**3GPP TSG-RAN WG2 Meeting #124** **R2-230xxxx**

**Chicago, U.S.A, 13th-17th November, 2023**

**Agenda item: 7.3.1**

**Source: InterDigital (Rapporteur)**

**Title: Report of [Post123bis][022][NES] 38.321 Running CR (Interdigital)**

**Document for: Discussion and Decision**

# Introduction

This document collects the comments received during the following email discussion on the draft MAC CR for NES as well as remaining open issues:

* [POST123bis][022][NES] 38.321 Running CR (Interdigital)

Scope:

- Review running CR

- Identify open issues

- Get inputs for subset of open issues (focus on more detailed open issues that would help with CR finalisation.

 Deadline: long

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# Discussion on TS 38.321 running CR

Companies can provide comments and suggestions to the uploaded running CR in this table. Please do not add changes, suggestions, or comments directly to the draft CR document.

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| Company + Issue Number (e.g., ID001) | Issue | Comments and proposed changes |
| A001 | Some IE name inconsistency between RRC parameter list (e.g. *celldtxdrx-Cycle)* and procedure text (e.g. “- *celldtx-onDurationTimer* is running for the associated Serving Cell.”The issue happens in both 5.x.1 and 5.x.2. | [Rapporteur]: corrected in v01. Thanks |
| A002 | Followed by A001, maybe we can optimize the spec structure by having a separate sub-section on “general” including common RRC parameter list and 1st paragraph of 5.x.1 (i.e. general description on Cell DTX) and 5.x.2 (general description on Cell DRX).  | [Rapporteur]: suggestion adopted in v01. |
| Xiaomi-001 | Legacy SP CSI reporting on PUCCH Activation/Deactivation MAC CE reception issue. | it is not clear whether the legacy SP CSI reporting on PUCCH Activation/Deactivation MAC CE is also received or not. If no, a note is needed to say, e.g., the UE does not expect to receive SP CSI reporting on PUCCH Activation/Deactivation MAC CE reception if at least one CSI report is configured with sub-configuration for the concerned serving cell id and BWP ID. [Rapporteur]: the following editor’s note is added in v02:Editor’s note: whether legacy MAC CE for SP CSI reporting on PUCCH Activation/Deactivation can be received when at least one CSI report is configured with *csi-ReportSubConfigList* for the concerned serving cell id and BWP ID |
| O\_001 | In 5.x.2, the description of “cellDTXDRXactivationStatus is set to deactivated” is missing. We may capture this case to make the UE behaviour clear.- configuring *CellDTXDRX-Config* by upper layers: if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DTX operation is activated; if *CellDTXDRX-Config* is released, cell DTX operation is deactivated and all the corresponding configurations are released. | - configuring *CellDTXDRX-Config* by upper layers: if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DTX operation is activated; if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *deactivated*, cell DTX operation is deactivated; if *CellDTXDRX-Config* is released, cell DTX operation is deactivated and all the corresponding configurations are released.[Rapporteur]: suggestion adopted in v02, with the addition of “upon cell DTX configuration” in the end to follow the same style as Scell (de)-activation and in order not to confuse it with dynamic deactivation by RRC. Per the R2 agreement “Introduce explicit activation/ deactivation in RRC once DTX/DRX is configured (i.e. not for dynamic activation/ deactivation)”  |
| O\_002 | In 5.x.3, the description of “cellDTXDRXactivationStatus is set to deactivated” is missing. We may capture this case to make the UE behaviour clear.- configuring *CellDTXDRX-Config* by upper layers: if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DRX operation is activated; if *CellDTXDRX-Config* isreleased, cell DRX operation is deactivated and all the corresponding configurations are released. | - configuring *CellDTXDRX-Config* by upper layers: if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DRX operation is activated; if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *deactivated*, cell DRX operation is deactivated; if *CellDTXDRX-Config* isreleased, cell DRX operation is deactivated and all the corresponding configurations are released.[Rapporteur]: Suggestion adopted in v02, per the comment on O-001. |
| FJ001 | In 5.x.1, for parameters, cell DRX is also considered. | - *celldtxdrx-onDurationTimer*: the active duration at the beginning of a cell DTX/DRX cycle;- *celldtxdrx-StartOffset*: defines the subframe where the cell DTX/DRX cycle starts;- *celldtxdrx-SlotOffset*: the delay before starting the *celldtxdrx-onDurationTimer*; - *celldtxdrx-Cycle*: the cell DTX/DRX cycle period.[Rapporteur]: corrected in v02. Thanks |
| FJ002 | In 5.x.2, Typo | 3> start *celldtxdrx-onDurationTimer …*[Rapporteur]: corrected in v02. Thanks |
| HW001 | In this part:- configuring *CellDTXDRX-Config* by upper layers: if cell DTX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DTX operation is activated; if *CellDTXDRX-Config* is released, cell DTX operation is deactivated and all the corresponding configurations are released.The spec can be more precise because we know that cell DTX is configured if *cellDTXDRXconfigType* is set to *dtx* or *dtxdrx*. | Change “cell DTX is configured” to *cellDTXDRXconfigType* is set to *dtx* or *dtxdrx*[Rapporteur]: I added the following sentence in the beginning of 5.x.2 “Cell DTX is configured if *cellDTXDRXconfigType* is set to *dtx* or *dtxdrx*”I’m trying to avoid repeating this every time “is configured” is mentioned in MAC clauses as it results in mixing of “or”s and “and”s in the same clause. |
| HW002 | Similar comment for cell DRX:- configuring *CellDTXDRX-Config* by upper layers: if cell DRX is configured and *cellDTXDRXactivationStatus* is set to *activated*, cell DRX operation is activated; if *CellDTXDRX-Config* isreleased, cell DRX operation is deactivated and all the corresponding configurations are released. | Change “cell DRX is configured” to *cellDTXDRXconfigType* is set to *drx* or *dtxdrx*[Rapporteur]: I added the following sentence in the beginning of 5.x.3 “Cell DRX is configured if *cellDTXDRXconfigType* is set to *drx* or *dtxdrx*”I’m trying to avoid repeating this every time “is configured” is mentioned in MAC clauses as it results in mixing of “or”s and “and”s in the same clause. |
| M001 | Now the new DCI 2-9 will be used for following purposes during C-DRX operation in serving cell:* + - 1. (De-)Activation of cell DTX/DRX
			2. Notification of entering NES mode

From the leading WG standpoint, RAN2 should specify a baseline UE behaviour on PDCCH monitoring for the new DCI. We think the baseline UE requirement is that NES-RNTI should be monitored at least during Active Time of UE C-DRX. The general description of section 5.7 should be further amended. | 5.7 Discontinuous Reception (DRX)The MAC entity may be configured by RRC with a DRX functionality that controls the UE's PDCCH monitoring activity for the MAC entity's C-RNTI, CI-RNTI, CS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, AI-RNTI, SL-RNTI, SL-CS-RNTI ~~and~~ SL Semi-Persistent Scheduling V-RNTI and NES-RNTI. When using DRX operation, the MAC entity shall also monitor PDCCH according to requirements found in other clauses of this specification. When in RRC\_CONNECTED, if DRX is configured, for all the activated Serving Cells, the MAC entity may monitor the PDCCH discontinuously using the DRX operation specified in this clause; otherwise the MAC entity shall monitor the PDCCH as specified in TS 38.213 [6]. |
| S001 | 5.x.2Three paragraphs starts with the same condition:For each Serving Cell configured with cell DTX, the MAC entity shall:1> if cell DTX is activated for this Serving Cell:2> if [(SFN × 10) + subframe number] modulo (*celldtxdrx-Cycle*) = (*celldtxdrx-StartOffset*):…For each Serving Cell configured with cell DTX, the MAC entity may:1> if cell DTX is activated and the Serving Cell is not in the cell DTX Active Period:…For each Serving Cell configured with cell DTX, the MAC entity shall:1> if cell DTX operation is deactivated for this Serving Cell; or … | All three paragraphs can be merged into one.For each Serving Cell configured with cell DTX, the MAC entity shall:1> if cell DTX is activated for this Serving Cell:2> if [(SFN × 10) + subframe number] modulo (*celldtxdrx-Cycle*) = (*celldtxdrx-StartOffset*):…~~For each Serving Cell configured with cell DTX, the MAC entity may:~~1> if cell DTX is activated and the Serving Cell is not in the cell DTX Active Period:…~~For each Serving Cell configured with cell DTX, the MAC entity shall:~~1> if cell DTX operation is deactivated for this Serving Cell; or … |
| S002 | 5.x.2Clarification of SPS’ associated cell is needed. Only for the non-active cell, SPS reception is not performed.2> not instruct the physical layer to receive transport block on the DL-SCH according to any configured downlink assignment for SPS; | 2> not instruct the physical layer to receive transport block on the DL-SCH of this service cell according to any configured downlink assignment for SPS; |
| S003 | 5.x.2For each Serving Cell configured with cell DTX, the MAC entity shall:1> if cell DTX operation is deactivated for this Serving Cell; or …2> monitor PDCCH on the Serving Cells in this DRX group, as specified in TS 38.213 [6] and other clauses of this specification.This should be ‘on this Serving Cell’ as in the beginning it is mentioned that “For each Serving Cell configured with cell DTX, the MAC entity shall” | Change “in this DRX group” to “on this Serving Cell” |
| S004 | 5.x.21> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group; or | It should be in the DRX group of this Serving Cell. |
| S005 | 5.x.2Separate condition of PDCCH monitoring1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group; or1> if *ra-ResponseWindow* (as described in clause 5.1.4), *ra-ContentionResolutionTimer* (as described in clause 5.1.5), or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or1> if a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5); or1. if a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a):

2> monitor PDCCH on the Serving Cells in this DRX group, as specified in TS 38.213 [6] and other clauses of this specification. | All these can be added in definition of cell DTX Active Period. |
| QC001 | DTX/DRX Active/Non-active period notations are used in the CR without definition. Suggest adding a simple definition and/or referring to 38.331. Suggested example here.  | * Cell DTX active period: The duration when the *celldtxdrx-onDurationTimer* is running when Cell DTX is configured.

Cell DRX active period: The duration when the *celldtxdrx-onDurationTimer* is running when Cell DRX is configured. |
| QC002 | Upon a RACH from the UE, The UE shall also monitor PDCCH, i.e., autonomously deactivate cell DTX/DRX configuration and override its behaviour. For example if a CONNECTED UE transmits RACH due to emergency call (if WA is confirmed) or due to BFR, and a cell DTX non-active period is ongoing, the current spec indicates the UE would actually not be monitoring PDCCH (or rather doesn’t list a case where the UE monitors PDCCH after RACH for a connected UE).  | * Prefer to make sure companies share this understanding before updating CR, but we can add something like this:

1> if any *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* (as described in clause 5.7) is running on any Serving Cell in the DRX group; or1> if *ra-ResponseWindow* (as described in clause 5.1.4), *ra-ContentionResolutionTimer* (as described in clause 5.1.5), or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or1> if a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5); or1> if a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a); or>1 Upon successful completion of the Random Access procedure (as described in clause 5.1.6):2> monitor PDCCH on the Serving Cells in this DRX group, as specified in TS 38.213 [6] and other clauses of this specification. |
| Xiaomi 2 | Due to introduction of the new MAC CE, the MAC CE handling text is needed in 5.18.x and the new MAC should also included in the MAC CE list in 5.18.1. |  |

# Remaining MAC open issues

* 1. CG bundled transmissions

The following MAC open issue was identified before R2#123bis:

**Issue 3:** **whether to allow CG bundle transmission if only a part of a bundle overlaps with cell DRX Active Period.**

In the draft running MAC CR [2], the UE doesn’t deliver the CG to the HARQ entity if the CG is not in the Active Period. For bundled CG transmissions, one possibility is that the UE transmits only a subset of the repetitions that do not overlap with non-active period, while another possibility is the UE transmits the repetition bundle only if the whole bundle falls within the active period. The draft running CR thus captures the following editor’s note:

Editor’s note: FFS whether to allow configured grant bundle transmission for the case that only a part of a bundle overlaps with cell DRX Active Period.

So far, the R2 agreement on not transmitting on CG during non-active period was general for all transmissions and did not differentiate between repetitions and non-repetitions. This issue was discussed online during RAN2 123bis without conclusion. The following options were outlined:

* **Option 1:** No special handling needed for repetition/bundling grant [4]:
	+ even if the first transmission is not within the Active Time, following repetitions could be also for initial transmission with certain RV patterns, as per already specified legacy behaviour. if the following repetitions cannot be used for initial transmission if no TB is obtained, it is already specified legacy behaviour as well.
	+ Nothing additional needs to be captured in TS 38.321, and the editor’s note is removed.
* **Option 2:** In case of CG bundle, the UE transmits CG-PUSCH only if all the CG occasions within a bundle completely overlaps with cell DRX Active Time [3].
* **Option 3:** UE performs the transmission within a bundle of the configured grant regardless the cell is in Cell Transmission ON or OFF duration [5].
* **Option 4:** leave it up to RAN1 to decide if anything is needed.

**Question 1: Which of the following options do you prefer?**

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| **Company**  | **Preferred Options** | **Comments** |
| Apple | Option 1 or Option 4 | 1. As discussion online, similar issue was discussed in Rel-16/Rel-17 in intra-UE prioritization. And the UE behavior was captured in RAN1 spec (Section 6.1.2.1 of TS 38.214). We assume similar behavior can be reused for Cell DRX, but it should be RAN1 decision as similar case was captured in RAN1 spec. Thus, we think nothing needs to be captured in TS 38.321.2. In RAN1#114b, RAN1 also discussed this issue in offline although no conclusion was made. |
| Xiaomi  | Option 4 | It is RAN1 issue because it will impact the performance. |
| Fraunhofer | Option 4 | In our view this should be left for RAN1 discussion |
| OPPO | Option 1 or 4 | If partial repetitions associated with RV=0 do not fall into non-active, such repetition can be decoded successfully. If not, we can follow the legacy way, i.e. leave the issue to the gNB implementation. Thus, there is no need to capture anything special at least in the RAN2 spec. But if the majority prefers Option 4, we are also fine. |
| NEC | Option 4 | RAN1#114bis meeting discussed the case (details can be found in R1-2310454 Proposal #23-2), however the conclusion was “not agreeable in current form”. To avoid the duplicated discussion, we prefer to leave it up to RAN1 and wait for more RAN1 progress. |
| Fujitsu | Option 4 or Option 1 | Wait for RAN1 discussion. But even if RAN1 cannot reach any consensus, we think no special handling is needed. |
| Huawei | Option 1/4 | No need to capture such case in RAN2. No issue foreseen when CG bundle transmission is allowed if only a part of a bundle overlaps with cell DRX Active Period. No special handling from RAN2 perspective is needed, can be left for RAN1. |
| vivo | Option 1 or 4 | The bundle can be decoded as long as the repetition with RV = 0 falls within the cell DRX active time. It is up to the NW implementation to avoid the opposite case.  |
| MediaTek | Option 1 or 4 | Prefer to follow the legacy way in RAN2 territory and wait for RAN1 decision if any. |
| Samsung | Option 2 (current MAC CR) or Option 4 | We think RAN2 should have a common understanding on the current MAC modelling and running CR description. We think Option 2 is what the current MAC CR captures.The current modelling of CG delivery in TS 38.321 is that all CG occasions within a bundle are delivered to the HARQ entity at the same time. For every CG delivery, NDI is toggled but this NDI toggling is only for the first CG resource.

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| TS 38.321: subclause 5.4.1For each Serving Cell and each configured uplink grant, if configured and activated, the MAC entity shall:(omitted)1> if the MAC entity is not configured with *lch-basedPrioritization*, and the PUSCH duration of the configured uplink grant does not overlap with the PUSCH duration of an uplink grant received on the PDCCH or in a Random Access Response or the PUSCH duration of a MSGA payload for this Serving Cell:(omitted…)4> consider the NDI bit for the corresponding HARQ process to have been toggled;4> deliver the configured uplink grant and the associated HARQ information to the HARQ entity. |

Thus, the interpretation of “deliver the configured uplink grant…” is per bundle. The current behaviour is that if one of CG bundle overlaps with DL-SCH, then the whole CG bundle is not used at all. A similar issue exists for Cell DRX.

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| MAC running CR: subclause 5.x.21> if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period:2> not instruct the physical layer to signal the SR on a PUCCH resource for SR;2> not increment the SR counter for a pending SR;2> not start the *sr-ProhibitTimer* for a pending SR;2> not deliver any configured uplink grant and the associated HARQ information to the HARQ entity; |

The delivery of CG resource here means “all CG resources within a bundle”, since legacy text assumes it. Thus, literally speaking, the current running CR implies Option 2.If companies leave it up to RAN1, we are fine with this. But it seems true that MAC specification should capture something to make it work. Option 1 does not work. |
| Sharp | Option 1 or 4 | No special handling in RAN2 spec or wait for RAN1 if needed. |
| Qualcomm | Option 1 or 4 | We are not 100% clear on option 1 currently. We agree that this should follow legacy and need no special handling, but is the legacy behaviour from a MAC pov that only repetitions that overlap with cell DRX would be transmitted because this is the case where a TB would be obtained and the HARQ is instructed to (re)transmit?In any case if it’s an active discussion in RAN1 they can come up with a conclusion just useful to clarify what is the current behaviour in MAC if nothing is changed.  |

* 1. SP CSI reporting on PUCCH Activation MAC CE

RAN1 has been working on spatial and power domain adaption for NES, per the WI objective in [1]. For semi-persistent CSI reporting on PUCCH, the MAC CE design agreed by R1 needs to indicate sub-configuration selection, corresponding to the following RAN1 agreements in RAN1 #113:

**Agreement**

For N>=1 CSI reporting corresponding to N out of L sub-configurations in one reportConfig where each sub-configuration corresponding to an SD adaptation pattern or/[and] a powerControlOffset value,

* For SP-CSI on PUCCH report, support MAC-CE-based triggering
* For MAC-CE based triggering
	+ - Opt 2: An indication to select to N sub-configurations in a MAC-CE is supported
		- It is up to RAN2 to decide the signaling designs of the MAC-CE (including whether it is a new MAC CE or an existing MAC CE)
		- Only one MAC CE is used for this triggering

Subsequently, an LS [6] was received towards the end of RAN2#123bis:

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| **1. Overall Description:**RAN1 has discussed the SP-CSI reporting related issues and made the following agreements• From RAN1 perspective, up to 4 CSI report configurations can be configured in a BWP for SP CSI reporting on PUCCH where one or more report configurations can contain a list of sub-configuration(s).Furthermore, it is agreed that• For the max number of sub-configurations Lmax in one CSI report configuration, the maximum value of Lmax is no larger than 8 for semi-persistent CSI reporting on PUCCH• For report of N CSI(s) in one SP-CSI report where each CSI corresponds to one sub-configuration, the maximum value of N is no larger than 4 for semi-persistent CSI reporting on PUCCH.**2. Actions:****To RAN2:****ACTION: RAN1 kindly ask RAN2 to take the above into account in their future work related to the MAC-CE design for SP-CSI reporting for Rel-18 NES.** |

The following was agreed in RAN2 123bis for the MAC CE design:

**Agreements:**

1. design a new MAC CE for activating/deactivating SP CSI report configurations and selecting N out of L subconfigurations for each CSI reportconfiguration.
2. The new MAC CE can be used to activate/deactivate configuration and sub-configuration. One new bit per sub-configuration will be added to activate/deactivate.

During the online discussion, it was suggested to provide a sample for the MAC CE format to avoid having many formats submitted to the next meeting. The rapporteur is thus providing the following sample as a baseline, based on the agreements above:

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| 6.1.3.y Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CEThe enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE is identified by a MAC subheader with eLCID as specified in Table 6.2.1-1. It has a variable size and consists of the following fields:- Serving Cell ID: This field indicates the identity of the Serving Cell for which the MAC CE applies. The length of the field is 5 bits;- BWP ID: This field indicates a UL BWP for which the MAC CE applies as the codepoint of the DCI *bandwidth part indicator* field as specified in TS 38.212 [9]. The length of the BWP ID field is 2 bits;- Si: This field indicates the activation/deactivation status of the Semi-Persistent CSI report configuration within *csi-ReportConfigToAddModList*, as specified in TS 38.331 [5]. S0 refers to the report configuration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the lowest *CSI-ReportConfigId* within the list with type set to *semiPersistentOnPUCCH*, S1 to the report configuration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the second lowest *CSI-ReportConfigId* and so on. If the number of report configurations within the list with type set to *semiPersistentOnPUCCH* in the indicated BWP is less than i + 1, MAC entity shall ignore the Si field. The Si field is set to 1 to indicate that the corresponding Semi-Persistent CSI report configuration shall be activated. The Si field is set to 0 to indicate that the corresponding Semi-Persistent CSI report configuration i shall be deactivated;- Ni,x: this field indicates the activation/deactivation status of the Semi-Persistent CSI report SubConfiguration x within *csi-ReportSubConfigList* of *CSI-ReportConfigId* i, as specified in TS 38.331 [5]. If Si set to 1, the octet corresponding to Ni,0 to Ni,7 is present. If Si set to 0, the octet corresponding to Ni,0 to Ni,7 is not present. N0,0 refers to the report SubConfiguration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the lowest *csi-ReportSubConfigID* within the list, N0,1 to the report SubConfiguration which includes PUCCH resources for SP CSI reporting in the indicated BWP and has the second lowest *csi-ReportSubConfigID* and so on. If the number of report SubConfigurations within the list with type set to *csi-ReportSubConfigList* in the indicated BWP is less than x + 1, MAC entity shall ignore the Ni,x field. The Ni,x field is set to 1 to indicate that the corresponding Semi-Persistent CSI report SubConfiguration x shall be activated. The Ni,x field is set to 0 to indicate that the corresponding Semi-Persistent CSI report SubConfiguration x shall be deactivated;- R: Reserved bit, set to 0.**Figure x: Enhanced SP CSI reporting on PUCCH Activation/Deactivation MAC CE** |

E bits indicate whether to activate the additional reporting of CSI sub-configurations for a given configuration, in addition to S bits, which indicate activation of CSI configurations per legacy. N bits indicate which subconfigurations are activated. Per RAN1’s agreements, some report configurations can be optionally configured with *csi-ReportSubConfigList* for CSI reporting of the subconfigurations. The UE can thus receive a combination of legacy activation of CSI configurations without *csi-ReportSubConfigList* and configurations configured with *csi-ReportSubConfigList* in the same MAC CE, as the R1 agreement states “Only one MAC CE is used for this triggering”. An alternative is to re-use S bits for both activation of SP CSI reporting for the configuration and also reporting for the configured subconfigurations, instead of using E bits, but this would require handling the cases where some configurations are not configured with *csi-ReportSubConfigList*.

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| Company  | Comments or proposed changes |
| Apple | We support the variable length MAC-CE format suggested by Rapporteur. Only one comment: below RAN1 agreement also needs to be implemented:• For report of N CSI(s) in one SP-CSI report where each CSI corresponds to one sub-configuration, the maximum value of N is no larger than 4 for semi-persistent CSI reporting on PUCCH.Our understanding above agreement means: for one report config, 8bit bitmap is needed as Rapporteur suggested (e.g. N07-N00 for 1st report config) but only up to 4bit among 8bit can be set to 1 (i.e. activated number of sub-configuration is no larger than 4). [Rapporteur]: I was not sure we need to capture this max four 1s in the bitmap restriction part of the MAC CE design or not, given the NW indicates these. We can add more description in the Ni,x part for this if companies think so. |
| Xiaomi  | First, the new MAC CE is addressed by eLCID, not LCID.[Rapporteur]: corrected to eLCID above. ThanksSecond, the new MAC CE is variable size the correct format of the MAC CE is like as below, no optional indication:Third, whether the Ei bit is needed?In my understanding, if the Ei bit is set to 1, the Si bit should also be set to 1. There is a relationship between Si bit and Ei bit. The text is needed for the relationship. The key point is how to handle the CSI report without sub-configuration. **Option 1**: The Si filed indicates the CSI report trigger and if the Si is set to 1, then the corresponding sub-configuration trigger filed will be included in the new MAC CE. **Option 2**: The Si filed indicates the CSI report trigger and if the Si is set to 1 and the sub-configurations are configured for this report, then then the corresponding sub-configuration trigger filed will be included in the new MAC CE.If there is only Si bit and if the Si bit for CSI report without sub-configuration is set to 1, the N bitmap is also included and is set to 0.So we prefer option 1 and think the Ei bit is not needed. |
| Fraunhofer | We agree with Xiaomi that the Si bits can control directly the presence of the subconfigurations octets. And within that framework option 1, proposed by Xiaomi |
| Ericsson | Agree with Xiaomi. Further, the RAN1 agreement does not support a case where there is subconfigurations configured but non is activated:**Agreement**For N>=1 CSI reporting corresponding to N out of L sub-configurations in one reportConfig where each sub-configuration corresponding to an SD adaptation pattern or/[and] a powerControlOffset value, * For A-CSI and SP-CSI on PUSCH report, support DCI-based triggering
	+ For A-CSI-RS, CPU and CSI-RS resource/port counting depend on N indicated sub-configurations
		- FFS: How to do the counting
	+ FFS: For P-CSI-RS/SP-CSI-RS, CPU and CSI-RS resource/port counting depend on L or N sub-configurations
* For SP-CSI on PUCCH report, support MAC-CE-based triggering
	+ FFS: For P-CSI-RS/SP-CSI-RS, CPU and CSI-RS resource/port counting depend on L or N sub-configurations

Note: UE complexity reduction is not precluded* For DCI-based triggering,
	+ Alt 1: A triggering state corresponding to N sub-configurations is indicated via the existing CSI request field in DCI. Different triggering states could represent different subsets of L sub-configurations.
		- The DCI is UE specific (in this case, legacy DCI format applies)
* For MAC-CE based triggering
	+ Opt 2: An indication to select to N sub-configurations in a MAC-CE is supported
		- It is up to RAN2 to decide the signaling designs of the MAC-CE (including whether it is a new MAC CE or an existing MAC CE)
		- Only one MAC CE is used for this triggering

Hence, it seems with E field we would introduce signaling for an undefined case.If we want variable size MAC CE, it can be variable size based on RRC configuration such that if there is no subconfigurations configured for a reportconfig, the corresponding octet does not exist. |
| OPPO | We slightly prefer a fixed-length MAC-CE format to make the design simple. For example, the Oct 2,3,4,5 are linked with S0, S1, S2, S3, respectively. If one configuration is without the subconfigurations, the UE can use Ni,0 to check whether the associated configuration is activated or deactivated. But, if the majority prefers variable-size MAC CE, we think there is no need to introduce Ei. Instead, Si can be reused to achieve a similar intention.  |
| Fujitsu | Agree with Xiaomi and Ericsson. It is enough to use Si bits for activation/deactivation, Ei bits are not needed. |
| Huawei | Agree with the revised solution from Xiaomi, option 1. The Ei bit is not needed as the Si bit can represent the status of reporting CSI sub-configuration. |
| Rapporteur | Based on the above comments, I have updated the suggested format with R bits instead of E bits. if the Si is set to 1, then the corresponding sub-configuration trigger filed is included in the MAC CE for the corresponding report config. Comments are still welcome on this updated format.For the case where a reportconfig is not configured with *csi-ReportSubConfigList*, it would be good to understand what the common view is when the corresponding Si bit is set to 1:* Option A: the understanding is the N bitmap in the corresponding octet will be set to 0s.
* Option B: capture that the MAC entity shall ignore the Ni,x field for the corresponding octet if the reportconfig is not configured with *csi-ReportSubConfigList*
* Option C: the corresponding octet is not present if the reportconfig is not configured with *csi-ReportSubConfigList* (even if Si is set to 1)
* Option D: Ni,x fields for such reportconfig is already ignored per the text above already in such case. Nothing extra needs to be added.

In my understanding, it’s option D, given there are 0 subconfigs configured. |
| vivo | The maximum size of new MAC CE to indicate the N subconfiguration per legacy configuration is 4 bytes. And if variable-size MAC CE is adopted, then the length field with 1 byte in MAC subheader is also needed. So, we prefer to use fixed-size MAC CE to make both spec and UE implementation simple.  |
| Samsung | We prefer fixed-size. A variable-size MAC CE requires 1-byte L field. Overhead reduction by using variable-size is not big, and it could be even worse. We agree with other companies Ei is not needed.For the question above, we agree with the rapporteur: our understanding is Option D.  |
| Sharp | Fixed-size MAC CE or variable-size MAC CE with Option C is ok. |
| Qualcomm | Seems rapporteur newer version is stable now, so it is fine by us with option D understanding above. No strong view on fixed vs variable length. |
|  |  |

* 1. Cell DTX/DRX impact on MAC timers

The following issue was identified part of the list of open issue prior to RAN2 123bis:

**[MAC] Issue 7: Any other impact on MAC timers.**

More specifically, the following enhancements were proposed:

* CG and CGRT timers: stopping/pausing the timer during the cell DTX non-active period. The following was proposed:
	+ Discuss the potential handling on CGRT and CGT during the cell DRX inactive period (e.g. suspension of the CGRT and CGT). [7]
	+ The configuredGrantTimer and cg-RetransmissionTimer should be stopped or paused during cell DRX non-active period. [8]
	+ ConfiguredGrantTimer and cg-RetransmissionTimer are suspended at Cell DRX Non-Active Time. [9]
	+ Rapporteur comment: in RAN2 123bis, we agreed that “The case that Cell DRX activation is received between delivering a configured grant to the HARQ entity and HARQ processing for the CGO will not be addressed by RAN2, as it is not valid for the MAC model”, which means that the CG is not delivered to the HARQ entity if the PUSCH duration overlaps with the cell DRX non active period and also the TB is not obtained. These timers are only started when a TB is obtained, thus are not started during the non-active period. Further, these timers are typically restarted upon reception of dynamic scheduling (e.g. upon reception of a DG addressed to the UE’s CS-RNTI or C-RNTI), and that should not be changed.
* DRX Inactivity timer: stopping/pausing the timer during the cell DTX non-active period. The following was proposed:
	+ Define new conditions for UE behaviour changes when cell DTX non-active period starts during the C-DRX related timer is running, i.e. the drx-InactivityTimer and HARQ timer should be stopped/paused. [8]
	+ drx-InactivityTimer or bwp-InactivityTimer can be suspended if it does not expire when the cell DTX/DRX enters non-active period. [10]
	+ Rapporteur comment: keeping the inactivity timer running during the non-active period allows the gNB to schedule further data past the On duration expiry, though comes with UE power consumption.
* DRX HARQ RTT timers: The following was proposed:
	+ Define new conditions for UE behaviour changes when cell DTX non-active period starts during the C-DRX related timer is running, i.e. the drx-InactivityTimer and HARQ timer should be stopped/paused. [8]
		- HARQ RTT timers are stopped/paused the timer during the Cell DTX/DRX non-active period; timers can be resumed/restarted in Cell DTX/DRX active period
	+ Rapporteur comment: we already agreed to keep the DRX retransmission timers running during the non-active period to allow the gNB to complete retransmissions of pending HARQ processes. DRX retransmission timers only start after the expiry of the DRX HARQ RTT timers. This enhancement thus kind of reverts an existing agreement. Further, delaying the start of the HARQ RTT timer to the start of the cell On duration timer creates an unnecessary time offset from when the UE starts to monitor PDCCH (i.e. a HARQ RTT period after the start of the cell DTX On duration timer starts).
* BWP inactivity timer and SCell deactivation timer: the following was proposed:
	+ The bwp-InactivityTimer and sCellDeactivationTimer should be paused during cell DTX non-active period and resumed during cell DTX active period to decrease unnecessary BWP fallback and SCell deactivation. [8]
	+ drx-InactivityTimer or bwp-InactivityTimer can be suspended if it does not expire when the cell DTX/DRX enters non-active period. [10]

**Question 2: Which of the following proposed enhancements to MAC timers, if any, do you support?**

|  |  |  |
| --- | --- | --- |
| **Company**  | **Timer(s)** | **Comments** |
| Apple | CG and CGRT timers (if running before entering non-active duration of Cell DRX) | 1. On CG/CGRT, we agree with Rapporteur's analysis that CG/CGRT is not restarted during non-active duration of Cell DRX because "These timers are only started when a TB is obtained, thus are not started during the non-active period". But we think there is a special case: the UE has transmitted CG before entering non-active duration, so CGT is already started and running after the UE enters non-active duration of Cell DRX. To address this issue, we think a simple way is add one sentence in section 5.x.2:1> if cell DRX is activated and the Serving Cell is not in the cell DRX Active Period: 2> stop *configuredGrantTimer* and *cg-RetransmissionTimer*, if running.2> not instruct the physical layer to signal the SR on a PUCCH resource for SR;2. On DRX Inactivity timer and DRX HARQ RTT timers, we think RAN2 has discussed such kind of modelling (i.e. specify stopping C-DRX timers during Cell DTX inactive duration) but it was not agreed. That is our understanding why MAC CR rapporteur draft the MAC CR in current way. What's more, we don't prefer to change UE behavior related to UE CDRX because it may cause inter-operation issues. In summary, we don't prefer to touch UE CDRX behavior, so these CDRX timers should not have special handling. 3. BWP inactivity timer and SCell deactivation timer. RAN2 discussed similar issues during Rel-16 dormant BWP (which also suspends PDCCH monitoring). And it resulted in no change to these timers (i.e. left to NW implementation to resolve it).  |
| Xiaomi  | None  |  |
| CEWiT | CG and CGRT timers. | These timers are necessary for indication of successful transmissions but there is no PDCCH in the cell DTX/DRX non-active period. Thus its beneficial for these to be paused till non active period and resumes after non active period. |
| Fraunhofer | BWP and SCell timers | BWP and Scell timers could be further discussed for a potential pause during non-active period. |
| OPPO | None |  |
| NEC | none | Firstly, we understand that the cell DTX/DRX is designed on the top of individual UE C-DRX behaviour. When cell DTX is configured and activated, except the UE active time while retransmission timer is running, the UE is expected to monitor PDCCH only when the UE active time defined by C-DRX overlaps with the cell DTX active period. It is clear enough and no need to further discuss C-DRX related timer enhancement.For CG and CGRT timers, BWP inactivity timer and SCell deactivation timer, we think nothing is broken with current specification. |
| Fujitsu | None |  |
| Huawei | All timers should be considered | For CG and CGRT timers, when the timer is running during cell DTX non-active period, there might be a misalignment of the UE transmission and NW reception status since no feedback means successful transmission. In the gNB non-active time there is no way to indicate CG transmission errors. To eliminate the possible misalignment, the CG and CGRT timers should be paused or stopped.We think the BWP and SCell timers should be paused during cell DTX non-active. Though the occurrence of this use case might not be common we should avoid possible frequent BWP fallbacks and SCell deactivations. If the HARQ-RTT-Timer expires during DTX non-active time, the UE starts monitoring for retransmissions, as per legacy behaviour and previous RAN2 agreements. But the gNB would rather wait for the next active time to perform retransmissions for power saving reasons so it is reasonable not to run the HARQ-RTT-Timer during non-active time. |
| vivo | CG and CGRT timers. | Shares similar view with HW’s analysis on CG/CGRT timers, i.e. the timers should be paused to avoid misaligned understanding of whether the TB is successfully transmitted between the UE and the gNB. |
| MediaTek | None | By current RAN2 agreements, the network and UE behaviour shall be clear for the periods when C-DRX and cell DTX/DRX intersects. It would be better to know if there’re common use cases where these concerns are unable to be avoided by a proper configuration. |
| Samsung | CGT/CGRTSCellDeativationBWP-InactivityTimer | During non-active time, quick scheduling is not possible or difficult. Thus, the possibility of timer expiry will increase. To avoid the risk, suspend/resumption of timers will be beneficial.For drx-InactivityTimer, RAN2 agreed that C-DRX timing and cell DTX/DRX timing are aligned with each other. Even without drx-InactivityTimer, UE will monitor PDCCH in the next active period. |
| Sharp | None |  |
| Qualcomm | None except maybe - DRX Inactivity timer: | Further timer exceptions is unneeded complexity in our view. For CGT, these timers are already a function of the CG periodicity (e.g., 1-64 timer periodicity for CGT), CGT can simply be set long enough to dissipate any Cell DRX nonactive periods. If NW requires a retx for a CGT instance, it is not logical for the NW to keep activating long non-active periods until CGT runs out then require the UE to just store the PDU in case a retransmission is needed several periodicities in the future. Note that C-DRX does not affect timers so it is not well motivated why the identical Cell DTX would. For CGRT, we do not want to address NR-U MAC here, but it is sufficient to day NW does not need to configure CGRT if it intends to toggle Cell DTX too much, it can rely on dynamic grants only for retransmission. For DRX HARQ RTT timers, we think there is nothing broken in the current behaviour. In fact, the proposed enhancement break RAN2 agreements about retransmissions since now whether a retx is performed or not would depend on the newly introduced behaviour of HARQ RTT timer and whether its running or expired which would be very ad-hoc behaviour.  For BWP inactivity timer and SCell deactivation timer, we agree with Apple. Generally, if a timer is not suspended during C-DRX non-active period it should not be suspended for cell DTX non-active period.There is only a weak case to stop DRX inactivity timer when cell DTX non-active period starts. Note that in this case the UE is not decoding PDCCH, and the inactivity timer is just counting to zero. However, given that cell DTX is per-serving cell, that inactivity timer may have to track several cell patterns/activations so ok to not touch as well and let it count to zero for simplicity. |
|  |  |  |

* 1. Cell DTX UE specific inactivity timer

One FFS left from RAN2#121 is on whether to introduce DTX UE specific inactivity timer: “Pattern configuration for cell DRX/DTX is common for Rel-18 UEs in the cell. FFS whether we have DTX UE specific inactivity timer. FFS on configuration signaling and stage 3.” The issue was then re-discussed in email discussion [11] without reaching enough support.

In RAN2#123 [2], it was agreed that RAN2 focus on the case where Cell DTX can only be configured when UE CDRX is configured: “We focus on the case where DTX in RRC can only be configured when C-DRX is configured. We will not optimize for the case where C-DRX is not configured.”

Further, during the non-active period, the UE already monitors PDCCH when C-DRX retransmission timers are running, , during RACH and when SR is pending. It can thus be sufficient to rely on those timers and behaviours for PDCCH monitoring. However, some companies still propose this enhancement. The following options are therefore possible:

* **Option 1:** not to introduce any new timer for Cell DTX/DRX specific UE inactivity timer.
	+ UE already monitors PDCCH when C-DRX retransmission timer is running, during RACH and when SR is pending.
* **Option 2:** UE specific inactivity timer is introduced to extend on duration time of Cell DTX/DRX, i.e. after expiration of the timer, the UE considers the Cell DTX/DRX to be in non-active period.

**Question 3: Which of the following options do you prefer?**

|  |  |  |
| --- | --- | --- |
| **Company**  | **Preferred Options** | **Comments** |
| Apple  | Option 1 with change | 1. At this stage, we see no valid reason to introduce a new inactivity timer (i.e. Option 2).2. For option 1, we disagree to introduce new exceptional PDCCH monitoring “when C-DRX inactivity timer is running” because below reasons:* In our understanding, the existing exceptional PDCCH monitoring “when C-DRX retransmission timer is running” is already a compromise between two camps (high NES gain vs UE QoS).
	+ The main technique reason to agree this compromise is to help refresh on-going HARQ process and the retransmission time is only a small fraction of the time.
* From technique perspective, retransmission timer can already cover duration for gNB to schedule high priority traffic during non-active duration. The benefit to introduce new exceptional PDCCH monitoring on inactivity timer for QoS is quite marginal, but it requires the gNB/UE to wake up more frequently. At this late stage, we don’t think RAN2 should do such optimization without clear benefit.

Thus, we suggest to modify Option 1 as:* **Option 1:** not to introduce any new timer for Cell DTX/DRX specific UE inactivity timer.
	+ UE already monitors PDCCH when C-DRX retransmission timer is running, ~~the C-DRX inactivity timer is running,~~ during RACH and when SR is pending.
 |
| Xiaomi  | Option 1 | For option 1, the question is whether the extension of cell DTX active duration should be notified to others RRC\_CONNECTED UEs? If the notification is needed then it will result in signalling overhead. If the notification is not needed then the it will result in the unaligned cell DTX operation between UE and network. The other UEs will not share the benefit of the extension of cell DTX active duration.For option 2, the pattern of cell DTX is up to the semi-static configuration and the mechanism of cell DTX is simple, but the flexibility is lost. |
| Fraunhofer  | New Option 3,  | First and foremost, it need to be understood what problem we have. Then, if the problem is agreed by everyone we can list and down-select from solutions (which UE specific inactivity timer is just one potential solution).Our agreements on strict PDCCH monitoring restrictions (for new data) aimed at balancing “high NES gain” vs “UE QoS” and ended up with a very poor trade-off. We affect the “UE QoS” considerably, specially delay only to achieve a very minor “NES gain”. A detailed analysis backed up by simulations was provided in our contribution to R2#123-bis (R2-2311260)In general, as long as the gNB has data on the buffer there is no NES gain (nor UE power saving gain) in postponing that transmission artificially (restricting scheduling via PDCCH restrictions) but it adversely increases delay. We consider a key issue to still be addressed in Rel-18Option 2 could be a solution to that, but in our understanding, it is not ideal as it adds a lot to UE complexity and UE power consumption. Instead, we prefer the following option which addresses the problem more directly with less complexity:**“Option 3 –** explicit signaling to prolong PDCCH monitoring into Cell DTX/DRX non-active time when the gNB deem as needed“@Rapporteur In order to streamline the discussion we kindly suggest it would it be better to divided the discussion into 2 questions, e.g. “Question 3A – do you agree exceptional PDCCH monitoring behavior (on non-active time) is needed to address data bursts which do not fit the on-duration?” and “3B – If exceptional behavior (3A) is agreed, which option do you prefer?”[Rapporteur]: the scope of this email is to discuss remaining MAC open issues that are necessary to finalize the CR. Given we still have the following FFS “FFS whether we have DTX UE specific inactivity timer”, the timer option was captured as option 2, per the FFS. |
| OPPO | Option 1 with change | We do not see the urgent requirement to have Option 2 and prefer a fixed cell DTX/DRX active and non-active durations.On Option 1, we echo Apple, i.e, exclude the PDCCH monitoring “when C-DRX inactivity timer is running”.* **Option 1:** not to introduce any new timer for Cell DTX/DRX specific UE inactivity timer.
	+ UE already monitors PDCCH when C-DRX retransmission timer is running, ~~the C-DRX inactivity timer is running,~~ during RACH and when SR is pending.
 |
| NEC | Option 2 | We see benefits of supporting UE specific inactivity timer to achieve NW flexibility to handle UE traffic initiated at the end of cell DTX/DRX active period. Since RAN2#121bis has agreed that “A periodic cell DTX/DRX pattern is configured by UE specific RRC signalling.”, it is simple to have an optional CellDTXDRXInactivityTimer IE associated with cell DTX/DRX pattern configuration from configuration perspective. FFS on whether dynamic L2 signaling is needed or not.On the Option 1, we have the same understating with Apple, i.e., during cell DTX inactive period, the UE does not monitor PDCCH when C-DRX inactivity timer is running. |
| Fujitsu | Option 1 | RAN2 already discussed an exceptional case during cell DTX/DRX non-active period and only the case of emergency call is allowed to transmit. As there is very few UEs in a serving cell, hence the gNB can handle the UE’s QoS appropriately without such a UE specific inactivity timer.  |
| Huawei | Option 1 with comments | Agree with Apple. The extension of cell DTX active time is not needed and we have defined some exceptional cases which can be utilized to satisfy the QoS requirement in the non-active time of cell DTX/DRX. The current agreements are a compromise. Though there is no Cell DTX inactivity timer, we have agreed on the following options:* When the retransmission timer is running (if C-DRX is configured), the UE is expected to monitor PDCCH, like in legacy (regardless of the Cell DTX)
* When an DG grant is received, scheduled by the gNB during cell DRX/DTX, the UE follows the grant assignment (i.e. like in legacy). In our understanding the assignment can be in cell DTX non-active time, if needed.

For the case “when C-DRX inactivity timer is running”, we agree with Apple that the UE can stop monitoring PDCCH during cell DTX non-active time based on the agreement:* UE doesn’t monitor PDCCH for dynamic grants/assignments for new transmissions during Cell DTX non-active period, even if the UE is in C-DRX Active time.

Therefore this part should be removed from option 1. |
| vivo | Option 2 | Even if the cell DTX active time is extended by cell DTX inactivity timer, it is still up to the NW whether to schedule new transmission. The introduction of cell DTX inactivity timer allows the NW to timely schedules the UE(s) in the cell DTX non-active time, if necessary.The description of Option 2 needs to be revised, i.e. it is possible the cell DTX inactivity Timer expires within the cell DTX onDurationTimer, although it is not a common practice. Besides, the inactivity timer can be cell common. It is just maintained per UE. Therefore, we suggest the following revision:**Option 2:** ~~UE specific~~ Cell common inactivity timer is introduced to extend on duration time of Cell DTX/DRX, which is maintained per UE. ~~, i.e. after expiration of the timer, the UE considers the Cell DTX/DRX to be in non-active period~~. |
| MediaTek | Prefer Option 2 with comment | With option 2, we think it could be a UE specific extension of cell DTX/DRX and other UEs could remain unaware of the individual extension, just like the way C-DRX retransmission (timer) is utilized for a certain UE, allowing more coverages of data continuity (other than extended by retransmission scenario only). |
| Samsung  | Option 1 |  |
| Sharp | Option 1 | Agree with Option 1 with change proposed by Apple. |
| Qualcomm | Option 1 with comments | Same understanding of Apple, OPPO and HW. We think that the inactivity timer mechanism is already covered by the UE C-DRX inactivity timer. However, once cell DTX non-active period starts, the UE would stop monitoring PDCCH even if UE C-DRX inactivity timer is running. A new timer would be akin to defining an identical timer that overrides Cell DTX which is a direction RAN2 decided not to pursue, so no need to have two identical timers. Thus the Apple phrasing and understanding is acceptable to us.  |

# Conclusion

TBD

# References

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4. R2-2310685, “Remaining issues on Cell DTX/DRX Nokia”, Nokia Shanghai Bell
5. R2-2307178, “Various (RRC Procedure, Measurement, SR, CG etc.) alignment aspects”, Lenovo
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8. R2-2309998, “Discussion on remaining issues of cell DTX and DRX”, Huawei, HiSilicon
9. R2-2310479, “SPS and Multicast Impacts of Cell DTX/DRX”, Samsung
10. R2-2310262, “Discussion on cell DTX/DRX”, CMCC
11. R2-2302796, “Outcome of [POST121][312][NES] DTX/DRX - Configuration/ activation/ deactivation and alignment”, Huawei, HiSilicon