**3GPP TSG-RAN2 Meeting #125bis *R2-240***

**Changsha, P. R. China, 15th - 19th Apr., 2024**

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| *CR-Form-v12.3* |
| **CHANGE REQUEST** |
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|  | **38.321** | **CR** | 1791 | **rev** | **2** | **Current version:** | **18.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Rapporteur correction for RACH-less HO [RACH-lessHO] |
|  |  |
| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | TEI18 |  | ***Date:*** | 2024-04-24 |
|  |  |  |  |  |
| ***Category:*** | F |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)Rel-20 (Release 20)* |
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| ***Reason for change:*** | Misc editorial changes**Alignment with the RRC parameter name.** 1/ Change the field name cg-beam to *ssb-Index*2/ Change the field name cg-RACH-lessConfiguration to cg-RRC-Configuration3/ Change the field name *rach-less-RSRP-ThresholdSSB to cg-RRC-RSRP-ThresholdSSB***Change the wording** 1/ unified wording of "RACH-less LTM cell switch"=================UPDATE AFTER RAN2#125BIS===============The following agreements have been made during the discussion in RAN2#125bis, for which MAC impacts are required**9 For CG-based RACH-less HO, confirm CG for RACH-less is configured in only one CG configuration. In TS 38.321 clause 5.8.2, replace “for each configured uplink grant valid” by “if the configured grant is valid”.****12 Update text in clause 5.8.2 as “For an uplink grant configured for configured grant Type 1 for RACH-less handover, when RACH-less handover is triggered and not terminated,”** |
|  |  |
| ***Summary of change:*** | 1/ Change the field name from *cg-beam* to *ssb-index*from *rach-less-RSRP-ThresholdSSB* to *cg-RRC-RSRP-ThresholdSSB*from *cg-RACH-lessConfiguration* to *cg-RRC-Configuration*2/ Unified wording of RACH-less LTM cell switch3/ Update of the CR based on the agreements during RAN2#125bis |
|  |  |
| ***Consequences if not approved:*** | Misc editorial issues for RACH-less HO. |
|  |  |
| ***Clauses affected:*** | 5.4.1, 5.4.2.1, 5.4.4, 5.33, 5.8.2 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **X** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

====================================CHANGE BEGINS=================================

### 5.4.1 UL Grant reception

Uplink grant is either received dynamically on the PDCCH, in a Random Access Response, configured semi-persistently by RRC or determined to be associated with the PUSCH resource of MSGA as specified in clause 5.1.2a. The MAC entity shall have an uplink grant to transmit on the UL-SCH. To perform the requested transmissions, the MAC layer receives HARQ information from lower layers. An uplink grant addressed to CS-RNTI with NDI = 0 is considered as a configured uplink grant. An uplink grant addressed to CS-RNTI with NDI = 1 is considered as a dynamic uplink grant.

If the MAC entity is not configured with *lch-basedPrioritization*, for a BWP configured with *sTx-2Panel,* the MAC entity considers the PUSCH duration of one uplink grant overlaps with the PUSCH duration of another uplink grant if they are overlapping in time and associated with an *srs-ResourceSetId* corresponding to the same *coresetPoolIndex*.

If the MAC entity has a C-RNTI, a Temporary C-RNTI, or CS-RNTI, the MAC entity shall for each PDCCH occasion and for each Serving Cell belonging to a TAG that has a running *timeAlignmentTimer* or a running *cg-SDT-TimeAlignmentTimer* and for each grant received for this PDCCH occasion:

1> if an uplink grant for this Serving Cell has been received on the PDCCH for the MAC entity's C-RNTI or Temporary C-RNTI; or

1> if an uplink grant has been received in a Random Access Response:

2> if the uplink grant is for MAC entity's C-RNTI and if the previous uplink grant delivered to the HARQ entity for the same HARQ process was either an uplink grant received for the MAC entity's CS-RNTI or a configured uplink grant:

3> consider the NDI to have been toggled for the corresponding HARQ process regardless of the value of the NDI.

2> if the uplink grant is for MAC entity's C-RNTI, and the identified HARQ process is configured for a configured uplink grant:

3> start or restart the *configuredGrantTimer* for the corresponding HARQ process, if configured;

3> stop the *cg-RetransmissionTimer* for the corresponding HARQ process, if running.

2> stop the *cg-SDT-RetransmissionTimer* for the corresponding HARQ process, if running.

2> stop the *cg-RRC-RetransmissionTimer* for the corresponding HARQ process, if running.

2> if the uplink grant has been received on the PDCCH for the MAC entity's C-RNTI after the first PUSCH transmission to the Serving Cell; and

2> if the uplink grant is for a new transmission on the same HARQ process used for the first PUSCH transmission to the Serving Cell:

3> if there is an on-going RACH-less handover procedure:

4> indicate to upper layers the successful completion of RACH-less handover.

3> else if there is an on-going RACH-less LTM cell switch:

4> consider the LTM cell switch to be successfully completed and indicate it to upper layers.

2> deliver the uplink grant and the associated HARQ information to the HARQ entity.

1> else if an uplink grant for this PDCCH occasion has been received for this Serving Cell on the PDCCH for the MAC entity's CS-RNTI:

2> if the NDI in the received HARQ information is 1:

3> consider the NDI for the corresponding HARQ process not to have been toggled;

3> start or restart the *configuredGrantTimer* for the corresponding HARQ process, if configured;

3> stop the *cg-RetransmissionTimer* for the corresponding HARQ process, if running;

3> stop the *cg-SDT-RetransmissionTimer* for the corresponding HARQ process, if running;

3> stop the *cg-RRC-RetransmissionTimer* for the corresponding HARQ process, if running;

3> deliver the uplink grant and the associated HARQ information to the HARQ entity;

3> if a logical channel associated with a DRB configured with *survivalTimeStateSupport* is multiplexed in the MAC PDU stored in the HARQ buffer for the corresponding HARQ process:

4> trigger activation of PDCP duplication for all configured RLC entities of the DRB.

2> else if the NDI in the received HARQ information is 0:

3> if PDCCH contents indicate configured grant Type 2 deactivation:

4> trigger configured uplink grant confirmation.

3> else if PDCCH contents indicate configured grant Type 2 activation:

4> trigger configured uplink grant confirmation;

4> store the uplink grant for this Serving Cell and the associated HARQ information as configured uplink grant;

4> initialise or re-initialise the configured uplink grant for this Serving Cell to start in the associated PUSCH duration and to recur according to rules in clause 5.8.2;

4> stop the *configuredGrantTimer* for the corresponding HARQ process, if running;

4> stop the *cg-RetransmissionTimer* for the corresponding HARQ process, if running.

For each Serving Cell and each configured uplink grant, if configured and activated and available for use as specified in clause 5.8.2, the MAC entity shall:

1> if the MAC entity is configured with *lch-basedPrioritization*, and the PUSCH duration of the configured uplink grant does not overlap with the PUSCH duration of an uplink grant received in a Random Access Response or with the PUSCH duration of an uplink grant addressed to Temporary C-RNTI or the PUSCH duration of a MSGA payload for this Serving Cell; or

1> if the MAC entity is not configured with *lch-basedPrioritization*, and the PUSCH duration of the configured uplink grant does not overlap with the PUSCH duration of an uplink grant received on the PDCCH or in a Random Access Response or the PUSCH duration of a MSGA payload for this Serving Cell:

2> set the HARQ Process ID to the HARQ Process ID associated with this PUSCH duration;

2> if, for the corresponding HARQ process, the *configuredGrantTimer* is not running and *cg-RetransmissionTimer* is not configured and *cg-SDT-RetransmissionTimer* is not configured, and *cg-RRC-RetransmissionTimer* is not configured (i.e. new transmission):

3> if the configured uplink grant is for the initial transmission for the CG-SDT with CCCH message; or

3> if there is an on-going CG-SDT procedure and PDCCH addressed to the MAC entity's C-RNTI has been received; or

3> if there is an on-going RACH-less LTM cell switch procedure and PDCCH addressed to the MAC entity's C-RNTI has been received; or

3> if there is an on-going RACH-less handover procedure and PDCCH addressed to the MAC entity's C-RNTI has been received; or

3> if there is no on-going CG-SDT nor on-going RACH-less LTM cell switch nor on-going RACH-less handover procedure:

4> consider the NDI bit for the corresponding HARQ process to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

2> else if the *cg-RetransmissionTimer* for the corresponding HARQ process is configured and not running, then for the corresponding HARQ process:

3> if the *configuredGrantTimer* is not running, and the HARQ process is not pending (i.e. new transmission):

4> consider the NDI bit to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

3> else if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant (i.e. retransmission on configured grant):

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

2> else if the *cg-SDT-RetransmissionTimer* is configured and not running for the corresponding HARQ process; or

2> if the *cg-RRC-RetransmissionTimer* is configured and not running for the corresponding HARQ process:

3> if the configured uplink grant is for the first PUSCH transmission at RACH-less LTM cell switch (i.e., initial new transmission); or

3> if the configured uplink grant is for the initial transmission of RACH-less handover (i.e., initial new transmission); or

3> if the configured uplink grant is for the initial transmission for the CG-SDT with CCCH message (i.e., initial new transmission); or

3> if the *configuredGrantTimer* is not running or not configured, and PDCCH addressed to the MAC entity's C-RNTI has been received after the initial transmission of the CG-SDT with CCCH message (i.e., subsequent new transmission):

4> consider the NDI bit to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

3> if PDCCH addressed to the MAC entity's C-RNTI has not been received:

4> if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant for initial transmission of CG-SDT with CCCH message or for its retransmission (i.e., retransmission for initial CG-SDT transmission); or

4> if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant for initial transmission of RACH-less handover or for its retransmission (i.e., retransmission for initial RACH-less handover transmission); or

4> if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant for first PUSCH transmission at RACH-less LTM cell switch or for its retransmission (i.e., retransmission for initial transmission at LTM cell switch):

5> consider the NDI bit to have not been toggled;

5> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

For configured uplink grants that are not part of a multi-PUSCH configured grant and neither configured with *harq-ProcID-Offset2* nor with *cg-RetransmissionTimer*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

 HARQ Process ID = [floor(CURRENT\_symbol/*periodicity*)] modulo *nrofHARQ-Processes*

For configured uplink grants that are not part of a multi-PUSCH configured grant and configured with *harq-ProcID-Offset2*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

 HARQ Process ID = [floor(CURRENT\_symbol / *periodicity*)] modulo *nrofHARQ-Processes* + *harq-ProcID-Offset2*

For a multi-PUSCH configured grant (as specified in clause 5.8.2) configured with neither *harq-ProcID-Offset2* nor *cg-RetransmissionTimer*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

HARQ Process ID = [*nrofSlotsInCG-Period*× floor (CURRENT\_symbol / *periodicity*) + ID\_OFFSET] modulo *nrofHARQ-Processes*

For a multi-PUSCH configured grant configured with *harq-ProcID-Offset2*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

HARQ Process ID = [*nrofSlotsInCG-Period* × floor (CURRENT\_symbol / *periodicity*) + ID\_OFFSET] modulo *nrofHARQ-Processes* + *harq-ProcID-Offset2*

where, if *cg-SDT-PeriodicityExt* (as defined in TS 38.331 [5]) is not configured, CURRENT\_symbol = (SFN × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot* + slot number in the frame × *numberOfSymbolsPerSlot* + symbol number in the slot), and *numberOfSlotsPerFrame* and *numberOfSymbolsPerSlot* refer to the number of consecutive slots per frame and the number of consecutive symbols per slot, respectively as specified in TS 38.211 [8]; alternatively, if *cg-SDT-PeriodicityExt* (as defined in TS 38.331 [5]) is configured, CURRENT\_symbol = ((H-SFN × *numberOfSFNperH-SFN* + SFN) × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot* + slot number in the frame × *numberOfSymbolsPerSlot* + symbol number in the slot), and *numberOfSFNperH-SFN*, *numberOfSlotsPerFrame* and *numberOfSymbolsPerSlot* refer to the number of consecutive frames per H-SFN, the number of consecutive slots per frame and the number of consecutive symbols per slot, respectively as specified in TS 38.211 [8]. For a multi-PUSCH configured grant, ID\_OFFSET equals 0 for the first configured uplink grant within a *periodicity* of the configuration and K for the Kth (1 ≤ K < *nrofSlotsInCG-Period*) valid configured uplink grant after the first configured uplink grant within the same *periodicity*. A configured uplink grant in a multi-PUSCH configured grant is considered valid if it satisfies the conditions specified in clause 6.1 in TS 38.214 [7].

For configured uplink grants configured with *cg-RetransmissionTimer*, the UE implementation selects an HARQ Process ID among the HARQ process IDs available for the configured grant configuration. If the MAC entity is configured with *intraCG-Prioritization*, for HARQ Process ID selection, the UE shall prioritize the HARQ Process ID with the highest priority, where the priority of HARQ process is determined by the highest priority among priorities of the logical channels that are multiplexed (i.e. the MAC PDU to transmit is already stored in the HARQ buffer) or have data available that can be multiplexed (i.e. the MAC PDU to transmit is not stored in the HARQ buffer) in the MAC PDU, according to the mapping restrictions as described in clause 5.4.3.1.2. If the MAC entity is configured with *intraCG-Prioritization*, for HARQ Process ID selection among initial transmission and retransmission with equal priority, the UE shall prioritize retransmissions before initial transmissions. The priority of a HARQ Process for which no data for logical channels is multiplexed or can be multiplexed in the MAC PDU is lower than the priority of a HARQ Process for which data for any logical channels is multiplexed or can be multiplexed in the MAC PDU. If the MAC entity is not configured with *intraCG-Prioritization*, for HARQ Process ID selection, the UE shall prioritize retransmissions before initial transmissions. The UE shall toggle the NDI in the CG-UCI for new transmissions and not toggle the NDI in the CG-UCI in retransmissions.

NOTE 1: If a configured uplink grant is associated with a multi-PUSCH configured grant, CURRENT\_symbol refers to the symbol index of the first transmission occasion in the first configured uplink grant within the same periodicity. Otherwise, CURRENT\_symbol refers to the symbol index of the first transmission occasion of a bundle of configured uplink grant.

NOTE 2: A HARQ process is configured for a configured uplink grant where neither *harq-ProcID-Offset* nor *harq-ProcID-Offset2* is configured, if the configured uplink grant is activated and the associated HARQ process ID is less than *nrofHARQ-Processes*. A HARQ process is configured for a configured uplink grant where *harq-ProcID-Offset2* is configured, if the configured uplink grant is activated and the associated HARQ process ID is greater than or equal to *harq-ProcID-Offset2* and less than sum of *harq-ProcID-Offset2* and *nrofHARQ-Processes* for the configured grant configuration.

NOTE 3: If the MAC entity receives a grant in a Random Access Response (i.e. MAC RAR or fallbackRAR), or addressed to Temporary C-RNTI or determines a grant as specified in clause 5.1.2a for MSGA payload and if the MAC entity also receives an overlapping grant for its C-RNTI or CS-RNTI, requiring concurrent transmissions on the SpCell, the MAC entity may choose to continue with either the grant for its RA-RNTI/Temporary C-RNTI/MSGB-RNTI/the MSGA payload transmission or the grant for its C-RNTI or CS-RNTI.

NOTE 4: In case of unaligned SFN across carriers in a cell group, the SFN of the concerned Serving Cell is used to calculate the HARQ Process ID used for configured uplink grants.

NOTE 5: If *cg-RetransmissionTimer* is not configured, a HARQ process is not shared between different configured grant configurations in the same BWP.

For the MAC entity configured with *lch-basedPrioritization*, priority of an uplink grant is determined by the highest priority among priorities of the logical channels that are multiplexed (i.e. the MAC PDU to transmit is already stored in the HARQ buffer) or have data available that can be multiplexed (i.e. the MAC PDU to transmit is not stored in the HARQ buffer) in the MAC PDU, according to the mapping restrictions as described in clause 5.4.3.1.2. The priority of an uplink grant for which no data for logical channels is multiplexed or can be multiplexed in the MAC PDU is lower than either the priority of an uplink grant for which data for any logical channels is multiplexed or can be multiplexed in the MAC PDU or the priority of the logical channel triggering an SR.

For the MAC entity configured with *lch-basedPrioritization*, if the corresponding PUSCH transmission of a configured uplink grant is cancelled by CI-RNTI as specified in clause 11.2A of TS 38.213 [6] or cancelled by a high PHY-priority PUCCH transmission as specified in clause 9 of TS 38.213 [6], this configured uplink grant is considered as a de-prioritized uplink grant. If this de-prioritized uplink grant is configured with *autonomousTx*, the *configuredGrantTimer* for the corresponding HARQ process of this de-prioritized uplink grant shall be stopped if it is running. If this de-prioritized uplink grant is configured with *autonomousTx*, the *cg-RetransmissionTimer* for the corresponding HARQ process of this de-prioritized uplink grant shall be stopped if it is running.

When the MAC entity is configured with *lch-basedPrioritization*, for each uplink grant delivered to the HARQ entity and whose associated PUSCH can be transmitted by lower layers, the MAC entity shall:

1> if this uplink grant is received in a Random Access Response (i.e. in a MAC RAR or fallback RAR), or addressed to Temporary C-RNTI, or is determined as specified in clause 5.1.2a for the transmission of the MSGA payload:

2> consider this uplink grant as a prioritized uplink grant.

1> else if this uplink grant is addressed to CS-RNTI with NDI = 1 or C-RNTI:

2> if there is no overlapping PUSCH duration of a configured uplink grant which was not already de-prioritized, in the same BWP, whose priority is higher than the priority of the uplink grant; and

2> if there is no overlapping PUCCH resource with an SR transmission which was not already de-prioritized and the simultaneous transmission of the SR and the uplink grant is not allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups* or *simultaneousPUCCH-PUSCH-SamePriority* or *simultaneousPUCCH-PUSCH-SamePriority-SecondaryPUCCHgroup*, and the priority of the logical channel that triggered the SR is higher than the priority of the uplink grant:

3> consider this uplink grant as a prioritized uplink grant;

3> consider the other overlapping uplink grant(s), if any, as a de-prioritized uplink grant(s);

3> consider the other overlapping SR transmission(s), if any, as a de-prioritized SR transmission(s), except for the SR transmission(s) whose simultaneous transmission is allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups* or *simultaneousPUCCH-PUSCH-SamePriority* or *simultaneousPUCCH-PUSCH-SamePriority-SecondaryPUCCHgroup*;

3> if the de-prioritized uplink grant(s) is a configured uplink grant configured with *autonomousTx* whose PUSCH has already started:

4> stop the *configuredGrantTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s);

4> stop the *cg-RetransmissionTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s).

1> else if this uplink grant is a configured uplink grant:

2> if there is no overlapping PUSCH duration of another configured uplink grant which was not already de-prioritized, in the same BWP, whose priority is higher than the priority of the uplink grant; and

2> if there is no overlapping PUSCH duration of an uplink grant addressed to CS-RNTI with NDI = 1 or C-RNTI which was not already de-prioritized, in the same BWP, whose priority is higher than or equal to the priority of the uplink grant; and

2> if there is no overlapping PUCCH resource with an SR transmission which was not already de-prioritized and the simultaneous transmission of the SR and the uplink grant is not allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups* or *simultaneousPUCCH-PUSCH-SamePriority* or *simultaneousPUCCH-PUSCH-SamePriority-SecondaryPUCCHgroup*, and the priority of the logical channel that triggered the SR is higher than the priority of the uplink grant:

3> consider this uplink grant as a prioritized uplink grant;

3> consider the other overlapping uplink grant(s), if any, as a de-prioritized uplink grant(s);

3> if the de-prioritized uplink grant(s) is a configured uplink grant configured with *autonomousTx* whose PUSCH has already started:

4> stop the *configuredGrantTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s);

4> stop the *cg-RetransmissionTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s).

3> consider the other overlapping SR transmission(s), if any, as a de-prioritized SR transmission(s), except for the SR transmission(s) whose simultaneous transmission is allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups* or *simultaneousPUCCH-PUSCH-SamePriority* or *simultaneousPUCCH-PUSCH-SamePriority-SecondaryPUCCHgroup*.

NOTE 6: If the MAC entity is configured with *lch-basedPrioritization* and if there is overlapping PUSCH duration of at least two configured uplink grants whose priorities are equal, the prioritized uplink grant is determined by UE implementation.

NOTE 7: If the MAC entity is not configured with *lch-basedPrioritization* and if there is overlapping PUSCH duration of at least two configured uplink grants, it is up to UE implementation to choose one of the configured uplink grants.

NOTE 8: If the MAC entity is configured with *lch-basedPrioritization*, the MAC entity does not take UCI multiplexing according to the procedure specified in TS 38.213 [6] into account when determining whether the PUSCH duration of an uplink grant overlaps with the PUCCH resource for an SR transmission.

========================================NEXT CHANGE================================

#### 5.4.2.1 HARQ Entity

The MAC entity includes a HARQ entity for each Serving Cell with configured uplink (including the case when it is configured with *supplementaryUplink*), which maintains a number of parallel HARQ processes.

The number of parallel UL HARQ processes per HARQ entity is specified in TS 38.214 [7].

Each HARQ process supports one TB.

Each HARQ process is associated with a HARQ process identifier. For UL transmission with UL grant in RA Response or for UL transmission for MSGA payload, HARQ process identifier 0 is used.

NOTE: When a single DCI is used to schedule multiple PUSCH, the UE is allowed to map generated TB(s) internally to different HARQ processes in case of LBT failure(s), i.e. UE may transmit a new TB on any HARQ process in the grants that have the same TBS, the same RV and the NDIs indicate new transmission.

The maximum number of transmissions of a TB within a bundle of the dynamic grant or configured grant or the uplink grant received in a MAC RAR is given by *REPETITION\_NUMBER* as follows:

- For a dynamic grant, *REPETITION\_NUMBER* is set to a value provided by lower layers, as specified in clause 6.1.2.1 of TS 38.214 [7];

- For a configured grant, *REPETITION\_NUMBER* is set to a value provided by lower layers, as specified in clause 6.1.2.3 of TS 38.214 [7];

- For an uplink grant received in a MAC RAR, REPETITION\_NUMBER is set to a value provided by lower layers, as specified in clause 6.1.2.1 of TS 38.214 [7].

If *REPETITION\_NUMBER* > 1, after the first transmission within a bundle, at most *REPETITION\_NUMBER* – 1 HARQ retransmissions follow within the bundle. For both dynamic grant and configured uplink grant, and uplink grant received in a MAC RAR bundling operation relies on the HARQ entity for invoking the same HARQ process for each transmission that is part of the same bundle. Within a bundle, HARQ retransmissions are triggered without waiting for feedback from previous transmission according to *REPETITION\_NUMBER* for a dynamic grant or configured uplink grant or uplink grant received in a MAC RAR unless they are terminated as specified in clause 6.1 of TS 38.214 [7]. Each transmission within a bundle is a separate uplink grant delivered to the HARQ entity.

For each transmission within a bundle of the dynamic grant or uplink grant received in a MAC RAR, the sequence of redundancy versions is determined according to clause 6.1.2.1 of TS 38.214 [7]. For each transmission within a bundle of the configured uplink grant, the sequence of redundancy versions is determined according to clause 6.1.2.3 of TS 38.214 [7].

For each uplink grant, the HARQ entity shall:

1> identify the HARQ process associated with this grant, and for each identified HARQ process:

2> if the received grant was not addressed to a Temporary C-RNTI on PDCCH, and the NDI provided in the associated HARQ information has been toggled compared to the value in the previous transmission of this TB of this HARQ process; or

2> if the uplink grant was received on PDCCH for the C-RNTI and the HARQ buffer of the identified process is empty; or

2> if the uplink grant was received in a Random Access Response (i.e. in a MAC RAR or a fallback RAR); or

2> if the uplink grant was determined as specified in clause 5.1.2a for the transmission of the MSGA payload; or

2> if the uplink grant was received on PDCCH for the C-RNTI in *ra-ResponseWindow* and this PDCCH successfully completed the Random Access procedure initiated for beam failure recovery; or

2> if the uplink grant is part of a bundle of the configured uplink grant, and may be used for initial transmission according to clause 6.1.2.3 of TS 38.214 [7], and if no MAC PDU has been obtained for this bundle:

3> if there is a MAC PDU in the MSGA buffer and the uplink grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload was selected; or

3> if there is a MAC PDU in the MSGA buffer and the uplink grant was received in a fallbackRAR and this fallbackRAR successfully completed the Random Access procedure:

4> obtain the MAC PDU to transmit from the MSGA buffer.

3> else if there is a MAC PDU in the Msg3 buffer and the uplink grant was received in a fallbackRAR:

4> obtain the MAC PDU to transmit from the Msg3 buffer.

3> else if there is a MAC PDU in the Msg3 buffer and the uplink grant was received in a MAC RAR; or

3> if there is a MAC PDU in the Msg3 buffer and the uplink grant was received on PDCCH for the C-RNTI in *ra-ResponseWindow* and this PDCCH successfully completed the Random Access procedure initiated for beam failure recovery:

4> obtain the MAC PDU to transmit from the Msg3 buffer.

4> if the uplink grant size does not match with size of the obtained MAC PDU; and

4> if the Random Access procedure was successfully completed upon receiving the uplink grant:

5> indicate to the Multiplexing and assembly entity to include MAC subPDU(s) carrying MAC SDU from the obtained MAC PDU in the subsequent uplink transmission;

5> obtain the MAC PDU to transmit from the Multiplexing and assembly entity.

3> else if this uplink grant is a configured grant configured with *autonomousTx*; and

3> if the previous configured uplink grant, in the BWP, for this HARQ process was not prioritized; and

3> if a MAC PDU had already been obtained for this HARQ process; and

3> if the uplink grant size matches with size of the obtained MAC PDU; and

3> if none of PUSCH transmission(s) of the obtained MAC PDU has been completely performed:

4> consider the MAC PDU has been obtained.

3> else if the MAC entity is not configured with *lch-basedPrioritization*; or

3> if this uplink grant is a prioritized uplink grant:

4> obtain the MAC PDU to transmit from the Multiplexing and assembly entity, if any;

3> if a MAC PDU to transmit has been obtained:

4> if the uplink grant is not a configured grant configured with *autonomousTx*; or

4> if the uplink grant is a prioritized uplink grant:

5> deliver the MAC PDU and the uplink grant and the HARQ information of the TB to the identified HARQ process;

5> instruct the identified HARQ process to trigger a new transmission;

5> if the uplink grant is a configured uplink grant:

6> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers;

6> start or restart the *cg-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

6> if the configured uplink grant is for the initial transmission for CG-SDT with CCCH message:

7> start or restart the *cg-SDT-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed.

6> if the configured uplink grant is for the initial transmission at RACH-less LTM cell switch; or

6> if the configured uplink grant is for the initial transmission of RACH-less handover:

7> start or restart the *cg-RRC-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed.

5> if the uplink grant is addressed to C-RNTI, and the identified HARQ process is configured for a configured uplink grant:

6> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

5> if *cg-RetransmissionTimer* is configured for the identified HARQ process; and

5> if the transmission is performed and LBT failure indication is received from lower layers:

6> consider the identified HARQ process as pending.

3> else:

4> flush the HARQ buffer of the identified HARQ process.

2> else (i.e. retransmission):

3> if the uplink grant received on PDCCH was addressed to CS-RNTI and if the HARQ buffer of the identified process is empty; or

3> if the uplink grant is part of a bundle and if no MAC PDU has been obtained for this bundle; or

3> if the uplink grant is part of a bundle of the configured uplink grant, and the PUSCH duration of the uplink grant overlaps with an uplink grant received in a Random Access Response (i.e. MAC RAR or fallbackRAR) or an uplink grant determined as specified in clause 5.1.2a for MSGA payload for this Serving Cell; or

3> if the MAC entity is not configured with *lch-basedPrioritization* and the BWP is not configured with *sTx-2Panel*, and if this uplink grant is part of a bundle of the configured uplink grant and the PUSCH duration of the uplink grant overlaps with a PUSCH duration of another uplink grant received on the PDCCH; or

3> if the MAC entity is not configured with *lch-basedPrioritization* and the BWP is configured with *sTx-2Panel*, and if this uplink grant is part of a bundle of the configured uplink grant associated with an *srs-ResourceSetId* corresponding to a *coresetPoolIndex*, and the PUSCH duration of the uplink grant overlaps with a PUSCH duration of another uplink grant received on the PDCCH associated with the same *coresetPoolIndex*; or

3> if the MAC entity is configured with *lch-basedPrioritization* and this uplink grant is not a prioritized uplink grant:

4> ignore the uplink grant.

3> else:

4> deliver the uplink grant and the HARQ information (redundancy version) of the TB to the identified HARQ process;

4> instruct the identified HARQ process to trigger a retransmission;

4> if the uplink grant is addressed to CS-RNTI; or

4> if the uplink grant is addressed to C-RNTI, and the identified HARQ process is configured for a configured uplink grant:

5> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

4> if the uplink grant is a configured uplink grant:

5> if the identified HARQ process is pending:

6> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers;

5> start or restart the *cg-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

5> if the configured uplink grant is for the retransmission of the initial transmission of the CG-SDT with CCCH message:

6> start or restart the *cg-SDT-RetransmissionTimer* for the corresponding HARQ process when transmission is performed.

5> if the configured uplink grant is for the retransmission of the initial transmission of RACH-less handover or RACH-less LTM cell switch:

6> start or restart the *cg-RRC-RetransmissionTimer* for the corresponding HARQ process when transmission is performed.

4> if the identified HARQ process is pending and the transmission is performed and LBT failure indication is not received from lower layers:

5> consider the identified HARQ process as not pending.

When determining if NDI has been toggled compared to the value in the previous transmission the MAC entity shall ignore NDI received in all uplink grants on PDCCH for its Temporary C-RNTI.

When *configuredGrantTimer* or *cg-RetransmissionTimer* or *cg-SDT-RetransmissionTimer* or *cg-RRC-RetransmissionTimer* is started or restarted by a PUSCH transmission, it shall be started at the beginning of the first symbol of the PUSCH transmission.

========================================NEXT CHANGE================================

### 5.4.4 Scheduling Request

The Scheduling Request (SR) is used for requesting UL-SCH resources for new transmission.

The MAC entity may be configured with zero, one, or more SR configurations. An SR configuration consists of a set of PUCCH resources for SR across different BWPs and cells. For a logical channel or for SCell beam failure recovery (see clause 5.17) and for consistent LBT failure recovery (see clause 5.21), at most one PUCCH resource for SR is configured per BWP. For a logical channel serving a radio bearer configured with SDT, PUCCH resource for SR is not configured for SDT. For beam failure recovery of BFD-RS set(s) of Serving Cell, up to two PUCCH resources for SR is configured per BWP. For positioning measurement gap activation/deactivation request, a dedicated SR configuration is configured.

Each SR configuration corresponds to one or more logical channels and/or to SCell beam failure recovery and/or to consistent LBT failure recovery and/or to beam failure recovery of a BFD-RS set and/or to positioning measurement gap activation/deactivation request. Each logical channel, SCell beam failure recovery, beam failure recovery of a BFD-RS set and consistent LBT failure recovery, may be mapped to zero or one SR configuration, which is configured by RRC. The SR configuration of the logical channel that triggered a BSR (clause 5.4.5) or a DSR (clause 5.4.9) or the SCell beam failure recovery or the beam failure recovery of a BFD-RS set or the consistent LBT failure recovery (clause 5.21) (if such a configuration exists) or positioning measurement gap activation/deactivation request (clause 5.25) is considered as corresponding SR configuration for the triggered SR. Any SR configuration may be used for an SR triggered by Pre-emptive BSR (clause 5.4.7) or Timing Advance reporting (clause 5.4.8).

RRC configures the following parameters for the scheduling request procedure:

- *sr-ProhibitTimer* (per SR configuration);

- *sr-TransMax* (per SR configuration).

The following UE variables are used for the scheduling request procedure:

- *SR\_COUNTER* (per SR configuration).

If an SR is triggered and there are no other SRs pending corresponding to the same SR configuration, the MAC entity shall set the *SR\_COUNTER* of the corresponding SR configuration to 0.

When an SR is triggered, it shall be considered as pending until it is cancelled.

All pending SR(s) for BSR triggered according to the BSR procedure (clause 5.4.5) prior to the MAC PDU assembly shall be cancelled and each respective *sr-ProhibitTimer* shall be stopped when the MAC PDU is transmitted and this PDU includes a Long, Refined Long or Short BSR MAC CE which contains buffer status up to (and including) the last event that triggered a BSR (see clause 5.4.5) prior to the MAC PDU assembly. All pending SR(s) for BSR triggered according to the BSR procedure (clause 5.4.5) shall be cancelled and each respective *sr-ProhibitTimer* shall be stopped when the UL grant(s) can accommodate all pending data available for transmission.

The MAC entity shall for each pending SR not triggered according to the BSR procedure (clause 5.4.5) for a Serving Cell:

1> if this SR was triggered by Pre-emptive BSR procedure (see clause 5.4.7) prior to the MAC PDU assembly and a MAC PDU containing the relevant Pre-emptive BSR MAC CE is transmitted; or

1> if this SR was triggered by beam failure recovery (see clause 5.17) of an SCell and a MAC PDU is transmitted and this PDU includes a MAC CE for BFR which contains beam failure recovery information for this SCell; or

1> if this SR was triggered by beam failure recovery (see clause 5.17) for a BFD-RS set of a Serving Cell and a MAC PDU is transmitted and this PDU includes an Enhanced BFR MAC CE or a Truncated Enhanced BFR MAC CE which contains beam failure recovery information for this BFD-RS set of the Serving Cell; or

1> if this SR was triggered by beam failure recovery (see clause 5.17) of an SCell and this SCell is deactivated (see clause 5.9); or

1> if this SR was triggered by beam failure recovery (see clause 5.17) for a BFD-RS set of an SCell and this SCell is deactivated (see clause 5.9); or

1> if the SR is triggered by positioning measurement gap activation/deactivation request (see clause 5.25) and the Positioning Measurement Gap Activation/Deactivation Request MAC CE that triggers the SR has already been cancelled; or

1> if this SR was triggered by consistent LBT failure recovery (see clause 5.21) of an SCell and a MAC PDU is transmitted and the MAC PDU includes an LBT failure MAC CE that indicates consistent LBT failure for this SCell; or

1> if this SR was triggered by consistent LBT failure recovery (see clause 5.21) of an SCell and all the triggered consistent LBT failure(s) for this SCell are cancelled; or

1> if this SR was triggered by Timing Advance reporting (see clause 5.4.8) and all the triggered Timing Advance reports are cancelled; or

1> if this SR was triggered by DSR procedure (see clause 5.4.9) and the DSR that triggered the SR has been cancelled:

2> cancel the pending SR and stop the corresponding *sr-ProhibitTimer*, if running.

Only PUCCH resources on a BWP which is active at the time of SR transmission occasion are considered valid.

As long as at least one SR is pending, the MAC entity shall for each pending SR:

1> if the MAC entity has no valid PUCCH resource configured for the pending SR; and

1> if there is no ongoing LTM cell switch; and

1> if *rach-lessHO* is not configured:

2> initiate a Random Access procedure (see clause 5.1) on the SpCell and cancel the pending SR.

1> else, for the SR configuration corresponding to the pending SR:

2> when the MAC entity has an SR transmission occasion on the valid PUCCH resource for SR configured; and

2> if *sr-ProhibitTimer* is not running at the time of the SR transmission occasion; and

2> if the PUCCH resource for the SR transmission occasion does not overlap with a measurement gap:

3> if the PUCCH resource for the SR transmission occasion overlaps with neither a UL-SCH resource whose simultaneous transmission with the SR is not allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups* or *simultaneousPUCCH-PUSCH-SamePriority* or *simultaneousPUCCH-PUSCH-SamePriority-SecondaryPUCCHgroup* nor an SL-SCH resource; or

3> if the MAC entity is able to perform this SR transmission simultaneously with the transmission of the SL-SCH resource; or

3> if the MAC entity is configured with *lch-basedPrioritization*, and the PUCCH resource for the SR transmission occasion does not overlap with the PUSCH duration of an uplink grant received in a Random Access Response or with the PUSCH duration of an uplink grant addressed to Temporary C-RNTI or with the PUSCH duration of a MSGA payload, and the PUCCH resource for the SR transmission occasion for the pending SR triggered as specified in clause 5.4.5 overlaps with any other UL-SCH resource(s), and the physical layer can signal the SR on one valid PUCCH resource for SR, and the priority of the logical channel that triggered SR is higher than the priority of the uplink grant(s) for any UL-SCH resource(s) where the uplink grant was not already de-prioritized and its simultaneous transmission with the SR is not allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCHgroups* or *simultaneousPUCCH-PUSCH-SamePriority* or *simultaneousPUCCH-PUSCH-SamePriority-SecondaryPUCCHgroup*, and the priority of the uplink grant is determined as specified in clause 5.4.1; or

3> if both *sl-PrioritizationThres* and *ul-PrioritizationThres* are configured and the PUCCH resource for the SR transmission occasion for the pending SR triggered as specified in clause 5.22.1.5 overlaps with any UL-SCH resource(s) carrying a MAC PDU, and the value of the priority of the triggered SR determined as specified in clause 5.22.1.5 is lower than *sl-PrioritizationThres* and the value of the highest priority of the logical channel(s) in the MAC PDU is higher than or equal to *ul-PrioritizationThres* and any MAC CE prioritized as described in clause 5.4.3.1.3 is not included in the MAC PDU and the MAC PDU is not prioritized by upper layer according to TS 23.287 [19]; or

3> if an SL-SCH resource overlaps with the PUCCH resource for the SR transmission occasion for the pending SR triggered as specified in clause 5.4.5, and the MAC entity is not able to perform this SR transmission simultaneously with the transmission of the SL-SCH resource, and either transmission on the SL-SCH resource is not prioritized as described in clause 5.22.1.3.1a or the priority value of the logical channel that triggered SR is lower than *ul-PrioritizationThres*, if configured; or

3> if an SL-SCH resource overlaps with the PUCCH resource for the SR transmission occasion for the pending SR triggered as specified in clause 5.22.1.5, and the MAC entity is not able to perform this SR transmission simultaneously with the transmission of the SL-SCH resource, and the priority of the triggered SR determined as specified in clause 5.22.1.5 is higher than the priority of the MAC PDU determined as specified in clause 5.22.1.3.1a for the SL-SCH resource; or

3> if an SL-PRS resource overlaps with the PUCCH resource for the SR transmission occasion for the pending SR triggered as specified in clause 5.4.5, and the MAC entity is not able to perform this SR transmission simultaneously with the transmission of the SL-PRS resource, and either transmission on the SL-PRS resource is not prioritized as described in clause 5.22.1.3.1a or the priority value of the logical channel that triggered SR is lower than *ul-PrioritizationThres*, if configured; or

3> if an SL-PRS resource overlaps with the PUCCH resource for the SR transmission occasion for the pending SR triggered as specified in clause 5.22.1.5, and the MAC entity is not able to perform this SR transmission simultaneously with the transmission of the SL-PRS resource, and the priority of the triggered SR determined as specified in clause 5.22.1.5 is higher than the priority of the MAC PDU and SL-PRS, if available, determined as specified in clause 5.22.1.3.1a for the SL-PRS resource:

4> consider the SR transmission as a prioritized SR transmission.

4> consider the other overlapping uplink grant(s), if any, as a de-prioritized uplink grant(s), except for the overlapping uplink grant(s) whose simultaneous transmission is allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups* or *simultaneousPUCCH-PUSCH-SamePriority* or *simultaneousPUCCH-PUSCH-SamePriority-SecondaryPUCCHgroup*;

4> if the de-prioritized uplink grant(s) is a configured uplink grant configured with *autonomousTx* whose PUSCH has already started:

5> stop the *configuredGrantTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s);

5> stop the *cg-RetransmissionTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s).

4> if *SR\_COUNTER* < *sr-TransMax*:

5> instruct the physical layer to signal the SR on one valid PUCCH resource for SR;

5> if LBT failure indication is not received from lower layers:

6> increment *SR\_COUNTER* by 1;

6> start the *sr-ProhibitTimer*.

5> else if *lbt-FailureRecoveryConfig* is not configured:

6> increment *SR\_COUNTER* by 1.

4> else:

5> notify RRC to release PUCCH for all Serving Cells;

5> notify RRC to release SRS for all Serving Cells;

5> clear any configured downlink assignments and uplink grants;

5> clear any PUSCH resources for semi-persistent CSI reporting;

5> if *rach-lessHO* is not configured and if there is no ongoing LTM cell switch:

6> initiate a Random Access procedure (see clause 5.1) on the SpCell and cancel all pending SRs.

3> else:

4> consider the SR transmission as a de-prioritized SR transmission.

NOTE 1: Except for SR for SCell beam failure recovery, the selection of which valid PUCCH resource for SR to signal SR on when the MAC entity has more than one overlapping valid PUCCH resource for the SR transmission occasion is left to UE implementation.

NOTE 2: If more than one individual SR triggers an instruction from the MAC entity to the PHY layer to signal the SR on the same valid PUCCH resource, the *SR\_COUNTER* for the relevant SR configuration is incremented only once.

NOTE 3: When the MAC entity has pending SR for SCell beam failure recovery and the MAC entity has one or more PUCCH resources (other than PUCCH resources of pending SR for beam failure recovery of a BFD-RS set) overlapping with PUCCH resource for SCell beam failure recovery for the SR transmission occasion, the MAC entity considers only the PUCCH resource for SCell beam failure recovery as valid. When the MAC entity has pending SR for beam failure recovery of a BFD-RS set of Serving Cell and the MAC entity has one or more PUCCH resources (other than PUCCH resources of pending SR for beam failure recovery) overlapping with PUCCH resource for beam failure recovery of that BFD-RS set for the SR transmission occasion, the MAC entity considers only the PUCCH resource for beam failure recovery of that BFD-RS set as valid.

NOTE 4: For a UE operating in a semi-static channel access mode as described in TS 37.213 [18], PUCCH resources overlapping with the set of consecutive symbols where the UE does not transmit before the start of a next channel occupancy time are not considered valid.

NOTE 5: If the MAC entity is configured with *lch-basedPrioritization*, the MAC entity does not take UCI multiplexing according to the procedure specified in TS 38.213 [6] into account when determining whether the valid PUCCH resource for the SR transmission can be signalled by the physical layer and the SR transmission occasion overlaps with the PUSCH duration of an uplink grant of a MSGA payload.

NOTE 6: When the MAC entity has PUCCH resource for pending SR for SCell beam failure recovery overlapping with PUCCH resource for pending SR for beam failure recovery of a BFD-RS set for the SR transmission occasion, it's up to UE implementation to select PUCCH resource for SCell beam failure recovery or PUCCH resource for beam failure recovery of a BFD-RS set.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for BSR, which was initiated by the MAC entity prior to the MAC PDU assembly and which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU includes a BSR MAC CE which contains buffer status up to (and including) the last event that triggered a BSR (see clause 5.4.5) prior to the MAC PDU assembly; or

- the UL grant(s) can accommodate all pending data available for transmission.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for SL-BSR, which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and the ongoing Random Access procedure was initiated by the MAC entity prior to the MAC PDU assembly, and this PDU includes an SL-BSR MAC CE which contains buffer status up to (and including) the last event that triggered an SL-BSR (see clause 5.22.1.6) prior to the MAC PDU assembly; or

- the SL grant(s) can accommodate all pending data available, and the ongoing Random Access procedure was initiated by the MAC entity prior to the sidelink MAC PDU assembly for transmission.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for SL-CSI reporting, which has no valid PUCCH resources configured, if:

- the SL grant can accommodate SL-CSI reporting MAC CE for transmission.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for SL-DRX command indication, which has no valid PUCCH resources configured, if:

- the SL grant can accommodate SL-DRX command indication for transmission.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for BFR of an SCell, which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU contains a MAC CE for BFR which includes beam failure recovery information of that SCell; or

- the SCell is deactivated (as specified in clause 5.9) and all triggered BFRs for SCells are cancelled.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for BFR of a BFD-RS set of a Serving Cell, which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU contains an Enhanced BFR MAC CE or a Truncated Enhanced BFR MAC CE which includes beam failure recovery information of that BFD-RS set of the Serving Cell.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for consistent LBT failure recovery, which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU includes an LBT failure MAC CE that indicates consistent LBT failure for all the SCells that triggered consistent LBT failure; or

- all the SCells that triggered consistent LBT failure recovery are deactivated (see clause 5.9).

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for SL consistent LBT failure recovery, which has no valid PUCCH resources configured, if one of the following conditions is met:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU includes an SL LBT failure MAC CE that indicates SL consistent LBT failure; or

- all the triggered SL consistent LBT failure recovery are cancelled (see clause 5.31.2).

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for positioning measurement gap activation/deactivation request, which has no valid PUCCH resources configured, if:

- the Positioning Measurement Gap Activation/Deactivation Request MAC CE that triggers the SR corresponding to the Random Access procedure has already been cancelled.

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for Timing Advance report, which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU includes a Timing Advance Report MAC CE (see clause 5.4.8).

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for DSR, which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU includes a DSR MAC CE;

- the UL grant(s) can accommodate all SDUs associated with the DSR (see clause 5.4.9).

The MAC entity may stop, if any, ongoing Random Access procedure due to a pending SR for SL-PRS Resource Request, which has no valid PUCCH resources configured, if:

- a MAC PDU is transmitted using a UL grant other than a UL grant provided by Random Access Response or a UL grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload, and this PDU includes a SL-PRS Resource Request MAC CE (see clause 5.22.1.12).

=====================================NEXT CHANGE================================

## 5.33 RACH-less initial UL transmission

The initial uplink transmission of a RACH-less handover procedure can be performed either using a dynamic uplink grant or a configured uplink grant Type 1 preallocated by RRC, if configured.

When *rach-LessHO* is configured, the MAC entity shall:

1> if *cg-RRC-Configuration* is configured:

2> select a configured uplink grant for initial uplink transmission according to clause 5.8.2;

2> perform initial uplink transmission in the first available CG occasion for RACH-less handover according to clause 5.8.2.

1> else:

2> if *tci-StateID* is configured in *rach-lessHO*:

3> indicate to lower layers the TCI state information included in *tci-StateID*.

2> else if *ssb-Index*is configured in *rach-lessHO*:

3> indicate to lower layers the SSB index included in *ssb-Index*.

1> monitor the PDCCH as specified in TS 38.213 [6].

===================================NEXT CHANGE=====================================

### 5.8.2 Uplink

There are two types of transmission without dynamic grant:

- configured grant Type 1 where an uplink grant is provided by RRC, and stored as configured uplink grant;

- configured grant Type 2 where an uplink grant is provided by PDCCH, and stored or cleared as configured uplink grant based on L1 signalling indicating configured uplink grant activation or deactivation.

Type 1 and Type 2 are configured by RRC for a Serving Cell per BWP. Multiple configurations can be active simultaneously in the same BWP. For Type 2, activation and deactivation are independent among the Serving Cells. For the same BWP, the MAC entity can be configured with both Type 1 and Type 2.

A multi-PUSCH configured grant has multiple consecutive configured uplink grants within a *periodicity*. Both Type 1 and Type 2 can be configured for a multi-PUSCH configured grant by RRC.

Only configured grant Type 1 can be configured for CG-SDT or for RACH-less LTM cell switch. CG-SDT can only be configured on initial BWP.

RRC configures the following parameters when the configured grant Type 1 is configured:

- *cs-RNTI*: CS-RNTI for retransmission;

- *cg-SDT-CS-RNTI*: CS-RNTI for CG-SDT retransmission;

- *cg-SDT-RSRP-ThresholdSSB*: an RSRP threshold configured for SSB selection for CG-SDT;

- *cg-RRC-RSRP-ThresholdSSB*: an RSRP threshold configured for SSB selection for RACH-less handover;

- *periodicity*: periodicity of the configured grant Type 1;

- *timeDomainOffset*: Offset of a resource with respect to SFN = *timeReferenceSFN* in time domain;

- *timeDomainAllocation*: Allocation of configured uplink grant in time domain which contains *startSymbolAndLength* (i.e. *SLIV* in TS 38.214 [7]) or *startSymbol* (i.e. *S* in TS 38.214 [7]);

- *nrofHARQ-Processes*: the number of HARQ processes for configured grant;

- *harq-ProcID-Offset*: offset of HARQ process for configured grant configured with *cg-RetransmissionTimer* for operation with shared spectrum channel access;

- *harq-ProcID-Offset2*: offset of HARQ process for configured grant not configured with *cg-RetransmissionTimer*;

- *timeReferenceSFN*: SFN used for determination of the offset of a resource in time domain. The UE uses the closest SFN with the indicated number preceding the reception of the configured grant configuration;

- *timeReferenceH-SFN*: H-SFN used for determination of the offset of a resource in time domain. The UE uses the closest H-SFN with the indicated number preceding the reception of the configured grant configuration.

RRC configures the following parameters when the configured grant Type 2 is configured:

- *cs-RNTI*: CS-RNTI for activation, deactivation, and retransmission;

- *periodicity*: periodicity of the configured grant Type 2;

- *nrofHARQ-Processes*: the number of HARQ processes for configured grant;

- *harq-ProcID-Offset*: offset of HARQ process for configured grant configured with *cg-RetransmissionTimer* for operation with shared spectrum channel access;

- *harq-ProcID-Offset2*: offset of HARQ process for configured grant not configured with *cg-RetransmissionTimer*.

RRC configures the following parameter when retransmissions on configured uplink grant is configured:

- *cg-RetransmissionTimer*: the duration after a configured grant (re)transmission of a HARQ process when the UE shall not autonomously retransmit that HARQ process;

- *cg-SDT-RetransmissionTimer*: the duration after a configured grant (re)transmission of a HARQ process of the initial CG-SDT transmission with CCCH message when the UE shall not autonomously retransmit the HARQ process;

- *cg-RRC-RetransmissionTimer*: the duration after a configured grant (re)transmission of a HARQ process of the initial transmission of RACH-less handover and RACH-less LTM cell switch when the UE shall not autonomously retransmit the HARQ process.

RRC configures the following additional parameter for a multi-PUSCH configured grant:

*- nrofSlotsInCG-Period*: the number of configured uplink grants in a *periodicity* of a multi-PUSCH configured grant.

For a configured uplink grant, the MAC entity shall:

1> if the configured uplink grant is associated with a multi-PUSCH configured grant:

2> if the configured uplink grant has not been indicated to the lower layers as to be unused for PUSCH transmission; and

2> if the configured uplink grant meets the validity conditions specified in the clause 6.1 in TS 38.214 [7]:

3> consider the configured uplink grant available for use;

1> else if the configured uplink grant has not been indicated to lower layers as to be unused for PUSCH transmission:

2> consider the configured uplink grant available for use.

The MAC entity determines if a configured uplink grant is going to be used for PUSCH transmission or not by considering the amount of buffered data that can be transmitted on the available occasions of the associated configured grant and other available UL-SCH resources. Upon this determination, the MAC entity sends an indication to the lower layers regarding this decision, for use in the procedure for reporting UTO-UCI (as specified in clause 9.3 in TS 38.213 [6]).

Upon configuration of a configured grant Type 1 for a BWP of a Serving Cell by upper layers, the MAC entity shall:

1> store the uplink grant provided by upper layers as a configured uplink grant for the indicated BWP of the Serving Cell;

1> initialise or re-initialise the configured uplink grant to start in the symbol according to *timeDomainOffset*, *timeReferenceSFN*, and *S* (derived from *SLIV* or provided by *startSymbol* as specified in TS 38.214 [7]), and to reoccur with *periodicity*.

If *cg-SDT-PeriodicityExt* (as defined in TS 38.331 [5]) is not configured, after an uplink grant is configured for a configured grant Type 1, the MAC entity shall consider sequentially that the configured uplink grant, or the first configured uplink grant in a multi-PUSCH configured grant, in the Nth (N ≥ 0) *periodicity* occurs in the symbol for which:

 [(SFN × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)
 + (slot number in the frame × *numberOfSymbolsPerSlot*) + symbol number in the slot] =
 (*timeReferenceSFN* × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*
 + *timeDomainOffset* × *numberOfSymbolsPerSlot* + S + N × *periodicity*)
 modulo (1024 × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)

If *cg-SDT-PeriodicityExt* (as defined in TS 38.331 [5]) is configured, after an uplink grant is configured for a configured grant Type 1, the MAC entity shall consider sequentially that the configured uplink grant, or the first configured uplink grant in a multi-PUSCH configured grant, in the Nth (N ≥ 0) *periodicity* occurs in the symbol for which:

 [(H-SFN × *numberOfSFNperH-SFN* + SFN) × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*
 + (slot number in the frame × *numberOfSymbolsPerSlot*) + symbol number in the slot] =
 ((*timeReferenceH-SFN* × *numberOfSFNperH-SFN + timeReferenceSFN*)
 × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*
 + *timeDomainOffset* × *numberOfSymbolsPerSlot* + S + N × *periodicity*)
 modulo (1024 × 1024 × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)

For a multi-PUSCH configured grant Type 1, the Mth (1 < M ≤ *nrofSlotsInCG-Period*) configured uplink grant within a *periodicity* occurs (M-1) × *numberOfSymbolsPerSlot* symbols after the symbol in which the first configured uplink grant in that *periodicity* occurs.

For an uplink grant configured for configured grant Type 1 for CG-SDT on the selected uplink carrier as in clause 5.27, when CG-SDT is triggered and not terminated, for each configured uplink grant valid according to TS 38.214 [7] for which the above formula is satisfied, the MAC entity shall:

1> if, after initial transmission for CG-SDT with CCCH message has been performed according to clause 5.4.1, PDCCH addressed to the MAC entity's C-RNTI has not been received:

2> if the SSB corresponding to the configured UL grant has the same SSB index as the SSB selected for initial transmission for CG-SDT with CCCH message (i.e., retransmission of initial transmission of CG-SDT):

3> select this SSB;

3> indicate the SSB index corresponding to the configured uplink grant to the lower layer;

3> consider this configured uplink grant as valid.

1> else if at least one SSB configured for CG-SDT with SS-RSRP above *cg-SDT-RSRP-ThresholdSSB* is available:

2> if at least one SSB corresponding to the configured uplink grant with SS-RSRP above the *cg-SDT-RSRP-ThresholdSSB* is available:

3> if this is the initial transmission of CG-SDT with CCCH message after the CG-SDT procedure is initiated as in clause 5.27 (i.e., initial transmission for CG-SDT):

4> select an SSB with SS-RSRP above *cg-SDT-RSRP-ThresholdSSB* amongst the SSB(s) associated with the configured uplink grant.

3> else if PDCCH addressed to C-RNTI has been received after the initial transmission of CG-SDT with CCCH message (i.e., subsequent new transmission for CG-SDT):

4> if SS-RSRP of the SSB selected for the previous transmission for CG-SDT is above *cg-SDT-RSRP-ThresholdSSB* and this SSB is associated with this configured uplink grant:

5> select this SSB.

4> else if SS-RSRP of the SSB selected for the previous transmission for CG-SDT is not above *cg-SDT-RSRP-ThresholdSSB*:

5> select an SSB with SS-RSRP above *cg-SDT-RSRP-ThresholdSSB* amongst the SSB(s) associated with the configured uplink grant.

3> if SSB is selected above:

4> indicate the SSB index to the lower layer;

4> consider this configured uplink grant as valid.

1> else:

2> consider this configured uplink grant as not valid.

2> if PDCCH addressed to C-RNTI after the initial transmission of the CG-SDT with CCCH message has been received:

3> if there is data available for transmission for at least one RB configured for SDT:

4> initiate Random Access procedure in clause 5.1.

NOTE 1: Void.

For an uplink grant configured for configured grant Type 1 for LTM cell switch, when there is an on-going RACH-less LTM cell switch procedure, for each configured uplink grant valid according to TS 38.214 [7] for which the above formula is satisfied, the MAC entity shall:

1> if an SSB corresponding to the configured UL grant has the same SSB index as the SSB associated with the TCI state indicated by the TCI state ID field in LTM Cell Switch Command MAC CE, as specified in clause 5.18.35:

2> select the SSB associated with the TCI state indicated by LTM Cell Switch Command MAC CE.

2> indicate the SSB index to the lower layer;

2> consider this configured uplink grant as valid.

1> else:

2> consider this configured uplink grant as not valid.

NOTE 1a: When there is an ongoing RACH-less LTM cell switch, the configured grant Type 1 which is not specificly configured for LTM is not used.

For an uplink grant configured for configured grant Type 1 for RACH-less handover, if the configured uplink grant is valid according to TS 38.214 [7] for which the above formula is satisfied, the MAC entity shall:

1> if, after the initial transmission of RACH-less handover has been performed according to clause 5.4.1 and 5.33, PDCCH addressed to the MAC entity's C-RNTI has not been received:

2> if the SSB corresponding to the configured UL grant has the same SSB index as the SSB selected for the initial transmission of RACH-less handover (i.e., retransmission of initial transmission of RACH-less handover):

3> select this SSB;

3> indicate the SSB index corresponding to the configured uplink grant to the lower layer;

3> consider this configured uplink grant as valid.

1> else if at least one SSB corresponding to the configured uplink grant with SS-RSRP above *cg-RRC-RSRP-ThresholdSSB* is available:

2> select an SSB with SS-RSRP above *cg-RRCRSRP-ThresholdSSB* amongst the SSB(s) associated with the configured uplink grant;

2> indicate the selected SSB index to the lower layer;

2> consider this configured uplink grant as valid.

1> else:

2> consider this configured uplink grant as not valid;

2> initiate Random Access procedure in clause 5.1.

NOTE 1A: When the UE determines if there is an SSB with SS-RSRP above *cg-RRC-RSRP-ThresholdSSB* or *cg-SDT-RSRP-ThresholdSSB*, the UE uses the latest unfiltered L1-RSRP measurement.

After an uplink grant is configured for a configured grant Type 2, the MAC entity shall consider sequentially that the configured uplink grant, or the first configured uplink grant in a multi-PUSCH configured grant, in the Nth (N ≥ 0) *periodicity* occurs in the symbol for which:

 [(SFN × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)
 + (slot number in the frame × *numberOfSymbolsPerSlot*) + symbol number in the slot] =
 [(SFNstart time × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*
 + slotstart time × *numberOfSymbolsPerSlot* + symbolstart time) + N × *periodicity*]
 modulo (1024 × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot*)

where SFNstart time, slotstart time, and symbolstart time are the SFN, slot, and symbol, respectively, of the first transmission opportunity of PUSCH where the configured uplink grant was (re-)initialised.

For a multi-PUSCH configured grant Type 2, the Mth (1 < M ≤ *nrofSlotsInCG-Period*) configured uplink grant within the same *periodicity* occurs (M-1) × *numberOfSymbolsPerSlot* symbols after the symbol in which the first configured uplink grant in that *periodicity* occurs.

If *cg-nrofPUSCH-InSlot* or *cg-nrofSlots* is configured for a configured grant Type 1 or Type 2, the MAC entity shall consider the uplink grants occur in those additional PUSCH allocations as specified in clause 6.1.2.3 of TS 38.214 [7].

NOTE 2: In case of unaligned SFN across carriers in a cell group, the SFN of the concerned Serving Cell is used to calculate the occurrences of configured uplink grants.

When the configured uplink grant is released by upper layers, all the corresponding configurations shall be released and all corresponding uplink grants shall be cleared.

The MAC entity shall:

1> if at least one configured uplink grant confirmation has been triggered and not cancelled; and

1> if the MAC entity has UL resources allocated for new transmission:

2> if, in this MAC entity, at least one configured uplink grant is configured by *configuredGrantConfigToAddModList*:

3> instruct the Multiplexing and Assembly procedure to generate a Multiple Entry Configured Grant Confirmation MAC CE as defined in clause 6.1.3.31.

2> else:

3> instruct the Multiplexing and Assembly procedure to generate a Configured Grant Confirmation MAC CE as defined in clause 6.1.3.7.

2> cancel all triggered configured uplink grant confirmation(s).

For a configured grant Type 2, the MAC entity shall clear the configured uplink grant(s) immediately after first transmission of Configured Grant Confirmation MAC CE or Multiple Entry Configured Grant Confirmation MAC CE which confirms the configured uplink grant deactivation.

Retransmissions use:

- repetition of configured uplink grants; or

- received uplink grants addressed to CS-RNTI; or

- configured uplink grants with *cg-RetransmissionTimer*, *cg-RRC-RetransmissionTimer* or *cg-SDT-RetransmissionTimer* configured.

=================================CHANGE ENDS=======================================