**3GPP TSG-RAN2 Meeting #125**

**Athens, Greece, Feb. 26th – Mar. 1st, 2024**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.321** | **CR** | **xxxx** | **rev** |  | **Current version:** | **17.6.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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| ***Title:*** | Corrections on Rel-18 MIMOevo for TS 38.321 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Samsung | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_MIMO\_evo\_DL\_UL-Core | | | | |  | ***Date:*** | | | xx |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **C** |  | | | | | ***Release:*** | | | Rel-18 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | 1. RAN2 confirms that the existing rules (when lch-basedPrioritization is not configured) for handling overlapping PUSCH are performed separately for each coresetpoolindex. 2. Introduce the new PHR MAC CEs (single entry/multiple entry) for STxMP PHR on sDCI based mTRP operation.   - Baseline is multi TRP PHR MAC CEs introduced in Rel-17  - Two set of PH, P, V, MPE, and PCMAX  - Add the additionally reported PCMAX,f,c,k (k is the TRP/panel index used for STxMP operation) which is corresponding to the second PH value reported from the second TRP.  - Add the corresponding P, V and MPE fields   1. The TP in R2-2401205 is taken as baseline. 2. In the CORESET Pool ID field of the Unified TCI States Activation/Deactivation MAC CE, change “If the coresetPoolIndex is not configured for any CORESET or only one coresetPoolIndex is configured for any CORESET” into “if no more than one value for the coresetPoolIndex is configured for any CORESET in the BWP”. 3. Add the sentence of “The codepoint to which a TCI state is mapped is determined by its ordinal position among all the TCI state ID fields.” in the field description of TCI state ID of the Enhanced Unified TCI States Activation/Deactivation MAC CE for Separate TCI States. 4. Intention of R2-2401305 is agreeable, detailed wording of the TP can be further checked as part of the Rapp CR. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | 1. Add abbreviation of STx2P. 2. Introduce the new PHR MAC CEs (single entry/multiple entry) for STx2P PHR on sDCI based mTRP operation 3. Specify PHR procedure using the new PHR MAC CE. 4. Correct the wording for CORESET Pool ID field in Unified TCI States Activation/Deactivation MAC CE. 5. Correct the wording for TCI state ID field in Enhanced Unified TCI States Activation/Deactivation MAC CE for Separate TCI States. 6. Correct TAT expiry handling in 5.2.   **Impact analysis**  Impacted 5G architecture options:  NR SA  Impacted functionality:  NR MIMO  Interoperability:  For change 1, 4, 5, if the network implements this CR but not the UE, there is no interoperability issue.  For change 1, 4, 5, if the UE implements this CR but not the network, there is no interoperabiilty issue.  For change 2, 3, 6, if the network implements this CR but not the UE, there is interoperability issue.  For change 2, 3, 6, if the network implements this CR but not the UE, there is interoperability issue. | | | | | | | | |
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| ***Consequences if not approved:*** | | 1. PHR for sDCI multi-TRP STx2P is not supported with two set of PH, P, V, MPE, and PCMAX. 2. Ambiguity on CORESET Pool ID field in Unified TCI States Activation/Deactivation MAC CE. 3. Ambiguity on TCI state ID field in Enhanced Unified TCI States Activation/Deactivation MAC CE for Separate TCI States. 4. When TAT is expired, UE behaviours are not performed completely. | | | | | | | | |
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| ***Clauses affected:*** | | 3.2, 5.2, 5.4.1, 5.4.2.1, 5.4.3.1.3, 5.4.6, 6.1.3.47, 6.1.3.71, 6.1.3.XX, 6.1.3.YY, 6.2.1 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR … CR … | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR … CR … | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR … CR … | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

A2X Aircraft-to-Everything

AP Aperiodic

BFR Beam Failure Recovery

BRID Broadcast Remote Identification

BSR Buffer Status Report

BWP Bandwidth Part

CE Control Element

CG Cell Group

CG-SDT Configured Grant-based SDT

CI-RNTI Cancellation Indication RNTI

CSI Channel State Information

CSI-IM CSI Interference Measurement

CSI-RS CSI Reference Signal

CS-RNTI Configured Scheduling RNTI

DAA Detect And Avoid

DAPS Dual Active Protocol Stack

DCP DCI with CRC scrambled by PS-RNTI

DL-PRS DownLink-Positioning Reference Signal

DSR Delay Status Report

DTX Discontinuous Transmission

G-CS-RNTI Group Configured Scheduling RNTI

G-RNTI Group RNTI

IAB Integrated Access and Backhaul

INT-RNTI Interruption RNTI

LBT Listen Before Talk

LCG Logical Channel Group

LCP Logical Channel Prioritization

LTM L1/L2 Triggered Mobility

MBS Multicast/Broadcast Services

MCCH MBS Control Channel

MCCH-RNTI MBS Control Channel RNTI

MCG Master Cell Group

MO-SDT Mobile Originated SDT

MP Multi-path

MPE Maximum Permissible Exposure

MTCH MBS Traffic Channel

MT-SDT Mobile Terminated SDT

N3C Non-3GPP Connection

NCD-SSB Non Cell Defining SSB

NCR Network-Controlled Repeater

NSAG Network Slice AS Group

NUL Normal Uplink

NZP CSI-RS Non-Zero Power CSI-RS

PDB Packet Delay Budget

PEI-RNTI Paging Early Indication RNTI

PHR Power Headroom Report

PQI PC5 QoS Identifier

PS-RNTI Power Saving RNTI

PSI PDU Set Importance

PTAG Primary Timing Advance Group

PTM Point to Multipoint

PTP Point to Point

QCL Quasi-colocation

PPW PRS Processing Window

PRS Positioning Reference Signal

RA-SDT Random Access-based SDT

RRH Remote Radio Head

RS Reference Signal

SCG Secondary Cell Group

SDT Small Data Transmission

SFI-RNTI Slot Format Indication RNTI

SI System Information

SL-PRS-CS-RNTI SL-PRS Configured Scheduling RNTI

SL-PRS-RNTI SL-PRS RNTI

SL-CS-RNTI Sidelink Configured Scheduling RNTI

SL-PRS Sidelink PRS

SL-RNTI Sidelink RNTI

SpCell Special Cell

SP Semi-Persistent

SP-CSI-RNTI Semi-Persistent CSI RNTI

SPS Semi-Persistent Scheduling

SR Scheduling Request

SRI SRS Resource Indicator

SS Synchronization Signals

SSB Synchronization Signal Block

STAG Secondary Timing Advance Group

STx2P Simultaneous Transmission with 2-Panel

SUL Supplementary Uplink

TAG Timing Advance Group

TCI Transmission Configuration Indicator

TPC-SRS-RNTI Transmit Power Control-Sounding Reference Signal-RNTI

TRIV Time Resource Indicator Value

TRP Transmit/Receive Point

TRS CSI-RS for tracking

U2N UE-to-Network

U2U UE-to-UE

UCI Uplink Control Information

UTO-UCI Unused Transmission Occasion - UCI

UTW Uplink Time Window

V2X Vehicle-to-Everything

ZP CSI-RS Zero Power CSI-RS

## 5.2 Maintenance of Uplink Time Alignment

RRC configures the following parameters for the maintenance of UL time alignment:

- *timeAlignmentTimer* (per TAG) which controls how long the MAC entity considers the Serving Cells to the associated TAG to be uplink time aligned for the TAG;

- *inactivePosSRS-TimeAlignmentTimer* which controls how long the MAC entity considers the Positioning SRS transmission in RRC\_INACTIVE in clause 5.26 to be uplink time aligned;

- *cg-SDT-TimeAlignmentTimer* which controls how long the MAC entity considers the uplink transmission for CG-SDT to be uplink time aligned;

- *srs-ValidityAreaTimeAlignmentTimer* which controls how long the MAC entity considers Positioning SRS transmission in RRC\_INACTIVE in clause 5.26 to be uplink time aligned when SRS positioning validity area is configured.

The MAC entity shall:

1> when a Timing Advance Command MAC CE is received, and if an NTA (as defined in TS 38.211 [8]) has been maintained with the indicated TAG:

2> apply the Timing Advance Command for the indicated TAG;

2> if there is ongoing Positioning SRS Transmission in RRC\_INACTIVE as in clause 5.26:

3> if SRS positioning validity area is configured:

4> start or restart the *srs-ValidityAreaTimeAlignmentTimer* associated with the indicated TAG.

3> else:

4> start or restart the *inactivePosSRS-TimeAlignmentTimer* associated with the indicated TAG.

2> if CG-SDT procedure triggered as in clause 5.27 is ongoing:

3> start or restart the *cg-SDT-TimeAlignmentTimer* associated with the indicated TAG.

2> else:

3> start or restart the *timeAlignmentTimer* associated with the indicated TAG.

1> when a Timing Advance Command is received in a Random Access Response message for a Serving Cell configured with two TAGs or in a MSGB for an SpCell configured with two TAGs:

2> if the Random Access Preamble was not selected by the MAC entity among the contention-based Random Access Preamble:

3> apply the Timing Advance Command for the TAG indicated in the received Random Access Response message or MSGB;

3> start or restart the *timeAlignmentTimer* associated with TAG indicated in the received Random Access Response message or MSGB.

2> else if the *timeAlignmentTimer* associated with the TAG indicated in the received Random Access Response message or MSGB is not running:

3> apply the Timing Advance Command for this TAG;

3> start the *timeAlignmentTimer* associated with this TAG;

3> when the Contention Resolution is considered not successful as described in clause 5.1.5:

4> stop the *timeAlignmentTimer* associated with this TAG.

2> else:

3> ignore the received Timing Advance Command.

1> when a Timing Advance Command is received in a Random Access Response message for a Serving Cell not configured with two TAGs or in a MSGB for an SpCell not configured with two TAGs:

2> if the Random Access Preamble was not selected by the MAC entity among the contention-based Random Access Preamble:

3> apply the Timing Advance Command for this TAG;

3> start or restart the *timeAlignmentTimer* associated with this TAG.

2> else if the *timeAlignmentTimer* associated with this TAG is not running:

3> apply the Timing Advance Command for this TAG;

3> start the *timeAlignmentTimer* associated with this TAG;

3> when the Contention Resolution is considered not successful as described in clause 5.1.5; or

3> when the Contention Resolution is considered successful for SI request as described in clause 5.1.5, after transmitting HARQ feedback for MAC PDU including UE Contention Resolution Identity MAC CE:

4> stop *timeAlignmentTimer* associated with this TAG.

3> when the Contention Resolution is considered not successful as described in clause 5.1.5:

4> if CG-SDT procedure triggered as in clause 5.27 is ongoing:

5> set the NTA value to the value before applying the received Timing Advance Command as in TS 38.211 [8].

3> when the Contention Resolution is considered successful for Random Access procedure while the CG-SDT procedure is ongoing:

4> stop *timeAlignmentTimer* associated with this TAG;

4> start or restart the *cg-SDT-TimeAlignmentTimer* associated with this TAG.

3> when the Contention Resolution is considered successful for Random Access procedure while SRS transmission in RRC\_INACTIVE is ongoing:

4> if SRS positioning validity area is configured:

5> start or restart the *srs-ValidityAreaTimeAlignmentTimer* associated with the indicated TAG.

4> else:

5> start or restart the *inactivePosSRS-TimeAlignmentTimer* associated with this TAG.

2> else:

3> ignore the received Timing Advance Command.

1> when an Absolute Timing Advance Command is received in response to a MSGA transmission including C-RNTI MAC CE, as specified in clause 5.1.4a, for an SpCell configured with two TAGs:

2> apply the Timing Advance Command for the PTAG indicated in the Absolute Timing Advance Command MAC CE;

2> start or restart the *timeAlignmentTimer* associated with this PTAG.

1> when an Absolute Timing Advance Command is received in response to a MSGA transmission including C-RNTI MAC CE, as specified in clause 5.1.4a, for an SpCell not configured with two TAGs:

2> apply the Timing Advance Command for PTAG;

2> if there is ongoing Positioning SRS Transmission in RRC\_INACTIVE as in clause 5.26:

3> if SRS positioning validity area is configured:

4> start or restart the *srs-ValidityAreaTimeAlignmentTimer* associated with the indicated TAG.

3> else:

4> start or restart the *inactivePosSRS-TimeAlignmentTimer* associated with the indicated TAG.

2> if CG-SDT procedure is ongoing:

3> start or restart the *cg-SDT-TimeAlignmentTimer* associated with PTAG.

2> else:

3> start or restart the *timeAlignmentTimer* associated with PTAG.

1> when the MAC entity is configured with *rach-LessHO*:

2> set the NTA value (as defined in TS 38.211 [8]) to the value indicated by *targetNTA* in *rach-LessHO* for PTAG;

2> start the *timeAlignmentTimer* associated with PTAG.

1> when the indication is received from upper layer for stopping the *inactivePosSRS-TimeAlignmentTimer*:

2> stop the *inactivePosSRS-TimeAlignmentTimer*.

1> when the indication is received from upper layer for starting the *inactivePosSRS-TimeAlignmentTimer*:

2> start or restart the *inactivePosSRS-TimeAlignmentTimer*.

1> when instruction from the upper layer has been received for starting the *cg-SDT-TimeAlignmentTimer*:

2> start the *cg-SDT-TimeAlignmentTimer*.

1> when instruction from the upper layer has been received for stopping the *cg-SDT-TimeAlignmentTimer*:

2> consider the *cg-SDT-TimeAlignmentTimer* as expired.

1> when the indication is received from upper layer for starting the *srs-ValidityArea-TimerAlignmentTimer*:

2> start or restart the *srs-ValidityArea-TimerAlignmentTimer*.

1> when the indication is received from upper layer for stopping the *srs-ValidityArea-TimerAlignmentTimer*:

2> stop the *srs-ValidityArea-TimerAlignmentTimer*.

1> when instruction from the upper layer has been received for starting the *TimeAlignmentTimer* associated with PTAG:

2> start the *TimeAlignmentTimer* associated with PTAG.

1> when an LTM Cell Switch Command MAC CE including a Timing Advance Command is received:

2> apply the Timing Advance Command for the PTAG;

2> start or restart the *timeAlignmentTimer* associated with the PTAG.

1> when an LTM Cell Switch Command MAC CE is received and the UE has successfully measured the Timing Advance as in clause 5.18.35:

2> apply the measured Timing Advance for the PTAG;

2> start or restart the *timeAlignmentTimer* associated with the PTAG.

1> when a *timeAlignmentTimer* expires:

2> if the *timeAlignmentTimer* is associated with a PTAG and the SpCell is not configured with two PTAGs; or

2> if the *timeAlignmentTimer* is associated with a PTAG, the SpCell is configured with two PTAGs, and the *timeAlignmentTimer* associated with the other PTAG is not running:

3> flush all HARQ buffers for all Serving Cells;

3> notify RRC to release PUCCH for all Serving Cells, if configured;

3> notify RRC to release SRS for all Serving Cells, if configured;

3> clear any configured downlink assignments and configured uplink grants;

3> clear any PUSCH resource for semi-persistent CSI reporting;

3> consider all running *timeAlignmentTimer*s as expired;

3> maintain NTA (defined in TS 38.211 [8]) of all TAGs.

2> else:

3> if the *timeAlignmentTimer* is associated with a TAG for an SCell configured with only this TAG; or

3> if the *timeAlignmentTimer* is associated with a TAG for an SCell, and if the SCell is configured with two TAGs and *the timeAlignmentTimer* associated with the other TAG is not running:

4> flush all HARQ buffers for all such SCells;

4> notify RRC to release PUCCH, if configured, for all such SCells;

4> notify RRC to release SRS, if configured, for all such SCells;

4> clear any configured downlink assignments and configured uplink grants for all such SCells;

4> clear any PUSCH resource for semi-persistent CSI reporting for all such SCells;

4> maintain NTA (defined in TS 38.211 [8]) of this TAG.

3> if the *timeAlignmentTimer* is associated with a TAG for a Serving Cell configured with two TAGs, and if the *timeAlignmentTimer* associated with the other TAG is running, for all such Serving Cells:

4> clear any configured downlink assignment, if the activated TCI state(s) for all PUCCH resources configured for the configured downlink assignment is associated with the TAG of the expired *timeAlignmentTimer*;

4> clear any configured uplink grant, if the activated TCI state(s) for the configured uplink grant is associated with the TAG of the expired *timeAlignmentTimer*;

4> clear any PUSCH resource for semi-persistent CSI reporting, if the activated TCI state(s) for the PUSCH resource is associated with the TAG of the expired *timeAlignmentTimer*;

4> maintain NTA (defined in TS 38.211 [8]) of this TAG.

1> when the *inactivePosSRS-TimeAlignmentTimer* expires:

2> notify RRC to release Positioning SRS for RRC\_INACTIVE configuration(s).

1> when the *cg-SDT-TimeAlignmentTimer* expires:

2> clear any configured uplink grants;

2> if a PDCCH addressed to the MAC entity's C-RNTI after initial transmission for the CG-SDT with CCCH message has not been received:

3> consider ongoing CG-SDT procedure as terminated;

3> indicate the expiry of *cg-SDT-TimeAlignmentTimer* to the upper layer.

2> flush all HARQ buffers;

2> maintain NTA (defined in TS 38.211 [8]) of this TAG.

When the MAC entity stops uplink transmissions for an SCell not configured with two TAGs due to the fact that the maximum uplink transmission timing difference between TAGs of the MAC entity or the maximum uplink transmission timing difference between TAGs of any MAC entity of the UE is exceeded, the MAC entity considers the *timeAlignmentTimer* associated with the SCell as expired.

When the MAC entity stops uplink transmissions associated to a STAG for an SCell configured with two TAGs due to the fact that the maximum uplink transmission timing difference between TAGs of the MAC entity or the maximum uplink transmission timing difference between TAGs of any MAC entity of the UE is exceeded, the MAC entity considers the *timeAlignmentTimer* associated with the STAG as expired.

The MAC entity shall not perform any uplink transmission on a Serving Cell except the Random Access Preamble and MSGA transmission when the *timeAlignmentTimer*(s) associated with all TAG(s) to which this Serving Cell belongs is not running, CG-SDT procedure is not ongoing and SRS transmission in RRC\_INACTIVE as in clause 5.26 is not on-going. Furthermore, when the *timeAlignmentTimer*(s) associated with all PTAG(s) is not running, CG-SDT procedure is not ongoing and SRS transmission in RRC\_INACTIVE as in clause 5.26 is not ongoing, the MAC entity shall not perform any uplink transmission on any Serving Cell except the Random Access Preamble and MSGA transmission on the SpCell. The MAC entity shall not perform any uplink transmission except the Random Access Preamble and MSGA transmission when the *cg-SDT-TimeAlignmentTimer* is not running during the ongoing CG-SDT procedure as triggered in clause 5.27 and the *inactivePosSRS-TimeAlignmentTimer* or *srs-ValidityAreaTimeAlignmentTimer* is not running. The MAC entity shall not perform any uplink transmission except the Random Access Preamble and MSGA transmission on a Serving Cell using TCI state(s) associated with a TAG for which the *timeAlignmentTimer* is not running.

### 5.4.1 UL Grant reception

Uplink grant is either received dynamically on the PDCCH, in a Random Access Response, configured semi-persistently by RRC or determined to be associated with the PUSCH resource of MSGA as specified in clause 5.1.2a. The MAC entity shall have an uplink grant to transmit on the UL-SCH. To perform the requested transmissions, the MAC layer receives HARQ information from lower layers. An uplink grant addressed to CS-RNTI with NDI = 0 is considered as a configured uplink grant. An uplink grant addressed to CS-RNTI with NDI = 1 is considered as a dynamic uplink grant.

If the MAC entity is not configured with *lch-basedPrioritization*, for a BWP configured with *sTx-2Panel,* the MAC entity considers the PUSCH duration of one uplink grant overlaps with the PUSCH duration of another uplink grant if they are overlapping in time and associated with a *srs-ResourceSetId* corresponding to the same *coresetPoolIndex*.

If the MAC entity has a C-RNTI, a Temporary C-RNTI, or CS-RNTI, the MAC entity shall for each PDCCH occasion and for each Serving Cell belonging to a TAG that has a running *timeAlignmentTimer* or a running *cg-SDT-TimeAlignmentTimer* and for each grant received for this PDCCH occasion:

1> if an uplink grant for this Serving Cell has been received on the PDCCH for the MAC entity's C-RNTI or Temporary C-RNTI; or

1> if an uplink grant has been received in a Random Access Response:

2> if the uplink grant is for MAC entity's C-RNTI and if the previous uplink grant delivered to the HARQ entity for the same HARQ process was either an uplink grant received for the MAC entity's CS-RNTI or a configured uplink grant:

3> consider the NDI to have been toggled for the corresponding HARQ process regardless of the value of the NDI.

2> if the uplink grant is for MAC entity's C-RNTI, and the identified HARQ process is configured for a configured uplink grant:

3> start or restart the *configuredGrantTimer* for the corresponding HARQ process, if configured;

3> stop the *cg-RetransmissionTimer* for the corresponding HARQ process, if running.

2> stop the *cg-SDT-RetransmissionTimer* for the corresponding HARQ process, if running.

2> stop the *cg-LTM-RetransmissionTimer* for the corresponding HARQ process, if running.

2> stop the *cg-RACH-less-RetransmissionTimer* for the corresponding HARQ process, if running.

2> if there is an ongoing RACH-less handover procedure; and

2> if the uplink grant has been received on the PDCCH for the MAC entity's C-RNTI after the first PUSCH transmission to the Serving Cell; and

2> if the uplink grant is for a new transmission on the same HARQ process used for the first PUSCH transmission to the Serving Cell:

3> indicate to upper layers the successful completion of RACH-less handover.

2> deliver the uplink grant and the associated HARQ information to the HARQ entity;

2> if there is an ongoing RACH-less LTM cell switch; and

2> if the uplink grant has been received on the PDCCH for the MAC entity's C-RNTI after the first PUSCH transmission to the Serving Cell; and

2> if the uplink grant is for a new transmission on the same HARQ process used for the first PUSCH transmission to the Serving Cell:

3> consider the LTM cell switch to be successfully completed and indicate it to upper layers.

1> else if an uplink grant for this PDCCH occasion has been received for this Serving Cell on the PDCCH for the MAC entity's CS-RNTI:

2> if the NDI in the received HARQ information is 1:

3> consider the NDI for the corresponding HARQ process not to have been toggled;

3> start or restart the *configuredGrantTimer* for the corresponding HARQ process, if configured;

3> stop the *cg-RetransmissionTimer* for the corresponding HARQ process, if running;

3> stop the *cg-SDT-RetransmissionTimer* for the corresponding HARQ process, if running;

3> stop the *cg-LTM-RetransmissionTimer* for the corresponding HARQ process, if running;

3> stop the *cg-RACH-less-RetransmissionTimer* for the corresponding HARQ process, if running;

3> deliver the uplink grant and the associated HARQ information to the HARQ entity;

3> if a logical channel associated with a DRB configured with *survivalTimeStateSupport* is multiplexed in the MAC PDU stored in the HARQ buffer for the corresponding HARQ process:

4> trigger activation of PDCP duplication for all configured RLC entities of the DRB.

2> else if the NDI in the received HARQ information is 0:

3> if PDCCH contents indicate configured grant Type 2 deactivation:

4> trigger configured uplink grant confirmation.

3> else if PDCCH contents indicate configured grant Type 2 activation:

4> trigger configured uplink grant confirmation;

4> store the uplink grant for this Serving Cell and the associated HARQ information as configured uplink grant;

4> initialise or re-initialise the configured uplink grant for this Serving Cell to start in the associated PUSCH duration and to recur according to rules in clause 5.8.2;

4> stop the *configuredGrantTimer* for the corresponding HARQ process, if running;

4> stop the *cg-RetransmissionTimer* for the corresponding HARQ process, if running.

For each Serving Cell and each configured uplink grant, if configured and activated and available for use as specified in clause 5.8.2, the MAC entity shall:

1> if the MAC entity is configured with *lch-basedPrioritization*, and the PUSCH duration of the configured uplink grant does not overlap with the PUSCH duration of an uplink grant received in a Random Access Response or with the PUSCH duration of an uplink grant addressed to Temporary C-RNTI or the PUSCH duration of a MSGA payload for this Serving Cell; or

1> if the MAC entity is not configured with *lch-basedPrioritization*, and the PUSCH duration of the configured uplink grant does not overlap with the PUSCH duration of an uplink grant received on the PDCCH or in a Random Access Response or the PUSCH duration of a MSGA payload for this Serving Cell:

2> set the HARQ Process ID to the HARQ Process ID associated with this PUSCH duration;

2> if, for the corresponding HARQ process, the *configuredGrantTimer* is not running and *cg-RetransmissionTimer* is not configured and *cg-SDT-RetransmissionTimer* is not configured, and *cg-LTM-RetransmissionTimer* is not configured, and *cg-RACH-less-RetransmissionTimer* is not configured (i.e. new transmission):

3> if there is an on-going CG-SDT procedure and PDCCH addressed to the MAC entity's C-RNTI has been received; or

3> if there is an on-going RACH-less LTM cell switch procedure and PDCCH addressed to the MAC entity's C-RNTI has been received; or

3> if there is an on-going RACH-less handover procedure and PDCCH addressed to the MAC entity's C-RNTI has been received; or

3> if there is no on-going CG-SDT nor on-going RACH-less LTM cell switch nor on-going RACH-less handover procedure:

4> consider the NDI bit for the corresponding HARQ process to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

2> else if the *cg-RetransmissionTimer* for the corresponding HARQ process is configured and not running, then for the corresponding HARQ process:

3> if the *configuredGrantTimer* is not running, and the HARQ process is not pending (i.e. new transmission):

4> consider the NDI bit to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

3> else if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant (i.e. retransmission on configured grant):

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

2> else if the *cg-SDT-RetransmissionTimer* is configured and not running for the corresponding HARQ process;

3> if the configured uplink grant is for the initial transmission for the CG-SDT with CCCH message (i.e., initial new transmission); or

3> if the *configuredGrantTimer* is not running or not configured, and PDCCH addressed to the MAC entity's C-RNTI has been received after the initial transmission of the CG-SDT with CCCH message (i.e., subsequent new transmission):

4> consider the NDI bit to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

3> else if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant for initial transmission of CG-SDT with CCCH message or for its retransmission; and

3> if PDCCH addressed to the MAC entity's C-RNTI has not been received (i.e., retransmission for initial CG-SDT transmission):

4> consider the NDI bit to have not been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

2> else if the *cg-LTM-RetransmissionTimer* is configured and not running for the corresponding HARQ process:

3> if the configured uplink grant is for the first PUSCH transmission at LTM cell switch (i.e., initial new transmission):

4> consider the NDI bit to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

3> else if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant for first PUSCH transmission at LTM cell switch or for its retransmission; and

3> if PDCCH addressed to the MAC entity's C-RNTI has not been received on the same HARQ process used for the first PUSCH transmission to the Serving Cell (i.e., retransmission for initial transmission):

4> consider the NDI bit to have not been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

2> else if the *cg-RACH-less-RetransmissionTimer* is configured and not running for the corresponding HARQ process;

3> if the configured uplink grant is for the initial transmission of RACH-less handover (i.e., initial new transmission):

4> consider the NDI bit to have been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

3> else if the previous uplink grant delivered to the HARQ entity for the same HARQ process was a configured uplink grant for initial transmission of RACH-less handover or for its retransmission; and

3> if PDCCH addressed to the MAC entity's C-RNTI has not been received (i.e., retransmission for initial transmission):

4> consider the NDI bit to have not been toggled;

4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity.

For configured uplink grants that are not part of a multi-PUSCH configured grant and neither configured with *harq-ProcID-Offset2* nor with *cg-RetransmissionTimer*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

HARQ Process ID = [floor(CURRENT\_symbol/*periodicity*)] modulo *nrofHARQ-Processes*

For configured uplink grants that are not part of a multi-PUSCH configured grant and configured with *harq-ProcID-Offset2*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

HARQ Process ID = [floor(CURRENT\_symbol / *periodicity*)] modulo *nrofHARQ-Processes* + *harq-ProcID-Offset2*

For a multi-PUSCH configured grant (as specified in clause 5.8.2) configured with neither *harq-ProcID-Offset2* nor *cg-RetransmissionTimer*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

HARQ Process ID = [*nrofSlotsInCG-Period*× floor (CURRENT\_symbol / *periodicity*) + ID\_OFFSET] modulo *nrofHARQ-Processes*

For a multi-PUSCH configured grant configured with *harq-ProcID-Offset2*, the HARQ Process ID associated with the first symbol of a UL transmission is derived from the following equation:

HARQ Process ID = [*nrofSlotsInCG-Period* × floor (CURRENT\_symbol / *periodicity*) + ID\_OFFSET] modulo *nrofHARQ-Processes* + *harq-ProcID-Offset2*

where CURRENT\_symbol if *cg-SDT-PeriodicityExt* (as defined in TS 38.331 [5]) is not configured = (SFN × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot* + slot number in the frame × *numberOfSymbolsPerSlot* + symbol number in the slot), and *numberOfSlotsPerFrame* and *numberOfSymbolsPerSlot* refer to the number of consecutive slots per frame and the number of consecutive symbols per slot, respectively as specified in TS 38.211 [8]. For a multi-PUSCH configured grant, ID\_OFFSET equals 0 for the first configured uplink grant within a *periodicity* of the configuration and K for the Kth (1 ≤ K < *nrofSlotsInCG-Period*) valid configured uplink grant after the first configured uplink grant within the same *periodicity*. A configured uplink grant in a multi-PUSCH configured grant is not considered valid if it satisfies the conditions specified in clause 6.1 in TS 38.214 [7].

Alternatively, if *cg-SDT-PeriodicityExt* (as defined in TS 38.331 [5]) is configured, CURRENT\_symbol = ((H-SFN × *numberOfSFNperH-SFN* + SFN) × *numberOfSlotsPerFrame* × *numberOfSymbolsPerSlot* + slot number in the frame × *numberOfSymbolsPerSlot* + symbol number in the slot), and *numberOfSFNperH-SFN*, *numberOfSlotsPerFrame* and *numberOfSymbolsPerSlot* refer to the number of consecutive frames per H-SFN, the number of consecutive slots per frame and the number of consecutive symbols per slot, respectively as specified in TS 38.211 [8].

For configured uplink grants configured with *cg-RetransmissionTimer*, the UE implementation selects an HARQ Process ID among the HARQ process IDs available for the configured grant configuration. If the MAC entity is configured with *intraCG-Prioritization*, for HARQ Process ID selection, the UE shall prioritize the HARQ Process ID with the highest priority, where the priority of HARQ process is determined by the highest priority among priorities of the logical channels that are multiplexed (i.e. the MAC PDU to transmit is already stored in the HARQ buffer) or have data available that can be multiplexed (i.e. the MAC PDU to transmit is not stored in the HARQ buffer) in the MAC PDU, according to the mapping restrictions as described in clause 5.4.3.1.2. If the MAC entity is configured with *intraCG-Prioritization*, for HARQ Process ID selection among initial transmission and retransmission with equal priority, the UE shall prioritize retransmissions before initial transmissions. The priority of a HARQ Process for which no data for logical channels is multiplexed or can be multiplexed in the MAC PDU is lower than the priority of a HARQ Process for which data for any logical channels is multiplexed or can be multiplexed in the MAC PDU. If the MAC entity is not configured with *intraCG-Prioritization*, for HARQ Process ID selection, the UE shall prioritize retransmissions before initial transmissions. The UE shall toggle the NDI in the CG-UCI for new transmissions and not toggle the NDI in the CG-UCI in retransmissions.

NOTE 1: CURRENT\_symbol refers to the symbol index of the first transmission occasion of a bundle of configured uplink grant.

NOTE 2: A HARQ process is configured for a configured uplink grant where neither *harq-ProcID-Offset* nor *harq-ProcID-Offset2* is configured, if the configured uplink grant is activated and the associated HARQ process ID is less than *nrofHARQ-Processes*. A HARQ process is configured for a configured uplink grant where *harq-ProcID-Offset2* is configured, if the configured uplink grant is activated and the associated HARQ process ID is greater than or equal to *harq-ProcID-Offset2* and less than sum of *harq-ProcID-Offset2* and *nrofHARQ-Processes* for the configured grant configuration.

NOTE 3: If the MAC entity receives a grant in a Random Access Response (i.e. MAC RAR or fallbackRAR), or addressed to Temporary C-RNTI or determines a grant as specified in clause 5.1.2a for MSGA payload and if the MAC entity also receives an overlapping grant for its C-RNTI or CS-RNTI, requiring concurrent transmissions on the SpCell, the MAC entity may choose to continue with either the grant for its RA-RNTI/Temporary C-RNTI/MSGB-RNTI/the MSGA payload transmission or the grant for its C-RNTI or CS-RNTI.

NOTE 4: In case of unaligned SFN across carriers in a cell group, the SFN of the concerned Serving Cell is used to calculate the HARQ Process ID used for configured uplink grants.

NOTE 5: If *cg-RetransmissionTimer* is not configured, a HARQ process is not shared between different configured grant configurations in the same BWP.

For the MAC entity configured with *lch-basedPrioritization*, priority of an uplink grant is determined by the highest priority among priorities of the logical channels that are multiplexed (i.e. the MAC PDU to transmit is already stored in the HARQ buffer) or have data available that can be multiplexed (i.e. the MAC PDU to transmit is not stored in the HARQ buffer) in the MAC PDU, according to the mapping restrictions as described in clause 5.4.3.1.2. The priority of an uplink grant for which no data for logical channels is multiplexed or can be multiplexed in the MAC PDU is lower than either the priority of an uplink grant for which data for any logical channels is multiplexed or can be multiplexed in the MAC PDU or the priority of the logical channel triggering an SR.

For the MAC entity configured with *lch-basedPrioritization*, if the corresponding PUSCH transmission of a configured uplink grant is cancelled by CI-RNTI as specified in clause 11.2A of TS 38.213 [6] or cancelled by a high PHY-priority PUCCH transmission as specified in clause 9 of TS 38.213 [6], this configured uplink grant is considered as a de-prioritized uplink grant. If this de-prioritized uplink grant is configured with *autonomousTx*, the *configuredGrantTimer* for the corresponding HARQ process of this de-prioritized uplink grant shall be stopped if it is running. If this de-prioritized uplink grant is configured with *autonomousTx*, the *cg-RetransmissionTimer* for the corresponding HARQ process of this de-prioritized uplink grant shall be stopped if it is running.

When the MAC entity is configured with *lch-basedPrioritization*, for each uplink grant delivered to the HARQ entity and whose associated PUSCH can be transmitted by lower layers, the MAC entity shall:

1> if this uplink grant is received in a Random Access Response (i.e. in a MAC RAR or fallback RAR), or addressed to Temporary C-RNTI, or is determined as specified in clause 5.1.2a for the transmission of the MSGA payload:

2> consider this uplink grant as a prioritized uplink grant.

1> else if this uplink grant is addressed to CS-RNTI with NDI = 1 or C-RNTI:

2> if there is no overlapping PUSCH duration of a configured uplink grant which was not already de-prioritized, in the same BWP, whose priority is higher than the priority of the uplink grant; and

2> if there is no overlapping PUCCH resource with an SR transmission which was not already de-prioritized and the simultaneous transmission of the SR and the uplink grant is not allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups*, and the priority of the logical channel that triggered the SR is higher than the priority of the uplink grant:

3> consider this uplink grant as a prioritized uplink grant;

3> consider the other overlapping uplink grant(s), if any, as a de-prioritized uplink grant(s);

3> consider the other overlapping SR transmission(s), if any, as a de-prioritized SR transmission(s), except for the SR transmission(s) whose simultaneous transmission is allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups*;

3> if the de-prioritized uplink grant(s) is a configured uplink grant configured with *autonomousTx* whose PUSCH has already started:

4> stop the *configuredGrantTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s);

4> stop the *cg-RetransmissionTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s).

1> else if this uplink grant is a configured uplink grant:

2> if there is no overlapping PUSCH duration of another configured uplink grant which was not already de-prioritized, in the same BWP, whose priority is higher than the priority of the uplink grant; and

2> if there is no overlapping PUSCH duration of an uplink grant addressed to CS-RNTI with NDI = 1 or C-RNTI which was not already de-prioritized, in the same BWP, whose priority is higher than or equal to the priority of the uplink grant; and

2> if there is no overlapping PUCCH resource with an SR transmission which was not already de-prioritized and the simultaneous transmission of the SR and the uplink grant is not allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups*, and the priority of the logical channel that triggered the SR is higher than the priority of the uplink grant:

3> consider this uplink grant as a prioritized uplink grant;

3> consider the other overlapping uplink grant(s), if any, as a de-prioritized uplink grant(s);

3> if the de-prioritized uplink grant(s) is a configured uplink grant configured with *autonomousTx* whose PUSCH has already started:

4> stop the *configuredGrantTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s);

4> stop the *cg-RetransmissionTimer* for the corresponding HARQ process of the de-prioritized uplink grant(s).

3> consider the other overlapping SR transmission(s), if any, as a de-prioritized SR transmission(s), except for the SR transmission(s) whose simultaneous transmission is allowed by configuration of *simultaneousPUCCH-PUSCH* or *simultaneousPUCCH-PUSCH-SecondaryPUCCHgroup* or *simultaneousSR-PUSCH-diffPUCCH-Groups*.

NOTE 6: If the MAC entity is configured with *lch-basedPrioritization* and if there is overlapping PUSCH duration of at least two configured uplink grants whose priorities are equal, the prioritized uplink grant is determined by UE implementation.

NOTE 7: If the MAC entity is not configured with *lch-basedPrioritization* and if there is overlapping PUSCH duration of at least two configured uplink grants, it is up to UE implementation to choose one of the configured uplink grants.

NOTE 8: If the MAC entity is configured with *lch-basedPrioritization*, the MAC entity does not take UCI multiplexing according to the procedure specified in TS 38.213 [6] into account when determining whether the PUSCH duration of an uplink grant overlaps with the PUCCH resource for an SR transmission.

#### 5.4.2.1 HARQ Entity

The MAC entity includes a HARQ entity for each Serving Cell with configured uplink (including the case when it is configured with *supplementaryUplink*), which maintains a number of parallel HARQ processes.

The number of parallel UL HARQ processes per HARQ entity is specified in TS 38.214 [7].

Each HARQ process supports one TB.

Each HARQ process is associated with a HARQ process identifier. For UL transmission with UL grant in RA Response or for UL transmission for MSGA payload, HARQ process identifier 0 is used.

NOTE: When a single DCI is used to schedule multiple PUSCH, the UE is allowed to map generated TB(s) internally to different HARQ processes in case of LBT failure(s), i.e. UE may transmit a new TB on any HARQ process in the grants that have the same TBS, the same RV and the NDIs indicate new transmission.

The maximum number of transmissions of a TB within a bundle of the dynamic grant or configured grant or the uplink grant received in a MAC RAR is given by *REPETITION\_NUMBER* as follows:

- For a dynamic grant, *REPETITION\_NUMBER* is set to a value provided by lower layers, as specified in clause 6.1.2.1 of TS 38.214 [7];

- For a configured grant, *REPETITION\_NUMBER* is set to a value provided by lower layers, as specified in clause 6.1.2.3 of TS 38.214 [7];

- For an uplink grant received in a MAC RAR, REPETITION\_NUMBER is set to a value provided by lower layers, as specified in clause 6.1.2.1 of TS 38.214 [7].

If *REPETITION\_NUMBER* > 1, after the first transmission within a bundle, at most *REPETITION\_NUMBER* – 1 HARQ retransmissions follow within the bundle. For both dynamic grant and configured uplink grant, and uplink grant received in a MAC RAR bundling operation relies on the HARQ entity for invoking the same HARQ process for each transmission that is part of the same bundle. Within a bundle, HARQ retransmissions are triggered without waiting for feedback from previous transmission according to *REPETITION\_NUMBER* for a dynamic grant or configured uplink grant or uplink grant received in a MAC RAR unless they are terminated as specified in clause 6.1 of TS 38.214 [7]. Each transmission within a bundle is a separate uplink grant delivered to the HARQ entity.

For each transmission within a bundle of the dynamic grant or uplink grant received in a MAC RAR, the sequence of redundancy versions is determined according to clause 6.1.2.1 of TS 38.214 [7]. For each transmission within a bundle of the configured uplink grant, the sequence of redundancy versions is determined according to clause 6.1.2.3 of TS 38.214 [7].

For each uplink grant, the HARQ entity shall:

1> identify the HARQ process associated with this grant, and for each identified HARQ process:

2> if the received grant was not addressed to a Temporary C-RNTI on PDCCH, and the NDI provided in the associated HARQ information has been toggled compared to the value in the previous transmission of this TB of this HARQ process; or

2> if the uplink grant was received on PDCCH for the C-RNTI and the HARQ buffer of the identified process is empty; or

2> if the uplink grant was received in a Random Access Response (i.e. in a MAC RAR or a fallback RAR); or

2> if the uplink grant was determined as specified in clause 5.1.2a for the transmission of the MSGA payload; or

2> if the uplink grant was received on PDCCH for the C-RNTI in *ra-ResponseWindow* and this PDCCH successfully completed the Random Access procedure initiated for beam failure recovery; or

2> if the uplink grant is part of a bundle of the configured uplink grant, and may be used for initial transmission according to clause 6.1.2.3 of TS 38.214 [7], and if no MAC PDU has been obtained for this bundle:

3> if there is a MAC PDU in the MSGA buffer and the uplink grant determined as specified in clause 5.1.2a for the transmission of the MSGA payload was selected; or

3> if there is a MAC PDU in the MSGA buffer and the uplink grant was received in a fallbackRAR and this fallbackRAR successfully completed the Random Access procedure:

4> obtain the MAC PDU to transmit from the MSGA buffer.

3> else if there is a MAC PDU in the Msg3 buffer and the uplink grant was received in a fallbackRAR:

4> obtain the MAC PDU to transmit from the Msg3 buffer.

3> else if there is a MAC PDU in the Msg3 buffer and the uplink grant was received in a MAC RAR; or

3> if there is a MAC PDU in the Msg3 buffer and the uplink grant was received on PDCCH for the C-RNTI in *ra-ResponseWindow* and this PDCCH successfully completed the Random Access procedure initiated for beam failure recovery:

4> obtain the MAC PDU to transmit from the Msg3 buffer.

4> if the uplink grant size does not match with size of the obtained MAC PDU; and

4> if the Random Access procedure was successfully completed upon receiving the uplink grant:

5> indicate to the Multiplexing and assembly entity to include MAC subPDU(s) carrying MAC SDU from the obtained MAC PDU in the subsequent uplink transmission;

5> obtain the MAC PDU to transmit from the Multiplexing and assembly entity.

3> else if this uplink grant is a configured grant configured with *autonomousTx*; and

3> if the previous configured uplink grant, in the BWP, for this HARQ process was not prioritized; and

3> if a MAC PDU had already been obtained for this HARQ process; and

3> if the uplink grant size matches with size of the obtained MAC PDU; and

3> if none of PUSCH transmission(s) of the obtained MAC PDU has been completely performed:

4> consider the MAC PDU has been obtained.

3> else if the MAC entity is not configured with *lch-basedPrioritization*; or

3> if this uplink grant is a prioritized uplink grant:

4> obtain the MAC PDU to transmit from the Multiplexing and assembly entity, if any;

3> if a MAC PDU to transmit has been obtained:

4> if the uplink grant is not a configured grant configured with *autonomousTx*; or

4> if the uplink grant is a prioritized uplink grant:

5> deliver the MAC PDU and the uplink grant and the HARQ information of the TB to the identified HARQ process;

5> instruct the identified HARQ process to trigger a new transmission;

5> if the uplink grant is a configured uplink grant:

6> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers;

6> start or restart the *cg-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

6> if the configured uplink grant is for the initial transmission for CG-SDT with CCCH message:

7> start or restart the *cg-SDT-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed.

6> if the configured uplink grant is for the initial transmission at LTM cell switch:

7> start or restart the *cg-LTM-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed.

6> if the configured uplink grant is for the initial transmission of RACH-less handover:

7> start or restart the *cg-RACH-less-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed.

5> if the uplink grant is addressed to C-RNTI, and the identified HARQ process is configured for a configured uplink grant:

6> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

5> if *cg-RetransmissionTimer* is configured for the identified HARQ process; and

5> if the transmission is performed and LBT failure indication is received from lower layers:

6> consider the identified HARQ process as pending.

3> else:

4> flush the HARQ buffer of the identified HARQ process.

2> else (i.e. retransmission):

3> if the uplink grant received on PDCCH was addressed to CS-RNTI and if the HARQ buffer of the identified process is empty; or

3> if the uplink grant is part of a bundle and if no MAC PDU has been obtained for this bundle; or

3> if the uplink grant is part of a bundle of the configured uplink grant, and the PUSCH duration of the uplink grant overlaps with an uplink grant received in a Random Access Response (i.e. MAC RAR or fallbackRAR) or an uplink grant determined as specified in clause 5.1.2a for MSGA payload for this Serving Cell; or

3> if the MAC entity is not configured with *lch-basedPrioritization* and the BWP is not configured with *sTx-2Panel*, and if this uplink grant is part of a bundle of the configured uplink grant and the PUSCH duration of the uplink grant overlaps with a PUSCH duration of another uplink grant received on the PDCCH; or

3> if the MAC entity is not configured with *lch-basedPrioritization* and the BWP is configured with *sTx-2Panel*, and if this uplink grant is part of a bundle of the configured uplink grant associated with a *srs-ResourceSetId* corresponding to a *coresetPoolIndex*, and the PUSCH duration of the uplink grant overlaps with a PUSCH duration of another uplink grant received on the PDCCH associated with the same *coresetPoolIndex*; or

3> if the MAC entity is configured with *lch-basedPrioritization* and this uplink grant is not a prioritized uplink grant:

4> ignore the uplink grant.

3> else:

4> deliver the uplink grant and the HARQ information (redundancy version) of the TB to the identified HARQ process;

4> instruct the identified HARQ process to trigger a retransmission;

4> if the uplink grant is addressed to CS-RNTI; or

4> if the uplink grant is addressed to C-RNTI, and the identified HARQ process is configured for a configured uplink grant:

5> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

4> if the uplink grant is a configured uplink grant:

5> if the identified HARQ process is pending:

6> start or restart the *configuredGrantTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers;

5> start or restart the *cg-RetransmissionTimer*, if configured, for the corresponding HARQ process when the transmission is performed if LBT failure indication is not received from lower layers.

5> if the configured uplink grant is for the retransmission of the initial transmission of the CG-SDT with CCCH message:

6> start or restart the *cg-SDT-RetransmissionTimer* for the corresponding HARQ process when transmission is performed.

5> if the configured uplink grant is for the retransmission of the initial transmission at LTM cell switch:

6> start or restart the *cg-LTM-RetransmissionTimer* for the corresponding HARQ process when transmission is performed.

5> if the configured uplink grant is for the retransmission of the initial transmission of RACH-less handover:

6> start or restart the *cg-RACH-less-RetransmissionTimer* for the corresponding HARQ process when transmission is performed.

4> if the identified HARQ process is pending and the transmission is performed and LBT failure indication is not received from lower layers:

5> consider the identified HARQ process as not pending.

When determining if NDI has been toggled compared to the value in the previous transmission the MAC entity shall ignore NDI received in all uplink grants on PDCCH for its Temporary C-RNTI.

When *configuredGrantTimer* or *cg-RetransmissionTimer* or *cg-SDT-RetransmissionTimer* or *cg-LTM-RetransmissionTimer* or *cg-RACH-less-RetransmissionTimer* is started or restarted by a PUSCH transmission, it shall be started at the beginning of the first symbol of the PUSCH transmission.

##### 5.4.3.1.3 Allocation of resources

Before the successful completion of the Random Access procedure initiated for DAPS handover, the target MAC entity shall not select the logical channel(s) corresponding to non-DAPS DRB(s) for the uplink grant received in a Random Access Response or the uplink grant for the transmission of the MSGA payload. The source MAC entity shall select only the logical channel(s) corresponding to DAPS DRB(s) during DAPS handover.

The MAC entity shall, when a new transmission is performed:

1> allocate resources to the logical channels as follows:

2> logical channels selected in clause 5.4.3.1.2 for the UL grant with *Bj* > 0 are allocated resources in a decreasing priority order. If the PBR of a logical channel is set to *infinity*, the MAC entity shall allocate resources for all the data that is available for transmission on the logical channel before meeting the PBR of the lower priority logical channel(s);

2> decrement *Bj* by the total size of MAC SDUs served to logical channel *j* above;

2> if any resources remain, all the logical channels selected in clause 5.4.3.1.2 are served in a strict decreasing priority order (regardless of the value of *Bj*) until either the data for that logical channel or the UL grant is exhausted, whichever comes first. Logical channels configured with equal priority should be served equally.

NOTE 1: The value of *Bj* can be negative.

If the MAC entity is requested to simultaneously transmit multiple MAC PDUs, or if the MAC entity receives the multiple UL grants within one or more coinciding PDCCH occasions (i.e. on different Serving Cells), it is up to UE implementation in which order the grants are processed.

The UE shall also follow the rules below during the scheduling procedures above:

- the UE should not segment an RLC SDU (or partially transmitted SDU or retransmitted RLC PDU) if the whole SDU (or partially transmitted SDU or retransmitted RLC PDU) fits into the remaining resources of the associated MAC entity;

- if the UE segments an RLC SDU from the logical channel, it shall maximize the size of the segment to fill the grant of the associated MAC entity as much as possible;

- the UE should maximise the transmission of data;

- if the MAC entity is given a UL grant size that is equal to or larger than 8 bytes (when eLCID is not used) or 10 bytes (when eLCID is used) while having data available and allowed (according to clause 5.4.3.1) for transmission, the MAC entity shall not transmit only padding BSR and/or padding.

The MAC entity shall:

1> if the MAC entity is configured with *enhancedSkipUplinkTxDynamic* with value *true* and the grant indicated to the HARQ entity was addressed to a C-RNTI, or if the MAC entity is configured with *enhancedSkipUplinkTxConfigured* with value *true* and the grant indicated to the HARQ entity is a configured uplink grant:

2> if there is no UCI to be multiplexed on this PUSCH transmission as specified in TS 38.213 [6]; and

2> if there is no aperiodic CSI requested for this PUSCH transmission as specified in TS 38.212 [9]; and

2> if the MAC PDU includes zero MAC SDUs; and

2> if the MAC PDU includes only the periodic BSR and there is no data available for any LCG, or the MAC PDU includes only the padding BSR:

3> not generate a MAC PDU for the HARQ entity.

1> else if the MAC entity is configured with *skipUplinkTxDynamic* with value *true* and the grant indicated to the HARQ entity was addressed to a C-RNTI, or the grant indicated to the HARQ entity is a configured uplink grant:

2> if there is no aperiodic CSI requested for this PUSCH transmission as specified in TS 38.212 [9]; and

2> if the MAC PDU includes zero MAC SDUs; and

2> if the MAC PDU includes only the periodic BSR and there is no data available for any LCG, or the MAC PDU includes only the padding BSR:

3> not generate a MAC PDU for the HARQ entity.

Logical channels shall be prioritised in accordance with the following order (highest priority listed first):

- MAC CE for C-RNTI, or data from UL-CCCH;

- MAC CE for (Enhanced) BFR, or MAC CE for Configured Grant Confirmation, or MAC CE for Multiple Entry Configured Grant Confirmation;

- MAC CE for Sidelink Configured Grant Confirmation;

- MAC CE for LBT failure;

- MAC CE for SL LBT failure according to clause 5.31.2;

- MAC CE for Timing Advance Report;

- MAC CE for Delay Status Report;

- MAC CE for SL-BSR prioritized according to clause 5.22.1.6;

- MAC CE for (Extended) BSR, with exception of BSR included for padding;

- MAC CE for (Enhanced) Single Entry PHR, or MAC CE for (Enhanced) Multiple Entry PHR or MAC CE for Single Entry PHR with assumed PUSCH, or MAC CE for Multiple Entry PHR with assumed PUSCH, or MAC CE for Enhanced Single Entry PHR for multiple TRP or MAC CE for Enhanced Multiple Entry PHR for multiple TRP, or MAC CE for Enhanced Single Entry PHR for multiple TRP STx2P or MAC CE for Enhanced Multiple Entry PHR for multiple TRP STx2P;

- MAC CE for Positioning Measurement Gap Activation/Deactivation Request;

- MAC CE for the number of Desired Guard Symbols;

- MAC CE for Case-6 Timing Request;

- MAC CE for (Extended) Pre-emptive BSR;

- MAC CE for SL-BSR, with exception of SL-BSR prioritized according to clause 5.22.1.6 and SL-BSR included for padding;

- MAC CE for IAB-MT Recommended Beam Indication, or MAC CE for Desired IAB-MT PSD range, or MAC CE for Desired DL Tx Power Adjustment;

- data from any Logical Channel, except data from UL-CCCH;

- MAC CE for Recommended bit rate query;

- MAC CE for BSR included for padding;

- MAC CE for SL-BSR included for padding.

NOTE 2: Prioritization among MAC CEs of same priority is up to UE implementation.

The MAC entity shall prioritize any MAC CE listed in a higher order than 'data from any Logical Channel, except data from UL-CCCH' over NR sidelink transmission.

5.4.6 Power Headroom Reporting

The Power Headroom reporting procedure is used to provide the serving gNB with the following information:

- Type 1 power headroom: the difference between the nominal UE maximum transmit power and the estimated power for UL-SCH transmission per activated Serving Cell;

- Type 2 power headroom: the difference between the nominal UE maximum transmit power and the estimated power for UL-SCH and PUCCH transmission on SpCell of the other MAC entity (i.e. E-UTRA MAC entity in EN-DC, NE-DC, and NGEN-DC cases);

- Type 3 power headroom: the difference between the nominal UE maximum transmit power and the estimated power for SRS transmission per activated Serving Cell;

- MPE P-MPR: the power backoff to meet the MPE FR2 requirements for a Serving Cell operating on FR2;

- DPC: the adjustment to maximum output power for a given power class for a Serving Cell operating on FR1;

- DPCBC: the adjustment to maximum output power for a given power class for a Band Combination operating on FR1.

RRC controls Power Headroom reporting by configuring the following parameters:

- *dpc-Reporting-FR1*;

- *phr-AssumedPUSCH-Reporting*;

- *phr-PeriodicTimer*;

- *phr-ProhibitTimer*;

- *phr-Tx-PowerFactorChange*;

- *phr-Type2OtherCell*;

- *phr-ModeOtherCG*;

- *multiplePHR*;

- *mpe-Reporting-FR2*;

- *mpe-ProhibitTimer*;

- *mpe-Threshold*;

- *numberOfN*;

- *mpe-ResourcePoolToAddModList*;

- *twoPHRMode*.

A Power Headroom Report (PHR) shall be triggered if any of the following events occur:

- *phr-ProhibitTimer* expires or has expired and the path loss has changed more than *phr-Tx-PowerFactorChange* dB for at least one RS used as pathloss reference for one activated Serving Cell of any MAC entity of which the active DL BWP is not dormant BWP since the last transmission of a PHR in this MAC entity when the MAC entity has UL resources for new transmission;

NOTE 1: The path loss variation for one cell assessed above is between the pathloss measured at present time on the current pathloss reference and the pathloss measured at the transmission time of the last transmission of PHR on the pathloss reference in use at that time, irrespective of whether the pathloss reference has changed in between. The current pathloss reference for this purpose does not include any pathloss reference configured using *pathlossReferenceRS-Pos* in TS 38.331 [5].

- *phr-PeriodicTimer* expires;

- upon configuration or reconfiguration of the power headroom reporting functionality by upper layers, which is not used to disable the function;

- activation of an SCell of any MAC entity with configured uplink of which *firstActiveDownlinkBWP-Id* is not set to dormant BWP;

- activation of an SCG;

- addition of the PSCell except if the SCG is deactivated (i.e. PSCell is newly added or changed);

- *phr-ProhibitTimer* expires or has expired, when the MAC entity has UL resources for new transmission, and the following is true for any of the activated Serving Cells of any MAC entity with configured uplink:

- there are UL resources allocated for transmission or there is a PUCCH transmission on this cell, and the required power backoff due to power management (as allowed by P-MPRc as specified in TS 38.101-1 [14], TS 38.101-2 [15], and TS 38.101-3 [16]) for this cell has changed more than *phr-Tx-PowerFactorChange* dB since the last transmission of a PHR when the MAC entity had UL resources allocated for transmission or PUCCH transmission on this cell.

- Upon switching of activated BWP from dormant BWP to non-dormant DL BWP of an SCell of any MAC entity with configured uplink;

- if *dpc-Reporting-FR1* is configured, ΔPPowerClass /ΔPPowerClass, CA/ΔPPowerClass, EN-DC/ΔPPowerClass, NR-DC reporting is triggered upon uplink duty cycle exceedance or upon return to the power class after the duty cycle exceedance, as specified in TS 38.101-1 [14] and TS 38.101-3 [16]).

- if *mpe-Reporting-FR2* is configured, and *mpe-ProhibitTimer* is not running:

- the measured P-MPR applied to meet FR2 MPE requirements as specified in TS 38.101-2 [15] is equal to or larger than *mpe-Threshold* for at least one activated FR2 Serving Cell since the last transmission of a PHR in this MAC entity; or

- the measured P-MPR applied to meet FR2 MPE requirements as specified in TS 38.101-2 [15] has changed more than *phr-Tx-PowerFactorChange* dB for at least one activated FR2 Serving Cell since the last transmission of a PHR due to the measured P-MPR applied to meet MPE requirements being equal to or larger than *mpe-Threshold* in this MAC entity.

in which case the PHR is referred below to as 'MPE P-MPR report'.

NOTE 2: The MAC entity should avoid triggering a PHR when the required power backoff due to power management decreases only temporarily (e.g. for up to a few tens of milliseconds) and it should avoid reflecting such temporary decrease in the values of PCMAX,f,c/PH when a PHR is triggered by other triggering conditions.

NOTE 3: If a HARQ process is configured with *cg-RetransmissionTimer* and if the PHR is already included in a MAC PDU for transmission on configured grant by this HARQ process, but not yet transmitted by lower layers, it is up to UE implementation how to handle the PHR content.

If the MAC entity has UL resources allocated for a new transmission the MAC entity shall:

1> if it is the first UL resource allocated for a new transmission since the last MAC reset:

2> start *phr-PeriodicTimer*.

1> if the Power Headroom reporting procedure determines that at least one PHR has been triggered and not cancelled; and

1> if the allocated UL resources can accommodate the MAC CE for PHR which the MAC entity is configured to transmit, plus its subheader, as a result of LCP as defined in clause 5.4.3.1:

2> if *multiplePHR* with value *true* is configured:

3> for each activated Serving Cell with configured uplink associated with any MAC entity of which the active DL BWP is not dormant BWP; and

3> for each activated Serving Cell with configured uplink associated with E-UTRA MAC entity:

4> if this MAC entity is configured with *twoPHRMode*:

5> if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN* and the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> obtain two values of the Type 1 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell.

5> else if this Serving Cell is configured with multiple TRP PUSCH repetition (i.e., not configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*)and the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> obtain two values of the Type 1 or the value of Type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell.

5> else:

6> obtain the value of the Type 1 or Type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell and clause 5.1.1.2 of TS 36.213 [17] for E-UTRA Serving Cell.

4> else (i.e. this MAC entity is not configured with *twoPHRMode*):

5> if this Serving Cell is configured with multiple TRP PUSCH repetition or *multipanelSchemeSDM* or *multipanelSchemeSFN* and if the MAC entity this Serving Cell belongs to is configured with *twoPHRMode*:

6> if there is at least one real PUSCH transmission at the slot where the PHR MAC CE is transmitted:

7> obtain the value of the Type 1 power headroom of the first real transmission of the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

6> else if there is no real PUSCH transmission at the slot where the PHR MAC CE is transmitted:

7> obtain the value of the type 1 power headroom of the reference PUSCH transmission associated with the *SRS-ResourceSet* with a lower *SRS-resourceSetID* or the value of the type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213[6] for NR Serving Cell.

5> else:

6> obtain the value of the Type 1 or Type 3 power headroom for the corresponding uplink carrier as specified in clause 7.7 of TS 38.213 [6] for NR Serving Cell and clause 5.1.1.2 of TS 36.213 [17] for E-UTRA Serving Cell.

4> if this MAC entity is configured with *phr-AssumedPUSCH-Reporting*:

5> if this MAC entity has UL resources allocated for transmission on this Serving Cell; or

5> if the other MAC entity, if configured, has UL resources allocated for transmission on this Serving Cell and *phr-ModeOtherCG* is set to *real* by upper layers:

6> if *dynamicTransformPrecoderFieldPresenceDCI-0-1-r18* or *dynamicTransformPrecoderFieldPresenceDCI-0-2-r18* is set to *enabled* in the active BWP of this Serving Cell:

7> obtain the value for the corresponding PCMAX,f,c field for assumed PUSCH from the physical layer if available, as specified in clause 7.7 of TS 38.213 [6].

6> obtain the value for the corresponding PCMAX,f,c field from the physical layer.

4> else (i.e. if this MAC entity is not configured with *phr-AssumedPUSCH-Reporting*):

5> if this MAC entity is configured with *twoPHRMode* and if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

6> obtain two values for the corresponding PCMAX,f,c,k fields from the physical layer.

6> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

7> obtain two values for the corresponding MPEk fields from the physical layer.

5> else:

6> if this MAC entity has UL resources allocated for transmission on this Serving Cell; or

6> if the other MAC entity, if configured, has UL resources allocated for transmission on this Serving Cell and *phr-ModeOtherCG* is set to *real* by upper layers:

7> obtain the value for the corresponding PCMAX,f,c field from the physical layer.

7> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

8> obtain the value for the corresponding MPE field from the physical layer.

7> if *mpe-Reporting-FR2-r17* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

8> obtain the value for the corresponding MPEi field from the physical layer;

8> obtain the value for the corresponding Resourcei field from the physical layer.

7> if *dpc-Reporting-FR1* is configured and ΔPPowerClass /ΔPPowerClass, CA/ΔPPowerClass, EN-DC/ΔPPowerClass, NR-DC reporting is triggered and this Serving Cell operates on FR1 and this Serving Cell is associated to this MAC entity:

8> obtain the value for the corresponding DPC field(s) from the physical layer.

3> if *phr-Type2OtherCell* with value *true* is configured:

4> if the other MAC entity is E-UTRA MAC entity:

5> obtain the value of the Type 2 power headroom for the SpCell of the other MAC entity (i.e. E-UTRA MAC entity);

5> if *phr-ModeOtherCG* is set to *real* by upper layers:

6> obtain the value for the corresponding PCMAX,f,c field for the SpCell of the other MAC entity (i.e. E-UTRA MAC entity) from the physical layer.

3> if this MAC entity is configured with *mpe-Reporting-FR2-r17:*

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Multiple entry PHR as defined in clause 6.1.3.49 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *twoPHRMode* and any associated Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Multiple Entry PHR for multiple TRP STx2P MAC CE as defined in clause 6.1.3.YY based on the values reported by the physical layer.

3> else if this MAC entity is configured with *twoPHRMode* and any associated Serving Cell is configured with multiple TRP PUSCH repetition:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Multiple Entry PHR for multiple TRP MAC CE as defined in clause 6.1.3.51 based on the values reported by the physical layer.

3> else if this MAC entity is configured with *phr-AssumedPUSCH-Reporting*;

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Multiple Entry PHR with assumed PUSCH MAC CE as defined in clause 6.1.3.78 based on the values reported by the physical layer.

3> else:

4> instruct the Multiplexing and Assembly procedure to generate and transmit the Multiple Entry PHR MAC CE as defined in clause 6.1.3.9 based on the values reported by the physical layer.

2> else (i.e. Single Entry PHR format is used):

3> if this MAC entity is configured with *twoPHRMode* for multiple TRP PUSCH repetition or *multipanelSchemeSDM* or *multipanelSchemeSFN*:

4> obtain two values of the Type 1 power headroom from the physical layer for the corresponding uplink carrier of the PCell.

3> else:

4> obtain the value of the Type 1 power headroom from the physical layer for the corresponding uplink carrier of the PCell.

3> if this MAC entity is configured with *phr-AssumedPUSCH-Reporting*:

4> if *dynamicTransformPrecoderFieldPresenceDCI-0-1-r18* or *dynamicTransformPrecoderFieldPresenceDCI-0-2-r18* is set to *enabled* in the active BWP of this Serving Cell:

5> obtain the value for the corresponding PCMAX,f,c field for assumed PUSCH from the physical layer, if available, as specified in clause 7.7 of TS 38.213 [6].

3> if this MAC entity is configured with *twoPHRMode* and if this Serving Cell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN*:

4> obtain two values for the corresponding PCMAX,f,c,k fields from the physical layer.

4> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

5> obtain two values for the corresponding MPEk fields from the physical layer.

3> else:

4> obtain the value for the corresponding PCMAX,f,c field from the physical layer;

4> if *mpe-Reporting-FR2* is configured and this Serving Cell operates on FR2:

5> obtain the value for the corresponding MPE field from the physical layer.

4> if *mpe-Reporting-FR2-r17* is configured and this Serving Cell operates on FR2 and this Serving Cell is associated to this MAC entity:

5> obtain the value for the corresponding MPEi field from the physical layer;

5> obtain the value for the corresponding Resourcei field from the physical layer.

4> if *dpc-Reporting-FR1* is configured and this Serving Cell operates on FR1:

5> obtain the value for the corresponding DPC field from the physical layer.

3> instruct the Multiplexing and Assembly procedure to generate and transmit the Enhanced Single entry PHR as defined in clause 6.1.3.48 if this MAC entity is configured with *mpe-Reporting-FR2-r17* or the Enhanced Single Entry PHR for multiple TRP MAC CE as defined in clause 6.1.3.50 if this MAC entity is configured with *twoPHRMode* and the PCell is configured with multiple TRP PUSCH repetition or the Enhanced Single Entry PHR for multiple TRP STx2P MAC CE as defined in clause 6.1.3.XX if this MAC entity is configured with *twoPHRMode* and the PCell is configured with *multipanelSchemeSDM* or *multipanelSchemeSFN* or the Single Entry PHR with assumed PUSCH MAC CE as defined in clause 6.1.3.78 if this MAC entity is configured with *phr-AssumedPUSCH-Reporting* or the Single Entry PHR MAC CE as defined in clause 6.1.3.8 otherwise based on the values reported by the physical layer.

2> if this PHR report is an MPE P-MPR report:

3> start or restart the *mpe-ProhibitTimer*;

3> cancel triggered MPE P-MPR reporting for Serving Cells included in the PHR MAC CE.

2> start or restart *phr-PeriodicTimer*;

2> start or restart *phr-ProhibitTimer*;

2> cancel all triggered PHR(s).

All triggered PHRs shall be cancelled when there is an ongoing SDT procedure as in clause 5.27 and the UL grant(s) can accommodate all pending data available for transmission but is not sufficient to additionally accommodate the PHR MAC CE plus its subheader.

#### 6.1.3.47 Unified TCI States Activation/Deactivation MAC CE

The Unified TCI States Activation/Deactivation MAC CE is identified by a MAC subheader with eLCID as specified in Table 6.2.1-1b. It has a variable size consisting of following fields:

- CORESET Pool ID: This field indicates that the mapping between the activated TCI states and the codepoint of the DCI *Transmission Configuration Indication* set by field TCI state IDis specific to the *ControlResourceSetId* configured with CORESET Pool ID as specified in TS 38.331 [5]. This field set to 1 indicates that the TCI states are specified to CORESET pool ID equal to 1, otherwise the TCI states are specified to CORESET pool ID equal to 0. If no more than one value for the *coresetPoolIndex* is configured for any CORESET in the BWP, the R bit is present instead;

- Serving Cell ID: This field indicates the identity of the Serving Cell for which the MAC CE applies. The length of the field is 5 bits. If the indicated Serving Cell is configured as part of a *simultaneousU-TCI-UpdateList1*, *simultaneousU-TCI-UpdateList2*, *simultaneousU-TCI-UpdateList3* or *simultaneousU-TCI-UpdateList4* as specified in TS 38.331 [5], this MAC CE applies to all theServing Cells in the set *simultaneousU-TCI-UpdateList1*, *simultaneousU-TCI-UpdateList2*, *simultaneousU-TCI-UpdateList3* or *simultaneousU-TCI-UpdateList4*, respectively;

- DL BWP ID: This field indicates a DL BWP for which the MAC CE applies as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9]. The length of the BWP ID field is 2 bits;

- UL BWP ID: This field indicates a UL BWP for which the MAC CE applies as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9]. If value of *unifiedTCI-StateType* in the Serving Cell indicated by Serving Cell IDis *joint*, this field is considered as the reserved bits. The length of the BWP ID field is 2 bits;

- Pi: This field indicates whether each TCI codepoint has multiple TCI states or single TCI state. If Pi field is set to 1, it indicates that ith TCI codepoint includes the DL TCI state and the UL TCI state. If Pi field is set to 0, it indicates that ith TCI codepoint includes only the DL/joint TCI state or the UL TCI state. The codepoint to which a TCI state is mapped is determined by its ordinal position among all the TCI state ID fields;

- D/U: This field indicate whether the TCI state ID in the same octet is for joint/downlink or uplink TCI state. If this field is set to 1, the TCI state ID in the same octet is for joint/downlink. If this field is set to 0, the TCI state ID in the same octet is for uplink;

- TCI state ID: This field indicates the TCI state identified by *TCI-StateId* as specified in TS 38.331 [5]. If D/U is set to 1, 7-bits length TCI state ID i.e. *TCI-StateId* as specified in TS 38.331 [5] is used. If D/U is set to 0, the most significant bit of TCI state ID is considered as the reserved bit and remainder 6 bits indicate the *TCI-UL-State-Id* as specified in TS 38.331 [5]. The maximum number of activated TCI states is 16;

- R: Reserved bit, set to 0.



Figure 6.1.3.47-1: Unified TCI state activation/deactivation MAC CE

#### 6.1.3.71 Enhanced Unified TCI States Activation/Deactivation MAC CE for Separate TCI States

The Enhanced Unified TCI States Activation/Deactivation MAC CE CE for Separate TCI States is identified by a MAC subheader with eLCID as specified in Table 6.2.1-1b. It has a variable size consisting of following fields:

- Serving Cell ID: This field indicates the identity of the Serving Cell for which the MAC CE applies. The length of the field is 5 bits. If the indicated Serving Cell is configured as part of a *simultaneousU-TCI-UpdateList1*, *simultaneousU-TCI-UpdateList2*, *simultaneousU-TCI-UpdateList3* or *simultaneousU-TCI-UpdateList4* as specified in TS 38.331 [5], this MAC CE applies to all theServing Cells in the set *simultaneousU-TCI-UpdateList1*, *simultaneousU-TCI-UpdateList2*, *simultaneousU-TCI-UpdateList3* or *simultaneousU-TCI-UpdateList4*, respectively;

- DL BWP ID: This field indicates a DL BWP for which the MAC CE applies as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9]. The length of the BWP ID field is 2 bits;

- UL BWP ID: This field indicates a UL BWP for which the MAC CE applies as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9]. The length of the BWP ID field is 2 bits;

- Fi,j: This field indicates for the TCI state ID fields associated with the codepoint i of the DCI *Transmission Configuration Indication* field whether the j-th DL TCI state is present or not, where j=1, 2. If Fi,j field is set to 1, it indicates the j-th DL TCI state for codepoint i is present. If Fi,j field is set to 0, it indicates the j-th DL TCI state for codepoint i is absent;

- Si,j: This field indicates for the TCI state ID fields associated with the codepoint i of the DCI *Transmission Configuration Indication* field whether the j-th UL TCI state is present or not, where j=1, 2. If Si,j field is set to 1, it indicates the j-th UL TCI state for codepoint i is present. If Si,j field is set to 0, it indicates the j-th UL TCI state for codepoint i is absent;

- TCI state ID: This field indicates the TCI state identified by *TCI-StateId* as specified in TS 38.331 [5]. If the indicated TCI state ID is DL TCI state, 7-bits length TCI state ID, i.e. *TCI-StateId*, as specified in TS 38.331 [5] is used. If the indicated TCI state ID is UL TCI state, the most significant bit of TCI state ID is considered as the reserved bit and remainder 6 bits indicate the *TCI-UL-State-Id* as specified in TS 38.331 [5]. TCI state IDs are in the order of indication of Fi,j and Si,j fields. The codepoint to which a TCI state is mapped is determined by its ordinal position among all the TCI state ID fields. The maximum number of activated TCI states is 32;

- R: Reserved bit, set to 0.



Figure 6.1.3.71-1: Enhanced TCI state activation/deactivation MAC CE for Separate TCI States

6.1.3.XX Enhanced Single Entry PHR for multiple TRP STx2P MAC CE

The Enhanced Single Entry PHR for multiple TRP STx2P MAC CE is identified by a MAC subheader with eLCID as specified in Table 6.2.1-2b.

The two PHs together with two PCMAX,f,c,k for the Serving Cell are reported if UE is configured with *twoPHRMode* and *multipanelScheme*.

It has a fixed size and consists of four octets defined as follows (Figure 6.1.3.XX-1):

- R: Reserved bit, set to 0;

- Power Headroom k (PH k): This field indicates the power headroom level, where PH 1 is associated with the *SRS-ResourceSet* with a lower *srs-ResourceSetId* and PH 2 is associated with the SRS-ResourceSet with a higher *srs-ResourceSetId*. PH fields for a Serving Cell are included in ascending order based on k. The length of the field is 6 bits. The reported PH and the corresponding power headroom levels are shown in Table 6.1.3.8-1 (the corresponding measured values in dB are specified in TS 38.133 [11]);

- Pk: If *mpe-Reporting-FR2* is configured and the Serving Cell operates on FR2, the MAC entity shall set this field to 0 if the applied P-MPR value associated with PCMAX,f,c,k, to meet MPE requirements, as specified in TS 38.101-2 [15], is less than P-MPR\_00 as specified in TS 38.133 [11] and to 1 otherwise. If *mpe-Reporting-FR2* is not configured or the Serving Cell operates on FR1, this field indicates whether power backoff is applied due to power management (as allowed by P-MPRc as specified in TS 38.101-1 [14], TS 38.101-2 [15], and TS 38.101-3 [16]). The MAC entity shall set the Pk field to 1 if the corresponding PCMAX,f,c,k field would have had a different value if no power backoff due to power management had been applied;

- Vk: This field indicates if the PH value for the corresponding TRP is based on a real transmission or a reference format for PH k. For Type 1 PH, the Vk field set to 0 indicates real transmission on PUSCH and the Vk field set to 1 indicates that a PUSCH reference format is used;

- PCMAX,f,c,k: This field indicates the configured transmitted power PCMAX,f,c,k (as specified in TS 38.213 [6]) used for calculation of the preceding PH k field. The reported PCMAX,f,c,k and the corresponding nominal UE transmit power levels are shown in Table 6.1.3.8-2 (the corresponding measured values in dBm are specified in TS 38.133 [11]);

- MPEk: If *mpe-Reporting-FR2* is configured, and the Serving Cell operates on FR2, and if the Pk field is set to 1, this field indicates the applied power backoff to meet MPE requirements, as specified in TS 38.101-2 [15]. This field indicates an index to Table 6.1.3.8-3 and the corresponding measured values of P-MPR levels in dB are specified in TS 38.133 [11]. The length of the field is 2 bits. If *mpe-Reporting-FR2* is not configured, or if the Serving Cell operates on FR1, or if the Pk field is set to 0, R bits are present instead.



**Figure 6.1.3.XX-1: Enhanced Single Entry PHR for multiple TRP STx2P MAC CE**

6.1.3.YY Enhanced Multiple Entry PHR for multiple TRP STx2P MAC CE

The Enhanced Multiple Entry PHR for multiple TRP STx2P MAC CE is identified by a MAC subheader with eLCID as specified in Table 6.2.1-2b.

It has a variable size, and includes the bitmaps, a Type 2 PH field and an octet containing the associated PCMAX,f,c field (if reported) for SpCell of the other MAC entity, a Type 1 PH field and an octet containing the associated PCMAX,f,c,k field (if reported) for the PCell. It further includes, in ascending order based on the *ServCellIndex*, one or multiple of Type 1 PH fields and octets containing the associated PCMAX,f,c,k fields (if reported) for Serving Cells other than PCell indicated in the bitmap for indicating the presence of PH(s).

The presence of Type 2 PH field for SpCell of the other MAC entity is configured by *phr-Type2OtherCell* with value *true*.

A single octet bitmap is used for indicating the presence of PH(s) per Serving Cell when the highest *ServCellIndex* of Serving Cell with configured uplink is less than 8, otherwise four octets are used.

The MAC entity determines whether PH value for an activated Serving Cell is based on real transmission or a reference format by considering the configured grant(s) and downlink control information which has been received until and including the PDCCH occasion in which the first UL grant for a new transmission that can accommodate the MAC CE for PHR as a result of LCP as defined in clause 5.4.3.1 is received since a PHR has been triggered if the PHR MAC CE is reported on an uplink grant received on the PDCCH or until the first uplink symbol of PUSCH transmission minus PUSCH preparation time as defined in clause 7.7 of TS 38.213 [6] if the PHR MAC CE is reported on a configured grant.

For a band combination in which the UE does not support dynamic power sharing, the UE may omit the octets containing Power Headroom field and PCMAX,f,c,k field for Serving Cells in the other MAC entity except for the PCell in the other MAC entity and the reported values of Power Headroom and PCMAX,f,c for the PCell are up to UE implementation.

The two PHs together with two PCMAX,f,c,k for the Serving Cell configured with *multipanelScheme* are reported if the MAC entity is configured with *twoPHRMode*.

The Enhanced Multiple Entry PHR for multiple TRP STx2P MAC CEs are defined as follows:

- Ci: This field indicates the presence of PH field(s) for the Serving Cell with *ServCellIndex* i as specified in TS 38.331 [5]. The Ci field set to 1 indicates that PH field(s) for the Serving Cell with *ServCellIndex* i is reported. The Ci field set to 0 indicates that a PH field for the Serving Cell with *ServCellIndex* i is not reported;

- R: Reserved bit, set to 0;

- Vk: This field indicates if the PH k value is based on a real transmission or a reference format. For Type 1 PH, the Vk field set to 0 indicates real transmission on PUSCH and the Vk field set to 1 indicates that a PUSCH reference format is used. For Type 2 PH, the Vk field set to 0 indicates real transmission on PUCCH and the Vk field set to 1 indicates that a PUCCH reference format is used;

- Power Headroom k (PH k): This field indicates the power headroom level, where PH 1 is associated with the *SRS-ResourceSet* with a lower *srs-ResourceSetId* and PH 2 is associated with the SRS-ResourceSet with a higher *srs-ResourceSetId*. PH fields for a Serving Cell are included in ascending order based on k. The length of the field is 6 bits. The reported PH and the corresponding power headroom levels are shown in Table 6.1.3.8-1 (the corresponding measured values in dB for the NR Serving Cell are specified in TS 38.133 [11] while the corresponding measured values in dB for the E-UTRA Serving Cell are specified in TS 36.133 [12]);

- Pk: If *mpe-Reporting-FR2* is configured and the Serving Cell operates on FR2, the MAC entity shall set this field to 0 if the applied P-MPR value associated with PCMAX,f,c,k, to meet MPE requirements, as specified in TS 38.101-2 [15], is less than P-MPR\_00 as specified in TS 38.133 [11] and to 1 otherwise. If *mpe-Reporting-FR2* is not configured or the Serving Cell operates on FR1, this field indicates whether power backoff is applied due to power management (as allowed by P-MPRc as specified in TS 38.101-1 [14], TS 38.101-2 [15], and TS 38.101-3 [16]). The MAC entity shall set the Pk field to 1 if the corresponding PCMAX,f,c,k field would have had a different value if no power backoff due to power management had been applied;

- PCMAX,f,c,k: If present, this field indicates the configured transmitted power PCMAX,f,c,k (as specified in TS 38.213 [6]) for the NR Serving Cell and the PCMAX,c or P̃CMAX,c (as specified in TS 36.213 [17]) for the E-UTRA Serving Cell used for calculation of the preceding PH k field. The reported PCMAX,f,c,k and the corresponding nominal UE transmit power levels are shown in Table 6.1.3.8-2 (the corresponding measured values in dBm for the NR Serving Cell are specified in TS 38.133 [11] while the corresponding measured values in dBm for the E-UTRA Serving Cell are specified in TS 36.133 [12]);

- MPEk: If *mpe-Reporting-FR2* is configured, and the Serving Cell operates on FR2, and if the Pk field is set to 1, this field indicates the applied power backoff to meet MPE requirements, as specified in TS 38.101-2 [15]. This field indicates an index to Table 6.1.3.8-3 and the corresponding measured values of P-MPR levels in dB are specified in TS 38.133 [11]. The length of the field is 2 bits. If *mpe-Reporting-FR2* is not configured, or if the Serving Cell operates on FR1, or if the Pk field is set to 0, R bits are present instead.



**Figure 6.1.3.YY-1: Enhanced Multiple Entry PHR for multiple TRP STx2P MAC CE with the highest ServCellIndex of Serving Cell with configured uplink is less than 8**



**Figure 6.1.3.YY-2: Enhanced Multiple Entry PHR for multiple TRP STx2P MAC CE with the highest ServCellIndex of Serving Cell with configured uplink is equal to or higher than 8**

### 6.2.1 MAC subheader for DL-SCH and UL-SCH

The MAC subheader consists of the following fields:

- LCID: The Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE or padding as described in Tables 6.2.1-1 and 6.2.1-1c for the DL-SCH and Tables 6.2.1-2 and 6.2.1-2c for the UL-SCH. There is one LCID field per MAC subheader. The size of the LCID field is 6 bits. If the LCID field is set to 34 as in Table 6.2.1-1 or 6.2.1-2, one additional octet is present in the MAC subheader containing the eLCID field and follow the octet containing LCID field. If the LCID field is set to 33 as in Table 6.2.1-1 or 6.2.1-2, two additional octets are present in the MAC subheader containing the eLCID field and these two additional octets follow the octet containing LCID field;

NOTE 1: For MBS broadcast, a logical channel is identified based on G-RNTI and LCID if the same LCID is allocated for logical channels corresponding to different G-RNTIs.

- eLCID: The extended Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE as described in tables 6.2.1-1a, 6.2.1-1b, 6.2.1-2a and 6.2.1-2b for the DL-SCH and UL-SCH respectively. The size of the eLCID field is either 8 bits or 16 bits.

NOTE 2: The extended Logical Channel ID space using two-octet eLCID and the relevant MAC subheader format is used, only when configured, on the NR backhaul links between IAB nodes or between IAB node and IAB Donor, or for multicast MTCHs.

- L: The Length field indicates the length of the corresponding MAC SDU or variable-sized MAC CE in bytes. There is one L field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the L field is indicated by the F field;

- F: The Format field indicates the size of the Length field. There is one F field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the F field is 1 bit. The value 0 indicates 8 bits of the Length field. The value 1 indicates 16 bits of the Length field;

- LX: The LCID extension field indicates the use of extended LCID space. The size of the LX field is 1 bit. The LX field set to 1 indicates the use of Table 6.2.1-2c, otherwise R bit is present instead (i.e. set to 0);

- R: Reserved bit, set to 0.

The MAC subheader is octet aligned.

Table 6.2.1-1: Values of LCID for DL-SCH

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | CCCH |
| 1–32 | Identity of the logical channel of DCCH, DTCH and multicast MTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35–46 | Reserved |
| 47 | Recommended bit rate |
| 48 | SP ZP CSI-RS Resource Set Activation/Deactivation |
| 49 | PUCCH spatial relation Activation/Deactivation |
| 50 | SP SRS Activation/Deactivation |
| 51 | SP CSI reporting on PUCCH Activation/Deactivation |
| 52 | TCI State Indication for UE-specific PDCCH |
| 53 | TCI States Activation/Deactivation for UE-specific PDSCH |
| 54 | Aperiodic CSI Trigger State Subselection |
| 55 | SP CSI-RS/CSI-IM Resource Set Activation/Deactivation |
| 56 | Duplication Activation/Deactivation |
| 57 | SCell Activation/Deactivation (four octets) |
| 58 | SCell Activation/Deactivation (one octet) |
| 59 | Long DRX Command |
| 60 | DRX Command |
| 61 | Timing Advance Command |
| 62 | UE Contention Resolution Identity |
| 63 | Padding |

Table 6.2.1-1a: Values of two-octet eLCID for DL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

Table 6.2.1-1b: Values of one-octet eLCID for DL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to 216 | 64 to 280 | Reserved |
| 217 | 281 | Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE |
| 218 | 282 | Cross-RRH TCI State Indication for UE-specific PDCCH MAC CE |
| 219 | 283 | LTM Cell Switch Command |
| 220 | 284 | Candidate Cell TCI States Activation/Deactivation |
| 221 | 285 | PSI-Based SDU Discard Activation/Deactivation MAC CE |
| 222 | 286 | Enhanced Unified TCI state Activation/Deactivation MAC CE for Joint TCI States |
| 223 | 287 | Enhanced Unified TCI state Activation/Deactivation MAC CE for Separate TCI States |
| 224 | 288 | NCR Access Link Beam Indication MAC CE |
| 225 | 289 | NCR Downlink Backhaul Link Beam Indication MAC CE |
| 226 | 290 | NCR Uplink Backhaul Link Beam Indication MAC CE |
| 227 | 291 | Serving Cell Set based SRS TCI State Indication MAC CE |
| 228 | 292 | SP/AP SRS TCI State Indication MAC CE |
| 229 | 293 | BFD-RS Indication MAC CE |
| 230 | 294 | Differential Koffset |
| 231 | 295 | Enhanced SCell Activation/Deactivation MAC CE with one octet Ci field |
| 232 | 296 | Enhanced SCell Activation/Deactivation MAC CE with four octet Ci field |
| 233 | 297 | Unified TCI States Activation/Deactivation MAC CE |
| 234 | 298 | PUCCH Power Control Set Update for multiple TRP PUCCH repetition MAC CE |
| 235 | 299 | PUCCH spatial relation Activation/Deactivation for multiple TRP PUCCH repetition MAC CE |
| 236 | 300 | Enhanced TCI States Indication for UE-specific PDCCH |
| 237 | 301 | Positioning Measurement Gap Activation/Deactivation Command |
| 238 | 302 | PPW Activation/Deactivation Command |
| 239 | 303 | DL Tx Power Adjustment |
| 240 | 304 | Timing Case Indication |
| 241 | 305 | Child IAB-DU Restricted Beam Indication |
| 242 | 306 | Case-7 Timing advance offset |
| 243 | 307 | Provided Guard Symbols for Case-6 timing |
| 244 | 308 | Provided Guard Symbols for Case-7 timing |
| 245 | 309 | Serving Cell Set based SRS Spatial Relation Indication |
| 246 | 310 | PUSCH Pathloss Reference RS Update |
| 247 | 311 | SRS Pathloss Reference RS Update |
| 248 | 312 | Enhanced SP/AP SRS Spatial Relation Indication |
| 249 | 313 | Enhanced PUCCH Spatial Relation Activation/Deactivation |
| 250 | 314 | Enhanced TCI States Activation/Deactivation for UE-specific PDSCH |
| 251 | 315 | Duplication RLC Activation/Deactivation |
| 252 | 316 | Absolute Timing Advance Command |
| 253 | 317 | SP Positioning SRS Activation/Deactivation |
| 254 | 318 | Provided Guard Symbols |
| 255 | 319 | Timing Delta |

Table 6.2.1-1c: Values of LCID for MBS multicast MCCH and MBS broadcast on DL-SCH

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | Broadcast MCCH or multicast MCCH |
| 1–32 | Identity of the logical channel of broadcast MTCH |
| 33–63 | Reserved |

Table 6.2.1-2: Values of LCID for UL-SCH when the LX field is not present or is set to 0

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | CCCH of size 64 bits, except for an (e)RedCap UE |
| 1–32 | Identity of the logical channel of DCCH and DTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35 | CCCH of size 48 bits for a RedCap UE |
| 36 | CCCH of size 64 bits for a RedCap UE |
| 37 | SL LBT failure |
| 38–42 | Reserved |
| 43 | Truncated Enhanced BFR (one octet Ci) |
| 44 | Timing Advance Report |
| 45 | Truncated Sidelink BSR |
| 46 | Sidelink BSR |
| 47 | Reserved |
| 48 | LBT failure (four octets) |
| 49 | LBT failure (one octet) |
| 50 | BFR (one octet Ci) |
| 51 | Truncated BFR (one octet Ci) |
| 52 | CCCH of size 48 bits, except for an (e)RedCap UE |
| 53 | Recommended bit rate query |
| 54 | Multiple Entry PHR (four octets Ci) |
| 55 | Configured Grant Confirmation |
| 56 | Multiple Entry PHR (one octet Ci) |
| 57 | Single Entry PHR |
| 58 | C-RNTI |
| 59 | Short Truncated BSR |
| 60 | Long Truncated BSR |
| 61 | Short BSR |
| 62 | Long BSR |
| 63 | Padding |
| NOTE: CCCH of size 48 bits and CCCH of size 64 bits are referred to as CCCH and CCCH1, respectively, in TS 38.331 [5]. | |

Table 6.2.1-2a: Values of two-octet eLCID for UL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

Table 6.2.1-2b: Values of one-octet eLCID for UL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to 219 | 64 to 283 | Reserved |
| 220 | 284 | Enhanced Multiple Entry PHR for multiple TRP STx2P (four octets Ci) |
| 221 | 285 | Enhanced Multiple Entry PHR for multiple TRP STx2P (one octets Ci) |
| 222 | 286 | Enhanced Single Entry PHR for multiple TRP STx2P |
| 223 | 287 | Multiple Entry PHR with assumed PUSCH MAC CE (four octets Ci) |
| 224 | 288 | Multiple Entry PHR with assumed PUSCH MAC CE (one octets Ci) |
| 225 | 289 | Single Entry PHR with assumed PUSCH MAC CE |
| 226 | 290 | SL-PRS Resource Request |
| 227 | 291 | Refined Long BSR |
| 228 | 292 | Delay Status Report |
| 229 | 293 | Enhanced Multiple Entry PHR for multiple TRP (four octets Ci) |
| 230 | 294 | Enhanced Multiple Entry PHR for multiple TRP (one octets Ci) |
| 231 | 295 | Enhanced Single Entry PHR for multiple TRP |
| 232 | 296 | Enhanced Multiple Entry PHR (four octets Ci) |
| 233 | 297 | Enhanced Multiple Entry PHR (one octets Ci) |
| 234 | 298 | Enhanced Single Entry PHR |
| 235 | 299 | Enhanced BFR (one octet Ci) |
| 236 | 300 | Enhanced BFR (four octet Ci) |
| 237 | 301 | Truncated Enhanced BFR (four octet Ci) |
| 238 | 302 | Positioning Measurement Gap Activation/Deactivation Request |
| 239 | 303 | IAB-MT Recommended Beam Indication |
| 240 | 304 | Desired IAB-MT PSD range |
| 241 | 305 | Desired DL Tx Power Adjustment |
| 242 | 306 | Case-6 Timing Request |
| 243 | 307 | Desired Guard Symbols for Case 6 timing |
| 244 | 308 | Desired Guard Symbols for Case 7 timing |
| 245 | 309 | Extended Short Truncated BSR |
| 246 | 310 | Extended Long Truncated BSR |
| 247 | 311 | Extended Short BSR |
| 248 | 312 | Extended Long BSR |
| 249 | 313 | Extended Pre-emptive BSR |
| 250 | 314 | BFR (four octets Ci) |
| 251 | 315 | Truncated BFR (four octets Ci) |
| 252 | 316 | Multiple Entry Configured Grant Confirmation |
| 253 | 317 | Sidelink Configured Grant Confirmation |
| 254 | 318 | Desired Guard Symbols |
| 255 | 319 | Pre-emptive BSR |

Table 6.2.1-2c: Values of LCID for UL-SCH when the LX field is set to 1

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 | (216 + 320) | CCCH of size 48 bits for an eRedCap UE |
| 1 | (216 + 321) | CCCH of size 64 bits for an eRedCap UE |
| 2 | (216 + 322) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK, except for an (e)RedCap UE |
| 3 | (216 + 323) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK, except for an (e)RedCap UE |
| 4 | (216 + 324) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK of a RedCap UE |
| 5 | (216 + 325) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK of a RedCap UE |
| 6 | (216 + 326) | CCCH of size 48 bits for PUCCH repetition of Msg4 HARQ-ACK of an eRedCap UE |
| 7 | (216 + 327) | CCCH of size 64 bits for PUCCH repetition of Msg4 HARQ-ACK of an eRedCap UE |
| 8 to 63 | (216 + 328) to (216 + 383) | Reserved |
| NOTE 1: The MAC entity may use the code point corresponding to a given feature or feature combination in Table 6.2.1-2c only if network indicates support for the corresponding feature or feature combination.  NOTE 2: CCCH of size 48 bits and CCCH of size 64 bits are referred to as CCCH and CCCH1, respectively, in TS 38.331 [5]. | | |