**3GPP TSG-RAN WG2 Meeting#122 *DRAFT R2-2306856***

**Incheon, Korea, May 22-26, 2023**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
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|  |  | **CR** | **-** | **rev** | **-** | **Current version:** |  |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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|  |
| ***Title:***  | MAC running CR for eMBS |
|  |  |
| ***Source to WG:*** | Apple |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_MBS\_enh-Core |  | ***Date:*** | 2023-05-10 |
|  |  |  |  |  |
| ***Category:*** |  **B** |  | ***Release:*** | Rel-18 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | This CR introduces the enhancements specified on support of MBS in R18. |
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| ***Summary of change:*** | Introduction of multicast reception for UEs in RRC\_INACTIVE and shared processing for simultaneous reception of broadcast and unicast.  |
|  |  |
| ***Consequences if not approved:*** | Rel-18 MBS enhancement is not supported in NR. |
|  |  |
| ***Clauses affected:*** | 5.3.1, 5.3.2.2, 5.7, 5.7b, 7.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **x** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

5.3 DL-SCH data transfer

5.3.1 DL Assignment reception

Downlink assignments received on the PDCCH both indicate that there is a transmission on a DL-SCH for a particular MAC entity and provide the relevant HARQ information.

When the MAC entity has a C-RNTI, Temporary C-RNTI, CS-RNTI, G-RNTI or G-CS-RNTI, the MAC entity shall for each PDCCH occasion during which it monitors PDCCH and for each Serving Cell:

1> if a downlink assignment for this PDCCH occasion and this Serving Cell has been received on the PDCCH for the MAC entity's C-RNTI, or Temporary C‑RNTI, or G-RNTI configured for multicast MTCH:

2> if this is the first downlink assignment for this Temporary C-RNTI:

3> consider the NDI to have been toggled.

2> if the downlink assignment is for the MAC entity's C-RNTI, and if the previous downlink assignment indicated to the HARQ entity of the same HARQ process was either a downlink assignment received for the MAC entity's CS-RNTI or G-CS-RNTI, or a configured downlink assignment for unicast or MBS multicast; or

2> if the downlink assignment is for the MAC entity's G-RNTI configured for multicast MTCH, and if the previous downlink assignment indicated to the HARQ entity of the same HARQ process was either a downlink assignment received for the MAC entity's CS-RNTI or G-CS-RNTI, or other G-RNTI, or C-RNTI, or a configured downlink assignment for unicast or MBS multicast:

3> consider the NDI to have been toggled regardless of the value of the NDI.

2> stop the *cg-SDT-RetransmissionTimer*, if it is running, for the corresponding HARQ process for initial transmission with CCCH message;

2> stop the *configuredGrantTimer*, if it is running, for the corresponding HARQ process for initial transmission with CCCH message;

2> indicate the presence of a downlink assignment and deliver the associated HARQ information to the HARQ entity.

1> else if a downlink assignment for this PDCCH occasion has been received for this Serving Cell on the PDCCH for the MAC entity's CS-RNTI or G-CS-RNTI:

2> if the NDI in the received HARQ information is 1:

3> consider the NDI for the corresponding HARQ process not to have been toggled;

3> indicate the presence of a downlink assignment for this Serving Cell and deliver the associated HARQ information to the HARQ entity.

2> if the NDI in the received HARQ information is 0:

3> if PDCCH contents indicate SPS deactivation:

4> clear the configured downlink assignment for this Serving Cell (if any);

4> if the *timeAlignmentTimer*, associated with the TAG containing the Serving Cell on which the HARQ feedback is to be transmitted, is running:

5> indicate a positive acknowledgement for the SPS deactivation to the physical layer.

3> else if PDCCH content indicates SPS activation:

4> store the downlink assignment for this Serving Cell and the associated HARQ information as configured downlink assignment;

4> initialise or re-initialise the configured downlink assignment for this Serving Cell to start in the associated PDSCH duration and to recur according to rules in clause 5.8.1;

For each Serving Cell and each configured downlink assignment, if configured and activated, the MAC entity shall:

1> if the PDSCH duration of the configured downlink assignment does not overlap with the PDSCH duration of a downlink assignment received on the PDCCH for this Serving Cell:

2> instruct the physical layer to receive, in this PDSCH duration, transport block on the DL-SCH according to the configured downlink assignment and to deliver it to the HARQ entity;

2> set the HARQ Process ID to the HARQ Process ID associated with this PDSCH duration;

2> consider the NDI bit for the corresponding HARQ process to have been toggled;

2> indicate the presence of a configured downlink assignment and deliver the stored HARQ information to the HARQ entity.

For configured downlink assignments without *harq-ProcID-Offset*, the HARQ Process ID associated with the slot where the DL transmission starts is derived from the following equation:

 HARQ Process ID = [floor (CURRENT\_slot × 10 / (*numberOfSlotsPerFrame* × *periodicity*))]
 modulo *nrofHARQ-Processes*

where CURRENT\_slot = [(SFN × *numberOfSlotsPerFrame*) + slot number in the frame] and *numberOfSlotsPerFrame* refers to the number of consecutive slots per frame as specified in TS 38.211 [8].

For configured downlink assignments with *harq-ProcID-Offset*, the HARQ Process ID associated with the slot where the DL transmission starts is derived from the following equation:

 HARQ Process ID = [floor (CURRENT\_slot × 10 / (*numberOfSlotsPerFrame* × *periodicity*))]
 modulo *nrofHARQ-Processes* + *harq-ProcID-Offset*

where CURRENT\_slot = [(SFN × *numberOfSlotsPerFrame*) + slot number in the frame] and *numberOfSlotsPerFrame* refers to the number of consecutive slots per frame as specified in TS 38.211 [8].

NOTE 1: In case of unaligned SFN across carriers in a cell group, the SFN of the concerned Serving Cell is used to calculate the HARQ Process ID used for configured downlink assignments.

NOTE 2: CURRENT\_slot refers to the slot index of the first transmission occasion of a bundle of configured downlink assignment.

When the MAC entity needs to read BCCH, the MAC entity may, based on the scheduling information from RRC:

1> if a downlink assignment for this PDCCH occasion has been received on the PDCCH for the SI-RNTI;

2> indicate a downlink assignment and redundancy version for the dedicated broadcast HARQ process to the HARQ entity.

When the MAC entity needs to read MCCH, the MAC entity may, based on the scheduling information from RRC:

1> if a downlink assignment for this PDCCH occasion has been received on the PDCCH for the MCCH-RNTI:

2> indicate a downlink assignment and redundancy version for the selected HARQ process to the HARQ entity.

When the MAC entity needs to read broadcast MTCH, the MAC entity may, based on the scheduling information from RRC and DCI:

1> if a downlink assignment for this PDCCH occasion has been received on the PDCCH for the G-RNTI configured for broadcast MTCH:

2> indicate the presence of a downlink assignment and deliver the associated HARQ information for the selected HARQ process to the HARQ entity.

Editor Note 1: whether to restrict the multicast MTCH in this section in RRC\_CONNECTED state is FFS.

Editor Note 2: whether to support multicast SPS in RRC\_INACTIVE is FFS.

5.3.2 HARQ operation

5.3.2.1 HARQ Entity

The MAC entity includes a HARQ entity for each Serving Cell, which maintains a number of parallel HARQ processes. Each HARQ process is associated with a HARQ process identifier. The HARQ entity directs HARQ information and associated TBs received on the DL-SCH to the corresponding HARQ processes (see clause 5.3.2.2).

The number of parallel DL HARQ processes per HARQ entity is specified in TS 38.214 [7]. The dedicated broadcast HARQ process is used for BCCH.

The HARQ process supports one TB when the physical layer is not configured for downlink spatial multiplexing. The HARQ process supports one or two TBs when the physical layer is configured for downlink spatial multiplexing.

When the MAC entity is configured with *pdsch-AggregationFactor* > 1, the parameter *pdsch-AggregationFactor* provides the number of transmissions of a TB within a bundle of the downlink assignment. Bundling operation relies on the HARQ entity for invoking the same HARQ process for each transmission that is part of the same bundle. After the initial transmission, *pdsch-AggregationFactor* – 1 HARQ retransmissions follow within a bundle.

The MAC entity shall:

1> if a downlink assignment has been indicated:

2> allocate the TB(s) received from the physical layer and the associated HARQ information to the HARQ process indicated by the associated HARQ information.

1> if a downlink assignment has been indicated for the broadcast HARQ process:

2> allocate the received TB to the broadcast HARQ process.

NOTE: It is up to UE implementation to allocate the received TB for MCCH or broadcast MTCH to one HARQ process.

5.3.2.2 HARQ process

When a transmission takes place for the HARQ process, one or two (in case of downlink spatial multiplexing) TBs and the associated HARQ information are received from the HARQ entity.

For each received TB and associated HARQ information, the HARQ process shall:

1> if the NDI, when provided, has been toggled compared to the value of the previous received transmission corresponding to this TB; or

1> if the HARQ process is equal to the broadcast process, and this is the first received transmission for the TB according to the system information schedule indicated by RRC; or

1> if the HARQ process is associated with a transmission indicated with a MCCH-RNTI for MBS broadcast, and this is the first received transmission for the TB according to the MCCH schedule indicated by RRC; or

1> if the HARQ process is associated with a transmission indicated with a multicast MCCH-RNTI for MBS multicast, and this is the first received transmission for the TB according to the MCCH schedule indicated by RRC; or

1> if the HARQ process is associated with a transmission indicated with a G-RNTI for MBS broadcast, and this is the first received transmission for the TB according to the MTCH schedule indicated by RRC or according to the scheduling indicated by DCI as specified in TS 38.214 [7]; or

1> if this is the very first received transmission for this TB (i.e. there is no previous NDI for this TB):

2> consider this transmission to be a new transmission.

1> else:

2> consider this transmission to be a retransmission.

The MAC entity then shall:

1> if this is a new transmission:

2> attempt to decode the received data.

1> else if this is a retransmission:

2> if the data for this TB has not yet been successfully decoded:

3> instruct the physical layer to combine the received data with the data currently in the soft buffer for this TB and attempt to decode the combined data.

1> if the data which the MAC entity attempted to decode was successfully decoded for this TB; or

1> if the data for this TB was successfully decoded before:

2> if the HARQ process is equal to the broadcast process:

3> deliver the decoded MAC PDU to upper layers.

2> else if this is the first successful decoding of the data for this TB:

3> deliver the decoded MAC PDU to the disassembly and demultiplexing entity.

1> else:

2> instruct the physical layer to replace the data in the soft buffer for this TB with the data which the MAC entity attempted to decode.

1> if the HARQ process is associated with a transmission indicated with a Temporary C-RNTI and the Contention Resolution is not yet successful (see clause 5.1.5); or

1> if the HARQ process is associated with a transmission indicated with a MSGB-RNTI and the Random Access procedure is not yet successfully completed (see clause 5.1.4a); or

1> if the HARQ process is equal to the broadcast process; or

1> if the HARQ process is associated with a transmission indicated with a MCCH-RNTI or a G-RNTI for MBS broadcast; or

1> if the HARQ process is associated with a transmission indicated with a MCCH-RNTI for MBS multicast; or

1> if the HARQ process is associated with a transmission indicated with a G-RNTI or a G-CS-RNTI or a configured downlink assignment for MBS multicast and HARQ feedback is disabled for this G-RNTI or G-CS-RNTI, as specified in clause 18 of TS 38.213 [6]; or

1> if the HARQ process is associated with a transmission indicated with a G-RNTI or a G-CS-RNTI or a configured downlink assignment for MBS multicast and NACK only HARQ feedback is configured for this G-RNTI or G-CS-RNTI and the data for this TB is successfully decoded and the transmission is not the first transmission of PDSCH where the configured downlink assignment was (re-)initialised; or

1> if the *timeAlignmentTimer*, associated with the TAG containing the Serving Cell on which the HARQ feedback is to be transmitted, is stopped or expired and if the *cg-SDT-TimeAlignmentTimer*, if configured, is not running; or

1> if the HARQ process is configured with disabled HARQ feedback:

2> if *harq-FeedbackEnablingforSPSactive* is configured with enabled and the transmission is the first transmission after activation of the configured downlink assignment:

3> instruct the physical layer to generate acknowledgement(s) of the data in this TB.

2> else:

3> not instruct the physical layer to generate acknowledgement(s) of the data in this TB.

1> else:

2> instruct the physical layer to generate acknowledgement(s) of the data in this TB.

The MAC entity shall ignore NDI received in all downlink assignments on PDCCH for its Temporary C-RNTI when determining if NDI on PDCCH for its C-RNTI has been toggled compared to the value in the previous transmission.

NOTE: If the MAC entity receives a retransmission with a TB size different from the last TB size signalled for this TB, the UE behavior is left up to UE implementation.

5.3.3 Disassembly and demultiplexing

The MAC entity shall disassemble and demultiplex a MAC PDU as defined in clauses 6.1.2 and 6.1.5a.

When a MAC entity receives a MAC PDU for MAC entity's G-RNTI or G-CS-RNTI, or by the configured downlink assignment for MBS multicast containing an LCID or eLCID which is not configured, the MAC entity shall at least:

1> discard the received subPDU.

5.7 Discontinuous Reception (DRX)

The MAC entity may be configured by RRC with a DRX functionality that controls the UE's PDCCH monitoring activity for the MAC entity's C-RNTI, CI-RNTI, CS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, AI-RNTI, SL-RNTI, SLCS-RNTI and SL Semi-Persistent Scheduling V-RNTI. When using DRX operation, the MAC entity shall also monitor PDCCH according to requirements found in other clauses of this specification. When in RRC\_CONNECTED, if DRX is configured, for all the activated Serving Cells, the MAC entity may monitor the PDCCH discontinuously using the DRX operation specified in this clause; otherwise the MAC entity shall monitor the PDCCH as specified in TS 38.213 [6].

NOTE 1: Void

RRC controls DRX operation by configuring the following parameters:

- *drx-onDurationTimer*: the duration at the beginning of a DRX cycle;

- *drx-SlotOffset*: the delay before starting the *drx-onDurationTimer*;

- *drx-InactivityTimer*: the duration after the PDCCH occasion in which a PDCCH indicates a new UL, DL or SL transmission for the MAC entity;

- *drx-RetransmissionTimerDL* (per DL HARQ process except for the broadcast process): the maximum duration until a DL retransmission is received;

- *drx-RetransmissionTimerUL* (per UL HARQ process): the maximum duration until a grant for UL retransmission is received;

- *drx-LongCycleStartOffset*: the Long DRX cycle and *drx-StartOffset* which defines the subframe where the Long and Short DRX cycle starts;

- *drx-ShortCycle* (optional): the Short DRX cycle;

- *drx-ShortCycleTimer* (optional): the duration the UE shall follow the Short DRX cycle;

- *drx-HARQ-RTT-TimerDL* (per DL HARQ process except for the broadcast process): the minimum duration before a DL assignment for HARQ retransmission is expected by the MAC entity;

- *drx-HARQ-RTT-TimerUL* (per UL HARQ process): the minimum duration before a UL HARQ retransmission grant is expected by the MAC entity;

- *drx-RetransmissionTimerSL* (per SL HARQ process): the maximum duration until a grant for SL retransmission is received;

- *drx-HARQ-RTT-TimerSL* (per SL HARQ process): the minimum duration before an SL retransmission grant is expected by the MAC entity;

- *drx-LastTransmissionUL* (optional): the configuration to start *drx-HARQ-RTT-TimerUL* after the last transmission within a bundle;

- *ps-Wakeup* (optional): the configuration to start associated *drx-onDurationTimer* in case DCP is monitored but not detected;

- *ps-TransmitOtherPeriodicCSI* (optional): the configuration to report periodic CSI that is not L1-RSRP on PUCCH during the time duration indicated by *drx-onDurationTimer* in case DCP is configured but associated *drx-onDurationTimer* is not started;

- *ps-TransmitPeriodicL1-RSRP* (optional): the configuration to transmit periodic CSI that is L1-RSRP on PUCCH during the time duration indicated by *drx-onDurationTimer* in case DCP is configured but associated *drx-onDurationTimer* is not started;

- *downlinkHARQ-FeedbackDisabled* (optional): the configuration to disable HARQ feedback per DL HARQ process;

- *uplinkHARQ-Mode* (optional): the configuration to set *HARQmodeA* or *HARQmodeB* per UL HARQ process.

Serving Cells of a MAC entity may be configured by RRC in two DRX groups with separate DRX parameters. When RRC does not configure a secondary DRX group, there is only one DRX group and all Serving Cells belong to that one DRX group. When two DRX groups are configured, each Serving Cell is uniquely assigned to either of the two groups. The DRX parameters that are separately configured for each DRX group are: *drx-onDurationTimer*, *drx-InactivityTimer*. The DRX parameters that are common to the DRX groups are: *drx-SlotOffset*, *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL*, *drx-LongCycleStartOffset*, *drx-ShortCycle* (optional), *drx-ShortCycleTimer* (optional), *drx-HARQ-RTT-TimerDL*, and *drx-HARQ-RTT-TimerUL*.

When DRX is configured, the Active Time for Serving Cells in a DRX group includes the time while:

- *drx-onDurationTimer* or *drx-InactivityTimer* configured for the DRX group is running; or

- *drx-RetransmissionTimerDL*, *drx-RetransmissionTimerUL* or *drx-RetransmissionTimerSL* is running on any Serving Cell in the DRX group; or

- *ra-ContentionResolutionTimer* (as described in clause 5.1.5) or *msgB-ResponseWindow* (as described in clause 5.1.4a) is running; or

- a Scheduling Request is sent on PUCCH and is pending (as described in clause 5.4.4 or 5.22.1.5). If this Serving Cell is part of a non-terrestrial network, the Active Time is started after the Scheduling Request transmission that is performed when the *SR\_COUNTER* is 0 for all the SR configurations with pending SR(s) plus the UE-gNB RTT; or

- a PDCCH indicating a new transmission addressed to the C-RNTI of the MAC entity has not been received after successful reception of a Random Access Response for the Random Access Preamble not selected by the MAC entity among the contention-based Random Access Preamble (as described in clauses 5.1.4 and 5.1.4a).

The following MAC timers are used for DRX operation in a non-terrestrial network:

- *HARQ-RTT-TimerDL-NTN* (per DL HARQ process configured with HARQ feedback enabled): the minimum duration before a DL assignment for HARQ retransmission is expected by the MAC entity;

- *HARQ-RTT-TimerUL-NTN* (per UL HARQ process configured with *HARQModeA*): the minimum duration before a UL HARQ retransmission grant is expected by the MAC entity.

When DRX is not configured and multicast DRX is configured for a G-RNTI or G-CS-RNTI ~~in RRC\_CONNECTED state~~, the MAC entity shall:

1> monitor the PDCCH as specified in TS 38.213 [6];

1> if a MAC PDU is received in a configured downlink assignment for unicast; or

1> if the PDCCH indicates a DL unicast transmission:

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process.

When DRX is configured, the MAC entity shall:

1> if a MAC PDU is received in a configured downlink assignment for unicast:

2> if this Serving Cell is configured with *downlinkHARQ-FeedbackDisabled*:

3> if the corresponding HARQ process is configured with HARQ feedback enabled:

4> set *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerDL* plus the latest available UE-gNB RTT value;

4> start the *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

2> else:

3> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

NOTE 1a: Void.

NOTE 1b: Void.

2> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process;

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process.

1> if a MAC PDU is transmitted in a configured uplink grant and LBT failure indication is not received from lower layers:

2> if this Serving Cell is configured with *uplinkHARQ-Mode*:

3> if the corresponding HARQ process is configured as *HARQModeA*:

4> set *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerUL* plus the latest available UE-gNB RTT value;

4> if *drx-LastTransmissionUL* is configured:

5> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

4> else:

5> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

2> else:

3> if *drx-LastTransmissionUL* is configured:

4> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

3> else:

4> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

2> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process at the first transmission (within a bundle) of the corresponding PUSCH transmission.

1> if a MAC PDU is transmitted in a configured sidelink grant:

2> if the PUCCH resource is configured:

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH transmission carrying the SL HARQ feedback; or

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH resource for the SL HARQ feedback when the PUCCH is not transmitted;

3> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

2> else:

3> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process at the first symbol after the end of the corresponding PSSCH transmission;

3> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

1> if a *drx-HARQ-RTT-TimerDL* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerDL*.

1> if a *HARQ-RTT-TimerDL-NTN* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL* for the corresponding HARQ process in the first symbol after the expiry of *HARQ-RTT-TimerDL-NTN*.

1> if a *drx-HARQ-RTT-TimerUL* expires:

2> start the *drx-RetransmissionTimerUL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerUL*.

1> if a *HARQ-RTT-TimerUL-NTN* expires:

2> start the *drx-RetransmissionTimerUL* for the corresponding HARQ process in the first symbol after the expiry of *HARQ-RTT-TimerUL-NTN*.

1> if a *drx-HARQ-RTT-TimerSL* expires:

2> if a HARQ NACK feedback for the corresponding HARQ process is transmitted on PUCCH; or

2> if a HARQ NACK feedback for the corresponding HARQ process is generated but not transmitted on PUCCH; or

2> if the PUCCH resource is not configured for the SL grant:

3> start the *drx-RetransmissionTimerSL* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerSL*.

NOTE 1c: The UE handles the *drx-RetransmissionTimerSL* operation when *sl-PUCCH-Config* is configured by RRC but PUCCH resource is not scheduled same as when *sl-PUCCH-Config* is not configured.

1> if a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI or CS-RNTI, or by a configured downlink assignment for unicast transmission or a Long DRX Command MAC CE is received:

2> stop *drx-onDurationTimer* for each DRX group;

2> stop *drx-InactivityTimer* for each DRX group.

1> if *drx-InactivityTimer* for a DRX group expires:

2> if the Short DRX cycle is configured:

3> start or restart *drx-ShortCycleTimer* for this DRX group in the first symbol after the expiry of *drx-InactivityTimer*;

3> use the Short DRX cycle for this DRX group.

2> else:

3> use the Long DRX cycle for this DRX group.

1> if a DRX Command MAC CE indicated by PDCCH addressed to C-RNTI or CS-RNTI, or by a configured downlink assignment for unicast transmission is received:

2> if the Short DRX cycle is configured:

3> start or restart *drx-ShortCycleTimer* for each DRX group in the first symbol after the end of DRX Command MAC CE reception;

3> use the Short DRX cycle for each DRX group.

2> else:

3> use the Long DRX cycle for each DRX group.

1> if *drx-ShortCycleTimer* for a DRX group expires:

2> use the Long DRX cycle for this DRX group.

1> if a Long DRX Command MAC CE is received:

2> stop *drx-ShortCycleTimer* for each DRX group;

2> use the Long DRX cycle for each DRX group.

1> if the Short DRX cycle is used for a DRX group, and [(SFN × 10) + subframe number] modulo (*drx-ShortCycle*) = (*drx-StartOffset*) modulo (*drx-ShortCycle*):

2> start *drx-onDurationTimer* for this DRX group after *drx-SlotOffset* from the beginning of the subframe.

1> if the Long DRX cycle is used for a DRX group, and [(SFN × 10) + subframe number] modulo (*drx-LongCycle*) = *drx-StartOffset*:

2> if DCP monitoring is configured for the active DL BWP as specified in TS 38.213 [6], clause 10.3:

3> if DCP indication associated with the current DRX cycle received from lower layer indicated to start *drx-onDurationTimer*, as specified in TS 38.213 [6]; or

3> if all DCP occasion(s) in time domain, as specified in TS 38.213 [6], associated with the current DRX cycle occurred in Active Time considering grants/assignments/DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to start of the last DCP occasion, or during a measurement gap, or when the MAC entity monitors for a PDCCH transmission on the search space indicated by *recoverySearchSpaceId* of the SpCell identified by the C-RNTI while the *ra-ResponseWindow* is running (as specified in clause 5.1.4); or

3> if *ps-Wakeup* is configured with value *true* and DCP indication associated with the current DRX cycle has not been received from lower layers:

4> start *drx-onDurationTimer* after *drx-SlotOffset* from the beginning of the subframe.

2> else:

3> start *drx-onDurationTimer* for this DRX group after *drx-SlotOffset* from the beginning of the subframe.

NOTE 2: In case of unaligned SFN across carriers in a cell group, the SFN of the SpCell is used to calculate the DRX duration.

1> if a DRX group is in Active Time:

2> monitor the PDCCH on the Serving Cells in this DRX group as specified in TS 38.213 [6];

2> if the PDCCH indicates a DL transmission; or

2> if the PDCCH indicates a one-shot HARQ feedback as specified in clause 9.1.4 of TS 38.213 [6]; or

2> if the PDCCH indicates a retransmission of HARQ feedback as specified in clause 9.1.5 of TS 38.213 [6]:

3> if this Serving Cell is configured with *downlinkHARQ-FeedbackDisabled*:

4> if the corresponding HARQ process is configured with HARQ feedback enabled:

5> set *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerDL* plus the latest available UE-gNB RTT value;

5> start the *HARQ-RTT-TimerDL-NTN* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

3> else:

4> start or restart the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process(es) whose HARQ feedback is reported in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

NOTE 3: When HARQ feedback is postponed by PDSCH-to-HARQ\_feedback timing indicating an inapplicable k1 value, as specified in TS 38.213 [6], the corresponding transmission opportunity to send the DL HARQ feedback is indicated in a later PDCCH requesting the HARQ-ACK feedback.

3> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process(es) whose HARQ feedback is reported;

3> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process;

3> if the PDSCH-to-HARQ\_feedback timing indicate an inapplicable k1 value as specified in TS 38.213 [6]:

4> start the *drx-RetransmissionTimerDL* in the first symbol after the (end of the last) PDSCH transmission (within a bundle) for the corresponding HARQ process.

2> if the PDCCH indicates a UL transmission:

3> if this Serving Cell is configured with *uplinkHARQ-Mode*:

4> if the corresponding HARQ process is configured as *HARQModeA*:

5> set *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process equal to *drx-HARQ-RTT-TimerUL* plus the latest available UE-gNB RTT value;

5> if *drx-LastTransmissionUL* is configured:

6> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

5> else:

6> start the *HARQ-RTT-TimerUL-NTN* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

3> else:

4> if *drx-LastTransmissionUL* is configured:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the last transmission (within a bundle) of the corresponding PUSCH transmission.

4> else:

5> start the *drx-HARQ-RTT-TimerUL* for the corresponding HARQ process in the first symbol after the end of the first transmission (within a bundle) of the corresponding PUSCH transmission.

3> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process.

2> if the PDCCH indicates an SL transmission:

3> if the PUCCH resource is configured:

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH transmission carrying the SL HARQ feedback; or

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process in the first symbol after the end of the corresponding PUCCH resource for the SL HARQ feedback when the PUCCH is not transmitted;

4> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

3> else:

4> start the *drx-HARQ-RTT-TimerSL* for the corresponding HARQ process at the first symbol after end of PDCCH occasion;

4> stop the *drx-RetransmissionTimerSL* for the corresponding HARQ process.

2> if the PDCCH indicates a new transmission (DL, UL or SL) on a Serving Cell in this DRX group:

3> start or restart *drx-InactivityTimer* for this DRX group in the first symbol after the end of the PDCCH reception.

NOTE 3a: A PDCCH indicating activation of SPS, configured grant type 2, or configured sidelink grant of configured grant Type 2 is considered to indicate a new transmission.

NOTE 3b: If the PDCCH reception includes two PDCCH candidates from corresponding search spaces, as described in clause 10.1 in 38.213, start or restart *drx-InactivityTimer* for this DRX group in the first symbol after the end of the PDCCH candidate that ends later in time.

2> if a HARQ process receives downlink feedback information and acknowledgement is indicated:

3> stop the *drx-RetransmissionTimerUL* for the corresponding HARQ process.

1> if DCP monitoring is configured for the active DL BWP as specified in TS 38.213 [6], clause 10.3; and

1> if the current symbol n occurs within *drx-onDurationTimer* duration; and

1> if *drx-onDurationTimer* associated with the current DRX cycle is not started as specified in this clause:

2> if the MAC entity would not be in Active Time considering grants/assignments/DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

2> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured, or if *cfr-ConfigMulticast* is not configured for any of the active BWP(s) of the Serving Cell(s), or if all multicast DRXes would not be in Active Time considering multicast assignments/DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions are configured with multicast DRX:

3> not transmit periodic SRS and semi-persistent SRS defined in TS 38.214 [7];

3> not report semi-persistent CSI configured on PUSCH;

3> if *ps-TransmitPeriodicL1-RSRP* is not configured with value *true*:

4> not report periodic CSI that is L1-RSRP on PUCCH.

3> if *ps-TransmitOtherPeriodicCSI* is not configured with value *true*:

4> not report periodic CSI that is not L1-RSRP on PUCCH.

1> else:

2> in current symbol n, if a DRX group would not be in Active Time considering grants/assignments scheduled on Serving Cell(s) in this DRX group and DRX Command MAC CE/Long DRX Command MAC CE received and Scheduling Request sent until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

2> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured, or if *cfr-ConfigMulticast* is not configured for any of the active BWP(s) of the Serving Cell(s), or, in current symbol n, if all multicast DRXes corresponding to the DRX group would not be in Active Time considering multicast assignments/DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions corresponding to the DRX group are configured with multicast DRX:

3> not transmit periodic SRS and semi-persistent SRS defined in TS 38.214 [7] in this DRX group;

3> not report CSI on PUCCH and semi-persistent CSI configured on PUSCH in this DRX group.

2> if CSI masking (*csi-Mask*) is setup by upper layers:

3> in current symbol n, if *drx-onDurationTimer* of a DRX group would not be running considering grants/assignments scheduled on Serving Cell(s) in this DRX group and DRX Command MAC CE/Long DRX Command MAC CE received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in this clause; and

3> if *allowCSI-SRS-Tx-MulticastDRX-Active* is not configured, or if *cfr-ConfigMulticast* is not configured for any of the active BWP(s) of the Serving Cell(s), or, in current symbol n, if *drx-onDurationTimerPTM(s)* of all multicast DRXes corresponding to the DRX group would not be running considering DRX Command MAC CE for MBS multicast received until 4 ms prior to symbol n when evaluating all DRX Active Time conditions as specified in Clause 5.7b and all multicast sessions corresponding to the DRX group are configured with multicast DRX:

4> not report CSI on PUCCH in this DRX group.

NOTE 4: If a UE multiplexes a CSI configured on PUCCH with other overlapping UCI(s) according to the procedure specified in TS 38.213 [6] clause 9.2.5 and this CSI multiplexed with other UCI(s) would be reported on a PUCCH resource either outside DRX Active Time of the DRX group in which this PUCCH is configured or outside the on-duration period of the DRX group in which this PUCCH is configured if CSI masking is setup by upper layers, it is up to UE implementation whether to report this CSI multiplexed with other UCI(s).

Regardless of whether the MAC entity is monitoring PDCCH or not on the Serving Cells in a DRX group, the MAC entity transmits HARQ feedback, aperiodic CSI on PUSCH, and aperiodic SRS defined in TS 38.214 [7] on the Serving Cells in the DRX group when such is expected.

The MAC entity needs not to monitor the PDCCH if it is not a complete PDCCH occasion (e.g. the Active Time starts or ends in the middle of a PDCCH occasion).

5.7b Discontinuous Reception (DRX) for MBS Multicast

For MBS multicast, the MAC entity may be configured by RRC with a DRX functionality per G-RNTI or per G-CS-RNTI that controls the UE's PDCCH monitoring activity for the MAC entity's G-RNTI(s) and G-CS-RNTI(s) as specified in TS 38.331 [5]. When in RRC\_CONNECTED or RRC\_INACTIVE, if multicast DRX is configured for a G-RNTI or G-CS-RNTI, the MAC entity is allowed to monitor the PDCCH for this G-RNTI or G-CS-RNTI discontinuously using the multicast DRX operation specified in this clause; otherwise the MAC entity monitors the PDCCH for this G-RNTI or G-CS-RNTI as specified in TS 38.213 [6]. The multicast DRX operation specified in this clause is performed independently for each G-RNTI or G-CS-RNTI and independently from the DRX operation specified in clauses 5.7 and 5.7a.

RRC controls multicast DRX operation per G-RNTI or per G-CS-RNTI by configuring the following parameters:

- *drx-onDurationTimerPTM*: the duration at the beginning of a DRX cycle;

- *drx-SlotOffsetPTM*: the delay before starting the *drx-onDurationTimerPTM*;

- *drx-InactivityTimerPTM*: the duration after the PDCCH occasion in which a PDCCH indicates a new DL multicast transmission for the MAC entity;

- *drx-LongCycleStartOffsetPTM*: the long DRX cycle *drx-LongCycle-PTM* and *drx-StartOffset-PTM* which defines the subframe where the long DRX cycle starts;

- *drx-RetransmissionTimerDL-PTM* (per DL HARQ process for MBS multicast): the maximum duration until a DL multicast retransmission is received;

- *drx-HARQ-RTT-TimerDL-PTM* (per DL HARQ process for MBS multicast): the minimum duration before a DL multicast assignment for HARQ retransmission is expected by the MAC entity.

When multicast DRX is configured for a G-RNTI or G-CS-RNTI, the Active Time includes the time while:

- *drx-onDurationTimerPTM* or *drx-InactivityTimerPTM* or *drx-RetransmissionTimerDL-PTM* for this G-RNTI or G-CS-RNTI is running.

When multicast DRX is not configured for a G-RNTI or G-CS-RNTI and unicast DRX is configured, the MAC entity shall for this G-RNTI or G-CS-RNTI:

1> monitor the PDCCH as specified in TS 38.213 [6];

1> if the PDCCH addressed to G-RNTI indicates a DL multicast transmission; or

1> if the PDCCH addressed to G-CS-RNTI indicates a DL multicast transmission and CS-RNTI is configured; or

1> if a MAC PDU is received in a configured downlink multicast assignment and CS-RNTI is configured:

2> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]; and

2> if HARQ feedback is enabled:

3> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

2> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process.

When multicast DRX is configured for a G-RNTI or G-CS-RNTI, the MAC entity shall for this G-RNTI or G-CS-RNTI:

1> if a MAC PDU is received in a configured downlink multicast assignment:

2> if HARQ feedback is enabled:

3> start the *drx-HARQ-RTT-TimerDL-PTM* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback;

3> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]; and

3> if CS-RNTI is configured:

4> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

2> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process;

2> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process.

1> if a *drx-HARQ-RTT-TimerDL-PTM* expires:

2> if the data of the corresponding HARQ process was not successfully decoded:

3> start the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process in the first symbol after the expiry of *drx-HARQ-RTT-TimerDL-PTM*.

1> if a DRX Command MAC CE indicated by PDCCH addressed to a G-RNTI or G-CS-RNTI, or by a configured downlink multicast assignment is received:

2> stop *drx-onDurationTimerPTM* of the DRX for this G-RNTI or G-CS-RNTI;

2> stop *drx-InactivityTimerPTM* of the DRX for this G-RNTI or G-CS-RNTI.

1> if [(SFN × 10) + subframe number] modulo (*drx-LongCycle-PTM*) = *drx-StartOffset-PTM*:

2> start *drx-onDurationTimerPTM* after *drx-SlotOffsetPTM* from the beginning of the subframe.

1> if the MAC entity is in Active Time for this G-RNTI or G-CS-RNTI:

2> monitor the PDCCH for this G-RNTI or G-CS-RNTI as specified in TS 38.213 [6];

2> if the PDCCH indicates a DL multicast transmission:

3> if HARQ feedback is enabled:

4> start the *drx-HARQ-RTT-TimerDL-PTM* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback;

4> if the first HARQ-ACK reporting mode (i.e. ack-nack) is configured as specified in TS 38.213 [6]:

5> if the PDCCH addressed to G-RNTI indicates a DL multicast transmission; or

5> if the PDCCH addressed to G-CS-RNTI indicates a DL multicast transmission and CS-RNTI is configured:

6> start the *drx-HARQ-RTT-TimerDL* for the corresponding HARQ process in the first symbol after the end of the corresponding transmission carrying the DL HARQ feedback.

3> stop the *drx-RetransmissionTimerDL-PTM* for the corresponding HARQ process;

3> stop the *drx-RetransmissionTimerDL* for the corresponding HARQ process.

2> if the PDCCH indicates a new multicast transmission for this G-RNTI or G-CS-RNTI:

3> start or restart *drx-InactivityTimerPTM* in the first symbol after the end of the PDCCH reception.

NOTE 1: A PDCCH indicating activation of multicast SPS is considered to indicate a new transmission.

NOTE 2: The UE may start the *drx-HARQ-RTT-TimerDL* after receiving a PTM transmission only if *ptp-Retx-Multicast* or *ptp-Retx-SPS-Multicast* was included in the *UECapabilityInformation* message to network.

The MAC entity needs not to monitor the PDCCH for a G-RNTI or a G-CS-RNTI if it is not a complete PDCCH occasion (e.g. the Active Time for a G-RNTI or a G-CS-RNTI starts or ends in the middle of a PDCCH occasion).

Editor Note: FFS on how to handle PTM related HARQ RTT Timer and DRX Retransmission Timer when UE is in RRC\_INACTIVE.

## 6.2 Formats and parameters

### 6.2.1 MAC subheader for DL-SCH and UL-SCH

The MAC subheader consists of the following fields:

- LCID: The Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE or padding as described in Tables 6.2.1-1, 6.2.1-1c and 6.2.1-2 for the DL-SCH and UL-SCH respectively. There is one LCID field per MAC subheader. The size of the LCID field is 6 bits. If the LCID field is set to 34, one additional octet is present in the MAC subheader containing the eLCID field and follow the octet containing LCID field. If the LCID field is set to 33, two additional octets are present in the MAC subheader containing the eLCID field and these two additional octets follow the octet containing LCID field;

NOTE 1: For MBS broadcast, a logical channel is identified based on G-RNTI and LCID if the same LCID is allocated for logical channels corresponding to different G-RNTIs.

- eLCID: The extended Logical Channel ID field identifies the logical channel instance of the corresponding MAC SDU or the type of the corresponding MAC CE as described in tables 6.2.1-1a, 6.2.1-1b, 6.2.1-2a and 6.2.1-2b for the DL-SCH and UL-SCH respectively. The size of the eLCID field is either 8 bits or 16 bits.

NOTE 2: The extended Logical Channel ID space using two-octet eLCID and the relevant MAC subheader format is used, only when configured, on the NR backhaul links between IAB nodes or between IAB node and IAB Donor, or for multicast MTCHs.

- L: The Length field indicates the length of the corresponding MAC SDU or variable-sized MAC CE in bytes. There is one L field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the L field is indicated by the F field;

- F: The Format field indicates the size of the Length field. There is one F field per MAC subheader except for subheaders corresponding to fixed-sized MAC CEs, padding, and MAC SDUs containing UL CCCH. The size of the F field is 1 bit. The value 0 indicates 8 bits of the Length field. The value 1 indicates 16 bits of the Length field;

- R: Reserved bit, set to 0.

The MAC subheader is octet aligned.

Table 6.2.1-1 Values of LCID for DL-SCH

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | CCCH |
| 1–32 | Identity of the logical channel of DCCH, DTCH and multicast MTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35–46 | Reserved |
| 47 | Recommended bit rate |
| 48 | SP ZP CSI-RS Resource Set Activation/Deactivation |
| 49 | PUCCH spatial relation Activation/Deactivation |
| 50 | SP SRS Activation/Deactivation  |
| 51 | SP CSI reporting on PUCCH Activation/Deactivation |
| 52 | TCI State Indication for UE-specific PDCCH |
| 53 | TCI States Activation/Deactivation for UE-specific PDSCH |
| 54 | Aperiodic CSI Trigger State Subselection |
| 55 | SP CSI-RS/CSI-IM Resource Set Activation/Deactivation |
| 56 | Duplication Activation/Deactivation |
| 57 | SCell Activation/Deactivation (four octets) |
| 58 | SCell Activation/Deactivation (one octet) |
| 59 | Long DRX Command |
| 60 | DRX Command |
| 61 | Timing Advance Command |
| 62 | UE Contention Resolution Identity |
| 63 | Padding |

Table 6.2.1-1a Values of two-octet eLCID for DL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

Table 6.2.1-1b Values of one-octet eLCID for DL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to 226 | 64 to 290 | Reserved |
| 227 | 291 | Serving Cell Set based SRS TCI State Indication MAC CE |
| 228 | 292 | SP/AP SRS TCI State Indication MAC CE |
| 229 | 293 | BFD-RS Indication MAC CE |
| 230 | 294 | Differential Koffset |
| 231 | 295 | Enhanced SCell Activation/Deactivation MAC CE with one octet Ci field |
| 232 | 296 | Enhanced SCell Activation/Deactivation MAC CE with four octet Ci field  |
| 233 | 297 | Unified TCI States Activation/Deactivation MAC CE |
| 234 | 298 | PUCCH Power Control Set Update for multiple TRP PUCCH repetition MAC CE |
| 235 | 299 | PUCCH spatial relation Activation/Deactivation for multiple TRP PUCCH repetition MAC CE |
| 236 | 300 | Enhanced TCI States Indication for UE-specific PDCCH |
| 237 | 301 | Positioning Measurement Gap Activation/Deactivation Command |
| 238 | 302 | PPW Activation/Deactivation Command |
| 239 | 303 | DL Tx Power Adjustment |
| 240 | 304 | Timing Case Indication |
| 241 | 305 | Child IAB-DU Restricted Beam Indication |
| 242 | 306 | Case-7 Timing advance offset |
| 243 | 307 | Provided Guard Symbols for Case-6 timing |
| 244 | 308 | Provided Guard Symbols for Case-7 timing |
| 245 | 309 | Serving Cell Set based SRS Spatial Relation Indication |
| 246 | 310 | PUSCH Pathloss Reference RS Update |
| 247 | 311 | SRS Pathloss Reference RS Update |
| 248 | 312 | Enhanced SP/AP SRS Spatial Relation Indication |
| 249 | 313 | Enhanced PUCCH Spatial Relation Activation/Deactivation |
| 250 | 314 | Enhanced TCI States Activation/Deactivation for UE-specific PDSCH |
| 251 | 315 | Duplication RLC Activation/Deactivation |
| 252 | 316 | Absolute Timing Advance Command |
| 253 | 317 | SP Positioning SRS Activation/Deactivation |
| 254 | 318 | Provided Guard Symbols |
| 255 | 319 | Timing Delta |

Table 6.2.1-1c Values of LCID for MBS broadcast on DL-SCH

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | MCCH |
| 1–32 | Identity of the logical channel of broadcast MTCH |
| 33–63 | Reserved |

Table 6.2.1-2 Values of LCID for UL-SCH

|  |  |
| --- | --- |
| Codepoint/Index | LCID values |
| 0 | CCCH of size 64 bits (referred to as "CCCH1" in TS 38.331 [5]), except for a RedCap UE |
| 1–32 | Identity of the logical channel of DCCH and DTCH |
| 33 | Extended logical channel ID field (two-octet eLCID field) |
| 34 | Extended logical channel ID field (one-octet eLCID field) |
| 35 | CCCH of size 48 bits (referred to as "CCCH" in TS 38.331 [5]) for a RedCap UE  |
| 36 | CCCH of size 64 bits (referred to as "CCCH1" in TS 38.331 [5]) for a RedCap UE |
| 37–42 | Reserved |
| 43 | Truncated Enhanced BFR (one octet Ci) |
| 44 | Timing Advance Report |
| 45 | Truncated Sidelink BSR |
| 46 | Sidelink BSR |
| 47 | Reserved |
| 48 | LBT failure (four octets) |
| 49 | LBT failure (one octet) |
| 50 | BFR (one octet Ci) |
| 51 | Truncated BFR (one octet Ci) |
| 52 | CCCH of size 48 bits (referred to as "CCCH" in TS 38.331 [5]), except for a RedCap UE |
| 53 | Recommended bit rate query |
| 54 | Multiple Entry PHR (four octets Ci) |
| 55 | Configured Grant Confirmation |
| 56 | Multiple Entry PHR (one octet Ci) |
| 57 | Single Entry PHR |
| 58 | C-RNTI |
| 59 | Short Truncated BSR |
| 60 | Long Truncated BSR |
| 61 | Short BSR |
| 62 | Long BSR |
| 63 | Padding |

Table 6.2.1-2a Values of two-octet eLCID for UL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to (216 – 1) | 320 to (216 + 319) | Identity of the logical channel |

Table 6.2.1-2b Values of one-octet eLCID for UL-SCH

|  |  |  |
| --- | --- | --- |
| Codepoint | Index | LCID values |
| 0 to 228 | 64 to 292 | Reserved |
| 229 | 293 | Enhanced Multiple Entry PHR for multiple TRP (four octets Ci) |
| 230 | 294 | Enhanced Multiple Entry PHR for multiple TRP (one octets Ci) |
| 231 | 295 | Enhanced Single Entry PHR for multiple TRP |
| 232 | 296 | Enhanced Multiple Entry PHR (four octets Ci) |
| 233 | 297 | Enhanced Multiple Entry PHR (one octets Ci) |
| 234 | 298 | Enhanced Single Entry PHR |
| 235 | 299 | Enhanced BFR (one octet Ci) |
| 236 | 300 | Enhanced BFR (four octet Ci) |
| 237 | 301 | Truncated Enhanced BFR (four octet Ci) |
| 238 | 302 | Positioning Measurement Gap Activation/Deactivation Request |
| 239 | 303 | IAB-MT Recommended Beam Indication |
| 240 | 304 | Desired IAB-MT PSD range |
| 241 | 305 | Desired DL Tx Power Adjustment |
| 242 | 306 | Case-6 Timing Request |
| 243 | 307 | Desired Guard Symbols for Case 6 timing |
| 244 | 308 | Desired Guard Symbols for Case 7 timing |
| 245 | 309 | Extended Short Truncated BSR |
| 246 | 310 | Extended Long Truncated BSR |
| 247 | 311 | Extended Short BSR |
| 248 | 312 | Extended Long BSR |
| 249 | 313 | Extended Pre-emptive BSR |
| 250 | 314 | BFR (four octets Ci) |
| 251 | 315 | Truncated BFR (four octets Ci) |
| 252 | 316 | Multiple Entry Configured Grant Confirmation |
| 253 | 317 | Sidelink Configured Grant Confirmation |
| 254 | 318 | Desired Guard Symbols |
| 255 | 319 | Pre-emptive BSR |

7 Variables and constants

7.1 RNTI values

RNTI values are presented in Table 7.1-1.

**Table 7.1-1: RNTI values.**

|  |  |
| --- | --- |
| **Value (hexa-decimal)** | **RNTI** |
| 0000 | N/A |
| 0001–FFF2 | RA-RNTI, MSGB-RNTI, Temporary C-RNTI, C-RNTI, CI-RNTI, MCS-C-RNTI, CS-RNTI, TPC-PUCCH-RNTI, TPC-PUSCH-RNTI, TPC-SRS-RNTI, INT-RNTI, SFI-RNTI, SP-CSI-RNTI, PS-RNTI, SL-RNTI, SLCS-RNTI SL Semi-Persistent Scheduling V-RNTI, AI-RNTI, G-RNTI, G-CS-RNTI, and CG-SDT-CS-RNTI |
| FFF3–FFFB | Reserved |
| FFFC | PEI-RNTI |
| FFFD | MCCH-RNTI |
| FFFE | P-RNTI |
| FFFF | SI-RNTI |

Editor Note: FFS on the new RNTI for multicast MCCH.

Annex - RAN2 agreements on MBS enhancement in Rel-18

## RAN2#121bis Agreements

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| Multicast reception in RRC\_INACTIVE * *Control plane*

*# service continuity scenarios in RRC\_INACTIVE** Similar to Rel-17 broadcast reception procedure, UE acquires new SIB and multicast MCCH to get PTM configuration after cell reselection.
* When a UE reselects to a cell for which PTM configuration is not available in multicast MCCH, the UE initiates RRC resumption procedure for an active multicast session it is interested to receive or continue receiving.
* UE may trigger RRC connection resumption if the reception quality of the multicast data is below a configured threshold, FFS how to specify the threshold/reception quality.

*# frequency prioritization & NCL** Frequency prioritization may be provided to the UE for cell reselection for multicast reception in RRC\_INACTIVE, detailed mechanism on how to identify the frequency info (e.g., SAI, USD, or frequency info directly provided by network) is FFS.
* No need to define a mechanism other than the frequency prioritization, i.e., per cell based prioritization in cell re-selection, to help UE choose the right cell to camp on.
* The neighbor cell list mechanism for multicast reception in RRC\_INACTIVE may be configured e.g. it can be used by UE to resume RRC connection if service is not available in the re-selected cell by NCL, without reading MCCH in the re-selected cell, in some aspects similar to Rel-17 NCL mechanism in MBS broadcast.

*# NCL notification on session state change or data availability* * A "special UE" identified by MBS assistance information from 5GC can be released to RRC\_INACTIVE (e.g., when the session is deactivated). FFS how can network enable such UE to resume to RRC\_CONNECTED upon session activation
* Rel-18 UE can stay in RRC\_INACTIVE and start monitoring corresponding G-RNTI upon an enhanced group paging (e.g., upon session activation or data transmission resumed). Details FFS.
* For one UE already in RRC\_INACTIVE, it can stay in RRC\_INACTIVE and stop monitoring corresponding G-RNTI upon events like session deactivation/temporary no data.
* FFS which option to take: enhanced group paging or enhanced MCCH, to enable Rel-18 UE to stay in RRC\_INACTIVE and stop monitoring corresponding G-RNTI upon events like session deactivation/temporary no data.
* No additional enhancement (with regard to enhancements made for ‘deactivation/temp no data’) is needed specifically for enabling UE to stay in RRC\_INACTIVE and stop monitoring corresponding G-RNTI upon session release.
* Legacy group paging (i.e., Rel-17 group paging) can be used to resume UE to RRC\_CONNECTED state.
* Upon events like session activation/data transmission resumed, if PTM configuration is not available to UE, UE initiates RRC connection resumption.
* UE-specific paging (i.e. PagingRecordList) can be used to move specific MBS multicast UE(s) to RRC\_CONNECTED (i.e. legacy UE behavior).
* When both enhanced group paging and unicast paging are received by the UE (and targeted for this UE), the UE follows unicast Paging and goes to RRC CONNECTED.
* *User plane*

*# CFR* * From the location&bandwidth and SCS configuration perspective,  follow R17 MBS broadcast CFR principle (i.e. case A,C,E) to provide multicast CFR configuration in RRC\_INACTIVE.
* Multicast CFR in RRC\_INACTIVE and broadcast CFR can be configured differently. FFS whether we need to restrict that one CFR is completely contained within the other in this case (we should understand what the issue is otherwise).
* Case B and case D are not supported for multicast CFR in RRC\_INACTIVE;
* Whether multicast CFR in RRC\_CONNECTED and in RRC\_INACTIVE are different is up to NW implementation. FFS whether this causes some issues which need to be addressed.
* The same CFR is used for multicast MCCH and MTCH. It can be revisited if there is any issue found, e.g. for RedCap UEs.

*# HARQ, Beam, DCI** HARQ feedback related information in the DCI is not needed or can be ignored for multicast transmission to RRC\_INACTIVE UE.
* The HARQ operation for multicast reception in RRC\_INACTIVE is same as the operation without HARQ feedback in RRC\_CONNECTED state.
* The multicast transmission RRC\_INACTIVE is performed via beam sweeping based on SSB index like broadcast MBS (i.e. beam information is not need in DCI.
* For MTCH, RAN2 assumes to reuse the same DCI format of R17 multicast (i.e. DCI format 4-1/4-2) for dynamic scheduling of multicast in RRC INACTIVE. RAN2 assumes for MCCH scheduling, DCI format 4-0 is used. We will ask RAN1 to confirm whether it is feasible and whether both 4-1 and 4-2 are needed.
* We will also indicate other relevant agreements to RAN1 (e.g. on beam sweeping etc.)

*# Others** On support of multicast SPS in RRC\_INACTIVE, postpone RAN2 discussion to next meeting.
* On DRX operation for multicast in RRC\_INACTIVE, take the multicast DRX as baseline. FFS handling on PTM related HARQ RTT Timer and DRX Retransmission Timer.
* The common LCID space is used for multicast MRB and unicast DRB regardless of UE RRC state (i.e. no change on the LCID table for MTCH).
* Postpone the UP discussion on L2 operation during RRC state transition until the signaling design of PTM configuration in RRCRelease message is concluded.
* Postpone the discussion on L2 operation during mobility to next RAN2 meeting.
* Including the following two issues in LS to RAN1:
	+ - * Issue 1: RAN1 to confirm RAN2 understanding that PDSCH aggregation is supported for multicast MTCH in RRC\_INACTIVE (as that is supported in Rel-17 multicast MTCH in RRC\_CONNECTED as well as broadcast MTCH).
			* Issue 2: RAN1 to check the feasibility of following Rel-17 CSS design for multicast MTCH and MCCH: 1) reusing the same CSS for multicast MTCH in RRC\_INACTIVE (same as multicast MTCH in RRC\_CONNECTED); 2) separate CSS for MCCH and MTCH.
* UE in RRC CONNECTED state is not required to read multicast MCCH to be able to receive multicast MBS service i.e. the UE receives the PTM configuration via dedicated signalling. This can be revisited if issues with service continuity are identified.
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## RAN2#121 Agreements

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| Multicast reception in RRC\_INACTIVE * *PTM configuration aspects and mobility*
* UE shall join in the multicast session before receiving multicast in RRC INACTIVE.
* If network finds it useful, the PTM configuration for the (single) serving cell can be configured to UE before the session activation, and UE stores the configuration. When session is activated, UE can receive multicast in INACTIVE state by applying the configuration without going back to RRC\_CONNECTED, if not updated by MCCH after being configured.
* When network configures UE to receive multicast in INACTIVE state, RRCRelease message with suspendconfig can be used to deliver the PTM configuration. Other dedicated RRC messages will not be used to provide PTM configuration for MBS multicast for INACTIVE.
* We introduce a new MCCH logical channel for multicast in INACTIVE (different from broadcast MCCH)
* Multicast MCCH configuration is provided via new SIB.
* Optionally, Multicast MCCH configuration for the serving cell can also be provided in dedicated signalling. Understanding is we are not optimizing mobility case because of this.
* Serving cell will not provide the PTM configuration of neighbour cells from other gNBs.
* FFS whether the network can provide PTM configuration for intra-gNB cells.
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| Shared processing for MBS broadcast and Unicast reception* Indicate the capability of receiving MBS broadcast from a non-serving cell. FFS whether the granularity is at FeatureSetDownlink or FeatureSetDownlinkPerCC level.
* FFS Whether to include additional information in MII can be controlled by the network. Should consider whether this would be two-step procedure or one-step procedure (e.g. having more info in SIB1)
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## RAN2#120 Agreements

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| Multicast reception in RRC\_INACTIVE* *PTM configuration and PTM reconifguration during mobility*
* We will have a mixed approach and we start with the following:
	+ 1. When NW configures UE to continue the multicast reception in INACTIVE state, NW provides the PTM configuration for the activated multicast session via the RRC dedicated signalling, at least for the serving cell (FFS other cases).
		2. MCCH is used in case there is a need to indicate a PTM configuration in case there is a need for change in PTM config or during mobility beyond serving cell / gNB. FFS session status change and other indications.
		3. We assume that the UE can only receive multicast service after it joined the session.
		4. FFS whether MCCH configuration is initially provided to the UE via dedicated signalling.
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## RAN2#119bis Agreements

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| Multicast reception in RRC\_INACTIVE* The following general description is taken as baseline for PTM configuration delivery Option 1:

(1-a) PTM configuration(s) (i.e., configurations used for multicast reception in RRC\_INACTIVE) of one or more multicast sessions for at least one cell are provided via dedicated RRC signaling to a UE. (1-b) The RRC message for this includes RRCReconfiguration and/or RRCRelease and/or RRCResume (details FFS)(1-c) UE stores the received configurations while it is in RRC\_INACTIVE, and if there is a need to update some or all the configurations, the UE is notified of such changes and may trigger RRC connection resume to obtain the updated configurations. In case of mobility in RRC\_INACTIVE, the UE triggers RRC connection resume if the configuration of the session is not available for the new cell.* The following general description is taken as baseline for PTM configuration delivery Option 2:

(2-a) PTM configurations (i.e., configurations used for multicast reception in RRC\_INACTIVE) are provided via an MCCH-like channel (same or different as used for MBS broadcast), and information regarding MCCH scheduling is provided via SIB, FFS dedicated signalling(2-b) UE can receive such configurations when it is in RRC\_INACTIVE, FFS whether it is allowed/needed to also receive when UE is in RRC\_CONNECTED(2-c) If there is a need to update some or all the received configurations, UE does not need to resume RRC connection but is notified of such changes (e.g. via MCCH DCI) and obtains the updated configurations via MCCH.* Dedicated RRC signalling (i.e. RRC release message with suspendConfig) is used for switching a multicast receiving UE from RRC\_CONNECTED to RRC\_INACTIVE and continue multicast reception (details FFS).
* For both option 1 and option 2, as a baseline, group paging can be used to switch UEs receiving multicast from RRC\_INACTIVE to RRC\_CONNECTED, and UEs continue the multicast reception in CONNECTED. FFS if there is any potential issue if Rel-17 group paging is reused. FFS if there are other cases when UE triggers resume. FFS if MCCH can also be used in case of option 2.
* Rel-18 UE in INACTIVE can be informed when the session is activated (Details FFS).
* As a baseline, group paging can be used to inform Rel-18 UE(s) about the session activation (Details FFS, e.g., UE behavior when receiving such group notification).
* If a UE is in RRC\_INACTIVE and is configured to receive a multicast session in RRC\_INACTIVE, the UE may be notified when the multicast session is deactivated. FFS how (e.g., informed via group paging, MCCH, or other ways).
* Rel-17 mechanism (NAS-based indication) is applicable for multicast session release. FFS if any enhancement is needed.
* FFS how UE determines whether it can receive the multicast session in RRC\_INACTIVE or not when the session is activated, taking into account the following solutions (can further update the descriptions if needed, and several solutions may be needed, some solutions may apply only for certain configuration options)

1. When the multicast session is activated, UE can receive the multicast session in RRC\_INACTIVE if the PTM configuration used in RRC\_INACTIVE for the session is available to the UE and the UE has joined the session already (e.g., configuration provided to UE via dedicated RRC signaling or via MCCH), otherwise it goes back to RRC\_CONNECTED to receive the multicast session. 2. When the multicast session is activated, UE is indicated by group paging whether it can receive the multicast session in RRC\_INACTIVE or not (detailed signaling FFS).3. UE is configured "whether it can receive the multicast session in RRC\_INACTIVE" by dedicated signaling before UE is released. When the multicast session is activated, UE stays in RRC\_INACTIVE or resumes RRC connection accordingly (detailed signaling FFS).* If option 1 is supported for PTM configuration

As a baseline, group paging may be used to inform the UE when network changes the PTM configurations, and UE upon reception triggers RRC connection resume procedure to obtain the updated configurations (details of group paging can be FFS).FFS whether and how to solve the issue in signalling/system load when a large number of UEs in the cell need PTM configuration update.* FFS if there is an issue that a UE can obtain all the PTM configurations for a multicast service via Option 2 without/before joining the multicast session on the condition that security is enabled by service layer. And if yes FFS how to solve the issue (e.g., dedicated configuration + MCCH).
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| Shared processing for MBS broadcast and Unicast reception* For shared processing we adopt the following as a baseline:

1) new IE is added in system information to control whether MBSInterestIndication for shared processing can be sent or not; 2) MBSInterestIndication message content and related procedure is updated for shared processing.* New IE to control whether MBSInterestIndication for shared processing can be sent or not is added to SIB1.
* In MBSInterestIndication, for a broadcast service that the UE is receiving or is interested to receive, at least the following information can be signalled: broadcast frequency, subcarrier spacing, and bandwidth. FFS details/exact parameters and other information. FFS in which scenarios the UE reports this information (e.g. intra-PLMN case, inter-PLMN case)
* FFS whether UE capability is needed to enable shared processing.
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## RAN2#119 Agreements

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| Multicast reception in RRC\_INACTIVE* *General assumptions, scenarios*
* In Rel-18, multicast reception for UEs in INACTIVE supports at least the following scenarios, with the assumption that the UE already has a valid PTM configuration:

- Scenario 1: a UE has been receiving multicast in CONNECTED, and it enters INACTIVE and continues the multicast reception.- Scenario 2: a UE has joined a multicast session and has been directed to INACTIVE, the UE starts to receive the multicast session FFS for state changes, e.g. due to service being not provided in INACTIVE anymore etc.* It is up to gNB to decide whether a multicast session may be received by UE(s) in INACTIVE. FFS what information gNB may be provided to form such decision (related to SA2 discussion).
* It is supported that gNB transmit one multicast session to both UEs in CONNECTED and INACTIVE in the same cell. FFS how the gNB configures this.
* It is assumed the network can choose which UEs receive in RRC INACTIVE and which in RRC Connected and can move UEs between the states for Multicast service reception.
* The following is taken as baseline: we assume the same PDCCH/PDSCH resources (e.g. resources used for MTCH) can be used for all UEs (including UEs in CONNECTED and/or INACTIVE states) for receiving the same multicast session. Different configuration/resources are not precluded as well. FFS what exactly can be common and what not (e.g. HARQ, SPS etc.) and what is needed in addition (to legacy PTM config).
* *PTM configuration for RRC\_INACTIVE*
* For PTM configuration delivery, RAN2 further investigates the following solutions:

Option 1: Dedicated signallingOption 2: Solution based on SIB+MCCHWe do not preclude some “mix” of the options* HARQ feedback and PTP are not supported for multicast reception in RRC\_INACTIVE.
* *Mobility support*
* Multicast service continuity after cell reselection in RRC\_INACTIVE state (i.e. without resuming RRC connection) will be supported (if the configuration of the new cell is available for the UE). FFS whether there are cases where the UE needs to resume the connection. FFS RAN3 impacts due to inter-gNB mobility.
* Upon cell reselection to neighbour cells during active multicast session, if the configuration of the session is not available for the new cell for UEs in INACTIVE, then the UE is required to resume RRC connection to get the Multicast MRB configuration.
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| Shared processing for MBS broadcast and Unicast reception* RAN2 focuses on solutions taking multi-Rx UEs (i.e. no specific enhancements for 1Rx UEs).
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