**3GPP TSG-RAN2 Meeting #121 *R2-230xxxx***

**Athens, Greece, 27th February– 03th March, 2023**

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| *CR-Form-v12.2* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **38.331** | **CR** | **3836** | **rev** | **1** | **Current version:** | **17.3.0** |  |
|  | | | | | | | | |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | RRC Correction on the capability for 1024QAM | | | | | | | | | |
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| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | RAN2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_DL1024QAM\_FR1 | | | | |  | ***Date:*** | | | 2023-2-17 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **F** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18) Rel-19 (Release 19)* | |
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| ***Reason for change:*** | | The current per CC supportedModulationOrderDL is not extended to report 1024 QAM, and the absent *supportedModulationOrderDL* of is used to indicate 256 QAM or 1024 QAM based on whether 1024QAM capability is reported or not. Even though it would work even if 1024 QAM is not introduced to *supportedModulationOrderDL* based on the current logic, it is not forward compatible. For example, if 4096 QAM was introduced in the future, the absent of supportedModulationOrderDL would not be able to imply 1024 QAM anymore.  This issue was discussed in RAN2-120 based on R2-2212595 and the following agreement was made:  *Assume to Extend supportedModulationOrderDL to include 1024 QAM (confirm with R1).* | | | | | | | | |
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| ***Summary of change:*** | | Extend UE capability *supportedModulationOrderDL* to allow indicating support for 1024 QAM.  **Impact analysis**  Impacted 5G architecture options:  NR standalone, (NG)EN-DC, NE-DC, NR-DC  Impacted functionality:  1024QAM  Inter-operability:  1. If the network is implemented according to the CR and the UE is not, there are no inter-operability issues since network will not configure 1024QAM for the UE since it will never indicate the new signalling.;  2. If the UE is implemented according to the CR and the network is not, the network may assume UE supports 1024QAM due to absence of signalling, which may cause issue if the network configures the UE . | | | | | | | | |
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| ***Consequences if not approved:*** | | Specification doesn’t allow explicit indication of supported modulation order for FeatureSetDownliknPerCC. | | | | | | | | |
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| ***Clauses affected:*** | | 6.3.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **x** |  | Other core specifications | | | | TS 38.306 CR#0860 | | |
| ***affected:*** | |  | **x** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **x** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | | This CR is mandatory to implement for UEs and networks supporting 1024QAM. | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

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| START OF CHANGE |

6.3.3 UE capability information elements

*-----------Text omitted-------------*

– *FeatureSetDownlinkPerCC*

The IE *FeatureSetDownlinkPerCC* indicates a set of features that the UE supports on the corresponding carrier of one band entry of a band combination.

***FeatureSetDownlinkPerCC* information element**

-- ASN1START

-- TAG-FEATURESETDOWNLINKPERCC-START

FeatureSetDownlinkPerCC ::= SEQUENCE {

supportedSubcarrierSpacingDL SubcarrierSpacing,

supportedBandwidthDL SupportedBandwidth,

channelBW-90mhz ENUMERATED {supported} OPTIONAL,

maxNumberMIMO-LayersPDSCH MIMO-LayersDL OPTIONAL,

supportedModulationOrderDL ModulationOrder OPTIONAL

}

FeatureSetDownlinkPerCC-v1620 ::= SEQUENCE {

-- R1 16-2a: Mulit-DCI based multi-TRP

multiDCI-MultiTRP-r16 MultiDCI-MultiTRP-r16 OPTIONAL,

-- R1 16-2b-3: Support of single-DCI based FDMSchemeB

supportFDM-SchemeB-r16 ENUMERATED {supported} OPTIONAL

}

FeatureSetDownlinkPerCC-v1700 ::= SEQUENCE {

supportedMinBandwidthDL-r17 SupportedBandwidth-v1700 OPTIONAL,

broadcastSCell-r17 ENUMERATED {supported} OPTIONAL,

-- R1 33-2g: MIMO layers for multicast PDSCH

maxNumberMIMO-LayersMulticastPDSCH-r17 ENUMERATED {n2, n4, n8} OPTIONAL,

-- R1 33-2h: Dynamic scheduling for multicast for SCell

dynamicMulticastSCell-r17 ENUMERATED {supported} OPTIONAL,

supportedBandwidthDL-v1710 SupportedBandwidth-v1700 OPTIONAL,

-- R4 24-1/24-2/24-3/24-4/24-5

supportedCRS-InterfMitigation-r17 CRS-InterfMitigation-r17 OPTIONAL

}

FeatureSetDownlinkPerCC-v1720 ::= SEQUENCE {

-- R1 33-2j: Supported maximum modulation order used for maximum data rate calculation for multicast PDSCH

maxModulationOrderForMulticastDataRateCalculation-r17 ENUMERATED {qam64, qam256, qam1024} OPTIONAL,

-- R1 33-1-2: FDM-ed unicast PDSCH and group-common PDSCH for broadcast

fdm-BroadcastUnicast-r17 ENUMERATED {supported} OPTIONAL,

-- R1 33-3-2: FDM-ed unicast PDSCH and one group-common PDSCH for multicast

fdm-MulticastUnicast-r17 ENUMERATED {supported} OPTIONAL

}

FeatureSetDownlinkPerCC-v1730 ::= SEQUENCE {

-- R1 33-3-3: Intra-slot TDM-ed unicast PDSCH and group-common PDSCH

intraSlotTDM-UnicastGroupCommonPDSCH-r17 ENUMERATED {yes, no} OPTIONAL,

-- R1 33-5-3: One SPS group-common PDSCH configuration for multicast for SCell

sps-MulticastSCell-r17 ENUMERATED {supported} OPTIONAL,

-- R1 33-5-4: Up to 8 SPS group-common PDSCH configurations per CFR for multicast for SCell

sps-MulticastSCellMultiConfig-r17 INTEGER (1..8) OPTIONAL,

-- R1 33-1-1: Dynamic slot-level repetition for broadcast MTCH

dci-BroadcastWith16Repetitions-r17 ENUMERATED {supported} OPTIONAL

}

FeatureSetDownlinkPerCC-v17xy ::= SEQUENCE {

supportedModulationOrderDL-v17xy ModulationOrder-v17xy OPTIONAL

}

MultiDCI-MultiTRP-r16 ::= SEQUENCE {

maxNumberCORESET-r16 ENUMERATED {n2, n3, n4, n5},

maxNumberCORESETPerPoolIndex-r16 INTEGER (1..3),

maxNumberUnicastPDSCH-PerPool-r16 ENUMERATED {n1, n2, n3, n4, n7}

}

CRS-InterfMitigation-r17 ::= SEQUENCE {

-- R4 24-1 CRS-IM (Interference Mitigation) in DSS scenario

crs-IM-DSS-15kHzSCS-r17 ENUMERATED {supported} OPTIONAL,

-- R4 24-2 CRS-IM in non-DSS and 15 kHz NR SCS scenario, without the assistance of network signaling on LTE channel bandwidth

crs-IM-nonDSS-15kHzSCS-r17 ENUMERATED {supported} OPTIONAL,

-- R4 24-3 CRS-IM in non-DSS and 15 kHz NR SCS scenario, with the assistance of network signaling on LTE channel bandwidth

crs-IM-nonDSS-NWA-15kHzSCS-r17 ENUMERATED {supported} OPTIONAL,

-- R4 24-4 CRS-IM in non-DSS and 30 kHz NR SCS scenario, without the assistance of network signaling on LTE channel bandwidth

crs-IM-nonDSS-30kHzSCS-r17 ENUMERATED {supported} OPTIONAL,

-- R4 24-5 CRS-IM in non-DSS and 30 kHz NR SCS scenario, with the assistance of network signaling on LTE channel bandwidth

crs-IM-nonDSS-NWA-30kHzSCS-r17 ENUMERATED {supported} OPTIONAL

}

-- TAG-FEATURESETDOWNLINKPERCC-STOP

-- ASN1STOP

|  |
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| NEXT CHANGE |

– *FeatureSets*

The IE *FeatureSets* is used to provide pools of downlink and uplink features sets. A *FeatureSetCombination* refers to the IDs of the feature set(s) that the UE supports in that *FeatureSetCombination*. The *BandCombination* entries in the *BandCombinationList* then indicate the ID of the *FeatureSetCombination* that the UE supports for that band combination.

The entries in the lists in this IE are identified by their index position. For example, the *FeatureSetUplinkPerCC-Id* = 4 identifies the 4th element in the *featureSetsUplinkPerCC* list.

NOTE: When feature sets (per CC) IEs require extension in future versions of the specification, new versions of the *FeatureSetDownlink*, *FeatureSetUplink*, *FeatureSets*, *FeatureSetDownlinkPerCC* and/or *FeatureSetUplinkPerCC* will be created and instantiated in corresponding new lists in the *FeatureSets* IE. For example, if new capability bits are to be added to the *FeatureSetDownlink*, they will instead be defined in a new *FeatureSetDownlink-rxy* which will be instantiated in a new *featureSetDownlinkList-rxy* list. If a UE indicates in a *FeatureSetCombination* that it supports the *FeatureSetDownlink* with ID #5, it implies that it supports both the features in *FeatureSetDownlink* #5 and *FeatureSetDownlink-rxy* #5 (if present). The number of entries in the new list(s) shall be the same as in the original list(s).

***FeatureSets* information element**

-- ASN1START

-- TAG-FEATURESETS-START

FeatureSets ::= SEQUENCE {

featureSetsDownlink SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink OPTIONAL,

featureSetsDownlinkPerCC SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC OPTIONAL,

featureSetsUplink SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink OPTIONAL,

featureSetsUplinkPerCC SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetUplinkPerCC OPTIONAL,

...,

[[

featureSetsDownlink-v1540 SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v1540 OPTIONAL,

featureSetsUplink-v1540 SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink-v1540 OPTIONAL,

featureSetsUplinkPerCC-v1540 SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetUplinkPerCC-v1540 OPTIONAL

]],

[[

featureSetsDownlink-v15a0 SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v15a0 OPTIONAL

]],

[[

featureSetsDownlink-v1610 SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v1610 OPTIONAL,

featureSetsUplink-v1610 SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink-v1610 OPTIONAL,

featureSetDownlinkPerCC-v1620 SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC-v1620 OPTIONAL

]],

[[

featureSetsUplink-v1630 SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink-v1630 OPTIONAL

]],

[[

featureSetsUplink-v1640 SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink-v1640 OPTIONAL

]],

[[

featureSetsDownlink-v1700 SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v1700 OPTIONAL,

featureSetsDownlinkPerCC-v1700 SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC-v1700 OPTIONAL,

featureSetsUplink-v1710 SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink-v1710 OPTIONAL,

featureSetsUplinkPerCC-v1700 SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetUplinkPerCC-v1700 OPTIONAL

]],

[[

featureSetsDownlink-v1720 SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v1720 OPTIONAL,

featureSetsDownlinkPerCC-v1720 SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC-v1720 OPTIONAL,

featureSetsUplink-v1720 SEQUENCE (SIZE (1..maxUplinkFeatureSets)) OF FeatureSetUplink-v1720 OPTIONAL

]],

[[

featureSetsDownlink-v1730 SEQUENCE (SIZE (1..maxDownlinkFeatureSets)) OF FeatureSetDownlink-v1730 OPTIONAL,

featureSetsDownlinkPerCC-v1730 SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC-v1730 OPTIONAL

]] ,

[[

featureSetsDownlinkPerCC-v17xy SEQUENCE (SIZE (1..maxPerCC-FeatureSets)) OF FeatureSetDownlinkPerCC-v17xy OPTIONAL

]]

}

-- TAG-FEATURESETS-STOP

-- ASN1STOP

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| NEXT CHANGE |

– *ModulationOrder*

The IE *ModulationOrder* is used to convey the maximum supported modulation order.

***ModulationOrder* information element**

-- ASN1START

-- TAG-MODULATIONORDER-START

ModulationOrder ::= ENUMERATED {bpsk-halfpi, bpsk, qpsk, qam16, qam64, qam256}

ModulationOrder-v17xy ::= ENUMERATED {qam1024}

-- TAG-MODULATIONORDER-STOP

-- ASN1STOP

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| END OF CHANGE |