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# Introduction

This contribution provides TP to BL CR to 38.473 on support of multi-hop relay for the CP procedure.

# 3 Definitions and abbreviations

## 3.1 Definitions

**elementary procedure:** F1AP consists of Elementary Procedures (EPs). An Elementary Procedure is a unit of interaction between gNB-CU and gNB-DU. These Elementary Procedures are defined separately and are intended to be used to build up complete sequences in a flexible manner. If the independence between some EPs is restricted, it is described under the relevant EP description. Unless otherwise stated by the restrictions, the EPs may be invoked independently of each other as standalone procedures, which can be active in parallel. The usage of several F1AP EPs together is specified in stage 2 specifications (e.g., TS 38.470 [2]).

An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- **Class 1:** Elementary Procedures with response (success and/or failure).

- **Class 2:** Elementary Procedures without response.

For Class 1 EPs, the types of responses can be as follows:

Successful:

- A signalling message explicitly indicates that the elementary procedure successfully completed with the receipt of the response.

Unsuccessful:

- A signalling message explicitly indicates that the EP failed.

- On time supervision expiry (i.e., absence of expected response).

Successful and Unsuccessful:

- One signalling message reports both successful and unsuccessful outcome for the different included requests. The response message used is the one defined for successful outcome.

Class 2 EPs are considered always successful.

**BH RLC channel:** as defined in TS 38.300 [6].

**CG-SDT-CS-RNTI:** as defined in TS 38.300 [6].

**Child UE:** as defined in TS 38.300 [6].

**Complete candidate configuration**: one type of a candidate configuration as defined in TS 38.331 [8].

**Conditional handover:** as defined in TS 38.300 [6].

**Conditional PSCell Addition:** as defined in TS 37.340 [7].

**Conditional PSCell Change:** as defined in TS 37.340 [7].

**DAPS Handover**: as defined in TS 38.300 [6].

**EN-DC operation:** Used in this specification when the F1AP is applied for gNB-CU and gNB-DU in E-UTRAN.

**F1-terminating IAB-donor**: as defined in TS 38.401 [4].

**First U2N Relay UE:** as defined in TS 38.300 [6].

**gNB:** as defined in TS 38.300 [6].

**gNB-CU:** as defined in TS 38.401 [4].

**gNB-CU UE F1AP ID:** as defined in TS 38.401 [4].

**gNB-DU:** as defined in TS 38.401 [4].

**gNB-DU UE F1AP ID:** as defined in TS 38.401 [4].

**en-gNB:** as defined in TS 37.340 [7].

**IAB-DU**: as defined in TS 38.300 [6].

**IAB-MT**: as defined in TS 38.300 [6].

**IAB-donor**:as defined in TS 38.300 [6].

**IAB-donor-CU**: as defined in TS 38.401 [4].

**IAB-donor-DU**: as defined in TS 38.401 [4].

**IAB-node**: as defined in TS 38.300 [6].

**Intermediate U2N Relay UE**:as defined in TS 38.300 [6].

**Last U2N Relay UE**: as defined in TS 38.300 [6].

**MBS-associated signalling:** When F1AP messages associated to one MBS session uses the MBS-associated logical F1-connection for association of the message to the MBS session in gNB-DU and gNB-CU.

**MBS-associated logical F1-connection:** The MBS-associated logical F1-connection uses the identities *GNB-CU MBS F1AP ID* and *GNB-DU MBS F1AP ID* according to the definition in TS 38.401 [4]. For a received MBS-associated F1AP message thegNB-CU identifies the associated MBS session based on the *GNB-CU MBS F1AP ID* IE and the gNB-DU identifies the associated MBS session based on the *GNB-DU MBS F1AP ID* IE*.*

**MBS Session context in a gNB-DU:** as defined in TS 38.401 [4].

**MBS session resource**: as defined in TS 38.401 [4].

**Mobile IAB-DU**: as defined in TS 38.300 [6].

**Mobile IAB-MT**: as defined in TS 38.300 [6].

**Mobile IAB-node**: as defined in TS 38.300 [6].

**MP Relay UE**: as defined in TS 38.300 [6].

**MP Remote UE**: as defined in TS 38.300 [6].

**Multi-path**: as defined in TS 38.300 [6].

**Multicast F1-U Context:** as defined in TS 38.401 [4].

**Other SI:** as defined in TS 38.300 [6].

**Parent UE**:as defined in TS 38.300 [6].

**PC5 Relay RLC channel:** as defined in TS 38.300 [6].

**Public network integrated NPN:** as defined in TS 23.501 [21].

**RRC-terminating IAB-donor:** as defined in TS 38.401 [4].

**SRAP:** Sidelink relay adaptation protocol, as defined in TS 38.300 [6].

**Stand-alone Non-Public Network**: as defined in TS 23.501 [21].

**UE-associated logical F1-connection:** The UE-associated logical F1-connection uses the identities *GNB-CU UE F1AP ID* and *GNB-DU UE F1AP ID* according to the definition in TS 38.401 [4]. For a received UE associated F1AP message thegNB-CU identifies the associated UE based on the *GNB-CU UE F1AP ID* IE and the gNB-DU identifies the associated UE based on the *GNB-DU UE F1AP ID* IE*.* The UE-associated logical F1-connection may exist before the F1 UE context is setup in gNB-DU.

**UE-associated signalling:** When F1AP messages associated to one UE uses the UE-associated logical F1-connection for association of the message to the UE in gNB-DU and gNB-CU.

**U2N Relay UE:** as defined in TS 38.300 [6].

**U2N Remote UE:** as defined in TS 38.300 [6].

**U2U Relay UE**: as defined in TS 38.300 [6].

**U2U Remote UE**: as defined in TS 38.300 [6].

**Uu Relay RLC channel:** as defined in TS 38.300 [6].

[snip]

### 8.3.4 UE Context Modification (gNB-CU initiated)

#### 8.3.4.1 General

The purpose of the UE Context Modification procedure is to modify the established UE Context, e.g., establishing, modifying and releasing radio resources or sidelink resources. This procedure is also used to command the gNB-DU to stop data transmission for the UE for mobility (see TS 38.401 [4]). The procedure uses UE-associated signalling.

#### 8.3.4.2 Successful Operation



Figure 8.3.4.2-1: UE Context Modification procedure. Successful operation

The UE CONTEXT MODIFICATION REQUEST message is initiated by the gNB-CU.

Upon reception of the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall perform the modifications, and if successful reports the update in the UE CONTEXT MODIFICATION RESPONSE message.

If the *SpCell ID* IE is included in the UE CONTEXT MODIFICATION REQUEST message and neither the *LTM Information Modify* IE nor the *Conditional Intra-DU Mobility Information* IE is present, the gNB-DU shall replace any previously received value and regard it as a reconfiguration with sync as defined in TS 38.331 [8]. If the *ServCellIndex* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall take this into account for the indicated SpCell. If the *SpCell UL Configured* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall configure UL for the indicated SpCell accordingly. If the *servingCellMO* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall configure servingCellMO for the indicated SpCell accordingly. If the *servingCellMO List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, configure servingCellMO after determining the list of BWPs for the UE and include the list of servingCellMOs that have been encoded in *CellGroupConfig* IE as *ServingCellMO-encoded-in-CGC List* IE in theUE CONTEXT MODIFICATION RESPONSE message.

If the *Configured BWP List* IE is included in the UE CONTEXT MODIFICATION RESPONSE message the gNB-CU shall, if supported, take it into account when requesting the gNB-DU for generating preconfigured measurement GAP for the indicated BWPs.

If the *Preconfigured Measurement GAP Request* IE is present in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATON REQUEST message, the gNB-DU shall, if supported, consider that the content of the previous *CellGroupConfig* IE was not sent to the UE and generate the pre-configured measurement GAP for the indicated BWPs in the *MeasConfig* IE. If the gNB-DU successfully generates pre-configured measurement GAP for the indicated BWPs, the gNB-DU shall update the *CellGroupConfig* IE with the content of the previous *CellGroupConfig* IE and the preconfigured measurement GAP configuration in the UE CONTEXT MODIFICATION RESPONSE message.

If the *SCell To Be Setup List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall consider it as a list of candidate SCells to be set up. If the *SCell To Be Setup List* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the indicated SCell(s) are already setup, the gNB-DU shall replace any previously received value. If the *SCell UL Configured* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall configure UL for the indicated SCell accordingly. If the *servingCellMO* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall configure servingCellMO for the indicated SCell accordingly.

If the *SCell To Be Removed List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall consider it as a list of SCells to be removed.

If the *DRX Cycle* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall use the provided value from the gNB-CU. If the *DRX configuration indicator* IE is contained in the UE CONTEXT MODIFICATION REQUEST message and set to "release", the gNB-DU shall release DRX configuration.

If the *Non-Integer DRX Cycle* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use the provided value from the gNB-CU.

If the *SL* *DRX Cycle list* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use the provided value from the gNB-CU for the indicated RX UE of this UE. If the *SL DRX configuration indicator* IE is contained in the UE CONTEXT MODIFICATION REQUEST message and set to "release", the gNB-DU shall, if supported, release SL DRX configuration for the indicated RX UE of this UE.

If the *SRB To Be Setup List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall act as specified in the TS 38.401 [4], and replace any previously received value. If *Duplication Indication* IE is contained in the *SRB To Be Setup List* IE, the gNB-DU shall, if supported, setup two RLC entities for the indicated SRB if the value is set to be "true", or delete the RLC entity of secondary path if the value is set to be "false". If the *Additional* *Duplication Indication* IE is contained in the *SRB To Be Setup List* IE, the gNB-DU shall, if supported, setup the indicated RLC entities for the indicated SRB. If the *SRB Mapping Info* IE is contained in the *SRB To Be Setup List* IE, the gNB-DU shall, if supported, store the mapping information indicated in the *SRB Mapping Info* IE for the SRB identified by the *SRB ID* IE and the Uu Relay RLC channel identified by the *SRB Mapping Info*. The gNB-DU shall use the mapping information stored for the mapping of SRB data to Uu Relay RLC channel. If the *Path Addition Information* IE and the *SRB Mapping Info* IE are both contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, setup one RLC entity if necessary for the direct path and map the indicated SRB to the Uu Relay RLC channel based on the *SRB Mapping Info* IE. If the *Duplication Indication* IE and *SRB Mapping Info* IE are both contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, setup one RLC entity for the direct path if the value is set to be "true", and map the indicated SRB to the Uu Relay RLC channel based on the *SRB Mapping Info* IE. If the *Additional* *Duplication Indication* IE and *SRB Mapping Info* IE are both contained in the *SRB To Be Setup List* IE, the gNB-DU shall, if supported, setup the indicated RLC entities for the indicated SRB, and map the indicated SRB to the Uu Relay RLC channel based on the *SRB Mapping Info* IE. The number of RLC entities to be set up is the indicated value of *Additional* *Duplication Indication* IE minus 1.

If the *DRB To Be Setup List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall act as specified in the TS 38.401 [4]. If the *DRB Mapping Info* IE is contained in the *DRB To Be Setup List* IE, the gNB-DU shall, if supported, store the mapping information indicated in the *DRB Mapping Info* IE, if present, for the DRB identified by the *DRB ID* IE and the Uu Relay RLC channel identified by the *DRB Mapping Info*. The gNB-DU shall use the mapping information stored for the mapping of DRB data to Uu Relay RLC channel.

If the *PSI based SDU Discard UL* IE is included in the *DRB To Be Setup List* IE or the *DRB To Be Modified List* IE, the gNB-DU shall, if supported, take it into account to perform UL PSI based SDU discarding activation or deactivation for the indicated DRB as defined in TS 38.321 [16].

If the *BH Information* IE is included in the *UL UP TNL Information to be setup List* IE or the *Additional PDCP Duplication TNL List* IE for a DRB, the gNB-DU shall, if supported, use the indicated BAP Routing ID and BH RLC channel for transmission of the corresponding GTP-U packets to the IAB-donor, as specified in TS 38.340 [30].

If the *BH RLC Channel To Be Setup List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall act as specified in TS 38.401 [4]. If the *Traffic Mapping Information* IE is included in the *BH RLC Channel To Be Setup Item IEs* IE for a BH RLC Channel, the gNB-DU shall, if supported, process the *Traffic Mapping Information* IE following the behaviour described for the UE Context Setup procedure.

If the *BH RLC Channel To Be Modified List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall act as specified in TS 38.401 [4]. If the *Traffic Mapping Information* IE is included in the *BH RLC Channel To Be Modified Item IEs* IE for a BH RLC Channel, the gNB-DU shall, if supported, process the *Traffic Mapping Information* IE following the behaviour described for the UE Context Setup procedure.

If the *BH RLC Channel To Be Released List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall release the BH RLC channels in the list.

If two *UL UP TNL Information* IEs are included and the *DRB Mapping Info* IE is not contained in UE CONTEXT MODIFICATION REQUEST message for a DRB, the gNB-DU shall include two *DL UP TNL Information* IEs in UE CONTEXT MODIFICATION RESPONSE message and setup two RLC entities for the indicated DRB. If the *UL UP TNL Information* IE with the *DRB Mapping Info* IE and the *UL UP TNL Information* IE without the *DRB Mapping Info* IE are both contained in the UE CONTEXT MODIFICATION REQUEST message for a DRB, the gNB-DU shall, if supported, include two *DL UP TNL Information* IEs in UE CONTEXT MODIFICATION RESPONSE message, setup one RLC entity for the *UL UP TNL Information* IE without the *DRB Mapping Info* IE, and map the indicated DRB to the Uu Relay RLC channel based on the *DRB Mapping Info* IE. gNB-CU and gNB-DU use the *UL UP TNL Information* IEs and *DL UP TNL Information* IEs to support packet duplication for intra-gNB-DU CA and multi-path relay as defined in TS 38.470 [2]. The first *UP TNL Information* IE of the two *UP TNL Information* IEs is for the primary path*.*

If one or two *Additional PDCP Duplication UP TNL Information* IEs are included in the UE CONTEXT MODIFICATION REQUEST message for a DRB, the gNB-DU shall, if supported, include one or two *Additional PDCP Duplication UP TNL Information* IEs in the UE CONTEXT MODIFICATION RESPONSE message and setup one or two additional RLC entities for the indicated DRB. The gNB-CU and the gNB-DU use the *Additional PDCP Duplication UP TNL Information* IEs to support packet duplication for intra-gNB-DU CA and multi-path relay as defined in TS 38.470 [2]*.*

If *Duplication Activation* IE is included in the UE CONTEXT MODIFICATION REQUEST message for a DRB, the gNB-DU should take it into account when activating/deactivating CA based PDCP duplication or multi-path relay based PDCP duplication for the DRB. If the *RLC Duplication State List* IE is included in the *RLC Duplication Information* IE contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account for the DRB with more than two RLC entities.

If *DC Based Duplication Configured* IE is included in the UE CONTEXT MODIFICATION REQUEST message for a DRB, the gNB-DU shall regard that DC based PDCP duplication is configured for this DRB if the value is set to be "true" and it should take the responsibility of PDCP duplication activation/deactivation. Otherwise, the gNB-DU shall regard that DC based PDCP duplication is de-configured for this DRB id the value is set to be "false", and it should stop PDCP duplication activation/deactivation by MAC CE. If *DC Based Duplication Activation* IE is included in the UE CONTEXT MODIFICATION REQUEST message for a DRB, the gNB-DU should take it into account when activating/deactivating DC based PDCP duplication for this DRB. If the *RLC Duplication State List* IE is included in the *RLC Duplication Information* IE contained in the UE CONTEXT MODIFICATION REQUEST message for a DRB, the gNB-DU shall, if supported, take it into account when activating/deactivating DC based PDCP duplication for the DRB with more than two RLC entities. If the *Primary Path Indication* IE is included in the *RLC Duplication Information* IE, the gNB-DU shall, if supported, take it into account when performing DC based PDCP duplication for the DRB with more than two RLC entities.

For a certain DRB which was allocated with two GTP-U tunnels, if such DRB is modified and given one GTP-U tunnel via the UE Context Modification procedure, the gNB-DU shall consider that the CA based PDCP duplication or multi-path relay based PDCP duplication for the concerned DRB is de-configured. If such UE Context Modification procedure occurs, the *Duplication Activation* IE shall not be included for the concerned DRB.

If the *UL Configuration* IE in *DRB to Be Setup Item* IE or *DRB to Be Modified* *Item* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall take it into account for UL scheduling.

If the *RRC Reconfiguration Complete Indicator* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall consider the ongoing reconfiguration procedure involving changes of the L1/L2 configuration at the gNB-DU signalled to the gNB-CU via the *CellGroupConfig* IE for MR-DC operation or standalone operation has been successfully performed when such IE is set to ‘true’; otherwise (when such IE is set to ‘failure’), the gNB-DU shall consider the ongoing reconfiguration procedure has been failed and it shall continue to use the old L1/L2 configuration.

If *DL PDCP SN* *length* IE is included in the UE CONTEXT MODIFICATION REQUEST message for a DRB, gNB-DU shall, if supported, store this information and use it for lower layer configuration.

If *UL PDCP SN length* IE is included in the UE CONTEXT MODIFICATION REQUEST message for a DRB, gNB-DU shall, if supported, store this information and use it for lower layer configuration.

If the *RLC Failure Indication* IE is included in UE CONTEXT MODIFICATION REQUEST message, the gNB-DU should consider that the RLC entity indicated by such IE needs to be re-established when the CA-based packet duplication is active, and the gNB-DU may include the *Associated SCell List* IE in UE CONTEXT MODIFICATION RESPONSE by containing a list of SCell(s) associated with the RLC entity indicated by the *RLC Failure Indication* IE.

If the UE CONTEXT MODIFICATION REQUEST message contains the *RRC-Container* IE, the gNB-DU shall send the corresponding RRC message to the UE. If the UE CONTEXT MODIFICATION REQUEST message includes the *Execute Duplication* IE, the gNB-DU shall perform CA based duplication or multi-path relay based duplication, if configured, for the SRB for the included *RRC-Container* IE.

If the UE CONTEXT MODIFICATION REQUEST message contains the *Transmission Action Indicator* IE, the gNB-DU shall stop or restart (if already stopped) data transmission for the UE, according to the value of this IE. It is up to gNB-DU implementation when to stop or restart the UE scheduling.

For EN-DC operation, if the *DRB to Be Setup List* IE is present in the UE CONTEXT MODIFICATION REQUEST message the gNB-CU shall include the *E-UTRAN QoS* IE. The allocation of resources according to the values of the *Allocation and Retention Priority* IE included in the *E-UTRAN QoS* IE shall follow the principles described for the E-RAB Setup procedure in TS 36.413 [15]. For NG-RAN operation, the gNB-CU shall include the *DRB Information* IE in the UE CONTEXT MODIFICATION REQUEST message.

If the gNB-CU includes the SMTC information of the measured frequency(ies) in the *MeasurementTimingConfiguration* IE of the *CU to DU RRC Information* IE that is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall generate the measurement gaps based on the received SMTC information. Then the gNB-DU shall send the measurement gaps information to the gNB-CU in the *MeasGapConfig* IE of the *DU to CU RRC Information* IE that is included in the UE CONTEXT MODIFICATION RESPONSE message.

If the *MeasConfig* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall deduce that changes to the measurements’ configuration need to be applied. The gNB-DU shall take the received info, e.g. the *measObjectToAddModList* IE, and/or the *measObjectToRemoveList* IE into account, when generating measurement gap and when deciding if a measurement gap is needed or not.

If the *NeedForGapsInfoNR* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as described in TS 38.331 [8]. If the *NeedForGapNCSG-InfoNR* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as described in TS 38.331 [8]. If the *NeedForGapNCSG-InfoEUTRA* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as described in TS 38.331 [8]. If the *NeedForInterruptionInfoNR* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as described in TS 38.331 [8].

For DC operation, if the gNB-CU includes the *CG-Config* IE in the *CU to DU RRC Information* IE that is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU may initiate low layer parameters coordination taking this information into account.

For sidelink operation, the *CG-ConfigInfo* IE shall be included in the *CU to DU RRC Information* IE if the gNB-CU receives sidelink related UE information from UE. If the *CG-ConfigInfo* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall regard it as an indication of V2X sidelink information or NR sidelink information as defined in TS 38.331 [8].

For EN-DC operation, if the gNB-CU includes the *Resource Coordination Transfer Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it for the purpose of resource coordination. If the gNB-CU received the MeNB Resource Coordination Information as defined in TS 36.423 [9], after completion of UE Context Setup procedures, the gNB-CU shall transparently transfer it to the gNB-DU via the *Resource Coordination Transfer Container* IE in the UE CONTEXT MODIFICATION REQUEST message. The gNB-DU shall use the information received in the *Resource Coordination Transfer Container* IE for reception of MeNB Resource Coordination Information at the gNB acting as secondary node as described in TS 36.423 [9]. If the *Resource Coordination E-UTRA Cell Information* IE is included in the *Resource Coordination Transfer Information* IE, the gNB-DU shall store the information replacing previously received information for the same E-UTRA cell, and use the stored information for the purpose of resource coordination. If the *Ignore PRACH Configuration* IE is present and set to "true" the *E-UTRA PRACH Configuration* IE in the UE CONTEXT MODIFICATION REQUEST message shall be ignored.

For NGEN-DC or NE-DC operation, if the gNB-CU includes the *Resource Coordination Transfer Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it for the purpose of resource coordination. If the gNB-CU received the MR-DC Resource Coordination Information as defined in TS 38.423 [28], after completion of UE Context Setup procedures, the gNB-CU shall transparently transfer it to the gNB-DU via the *Resource Coordination Transfer Container* IE in the UE CONTEXT MODIFICATION REQUEST message. The gNB-DU shall use the information received in the *Resource Coordination Transfer Container* IE for reception of MR-DC Resource Coordination Information at the gNB as described in TS 38.423 [28].

For EN-DC operation, and if the *Subscriber Profile ID* *for RAT/Frequency priority* IE is received from an MeNB, the UE CONTEXT MODIFICTION REQUEST message shall contain the *Subscriber Profile ID* *for RAT/Frequency priority* IE. If the *Additional RRM Policy Index* IE is received from an MeNB, the UE CONTEXT MODIFICATION REQUEST message shall, if supported, contain the *Additional RRM Policy Index* IE. The gNB-DU shall store the received Subscriber Profile ID for RAT/Frequency priority in the UE context and use it as defined in TS 36.300 [20]. The gNB-DU shall, if supported, store the received Additional RRM Policy Index in the UE context and use it as defined in TS 36.300 [20].

If the *Index to RAT/Frequency Selection Priority* IE is modified at the gNB-CU, the *Index to RAT/Frequency Selection Priority* IE shall be included in the UE CONTEXT MODIFICATION REQUEST. The gNB-DU may use it for RRM purposes.

Only one of the following IEs shall be contained in the UE CONTEXT MODIFICATION REQUEST message: the *Uplink TxDirectCurrentList Information* IE or the *Uplink TxDirectCurrentTwoCarrierList Information* IE or the *Uplink TxDirectCurrentMoreCarrierList Information* IE. If the UE CONTEXT MODIFICATION REQUEST message contains one of the *Uplink TxDirectCurrentList Information* IE or the *Uplink TxDirectCurrentTwoCarrierList Information* IE or the *Uplink TxDirectCurrentMoreCarrierList Information* IE, the gNB-DU may take that into account when selecting L1 configuration.

The *UEAssistanceInformation* IE shall be included in *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message if the gNB-CU received this IE from the UE; if the *UEAssistanceInformation* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account when configuring resources for the UE.

The *UEAssistanceInformationEUTRA* IE shall be included in *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message if the gNB-CU received this IE from the UE; if the *UEAssistanceInformationEUTRA* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account when configuring LTE sidelink resources for the UE.

The gNB-DU shall report to the gNB-CU, in the UE CONTEXT MODIFICATION RESPONSE message, the result for all the requested or modified DRBs, SRBs, BH RLC Channels, Uu Relay RLC channels, PC5 Relay RLC channels, and SL DRBs in the following way:

- A list of DRBs which are successfully established shall be included in the *DRB Setup List* IE;

- A list of DRBs which failed to be established shall be included in the *DRB Failed to be Setup List* IE;

- A list of DRBs which are successfully modified shall be included in the *DRB Modified List* IE;

- A list of DRBs which failed to be modified shall be included in the *DRB Failed to be Modified List* IE;

- A list of SRBs which failed to be established shall be included in the *SRB Failed to be Setup List* IE.

- A list of successfully established SRBs with logical channel identities for primary path shall be included in the *SRB Setup List* IE only if CA based PDCP duplication or multi-path relay based PDCP duplication is initiated for the concerned SRBs.

- A list of successfully modified SRBs with logical channel identities for primary path shall be included in the *SRB Modified List* IE only if CA based PDCP duplication or multi-path relay based PDCP duplication is initiated for the concerned SRBs.

- A list of BH RLC channels which are successfully established shall be included in the *BH RLC Channel Setup List* IE;

- A list of BH RLC channels which failed to be established shall be included in the *BH RLC Channel Failed to be Setup List* IE;

- A list of BH RLC channels which are successfully modified shall be included in the *BH RLC Channel Modified List* IE;

- A list of BH RLC channels which failed to be modified shall be included in the *BH RLC Channel Failed to be Modified List* IE;

- A list of Uu Relay RLC channels which are successfully established shall be included in the *Uu RLC Channel Setup List* IE;

- A list of Uu Relay RLC channels which failed to be established shall be included in the *Uu RLC Channel Failed to be Setup List* IE;

- A list of Uu Relay RLC channels which are successfully modified shall be included in the *Uu RLC Channel Modified List* IE;

- A list of Uu Relay RLC channels which are failed to be modified shall be included in the *Uu RLC Channel Failed to be Modified List* IE;

- A list of PC5 Relay RLC channels which are successfully established shall be included in the *PC5 RLC Channel Setup List* IE;

- A list of PC5 Relay RLC channels which failed to be established shall be included in the *PC5 RLC Channel Failed to be Setup List* IE;

- A list of PC5 Relay RLC channels which are successfully modified shall be included in the *PC5 RLC Channel Modified List* IE;

- A list of PC5 Relay RLC channels which failed to be modified shall be included in the *PC5 RLC Channel Failed to be Modified List* IE;

- A list of SL DRBs which are successfully established shall be included in the *SL DRB Setup List* IE;

- A list of SL DRBs which failed to be established shall be included in the *SL DRB Failed to be Setup List* IE;

- A list of SL DRBs which are successfully modified shall be included in the *SL DRB Modified List* IE;

- A list of SL DRBs which failed to be modified shall be included in the *SL DRB Failed to be Modified List* IE.

If *Duplication Indication* IE in *SL DRB To Be Setup List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, generate two PC5 RLC bearer configurations for the indicated SL DRB.

If *Duplication Indication* IE is contained in the *SL DRB To Be Modified List* IE, the gNB-DU shall, if supported, generate two PC5 RLC bearer configurations for the indicated SL DRB, if the value is set to be"true" and duplication is not already configured for the indicated SL DRB.

If *Duplication Indication* IE is contained in the *SL DRB To Be Modified List* IE, the gNB-DU shall, if supported, release the additional PC5 RLC configuration for the indicated SL DRB, if the value is set to be "false".

For each GBR DRB, if the *Alternative QoS Parameters Sets* IE is included in the *GBR QoS Flow Information* IE in the UE CONTEXT MODIFICATION REQUEST message, gNB-DU shall, if supported, behave the same as the NG-RAN node in the PDU Session Resource Setup procedure, specified in TS 38.413 [3].

If the *BAP Control PDU Channel* IE is included in the *BH RLC Channel to be Setup List* IE, the gNB-DU shall, if supported, consider that the configured BH RLC channel can be used to transmit BAP Control PDUs, and use this BH RLC channel as specified in TS 38.340 [30].

If the *BAP Control PDU Channel* IE is included in the *BH RLC Channel to be Modified List* IE, the gNB-DU shall, if supported, consider that the configured BH RLC channel can be used to transmit BAP Control PDUs, and use this BH RLC channel as specified in TS 38.340 [30]. Otherwise, if the *BAP Control PDU Channel* IE is not present for any BH RLC channel, any available BH RLC channel can be used to transmit BAP Control PDUs as specified in TS 38.340 [30].

If the *F1-C Transfer Path* IE is included in UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account.

When the gNB-DU reports the unsuccessful establishment of a DRB or SRB or SL DRB or a BH RLC channel or a Uu Relay RLC channel or a PC5 Relay RLC channel, the cause value should be precise enough to enable the gNB-CU to know the reason for the unsuccessful establishment.

If the *Resource Coordination Transfer Container* IE is included in the UE CONTEXT MODIFICATION RESPONSE, the gNB-CU shall transparently transfer this information for the purpose of resource coordination as described in TS 36.423 [9], TS 38.423 [28].

If the *DU to CU RRC Information* IE is included in the UE CONTEXT MODIFICATION RESPONSE message, except for the CG-SDT procedure and UE configured with BWP specific ServingCellMO, the gNB-CU shall perform RRC Reconfiguration as described in TS 38.331 [8]. The *CellGroupConfig* IE shall transparently be signaled to the UE as specified in TS 38.331 [8]. In the cases of CG-SDT, and UE configured with BWP specific ServingCellMO, the *CellGroupConfig* IE shall be ignored by the gNB-CU.

If the *ServCellInfoList* IE is included in the *DU to CU RRC Information* IE contained in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall take it into account to generate the content of inter-node message, i.e., *CG-Config* or *CG-ConfigInfo*, as described in TS 38.331 [8].

If the *UE-CapabilityRAT-ContainerList* IE is included in the UE CONTEXT MODIFICATION REQUEST, the gNB-DU shall take this information into account for UE specific configurations.

If the *SCell Failed To Setup List* IE is contained in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall regard the corresponding SCell(s) failed to be set up with an appropriate cause value for each SCell failed to setup.

If the *C-RNTI* IE is included in the UE CONTEXT MODIFICATION RESPONSE, the gNB-CU shall consider that the C-RNTI has been allocated by the gNB-DU for this UE context.

If the *Inactivity Monitoring Request* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, gNB-DU may consider that the gNB-CU has requested the gNB-DU to perform UE inactivity monitoring. If the *Inactivity Monitoring Response* IE is contained in the UE CONTEXT MODIFICATION RESPONSE message and set to "Not-supported", the gNB-CU shall consider that the gNB-DU does not support UE inactivity monitoring for the UE.

The UE Context Modify Procedure is not used to configure SRB0.

If in the UE CONTEXT MODIFICATION REQUEST, the *Notification Control* IE is included in the *DRB to Be Setup List* IE or the *DRB to Be Modified List* IE and it is set to active, the gNB-DU shall, if supported, monitor the QoS of the DRB and notify the gNB-CU if the QoS cannot be fulfilled any longer or if the QoS can be fulfilled again. The *Notification Control* IE can only be applied to GBR bearers.

If the *UL PDU Session Aggregate Maximum Bit Rate* IE is included in the *QoS Flow Level QoS Parameters* IE containded in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall replace the received UL PDU Session Aggregate Maximum Bit Rate and use it as specified in TS 23.501 [21].

If the *gNB-DU UE Aggregate Maximum Bit Rate Uplink* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall:

- replace the previously provided gNB-DU UE Aggregate Maximum Bit Rate Uplink with the new received gNB-DU UE Aggregate Maximum Bit Rate Uplink;

- use the received gNB-DU UE Aggregate Maximum Bit Rate Uplink for non-GBR Bearers for the concerned UE.

The *gNB-DU UE Aggregate Maximum Bit Rate Uplink* IE shall be sent in the UE CONTEXT MODIFICATION REQUEST if *DRB to Be Setup List* IE is included and the gNB-CU has not previously sent it. The gNB-DU shall store and use the received *gNB-DU UE Aggregate Maximum Bit Rate Uplink* IE.

If the *RLC Status IE* is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall assume that RLC has been reestablished at the gNB-DU and may trigger PDCP data recovery.

If the GNB-*DU Configuration Query* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, gNB-DU shall include the *DU To CU RRC Information* IE in the UE CONTEXT MODIFICATION RESPONSE message.

If the *Bearer Type Change* IE is included in *DRB to Be Modified List* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall either reset the lower layers or generate a new LCID for the affected bearer as specified in TS 37.340 [7].

For NE-DC operation, if *NeedforGap* IE is included in the UE CONTEXT MODIFICATION REQUEST message,the gNB-DU shall generate measurement gap for the SeNB.

If the *QoS Flow Mapping Indication* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, replace any previously received value and take it into account that only the uplink or downlink QoS flow is mapped to the DRB.

If the *Lower Layer presence status change* IE set to "suspend lower layers" is included in the UE CONTEXT MODIFICATION REQUEST, the gNB-DU shall keep all lower layer configuration for UEs, and not transmit or receive data from UE.

If the *Lower Layer presence status change* IE set to "resume lower layers" is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall use the previously stored lower layer configuration for the UE.

If the *Full Configuration* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall generate a *CellGroupConfig* IE using full configuration and include it in the UE CONTEXT MODIFICATION RESPONSE.

If the *Full Configuration* IE is contained in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall consider that the gNB-DU has generated the *CellGroupConfig* IE using full configuration.

For each QoS flow whose DRB has been successfully established or modified and the *QoS Monitoring Request* IE was included in the *QoS Flow Level QoS Parameters* IE contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall store this information, and, if supported, perform delay measurement and QoS monitoring, as specified in TS 23.501 [21].

If the *NR* *V2X Services Authorized* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its V2X services authorization information for the UE accordingly. If the *NR* *V2X Services Authorized* IE includes one or more IEs set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing the relevant service(s).

If the *LTE* *V2X Services Authorized* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its V2X services authorization information for the UE accordingly. If the *LTE* *V2X Services Authorized* IE includes one or more IEs set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing the relevant service(s).

If the *LTE UE Sidelink Aggregate Maximum Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported:

- replace the previously provided UE LTE Sidelink Aggregate Maximum Bit Rate, if available in the UE context, with the received value;

- use the received value for the concerned UE’s sidelink communication in network scheduled mode for LTE V2X services.

If the *NR UE Sidelink Aggregate Maximum Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported:

- replace the previously provided UE NR Sidelink Aggregate Maximum Bit Rate, if available in the UE context, with the received value;

- use the received value for the concerned UE’s sidelink communication in network scheduled mode for NR V2X services.

If the *NR* *A2X Services Authorized* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its A2X services authorization information for the UE accordingly. If the *NR* *A2X Services Authorized* IE includes one or more IEs set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing the relevant service(s).

If the *LTE* *A2X Services Authorized* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its A2X services authorization information for the UE accordingly. If the *LTE* *A2X Services Authorized* IE includes one or more IEs set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing the relevant service(s).

If the *LTE UE Sidelink Aggregate Maximum Bit Rate for A2X* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported:

- replace the previously provided UE LTE Sidelink Aggregate Maximum Bit Rate for A2X, if available in the UE context, with the received value;

- use the received value for the concerned UE’s sidelink communication in network scheduled mode for LTE A2X services.

If the *NR UE Sidelink Aggregate Maximum Bit Rate for A2X* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported:

- replace the previously provided UE NR Sidelink Aggregate Maximum Bit Rate for A2X, if available in the UE context, with the received value;

- use the received value for the concerned UE’s sidelink communication in network scheduled mode for NR A2X services.

If the *PC5 Link Aggregate Maximum Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported:

- replace the previously provided UE PC5 Link Aggregate Bit Rate, if available in the UE context, with the received value;

- use the received value for the concerned UE’s sidelink communication in network scheduled mode for NR V2X services as defined in TS 23.287 [40].

If the *TSC Traffic Characteristics* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take into account the corresponding information received in the *TSC Traffic Characteristics* IE. If the *RAN Feedback Type* IE is included in the *TSC Assistance Information Uplink* IE of the *TSC Traffic Characteristics* IE, the gNB-DU shall, if supported, take this information into account when determining the feedback to provide in the *TSC Traffic Characteristics Feedback* IE in the UE CONTEXT MODIFICATION RESPONSE message.

If the *CPAC MCG Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CPAC Trigger is set to:

- "CPAC-preparation": the gNB-DU shall, if supported, consider that the request concerns a conditional PSCell addition or conditional PSCell change or subsequent CPAC. The gNB-DU takes the included *CG-Config* and/or *CG-ConfigInfo* IE into account, and may provide a corresponding *CellGroupConfig* IE for MCG configuration preparation in the UE CONTEXT MODIFICATION RESPONSE message. The UE CONTEXT MODIFICATION RESPONSE message also includes a *Requested Target Cell ID* IE corresponding to the *PSCell ID* IE in the UE CONTEXT MODIFICATION REQUEST message.

- "CPAC-executed": the gNB-DU shall, if supported, consider that, for the included *PSCell ID* IE corresponding to the selected PSCell, the UE has successfully executed the CPAC preparation. The gNB-DU shall apply the corresponding *CellGroupConfig* IE for MCG configuration.

- "CPAC-cancel": the gNB-DU shall, if supported, consider that the gNB-CU is about to release the prepared MCG configuration(s) corresponding to the PSCell(s) identified by the included NR CGI(s) in the *Candidate PSCells To Be Cancelled List* IE.

If the *Conditional Intra-DU Mobility Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CHO Trigger is set to "CHO-initiation", the gNB-DU shall consider that the request concerns a conditional handover, conditional PSCell addition, conditional PSCell change, or subsequent CPAC for the included *SpCell ID* IE and shall include it as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION RESPONSE message. The gNB-DU shall regard it as a reconfiguration with sync as defined in TS 38.331 [8].

If the *Conditional Intra-DU Mobility Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CHO Trigger is set to "CHO-replace", the gNB-DU shall replace the existing prepared conditional mobility identified by the *gNB-DU UE F1AP ID* IE and the *SpCell ID* IE.

If the *Conditional Intra-DU Mobility Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message and the CHO Trigger is set to "CHO-cancel", the gNB-DU shall consider that the gNB-CU is about to remove any reference to, and release any resources previously reserved for the cells identified by the included NR CGIs in the *Candidate Cells To Be Cancelled List* IE.

If the *S-CPAC Request* IE is included within the *Conditional Intra-DU Mobility Information* IE in the UE CONTEXT MODIFICATION REQUEST message and is set to "initiation", the gNB-DU shall, if supported, consider that the procedure is triggered for S-CPAC preparation.

If the *Transmission Stop Indicator* IE is included within the *DRB to Be Modified Item* IE in the UE CONTEXT MODIFICATION REQUEST message and set to “true”, the gNB-DU shall, if supported, stop the data transmission for the DRB. It is up to gNB-DU implementation when to stop the UE scheduling for that DRB.

If the *SCG Indicator* IE is contained in the UE CONTEXT MODIFICATION REQUEST message and it is set to “released”, the gNB-DU shall, if supported, deduce that an SCG is removed.

If the *Estimated Arrival Probability* IE is contained in the *Conditional Intra-DU Mobility Information* IE included in the UE CONTEXT MODIFICATION REQUEST message, then the gNB-DU may use the information to allocate necessary resources for the UE.

If the *Location Measurement Information* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account when configuring measurement gaps for the UE.

If the *F1-C Transfer Path NRDC* IE is included in UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account.

If for a given E-RAB for EN-DC operation the *ENB DL Transport Layer Address* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as part of its ACL functionality configuration actions, if such ACL functionality is deployed.

If for a given Qos flow for NG-RAN operation the *PDCP Terminating Node DL Transport Layer Address* IE is included in the UE CONTEXT MODIFICATION REQUEST message, then the gNB-DU shall, if supported, use it as part of its ACL functionality configuration actions, if such ACL functionality is deployed.

If the gNB-DU is an IAB-DU, and if the *IAB Conditional* *RRC Message Delivery Indication* IE is included in the UE CONTEXT MODIFICATION REQUEST message together with the *RRC-Container* IE, and if its value is set to “true”, and if the *RRC-Container* IE is for a child IAB-MT of the gNB-DU, the gNB-DU shall, if supported, withhold the RRC message until one of the following conditions is met:

If the gNB-DU belongs to a migrating IAB-node, whose co-located IAB-MT has successfully performed the random-access procedure to the target parent node, and if the migrating IAB-node has one or more routing entries for the target path.

The gNB-DU receives a subsequent F1AP message including an *RRC-Container IE* for the same child node.

If the gNB-DU belongs to a descendant node of the migrating IAB-node, whose co-located IAB-MT has received an *RRCReconfiguration* message including the intra-donor migration configurations, e.g., new TNL address(es) and the new default UL BAP routing ID.

If the gNB-DU belongs to a migrating IAB-node, whose co-located IAB-MT has successfully performed RLF recovery after handover failure, and if the migrating IAB-node has one or more routing entries for the target path.

If the *MDT Polluted Measurement Indicator* IE is included in the UE CONTEXT MODIFICATION REQUEST, the gNB-DU shall take this information into account as specified in TS 38.401 [4].

If the *SCG Activation Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU may use it to configure SCG resources as specified in TS 37.340 [7], and if supported, shall include the *SCG Activation Status* IE in the UE CONTEXT MODIFICATION RESPONSE message.

If the *CG-SDT Query Indication* IE is included in the UE CONTEXT MODIFICATION REQUEST message and set to ‘true’, the gNB-DU shall, if supported, provide the CG-SDT related resource configuration for the bearers indicated as SDT bearers in the *SDT-MAC-PHY-CG-Config* IE within the *DU to CU RRC Information* IE contained in the UE CONTEXT MODIFICATION RESPONSE message to the gNB-CU. If the *SDT-MAC-PHY-CG-Config* IE is also included in the UE CONTEXT MODIFICATION REQUEST message within the *CU to DU RRC Information* IE, the gNB-DU may provide the delta signalling version of the *SDT-MAC-PHY-CG-Config* IE within the *DU to CU RRC Information* IE contained in the UE CONTEXT MODIFICATION RESPONSE message to the gNB-CU.

If the *5G ProSe Authorized* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its 5G ProSe services authorization information for the UE accordingly. If the *5G ProSe Authorized* IE includes one or more IEs set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing the relevant service(s).

If the *SDT Bearer Configuration Query Indication* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, provide the RLC bearer configuration in the *SDT Bearer Configuration Info* IE in the UE CONTEXT MODIFICATION RESPONSE message for each bearer indicated as SDT bearer.

If the *5G ProSe UE PC5 Aggregate Maximum Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported:

- replace the previously provided 5G ProSe UE PC5 Aggregate Maximum Bit Rate, if available in the UE context, with the received value;

- use the received value for the concerned UE’s sidelink communication in network scheduled mode for 5G ProSe services.

If the *5G ProSe PC5 Link Aggregate Bit Rate* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported:

- replace the previously provided 5G ProSe PC5 Link Aggregate Bit Rate, if available in the UE context, with the received value;

- use the received value for the concerned UE’s sidelink communication in network scheduled mode for 5G ProSe services as defined in TS 23.304 [44].

If the *Updated Remote UE Local ID* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, replace the previously provided Remote UE Local ID, if available in the UE context, with the received value.

If the *Uu RLC Channel To Be Setup List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, act as specified in TS 38.401 [4].

If the *Uu RLC Channel To Be Modified List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, act as specified in TS 38.401 [4].

If the *Uu RLC Channel To Be Release List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, release the Uu Relay RLC channels in the list.

If the *PC5 RLC Channel To Be Setup List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, act as specified in TS 38.401 [4]. gNB-DU generates the PC5 Relay RLC channel configurations for a L2 U2N Remote UE, U2N Relay UE, a L2 U2U Remote UE or a L2 U2U Relay UE. If the F1AP-IDs are associated with a U2N Relay UE, the *PC5 RLC Channel to be Setup Item IEs* IE shall include the *Remote UE Local ID* in single-hop relay or for the PC5 RLC channel between the U2N Remote UE and the First U2N Relay UE in multi-hop relay and correspondingly, the *PC5 RLC Channel Setup Item IEs* IE and the *PC5 RLC Channel Failed to be Setup Item* IE in the UE CONTEXT MODIFICATION RESPONSE message shall include the *Remote UE Local ID* IE.

If the *PC5 RLC Channel To Be Modified List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, act as specified in TS 38.401 [4]. gNB-DU generates the PC5 Relay RLC channel configurations for a L2 U2N Remote UE , U2N Relay UE, a L2 U2U Remote UE or a L2 U2U Relay UE. If the F1AP-IDs are associated with a U2N Relay UE, the *PC5 RLC Channel to be Modified Item IEs* IE shall include the *Remote UE Local ID* IE in single-hop relay or for the PC5 RLC channel between the U2N Remote UE and the First U2N Relay UE in multi-hop relay and correspondingly, the *PC5 RLC Channel Modified Item* *IEs* IE and the *PC5 RLC Channel Failed to be Modified Item IEs* IE in the UE CONTEXT MODIFICATION RESPONSE message shall include the *Remote UE Local ID* IE.

If the *PC5 RLC Channel To Be Release List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, release the PC5 Relay RLC channels in the list. If the F1AP-IDs are associated with a U2N Relay UE, the *PC5 RLC Channel to be Released Item IEs* IE shall include the *Remote UE Local ID* IE in single-hop relay or for the PC5 RLC channel between the U2N Remote UE and the First U2N Relay UE in multi-hop relay.

If the *Path Switch Configuration* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it to configure the path switch from direct path to indirect path as specified in TS 38.401 [4] or from indirect path to indirect path as specified in TS 38.331 [8], or to release the direct path during the MP as specified in TS 38.331 [8].

If the *MUSIM-GapConfig* IE is contained in the *CU to DU RRC Information* IE included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, decide to use this IE for MUSIM gap configuration or select another one based on the received *UEAssistanceInformation* IE. If gNB-DU selects a different MUSIM gap configuration from received *UEAssistanceInformation* IE, then it shall include the selected MUSIM gap information to the gNB-CU in the *MUSIM-GapConfig* IE of the *DU to CU RRC Information* IE that is included in the UE CONTEXT MODIFICATION RESPONSE message.

If *MUSIM-GapConfig* IE is not contained in the *CU to DU RRC Information* IE, then gNB-DU shall, if supported, send the selected MUSIM gap configuration based on the received *UEAssistanceInformation* IE, to the gNB-CU in the *MUSIM-GapConfig* IE of the *DU to CU RRC Information* IE that is included in the UE CONTEXT MODIFICATION RESPONSE message. When *MUSIM-GapConfig* IE is received, the gNB-CU should use this value.

If the *gNB-DU UE Slice Maximum Bit Rate List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported,

- store and replace the previously provided gNB-DU UE Slice Maximum Bit Rate List, if any, with the new received *gNB-DU UE Slice Maximum Bit Rate List*;

- use the received *gNB-DU UE Slice Maximum Bit Rate List* for the uplink traffic policing for each concerned slice as specified in TS 23.501 [21].

If the *Multicast MBS Session Setup List* IE or the *Multicast MBS Session Remove List* IE or both IEs are contained in the UE CONTEXT MODIFICATION REQUEST message the gNB-DU shall, if supported, store and use the information for configuring MBS Session Resources, if applicable.

If the *UE* *Multicast MRB To Be Setup at Modify List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account for configuring MBS Session Resources, if applicable, and shall include the *Multicast F1-U Context Reference CU* IE, if available, in the UE CONTEXT MODIFICATION RESPONSE message. And if the *MBS PTP Retransmission Tunnel Required* IE is included in the *UE Multicast MRB to Be Setup at Modify Item IEs* IE, the gNB-DU shall, if supported trigger the establishment of the MBS PTP Retransmission F1-U tunnel.

If the *MBS PTP Forwarding Tunnel Required Information* IE is included in the *UE Multicast MRB to Be Setup at Modify Item IEs* IE, the gNB-DU shall, if supported trigger the establishment of the MBS PTP Forwarding F1-U tunnel.

If the *Management Based MDT PLMN Modification* *List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, overwrite any previously stored Management Based MDT PLMN List information in the UE context and use the received information to determine subsequent selection of the UE for management based MDT defined in TS 32.422 [29].

If the *Dedicated SI Delivery Indication* IE is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, take it into account for the system information delivery to the UE as described in TS 38.331 [8].

If the *PDU Set QoS Parameters* IE is included in the *QoS Flow Level QoS Parameters* IE contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, store this information and use it as specified in TS 23.501 [21].

If the *ECN Marking or Congestion Information Reporting Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it accordingly for the specific DRB. If the *ECN Marking or Congestion Information Reporting Status* IE is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, use it to deduce if ECN marking or congestion information reporting is active or not active.

If the *InterFrequencyConfig-NoGap* IE is included in the *DU to CU RRC Information* IE contained in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, use it as described in TS 38.331 [8].

If the *ul-GapFR2-Config* IE is contained in the *DU to CU RRC Information* IE that is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, use it as described in TS 38.331 [8].

If the *TwoPHRModeMCG* IE or the *TwoPHRModeSCG* IE is contained in the *DU to CU RRC Information* IE that is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, use this value as described in TS 38.331 [8].

If the *MBSInterestIndication* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account when configuring resources for the UE.

If the *ncd-SSB-RedCapInitialBWP-SDT* IE is contained in the *DU to CU RRC Information* IE that is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, use it as described in TS 38.331 [8].

If the *Network Controlled Repeater Authorized* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its authorization information for the UE accordingly. If the *Network Controlled Repeater Authorized* IE is set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing as a Network Controlled Repeater.

If the *LTM Indicator* IE set to "true" is contained in the *LTM Information Modify* IEincluded in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider that the request concerns LTM for the included *SpCell ID* IE and shall include it as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION RESPONSE message. The gNB-DU shall regard it as a reconfiguration with sync as defined in TS 38.331 [8]. If the gNB-DU accepts the request for LTM for that *SpCell*, the gNB-DU shall generate and include the *CellGroupConfig* IE for the accepted LTM candidate cell in the UE CONTEXT MODIFICATION RESPONSE message.

If the *Request for Lower Layer Configuration* IE set to "true" is contained within the *Reference Configuration* IE in the *LTM Information Modify* IEincluded in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, include the *Reference Configuration Information* IE in the *LTM Configuration* IE in the UE CONTEXT MODIFICATION RESPONSE message to provide lower layer configuration for the gNB-CU to generate the LTM reference configuration.

If the *Reference Configuration Information* IE is contained within the *Reference Configuration* IE in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take it into account for generating the LTM lower layer configuration.

If the *CSI Resource Configuration* IEis contained in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message and the *SpCell ID* IE is also included, the gNB-DU shall, if supported, use it to generate the LTM CSI reporting configuration in the *CellGroupConfig* IE for the requested LTM candidate cell identified by the *SpCell ID* IE.

If the *CSI Resource Configuration* IE is contained in the *LTM Information Modify* IE included in the UE CONTEXT MODIFICATION REQUEST message while the *SpCell ID* IE is absent, the gNB-DU shall, if supported, use it to generate the LTM CSI reporting configuration in the *CellGroupConfig* IE for the serving cell.

If the *LTM Configuration ID Mapping List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider this as the mapping information for the LTM candidate cell(s).

If the *Early Sync Information Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, include *Early Sync Information* IEof the accepted candidate cell for early TA acquisition (early UL synchronisation) in the UE CONTEXT MODIFICATION RESPONSE message. If the *Early UL Sync Configuration* IEis included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider it as the generated early UL sync information from the accepted candidate cell in the gNB-DU. If the *Early UL Sync Configuration* *for SUL* IEis included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider it as the generated early UL sync information for SUL from the accepted candidate cell in the gNB-DU.

If the *Early Sync Candidate Cell Information List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as specified in TS 38.401 [4]. If the *UE Based TA Measurement Configuration* IE is contained in the *Early Sync Candidate Cell Information List* IE for some candidate cell, the gNB-DU shall, if supported, take them into account for UE based TA measurement during LTM cell switch as specified in TS 38.331 [8].

If the *Early Sync Serving Cell Information* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it as specified in TS 38.401 [4]. If the *UE Based TA Measurement Configuration* IE is contained in the *Early Sync Serving Cell Information* IE, the gNB-DU shall, if supported, take it into account for UE based TA measurement during LTM cell switch as specified in TS 38.331 [8].

If the *LTM CFRA Resource Config List* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it for the LTM cell switch command as specified in TS 38.321 [16].

If the *LTM Configuration* IE is included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider it as the generated configuration for LTM from the accepted candidate cell in the gNB-DU.

If the *LTM Cells to be Released List* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, release the configured candidate cells in the list.

If the *LTM Reset Information* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, take them into account for L2 reset (i.e., RLC re-establishment) during an intra-DU LTM cell switch as specified in TS38.331 [8].

If the *Complete Candidate Configuration Indicator* IE set to "complete" is contained in the *LTM Configuration* IE included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider that the LTM candidate configuration is a complete candidate configuration.

If the *Direct Path Addition* IE is contained in the *Path Addition Information* IE which is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider that the request concerns the direct path addition for the included *SpCell ID* IE as specified in TS 38.401 [4] and regard it as a reconfiguration with sync as defined in TS 38.331 [8]. If the *Indirect Path Addition* IE is contained in the *Path Addition Information* IE, the gNB-DU shall, if supported, consider that the request concerns the indirect path addition for the MP Remote UE using PC5 link and use it as specified in TS 38.401 [4]. If the *N3C* *Indirect Path Addition* IE is contained in the *Path Addition Information* IE, the gNB-DU shall, if supported, consider that the request concerns the indirect path addition for the MP Remote UE using N3C and use it as specified in TS 38.401 [4].

If the *S-NSSAI* IE is included within the *DRB to Be Modified Item* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, store the corresponding information and replace any existing information.

If the *S-CPAC Lower Layer Reference Config Request* IE set to "true" is contained in the *Conditional Intra-DU Mobility Information* IEincluded in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, provide the lower layer configuration in the *Reference Configuration Information* IE in the *S-CPAC Configuration* IE in the UE CONTEXT MODIFICATION RESPONSE message for the gNB-CU to generate the S-CPAC reference configuration.

If the *Complete Candidate Configuration Indicator* IE set to "complete" is contained in the *S-CPAC Configuration* IE included in the UE CONTEXT MODIFICATION RESPONSE message, the gNB-CU shall, if supported, consider that the S-CPAC candidate configuration is a complete candidate configuration.

If the *musim-CandidateBandList* IE is included in the *CU to DU RRC Information* IE in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use it for temporary capability restriction.

If the *DL LBT Failure Information Request* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, consider that the gNB-CU requests collection of DL LBT failure information for the analysis of the MRO events of the UE specified in TS 38.300 [6], , and act as specified in TS 38.401 [4].

If the *Ranging and Sidelink Positioning Service Information* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, update its service information for the UE accordingly. If the *Ranging and Sidelink Positioning Authorized* IE within the *Ranging and Sidelink Positioning Service Information* IE is set to "not authorized", the gNB-DU shall, if supported, initiate actions to ensure that the UE is no longer accessing the Ranging and Sidelink Positioning service.

**Interaction with UE Inactivity Notification procedure**

If the *SDT Volume Threshold* IE is contained in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall, if supported, use the information during an SDT transaction to inform the gNB-CU via the UE INACTIVITY NOTIFICATION message as specified in TS 38.401 [4].

**Interaction with UE Context Setup or UE Context Modification (gNB-CU initiated) procedures**

If the UE CONTEXT MODIFICATION REQUEST message is sent for a UE context set up for S-CPAC and contains the *Transmission Action Indicator* IE set to "stop", the gNB-DU shall, if supported, reset the UE context for the included *SpCell ID* IE, prepare for subsequent CPAC. The gNB-DU shall include the *SpCell ID* IE as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION RESPONSE message.

#### 8.3.4.3 Unsuccessful Operation



Figure 8.3.4.3-1: UE Context Modification procedure. Unsuccessful operation

In case none of the requested modifications of the UE context can be successfully performed, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value. If the *Conditional Intra-DU Mobility Information* IE was included in the UE CONTEXT MODIFICATION REQUEST message and set to "CHO-initiation", the gNB-DU shall include the received *SpCell ID* IE as the *Requested Target Cell ID* IE in the UE CONTEXT MODIFICATION FAILURE message.

If the gNB-DU is not able to accept the *SpCell ID* IE in UE CONTEXT MODIFICATION REQUEST message, it shall reply with the UE CONTEXT MODIFICATION FAILURE message.

If the *Conditional Intra-DU Mobility Information* IE was included and set to "CHO-initiation" or "CHO-replace", but the *SpCell ID* IE was not included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.

If the *LTM Information Modify* IE was included, but the *SpCell ID* IE and the *CSI Resource Configuration* IE were not included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall respond with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.

If the gNB-DU is not able to accept the UE CONTEXT MODIFICATION REQUEST message for mobility because an LTM command has been triggered to the UE, it shall reply with the UE CONTEXT MODIFICATION FAILURE message with an appropriate cause value.

#### 8.3.4.4 Abnormal Conditions

If the gNB-DU receives a UE CONTEXT MODIFICATION REQUEST message containing a *E-UTRAN QoS* IE for a GBR QoS DRB but where the *GBR QoS Information* IE is not present, the gNB-DU shall report the establishment of the corresponding DRB as failed in the *DRB Failed to Setup List* IE of the UE CONTEXT MODIFICATION RESPONSE message with an appropriate cause value.

If the gNB-DU receives a UE CONTEXT MODIFICATION REQUEST message containing a *DRB QoS* IE for a GBR QoS DRB but where the *GBR QoS Flow Information* IE is not present, the gNB-DU shall report the establishment of the corresponding DRBs as failed in the *DRB Failed to Setup List* IE of the UE CONTEXT MODIFICATION RESPONSE message with an appropriate cause value.

If the *Delay Critical* IE is included in the *Dynamic 5QI Descriptor* IE within the *DRB QoS* IE in the UE CONTEXT MODIFICATION REQUEST message and is set to the value "delay critical" but the *Maximum Data Burst Volume* IE is not present, the gNB-DU shall report the establishment of the corresponding DRB as failed in the *DRB Failed to Setup List* IE of the of the UE CONTEXT MODIFICATION RESPONSE message with an appropriate cause value.

If one or more candidate cells in the *Candidate Cells To Be Cancelled List* IE included in the UE CONTEXT MODIFICATION REQUEST message were not prepared using the same UE-associated signaling connection, the gNB-DU shall ignore those non-associated candidate cells.

If more than one of the following IEs, i.e., the *Uplink TxDirectCurrentList Information* IE or the *Uplink TxDirectCurrentTwoCarrierList Information* IE or the *Uplink TxDirectCurrentMoreCarrierList Information* IE is included in the UE CONTEXT MODIFICATION REQUEST message, the gNB-DU shall consider it as a logical error.

If one or more LTM cells in the *LTM Cells To Be Released List* IE included in the UE CONTEXT MODIFICATION REQUEST message were not prepared using the same UE-associated signaling connection, the gNB-DU shall ignore those non-associated LTM cells.

### 8.3.5 UE Context Modification Required (gNB-DU initiated)

#### 8.3.5.1 General

The purpose of the UE Context Modification Required procedure is to modify the established UE Context, e.g., modifying and releasing radio bearer resources, or sidelink radio bearer resources or candidate cells in conditional handover, conditional PSCell addition, conditional PSCell change, or subsequent CPAC. The procedure uses UE-associated signalling.

#### 8.3.5.2 Successful Operation



Figure 8.3.5.2-1: UE Context Modification Required procedure. Successful operation

The F1AP UE CONTEXT MODIFICATION REQUIRED message is initiated by the gNB-DU.

The gNB-CU reports the successful update of the UE context in the UE CONTEXT MODIFICATION CONFIRM message.

For a given bearer for which PDCP CA duplication or multi-path relay based duplication was already configured, if two *DL UP TNL Information* IEs are included in UE CONTEXT MODIFICATION REQUIRED message for a DRB, the gNB-CU shall include two *UL UP TNL Information* IEs in UE CONTEXT MODIFICATION CONFIRM message. The gNB-CU and gNB-DU use the *UL UP TNL Information* IEs and *DL UP TNL Information* IEs to support packet duplication for intra-gNB-DU CA and multi-path relay as defined in TS 38.470 [2], and the first *UP TNL Information* IE is still for the primary path.

For a given bearer for which PDCP CA duplication or multi-path relay based duplication was already configured, if one or two *Additional PDCP Duplication UP TNL Information* IEs are included in the UE CONTEXT MODIFICATION REQUIRED message for a DRB, the gNB-CU shall, if supported, include one or two *Additional PDCP Duplication UP TNL Information* IEs in the UE CONTEXT MODIFICATION CONFIRM message. The gNB-CU and gNB-DU use the *Additional PDCP Duplication UP TNL Information* IEs to support packet duplication for intra-gNB-DU CA and multi-path relay as defined in TS 38.470 [2].

If the *BH Information* IE is included in the *UL UP TNL Information to be setup List* IE or the *Additional PDCP Duplication TNL List* IE for a DRB, the gNB-DU shall, if supported, use the indicated BAP Routing ID and BH RLC channel for transmission of the corresponding GTP-U packets to the IAB-donor, as specified in TS 38.340 [30].

If the *Resource Coordination Transfer Container* IE is included in the UE CONTEXT MODIFICATION REQUIRED, the gNB-CU shall transparently transfer this information for the purpose of resource coordination as described in TS 36.423 [9], TS 38.423 [28].

For EN-DC operation, if the gNB-CU includes the *Resource Coordination Transfer Information* IE in the UE CONTEXT MODIFICATION CONFIRM message, the gNB-DU shall, if supported, use it for the purpose of resource coordination. If the gNB-CU received the MeNB Resource Coordination Information as defined in TS 36.423 [9], after completion of UE Context Modification Required procedures, the gNB-CU shall transparently transfer it to the gNB-DU via the *Resource Coordination Transfer Container* IE in the UE CONTEXT MODIFICATION CONFIRM message. The gNB-DU shall use the information received in the *Resource Coordination Transfer Container* IE for reception of MeNB Resource Coordination Information at the gNB acting as secondary node as described in TS 36.423 [9]. If the *Resource Coordination E-UTRA Cell Information* IE is included in the *Resource Coordination Transfer Information* IE, the gNB-DU shall store the information replacing previously received information for the same E-UTRA cell, and use the stored information for the purpose of resource coordination. If the *Ignore PRACH Configuration* IE is present and set to "true" the *E-UTRA PRACH Configuration* IE in the UE CONTEXT MODIFICATION CONFIRM message shall be ignored.

For NGEN-DC or NE-DC operation, if the gNB-CU includes the *Resource Coordination Transfer Information* IE in the UE CONTEXT MODIFICATION CONFIRM message, the gNB-DU shall, if supported, use it for the purpose of resource coordination. If the gNB-CU received the MR-DC Resource Coordination Information as defined in TS 38.423 [28], after completion of UE Context Modification Required procedures, the gNB-CU shall transparently transfer it to the gNB-DU via the *Resource Coordination Transfer Container* IE in the UE CONTEXT MODIFICATION CONFIRM message. The gNB-DU shall use the information received in the *Resource Coordination Transfer Container* IE for reception of MR-DC Resource Coordination Information at the gNB as described in TS 38.423 [28].

If the *DU to CU RRC Information* IE is included in the UE CONTEXT MODIFICATION REQUIRED message, the gNB-CU shall perform RRC Reconfiguration as described in TS 38.331 [8]. The *CellGroupConfig* IE shall transparently be signaled to the UE as specified in TS 38.331 [8].

If the *ServCellInfoList* IE is included in the *DU to CU RRC Information* IE contained in the UE CONTEXT MODIFICATION REQUIRED message, the gNB-CU shall take it into account to generate the content of inter-node message, i.e., *CG-Config* or *CG-ConfigInfo*, as described in TS 38.331 [8].

If the UE CONTEXT MODIFICATION CONFIRM message includes the *Execute Duplication* IE, the gNB-DU shall perform CA based duplication or multi-path relay based duplication, if configured, for the SRB for the included *RRC-Container* IE.

If the UE CONTEXT MODIFICATION REQUIRED message contains the *RLC Status* IE, the gNB-CU shall assume that RLC has been reestablished at the gNB-DU and may trigger PDCP data recovery.

If the *Candidate Cells To Be Cancelled List* IE is included in the UE CONTEXT MODIFICATION REQUIRED message, the gNB-CU shall consider that only the resources reserved for the candidate cells identified by the included NR CGIs and associated to the UE-associated signaling identified by the *gNB-CU UE F1AP ID* IE and the *gNB-CU UE F1AP ID* IE are about to be released by the gNB-DU.

If the *PC5 RLC Channel Required to be Modified List* IE or the *PC5 RLC Channel Required to be Released List* IE is included in the UE CONTEXT MODIFICATION REQUIRED message and the F1AP-IDs is associated with a U2N Relay UE, the *PC5 RLC Channel Required to be Modified List* IE or the *PC5 RLC Channel Required to be Released List* shall include the *Remote UE Local ID* in single-hop relay or for the PC5 RLC channel between the U2N Remote UE and the First U2N Relay UE in multi-hop relay and correspondingly, the *PC5 RLC Channel Modified Item IEs* in the UE CONTEXT MODIFICATION CONFIRM message shall include the *Remote UE Local ID* IE.

If the *UE Multicast MRB Required to Be Modified List* IE is included in the UE CONTEXT MODIFICATION REQUIRED message

- containing for an MRB the *MRB type reconfiguration* IE set to "true" the gNB-CU shall take the *MRB Reconfigured RLC mode* IE into account to reconfigure the UE and to decide whether to request a PDCP status report as specified in TS 38.300 [6] and include the *MBS PTP Retransmission Tunnel Required* IE in the *UE Multicast MRB Confirmed to Be Modified Item IEs* IE.

- containing for an MRB the *Multicast F1-U Context Reference CU* IE the gNB-CU shall, if supported, replace previously provided information by the newly received and take it into account when retrieving MRB progress information.

If the *LTM Cells To Be Released List* IE is included in the UE CONTEXT MODIFICATION REQUIRED message, the gNB-CU shall, if supported, consider that the configured candidate cells in the list are about to be released by the gNB-DU.

**Interaction with the Multicast Distribution Setup procedure:**

If the UE CONTEXT MODIFICATION CONFIRM message contains for an MRB the *MBS PTP Retransmission Tunnel Required* IE in the *UE Multicast MRB Confirmed to Be Modified Item IEs* IE the gNB-DU shall, if supported, trigger the Multicast Distribution Setup procedure to setup requested F1-U resources, if applicable.

#### 8.3.5.2A Unsuccessful Operation



Figure 8.3.5.2A-1: UE Context Modification Required procedure. Unsuccessful operation.

In case none of the requested modifications of the UE context can be successfully performed, the gNB-CU shall respond with the UE CONTEXT MODIFICATION REFUSE message with an appropriate cause value.

#### 8.3.5.3 Abnormal Conditions

If one or more candidate cells in the *Candidate Cells To Be Cancelled List* IE included in the UE CONTEXT MODIFICATION REQUIRED message were not prepared using the same UE-associated signaling connection, the gNB-CU shall ignore those non-associated candidate cells.

If one or more LTM cells in the *LTM Cells To Be Released List* IE included in the UE CONTEXT MODIFICATION REQUIRED message were not prepared using the same UE-associated signaling connection, the gNB-CU shall ignore those non-associated LTM cells.

[snip]

# 9 Elements for F1AP Communication

[snip]

## 9.2 Message Functional Definition and Content

[snip]

### 9.2.2 UE Context Management messages

#### 9.2.2.1 UE CONTEXT SETUP REQUEST

This message is sent by the gNB-CU to request the setup of a UE context.

Direction: gNB-CU → gNB-DU.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU UE F1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| gNB-DU UE F1AP ID | O |  | 9.3.1.5 |  | YES | ignore |
| SpCell ID | M |  | NR CGI 9.3.1.12 | Special Cell as defined in TS 38.321 [16]. For handover case, this IE is considered as target cell. | YES | reject |
| ServCellIndex | M |  | INTEGER (0..31,...) |  | YES | reject |
| SpCell UL Configured | O |  | Cell UL Configured  9.3.1.33 |  | YES | ignore |
| CU to DU RRC Information | M |  | 9.3.1.25 |  | YES | reject |
| **Candidate SpCell List** |  | *0..1* |  |  | YES | ignore |
| **>Candidate SpCell Item IEs** |  | *1 .. <maxnoofCandidateSpCells>* |  |  | EACH | ignore |
| >>Candidate SpCell ID | M |  | NR CGI 9.3.1.12 | Special Cell as defined in TS 38.321 [16] | - |  |
| DRX Cycle | O |  | 9.3.1.24 |  | YES | ignore |
| Resource Coordination Transfer Container | O |  | OCTET STRING | Includes the *MeNB Resource Coordination Information* IE as defined in subclause 9.2.116 of TS 36.423 [9] for EN-DC case or *MR-DC Resource Coordination Information* IE as defined in TS 38.423 [28] for NGEN-DC and NE-DC cases. | YES | ignore |
| **SCell To Be Setup List** |  | *0..1* |  |  | YES | ignore |
| **>SCell to Be Setup Item IEs** |  | *1.. <maxnoofSCells>* |  |  | EACH | ignore |
| >>SCell ID | M |  | NR CGI 9.3.1.12 | SCell Identifier in gNB | - |  |
| >>SCellIndex | M |  | INTEGER (1..31, ...) |  | - |  |
| >>SCell UL Configured | O |  | Cell UL Configured  9.3.1.33 |  | - |  |
| >>servingCellMO | O |  | INTEGER (1..64, ...) |  | YES | ignore |
| **SRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>SRB to Be Setup Item IEs** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | reject |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| >>Duplication Indication | O |  | ENUMERATED (true, ..., false) | If included, it should be set to true.  This IE is ignored if the *Additional Duplication Indication* IE is present. | - |  |
| >>Additional Duplication Indication | O |  | ENUMERATED (three, four, …) |  | YES | ignore |
| >>SDT RLC Bearer Configuration | O |  | OCTET STRING | Includes the *RLC-BearerConfig* IE defined in subclause 6.3.2 of TS 38.331 [8] | YES | ignore |
| >>SRB Mapping Info | O |  | Uu RLC Channel ID 9.3.1.266 | This IE contains the mapped Uu Relay RLC CH ID for the SRB | YES | ignore |
| **DRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>DRB to Be Setup Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | reject |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>CHOICE *QoS Information* | M |  |  |  | - |  |
| *>>>E-UTRAN QoS* |  |  |  |  |  |  |
| >>>>E-UTRAN QoS | M |  | 9.3.1.19 | Shall be used for EN-DC case to convey E-RAB Level QoS Parameters | - |  |
| *>>>DRB Information* |  |  |  |  |  |  |
| **>>>>DRB Information** |  | *1* |  | Shall be used for NG-RAN cases | YES | ignore |
| >>>>>DRB QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| >>>>>S-NSSAI | M |  | 9.3.1.38 |  | - |  |
| >>>>>Notification Control | O |  | 9.3.1.56 |  | - |  |
| **>>>>>Flows Mapped to DRB Item** |  | *1 .. <maxnoofQoSFlows>* |  |  | - |  |
| >>>>>>QoS Flow Identifier | M |  | 9.3.1.63 |  | - |  |
| >>>>>>QoS Flow Level QoS Parameters | M |  | 9.3.1.45 |  | - |  |
| >>>>>>QoS Flow Mapping Indication | O |  | 9.3.1.72 |  | YES | ignore |
| >>>>>>TSC Traffic Characteristics | O |  | 9.3.1.141 | Traffic pattern information associated with the QFI. Details in TS 23.501 [21]. | YES | ignore |
| >>>>ECN Marking or Congestion Information Reporting Request | O |  | 9.3.1.321 |  | YES | ignore |
| >>>>PSI based SDU Discard UL | O |  | ENUMERATED (start, stop, …) | Indicates whether UL PSI based SDU discard is (re)configured or released for the DRB. The codepoint “start” means that UL PSI based discarding is (re)configured, while the codepoint “stop” means that UL PSI based discarding is released. Up to 8 DRBs can be set as “start”. | YES | ignore |
| **>>UL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>>UL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofULUPTNLInformation>* |  |  | - |  |
| >>>>UL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| >>>>DRB Mapping Info | O |  | Uu RLC Channel ID 9.3.1.266 | This IE contains the mapped Uu Relay RLC CH ID of the DL tunnel corresponding to such UL tunnel | YES | ignore |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| >>UL Configuration | O |  | 9.3.1.31 | Information about UL usage in gNB-DU. | - |  |
| >>Duplication Activation | O |  | 9.3.1.36 | Information on the initial state of CA based UL PDCP duplication.  This IE is ignored if the *RLC Duplication Information* IE is present. | - |  |
| >>DC Based Duplication Configured | O |  | ENUMERATED (true, ..., false) | Indication on whether DC based PDCP duplication is configured or not. If included, it should be set to true. | YES | reject |
| >>DC Based Duplication Activation | O |  | Duplication Activation  9.3.1.36 | Information on the initial state of DC basedUL PDCP duplication.  This IE is ignored if the *RLC Duplication Information* IE is present. | YES | reject |
| >>DL PDCP SN length | M |  | ENUMERATED (12bits, 18bits, ...) |  | YES | ignore |
| >>UL PDCP SN length | O |  | ENUMERATED (12bits, 18bits, ...) |  | YES | ignore |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | ignore |
| **>>>Additional PDCP Duplication TNL Items** |  | *1 .. <maxnoofAdditionalPDCPDuplicationTNL>* |  |  | EACH | ignore |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| >>RLC Duplication Information | O |  | 9.3.1.146 |  | YES | ignore |
| >>SDT RLC Bearer Configuration | O |  | OCTET STRING | RLC-BearerConfig IE defined in subclause 6.3.2 of TS 38.331 [8] | YES | ignore |
| Inactivity Monitoring Request | O |  | ENUMERATED (true, ...) |  | YES | reject |
| RAT-Frequency Priority Information | O |  | 9.3.1.34 |  | YES | reject |
| RRC-Container | O |  | 9.3.1.6 | Includes the *DL-DCCH-Message* message as defined in subclause 6.2 of TS 38.331 [8], encapsulated in a PDCP PDU. | YES | ignore |
| Masked IMEISV | O |  | 9.3.1.55 |  | YES | ignore |
| Serving PLMN | O |  | PLMN Identity  9.3.1.14 | Indicates the PLMN serving the UE. | YES | ignore |
| gNB-DU UE Aggregate Maximum Bit Rate Uplink | C-ifDRBSetup |  | Bit Rate 9.3.1.22 | The gNB-DU UE Aggregate Maximum Bit Rate Uplink is to be enforced by the gNB-DU. | YES | ignore |
| RRC Delivery Status Request | O |  | ENUMERATED (true, …) | Indicates whether RRC DELIVERY REPORT procedure is requested for the RRC message. | YES | ignore |
| Resource Coordination Transfer Information | O |  | 9.3.1.73 |  | YES | ignore |
| servingCellMO | O |  | INTEGER (1..64, ...) |  | YES | ignore |
| New gNB-CU UE F1AP ID | O |  | gNB-CU UE F1AP ID  9.3.1.4 |  | YES | reject |
| RAN UE ID | O |  | OCTET STRING (SIZE (8)) |  | YES | ignore |
| Trace Activation | O |  | 9.3.1.88 |  | YES | ignore |
| Additional RRM Policy Index | O |  | 9.3.1.90 |  | YES | ignore |
| **BH RLC Channel to be Setup List** |  | *0..1* |  |  | YES | reject |
| **>BH RLC Channel to be Setup Item IEs** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | reject |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| >>CHOICE *BH QoS Information* | M |  |  |  |  |  |
| *>>>BH RLC CH QoS* |  |  |  |  |  |  |
| >>>>BH RLC CH QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 | Shall be used for SA case. | - |  |
| *>>>E-UTRAN BH RLC CH QoS* |  |  |  |  |  |  |
| >>>>E-UTRAN BH RLC CH QoS | M |  | E-UTRAN QoS  9.3.1.19 | Shall be used for EN-DC case. | - |  |
| *>>>Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>Control Plane Traffic Type | M |  | 9.3.1.115 |  | - |  |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| >>BAP Control PDU Channel | O |  | ENUMERATED (true, …) |  | - |  |
| >>Traffic Mapping Information | O |  | 9.3.1.95 |  | - |  |
| Configured BAP Address | O |  | BAP Address  9.3.1.111 | The BAP address configured for the corresponding child IAB-node. | YES | reject |
| NR V2X Services Authorized | O |  | 9.3.1.116 |  | YES | ignore |
| LTE V2X Services Authorized | O |  | 9.3.1.117 |  | YES | ignore |
| NR UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.3.1.119 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| LTE UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.3.1.118 | This IE applies only if the UE is authorized for LTE V2X services. | YES | ignore |
| PC5 Link Aggregate Bit Rate | O |  | Bit Rate  9.3.1.22 | Only applies for non-GBR and unicast QoS Flows. | YES | ignore |
| **SL DRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>SL DRB to Be Setup Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | reject |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **>>SL DRB Information** |  | *1* |  |  | - |  |
| *>>>SL DRB QoS* | M |  | PC5 QoS Parameters  9.3.1.122 |  | - |  |
| **>>>Flows Mapped to SL DRB Item** |  | *1 .. <maxnoofPC5QoSFlows>* |  |  | - |  |
| >>>>PC5 QoS Flow Identifier |  |  | 9.3.1.121 |  | - |  |
| >>RLC mode | M |  | 9.3.1.27 |  | - |  |
| >>Duplication Indication | O |  | ENUMERATED (true, ..., false) | If included, it should be set to true. | - |  |
| **Conditional Inter-DU Mobility Information** | O |  |  |  | YES | reject |
| >CHO Trigger | M |  | ENUMERATED (CHO-initiation, CHO-replace, …) |  | - |  |
| >Target gNB-DU UE F1AP ID | C-ifCHOmod |  | gNB-DU UE F1AP ID  9.3.1.5 | Allocated at the target gNB-DU | - |  |
| >Estimated Arrival Probability | O |  | INTEGER (1..100) |  | YES | ignore |
| >S-CPAC Request | O |  | ENUMERATED (initiation, …) | Indicates that SN change is for S-CPAC preparation. | YES | reject |
| >S-CPAC Lower Layer Reference Config Request | O |  | ENUMERATED (true, …) |  | YES | reject |
| Management Based MDT PLMN List | O |  | MDT PLMN List  9.3.1.151 |  | YES | ignore |
| Serving NID | O |  | NID  9.3.1.155 |  | YES | reject |
| F1-C Transfer Path | O |  | 9.3.1.207 |  | YES | reject |
| F1-C Transfer Path NRDC | O |  | 9.3.1.228 |  | YES | reject |
| MDT Polluted Measurement Indicator | O |  | ENUMERATED (IDC,no-IDC, …) | Indication on whether MDT Measurement affect (e.g. IDC) is undertaken or not. | YES | ignore |
| SCG Activation Request | O |  | 9.3.1.233 |  | YES | ignore |
| Old CG-SDT Session Info | O |  | CG-SDT Session Info 9.3.1.261 |  | YES | ignore |
| 5G ProSe Authorized | O |  | 9.3.1.268 |  | YES | ignore |
| 5G ProSe UE PC5 Aggregate Maximum Bit Rate | O |  | NR UE Sidelink Aggregate Maximum Bit Rate  9.3.1.119 | This IE applies only if the UE is authorized for 5G ProSe services. | YES | ignore |
| 5G ProSe PC5 Link Aggregate Bit Rate | O |  | Bit Rate  9.3.1.22 | This IE applies only if the UE is authorized for 5G ProSe services, and only applies for non-GBR and unicast QoS Flows. | YES | ignore |
| **Uu RLC Channel to Be Setup List** |  | *0..1* |  | This IE is not used in this version of the specification. | YES | reject |
| **>Uu RLC Channel to be Setup Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| >>CHOICE *Uu RLC Channel QoS Information* | M |  |  |  | - |  |
| *>>>Uu RLC Channel QoS* |  |  |  |  |  |  |
| >>>>Uu RLC Channel QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| *>>>Uu Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>Uu Control Plane Traffic Type | M |  | ENUMERATED(SRB0, SRB1, SRB2, …) | This IE indicates the type of SRB conveyed via the Uu Relay RLC Channel. | - |  |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| **PC5 RLC Channel to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>PC5 RLC Channel to be Setup Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 | This IE is not used in this version of the specification. | - |  |
| >>CHOICE *PC5 RLC Channel QoS Information* | M |  |  |  | - |  |
| *>>>PC5 RLC Channel QoS* |  |  |  |  |  |  |
| >>>>PC5 RLC Channel QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| *>>>PC5 Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>PC5 Control Plane Traffic Type | M |  | ENUMERATED(SRB1, SRB2, …, SRB0) | This IE indicates the type of SRB conveyed via the PC5 Relay RLC Channel. This version of the specification does not use SRB0. | - |  |
| *>>>U2U RLC Channel QoS* |  |  |  |  | YES | reject |
| >>>>U2U RLC Channel QoS | M |  | PC5 QoS Parameters  9.3.1.122 |  | - |  |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to information provided in the sl-DestinationIdentityL2-U2U contained in the SL-TxResourceReqL2-U2U IE, defined in TS 38.331 [8].  This IE is included if the gNB-CU UE F1AP ID and/or gNB-DU UE F1AP ID are associated with a L2 U2U Remote UE or L2 U2U Relay UE. | YES | reject |
| Path Switch Configuration | O |  | 9.3.1.263 |  | YES | ignore |
| gNB-DU UE Slice Maximum Bit Rate List | O |  | 9.3.1.271 | The Slice Maximum Bit Rate List is the maximum aggregate UL bit rate per slice, to be enforced by the gNB-DU, if feasible. This IE is ignored if the *DRB to Be Setup List* IE is not present. | YES | ignore |
| Multicast MBS Session Setup List | O |  | Multicast MBS Session List 9.3.1.272 | The list of MBS Session ID that UE has joined. | YES | reject |
| **UE Multicast MRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>UE Multicast MRB to Be Setup Item IEs** |  | *1 .. <maxnoofMRBsforUE>* |  |  | EACH | reject |
| >>MRB ID | M |  | 9.3.1.224 | MRB ID for the UE. | - |  |
| >>MBS PTP Retransmission Tunnel Required | O |  | 9.3.2.10 |  | - |  |
| >>MBS PTP Forwarding Tunnel Required Information | O |  | MRB Progress Information 9.3.2.12 |  | - |  |
| >>Source MRB ID | O |  | MRB ID  9.3.1.224 | In case of inter-DU handover, indicates the MRB ID provided to the UE in the source cell. | YES | ignore |
| **ServingCellMO List** |  | *0..1* |  | For NCD-SSBs | YES | ignore |
| **>ServingCellMO Item IEs** |  | *1 .. <maxnoofServingCellMOs>* |  |  | EACH | ignore |
| >>servingCellMO | M |  | INTEGER (1..64, ...) |  | - |  |
| >>SSB frequency | M |  | INTEGER (0..3279165) | ARFCN | - |  |
| Network Controlled Repeater Authorized | O |  | 9.3.1.288 |  | YES | ignore |
| SDT Volume Threshold | O |  | INTEGER(1.. 192000,...) | Unit: byte. | YES | ignore |
| **LTM InformationSetup** |  | *0..1* |  |  | YES | reject |
| >LTM Indicator | M |  | ENUMERATED (true, …) |  | - |  |
| >Reference Configuration | O |  | 9.3.1.292 |  | - |  |
| >CSI Resource Configuration | O |  | 9.3.1.330 |  | - |  |
| LTM Configuration ID Mapping List | O |  | 9.3.1.294 |  | YES | reject |
| **Early Sync Information Request** |  | *0..1* |  |  | YES | ignore |
| >Request for RACH Configuration | M |  | ENUMERATED (true, …) |  | - |  |
| **>LTM gNB-DUs List** |  | *1* |  | This IE contains the IDs of the source gNB-DU and candidate gNB-DU(s). | YES | reject |
| **>>LTM gNB-DUs Item IEs** |  | *1..< maxnoofLTMgNBDUs>* |  |  | - |  |
| >>>LTM gNB-DU ID | M |  | gNB-DU ID  9.3.1.9 |  | - |  |
| Path Addition Information | O |  | 9.3.1.296 |  | YES | reject |
| NR A2X Services Authorized | O |  | 9.3.1.323 |  | YES | ignore |
| LTE A2X Services Authorized | O |  | 9.3.1.324 |  | YES | ignore |
| NR UE Sidelink Aggregate Maximum Bit Rate for A2X | O |  | NR UE Sidelink Aggregate Maximum Bit Rate  9.3.1.119 | This IE applies only if the UE is authorized for NR A2X services. | YES | ignore |
| LTE UE Sidelink Aggregate Maximum Bit Rate for A2X | O |  | LTE UE Sidelink Aggregate Maximum Bit Rate  9.3.1.118 | This IE applies only if the UE is authorized for LTE A2X services. | YES | ignore |
| DL LBT Failure Information Request | O |  | ENUMERATED (inquiry, …) |  | YES | ignore |
| Ranging and Sidelink Positioning Service Information | O |  | 9.3.1.331 | This IE applies only if the UE is authorized for NR V2X services and/or 5G ProSe services. | YES | ignore |
| Non-Integer DRX Cycle | O |  | 9.3.1.344 |  | YES | ignore |

| Range bound | Explanation |
| --- | --- |
| maxnoofSCells | Maximum no. of SCells allowed towards one UE, the maximum value is 32. |
| maxnoofServingCellMOs | Maximum number of ServingCellMOs for NCD-SSB per cell. Maximum value is 16 |
| maxnoofSRBs | Maximum no. of SRB allowed towards one UE, the maximum value is 8. |
| maxnoofDRBs | Maximum no. of DRB allowed towards one UE, the maximum value is 64. |
| maxnoofULUPTNLInformation | Maximum no. of ULUP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofCandidateSpCells | Maximum no. of SpCells allowed towards one UE, the maximum value is 64. |
| maxnoofQoSFlows | Maximum no. of flows allowed to be mapped to one DRB, the maximum value is 64. |
| maxnoofBHRLCChannels | Maximum no. of BH RLC channels allowed towards one IAB-node, the maximum value is 65536. |
| maxnoofSLDRBs | Maximum no. of SL DRB allowed for NR sidelink communication per UE, the maximum value is 512. |
| maxnoofPC5QoSFlows | Maximum no. of PC5 QoS flow allowed towards one UE for NR sidelink communication, the maximum value is 2048. |
| maxnoofAdditionalPDCPDuplicationTNL | Maximum no. of additional UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofUuRLCChannels | Maximum no. of Uu Relay RLC channels for L2 U2N relaying per Relay UE, the maximum value is 32. |
| maxnoofPC5RLCChannels | Maximum no. of PC5 Relay RLC channels allowed for L2 U2N or U2U relaying per Remote UE or Relay UE, the maximum value is 512. |
| maxnoofMRBsforUE | Maximum no. of multicast MRB allowed towards one UE, the maximum value is 64. |
| maxnoofLTMgNBDUs | Maximum no. of gNB-DUs allowed to be configured with LTM towards one UE, the maximum value is 8. |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifDRBSetup | This IE shall be present only if the *DRB to Be Setup List* IE is present. |
| ifCHOmod | This IE shall be present if the *CHO Trigger* IE is present and set to "CHO-replace". |

[snip]

#### 9.2.2.7 UE CONTEXT MODIFICATION REQUEST

This message is sent by the gNB-CU to provide UE Context information changes to the gNB-DU.

Direction: gNB-CU → gNB-DU

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU UE F1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| gNB-DU UE F1AP ID | M |  | 9.3.1.5 |  | YES | reject |
| SpCell ID | O |  | NR CGI 9.3.1.12 | Special Cell as defined in TS 38.321 [16]. For handover case, this IE is considered as target cell. | YES | ignore |
| ServCellIndex | O |  | INTEGER (0..31, ...) |  | YES | reject |
| SpCell UL Configured | O |  | Cell UL Configured  9.3.1.33 |  | YES | ignore |
| DRX Cycle | O |  | 9.3.1.24 |  | YES | ignore |
| CU to DU RRC Information | O |  | 9.3.1.25 |  | YES | reject |
| Transmission Action Indicator | O |  | 9.3.1.11 |  | YES | ignore |
| Resource Coordination Transfer Container | O |  | OCTET STRING | Includes the *MeNB Resource Coordination Information* IE as defined in subclause 9.2.116 of TS 36.423 [9] for EN-DC case or *MR-DC Resource Coordination Information* IE as defined in TS 38.423 [28] for NGEN-DC and NE-DC cases. | YES | ignore |
| RRC Reconfiguration Complete Indicator | O |  | 9.3.1.30 |  | YES | ignore |
| RRC-Container | O |  | 9.3.1.6 | Includes the *DL-DCCH-Message* message as defined in subclause 6.2 of TS 38.331 [8], encapsulated in a PDCP PDU. | YES | reject |
| **SCell To Be Setup List** |  | *0..1* |  |  | YES | ignore |
| **>SCell to Be Setup Item IEs** |  | *1.. <maxnoofSCells>* |  |  | EACH | ignore |
| >>SCell ID | M |  | NR CGI 9.3.1.12 | SCell Identifier in gNB | - |  |
| >>SCellIndex | M |  | INTEGER (1..31, ...) |  | - |  |
| >>SCell UL Configured | O |  | Cell UL Configured  9.3.1.33 |  | - |  |
| >>servingCellMO | O |  | INTEGER (1..64, ...) |  | YES | ignore |
| **SCell To Be Removed List** |  | *0..1* |  |  | YES | ignore |
| **>SCell to Be Removed Item IEs** |  | *1 .. <maxnoofSCells>* |  |  | EACH | ignore |
| >>SCell ID | M |  | NR CGI 9.3.1.12 | SCell Identifier in gNB | - |  |
| **SRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>SRB to Be Setup Item IEs** |  | *1..<maxnoofSRBs>* |  |  | EACH | reject |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| >>Duplication Indication | O |  | ENUMERATED (true, ..., false) | This IE is ignored if the *Additional Duplication Indication* IE is present. | - |  |
| >>Additional Duplication Indication | O |  | ENUMERATED (three, four, …) |  | YES | ignore |
| >>SRB Mapping Info | O |  | Uu RLC Channel ID 9.3.1.266 | This IE contains the mapped Uu Relay RLC CH ID for the SRB | YES | ignore |
| >>SDT Indicator Setup | O |  | ENUMERATED (true, …) | Indicates SDT SRB. | YES | reject |
| **DRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>DRB to Be Setup Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | reject |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>CHOICE QoS Information | M |  |  |  | - |  |
| *>>>E-UTRAN QoS* |  |  |  |  |  |  |
| >>>>E-UTRAN QoS | M |  | 9.3.1.19 | Shall be used for EN-DC case to convey E-RAB Level QoS Parameters |  |  |
| *>>>DRB Information* |  |  |  |  |  |  |
| **>>>>DRB Information** |  | *1* |  | Shall be used for NG-RAN cases | YES | ignore |
| >>>>>DRB QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| >>>>>S-NSSAI | M |  | 9.3.1.38 |  | - |  |
| >>>>>Notification Control | O |  | 9.3.1.56 |  | - |  |
| **>>>>>Flows Mapped to DRB Item** |  | *1 .. <maxnoofQoSFlows>* |  |  | - |  |
| >>>>>>QoS Flow Identifier | M |  | 9.3.1.63 |  | - |  |
| >>>>>>QoS Flow Level QoS Parameters | M |  | 9.3.1.45 |  | - |  |
| >>>>>>QoS Flow Mapping Indication | O |  | 9.3.1.72 |  | YES | ignore |
| >>>>>>TSC Traffic Characteristics | O |  | 9.3.1.141 | Traffic pattern information associated with the QFI. Details in TS 23.501 [21]. | YES | ignore |
| >>>>ECN Marking or Congestion Information Reporting Request | O |  | 9.3.1.321 |  | YES | ignore |
| >>>>PSI based SDU Discard UL | O |  | ENUMERATED (start, stop, …) | Indicates whether UL PSI based SDU discard is (re)configured or released for the DRB. The codepoint “start” means that UL PSI based discarding is (re)configured, while the codepoint “stop” means that UL PSI based discarding is released. Up to 8 DRBs can be set as “start”. | YES | ignore |
| **>>UL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>>UL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofULUPTNLInformation>* |  |  | - |  |
| >>>>UL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| >>>>DRB Mapping Info | O |  | Uu RLC Channel ID 9.3.1.266 | This IE contains the mapped Uu Relay RLC CH ID of the DL tunnel corresponding to such UL tunnel | YES | ignore |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| >>UL Configuration | O |  | 9.3.1.31 | Information about UL usage in gNB-DU. | - |  |
| >>Duplication Activation | O |  | 9.3.1.36 | Information on the initial state of CA based or multi-path relay based UL PDCP duplication.  This IE is ignored if the *RLC Duplication Information* IE is present. | - |  |
| >>DC Based Duplication Configured | O |  | ENUMERATED (true, ..., false) | Indication on whether DC based PDCP duplication is configured or not. If included, it should be set to true. | YES | reject |
| >>DC Based Duplication Activation | O |  | Duplication Activation  9.3.1.36 | Information on the initial state of DC based UL PDCP duplication.  This IE is ignored if the *RLC Duplication Information* IE is present. | YES | reject |
| >>DL PDCP SN length | O |  | ENUMERATED (12bits, 18bits, ...) |  | YES | ignore |
| >>UL PDCP SN length | O |  | ENUMERATED (12bits, 18bits, ...) |  | YES | ignore |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | ignore |
| **>>>Additional PDCP Duplication TNL Items** |  | *1 .. < maxnoofAdditionalPDCPDuplicationTNL>* |  |  | EACH | ignore |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| >>RLC Duplication Information | O |  | 9.3.1.146 |  | YES | ignore |
| >>SDT Indicator Setup | O |  | ENUMERATED (true, …) | Indicates SDT DRB. | YES | reject |
| **DRB to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>DRB to Be Modified Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | reject |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>CHOICE *QoS Information* | O |  |  |  | - |  |
| *>>>E-UTRAN QoS* |  |  |  |  |  |  |
| >>>>E-UTRAN QoS | M |  | 9.3.1.19 | Used for EN-DC case to convey E-RAB Level QoS Parameters | - |  |
| *>>>DRB Information* |  |  |  |  |  |  |
| **>>>>DRB Information** |  | *1* |  | Used for NG-RAN cases | YES | ignore |
| >>>>>DRB QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| >>>>>S-NSSAI | M |  | 9.3.1.38 |  | - |  |
| >>>>>Notification Control | O |  | 9.3.1.56 |  | - |  |
| **>>>>>Flows Mapped to DRB Item** |  | *1 .. <maxnoofQoSFlows>* |  |  | - |  |
| >>>>>>QoS Flow Identifier | M |  | 9.3.1.63 |  | - |  |
| >>>>>>QoS Flow Level QoS Parameters | M |  | 9.3.1.45 |  | - |  |
| >>>>>>QoS Flow Mapping Indication | O |  | 9.3.1.72 |  | YES | ignore |
| >>>>>>TSC Traffic Characteristics | O |  | 9.3.1.141 | Traffic pattern information associated with the QFI. Details in TS 23.501 [21]. | YES | ignore |
| >>>>ECN Marking or Congestion Information Reporting Request | O |  | 9.3.1.321 |  | YES | ignore |
| >>>>PSI based SDU Discard UL | O |  | ENUMERATED (start, stop, …) | Indicates whether UL PSI based SDU discard is (re)configured or released for the DRB. The codepoint “start” means that UL PSI based discarding is (re)configured, while the codepoint “stop” means that UL PSI based discarding is released. Up to 8 DRBs can be set as “start”. | YES | ignore |
| **>>UL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>>UL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofULUPTNLInformation>* |  |  | - |  |
| >>>>UL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| >>>>DRB Mapping Info | O |  | Uu RLC Channel ID 9.3.1.266 |  | YES | ignore |
| >>UL Configuration | O |  | 9.3.1.31 | Information about UL usage in gNB-DU. | - |  |
| >>DL PDCP SN length | O |  | ENUMERATED(12bits,18bits, ...) |  | YES | ignore |
| >>UL PDCP SN length | O |  | ENUMERATED (12bits, 18bits, ...) |  | YES | ignore |
| >>Bearer Type Change | O |  | ENUMERATED (true, …) |  | YES | ignore |
| >>RLC Mode | O |  | 9.3.1.27 |  | YES | ignore |
| >>Duplication Activation | O |  | 9.3.1.36 | Information on the initial state of CA based or multi-path relay based UL PDCP duplication.  This IE is ignored if the *RLC Duplication Information* IE is present. | YES | reject |
| >>DC Based Duplication Configured | O |  | ENUMERATED (true, …, false) | Indication on whether DC based PDCP duplication is configured or not. | YES | reject |
| >>DC Based Duplication Activation | O |  | Duplication activation  9.3.1.36 | Information on the initial state of DC based UL PDCP duplication.  This IE is ignored if the *RLC Duplication Information* IE is present. | YES | reject |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | ignore |
| **>>>Additional PDCP Duplication TNL Items** |  | *1 .. <maxnoofAdditionalPDCPDuplicationTNL>* |  |  | EACH | ignore |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| >>RLC Duplication Information | O |  | 9.3.1.146 |  | YES | ignore |
| >>Transmission Stop Indicator | O |  | 9.3.1.209 |  | YES | ignore |
| >>SDT Indicator Modify | O |  | ENUMTERATED (true, false, …) | Indicates SDT DRB or not. | YES | reject |
| **SRB To Be Released List** |  | *0..1* |  |  | YES | reject |
| **>SRB To Be Released Item IEs** |  | *1.. <maxnoofSRBs>* |  |  | EACH | reject |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| **DRB to Be Released List** |  | *0..1* |  |  | YES | reject |
| **>DRB to Be Released Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | reject |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| Inactivity Monitoring Request | O |  | ENUMERATED (true, ...) |  | YES | reject |
| RAT-Frequency Priority Information | O |  | 9.3.1.34 |  | YES | reject |
| DRX configuration indicator | O |  | ENUMERATED(release,...) |  | YES | ignore |
| RLC Failure Indication | O |  | 9.3.1.66 |  | YES | ignore |
| Uplink TxDirectCurrentList Information | O |  | 9.3.1.67 |  | YES | ignore |
| GNB-DU Configuration Query | O |  | ENUMERATED (true, ...) | Used to request the gNB-DU to provide its configuration. | YES | reject |
| gNB-DU UE Aggregate Maximum Bit Rate Uplink | O |  | Bit Rate 9.3.1.22 | The gNB-DU UE Aggregate Maximum Bit Rate Uplink is to be enforced by the gNB-DU. | YES | ignore |
| Execute Duplication | O |  | ENUMERATED (true, ...) | This IE may be sent only if duplication has been configured for the UE. | YES | ignore |
| RRC Delivery Status Request | O |  | ENUMERATED (true, …) | Indicates whether RRC DELIVERY REPORT procedure is requested for the RRC message. | YES | ignore |
| Resource Coordination Transfer Information | O |  | 9.3.1.73 |  | YES | ignore |
| servingCellMO | O |  | INTEGER (1..64, ...) |  | YES | ignore |
| Need for Gap | O |  | ENUMERATED (true, …) | Indicate gap for SeNB configured measurement is requested.It only applied to NE DC scenario. | YES | ignore |
| Full Configuration | O |  | ENUMERATED (full, ...) |  | YES | reject |
| Additional RRM Policy Index | O |  | 9.3.1.90 |  | YES | ignore |
| Lower Layer Presence Status Change | O |  | 9.3.1.94 |  | YES | ignore |
| **BH RLC Channel to be Setup List** |  | *0..1* |  |  | YES | reject |
| **>BH RLC Channel to be Setup Item IEs** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | reject |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| >>CHOICE *BH QoS information* | M |  |  |  |  |  |
| *>>>BH RLC CH QoS* |  |  |  |  |  |  |
| >>>>BH RLC CH QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 | Shall be used for SA case. |  |  |
| *>>>E-UTRAN BH RLC CH QoS* |  |  |  |  |  |  |
| >>>>E-UTRAN BH RLC CH QoS | M |  | E-UTRAN QoS  9.3.1.19 | Shall be used for EN-DC case. |  |  |
| *>>>Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>Control Plane Traffic Type | M |  | 9.3.1.115 |  |  |  |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| >>BAP Control PDU Channel | O |  | ENUMERATED (true, …) |  | - |  |
| >>Traffic Mapping Information | O |  | 9.3.1.95 |  | - |  |
| **BH RLC Channel to be Modified List** |  | *0..1* |  |  | YES | reject |
| **>BH RLC Channel to be Modified Item IEs** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | reject |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| >>CHOICE *BH QoS information* | O |  |  |  |  |  |
| *>>>BH RLC CH QoS* |  |  |  |  |  |  |
| >>>>BH RLC CH QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 | Shall be used for SA case. | - |  |
| *>>>E-UTRAN BH RLC CH QoS* |  |  |  |  |  |  |
| >>>>E-UTRAN BH RLC CH QoS | M |  | E-UTRAN QoS  9.3.1.19 | Shall be used for EN-DC case. | - |  |
| *>>>Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>Control Plane Traffic Type | M |  | 9.3.1.115 |  | - |  |
| >>RLC Mode | O |  | 9.3.1.27 |  | - |  |
| >>BAP Control PDU Channel | O |  | ENUMERATED (true, …) |  | - |  |
| >>Traffic Mapping Information | O |  | 9.3.1.95 |  | - |  |
| **BH RLC Channel to be Released List** |  | *0..1* |  |  | YES | reject |
| **>BH RLC Channel to be Released Item IEs** |  | *1 .. <maxnoofBHRLCChannels >* |  |  | EACH | reject |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| NR V2X Services Authorized | O |  | 9.3.1.116 |  | YES | ignore |
| LTE V2X Services Authorized | O |  | 9.3.1.117 |  | YES | ignore |
| NR UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.3.1.119 | This IE applies only if the UE is authorized for NR V2X services. | YES | ignore |
| LTE UE Sidelink Aggregate Maximum Bit Rate | O |  | 9.3.1.118 | This IE applies only if the UE is authorized for LTE V2X services. | YES | ignore |
| PC5 Link Aggregate Bit Rate | O |  | Bit Rate  9.3.1.22 | Only applies for non-GBR and unicast QoS Flows. | YES | ignore |
| **SL DRB to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>SL DRB to Be Setup Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | reject |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **>>SL DRB Information** |  | *1* |  |  | - |  |
| >>>SL DRB QoS | M |  | PC5 QoS Parameters  9.3.1.122 |  | - |  |
| **>>>Flows Mapped to SL DRB Item** |  | *1 .. <maxnoofPC5QoSFlows>* |  |  | - |  |
| >>>>PC5 QoS Flow Identifier | M |  | 9.3.1.121 |  | - |  |
| >>RLC mode | O |  | 9.3.1.27 |  | - |  |
| >>Duplication Indication | O |  | ENUMERATED (true, ..., false) | If included, it should be set to true. | - |  |
| **SL DRB to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>SL DRB to Be Modified Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | reject |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **>>SL DRB Information** |  | *1* |  |  | - |  |
| >>>SL DRB QoS | M |  | PC5 QoS Parameters  9.3.1.122 |  | - |  |
| **>>>Flows Mapped to SL DRB Item** |  | *1 .. <maxnoofPC5QoSFlows>* |  |  | - |  |
| >>>>PC5 QoS Flow Identifier | M |  | 9.3.1.121 |  | - |  |
| >>RLC mode | O |  | 9.3.1.27 |  | - |  |
| >>Duplication Indication | O |  | ENUMERATED (true, ..., false) |  | - |  |
| **SL DRB to Be Released List** |  | *0..1* |  |  | YES | reject |
| **>SL DRB to Be Released Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | reject |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **Conditional Intra-DU Mobility Information** | O |  |  |  | YES | reject |
| >CHO Trigger | M |  | ENUMERATED (CHO-initiation, CHO-replace, CHO-cancel, …) |  | - | - |
| **>****Candidate Cells To Be Cancelled List** | C-ifCHOcancel | *0 .. <maxnoofCellsinCHO>* |  |  | - | - |
| >>Target Cell ID | M |  | NR CGI 9.3.1.12 |  | - | - |
| >Estimated Arrival Probability | O |  | INTEGER (1..100) |  | YES | ignore |
| >S-CPAC Request | O |  | ENUMERATED (initiation, …) | Indicates that SN change is for S-CPAC preparation. | YES | reject |
| >S-CPAC Lower Layer Reference Config Request | O |  | ENUMERATED (true, …) |  | YES | reject |
| F1-C Transfer Path | O |  | 9.3.1.207 |  | YES | reject |
| SCG Indicator | O |  | ENUMERATED(released,...) | This IE is used at the MN in NR-DC and NE-DC and it indicates the release of an SCG | YES | ignore |
| Uplink TxDirectCurrentTwoCarrierList Information | O |  | 9.3.1.283 |  | YES | ignore |
| IAB Conditional RRC Message Delivery Indication | O |  | ENUMERATED (true, …) | Indicates whether the RRC message within should be withheld. This IE is only applicable if the UE is an IAB-MT, and the gNB-DU is an IAB-DU. | YES | reject |
| F1-C Transfer Path NRDC | O |  | 9.3.1.228 | This IE is only applicable if the UE is an IAB-MT. | YES | reject |
| MDT Polluted Measurement Indicator | O |  | ENUMERATED (IDC,no-IDC, …) | Indication on whether MDT Measurement affect (e.g. IDC) is undertaken or not. | YES | ignore |
| SCG Activation Request | O |  | 9.3.1.233 |  | YES | ignore |
| CG-SDT Query Indication | O |  | ENUMERATED (true, ...) |  | YES | ignore |
| 5G ProSe Authorized | O |  | 9.3.1.268 |  | YES | ignore |
| 5G ProSe UE PC5 Aggregate Maximum Bit Rate | O |  | NR UE Sidelink Aggregate Maximum Bit Rate  9.3.1.119 | This IE applies only if the UE is authorized for 5G ProSe services. | YES | ignore |
| 5G ProSe PC5 Link Aggregate Bit Rate | O |  | Bit Rate  9.3.1.22 | This IE applies only if the UE is authorized for 5G ProSe services, and only applies for non-GBR and unicast QoS Flows. | YES | ignore |
| Updated Remote UE Local ID | O |  | Remote UE Local ID 9.3.1.267 | This IE indicates the updated Remote UE Local ID for the U2N Remote UE associated with the F1AP-IDs | YES | ignore |
| **Uu RLC Channel to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>Uu RLC Channel to be Setup Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| >>CHOICE *Uu RLC Channel QoS Information* | M |  |  |  | - |  |
| *>>>Uu RLC Channel QoS* |  |  |  |  |  |  |
| >>>>Uu RLC Channel QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| *>>>Uu Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>Uu Control Plane Traffic Type | M |  | ENUMERATED(SRB0, SRB1, SRB2, …) | This IE indicates the type of SRB conveyed via the Uu Relay RLC Channel. | - |  |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| **Uu RLC Channel to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>Uu RLC Channel to be Modified Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| >>CHOICE *Uu RLC Channel QoS Information* | O |  |  |  | - |  |
| *>>>Uu RLC Channel QoS* |  |  |  |  |  |  |
| >>>>Uu RLC Channel QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| *>>>Uu Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>Uu Control Plane Traffic Type | M |  | ENUMERATED(SRB0, SRB1, SRB2, …) | This IE indicates the type of SRB conveyed via the Uu Relay RLC Channel. | - |  |
| >>RLC Mode | O |  | 9.3.1.27 |  | - |  |
| **Uu RLC Channel to Be Released List** |  | *0..1* |  |  | YES | reject |
| **>Uu RLC Channel to Be Released Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC channel ID | M |  | 9.3.1.266 |  | - |  |
| **PC5 RLC Channel to Be Setup List** |  | *0..1* |  |  | YES | reject |
| **>PC5 RLC Channel to be Setup Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>CHOICE *PC5 RLC Channel QoS Information* | M |  |  |  | - |  |
| *>>>PC5 RLC Channel QoS* |  |  |  |  |  |  |
| >>>>PC5 RLC Channel QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| *>>>PC5 Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>PC5 Control Plane Traffic Type | M |  | ENUMERATED(SRB1, SRB2, …, SRB0) | This IE indicates the type of SRB conveyed via the PC5 Relay RLC Channel. | - |  |
| *>>>U2U RLC Channel QoS* |  |  |  |  | YES | reject |
| >>>>U2U RLC Channel QoS | M |  | PC5 QoS Parameters  9.3.1.122 |  | - |  |
| >>RLC Mode | M |  | 9.3.1.27 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to information provided in the sl-DestinationIdentityL2-U2U contained in the SL-TxResourceReqL2-U2U IE, defined in TS 38.331 [8], or corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication.  This IE is included if the gNB-CU UE F1AP ID and/or gNB-DU UE F1AP ID are associated with a L2 U2U Remote UE or L2 U2U Relay UE in U2U relay communication, or L2 U2N Relay UE in Multi-hop relay communication. | YES | reject |
| **PC5 RLC Channel to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>PC5 RLC Channel to be Modified Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  |  |  |
| >>CHOICE *PC5 RLC Channel QoS Information* | O |  |  |  | - |  |
| *>>>PC5 RLC Channel QoS* |  |  |  |  |  |  |
| >>>>PC5 RLC Channel QoS | M |  | QoS Flow Level QoS Parameters  9.3.1.45 |  | - |  |
| *>>>PC5 Control Plane Traffic Type* |  |  |  |  |  |  |
| >>>>PC5 Control Plane Traffic Type | M |  | ENUMERATED(SRB1, SRB2, …, SRB0) | This IE indicate the type of SRB conveyed via the PC5 Relay RLC Channel. | - |  |
| *>>>U2U RLC Channel QoS* |  |  |  |  | YES | reject |
| >>>>U2U RLC Channel QoS | M |  | PC5 QoS Parameters  9.3.1.122 |  | - |  |
| >>RLC Mode | O |  | 9.3.1.27 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| **PC5 RLC Channel to Be Released List** |  | *0..1* |  |  | YES | reject |
| **>PC5 RLC Channel to be Released Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| Path Switch Configuration | O |  | 9.3.1.263 |  | YES | ignore |
| gNB-DU UE Slice Maximum Bit Rate List | O |  | 9.3.1.271 | The Slice Maximum Bit Rate List is the maximum aggregate UL bit rate per slice, to be enforced by the gNB-DU, if feasible. | YES | ignore |
| Multicast MBS Session Setup List | O |  | Multicast MBS Session List 9.3.1.272 | The list of MBS Session ID that UE has joined. | YES | reject |
| Multicast MBS Session Remove List | O |  | Multicast MBS Session List 9.3.1.272 | The list of MBS Session ID that UE has left. | YES | reject |
| **UE Multicast MRB to Be Setup at Modify List** |  | *0..1* |  |  | YES | reject |
| **>UE Multicast MRB to Be Setup at Modify Item IEs** |  | *1 .. <maxnoofMRBsforUE>* |  |  | EACH | reject |
| >>MRB ID | M |  | 9.3.1.224 | MRB ID for the UE. | - |  |
| >>MBS PTP Retransmission Tunnel Required | O |  | 9.3.2.10 |  | - |  |
| >>MBS PTP Forwarding Tunnel Required Information | O |  | MRB Progress Information 9.3.2.12 |  | - |  |
| **UE Multicast MRB to Be Released List** |  | *0..1* |  |  | YES | reject |
| **>UE Multicast MRB to Be Released Item IEs** |  | *1 .. <maxnoofMRBsforUE>* |  |  | EACH | reject |
| >>MRB ID | M |  | 9.3.1.224 | MRB ID for the UE. | - |  |
| **SL DRX Cycle List** |  | *0..1* |  |  | YES | ignore |
| **>SL DRX Cycle Item IEs** |  | *1 ..*  *<maxnoofSLdestinations >* |  |  | EACH | ignore |
| >>RX UE ID | M |  | BIT STRING (SIZE(24)) | Indicates the destination L2 ID of RX UE associated to this UE. | - |  |
| >>CHOICE *SL DRX Information* | M |  |  |  | - |  |
| *>>>SL DRX Cycle* |  |  |  |  |  |  |
| >>>>SL DRX Cycle Length | M |  | ENUMERATED (ms10, ms20, ms32, ms40, ms60, ms64, ms70, ms80, ms128, ms160, ms256, ms320, ms512, ms640, ms1024, ms1280, ms2048, ms2560, ms5120, ms10240, ...) | Indicates the desired SL DRX cycle for RX UE associated to this UE. | - |  |
| *>>>No SL DRX* |  |  |  |  | - |  |
| >>>>SL DRX configuration indicator | M |  | ENUMERATED(release,...) |  | - |  |
| Management Based MDT PLMN Modification List | O |  | MDT PLMN Modification List  9.3.1.274 |  | YES | ignore |
| SDT Bearer Configuration Query Indication | O |  | ENUMERATED (true, ...) |  | YES | ignore |
| DAPS HO status | O |  | ENUMERATED(initiation, …) | This IE is used if DAPS HO is initiated. | YES | ignore |
| **ServingCellMO List** |  | *0..1* |  | For NCD-SSBs | YES | ignore |
| **>ServingCellMO Item IEs** |  | *1 .. <maxnoofServingCellMOs>* |  |  | EACH | ignore |
| >>servingCellMO | M |  | INTEGER (1..64, ...) |  | - |  |
| >>SSB frequency | M |  | INTEGER (0..3279165) | ARFCN | - |  |
| Uplink TxDirectCurrentMoreCarrierList Information | O |  | 9.3.1.284 |  | YES | ignore |
| **CPAC MCG Information** |  | *0..1* |  | This IE is used at the MN for MCG configuration as specified in TS 37.340 [7] for CPAC. | YES | ignore |
| >CPAC Trigger | M |  | ENUMERATED (CPAC-preparation, CPAC-executed, …) |  | - |  |
| >PSCell ID | M |  | NR CGI 9.3.1.12 | The PSCell corresponding to the included CG-Config IE at CPAC-preparation or the selected PSCell by the UE at CPAC-executed. | - |  |
| Network Controlled Repeater Authorized | O |  | 9.3.1.288 |  | YES | ignore |
| SDT Volume Threshold | O |  | INTEGER(1.. 192000,...) | Unit: byte. | YES | ignore |
| **LTM Information Modify** |  | *0..1* |  |  | YES | reject |
| >LTM Indicator | M |  | ENUMERATED (true, …) |  | - |  |
| >Reference Configuration | O |  | 9.3.1.292 |  | - |  |
| >CSI Resource Configuration | O |  | 9.3.1.330 |  | - |  |
| **LTM CFRA Resource Config List** |  | *0..1* |  |  | YES | ignore |
| **>LTM CFRA Resource Config Item IEs** |  | *1 .. <maxnoofLTMCells>* |  |  | EACH | ignore |
| >>Cell ID | M |  | NR CGI  9.3.1.12 |  | - |  |
| >>LTM CFRA Resource Configuration | O |  | OCTET STRING | Includes the *RACH-ConfigDedicated* IE, as defined in TS 38.331 [8]. | - |  |
| >>LTM CFRA Resource Configuration for SUL | O |  | OCTET STRING | Includes the *RACH-ConfigDedicated* IE, as defined in TS 38.331 [8]. This IE applies for SUL carrier. | - |  |
| LTM Configuration ID Mapping List | O |  | 9.3.1.294 |  | YES | reject |
| **Early Sync Information Request** |  | *0..1* |  |  | YES | ignore |
| >Request for RACH Configuration | M |  | ENUMERATED (true, …) |  | - |  |
| **>LTM gNB-DUs ID List** |  | *1* |  | This IE contains the IDs of the source gNB-DU and candidate gNB-DU(s). | YES | reject |
| **>>LTM gNB-DUs Item IEs** |  | *1..< maxnoofLTMgNBDUs>* |  |  | - |  |
| >>>LTM gNB-DU ID | M |  | gNB-DU ID  9.3.1.9 |  | - |  |
| **Early Sync Candidate Cell Information List** |  | *0..1* |  |  | YES | ignore |
| **>Early Sync Candidate Cell Information Item IEs** |  | *1 .. <maxnoofLTMCells>* |  |  | EACH | ignore |
| >>Cell ID | M |  | NR CGI  9.3.1.12 |  | - |  |
| >>TCI States Configurations List | O |  | OCTET STRING | Includes the *LTM-TCI-Info*  IE, as defined in TS 38.331 [8]. | - |  |
| >>Early UL Sync Configuration | O |  | 9.3.1.328 |  | - |  |
| >>Early UL Sync Configuration for SUL | O |  | Early UL Sync Configuration  9.3.1.328 | This IE applies for SUL carrier. | - |  |
| >>TA Assistance Information | O |  | ENUMERATED (zero, …) | The value "zero" corresponds to TA value of the cell being equal to zero. | - |  |
| >>UE Based TA Measurement Configuration | O |  | OCTET STRING | Includes the *ltm-UE-MeasuredTA-ID* contained in the *LTM-Candidate* IE, as defined in TS 38.331 [8], for the LTM candidate cell identified by the *Cell ID* IE. | - |  |
| >>SSB Positions In Burst | C-ifEarlyUL |  | 9.3.1.138 | This IE applies to early TA acquisition. | YES | ignore |
| **Early Sync Serving Cell Information** |  | *0..1* |  |  | YES | ignore |
| >UE Based TA Measurement Configuration | O |  | OCTET STRING | Includes the *ltm-ServingCellUE-MeasuredTA-ID* contained in the *LTM-Config* IE, as defined in TS 38.331 [8], for the current serving cell. | - |  |
| LTM Cells To Be Released List | O |  | 9.3.1.291 |  | YES | reject |
| Path Addition Information | O |  | 9.3.1.296 |  | YES | reject |
| NR A2X Services Authorized | O |  | 9.3.1.323 |  | YES | ignore |
| LTE A2X Services Authorized | O |  | 9.3.1.324 |  | YES | ignore |
| NR UE Sidelink Aggregate Maximum Bit Rate for A2X | O |  | NR UE Sidelink Aggregate Maximum Bit Rate  9.3.1.119 | This IE applies only if the UE is authorized for NR A2X services. | YES | ignore |
| LTE UE Sidelink Aggregate Maximum Bit Rate for A2X | O |  | LTE UE Sidelink Aggregate Maximum Bit Rate  9.3.1.118 | This IE applies only if the UE is authorized for LTE A2X services. | YES | ignore |
| DL LBT Failure Information Request | O |  | ENUMERATED (inquiry, …) |  | YES | ignore |
| Ranging and Sidelink Positioning Service Information | O |  | 9.3.1.331 | This IE applies only if the UE is authorized for NR V2X services and/or 5G ProSe services. | YES | ignore |
| Non-Integer DRX Cycle | O |  | 9.3.1.344 |  | YES | ignore |
| LTM Reset Information | O |  | 9.3.1.346 |  | YES | ignore |

| Range bound | Explanation |
| --- | --- |
| maxnoofSCells | Maximum no. of SCells allowed towards one UE, the maximum value is 32. |
| maxnoofServingCellMOs | Maximum number of ServingCellMOs for NCD-SSB per cell. Maximum value is 16 |
| maxnoofSRBs | Maximum no. of SRB allowed towards one UE, the maximum value is 8. |
| maxnoofDRBs | Maximum no. of DRB allowed towards one UE, the maximum value is 64. |
| maxnoofULUPTNLInformation | Maximum no. of UL UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofQoSFlows | Maximum no. of flows allowed to be mapped to one DRB, the maximum value is 64. |
| maxnoofBHRLCChannels | Maximum no. of BH RLC channels allowed towards one IAB-node, the maximum value is 65536. |
| maxnoofSLDRBs | Maximum no. of SL DRB allowed for NR sidelink communication per UE, the maximum value is 512. |
| maxnoofPC5QoSFlows | Maximum no. of PC5 QoS flow allowed towards one UE for NR sidelink communication, the maximum value is 2048. |
| maxnoofAdditionalPDCPDuplicationTNL | Maximum no. of additional UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofCellsinCHO | Maximum no. cells that can be prepared for a conditional mobility. Value is 8. |
| maxnoofUuRLCChannels | Maximum no. of Uu Relay RLC channels for L2 U2N relaying or L2 N3C relaying per Relay UE, the maximum value is 32. |
| maxnoofPC5RLCChannels | Maximum no. of PC5 Relay RLC channel allowed for L2 U2N or U2U relaying per Remote UE or Relay UE, the maximum value is 512. |
| maxnoofMRBsforUE | Maximum no. of multicast MRB allowed towards one UE, the maximum value is 64. |
| maxnoofSLdestinations | Maximum number of destination for NR sidelink communication, the maximum value is 32 |
| maxnoofLTMCells | Maximum no. of Cells configured for LTM allowed towards one UE, the maximum value is 8. |
| maxnoofLTMgNBDUs | Maximum no. of gNB-DUs allowed to be configured with LTM towards one UE, the maximum value is 8. |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifCHOcancel | This IE shall be present if the *CHO Trigger* IE is present and set to "CHO-cancel". |
| ifEarlyUL | This IE shall be present if the *Early UL Sync Configuration* IE or the *Early UL Sync Configuration for SUL* IE is present. |

#### 9.2.2.8 UE CONTEXT MODIFICATION RESPONSE

This message is sent by the gNB-DU to confirm the modification of a UE context.

Direction: gNB-DU → gNB-CU.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU UE F1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| gNB-DU UE F1AP ID | M |  | 9.3.1.5 |  | YES | reject |
| Resource Coordination Transfer Container | O |  | OCTET STRING | Includes the *SgNB Resource Coordination Information* IE as defined in subclause 9.2.117 of TS 36.423 [9] for EN-DC case or *MR-DC Resource Coordination Information* IE as defined in TS 38.423 [28] for NGEN-DC and NE-DC cases. | YES | ignore |
| DU To CU RRC Information | O |  | 9.3.1.26 |  | YES | reject |
| **DRB Setup List** |  | *0..1* |  | The List of DRBs which are successfully established. | YES | ignore |
| **>DRB Setup Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>LCID | O |  | 9.3.1.35 | LCID for the primary path or for the split secondary path for fallback to split bearer if PDCP duplication is applied. | - |  |
| **>>DL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>>DL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofDLUPTNLInformation>* |  |  | - |  |
| >>>>DL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-DU endpoint of the F1 transport bearer. For delivery of DL PDUs. | - |  |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | ignore |
| **>>>Additional PDCP Duplication TNL Items** |  | *1 .. <* *maxnoofAdditionalPDCPDuplicationTNL>* |  |  | EACH | ignore |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-DU endpoint of the F1 transport bearer. For delivery of DL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 | This IE is not used in this version of the specification. | YES | ignore |
| >>Current QoS Parameters Set Index | O |  | Alternative QoS Parameters Set Index  9.3.1.123 | Index to the currently fulfilled alternative QoS parameters set. | YES | ignore |
| >>TSC Traffic Characteristics Feedback | O |  | 9.3.1.302 |  | YES | ignore |
| >>ECN Marking or Congestion Information Reporting Status | O |  | 9.3.1.322 |  | YES | ignore |
| **DRB Modified List** |  | *0..1* |  | The List of DRBs which are successfully modified. | YES | ignore |
| **>DRB Modified Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>LCID | O |  | 9.3.1.35 | LCID for the primary path or for the split secondary path for fallback to split bearer if PDCP duplication is applied. | - |  |
| **>>DL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>>DL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofDLUPTNLInformation>* |  |  | - |  |
| >>>>DL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-DU endpoint of the F1 transport bearer. For delivery of DL PDUs. | - |  |
| >>RLC Status | O |  | 9.3.1.69 | Indicates the RLC has been re-established at the gNB-DU. | YES | ignore |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | ignore |
| **>>>Additional PDCP Duplication TNL Items** |  | *1 .. <* *maxnoofAdditionalPDCPDuplicationTNL>* |  |  | EACH | ignore |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-DU endpoint of the F1 transport bearer. For delivery of DL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 | This IE is not used in this version of the specification. | YES | ignore |
| >>Current QoS Parameters Set Index | O |  | Alternative QoS Parameters Set Index  9.3.1.123 | Index to the currently fulfilled alternative QoS parameters set. | YES | ignore |
| >>TSC Traffic Characteristics Feedback | O |  | 9.3.1.302 |  | YES | ignore |
| >>ECN Marking or Congestion Information Reporting Status | O |  | 9.3.1.322 |  | YES | ignore |
| **SRB Failed to be Setup List** |  | *0..1* |  | The List of SRBs which are failed to be established. | YES | ignore |
| **>SRB Failed to be Setup Item IEs** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | ignore |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **DRB Failed to be Setup List** |  | *0..1* |  | The List of DRBs which are failed to be setup. | YES | ignore |
| **>DRB Failed to be Setup Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **SCell Failed To Setup List** |  | *0..1* |  |  | YES | ignore |
| **>SCell Failed to Setup Item** |  | *1 .. <maxnoofSCells>* |  |  | EACH | ignore |
| >>SCell ID | M |  | NR CGI 9.3.1.12 | SCell Identifier in gNB | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **DRB Failed to be Modified List** |  | 0..1 |  | The List of DRBs which are failed to be modified. | YES | ignore |
| **>DRB Failed to be Modified Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| Inactivity Monitoring Response | O |  | ENUMERATED (Not-supported, ...) |  | YES | reject |
| Criticality Diagnostics | O |  | 9.3.1.3 |  | YES | ignore |
| C-RNTI | O |  | 9.3.1.32 | C-RNTI allocated at the gNB-DU | YES | ignore |
| Associated SCell List | O |  | 9.3.1.77 |  | YES | ignore |
| **SRB Setup List** |  | *0..1* |  |  | YES | ignore |
| **>SRB Setup Item** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | ignore |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| >>LCID | M |  | 9.3.1.35 | LCID for the primary path if PDCP duplication is applied | - |  |
| **SRB Modified List** |  | *0..1* |  |  | YES | ignore |
| **>SRB Modified Item** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | ignore |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| >>LCID | M |  | 9.3.1.35 | LCID for the primary path if PDCP duplication is applied | - |  |
| Full Configuration | O |  | ENUMERATED (full, ...) |  | YES | reject |
| **BH RLC Channel Setup List** |  | *0..1* |  | The list of BH RLC channels which are successfully established. | YES | ignore |
| **>BH RLC Channel Setup Item** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | ignore |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| **BH RLC Channel Modified List** |  | *0..1* |  | The list of BH RLC channels which are successfully modified. | YES | ignore |
| **>BH RLC Channel Modified Item** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | ignore |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| **BH RLC Channel Failed to be Setup List** |  | *0..1* |  | The list of BH RLC channels whose setup has failed. | YES | ignore |
| **>BH RLC Channel Failed to be Setup Item** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | ignore |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **BH RLC Channel Failed to be Modified List** |  | *0..1* |  | The list of BH RLC channels whose modification has failed. | YES | ignore |
| **>BH RLC Channel Failed to be Modified Item** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | ignore |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **SL DRB Setup List** |  | *0..1* |  | The List of SL DRBs which are successfully established. | YES | ignore |
| **>SL DRB Setup Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | ignore |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **SL DRB Modified List** |  | *0..1* |  | The List of SL DRBs which are successfully modified. | YES | ignore |
| **>SL DRB Modified Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | ignore |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **SL DRB Failed To Setup List** |  | *0..1* |  | The List of SL DRBs which are failed to be setup. | YES | ignore |
| **>SL DRB Failed To Setup Item** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | ignore |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **SL DRB Failed To be Modified List** |  | *0..1* |  | The List of SL DRBs which are failed to be modified. | YES | ignore |
| **>SL DRB Failed To be Modified Item** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | ignore |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| >>cause | O |  | 9.3.1.2 |  | - |  |
| Requested Target Cell ID | O |  | NR CGI 9.3.1.12 | Special Cell or PSCell ID in the *CPAC MCG Information* IE indicated in the UE CONTEXT MODIFICATION REQUEST message. | YES | reject |
| SCG Activation Status | O |  | 9.3.1.234 |  | YES | ignore |
| **Uu RLC Channel Setup List** |  | *0..1* |  |  | YES | ignore |
| **>Uu RLC Channel Setup Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  |  |  |
| **Uu RLC Channel Failed to be Setup List** |  | *0..1* |  |  | YES | ignore |
| **>Uu RLC Channel Failed to be Setup Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **Uu RLC Channel Modified List** |  | *0..1* |  |  | YES | ignore |
| **>Uu RLC Channel Modified Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| **Uu RLC Channel Failed to be Modified List** |  | *0..1* |  |  | YES | ignore |
| **>Uu RLC Channel Failed to be Modified Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| **PC5 RLC Channel Setup List** |  | *0..1* |  |  | YES | ignore |
| **>PC5 RLC Channel Setup Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| **PC5 RLC Channel Failed to be Setup List** |  | *0..1* |  |  | YES | ignore |
| **>PC5 RLC Channel Failed to be Setup Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| **PC5 RLC Channel Modified List** |  | *0..1* |  |  | YES | ignore |
| **>PC5 RLC Channel Modified Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| **PC5 RLC Channel Failed to be Modified List** |  | *0..1* |  |  | YES | ignore |
| **>PC5 RLC Channel Failed to be Modified Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Cause | O |  | 9.3.1.2 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| SDT Bearer Configuration Info | O |  | 9.3.1.277 |  | YES | ignore |
| **UE Multicast MRB Setup List** |  | *0..1* |  |  | YES | reject |
| **>UE Multicast MRB Setup Item IEs** |  | *1 .. <maxnoofMRBsforUE>* |  |  | EACH | reject |
| >>MRB ID | M |  | 9.3.1.224 | MRB ID for the UE. | - |  |
| >>Multicast F1-U Context Reference CU | M |  | 9.3.2.13 |  | - |  |
| **ServingCellMO-encoded-in-CGC List** |  | *0..1* |  |  | YES | ignore |
| **>****ServingCellMO-encoded-in-CGC Item IEs** |  | *1 .. <maxNrofBWPs>* |  | The servingCellMO which has been encoded in *CellGroupConfig* IE. | - |  |
| >>servingCellMO | M |  | INTEGER (1..64, ...) |  | - |  |
| >>BWP ID | M |  | INTEGER (0..4) |  | YES | ignore |
| Dedicated SI Delivery Indication | O |  | ENUMERATED (true, ...) |  | YES | ignore |
| **Configured BWP List** |  | 0..1 |  | This IE is present when the gNB-DU configures at least one BWP with NCD-SSB or without SSB. | YES | ignore |
| **>Configured BWP Item IEs** |  | *1 .. <maxNrofBWPs*> |  |  | EACH | ignore |
| >>BWP-Id | M |  | INTEGER (0..4) | The IE is used to refer to one BWP. | - |  |
| >>BWP Location And Bandwidth | M |  | INTEGER (0..37949) | The IE type range is the same as the *locationAndBandwidth* IE in *BWP* IE as specified in TS 38.331 [8]. |  |  |
| **Early Sync Information** |  | *0..1* |  |  | YES | ignore |
| >TCI States Configurations List | M |  | OCTET STRING | Includes the *LTM-TCI-Info*  IE, as defined in TS 38.331 [8]. | - |  |
| >Early UL Sync Configuration | O |  | 9.3.1.328 |  | - |  |
| >Early UL Sync Configuration for SUL | O |  | Early UL Sync Configuration  9.3.1.328 | This IE applies for SUL carrier. | - |  |
| **LTM Configuration** |  | *0..1* |  |  | YES | ignore |
| >SSB Information | M |  | 9.3.1.202 | Includes the SSB Information for the requested target cell | - |  |
| >Reference Configuration Information | O |  | OCTET STRING | Includes the *CellGroupConfig* IE, as defined in TS 38.331 [8]. | - |  |
| >Complete Candidate Configuration Indicator | O |  | ENUMERATED (complete, ...) |  | - |  |
| >LTM CFRA Resource Configuration | O |  | OCTET STRING | Includes the *RACH-ConfigDedicated* IE, as defined in TS 38.331 [8]. | - |  |
| >LTM CFRA Resource Configuration for SUL | O |  | OCTET STRING | Includes the *RACH-ConfigDedicated* IE, as defined in TS 38.331 [8]. This IE applies for SUL carrier. | - |  |
| **S-CPAC Configuration** |  | *0..1* |  |  | YES | ignore |
| >Reference Configuration Information | O |  | OCTET STRING | Includes the *CellGroupConfig* IE, as defined in TS 38.331 [8]. | - |  |
| >Complete Candidate Configuration Indicator | O |  | ENUMERATED (complete, ...) |  | - |  |

| Range bound | Explanation |
| --- | --- |
| maxnoofSRBs | Maximum no. of SRB allowed towards one UE, the maximum value is 8. |
| maxnoofDRBs | Maximum no. of DRB allowed towards one UE, the maximum value is 64. |
| maxnoofDLUPTNLInformation | Maximum no. of DL UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofSCells | Maximum no. of SCells allowed towards one UE, the maximum value is 32. |
| maxnoofBHRLCChannels | Maximum no. of BH RLC channels allowed towards one IAB-node, the maximum value is 65536. |
| maxnoofSLDRBs | Maximum no. of SL DRB allowed for NR sidelink communication per UE, the maximum value is 512. |
| maxnoofAdditionalPDCPDuplicationTNL | Maximum no. of additional UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofUuRLCChannels | Maximum no. of Uu Relay RLC channels for L2 U2N relaying or L2 N3C relaying per Relay UE, the maximum value is 32. |
| maxnoofPC5RLCChannels | Maximum no. of PC5 Relay RLC channels allowed for L2 U2N or L2 U2U relaying per Remote UE or Relay UE, the maximum value is 512. |
| maxNrofBWPs | Maximum number of BWPs per serving cell, the maximum value is 8. |
| maxnoofMRBsforUE | Maximum no. of multicast MRB allowed towards one UE, the maximum value is 64. |

#### 9.2.2.10 UE CONTEXT MODIFICATION REQUIRED

This message is sent by the gNB-DU to request the modification of a UE context.

Direction: gNB-DU → gNB-CU.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU UE F1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| gNB-DU UE F1AP ID | M |  | 9.3.1.5 |  | YES | reject |
| Resource Coordination Transfer Container | O |  | OCTET STRING | Includes the *SgNB Resource Coordination Information* IE as defined in subclause 9.2.117 of TS 36.423 [9] for EN-DC case or *MR-DC Resource Coordination Information* IE as defined in TS 38.423 [28] for NGEN-DC and NE-DC cases. | YES | ignore |
| DU To CU RRC Information | O |  | 9.3.1.26 |  | YES | reject |
| **DRB Required to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>DRB Required to Be Modified Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | reject |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| **>>DL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>>DL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofDLUPTNLInformation>* |  |  | - |  |
| >>>>DL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-DU endpoint of the F1 transport bearer. For delivery of DL PDUs. | - |  |
| >>RLC Status | O |  | 9.3.1.69 | Indicates the RLC has been re-established at the gNB-DU. | YES | ignore |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | ignore |
| **>>>Additional PDCP Duplication TNL Items** |  | *1 .. <maxnoofAdditionalPDCPDuplicationTNL>* |  |  | EACH | ignore |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of DL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 | This IE is not used in this version of the specification. | YES | ignore |
| **SRB Required to be Released List** |  | *0..1* |  |  | YES | reject |
| **>SRB Required to be Released List Item IEs** |  | *1 .. <maxnoofSRBs>* |  |  | EACH | reject |
| >>SRB ID | M |  | 9.3.1.7 |  | - |  |
| **DRB Required to be Released List** |  | *0..1* |  |  | YES | reject |
| **>DRB Required to be Released List Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | reject |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| Cause | M |  | 9.3.1.2 |  | YES | ignore |
| **BH RLC Channel Required to be Released List** |  | *0..1* |  |  | YES | reject |
| **>BH RLC Channel Required to be Released Item IEs** |  | *1 .. <maxnoofBHRLCChannels>* |  |  | EACH | reject |
| >>BH RLC CH ID | M |  | BH RLC Channel ID  9.3.1.113 |  | - |  |
| **SL DRB Required to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>SL DRB Required to Be Modified Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | reject |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **SL DRB Required to be Released List** |  | *0..1* |  |  | YES | reject |
| **>SL DRB Required to be Release Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | reject |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **Candidate Cells To Be Cancelled List** |  | *0 .. <maxnoofCellsinCHO>* |  |  | YES | reject |
| >Target Cell ID | M |  | NR CGI 9.3.1.12 |  | - |  |
| **Uu RLC Channel Required to be Modified List** |  | *0..1* |  |  | YES | reject |
| **>Uu RLC Channel Required to be Modified Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| **Uu RLC Channel Required to be Released List** |  | *0..1* |  |  | YES | reject |
| **>Uu RLC Channel Required to be Released Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| **PC5 RLC Channel Required to be Modified List** |  | *0..1* |  |  | YES | reject |
| **>PC5 RLC Channel Required to be Modified Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| **PC5 RLC Channel Required to be Released List** |  | *0..1* |  |  | YES | reject |
| **>PC5 RLC Channel Required to be Released Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - |  |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| **UE Multicast MRB Required to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>UE Multicast MRB Required to Be Modified Item IEs** |  | *1 .. <maxnoofMRBsforUE>* |  |  | EACH | reject |
| >>MRB ID | M |  | 9.3.1.224 | MRB ID for the UE. | - |  |
| >>MRB type reconfiguration | O |  | ENUMERATED (true, ...) |  | - |  |
| >>MRB Reconfigured RLC mode | C-ifMRBTypeReconf |  | MRB RLC Configuration  9.3.1.275 |  | - |  |
| >>Multicast F1-U Context Reference CU | O |  | 9.3.2.13 |  | YES | reject |
| **UE Multicast MRB Required to Be Released List** |  | *0..1* |  |  | YES | reject |
| **>UE Multicast MRB Required to Be Released Item IEs** |  | *1 .. <maxnoofMRBsforUE>* |  |  | EACH | reject |
| >>MRB ID | M |  | 9.3.1.224 | MRB ID for the UE. | - |  |
| LTM Cells To Be Released List | O |  | 9.3.1.291 |  | YES | reject |

|  |  |
| --- | --- |
| Range bound | Explanation |
| maxnoofSRBs | Maximum no. of SRB allowed towards one UE, the maximum value is 8. |
| maxnoofDRBs | Maximum no. of DRB allowed towards one UE, the maximum value is 64. |
| maxnoofDLUPTNLInformation | Maximum no. of DL UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofBHRLCChannels | Maximum no. of BH RLC channels allowed towards one IAB-node, the maximum value is 65536. |
| maxnoofSLDRBs | Maximum no. of SL DRB allowed for NR sidelink communication per UE, the maximum value is 512. |
| maxnoofAdditionalPDCPDuplicationTNL | Maximum no. of additional UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofCellsinCHO | Maximum no. cells that can be prepared for a conditional mobility. Value is 8. |
| maxnoofUuRLCChannels | Maximum no. of Uu Relay RLC channels for L2 U2N relaying or L2 N3C relaying per Relay UE, the maximum value is 32. |
| maxnoofPC5RLCChannels | Maximum no. of PC5 Relay RLC channels allowed for L2 U2N or L2 U2U relaying per Remote UE or Relay UE, the maximum value is 512. |
| maxnoofMRBsforUE | Maximum no. of multicast MRB allowed towards one UE, the maximum value is 64. |

|  |  |
| --- | --- |
| Condition | Explanation |
| ifMRBTypeReconf | This IE shall be present if the MRB Type Reconfiguration IE is present. |

#### 9.2.2.11 UE CONTEXT MODIFICATION CONFIRM

This message is sent by the gNB-CU to inform the gNB-DU the successful modification.

Direction: gNB-CU → gNB-DU.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| Message Type | M |  | 9.3.1.1 |  | YES | reject |
| gNB-CU UE F1AP ID | M |  | 9.3.1.4 |  | YES | reject |
| gNB-DU UE F1AP ID | M |  | 9.3.1.5 |  | YES | reject |
| Resource Coordination Transfer Container | O |  | OCTET STRING | Includes the *MeNB Resource Coordination Information* IE as defined in subclause 9.2.116 of TS 36.423 [9] for EN-DC case or *MR-DC Resource Coordination Information* IE as defined in TS 38.423 [28] for NGEN-DC and NE-DC cases. | YES | ignore |
| **DRB Modified List** |  | *0..1* |  | The List of DRBs which are successfully modified. | YES | ignore |
| **>DRB Modified Item IEs** |  | *1 .. <maxnoofDRBs>* |  |  | EACH | ignore |
| >>DRB ID | M |  | 9.3.1.8 |  | - |  |
| **>>UL UP TNL Information to be setup List** |  | *1* |  |  | - |  |
| **>>>UL UP TNL Information to Be Setup Item IEs** |  | *1 .. <maxnoofULUPTNLInformation>* |  |  | - |  |
| >>>>UL UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-CU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| >>>>DRB Mapping Info | O |  | Uu RLC Channel ID 9.3.1.266 | This IE is not used in this version of the specification. | YES | ignore |
| **>>Additional PDCP Duplication TNL List** |  | *0..1* |  |  | YES | ignore |
| **>>>Additional PDCP Duplication TNL Items** |  | *1 .. <maxnoofAdditionalPDCPDuplicationTNL>* |  |  | EACH | ignore |
| >>>>Additional PDCP Duplication UP TNL Information | M |  | UP Transport Layer Information  9.3.2.1 | gNB-DU endpoint of the F1 transport bearer. For delivery of UL PDUs. | - |  |
| >>>>BH Information | O |  | 9.3.1.114 |  | YES | ignore |
| RRC-Container | O |  | 9.3.1.6 | Includes the *DL-DCCH-Message* message as defined in subclause 6.2 of TS 38.331 [8], encapsulated in a PDCP PDU. | YES | ignore |
| Criticality Diagnostics | O |  | 9.3.1.3 |  | YES | ignore |
| Execute Duplication | O |  | ENUMERATED (true, ...) | This IE may be sent only if duplication has been configured for the UE. | YES | ignore |
| Resource Coordination Transfer Information | O |  | 9.3.1.73 |  | YES | ignore |
| **SL DRB Modified List** |  | *0..1* |  |  | YES | ignore |
| **>SL DRB Modified Item IEs** |  | *1 .. <maxnoofSLDRBs>* |  |  | EACH | reject |
| >>SL DRB ID | M |  | 9.3.1.120 |  | - |  |
| **Uu RLC Channel Modified List** |  | *0..1* |  |  | YES | reject |
| **>Uu RLC Channel Modified Item IEs** |  | *1 .. <maxnoofUuRLCChannels>* |  |  | - |  |
| >>Uu RLC Channel ID | M |  | 9.3.1.266 |  | - |  |
| **PC5 RLC Channel Modified List** |  | *0..1* |  |  | YES | reject |
| **>PC5 RLC Channel Modified Item IEs** |  | *1 .. <maxnoofPC5RLCChannels>* |  |  | - | - |
| >>PC5 RLC Channel ID | M |  | 9.3.1.265 |  | - |  |
| >>Remote UE Local ID | O |  | 9.3.1.267 |  | - |  |
| >>Peer UE ID | O |  | BIT STRING (SIZE(24)) | Corresponds to the L2 ID of the parent UE or child UE in Multi-hop relay communication. | YES | reject |
| **UE Multicast MRB Confirmed to Be Modified List** |  | *0..1* |  |  | YES | reject |
| **>UE Multicast MRB Confirmed to Be Modified Item IEs** |  | *1 .. <maxnoofMRBsforUE>* |  |  | EACH | reject |
| *>>MRB ID* | M |  | 9.3.1.224 | MRB ID for the UE. | - |  |
| *>>MBS PTP Retransmission Tunnel Required* | O |  | 9.3.2.10 |  | - |  |

| Range bound | Explanation |
| --- | --- |
| maxnoofDRBs | Maximum no. of DRB allowed towards one UE, the maximum value is 64. |
| maxnoofULUPTNLInformation | Maximum no. of UL UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofSLDRBs | Maximum no. of SL DRB allowed for NR sidelink communication per UE, the maximum value is 512. |
| maxnoofAdditionalPDCPDuplicationTNL | Maximum no. of additional UP TNL Information allowed towards one DRB, the maximum value is 2. |
| maxnoofUuRLCChannels | Maximum no. of Uu Relay RLC channels for L2 U2N relaying or L2 N3C relaying per Relay UE, the maximum value is 32. |
| maxnoofPC5RLCChannels | Maximum no. of PC5 Relay RLC channels allowed for L2 U2N or L2 U2U relaying per Remote UE or Relay UE, the maximum value is 512. |
| maxnoofMRBsforUE | Maximum no. of multicast MRB allowed towards one UE, the maximum value is 64. |

[snip]

## 9.3 Information Element Definitions

### 9.3.1 Radio Network Layer Related IEs

[snip]

#### 9.3.1.265 PC5 RLC Channel ID

This IE uniquely identifies a PC5 Relay RLC channel for a L2 U2N Remote UE, or a L2 U2N Relay UE, or a L2 U2U Remote UE, or L2 U2U Relay UE, or a L2 Intermediate U2N Relay UE.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| PC5 RLC Channel ID | M |  | INTEGER (1.. 512, ...) |  |

#### 9.3.1.266 Uu RLC Channel ID

This IE uniquely identifies a Uu Relay RLC channel for a L2 U2N Relay UE or a L2 MP Relay UE using N3C.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| --- | --- | --- | --- | --- |
| Uu RLC Channel ID | M |  | INTEGER (1..32) | Corresponds to information provided in the *Uu-RelayRLC-ChannelID* IE defined in TS 38.331 [8]. |

#### 9.3.1.267 Remote UE Local ID

This IE uniquely identifies a L2 U2N Remote UE within the connected Relay UE for single-hop relay or within the Last U2N Relay UE for multi-hop relay.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description |
| --- | --- | --- | --- | --- |
| Remote UE Local ID | M |  | INTEGER (0..255, ...) | Corresponds to the *sl-LocalIdentity* contained in the *SL-SRAP-Config* IE defined in TS 38.331 [8]. |

#### 9.3.1.268 5G ProSe Authorized

This IE provides information on the authorization status of the UE for NR ProSe services.

| IE/Group Name | Presence | Range | IE type and reference | Semantics description | Criticality | Assigned Criticality |
| --- | --- | --- | --- | --- | --- | --- |
| 5G ProSe Direct Discovery | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Direct Discovery | - |  |
| 5G ProSe Direct Communication | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Direct Communication | - |  |
| 5G ProSe Layer-2 UE-to-Network Relay | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-2 UE-to-Network Relay | - |  |
| 5G ProSe Layer-3 UE-to-Network Relay | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-3 UE-to-Network Relay | - |  |
| 5G ProSe Layer-2 Remote UE | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-2 Remote UE | - |  |
| 5G ProSe Layer-2 Multipath | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the 5G ProSe Layer-2 Remote UE is authorized for 5G ProSe multipath transmission | YES | ignore |
| 5G ProSe Layer-2 UE-to-UE Relay | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-2 UE-to-UE Relay UE | YES | ignore |
| 5G ProSe Layer-2 UE-to-UE Remote | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-2 UE-to-UE Remote UE. | YES | ignore |
| 5G ProSe Layer-3 Multi-Hop UE-to-Network Relay | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-3 Multi-Hop UE-to-Network Relay. | YES | ignore |
| 5G ProSe Layer-2 Multi-Hop UE-to-Network Relay | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-2 Multi-Hop UE-to-Network Relay. | YES | ignore |
| 5G ProSe Layer-2 Multi-Hop Intermediate UE-to-Network Relay | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-2 Multi-Hop Intermediate UE-to-Network Relay. | YES | ignore |
| 5G ProSe Layer-2 Multi-Hop Remote | O |  | ENUMERATED (authorized, not authorized, ...) | Indicates whether the UE is authorized for 5G ProSe Layer-2 Multi-Hop Remote. | YES | ignore |

[snip]

## 9.4 Message and Information Element Abstract Syntax (with ASN.1)

[snip]

### 9.4.5 Information Element Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Information Element Definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

F1AP-IEs {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) f1ap (3) version1 (1) f1ap-IEs (2) }

[snip]

id-TagIDPointer,

id-LocalOrigin,

id-SRSPosPeriodicConfigHyperSFNIndex,

id-FiveGProSeLayer3MHUEtoNetworkRelay,

id-FiveGProSeLayer2MHUEtoNetworkRelay,

id-FiveGProSeLayer2MHIntermediateUEtoNetworkRelay,

id-FiveGProSeLayer2MHRemote,

maxNRARFCN,

maxnoofErrors,

maxnoofBPLMNs,

[snip]

FiveG-ProSeAuthorized ::= SEQUENCE {

fiveG-proSeDirectDiscovery FiveG-ProSeDirectDiscovery OPTIONAL,

fiveG-proSeDirectCommunication FiveG-ProSeDirectCommunication OPTIONAL,

fiveG-ProSeLayer2UEtoNetworkRelay FiveG-ProSeLayer2UEtoNetworkRelay OPTIONAL,

fiveG-ProSeLayer3UEtoNetworkRelay FiveG-ProSeLayer3UEtoNetworkRelay OPTIONAL,

fiveG-ProSeLayer2RemoteUE FiveG-ProSeLayer2RemoteUE OPTIONAL,

iE-Extensions ProtocolExtensionContainer { {FiveG-ProSeAuthorized-ExtIEs} } OPTIONAL,

...

}

FiveG-ProSeAuthorized-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-FiveG-ProSeLayer2Multipath CRITICALITY ignore EXTENSION FiveG-ProSeLayer2Multipath PRESENCE optional }|

{ ID id-FiveG-ProSeLayer2UEtoUERelay CRITICALITY ignore EXTENSION FiveG-ProSeLayer2UEtoUERelay PRESENCE optional }|

{ ID id-FiveG-ProSeLayer2UEtoUERemote CRITICALITY ignore EXTENSION FiveG-ProSeLayer2UEtoUERemote PRESENCE optional }|

{ ID id-FiveGProSeLayer3MHUEtoNetworkRelay CRITICALITY ignore EXTENSION FiveGProSeLayer3MHUEtoNetworkRelay PRESENCE optional}|

{ ID id-FiveGProSeLayer2MHUEtoNetworkRelay CRITICALITY ignore EXTENSION FiveGProSeLayer2MHUEtoNetworkRelay PRESENCE optional}|

{ ID id-FiveGProSeLayer2MHIntermediateUEtoNetworkRelay CRITICALITY ignore EXTENSION FiveGProSeLayer2MHIntermediateUEtoNetworkRelay PRESENCE optional}|

{ ID id-FiveGProSeLayer2MHRemote CRITICALITY ignore EXTENSION FiveGProSeLayer2MHRemote PRESENCE optional},

...

}

FiveG-ProSeDirectDiscovery ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveG-ProSeDirectCommunication ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveG-ProSeLayer2UEtoNetworkRelay ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveG-ProSeLayer3UEtoNetworkRelay ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveG-ProSeLayer2RemoteUE ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveG-ProSeLayer2Multipath ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveG-ProSeLayer2UEtoUERelay ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveG-ProSeLayer2UEtoUERemote ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveGProSeLayer3MHUEtoNetworkRelay ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveGProSeLayer2MHUEtoNetworkRelay ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveGProSeLayer2MHIntermediateUEtoNetworkRelay ::= ENUMERATED {

authorized,

not-authorized,

...

}

FiveGProSeLayer2MHRemote ::= ENUMERATED {

authorized,

not-authorized,

...

}

[snip]

PC5RLCChannelID ::= INTEGER (1..512, ...)

PC5RLCChannelQoSInformation ::= CHOICE {

pC5RLCChannelQoS QoSFlowLevelQoSParameters,

pC5ControlPlaneTrafficType ENUMERATED {srb1,srb2,...,srb0},

choice-extension ProtocolIE-SingleContainer { { PC5RLCChannelQoSInformation-ExtIEs} }

}

PC5RLCChannelToBeSetupList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelToBeSetupItem

PC5RLCChannelToBeSetupItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

pC5RLCChannelQoSInformation PC5RLCChannelQoSInformation,

rLCMode RLCMode,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelToBeSetupItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelToBeSetupItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelToBeModifiedList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelToBeModifiedItem

PC5RLCChannelToBeModifiedItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

pC5RLCChannelQoSInformation PC5RLCChannelQoSInformation OPTIONAL,

rLCMode RLCMode OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelToBeModifiedItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelToBeModifiedItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelToBeReleasedList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelToBeReleasedItem

PC5RLCChannelToBeReleasedItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelToBeReleasedItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelToBeReleasedItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelSetupList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelSetupItem

PC5RLCChannelSetupItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelSetupItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelSetupItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelFailedToBeSetupList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelFailedToBeSetupItem

PC5RLCChannelFailedToBeSetupItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

cause Cause OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelFailedToBeSetupItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelFailedToBeSetupItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelModifiedList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelModifiedItem

PC5RLCChannelModifiedItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelModifiedItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelModifiedItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelFailedToBeModifiedList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelFailedToBeModifiedItem

PC5RLCChannelFailedToBeModifiedItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

cause Cause OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelFailedToBeModifiedItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelFailedToBeModifiedItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelRequiredToBeModifiedList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelRequiredToBeModifiedItem

PC5RLCChannelRequiredToBeModifiedItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelRequiredToBeModifiedItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelRequiredToBeModifiedItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

PC5RLCChannelRequiredToBeReleasedList ::= SEQUENCE (SIZE(1.. maxnoofPC5RLCChannels)) OF PC5RLCChannelRequiredToBeReleasedItem

PC5RLCChannelRequiredToBeReleasedItem ::= SEQUENCE {

pC5RLCChannelID PC5RLCChannelID,

remoteUELocalID RemoteUELocalID OPTIONAL,

iE-Extensions ProtocolExtensionContainer { { PC5RLCChannelRequiredToBeReleasedItem-ExtIEs } } OPTIONAL,

...

}

PC5RLCChannelRequiredToBeReleasedItem-ExtIEs F1AP-PROTOCOL-EXTENSION ::= {

{ ID id-PeerUE-ID CRITICALITY reject EXTENSION BIT STRING (SIZE (24)) PRESENCE optional },

...

}

### 9.4.7 Constant Definitions

-- ASN1START

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

--

-- Constant definitions

--

-- \*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

F1AP-Constants {

itu-t (0) identified-organization (4) etsi (0) mobileDomain (0)

ngran-access (22) modules (3) f1ap (3) version1 (1) f1ap-Constants (4) }

[snip]

id-LocalOrigin ProtocolIE-ID ::= 854

id-LTMResetInformation ProtocolIE-ID ::= 855

id-SRSPosPeriodicConfigHyperSFNIndex ProtocolIE-ID ::= 856

id-PreconfiguredSRSInformation ProtocolIE-ID ::= 857

id-FiveGProSeLayer3MHUEtoNetworkRelay ProtocolIE-ID ::= 996 – to be assigned by MCC

id-FiveGProSeLayer2MHUEtoNetworkRelay ProtocolIE-ID ::= 997 – to be assigned by MCC

id-FiveGProSeLayer2MHIntermediateUEtoNetworkRelay ProtocolIE-ID ::= 998 – to be assigned by MCC

id-FiveGProSeLayer2MHRemote ProtocolIE-ID ::= 999 – to be assigned by MCC