**3GPP TSG-RAN WG2 Meeting #131 R2-2506222  
Bengaluru, India, August 25-29th, 2025**

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| *CR-Form-v12.3* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.321** | **CR** | **2110** | **rev** | **1** | **Current version:** | **18.6.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of network energy saving enhancements to TS 38.321 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | InterDigital | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | Netw\_Energy\_NR\_enh-Core | | | | |  | ***Date:*** | | | 2025-09-01 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-19 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)  Rel-20 (Release 20)* | |
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| ***Reason for change:*** | | Introduction of Rel-19 network energy saving to TS 38.321 | | | | | | | | |
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| ***Summary of change:*** | | Change is introduced to support the following objectives: - On-demand SSB for SCell operation  - On-demand SIB1 for idle/inactive UEs  - Adaptation of common channels/signals | | | | | | | | |
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| ***Consequences if not approved:*** | | Release-19 network energy saving is not supported | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 5.1.1, 5.1.1b, 5.1.2, 5.1.3, 5.1.4, 5.15.1, 5.18.1, 6.1.3, 6.1.5, 6.2.1, 6.2.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 38.300 CR 1013 rev 1  TS 38.331 CR 5428 rev 1  TS 38.331 CR 5403 rev 1 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS 38.304 CR 0442 rev 1 | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS 38.306 CR 1321 rev 1 | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

====================================CHAGNE BEGINS===================================

5 MAC procedures

5.1 Random Access procedure

5.1.1 Random Access procedure initialization

The Random Access procedure described in this clause is initiated by a PDCCH order, by the MAC entity itself, or by RRC for the events in accordance with TS 38.300 [2]. There is only one Random Access procedure ongoing at any point in time in a MAC entity. The Random Access procedure on an SCell or an LTM candidate cell shall only be initiated by a PDCCH order with *ra-PreambleIndex* different from 0b000000.

NOTE 1: If a new Random Access procedure is triggered while another is already ongoing in the MAC entity, it is up to UE implementation whether to continue with the ongoing procedure or start with the new procedure (e.g. for SI request).

NOTE 2: If there was an ongoing Random Access procedure that is triggered by a PDCCH order while the UE receives another PDCCH order indicating the same Random Access Preamble, PRACH mask index and uplink carrier, the Random Access procedure is considered as the same Random Access procedure as the ongoing one and not initialized again.

When a Random Access procedure is initiated, UE selects a set of Random Access resources as specified in clause 5.1.1b and initialises the following parameters for the Random Access procedure according to the values configured by RRC for the selected set of Random Access resources:

- *prach-ConfigurationIndex*: the available set of PRACH occasions for the transmission of the Random Access Preamble for Msg1. These are also applicable to the MSGA PRACH if the PRACH occasions are shared between 2-step and 4-step RA types;

- *prach-ConfigurationPeriodScaling-IAB*: the scaling factor defined in TS 38.211 [8] and applicable to IAB-MTs, extending the periodicity of the PRACH occasions baseline configuration indicated by *prach-ConfigurationIndex*;

- *prach-ConfigurationFrameOffset-IAB*: the frame offset defined in TS 38.211 [8] and applicable to IAB-MTs, altering the ROs frame defined in the baseline configuration indicated by *prach-ConfigurationIndex*;

- *prach-ConfigurationSOffset-IAB*: the subframe/slot offset defined in TS 38.211 [8] and applicable to IAB-MTs, altering the ROs subframe or slot defined in the baseline configuration indicated by *prach-ConfigurationIndex*;

- *msgA-PRACH-ConfigurationIndex*: the available set of PRACH occasions for the transmission of the Random Access Preamble for MSGA in 2-step RA type;

- *preambleReceivedTargetPower*: initial Random Access Preamble power for 4-step RA type;

- *msgA-PreambleReceivedTargetPower*: initial Random Access Preamble power for 2-step RA type;

- *rsrp-ThresholdSSB*: an RSRP threshold for the selection of the SSB for 4-step RA type. If the Random Access procedure is initiated for beam failure recovery, *rsrp-ThresholdSSB* used for the selection of the SSB within *candidateBeamRSList* refers to *rsrp-ThresholdSSB* in *BeamFailureRecoveryConfig* IE;

- *rsrp-ThresholdCSI-RS*: an RSRP threshold for the selection of CSI-RS for 4-step RA type. If the Random Access procedure is initiated for beam failure recovery, *rsrp-ThresholdCSI-RS* is equal to *rsrp-ThresholdSSB* in *BeamFailureRecoveryConfig* IE;

- *msgA-RSRP-ThresholdSSB*: an RSRP threshold for the selection of the SSB for 2-step RA type;

- *rsrp-ThresholdSSB-SUL*: an RSRP threshold for the selection between the NUL carrier and the SUL carrier;

*- msgA-RSRP-Threshold*: an RSRP threshold for selection between 2-step RA type and 4-step RA type when both 2-step and 4-step RA type Random Access Resources are configured in the UL BWP;

*- rsrp-ThresholdMsg1-RepetitionNum2*: an RSRP threshold for Msg1 repetition with repetition number 2 (see clause 5.1.1b);

*- rsrp-ThresholdMsg1-RepetitionNum4*: an RSRP threshold for Msg1 repetition with repetition number 4 (see clause 5.1.1b);

*- rsrp-ThresholdMsg1-RepetitionNum8*: an RSRP threshold for Msg1 repetition with repetition number 8 (see clause 5.1.1b);

*- rsrp-ThresholdMsg3*: an RSRP threshold for Msg3 repetition (see clause 5.1.1b);

*- sib1-rsrp-ThresholdSSB*: an RSRP threshold for the selection of the SSB for SIB1 request.

*- FeatureCombination*: feature or a combination of features associated with a set of Random Access resources;

*- featurePriorities*: priorities for features, such as (e)RedCap, Slicing, etc. (see clause 5.1.1d);

- *msgA-TransMax*: The maximum number of MSGA transmissions when both 4-step and 2-step RA type Random Access Resources are configured;

- *candidateBeamRSList*: a list of reference signals (CSI-RS and/or SSB) identifying the candidate beams for recovery and the associated Random Access parameters;

- *recoverySearchSpaceId*: the search space identity for monitoring the response of the beam failure recovery request;

- *powerRampingStep*: the power-ramping factor;

- *msgA-PreamblePowerRampingStep*: the power ramping factor for MSGA preamble;

- *powerRampingStepHighPriority*: the power-ramping factor in case of prioritized Random Access procedure;

- *scalingFactorBI*: a scaling factor for prioritized Random Access procedure;

- *ra-PreambleIndex*: Random Access Preamble;

- *ra-ssb-OccasionMaskIndex*: defines PRACH occasion(s) associated with an SSB in which the MAC entity may transmit a Random Access Preamble (see clause 7.4);

- *msgA-SSB-SharedRO-MaskIndex*: Indicates the subset of 4-step RA type PRACH occasions shared with 2-step RA type PRACH occasions for each SSB. If 2-step RA type PRACH occasions are shared with 4-step RA type PRACH occasions and *msgA-SSB-SharedRO-MaskIndex* is not configured, then all 4-step RA type PRACH occasions are available for 2-step RA type (see clause 7.4);

- *ssb-SharedRO-MaskIndex*: defines PRACH occasions, on which preambles are allocated for a feature or a combination of features, associated with an SSB in which the MAC entity may transmit a Random Access Preamble (see clause 7.4);

- *ra-OccasionList*: defines PRACH occasion(s) associated with a CSI-RS in which the MAC entity may transmit a Random Access Preamble;

- *ra-PreambleStartIndex*: the starting index of Random Access Preamble(s) for on-demand SI request;

- *sib1-RA-PreambleStartIndex*: the starting index of Random Access Preamble(s) for SIB1 request;

- *startPreambleForThisPartition*: the first preamble associated with the set of Random Access Resources applicable to the Random Access procedure;

- *preambleTransMax*: the maximum number of Random Access Preamble transmission;

- *preambleTransMax-Msg1-Repetition*: the maximum number of Random Access Preamble transmissions with a given Msg1 repetition number before switching to Msg1 repetition with the next available higher Msg1 repetition number;

- *ssb-perRACH-OccasionAndCB-PreamblesPerSSB*: defines the number of SSBs mapped to each PRACH occasion for 4-step RA type and the number of contention-based Random Access Preambles mapped to each SSB;

- *msgA-CB-PreamblesPerSSB-PerSharedRO*: defines the number of contention-based Random Access Preambles for 2-step RA type mapped to each SSB when the PRACH occasions are shared between 2-step and 4-step RA types;

- *msgA-SSB-PerRACH-OccasionAndCB-PreamblesPerSSB*: defines the number of SSBs mapped to each PRACH occasion for 2-step RA type and the number of contention-based Random Access Preambles mapped to each SSB;

- *numberOfPreamblesPerSSB-ForThisPartition*: defines the number ofconsecutive preambles for a feature or a combination of features mapped to each SSB;

- *msgA-PUSCH-ResourceGroupA*: defines MSGA PUSCH resources that the UE shall use when performing MSGA transmission using Random Access Preambles group A;

- *msgA-PUSCH-ResourceGroupB*: defines MSGA PUSCH resources that the UE shall use when performing MSGA transmission using Random Access Preambles group B;

- *msgA-PUSCH-Resource-Index*: identifies the index of the PUSCH resource used for MSGA in case of contention-free Random Access with 2-step RA type;

- if *groupBconfigured* is configured, then Random Access Preambles group B is configured for 4-step RA type.

- Amongst the contention-based Random Access Preambles associated with an SSB (as defined in TS 38.213 [6]), the first *numberOfRA-PreamblesGroupA* included in *groupBconfigured* Random Access Preambles belong to Random Access Preambles group A. The remaining Random Access Preambles associated with the SSB belong to Random Access Preambles group B (if configured).

- if *groupB-ConfiguredTwoStepRA* is configured, then Random Access Preambles group B is configured for 2-step RA type.

- Amongst the contention-based Random Access Preambles for 2-step RA type associated with an SSB (as defined in TS 38.213 [6]), the first *numberOfRA-PreamblesGroupA* included in *GroupB-ConfiguredTwoStepRA* Random Access Preambles belong to Random Access Preambles group A. The remaining Random Access Preambles associated with the SSB belong to Random Access Preambles group B (if configured).

NOTE 3: If Random Access Preambles group B is supported by the cell Random Access Preambles group B is included for each SSB.

- if Random Access Preambles group B is configured for 4-step RA type:

- *ra-Msg3SizeGroupA*: the threshold to determine the groups of Random Access Preambles for 4-step RA type;

- *msg3-DeltaPreamble*: ∆*PREAMBLE\_Msg3* in TS 38.213 [6];

- *messagePowerOffsetGroupB*: the power offset for preamble selection included in *groupBconfigured*;

- *numberOfRA-PreamblesGroupA*: defines the number of Random Access Preambles in Random Access Preamble group A for each SSB included in *groupBconfigured*.

- if Random Access Preambles group B is configured for 2-step RA type:

- *msgA-DeltaPreamble*: ∆*MsgA\_PUSCH* in TS 38.213 [6];

- *messagePowerOffsetGroupB*: the power offset for preamble selection included in *GroupB-ConfiguredTwoStepRA*;

- *numberOfRA-PreamblesGroupA*: defines the number of Random Access Preambles in Random Access Preamble group A for each SSB included in *GroupB-ConfiguredTwoStepRA*;

- *ra-MsgA-SizeGroupA*: the threshold to determine the groups of Random Access Preambles for 2-step RA type.

- the set of Random Access Preambles and/or PRACH occasions for SI request, if any;

- the set of Random Access Preambles and/or PRACH occasions for SIB1 request, if any;

- the set of Random Access Preambles and/or PRACH occasions for beam failure recovery request, if any;

- the set of Random Access Preambles and/or PRACH occasions for reconfiguration with sync, if any;

- *ra-ResponseWindow*: the time window to monitor RA response(s) (SpCell only);

- *ra-ContentionResolutionTimer*: the Contention Resolution Timer (SpCell only);

- *msgB-ResponseWindow*: the time window to monitor RA response(s) for 2-step RA type (SpCell only).

In addition, the following information for related Serving Cell is assumed to be available for UEs:

- if Random Access Preambles group B is configured:

- if the Serving Cell for the Random Access procedure is configured with supplementary uplink as specified in TS 38.331 [5], and SUL carrier is selected for performing Random Access Procedure:

- PCMAX,f,c of the SUL carrier as specified in TS 38.101-1 [14], TS 38.101-2 [15], and TS 38.101-3 [16].

- else:

- PCMAX,f,c of the NUL carrier as specified in TS 38.101-1 [14], TS 38.101-2 [15], and TS 38.101-3 [16].

The following UE variables are used for the Random Access procedure:

- *PREAMBLE\_INDEX*;

- *PREAMBLE\_TRANSMISSION\_COUNTER*;

- *PREAMBLE\_POWER\_RAMPING\_COUNTER*;

- *PREAMBLE\_POWER\_RAMPING\_STEP*;

- *PREAMBLE\_RECEIVED\_TARGET\_POWER*;

- *PREAMBLE\_BACKOFF*;

- *PCMAX*;

- *SCALING\_FACTOR\_BI*;

- *TEMPORARY\_C-RNTI*;

- *RA\_TYPE*;

- *POWER\_OFFSET\_2STEP\_RA*;

- *MSGA\_PREAMBLE\_POWER\_RAMPING\_STEP*.

When the Random Access procedure is initiated on a Serving Cell or for an LTM candidate cell, the MAC entity shall:

1> flush the Msg3 buffer;

1> flush the MSGA buffer;

1> set the *PREAMBLE\_TRANSMISSION\_COUNTER* to 1;

1> if the Random Access procedure is initiated on a Serving Cell; or

1> if the Random Access procedure is initiated by the PDCCH order for an LTM candidate cell and the PDCCH order indicates preamble initial transmission; or

1> if the Random Access procedure is initiated by the PDCCH order for an LTM candidate cell, which is different from the cell to which the UE performed the last Random Access Preamble transmission, and the PDCCH order indicates preamble re-transmission:

2> set the *PREAMBLE\_POWER\_RAMPING\_COUNTER* to 1;

1> set the *PREAMBLE\_BACKOFF* to 0 ms;

1> set *POWER\_OFFSET\_2STEP\_RA* to 0 dB;

1> if the carrier to use for the Random Access procedure is explicitly signalled:

2> select the signalled carrier for performing Random Access procedure;

2> set the *PCMAX* to PCMAX,f,c of the signalled carrier.

1> else if the carrier to use for the Random Access procedure is not explicitly signalled; and

1> if the Serving Cell for the Random Access procedure is configured with supplementary uplink as specified in TS 38.331 [5]; and

1> if the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdSSB-SUL*:

2> select the SUL carrier for performing Random Access procedure;

2> set the *PCMAX* to PCMAX,f,c of the SUL carrier.

1> else:

2> select the NUL carrier for performing Random Access procedure;

2> set the *PCMAX* to PCMAX,f,c of the NUL carrier.

NOTE 4: Void.

1> perform the BWP operation as specified in clause 5.15, except when the Random Access procedure is initiated by the PDCCH order for an LTM candidate cell;

1> select the set of Random Access resources applicable to the current Random Access procedure according to clause 5.1.1b;

1> if the Random Access procedure is initiated by PDCCH order and if the *ra-PreambleIndex* explicitly provided by PDCCH is not 0b000000; or

1> if the Random Access procedure was initiated for SI request (as specified in TS 38.331 [5]) and the Random Access Resources for SI request have been explicitly provided by RRC; or

1> if the Random Access procedure was initiated for SpCell beam failure recovery (as specified in clause 5.17) and if the contention-free Random Access Resources for beam failure recovery request for 4-step RA type have been explicitly provided by RRC for the BWP selected for Random Access procedure; or

1> if the Random Access procedure was initiated for reconfiguration with sync not initiated for recovery using an LTM candidate configuration as specified in TS 38.331 [5] clause 5.3.7.3 and if the contention-free Random Access Resources for 4-step RA type have been explicitly provided in *rach-ConfigDedicated* for the BWP selected for Random Access procedure; or

1> if the contention-free Random Access Resources have been explicitly provided in the LTM Cell Switch Command MAC CE; or

1> if the Random Access procedure was initiated for SIB1 request (as specified in TS 38.331 [5]) and the Random Access Resources for SIB1 request have been provided by RRC:

2> set the *RA\_TYPE* to *4-stepRA*.

1> else if the BWP selected for Random Access procedure is configured with both 2-step and 4-step RA type Random Access Resources within the selected set of Random Access resources (as specified in clause 5.1.1b) and the RSRP of the downlink pathloss reference is above *msgA-RSRP-Threshold*; or

1> if the BWP selected for Random Access procedure is only configured with 2-step RA type Random Access resources within the selected set of Random Access resources according to clause 5.1.1b; or

1> if the Random Access procedure was initiated for reconfiguration with sync not initiated for recovery using an LTM candidate configuration as specified in TS 38.331 [5] clause 5.3.7.3 and if the contention-free Random Access Resources for 2-step RA type have been explicitly provided in *rach-ConfigDedicated* for the BWP selected for Random Access procedure:

2> set the *RA\_TYPE* to *2-stepRA*.

1> else:

2> set the *RA\_TYPE* to *4-stepRA*.

1> perform initialization of variables specific to Random Access type as specified in clause 5.1.1a;

1> if *RA\_TYPE* is set to *2-stepRA*:

2> perform the Random Access Resource selection procedure for 2-step RA type (see clause 5.1.2a).

1> else:

2> perform the Random Access Resource selection procedure (see clause 5.1.2).

=================================unchanged text omitted===================================

### 5.1.1b Selection of the set of Random Access resources for the Random Access procedure

The MAC entity shall:

1> if the BWP selected for Random Access procedure is configured with both set(s) of Random Access resources with *msg3-Repetitions* set to *true* and set(s) of Random Access resources without *msg3-Repetitions* set to *true* and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg3*; or

1> if the BWP selected for Random Access procedure is only configured with the set(s) of Random Access resources with *msg3-Repetitions* set to *true*:

2> assume Msg3 repetition is applicable for the current Random Access procedure.

1> else:

2> assume Msg3 repetition is not applicable for the current Random Access procedure.

1> if contention-free Random Access Resources have been provided for this Random Access procedure in the LTM Cell Switch Command MAC CE and a non-zero Msg1 repetition number is indicated in the LTM Cell Switch Command MAC CE:

2> assume that Msg1 repetition is applicable and that the Msg1 repetition number applicable for the current Random Access procedure is the Msg1 repetition number indicated in the LTM Cell Switch Command MAC CE.

1> else if contention-free Random Access Resources have been provided for this Random Access procedure and a Msg1 repetition number is indicated in *rach-ConfigDedicated*:

2> assume Msg1 repetition is applicable and Msg1 repetition number applicable for the current Random Access procedure is the Msg1 repetition number indicated in *rach-ConfigDedicated*.

1> else if contention free Random Access Resources have not been provided for this Random Access procedure and the BWP selected for the Random Access procedure is configured with set(s) of Random Access resources with *msg1-Repetitions* set to *true* and set(s) of Random Access resources without *msg1-Repetitions* set to *true*:

2> if the BWP selected for the Random Access procedure is configured with set(s) of Random Access resources associated with Msg1 repetition number 8 and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg1-RepetitionNum8*:

3> assume Msg1 repetition is applicable and Msg1 repetition number applicable for the current Random Access procedure includes 8.

2> if the BWP selected for the Random Access procedure is configured with set(s) of Random Access resources associated with Msg1 repetition number 4 and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg1-RepetitionNum4*:

3> assume Msg1 repetition is applicable and Msg1 repetition number applicable for the current Random Access procedure includes 4.

2> if the BWP selected for the Random Access procedure is configured with set(s) of Random Access resources associated with Msg1 repetition number 2 and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg1-RepetitionNum2*:

3> assume Msg1 repetition is applicable and Msg1 repetition number applicable for the current Random Access procedure includes 2.

2> else if the RSRP of the downlink pathloss reference is not less than any configured *rsrp-ThresholdMsg1-RepetitionNumX*:

3> assume Msg1 repetition is not applicable for the current Random Access procedure.

1> else ifthe BWP selected for Random Access procedure is configured only with the set(s) of Random Access resources with *msg1-Repetitions* set to *true*:

2> assume Msg1 repetition is applicable for the current Random Access procedure;

2> if at least one of *rsrp-ThresholdMsg1-RepetitionNumX* is configured:

3> if *rsrp-ThresholdMsg1-RepetitionNum8* is configured and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg1-RepetitionNum8*;

4> assume Msg1 repetition number applicable for the current Random Access procedure includes 8.

3> if *rsrp-ThresholdMsg1-RepetitionNum4* is configured and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg1-RepetitionNum4*:

4> assume Msg1 repetition number applicable for the current Random Access procedure includes 4.

3> if *rsrp-ThresholdMsg1-RepetitionNum2* is configured and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg1-RepetitionNum2*:

4> assume Msg1 repetition number applicable for the current Random Access procedure includes 2.

3> else if the RSRP of the downlink pathloss reference is not less than any configured *rsrp-ThresholdMsg1-RepetitionNumX*:

4> assume Msg1 repetition number applicable for the current Random Access procedure is the lowest Msg1 repetition number configured for this BWP.

2> else (none of *rsrp-ThresholdMsg1-RepetitionNumX* is configured):

3> assume Msg1 repetition number applicable for the current Random Access procedure is the Msg1 repetition number that configured for this BWP.

1> if the Random Access procedure was initiated by SI request, SIB1 request, reconfiguration with sync, beam failure recovery, LTM Cell Switch, a PDCCH order for an LTM candidate cell, or a PDCCH order with the *PRACH association indicator* field in DCI set to 1; or

1> if Msg1 repetition is applicable for the current Random Access procedure:

2> PRACH occasions configured by *addlRACH-Config-Adapt* in *RACH-ConfigCommon* of a set of Random Access resources are not applicable for this Random Access procedure.

1> else:

2> PRACH occasions configured by *addlRACH-Config-Adapt* in *RACH-ConfigCommon* of a set of Random Access resources are applicable for this Random Access procedure, if available (as specified in TS 38.213 [6] and 38.212 [9]).

NOTE 1: Void.

1> if neither contention-free Random Access Resources nor Random Access Resources for SI request have been provided for this Random Access procedure and one or more of the features including (e)RedCap and/or Slicing and/or SDT and/or MSG3 repetition and/or MSG1 repetition is applicable for this Random Access procedure:

NOTE 2: The applicability of SDT is determined by MAC entity according to clause 5.27. The applicability of *NSAG-ID* is determined by upper layers when the Random Access procedure is initiated. The applicability of (e)RedCap is also determined by upper layers when Random Access procedure is initiated and it is applicable to the Random Access procedures initiated by PDCCH orders and any Random Access procedure initiated by the MAC entity.

NOTE 3: SDT is not applicable for the Random Access procedure initiated by upper layers for MT-SDT.

2> if none of the sets of Random Access resources are available for any feature applicable to the current Random Access procedure (as specified in clause 5.1.1c):

3> select the set(s) of Random Access resources that are not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else if there is one set of Random Access resources available which can be used for indicating all features triggering this Random Access procedure:

3> select this set of Random Access resources for this Random Access procedure.

2> else if there are more than one set of Random Access resources available which can be used for indicating all features triggering this Random Access procedure and Msg1 repetition is applicable for this Random Access procedure:

3> select the set of Random Access resources that associated with highest repetition number among the sets of Random Access resources.

2> else (i.e. there are one or more sets of Random Access resources available that are configured with indication(s) for a subset of all features triggering this Random Access procedure):

3> select a set of Random Access resources from the available set(s) of Random Access resources based on the priority order indicated by upper layers as specified in clause 5.1.1d for this Random Access Procedure.

1> else if this Random Access procedure is initiated by PDCCH order with the *PRACH association indicator* field in DCI set to 1 and *SSB-MTC-AdditionalPCI* is configured by upper layers, as specified in clause 7.3.1.2.1 of TS 38.212 [9]:

2> select the set of Random Access resources corresponding to the *additionalPCI* associated with active TCI states.

1> else if this Random Access procedure is initiated by PDCCH order for an LTM candidate cell:

2> select the set of Random Access resources configured in *EarlyUL-SyncConfig* corresponding to the carrier and the cell indicated by the field *UL/SUL indicator* and the field *Cell indicator* in the PDCCH order respectively, as specified in TS 38.212 [9].

1> else if contention-free Random Access Resources have been provided for this Random Access procedure by PDCCH order:

2> if RedCap is applicable for the current Random Access procedure:

3> if there is one set of Random Access resources available that is only configured with RedCap indication:

4> select this set of Random Access resources for this Random Access procedure.

3> else:

4> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else if eRedCap is applicable for the current Random Access procedure:

3> if there is one set of Random Access resources available that is only configured with eRedCap indication:

4> select this set of Random Access resources for this Random Access procedure.

3> else if there is one set of Random Access resources available that is only configured with RedCap indication:

4> select this set of Random Access resources for this Random Access procedure.

3> else:

4> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else:

3> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

1> else if contention-free Random Access Resources have been provided for this Random Access procedure in the LTM Cell Switch Command MAC CE:

2> if RedCap is applicable for this Random Access procedure:

3> if a non-zero Msg1 repetition number is indicated in the LTM Cell Switch Command MAC CE:

4> select the set of Random Access resources that is only configured with RedCap indication and Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

3> else:

4> if there is one set of Random Access resources available that is only configured with RedCap indication:

5> select this set of Random Access resources for this Random Access procedure.

4> else:

5> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else if eRedCap is applicable for this Random Access procedure:

3> if a non-zero Msg1 repetition number is indicated in the LTM Cell Switch Command MAC CE:

4> select the set of Random Access resources that is only configured with eRedCap indication and Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

3> else:

4> if there is one set of Random Access resources available that is only configured with eRedCap indication:

5> select this set of Random Access resources for this Random Access procedure.

4> else if there is one set of Random Access resources available that is only configured with RedCap indication:

5> select this set of Random Access resources for this Random Access procedure.

4> else:

5> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else:

3> if a non-zero Msg1 repetition number is indicated in the LTM Cell Switch Command MAC CE:

4> select the set of Random Access resources that is only configured with Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

3> else:

4> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

1> else if contention-free Random Access Resources have been provided for this Random Access procedure in *rach-ConfigDedicated*:

2> if RedCap is applicable for this Random Access procedure:

3> if Msg1 repetition number is indicated in *rach-ConfigDedicated*:

4> select the set of Random Access resources that is only configured with RedCap indication and Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

3> else:

4> if there is one set of Random Access resources available that is only configured with RedCap indication:

5> select this set of Random Access resources for this Random Access procedure.

4> else:

5> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else if eRedCap is applicable for this Random Access procedure:

3> if Msg1 repetition number is indicated in *rach-ConfigDedicated*:

4> select the set of Random Access resources that is only configured with eRedCap indication and Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

3> else:

4> if there is one set of Random Access resources available that is only configured with eRedCap indication:

5> select this set of Random Access resources for this Random Access procedure.

4> else if there is one set of Random Access resources available that is only configured with RedCap indication:

5> select this set of Random Access resources for this Random Access procedure.

4> else:

5> select the set of Random Access resources that not is associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else:

3> if Msg1 repetition number is indicated in *rach-ConfigDedicated*:

4> select the set of Random Access resources that is only configured with Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

3> else:

4> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

1> else if contention-free Random Access Resources have been provided for this Random Access procedure in the *BeamFailureRecoveryConfig*:

2> if RedCap is applicable for this Random Access procedure:

3> if there is one set of Random Access resources available that is only configured with RedCap indication:

4> select this set of Random Access resources for this Random Access procedure.

3> else:

4> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else if eRedCap is applicable for this Random Access procedure:

3> if there is one set of Random Access resources available that is only configured with eRedCap indication:

4> select this set of Random Access resources for this Random Access procedure.

3> else if there is one set of Random Access resources available that is only configured with RedCap indication:

4> select this set of Random Access resources for this Random Access procedure.

3> else:

4> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

2> else:

3> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for this Random Access procedure.

1> else if Random Access resources for SI request have been provided for this Random Access procedure:

2> if Random Access Resources associated with Msg1 repetition for SI request and Msg1 repetition number have been provided for this Random Access procedure:

3> ifthe BWP selected for Random Access procedure is indicated by *initialUplinkBWP-RedCap*:

4> if RedCap is applicable for the current Random Access procedure:

5> select the set of Random Access Resources that is only configured with RedCap indication and Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

4> else if eRedCap is applicable for the current Random Access procedure:

5> if there is one set of Random Access resources available that is only configured with RedCap indication and Msg1 repetition indication and associated with the indicated Msg1 repetition number:

6> select this set of Random Access resources for this Random Access procedure.

5> else:

6> select the set of Random Access Resources that is only configured with eRedCap indication and Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

3> else:

4> select the set of Random Access resources that is only configured with Msg1 repetition indication and associated with the indicated Msg1 repetition number for this Random Access procedure.

2> else:

3> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for the current Random Access procedure.

1> else:

2> select the set of Random Access resources that is not associated with any feature indication (as specified in clause 5.1.1c) for the current Random Access procedure.

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5.1.2 Random Access Resource selection

If the selected *RA\_TYPE* is set to *4-stepRA*, the MAC entity shall:

1> if the Random Access procedure was initiated for SpCell beam failure recovery (as specified in clause 5.17); and

1> if the *beamFailureRecoveryTimer* (in clause 5.17) is either running or not configured; and

1> if the contention-free Random Access Resources for beam failure recovery request associated with any of the SSBs and/or CSI-RSs have been explicitly provided by RRC; and

1> if at least one of the SSBs with SS-RSRP above *rsrp-ThresholdSSB* amongst the SSBs in *candidateBeamRSList* or the CSI-RSs with CSI-RSRP above *rsrp-ThresholdCSI-RS* amongst the CSI-RSs in *candidateBeamRSList* is available:

2> select an SSB with SS-RSRP above *rsrp-ThresholdSSB* amongst the SSBs in *candidateBeamRSList* or a CSI-RS with CSI-RSRP above *rsrp-ThresholdCSI-RS* amongst the CSI-RSs in *candidateBeamRSList*;

2> if CSI-RS is selected, and there is no *ra-PreambleIndex* associated with the selected CSI-RS:

3> set the *PREAMBLE\_INDEX* to a *ra-PreambleIndex* corresponding to the SSB in *candidateBeamRSList* which is quasi-colocated with the selected CSI-RS as specified in TS 38.214 [7].

2> else:

3> set the *PREAMBLE\_INDEX* to a *ra-PreambleIndex* corresponding to the selected SSB or CSI-RS from the set of Random Access Preambles for beam failure recovery request.

1> else if the *ra-PreambleIndex* has been explicitly provided by PDCCH; and

1> if the *ra-PreambleIndex* is not 0b000000:

2> set the *PREAMBLE\_INDEX* to the signalled *ra-PreambleIndex*;

2> select the SSB signalled by PDCCH.

1> else if contention-free Random Access Resources have been explicitly provided by an LTM Cell Switch Command MAC CE and the SS-RSRP of the SSB signalled by the LTM Cell Switch Command MAC CE is above *rsrp-ThresholdSSB*:

2> set the *PREAMBLE\_INDEX* to the Random Access Preamble index signalled by the LTM Cell Switch Command MAC CE;

2> select the SSB signalled by the LTM Cell Switch Command MAC CE.

1> else if contention-free Random Access Resources have not been explicitly provided by an LTM Cell Switch Command MAC CE, the Random Access procedure was not initiated for recovery using an LTM candidate configuration as specified in TS 38.331 [5] clause 5.3.7.3, contention-free Random Access Resources associated with SSBs have been explicitly provided in *rach-ConfigDedicated* and at least one SSB with SS-RSRP above *rsrp-ThresholdSSB* amongst the associated SSBs is available:

2> select an SSB with SS-RSRP above *rsrp-ThresholdSSB* amongst the associated SSBs;

2> set the *PREAMBLE\_INDEX* to a *ra-PreambleIndex* corresponding to the selected SSB.

1> else if contention-free Random Access Resources have not been explicitly provided by an LTM Cell Switch Command MAC CE, the Random Access procedure was not initiated for recovery using an LTM candidate configuration as specified in TS 38.331 [5] clause 5.3.7.3, contention-free Random Access Resources associated with CSI-RSs have been explicitly provided in *rach-ConfigDedicated* and at least one CSI-RS with CSI-RSRP above *rsrp-ThresholdCSI-RS* amongst the associated CSI-RSs is available:

2> select a CSI-RS with CSI-RSRP above *rsrp-ThresholdCSI-RS* amongst the associated CSI-RSs;

2> set the *PREAMBLE\_INDEX* to a *ra-PreambleIndex* corresponding to the selected CSI-RS.

1> else if the Random Access procedure was initiated for SI request (as specified in TS 38.331 [5]); and

1> if the Random Access Resources for SI request have been explicitly provided by RRC:

2> if at least one of the SSBs with SS-RSRP above *rsrp-ThresholdSSB* is available:

3> select an SSB with SS-RSRP above *rsrp-ThresholdSSB*.

2> else:

3> select any SSB.

2> select a Random Access Preamble corresponding to the selected SSB, from the Random Access Preamble(s) determined according to *ra-PreambleStartIndex* as specified in TS 38.331 [5];

2> set the *PREAMBLE\_INDEX* to selected Random Access Preamble.

1> if the Random Access procedure was initiated for SIB1 request (as specified in TS 38.331 [5]); and

1> if the Random Access Resources for SIB1 request have been provided by RRC:

2> if at least one of the SSBs with SS-RSRP above *sib1-rsrp-ThresholdSSB* is available:

3> select an SSB with SS-RSRP above *sib1-rsrp-ThresholdSSB*.

2> else:

3> select any SSB.

2> select a Random Access Preamble corresponding to the selected SSB, from the Random Access Preamble(s) determined according to *sib1-RA-PreambleStartIndex* as specified in TS 38.331 [5];

2> set the *PREAMBLE\_INDEX* to selected Random Access Preamble.

1> else (i.e. for the contention-based Random Access preamble selection):

2> if at least one of the SSBs with SS-RSRP above *rsrp-ThresholdSSB* is available:

3> select an SSB with SS-RSRP above *rsrp-ThresholdSSB*.

2> else:

3> select any SSB.

2> if the *RA\_TYPE* is switched from *2-stepRA* to *4-stepRA*:

3> if a Random Access Preambles group was selected during the current Random Access procedure:

4> select the same group of Random Access Preambles as was selected for the 2-step RA type.

3> else:

4> if Random Access Preambles group B is configured; and

4> if the transport block size of the MSGA payload configured in the *rach-ConfigDedicated* corresponds to the transport block size of the MSGA payload associated with Random Access Preambles group B:

5> select the Random Access Preambles group B.

4> else:

5> select the Random Access Preambles group A.

2> else if Msg3 buffer is empty:

3> if Random Access Preambles group B is configured:

4> if the potential Msg3 size (UL data available for transmission plus MAC subheader(s) and, where required, MAC CEs) is greater than *ra-Msg3SizeGroupA* and the pathloss is less than *PCMAX* (of the Serving Cell performing the Random Access Procedure) – *preambleReceivedTargetPower* – *msg3-DeltaPreamble* – *messagePowerOffsetGroupB*; or

4> if the Random Access procedure was initiated for the CCCH logical channel and the CCCH SDU size plus MAC subheader is greater than *ra-Msg3SizeGroupA*:

5> select the Random Access Preambles group B.

4> else:

5> select the Random Access Preambles group A.

3> else:

4> select the Random Access Preambles group A.

2> else (i.e. Msg3 is being retransmitted):

3> select the same group of Random Access Preambles as was used for the Random Access Preamble transmission attempt corresponding to the first transmission of Msg3.

2> select a Random Access Preamble randomly with equal probability from the Random Access Preambles associated with the selected SSB and the selected Random Access Preambles group;

2> set the *PREAMBLE\_INDEX* to the selected Random Access Preamble.

1> if the Random Access procedure was initiated for SI request (as specified in TS 38.331 [5]); and

1> if *ra-AssociationPeriodIndex* and *si-RequestPeriod* are configured:

2> determine the next available PRACH occasion from the PRACH occasions corresponding to the selected SSB in the association period given by *ra-AssociationPeriodIndex* in the *si-RequestPeriod* permitted by the restrictions given by the *ra-ssb-OccasionMaskIndex* if configured (the MAC entity shall select a PRACH occasion randomly with equal probability amongst the consecutive PRACH occasions according to clause 8.1 of TS 38.213 [6] corresponding to the selected SSB).

1> if the Random Access procedure was initiated for SIB1 request (as specified in TS 38.331 [5]); and

1> if *sib1-RA-SSB-OccasionMaskIndex* and *sib1-RequestPeriod* are configured:

2> determine the next available PRACH occasion from the PRACH occasions corresponding to the selected SSB in the association period given by *sib1-RA-SSB-OccasionMaskIndex* in the *sib1-RequestPeriod* permitted by the restrictions given by the *ra-ssb-OccasionMaskIndexSib1* if configured (the MAC entity shall select a PRACH occasion randomly with equal probability amongst the consecutive PRACH occasions according to clause 8.1 of TS 38.213 [6] corresponding to the selected SSB).

1> else if an SSB is selected above:

2> if the set of Random Access resources associated with Msg1 repetition is selected for this Random Access procedure:

3> determine the next available set of PRACH occasions (as specified in TS 38.213 [6]) for the Msg1 repetition number applicable for this Random Access procedure corresponding to the selected SSB (the MAC entity shall select a set of PRACH occasions randomly with equal probability amongst sets of PRACH occasions according to clause 8.1 of TS 38.213 [6] regardless the FR2 UL gap, corresponding to the selected SSB and selected Msg1 repetition number for this Random Access procedure; the MAC entity may take into account the possible occurrence of measurement gaps and MUSIM gaps when determining the next available set of PRACH occasions corresponding to the selected SSB).

2> else:

3> determine the next available PRACH occasion from the PRACH occasions corresponding to the selected SSB permitted by the restrictions given by the *ra-ssb-OccasionMaskIndex* if configured, or *ssb-SharedRO-MaskIndex* if configured, or indicated by PDCCH, or indicated by the LTM Cell Switch Command MAC CE (the MAC entity shall select a PRACH occasion randomly with equal probability amongst the consecutive PRACH occasions according to clause 8.1 of TS 38.213 [6] regardless the FR2 UL gap, corresponding to the selected SSB; the MAC entity may take into account the possible occurrence of measurement gaps and MUSIM gaps when determining the next available PRACH occasion corresponding to the selected SSB).

1> else if a CSI-RS is selected above:

2> if there is no contention-free Random Access Resource associated with the selected CSI-RS:

3> determine the next available PRACH occasion from the PRACH occasions, permitted by the restrictions given by the *ra-ssb-OccasionMaskIndex* if configured, corresponding to the SSB in *candidateBeamRSList* which is quasi-colocated with the selected CSI-RS as specified in TS 38.214 [7] (the MAC entity shall select a PRACH occasion randomly with equal probability amongst the consecutive PRACH occasions according to clause 8.1 of TS 38.213 [6] regardless the FR2 UL gap, corresponding to the SSB which is quasi-colocated with the selected CSI-RS; the MAC entity may take into account the possible occurrence of measurement gaps and MUSIM gaps when determining the next available PRACH occasion corresponding to the SSB which is quasi-colocated with the selected CSI-RS).

2> else:

3> determine the next available PRACH occasion from the PRACH occasions in *ra-OccasionList* corresponding to the selected CSI-RS (the MAC entity shall select a PRACH occasion randomly with equal probability amongst the PRACH occasions occurring simultaneously but on different subcarriers regardless the FR2 UL gap, corresponding to the selected CSI-RS; the MAC entity may take into account the possible occurrence of measurement gaps and MUSIM gaps when determining the next available PRACH occasion corresponding to the selected CSI-RS).

1> perform the Random Access Preamble transmission procedure (see clause 5.1.3).

NOTE 1: When the UE determines if there is an SSB with SS-RSRP above *rsrp-ThresholdSSB* or a CSI-RS with CSI-RSRP above *rsrp-ThresholdCSI-RS*, the UE uses the latest unfiltered L1-RSRP measurement.

NOTE 2: Void.

NOTE 3: If an (e)RedCap UE in RRC\_IDLE or RRC\_INACTIVE mode is configured with a BWP indicated by *initialDownlinkBWP-RedCap* which is not associated with any SSB, SS-RSRP measurement is performed based on the SSB associated with the BWP indicated by *initialDownlinkBWP*. If an (e)RedCap UE in RRC\_INACTIVE mode is configured with SDT and with a BWP indicated by *initialDownlinkBWP-RedCap* which is associated with NCD-SSB, SS-RSRP measurement can also be performed based on this NCD-SSB during SDT.

NOTE 4: If an (e)RedCap UE in RRC\_IDLE or RRC\_INACTIVE mode is configured with a BWP indicated by *initialDownlinkBWP-RedCap* which is not associated with any SSB for RACH, it is up to the UE implementation to perform a new RSRP measurements before Msg1/MsgA retransmission.

NOTE X: If an RO selected for preamble transmission is configured by *addlRACH-Config-Adapt* and *ssb-perRACH-OccasionAndCB-PreamblesPerSSB* is configured in *addlRACH-Config-Adapt*, UE selects preamble corresponding to selected SSB amongst the preambles determined according to *ssb-perRACH-OccasionAndCB-PreamblesPerSSB* in *addlRACH-Config-Adapt*.

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5.1.3 Random Access Preamble transmission

The MAC entity shall, for each Random Access Preamble:

1> if *PREAMBLE\_TRANSMISSION\_COUNTER* is greater than one; and

1> if the notification of suspending power ramping counter has not been received from lower layers; and

1> if LBT failure indication was not received from lower layers for the last Random Access Preamble transmission; and

1> if SSB or CSI-RS selected is not changed from the selection in the last Random Access Preamble transmission; and

1> if the Random Access procedure is not initiated by the PDCCH order for an LTM candidate cell:

2> increment *PREAMBLE\_POWER\_RAMPING\_COUNTER* by 1.

1> if the Random Access procedure is initiated by the PDCCH order for an LTM candidate cell as preamble re-transmission; and

1> if the PDCCH order indicates the same LTM candidate cell and the same SSB as the last Random Access Preamble transmission:

2> increment *PREAMBLE\_POWER\_RAMPING\_COUNTER* by 1.

1> select the value of *DELTA\_PREAMBLE* according to clause 7.3;

1> set *PREAMBLE\_RECEIVED\_TARGET\_POWER* to *preambleReceivedTargetPower* + *DELTA\_PREAMBLE* + (*PREAMBLE\_POWER\_RAMPING\_COUNTER* – 1) × *PREAMBLE\_POWER\_RAMPING\_STEP* *+* *POWER\_OFFSET\_2STEP\_RA*;

1> except for contention-free Random Access Preamble for beam failure recovery request and contention-free Random Access Preamble triggered by a PDCCH order for an LTM candidate cell, compute the RA-RNTI associated with the PRACH occasion in which the Random Access Preamble is transmitted;

1> instruct the physical layer to transmit the Random Access Preamble using the selected PRACH occasion, corresponding RA-RNTI (if available), *PREAMBLE\_INDEX*, and *PREAMBLE\_RECEIVED\_TARGET\_POWER*.

1> if the Random Access Procedure is triggered by a PDCCH order for an LTM candidate cell:

2> consider this Random Access procedure completed.

1> if LBT failure indication is received from lower layers for this Random Access Preamble transmission:

2> if *lbt-FailureRecoveryConfig* is configured:

3> perform the Random Access Resource selection procedure (see clause 5.1.2).

2> else:

3> increment *PREAMBLE\_TRANSMISSION\_COUNTER* by 1;

3> if *PREAMBLE\_TRANSMISSION\_COUNTER* = *preambleTransMax* + 1:

4> if the Random Access Preamble is transmitted on the SpCell:

5> indicate a Random Access problem to upper layers;

5> if this Random Access procedure was triggered for SI request:

6> consider the Random Access procedure unsuccessfully completed.

4> else if the Random Access Preamble is transmitted on an SCell:

5> consider the Random Access procedure unsuccessfully completed.

3> if the Random Access procedure is not completed:

4> perform the Random Access Resource selection procedure (see clause 5.1.2).

The RA-RNTI associated with the PRACH occasion in which the Random Access Preamble is transmitted or the RA-RNTI associated with the last valid PRACH occasion in the set of PRACH occasions (as specified in TS 38.213 [6]) for Msg1 repetition, is computed as:

RA-RNTI = 1 + s\_id + 14 × t\_id + 14 × 80 × f\_id + 14 × 80 × 8 × ul\_carrier\_id

where s\_id is the index of the first OFDM symbol of the PRACH occasion (0 ≤ s\_id < 14), t\_id is the index of the first slot of the PRACH occasion in a system frame (0 ≤ t\_id < 80), where the subcarrier spacing to determine t\_id is based on the value of μ specified in clause 5.3.2 in TS 38.211 [8] for μ = {0, 1, 2, 3}, and for μ = {5, 6}, t\_id is the index of the 120 kHz slot in a system frame that contains the PRACH occasion (0 ≤ t\_id < 80), f\_id is the index of the PRACH occasion in the frequency domain (0 ≤ f\_id < 8), and ul\_carrier\_id is the UL carrier used for Random Access Preamble transmission (0 for NUL carrier, and 1 for SUL carrier).

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5.1.4 Random Access Response reception

Once the Random Access Preamble is transmitted and regardless of the possible occurrence of a measurement gap, the MAC entity shall:

1> if the contention-free Random Access Preamble for beam failure recovery request was transmitted by the MAC entity:

2> if the contention-free Random Access Preamble for beam failure recovery request was transmitted on a non-terrestrial network:

3> start the *ra-ResponseWindow* configured in *BeamFailureRecoveryConfig* at the PDCCH occasion as specified in TS 38.213 [6].

2> else:

3> start the *ra-ResponseWindow* configured in *BeamFailureRecoveryConfig* at the first PDCCH occasion as specified in TS 38.213 [6] from the end of the Random Access Preamble transmission.

2> monitor for a PDCCH transmission on the search space indicated by *recoverySearchSpaceId* of the SpCell identified by the C-RNTI while *ra-ResponseWindow* is running.

1> else:

2> if the Random Access Preamble was transmitted on a non-terrestrial network:

3> if the Random Access Preamble is transmitted with repetitions:

4> start the *ra-ResponseWindow* configured in *RACH-ConfigCommon* at the PDCCH occasion from the end of all repetitions of the Random Access Preamble transmission as specified in TS 38.213 [6].

3> else:

4> start the *ra-ResponseWindow* configured in *RACH-ConfigCommon* at the PDCCH occasion as specified in TS 38.213 [6].

2> else if the Random Access Preamble is transmitted with repetitions:

3> start the *ra-ResponseWindow* configured in *RACH-ConfigCommon* at the first PDCCH occasion from the end of all repetitions of the Random Access Preamble transmission as specified in TS 38.213 [6].

2> else if the Random Access Preamble is transmitted for SIB1 request:

3> start the *ra-ResponseWindow* configured in *RACH-ConfigSIB1* at the first PDCCH occasion from the end of the Random Access Preamble transmission as specified in TS 38.213 [6].

2> else:

3> start the *ra-ResponseWindow* configured in *RACH-ConfigCommon* at the first PDCCH occasion as specified in TS 38.213 [6] from the end of the Random Access Preamble transmission.

2> monitor the PDCCH of the SpCell for Random Access Response(s) identified by the RA-RNTI while the *ra-ResponseWindow* is running.

1> if notification of a reception of a PDCCH transmission on the search space indicated by *recoverySearchSpaceId* is received from lower layers on the Serving Cell where the preamble was transmitted; and

1> if PDCCH transmission is addressed to the C-RNTI; and

1> if the contention-free Random Access Preamble for beam failure recovery request was transmitted by the MAC entity:

2> consider the Random Access procedure successfully completed.

1> else if a valid (as specified in TS 38.213 [6]) downlink assignment has been received on the PDCCH for the RA-RNTI and the received TB is successfully decoded:

2> if the Random Access Response contains a MAC subPDU with Backoff Indicator:

3> if the Random Access procedure was initiated for SIB1 request:

4> set the *PREAMBLE\_BACKOFF* to 0 ms.

3> else:

4> set the *PREAMBLE\_BACKOFF* to value of the BI field of the MAC subPDU using Table 7.2-1, multiplied with *SCALING\_FACTOR\_BI*.

2> else:

3> set the *PREAMBLE\_BACKOFF* to 0 ms.

2> if the Random Access Response contains a MAC subPDU with Random Access Preamble identifier corresponding to the transmitted *PREAMBLE\_INDEX* (see clause 5.1.3):

3> consider this Random Access Response reception successful.

2> if the Random Access Response reception is considered successful:

3> if the Random Access Response includes a MAC subPDU with RAPID only:

4> consider this Random Access procedure successfully completed;

4> if the Random Access procedure was initiated for SIB1 request:

5> indicate the reception of an acknowledgement for SIB1 request to upper layers.

4> else if the Random Access procedure was initiated for SI request:

5> indicate the reception of an acknowledgement for SI request to upper layers.

3> else:

4> apply the following actions for the Serving Cell where the Random Access Preamble was transmitted:

5> process the received Timing Advance Command (see clause 5.2);

5> indicate the *preambleReceivedTargetPower* and the amount of power ramping applied to the latest Random Access Preamble transmission to lower layers (i.e. (*PREAMBLE\_POWER\_RAMPING\_COUNTER* – 1) × *PREAMBLE\_POWER\_RAMPING\_STEP +* *POWER\_OFFSET\_2STEP\_RA*);

5> if the Random Access procedure for an SCell is performed on uplink carrier where *pusch-Config* is not configured:

6> ignore the received UL grant.

5> else:

6> process the received UL grant value and indicate it to the lower layers.

4> if the Random Access Preamble was not selected by the MAC entity among the contention-based Random Access Preamble(s):

5> consider the Random Access procedure successfully completed.

4> else:

5> set the *TEMPORARY\_C-RNTI* to the value received in the Random Access Response;

5> if this is the first successfully received Random Access Response within this Random Access procedure:

6> if the transmission is not being made for the CCCH logical channel:

7> indicate to the Multiplexing and assembly entity to include a C-RNTI MAC CE in the subsequent uplink transmission.

6> if the Random Access procedure was initiated for SpCell beam failure recovery and *spCell-BFR-CBRA* with value *true* is configured:

7> if there is at least one Serving Cell of this MAC entity configured with two BFD-RS sets:

8> indicate to the Multiplexing and assembly entity to include an Enhanced BFR MAC CE or a Truncated Enhanced BFR MAC CE in the subsequent uplink transmission.

7> else:

8> indicate to the Multiplexing and assembly entity to include a BFR MAC CE or a Truncated BFR MAC CE in the subsequent uplink transmission.

6> else if the Random Access procedure was initiated for beam failure recovery of both BFD-RS sets of SpCell:

7> indicate to the Multiplexing and assembly entity to include an Enhanced BFR MAC CE or a Truncated Enhanced BFR MAC CE in the subsequent uplink transmission.

6> obtain the MAC PDU to transmit from the Multiplexing and assembly entity and store it in the Msg3 buffer.

NOTE 1: If within a Random Access procedure, an uplink grant provided in the Random Access Response for the same group of contention-based Random Access Preambles has a different size than the first uplink grant allocated during that Random Access procedure, the UE behavior is not defined.

1> if *ra-ResponseWindow* configured in *BeamFailureRecoveryConfig* expires and if a PDCCH transmission on the search space indicated by *recoverySearchSpaceId* addressed to the C-RNTI has not been received on the Serving Cell where the preamble was transmitted; or

1> if *ra-ResponseWindow* configured in *RACH-ConfigCommon* or *RACH-ConfigSIB1* expires, and if the Random Access Response containing Random Access Preamble identifiers that matches the transmitted *PREAMBLE\_INDEX* has not been received:

2> consider the Random Access Response reception not successful;

2> increment *PREAMBLE\_TRANSMISSION\_COUNTER* by 1;

2> if *PREAMBLE\_TRANSMISSION\_COUNTER* = *preambleTransMax* + 1:

3> if the Random Access Preamble is transmitted on the SpCell:

4> indicate a Random Access problem to upper layers;

4> if this Random Access procedure was triggered for SI request or SIB1 request:

5> consider the Random Access procedure unsuccessfully completed.

3> else if the Random Access Preamble is transmitted on an SCell:

4> consider the Random Access procedure unsuccessfully completed.

2> if the Random Access procedure is not completed:

3> if the Random Access Preamble is transmitted with repetitions and neither contention-free Random Access Resources nor Random Access resources for SI request have been provided for this Random Access procedure:

4> if *PREAMBLE\_TRANSMISSION\_COUNTER* = [*preambleTransMax-Msg1-Repetition*] + 1; or

4> if *PREAMBLE\_TRANSMISSION\_COUNTER* = 2 × [*preambleTransMax-Msg1-Repetition*] + 1:

5> if set of Random Access resources configured with the same *prach-ConfigurationIndex* and associated with a higher Msg1 repetition number with the same feature or feature combination as the current set of Random Access resources is available:

6> select the set of Random Access resources associated with the next higher Msg1 repetition number with the same feature or feature combination for this Random Access procedure;

6> initialize *startPreambleForThisPartition*, *numberOfPreamblesPerSSB-ForThisPartition*, *numberOfRA-PreamblesGroupA* and *msg1-RepetitionTimeOffsetROGroup* parameters for the Random Access procedure according to the values configured by RRC for the selected set of Random Access resources.

3> select a random backoff time according to a uniform distribution between 0 and the *PREAMBLE\_BACKOFF*;

3> if the criteria (as defined in clause 5.1.2) to select contention-free Random Access Resources is met during the backoff time:

4> perform the Random Access Resource selection procedure (see clause 5.1.2).

3> else if the Random Access procedure for an SCell is performed on uplink carrier where *pusch-Config* is not configured:

4> delay the subsequent Random Access transmission until the Random Access Procedure is triggered by a PDCCH order with the same *ra-PreambleIndex*, *ra-ssb-OccasionMaskIndex*, and UL/SUL indicator TS 38.212 [9].

3> else:

4> perform the Random Access Resource selection procedure (see clause 5.1.2) after the backoff time.

The MAC entity may stop *ra-ResponseWindow* (and hence monitoring for Random Access Response(s)) after successful reception of a Random Access Response containing Random Access Preamble identifiers that matches the transmitted *PREAMBLE\_INDEX*.

HARQ operation is not applicable to the Random Access Response reception.

NOTE 2: For the case that RAR PDSCH bandwidth is larger than the bandwidth the eRedCap UE can receive or process per slot, and the UL grant in RAR indicates that the time is not enough for Msg3 transmission, as specified in TS 38.213 [6], it is up to UE implementation, e.g. either to consider the Random Access Response reception not successful, or transmit Msg3.

=====================================NEXT CHANGE===================================

## 5.15 Bandwidth Part (BWP) operation

### 5.15.1 Downlink and Uplink

In addition to clause 12 of TS 38.213 [6], this clause specifies requirements on BWP operation.

A Serving Cell may be configured with one or multiple BWPs, and the maximum number of BWP per Serving Cell is specified in TS 38.213 [6].

The BWP switching for a Serving Cell is used to activate an inactive BWP and deactivate an active BWP at a time. The BWP switching is controlled by the PDCCH indicating a downlink assignment or an uplink grant, by the *bwp-InactivityTimer*, by RRC signalling, or by the MAC entity itself upon initiation of Random Access procedure or upon detection of consistent LBT failure on SpCell. Upon RRC (re-)configuration of *firstActiveDownlinkBWP-Id* and/or *firstActiveUplinkBWP-Id* for SpCell except for PSCell when SCG is deactivated (see clause 5.29) or activation of an SCell, the DL BWP and/or UL BWP indicated by *firstActiveDownlinkBWP-Id* and/or *firstActiveUplinkBWP-Id* respectively (as specified in TS 38.331 [5]) is active without receiving PDCCH indicating a downlink assignment or an uplink grant. Upon RRC (re-)configuration of *firstActiveDownlinkBWP-Id* for PSCell when SCG is deactivated, the DL BWP is switched to the *firstActiveDownlinkBWP-Id* as specified in TS 38.331 [5]. The active BWP for a Serving Cell is indicated by either RRC or PDCCH (as specified in TS 38.213 [6]). For unpaired spectrum, a DL BWP is paired with a UL BWP, and BWP switching is common for both UL and DL.

For each SCell a dormant BWP may be configured with *dormantBWP-Id* by RRC signalling as described in TS 38.331 [5]. Entering or leaving dormant BWP for SCells is done by BWP switching per SCell or per dormancy SCell group based on instruction from PDCCH (as specified in TS 38.213 [6]). The dormancy SCell group configurations are configured by RRC signalling as described in TS 38.331 [5]. Upon reception of the PDCCH indicating leaving dormant BWP, the DL BWP indicated by *firstOutsideActiveTimeBWP-Id* or by *firstWithinActiveTimeBWP-Id* (as specified in TS 38.331 [5] and TS 38.213 [6]) is activated. Upon reception of the PDCCH indicating entering dormant BWP, the DL BWP indicated by *dormantBWP-Id* (as specified in TS 38.331 [5]) is activated. The dormant BWP configuration for SpCell or PUCCH SCell is not supported.

BWP for SRS for positioning Tx frequency hopping can be configured for a Serving Cell in TS 38.331 [5]. BWP for SRS Tx frequency hopping is considered as activated when it is configured. BWP switching is not applicable for BWP for SRS Tx frequency hopping.

For each activated Serving Cell configured with a BWP, the MAC entity shall:

1> if a BWP is activated and the active DL BWP for the Serving Cell is not the dormant BWP and the Serving Cell is not the PSCell of deactivated SCG:

2> transmit on UL-SCH on the BWP;

2> transmit on RACH on the BWP, if PRACH occasions are configured;

2> monitor the PDCCH on the BWP;

2> transmit PUCCH on the BWP, if configured;

2> report CSI for the BWP;

2> transmit SRS on the BWP, if configured;

2> receive DL-SCH on the BWP;

2> (re-)initialize any suspended configured uplink grants of configured grant Type 1 on the active BWP according to the stored configuration, if any, and to start in the symbol according to rules in clause 5.8.2;

2> if *lbt-FailureRecoveryConfig* is configured:

3> stop the *lbt-FailureDetectionTimer*, if running;

3> set *LBT\_COUNTER* to 0;

3> monitor LBT failure indications from lower layers as specified in clause 5.21.2.

1> if a BWP is activated and the active DL BWP for the Serving Cell is dormant BWP:

2> stop the *bwp-InactivityTimer* of this Serving Cell, if running.

2> not monitor the PDCCH on the BWP;

2> not monitor the PDCCH for the BWP;

2> not receive DL-SCH on the BWP;

2> not report CSI on the BWP, report CSI except aperiodic CSI for the BWP;

2> not transmit SRS on the BWP;

2> not transmit on UL-SCH on the BWP;

2> not transmit on RACH on the BWP;

2> not transmit PUCCH on the BWP;

2> clear any configured downlink assignment and any configured uplink grant Type 2 associated with the SCell respectively;

2> suspend any configured uplink grant Type 1 associated with the SCell;

2> if configured, perform beam failure detection and beam failure recovery for the SCell if beam failure is detected;

2> if the SCell is configured as a scheduled cell in *MC-DCI-SetOfCells* and with the search space for DCI to schedule multiple cells (as specified in TS 38.213 [6]) of the same *searchSpaceId* as the serving cell in which *MC-DCI-SetOfCells* containing the SCell is configured:

3> not monitor the PDCCH for scheduling multiple cells (as specified in TS 38.213 [6]) for the set of cells in *MC-DCI-SetOfCells* including the SCell.

1> if a BWP is deactivated or the Serving Cell is PSCell of deactivated SCG:

2> not transmit on UL-SCH on the BWP;

2> not transmit on RACH on the BWP;

2> not monitor the PDCCH on the BWP;

2> not transmit PUCCH on the BWP;

2> not report CSI for the BWP;

2> not transmit SRS on the BWP;

2> not receive DL-SCH on the BWP;

2> clear any configured downlink assignment and configured uplink grant of configured grant Type 2 on the BWP;

2> suspend any configured uplink grant of configured grant Type 1 on the inactive BWP.

Upon initiation of the Random Access procedure on a Serving Cell, after the selection of carrier for performing Random Access procedure as specified in clause 5.1.1, the MAC entity shall for the selected carrier of this Serving Cell:

1> if PRACH occasions are not configured for the active UL BWP:

2> if the UE is an (e)RedCap UE; and

2> if *initialUplinkBWP-RedCap* is configured:

3> switch the active UL BWP to BWP indicated by *initialUplinkBWP-RedCap*.

2> else:

3> switch the active UL BWP to BWP indicated by *initialUplinkBWP*.

2> if the Serving Cell is an SpCell:

3> if the UE is an (e)RedCap UE; and

3> if *initialDownlinkBWP-RedCap* is configured:

4> switch the active DL BWP to BWP indicated by *initialDownlinkBWP-RedCap*.

3> else:

4> switch the active DL BWP to BWP indicated by *initialDownlinkBWP*.

1> else:

2> if the Serving Cell is an SpCell:

3> if the active DL BWP does not have the same *bwp-Id* as the active UL BWP:

4> switch the active DL BWP to the DL BWP with the same *bwp-Id* as the active UL BWP.

1> stop the *bwp-InactivityTimer* associated with the active DL BWP of this Serving Cell, if running.

1> if the Serving Cell is SCell:

2> stop the *bwp-InactivityTimer* associated with the active DL BWP of SpCell, if running.

1> perform the Random Access procedure on the active DL BWP of SpCell and active UL BWP of this Serving Cell.

If the MAC entity receives a PDCCH for BWP switching of a Serving Cell, the MAC entity shall:

1> if there is no ongoing Random Access procedure associated with this Serving Cell; or

1> if the ongoing Random Access procedure associated with this Serving Cell is successfully completed upon reception of this PDCCH addressed to C-RNTI (as specified in clauses 5.1.4, 5.1.4a, and 5.1.5):

2> cancel, if any, triggered consistent LBT failure for this Serving Cell;

2> perform BWP switching to a BWP indicated by the PDCCH.

If the MAC entity receives a PDCCH for BWP switching for a Serving Cell(s) or a dormancy SCell group(s) while a Random Access procedure associated with that Serving Cell is ongoing in the MAC entity, it is up to UE implementation whether to switch BWP or ignore the PDCCH for BWP switching, except for the PDCCH reception for BWP switching addressed to the C-RNTI for successful Random Access procedure completion (as specified in clauses 5.1.4, 5.1.4a, and 5.1.5) in which case the UE shall perform BWP switching to a BWP indicated by the PDCCH. Upon reception of the PDCCH for BWP switching other than successful contention resolution, if the MAC entity decides to perform BWP switching, the MAC entity shall stop the ongoing Random Access procedure and initiate a Random Access procedure after performing the BWP switching; if the MAC decides to ignore the PDCCH for BWP switching, the MAC entity shall continue with the ongoing Random Access procedure on the Serving Cell.

Upon reception of RRC (re-)configuration for BWP switching for a Serving Cell while a Random Access procedure associated with that Serving Cell is ongoing in the MAC entity, the MAC entity shall stop the ongoing Random Access procedure and initiate a Random Access procedure after performing the BWP switching.

Upon reception of RRC (re-)configuration for BWP switching for a Serving Cell, cancel any triggered consistent LBT failure in this Serving Cell.

The MAC entity shall for each activated Serving Cell configured with *bwp-InactivityTimer*:

1> if the *defaultDownlinkBWP-Id* is configured, and the active DL BWP is not the BWP indicated by the *defaultDownlinkBWP-Id*, and the active DL BWP is not the BWP indicated by the *dormantBWP-Id* if configured; or

1> if the UE is neither a RedCap nor an eRedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and the active DL BWP is not the *initialDownlinkBWP*, and the active DL BWP is not the BWP indicated by the *dormantBWP-Id* if configured; or

1> if the UE is an (e)RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is not configured, and the active DL BWP is not the *initialDownlinkBWP*; or

1> if the UE is an (e)RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is configured, and the active DL BWP is not the *initialDownlinkBWP-RedCap*:

2> if a PDCCH addressed to C-RNTI or CS-RNTI indicating downlink assignment or uplink grant is received on the active BWP; or

2> if a PDCCH addressed to G-RNTI or G-CS-RNTI configured for multicast indicating downlink assignment is received on the active BWP; or

2> if a PDCCH addressed to C-RNTI or CS-RNTI indicating downlink assignment or uplink grant is received for the active BWP; or

2> if a MAC PDU is transmitted in a configured uplink grant and LBT failure indication is not received from lower layers; or

2> if a MAC PDU is received in a configured downlink assignment for unicast or MBS multicast:

3> if there is no ongoing Random Access procedure associated with this Serving Cell; or

3> if the ongoing Random Access procedure associated with this Serving Cell is successfully completed upon reception of this PDCCH addressed to C-RNTI (as specified in clauses 5.1.4, 5.1.4a and 5.1.5):

4> start or restart the *bwp-InactivityTimer* associated with the active DL BWP.

2> if the *bwp-InactivityTimer* associated with the active DL BWP expires:

3> if the *defaultDownlinkBWP-Id* is configured:

4> perform BWP switching to a BWP indicated by the *defaultDownlinkBWP-Id*.

3> else:

4> if the UE is a (e)RedCap UE; and

4> if *initialDownlinkBWP-RedCap* is configured:

5> perform BWP switching to the *initialDownlinkBWP-RedCap*.

4> else:

5> perform BWP switching to the *initialDownlinkBWP*.

NOTE: If a Random Access procedure is initiated on an SCell, both this SCell and the SpCell are associated with this Random Access procedure.

1> if a PDCCH for BWP switching is received, and the MAC entity switches the active DL BWP:

2> if the *defaultDownlinkBWP-Id* is configured, and the MAC entity switches to the DL BWP which is not indicated by the *defaultDownlinkBWP-Id* and is not indicated by the *dormantBWP-Id* if configured; or

2> if the UE is neither a RedCap nor an eRedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and the MAC entity switches to the DL BWP which is not the *initialDownlinkBWP* and is not indicated by the *dormantBWP-Id* if configured; or

2> if the UE is an (e)RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is not configured, and the MAC entity switches to the DL BWP which is not the *initialDownlinkBWP*; or

2> if the UE is an (e)RedCap UE, and if the *defaultDownlinkBWP-Id* is not configured, and *initialDownlinkBWP-RedCap* is configured, and the MAC entity switches to the DL BWP which is not the *initialDownlinkBWP-RedCap*:

3> start or restart the *bwp-InactivityTimer* associated with the active DL BWP.

Upon initiation of the Random Access procedure, after selection of the carrier for performing Random Access procedure as specified in clause 5.1.1, if the UE is an (e)RedCap UE in RRC\_IDLE or RRC\_INACTIVE mode, the MAC entity shall:

1> if *initialUplinkBWP-RedCap* is configured for the selected carrier:

2> perform the Random Access procedure as specified in clause 5.1 by using the BWP configured by *initialUplinkBWP-RedCap*.

1> else:

2> perform the Random Access procedure as specified in clause 5.1 by using the BWP configured by *initialUplinkBWP*.

1> if *initialDownlinkBWP-RedCap* is configured:

2> if the Random Access procedure was initiated for SI request or SIB1 request (as specified in TS 38.331 [5]) and the Random Access Resources for SI request have been explicitly provided by RRC, and if the selected carrier is SUL carrier:

3> monitor the PDCCH on the BWP configured by *initialDownlinkBWP*.

2> else:

3> monitor the PDCCH on the BWP configured by *initialDownlinkBWP-RedCap*.

1> else:

2> monitor the PDCCH on the BWP configured by *initialDownlinkBWP*.

=====================================NEXT CHANGE===================================

5.18 Handling of MAC CEs

5.18.1 General

This clause specifies the requirements upon reception or transmission of the following MAC CEs:

- SP CSI-RS/CSI-IM Resource Set Activation/Deactivation MAC CE;

- Aperiodic CSI Trigger State Subselection MAC CE;

- TCI States Activation/Deactivation for UE-specific PDSCH MAC CE;

- TCI State Indication for UE-specific PDCCH MAC CE;

- SP CSI reporting on PUCCH Activation/Deactivation MAC CE;

- Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE;

- SP SRS Activation/Deactivation MAC CE;

- PUCCH spatial relation Activation/Deactivation MAC CE;

- Enhanced PUCCH spatial relation Activation/Deactivation MAC CE;

- SP ZP CSI-RS Resource Set Activation/Deactivation MAC CE;

- Recommended Bit Rate MAC CE;

- Enhanced SP/AP SRS Spatial Relation Indication MAC CE;

- SRS Pathloss Reference RS Update MAC CE;

- PUSCH Pathloss Reference RS Update MAC CE;

- Serving Cell set based SRS Spatial Relation Indication MAC CE;

- SP Positioning SRS Activation/Deactivation MAC CE;

- Timing Delta MAC CE;

- Guard Symbols MAC CEs;

- Positioning Measurement Gap Activation/Deactivation Command MAC CE;

- PPW Activation/Deactivation Command MAC CE;

- PUCCH spatial relation Activation/Deactivation for multiple TRP PUCCH repetition MAC CE;

- PUCCH Power Control Set Update for multiple TRP PUCCH repetition MAC CE;

- Unified TCI States Activation/Deactivation MAC CE;

- Differential Koffset MAC CE;

- Case-7 Timing advance offset MAC CE;

- DL TX Power Adjustment MAC CEs;

- Child IAB-DU Restricted Beam Indication MAC CE;

- Timing Case Indication MAC CE;

- PSI-Based SDU Discard Activation/Deactivation MAC CE;

- BFD-RS Indication MAC CE;

- IAB-MT Recommended Beam Indication MAC CE;

- UL PSD range adjustment for IAB MAC CE;

- Case-6 Timing Request MAC CE;

- NCR Backhaul Link Beam Indication MAC CEs;

- NCR Access Link Beam Indication MAC CE;

- Enhanced Unified TCI States Activation/Deactivation MAC CE;

- LTM Cell Switch Command MAC CE;

- Candidate Cell TCI States Activation/Deactivation MAC CE;

- Aggregated SP Positioning SRS Activation/Deactivation MAC CE.

- On-demand SSB Activation/Deactivation MAC CE.

=====================================NEXT CHANGE===================================

5.18.x On-demand SSB Activation/Deactivation

The network may activate and deactivate the configured on-demand SSB by sending the On-demand SSB Activation/Deactivation MAC CE described in clause 6.1.3.x.

The MAC entity shall:

1> if the MAC entity receives an On-demand SSB Activation/Deactivation MAC CE:

2> indicate to upper layers the information regarding the On-demand SSB Activation/Deactivation MAC CE;

2> indicate to lower layers the information regarding the On-demand SSB Activation/Deactivation MAC CE.

=====================================NEXT CHANGE===================================

# 6 Protocol Data Units, formats and parameters

### 6.1.3 MAC Control Elements (CEs)

#### 6.1.3.x On-demand SSB Activation/Deactivation MAC CE

The on-demand SSB Activation/Deactivation MAC CE of one Activation/Deactivation octet is identified by a MAC subheader with eLCID as specified in Table 6.2.1-1b. It has a variable size; it includes an Activation/Deactivation bitmap and, in ascending order based on the *SCellIndex*, an on-demand SSB configuration index field for each SCell on which on-demand SSB is activated in the bitmap. A single octet bitmap is used for Activation/Deactivation of the on-demand SSB transmission and indicating the presence of an on-demand SSB configuration index per Serving Cell, containing seven C-fields and one R-field. The on-demand SSB Activation/Deactivation MAC CE of one Activation/Deactivation octet is defined as follows (Figure 6.1.3.x-1).

The on-demand SSB Activation/Deactivation MAC CE of four Activation/Deactivation octets is identified by a MAC subheader with eLCID as specified in Table 6.2.1-1b. It has a variable size; it includes an Activation/Deactivation bitmap and, in ascending order based on the *SCellIndex*, an on-demand SSB configuration index field for each SCell on which on-demand SSB is activated in the bitmap. A four-octet bitmap is used for Activation/Deactivation of the on-demand SSB transmission and indicating the presence of an on-demand SSB configuration index per Serving Cell, containing 31 C-fields and one R-field. The on-demand SSB Activation/Deactivation MAC CE of four Activation/Deactivation octets is defined as follows (Figure 6.1.3.x-2).

- Ci: If there is an SCell configured for the MAC entity with *SCellIndex i* as specified in TS 38.331 [5], this field indicates the on-demand SSB activation/deactivation status for the SCell with *SCellIndex* i, else the MAC entity shall ignore the Ci field. The Ci field is set to 1 to indicate that on-demand SSB transmission on the SCell with *SCellIndex* i shall be activated with the corresponding on-demand SSB configuration index to be applied. The Ci field is set to 0 to indicate that on-demand SSB transmission on the SCell with *SCellIndex* i shall be deactivated;

- ConfigIndexi: The on-demand SSB configuration index to apply for *SCellIndex i*. The octet containing this field is present for SCell with *SCellIndex* *i* if Ci is 1, and not present if Ci is 0.

- R: Reserved bit, set to 0.



**Figure 6.1.3.x-1: On-demand SSB Activation/Deactivation MAC CE of one Activation/Deactivation octet**



**Figure 6.1.3.x-2: On-demand SSB Activation/Deactivation MAC CE of four Activation/Deactivation octets**

=====================================NEXT CHANGE===================================

6.1.5 MAC PDU (Random Access Response)

A MAC PDU consists of one or more MAC subPDUs and optionally padding. Each MAC subPDU consists one of the following:

- a MAC subheader with Backoff Indicator only;

- a MAC subheader with RAPID only (i.e. acknowledgment for SI request or SIB1 request);

- a MAC subheader with RAPID and MAC RAR.

A MAC subheader with Backoff Indicator consists of five header fields E/T/R/R/BI as described in Figure 6.1.5-1. A MAC subPDU with Backoff Indicator only is placed at the beginning of the MAC PDU, if included. 'MAC subPDU(s) with RAPID only' and 'MAC subPDU(s) with RAPID and MAC RAR' can be placed anywhere between MAC subPDU with Backoff Indicator only (if any) and padding (if any).

A MAC subheader with RAPID consists of three header fields E/T/RAPID as described in Figure 6.1.5-2.

Padding is placed at the end of the MAC PDU if present. Presence and length of padding is implicit based on TB size, size of MAC subPDU(s).

****

**Figure 6.1.5-1: E/T/R/R/BI MAC subheader**

****

**Figure 6.1.5-2: E/T/RAPID MAC subheader**

****

**Figure 6.1.5-3: Example of MAC PDU consisting of MAC RARs**

=====================================NEXT CHANGE===================================

6.2 Formats and parameters

6.2.1 MAC subheader for DL-SCH and UL-SCH

The MAC subheader consists of the following fields:

- LCID: The Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE or padding as described in Tables 6.2.1-1 and 6.2.1-1c for the DL-SCH and Tables 6.2.1-2 and 6.2.1-2c for the UL-SCH. There is one LCID field per MAC subheader. The size of the LCID field is 6 bits. If the LCID field is set to 34 as in Table 6.2.1-1 or 6.2.1-2, one additional octet is present in the MAC subheader containing the eLCID field and follow the octet containing LCID field. If the LCID field is set to 33 as in Table 6.2.1-1 or 6.2.1-2, two additional octets are present in the MAC subheader containing the eLCID field and these two additional octets follow the octet containing LCID field;

NOTE 1: For MBS broadcast, a logical channel is identified based on G-RNTI and LCID if the same LCID is allocated for logical channels corresponding to different G-RNTIs.

- eLCID: The extended Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE as described in tables 6.2.1-1a, 6.2.1-1b, 6.2.1-2a and 6.2.1-2b for the DL-SCH and UL-SCH respectively. The size of the eLCID field is either 8 bits or 16 bits.

NOTE 2: The extended Logical Channel ID space using two-octet eLCID and the relevant MAC subheader format is used, only when configured, on the NR backhaul links between IAB nodes or between IAB node and IAB Donor, or for multicast MTCHs.

- L: The Length field indicates the length of the corresponding MAC SDU or variable-sized MAC CE in bytes. There is one L field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the L field is indicated by the F field;

- F: The Format field indicates the size of the Length field. There is one F field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the F field is 1 bit. The value 0 indicates 8 bits of the Length field. The value 1 indicates 16 bits of the Length field;

- LX: The LCID extension field indicates the use of extended LCID space. The size of the LX field is 1 bit. The LX field set to 1 indicates the use of Table 6.2.1-2c, otherwise R bit is present instead (i.e. set to 0);

- R: Reserved bit, set to 0.

The MAC subheader is octet aligned.

**Table 6.2.1-1: Values of LCID for DL-SCH**

|  |  |
| --- | --- |
| **Codepoint/Index** | **LCID values** |
| 0 | CCCH |
| 1–32 | Identity of the logical channel of DCCH, DTCH and multicast MTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35–46 | Reserved |
| 47 | Recommended bit rate |
| 48 | SP ZP CSI-RS Resource Set Activation/Deactivation |
| 49 | PUCCH spatial relation Activation/Deactivation |
| 50 | SP SRS Activation/Deactivation |
| 51 | SP CSI reporting on PUCCH Activation/Deactivation |
| 52 | TCI State Indication for UE-specific PDCCH |
| 53 | TCI States Activation/Deactivation for UE-specific PDSCH |
| 54 | Aperiodic CSI Trigger State Subselection |
| 55 | SP CSI-RS/CSI-IM Resource Set Activation/Deactivation |
| 56 | Duplication Activation/Deactivation |
| 57 | SCell Activation/Deactivation (four octets) |
| 58 | SCell Activation/Deactivation (one octet) |
| 59 | Long DRX Command |
| 60 | DRX Command |
| 61 | Timing Advance Command |
| 62 | UE Contention Resolution Identity |
| 63 | Padding |

**Table 6.2.1-1a: Values of two-octet eLCID for DL-SCH**

|  |  |  |
| --- | --- | --- |
| **Codepoint** | **Index** | **LCID values** |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

**Table 6.2.1-1b: Values of one-octet eLCID for DL-SCH**

|  |  |  |
| --- | --- | --- |
| **Codepoint** | **Index** | **LCID values** |
| 0 to 213 | 64 to 277 | Reserved |
| 214 | 278 | On-demand SSB Activation/Deactivation (one octet Ci field) |
| 215 | 279 | On-demand SSB Activation/Deactivation (four octet Ci field) |
| 216 | 280 | Aggregated SP Positioning SRS Activation/Deactivation |
| 217 | 281 | Enhanced SP CSI reporting on PUCCH Activation/Deactivation |
| 218 | 282 | Cross-RRH TCI State Indication for UE-specific PDCCH |
| 219 | 283 | LTM Cell Switch Command |
| 220 | 284 | Candidate Cell TCI States Activation/Deactivation |
| 221 | 285 | PSI-Based SDU Discard Activation/Deactivation |
| 222 | 286 | Enhanced Unified TCI states Activation/Deactivation MAC CE for Joint TCI States |
| 223 | 287 | Enhanced Unified TCI states Activation/Deactivation MAC CE for Separate TCI States |
| 224 | 288 | NCR Access Link Beam Indication |
| 225 | 289 | NCR Downlink Backhaul Link Beam Indication |
| 226 | 290 | NCR Uplink Backhaul Link Beam Indication |
| 227 | 291 | Serving Cell Set based SRS TCI State Indication |
| 228 | 292 | SP/AP SRS TCI State Indication |
| 229 | 293 | BFD-RS Indication |
| 230 | 294 | Differential Koffset |
| 231 | 295 | Enhanced SCell Activation/Deactivation (one octet Ci field) |
| 232 | 296 | Enhanced SCell Activation/Deactivation (four octet Ci field) |
| 233 | 297 | Unified TCI States Activation/Deactivation |
| 234 | 298 | PUCCH Power Control Set Update for multiple TRP PUCCH repetition |
| 235 | 299 | PUCCH spatial relation Activation/Deactivation for multiple TRP PUCCH repetition |
| 236 | 300 | Enhanced TCI States Indication for UE-specific PDCCH |
| 237 | 301 | Positioning Measurement Gap Activation/Deactivation Command |
| 238 | 302 | PPW Activation/Deactivation Command |
| 239 | 303 | DL Tx Power Adjustment |
| 240 | 304 | Timing Case Indication |
| 241 | 305 | Child IAB-DU Restricted Beam Indication |
| 242 | 306 | Case-7 Timing advance offset |
| 243 | 307 | Provided Guard Symbols for Case-6 timing |
| 244 | 308 | Provided Guard Symbols for Case-7 timing |
| 245 | 309 | Serving Cell Set based SRS Spatial Relation Indication |
| 246 | 310 | PUSCH Pathloss Reference RS Update |
| 247 | 311 | SRS Pathloss Reference RS Update |
| 248 | 312 | Enhanced SP/AP SRS Spatial Relation Indication |
| 249 | 313 | Enhanced PUCCH Spatial Relation Activation/Deactivation |
| 250 | 314 | Enhanced TCI States Activation/Deactivation for UE-specific PDSCH |
| 251 | 315 | Duplication RLC Activation/Deactivation |
| 252 | 316 | Absolute Timing Advance Command |
| 253 | 317 | SP Positioning SRS Activation/Deactivation |
| 254 | 318 | Provided Guard Symbols |
| 255 | 319 | Timing Delta |

**Table 6.2.1-1c: Values of LCID for MBS multicast MCCH and MBS broadcast on DL-SCH**

|  |  |
| --- | --- |
| **Codepoint/Index** | **LCID values** |
| 0 | Broadcast MCCH or multicast MCCH |
| 1–32 | Identity of the logical channel of broadcast MTCH |
| 33–63 | Reserved |

**Table 6.2.1-2: Values of LCID for UL-SCH when the LX field is not present or is set to 0**

|  |  |
| --- | --- |
| **Codepoint/Index** | **LCID values** |
| 0 | CCCH of size 64 bits, except for an (e)RedCap UE |
| 1–32 | Identity of the logical channel of DCCH and DTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35 | CCCH of size 48 bits for a RedCap UE |
| 36 | CCCH of size 64 bits for a RedCap UE |
| 37–42 | Reserved |
| 43 | Truncated Enhanced BFR (one octet Ci) |
| 44 | Timing Advance Report |
| 45 | Truncated Sidelink BSR |
| 46 | Sidelink BSR |
| 47 | Reserved |
| 48 | LBT failure (four octets) |
| 49 | LBT failure (one octet) |
| 50 | BFR (one octet Ci) |
| 51 | Truncated BFR (one octet Ci) |
| 52 | CCCH of size 48 bits, except for an (e)RedCap UE |
| 53 | Recommended bit rate query |
| 54 | Multiple Entry PHR (four octets Ci) |
| 55 | Configured Grant Confirmation |
| 56 | Multiple Entry PHR (one octet Ci) |
| 57 | Single Entry PHR |
| 58 | C-RNTI |
| 59 | Short Truncated BSR |
| 60 | Long Truncated BSR |
| 61 | Short BSR |
| 62 | Long BSR |
| 63 | Padding |
| NOTE: CCCH of size 48 bits and CCCH of size 64 bits are referred to as CCCH and CCCH1, respectively, in TS 38.331 [5]. | |

**Table 6.2.1-2a: Values of two-octet eLCID for UL-SCH**

|  |  |  |
| --- | --- | --- |
| **Codepoint** | **Index** | **LCID values** |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

**Table 6.2.1-2b: Values of one-octet eLCID for UL-SCH**

|  |  |  |
| --- | --- | --- |
| **Codepoint** | **Index** | **LCID values** |
| 0 to 218 | 64 to 282 | Reserved |
| 219 | 283 | Enhanced Multiple Entry PHR for multiple TRP STx2P (four octets Ci) |
| 220 | 284 | Enhanced Multiple Entry PHR for multiple TRP STx2P (one octets Ci) |
| 221 | 285 | Enhanced Single Entry PHR for multiple TRP STx2P |
| 222 | 286 | SL LBT Failure |
| 223 | 287 | Multiple Entry PHR with assumed PUSCH (four octets Ci) |
| 224 | 288 | Multiple Entry PHR with assumed PUSCH (one octets Ci) |
| 225 | 289 | Single Entry PHR with assumed PUSCH |
| 226 | 290 | SL-PRS Resource Request |
| 227 | 291 | Refined Long BSR |
| 228 | 292 | Delay Status Report |
| 229 | 293 | Enhanced Multiple Entry PHR for multiple TRP (four octets Ci) |
| 230 | 294 | Enhanced Multiple Entry PHR for multiple TRP (one octets Ci) |
| 231 | 295 | Enhanced Single Entry PHR for multiple TRP |
| 232 | 296 | Enhanced Multiple Entry PHR (four octets Ci) |
| 233 | 297 | Enhanced Multiple Entry PHR (one octets Ci) |
| 234 | 298 | Enhanced Single Entry PHR |
| 235 | 299 | Enhanced BFR (one octet Ci) |
| 236 | 300 | Enhanced BFR (four octet Ci) |
| 237 | 301 | Truncated Enhanced BFR (four octet Ci) |
| 238 | 302 | Positioning Measurement Gap Activation/Deactivation Request |
| 239 | 303 | IAB-MT Recommended Beam Indication |
| 240 | 304 | Desired IAB-MT PSD range |
| 241 | 305 | Desired DL Tx Power Adjustment |
| 242 | 306 | Case-6 Timing Request |
| 243 | 307 | Desired Guard Symbols for Case 6 timing |
| 244 | 308 | Desired Guard Symbols for Case 7 timing |
| 245 | 309 | Extended Short Truncated BSR |
| 246 | 310 | Extended Long Truncated BSR |
| 247 | 311 | Extended Short BSR |
| 248 | 312 | Extended Long BSR |
| 249 | 313 | Extended Pre-emptive BSR |
| 250 | 314 | BFR (four octets Ci) |
| 251 | 315 | Truncated BFR (four octets Ci) |
| 252 | 316 | Multiple Entry Configured Grant Confirmation |
| 253 | 317 | Sidelink Configured Grant Confirmation |
| 254 | 318 | Desired Guard Symbols |
| 255 | 319 | Pre-emptive BSR |

**Table 6.2.1-2c: Values of LCID for UL-SCH when the LX field is set to 1**

|  |  |  |
| --- | --- | --- |
| **Codepoint** | **Index** | **LCID values** |
| 0 | (216 + 320) | CCCH of size 48 bits for an eRedCap UE |
| 1 | (216 + 321) | CCCH of size 64 bits for an eRedCap UE |
| 2 | (216 + 322) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK, except for an (e)RedCap UE |
| 3 | (216 + 323) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK, except for an (e)RedCap UE |
| 4 | (216 + 324) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK of a RedCap UE |
| 5 | (216 + 325) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK of a RedCap UE |
| 6 | (216 + 326) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK of an eRedCap UE |
| 7 | (216 + 327) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK of an eRedCap UE |
| 8 to 63 | (216 + 328) to (216 + 383) | Reserved |
| NOTE 1: The MAC entity may use the code point corresponding to a given feature or feature combination in Table 6.2.1-2c only if network indicates support for the corresponding feature or feature combination.  NOTE 2: CCCH of size 48 bits and CCCH of size 64 bits are referred to as CCCH and CCCH1, respectively, in TS 38.331 [5].  NOTE 3: For UE capable of PUCCH repetition of Msg4 HARQ-ACK, the MAC entity uses the code points corresponding to PUCCH repetition of Msg4 HARQ-ACK if *numberOfMsg4HARQ-ACK-Repetitions* is configured and *rsrp-ThresholdMsg4HARQ-ACK* is not configured, or if both are configured and the RSRP of the downlink pathloss reference is less than *rsrp-ThresholdMsg4HARQ-ACK.* | | |

=====================================NEXT CHANGE===================================

6.2.2 MAC subheader for Random Access Response

The MAC subheader consists of the following fields:

- E: The Extension field is a flag indicating if the MAC subPDU including this MAC subheader is the last MAC subPDU or not in the MAC PDU. The E field is set to 1 to indicate at least another MAC subPDU follows. The E field is set to 0 to indicate that the MAC subPDU including this MAC subheader is the last MAC subPDU in the MAC PDU;

- T: The Type field is a flag indicating whether the MAC subheader contains a Random Access Preamble ID or a Backoff Indicator. The T field is set to 0 to indicate the presence of a Backoff Indicator field in the subheader (BI). The T field is set to 1 to indicate the presence of a Random Access Preamble ID field in the subheader (RAPID);

- R: Reserved bit, set to 0;

- BI: The Backoff Indicator field identifies the overload condition in the cell. The size of the BI field is 4 bits;

- RAPID: The Random Access Preamble IDentifier field identifies the transmitted Random Access Preamble (see clause 5.1.3). The size of the RAPID field is 6 bits. If the RAPID in the MAC subheader of a MAC subPDU corresponds to one of the Random Access Preambles configured for SI request or SIB1 request, MAC RAR is not included in the MAC subPDU.

The MAC subheader is octet aligned.

===============================CHANGE ENDS=========================================