot with equal probability of precoder index 0 and 2For Number of Tx= 4:Single Panel Type I, Randomized precoder selection for every REG bundle and updated per slot with equal probability of i\_1,1 in {1,2,3,5,6,7} and i\_2 in {0,2} |
| PDSCH configuration | Mapping type |  | Type A |
| k0 |  | 0 |
| PDSCH aggregation factor |  | 1 |
| PRB bundling type |  | Static |
| PRB bundling size |  | wideband |
| Resource allocation type |  | Type 0 |
| VRB-to-PRB mapping type |  | Non-interleaved |
| VRB-to-PRB mapping interleaver bundle size |  | N/A |
| PDSCH DMRS configuration | DMRS Type |  | Type 1 |
| Number of additional DMRS |  | 1 |
| Length |  | 1 |
| Antenna ports indexes |  | {1000} for 1 Layer CCs{1000, 1001} for 2 Layers CCs{1000 – 1003} for 4 Layers CCs |
| Number of PDSCH DMRS CDM group(s) without data |  | 1 for 1 layer and 2 layers CCs2 for 4 Layers CCs |
| PTRS configuration |  | PTRS is not configured |
| CSI-RS for tracking | Subcarrier indexes in the PRB used for CSI-RS |  | k0 = 3 for CSI-RS resource 1,2,3,4 |
| OFDM symbols in the PRB used for CSI-RS |  | l0 = 6 for CSI-RS resource 1 and 3l0 = 10 for CSI-RS resource 2 and 4 |
| Number of CSI-RS ports (X) |  | 1 for CSI-RS resource 1,2,3,4 |
| CDM Type |  | 'No CDM' for CSI-RS resource 1,2,3,4 |
| Density (ρ) |  | 3 for CSI-RS resource 1,2,3,4 |
| CSI-RS periodicity | Slots | 15 kHz SCS: 20 for CSI-RS resource 1,2,3,430 kHz SCS: 40 for CSI-RS resource 1,2,3,4 |
| CSI-RS offset | Slots | 15 kHz SCS:10 for CSI-RS resource 1 and 211 for CSI-RS resource 3 and 430 kHz SCS:20 for CSI-RS resource 1 and 221 for CSI-RS resource 3 and 4 |
| Frequency Occupation |  | Start PRB 0Number of PRB = ceil(BWP size /4)\*4 |
| QCL info |  | TCI state #0 |
| NZP CSI-RS for CSI acquisition | Subcarrier indexes in the PRB used for CSI-RS |  | k0 = 4 |
| OFDM symbols in the PRB used for CSI-RS |  | l0 = 12 |
| Number of CSI-RS ports (X) |  | Same as number of transmit antenna |
| CDM Type |  | 'FD-CDM2' |
| Density (ρ) |  | 1 |
| CSI-RS periodicity |  | 15 kHz SCS: 2030 kHz SCS: 40  |
| CSI-RS offset |  | 0 |
| Frequency Occupation |  | Start PRB 0Number of PRB = ceil(BWP size /4)\*4 |
| QCL info |  | TCI state #1 |
| ZP CSI-RS for CSI acquisition | Subcarrier indexes in the PRB used for CSI-RS |  | k0 = 0 |
| OFDM symbols in the PRB used for CSI-RS |  | l0 = 12 |
| Number of CSI-RS ports (X) |  | 4 |
| CDM Type |  | 'FD-CDM2' |
| Density (ρ) |  | 1 |
| CSI-RS periodicity |  | 15 kHz SCS: 2030 kHz SCS: 40 |
| CSI-RS offset |  | 0 |
| Frequency Occupation |  | Start PRB 0Number of PRB = ceil(BWP size/4)\*4 |
| TCI state #0 | Type 1 QCL information  | SSB index |  | SSB #0 |
| QCL Type |  | Type C |
| Type 2 QCL information | SSB index |  | N/A |
| QCL Type |  | N/A |
| TCI state #1 | Type 1 QCL information  | CSI-RS resource |  | CSI-RS resource 1 from 'CSI-RS for tracking' configuration |
| QCL Type |  | Type A |
| Type 2 QCL information | CSI-RS resource |  | N/A |
| QCL Type |  | N/A |
| Maximum number of code block groups for ACK/NACK feedback |  | 1 |
| Maximum number of HARQ transmission |  | 4 |
| PUCCH HARQ ACK spaitial bundling |  | Not configured |
| Redundancy version coding sequence |  | {0,2,3,1} |
| PDSCH & PDSCH DMRS Precoding configuration |  | For number of TX = 1: No precoding;For number of TX > 1: Single Panel Type I; Randomized precoder selection for every PRB bundle and updated per slot, with equal probability of each applicable i1/i2 combination or codebookindex, chosen from section 5.2.2.2.1 of TS 38.214 [12]. |
| Symbols for all unused REs |  | OP.1 FDD as defined in Annex A.5.1.1OP.1 TDD as defined in Annex A.5.2.1 |
| Propagation condition |  | Static propagation conditionNo external noise sources are applied |
| Antenna configuration | 1 layer CCs |  | 1x1 or 1x2 or 1x4 |
| 2 layers CCs |  | 2x2 or 2x4 |
| 4 layers CCs |  | 4x4 |
| Physical signals, channels mapping and precoding |  | As specified in Annex B.4.1 |
| Es | dBm/Hz | -112 for MCS indexes in Table 5.5A-5:-110.5 For 1024QAM MCS indexes in Table 5.5A-6: |
| Note 1: UE assumes that the TCI state for the PDSCH is identical to the TCI state applied for the PDCCH transmissionNote 2: Point A coincides with minimum guard band as specified in Table 5.3.3-1 from TS 38.101-1 [6] for tested channel bandwidth and subcarrier spacing |

Table 5.5A-2: Additional test parameters for FDD CC

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Value** |
| Duplex mode |  | FDD |
| PDSCH configuration | Starting symbol (S)  |  | 1 |
| Length (L) |  | 13 |
| Number of HARQ Processes |  | 4 |
| K1 value |  | 2 |

Table 5.5A-3: Additional test parameters for TDD CC

|  |  |  |
| --- | --- | --- |
| **Parameter** | **Unit** | **Value** |
| Duplex mode |  | TDD |
| PDSCH configuration | Starting symbol (S)  |  | 1 |
| Length (L) |  | 13 |
| Number of HARQ Processes |  | 8 |
| K1 value |  | Specific to each UL-DL pattern |
| TDD UL-DL pattern |  | 15 kHz SCS: FR1.15-130 kHz SCS: FR1.30-1 |
| Note 1: PDSCH is scheduled only on full DL slots |

Table 5.5A-4: Number of PRBs in CORESET

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| SCS (kHz) | 5 MHz | 10 MHz | 15 MHz | 20 MHz | 25 MHz | 30 MHz | 35 MHz | 40 MHz | 45 MHz | 50 MHz | 60 MHz | 80 MHz | 100 MHz |
| 15 | 24 | 48 | 78 | 102 | 132 | 156 | 186 | 216 | 240 | 270 | N/A | N/A | N/A |
| 30 | 6 | 24 | 36 | 48 | 60 | 78 | 90 | 102 | 114 | 132 | 162 | 216 | 270 |

Table 5.5A-5: MCS indexes for indicated UE capabilities

|  |  |  |  |
| --- | --- | --- | --- |
| **Maximum number of PDSCH MIMO layers** | **Maximum modulation format** | **Scaling factor** | **MCS** |
| 1 | 8 | 1 | 26 |
| 1 | 8 | 0.8 | 21 |
| 1 | 8 | 0.75 | 20 |
| 1 | 8 | 0.4 | 11 |
| 1 | 6 | 1 | 27 |
| 1 | 6 | 0.8 | 23 |
| 1 | 6 | 0.75 | 22 |
| 1 | 6 | 0.4 | 14 |
| 1 | 4 | 1 | 16 |
| 1 | 4 | 0.8 | 16 |
| 1 | 4 | 0.75 | 16 |
| 1 | 4 | 0.4 | 10 |
| 1 | 2 | 1 | 9 |
| 1 | 2 | 0.8 | 9 |
| 1 | 2 | 0.75 | 9 |
| 1 | 2 | 0.4 | 4 |
| 2 | 8 | 1 | 26 |
| 2 | 8 | 0.8 | 21 |
| 2 | 8 | 0.75 | 20 |
| 2 | 8 | 0.4 | 11 |
| 2 | 6 | 1 | 27 |
| 2 | 6 | 0.8 | 23 |
| 2 | 6 | 0.75 | 22 |
| 2 | 6 | 0.4 | 14 |
| 2 | 4 | 1 | 16 |
| 2 | 4 | 0.8 | 16 |
| 2 | 4 | 0.75 | 16 |
| 2 | 4 | 0.4 | 10 |
| 2 | 2 | 1 | 9 |
| 2 | 2 | 0.8 | 9 |
| 2 | 2 | 0.75 | 9 |
| 2 | 2 | 0.4 | 4 |
| 4 | 8 | 1 | 26 |
| 4 | 8 | 0.8 | 23 |
| 4 | 8 | 0.75 | 22 |
| 4 | 8 | 0.4 | 12 |
| 4 | 6 | 1 | 27 |
| 4 | 6 | 0.8 | 24 |
| 4 | 6 | 0.75 | 23 |
| 4 | 6 | 0.4 | 14 |
| 4 | 4 | 1 | 16 |
| 4 | 4 | 0.8 | 16 |
| 4 | 4 | 0.75 | 16 |
| 4 | 4 | 0.4 | 11 |
| 4 | 2 | 1 | 9 |
| 4 | 2 | 0.8 | 9 |
| 4 | 2 | 0.75 | 9 |
| 4 | 2 | 0.4 | 5 |
| Note 1: MCS Index for maximum modulation format 2,4 and 6 is based on MCS index Table 1 defined in clause 5.1.3.1 of TS 38.214 [12]Note 2: MCS Index for maximum modulation format 8 is based on MCS index Table 2 defined in clause 5.1.3.1 of TS 38.214 [12] |

Table 5.5A-6: 1024QAM MCS indexes for indicated UE capabilities

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Supported RXantenna ports | Maximum number of PDSCH MIMO layers | Maximum modulation format | Scaling factor | MCS |
| 2RX | 1 | 10 | 1 | 23 |
|  | 1 | 10 | 0.8 | 21 |
|  | 1 | 10 | 0.75 | 19 |
|  | 1 | 10 | 0.4 | 9 |
| 4RX | 1 | 10 | 1 | 24 |
|  | 1 | 10 | 0.8 | 21 |
|  | 1 | 10 | 0.75 | 19 |
|  | 1 | 10 | 0.4 | 9 |
|  | 2 | 10 | 1 | 23 |
|  | 2 | 10 | 0.8 | 21 |
|  | 2 | 10 | 0.75 | 19 |
|  | 2 | 10 | 0.4 | 9 |
| Note 1: MCS Index for maximum modulation format 10 is based on MCS index Table 4 defined in clause 5.1.3.1 of TS 38.214 [12]Note 2: For the band(s) on which UE supporting “Maximum modulation format” of 10, with 2 RX and 2 MIMO layers, the MCS index is derived from the rows with “Maximum modulation format” of 8 in Table 5.5A-5 |

## <<< END OF CHANGES >>>