**3GPP TSG-RAN2 Meeting #120 *TDoc R2-XXXXX***

**Toulouse, France, 14th – 18th November, 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.300** | **CR** | **0589** | **rev** | **1** | **Current version:** | **17.2.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | 38.300 Running CR for MBS enhancements | | | | | | | | | |
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| ***Source to WG:*** | CMCC | | | | | | | | | |
| ***Source to TSG:*** |  | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NR\_MBS-Core | | | | |  | ***Date:*** | | | 4 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | |  |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
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| ***Reason for change:*** | | This CR introduces the enhancements specified on support of MBS in Rel-18 | | | | | | | | |
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| ***Summary of change:*** | | Introduction of multicast reception for UEs in RRC\_INACTIVE state and shared processing for broadcast and unicast.  **Impact analysis**  Impacted 5G architecture options:  Standalone  Impacted functionality:  Enhancements of MBS  Inter-operability:   1. If the network is implemented according to the CR and the UE is not there is no inter-operability issue. 2. If the UE is implemented according to the CR and the network is not there is no inter-operability issue. | | | | | | | | |
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| ***Consequences if not approved:*** | | Rel-18 MBS enhancement is not supported in NR | | | | | | | | |
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| ***Clauses affected:*** | | 16.10.5.2, 16.10.5.3.4(new), 16.10.5.3.5, 16.10.5.4,16.10.5.7,16.10.6.5.2 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modified Subclause*

16.10.5.2 Configuration

A UE can receive data of MBS multicast session in RRC\_CONNECTED state and/or RRC\_INACTIVE state. In all cases, it’s up to gNB’s decision. In order to continue the multicast reception during the state transitions, the gNB supports to move the UE from RRC\_CONNECTED state to RRC\_INACVITVE state via dedicated RRC signalling, and move the UE from RRC\_INACTIVE state to RRC\_CONNECTED state via the group notification.

If the UE which joined a multicast session is in RRC\_CONNECTED state and when the multicast session is activated, the gNB may send *RRCReconfiguration* message with relevant MBS configuration for the multicast session to the UE for MBS multicast reception in RRC\_CONNECTED state.

If the UE which joined a multicast session and the gNB configures the UE to continue the multicast reception in RRC\_INACTIVE state, the gNB provides the PTM configuration for the activated multicast session via RRC dedicated signalling. When there’s PTM configuration change or the UE moves beyond the serving cell, an MCCH-like channel is used to provide the PTM configuration.

Editor’s Note: The above description of PTM(s) delivery will be revised according to future conclusions.

The same PDCCH/PDSCH resources can be applied to both UEs in RRC\_CONNECTED state and UEs RRC\_INACTIVE states for receiving the same multicast session.

When there is temporarily no data to be sent to the UEs for a multicast session that is active, the gNB may move the UE to RRC\_INACTIVE state. When an MBS multicast session is deactivated, the gNB may move the UE in RRC\_CONNECTED state to RRC\_IDLE or RRC\_INACTIVE state and notify the multicast session deactivation to the UE in RRC\_INACTIVE state for multicast session reception. gNBs supporting MBS use a group notification mechanism to notify the UEs in RRC\_IDLE or RRC\_INACTIVE state when a multicast session has been activated by the CN. gNBs supporting MBS use a group notification mechanism to notify the UEs in RRC\_INACTIVE state when the session is already activated and the gNB has multicast session data to deliver. Upon reception of the group notification, the UEs reconnect to the network, resume the connection and transition to RRC\_CONNECTED state or keep in RRC\_INACTIVE state. The group notification is addressed with P-RNTI on PDCCH, and the paging channels are monitored by the UE as described in clause 9.2.5. Paging message for group notification contains MBS session ID which is utilized to page all UEs in RRC\_IDLE and RRC\_INACTIVE states that joined the associated MBS multicast session, i.e., UEs are not paged individually. The UE stops monitoring for group notifications related to a specific multicast session, i.e., stops checking for the MBS session ID in the Paging message, when the UE enters RRC\_CONNECTED state. The UE does not monitor for group notifications for these cases, i.e., once this UE leaves this multicast session or the network requests the UE to leave, or the network releases the multicast session.

If the UE in RRC\_IDLE state that joined an MBS multicast session is camping on the gNB not supporting MBS, the UE may be notified about multicast session activation or data availability by CN-initiated paging where CN pages each UE individually, as described in clause 9.2.5. If the UE in RRC\_INACTIVE state that joined MBS multicast session is camping on the gNB not supporting MBS, the UE may be notified about data availability individually by RAN-initiated paging, as described in clause 9.2.5.

*Next Modified Subclause (new)*

##### 16.10.5.3.4 Service Continuity in RRC\_INACTIVE

Mobility procedures for MBS reception allow the UE in RRC\_INACTIVE state to continue receiving MBS service(s) when changing cells, if the PTM configuration of the new cell is available for the UE. The UE is allowed to request to resume RRC connection to get the PTM configuration upon moving to a cell without available configuration.

Editor’s Note: The UE in RRC\_INACTIVE state for multicast reception is not required to support seamless/lossless mobility.

*Next Modified Subclause*

##### 16.10.5.3.5 MRB reconfiguration

The gNB may use *RRCReconfiguration* message to configure or reconfigure a multicast MRB, e.g., add/release/modify the MRB's RLC entities as described in clause 16.10.3. In order to minimize the data loss due to MRB reconfiguration, gNB may configure UE to send a PDCP status report during reconfiguration which results in MRB type change.

*Next Modified Subclause*

#### 16.10.5.4 Reception of MBS Multicast data

For multicast service, gNB may deliver Multicast MBS data packets using the following methods:

- PTP Transmission: gNB individually delivers separate copies of MBS data packets to each UEs independently, i.e., gNB uses UE-specific PDCCH with CRC scrambled by UE-specific RNTI (e.g., C-RNTI) to schedule UE-specific PDSCH which is scrambled with the same UE-specific RNTI.

- PTM Transmission: gNB delivers a single copy of MBS data packets to a set of UEs, e.g., gNB uses group-common PDCCH with CRC scrambled by group-common RNTI to schedule group-common PDSCH which is scrambled with the same group-common RNTI.

If a UE is configured with both PTM and PTP transmissions, a gNB dynamically decides whether to deliver multicast data by PTM leg and/or PTP leg for a given UE based on the protocol stack defined in clause 16.10.3, based on information such as MBS Session QoS requirements, number of joined UEs, UE individual feedback on reception quality, and other criteria. The same QoS requirements apply regardless of the decision.

PTP transmission is not supported for MBS multicast reception for UEs in RRC\_INACTIVE state.

*Next Modified Subclause*

#### 16.10.5.7 Physical Layer

A CFR configured by *RRCReconfiguration* message is defined for multicast scheduling as an 'MBS frequency region' with a number of contiguous PRBs confined within and with the same numerology as the DL BWP, and multicast scheduling may have specific characteristics (e.g., PDCCH, PDSCH and SPS configurations).

Two HARQ-ACK reporting modes are defined for MBS:

- For the first HARQ-ACK reporting mode, the UE generates HARQ-ACK information with ACK value when a UE correctly decodes a transport block or detects a DCI format indicating an SPS PDSCH release; otherwise, the UE generates HARQ-ACK information with NACK value.

- For the second HARQ-ACK reporting mode, the UE does not transmit a PUCCH that would include only HARQ-ACK information with ACK values.

HARQ-ACK feedback for multicast can be enabled or disabled by higher layer configuration per G-RNTI or per G-CS-RNTI and/or indication in the DCI scheduling multicast transmission.

HARQ feedback is not supported for MBS multicast reception for UEs in RRC\_INACTIVE state.

*Next Modified Subclause*

##### 16.10.6.5.2 Service Continuity in RRC\_CONNECTED

To ensure service continuity of MBS broadcast, the UE in RRC\_CONNECTED state may send MBS Interest Indication to the gNB, consisting of the following information:

- List of MBS frequencies UE is interested to receive, sorted in decreasing order of interest;

- Priority between the reception of all listed MBS frequencies and the reception of any unicast bearer and multicast MRB;

- List of MBS broadcast services the UE is interested to receive, in case SIB20 is provided for PCell or SCell;

- Information of shared processing for MBS broadcast and Unicast reception, in case the reporting is enabled by SIB1.

MBS Interest Indication information reporting can be implicitly enabled/disabled by the presence of SIB21.

The gNB may use this information, together with the information about the UE's capabilities (e.g., supported band combinations), when providing an RRC configuration and/or downlink assignments to the UE or to release DRBs/multicast MRBs, to allow the UE to receive the MBS services the UE is interested in. MBS Interest Indication information can be exchanged between source gNB and target gNB during handover.

End of Changes

Annex - collection of RAN2 agreements on enhancements of MBS WI

Green highlight – agreement captured in stage-2 specifications

Grey highlight – stage-3 level agreement, not captured in stage-2 specifications

No highlight – agreement with no direct impact on specifications

RAN2#119-e agreements

* In Rel-18, multicast reception for UEs in INACTIVE supports at least the following scenarios, with the assumption that the UE already has a valid PTM configuration:

- Scenario 1: a UE has been receiving multicast in CONNECTED, and it enters INACTIVE and continues the multicast reception.

- Scenario 2: a UE has joined a multicast session and has been directed to INACTIVE, the UE starts to receive the multicast session

**FFS for state changes, e.g. due to service being not provided in INACTIVE anymore etc.**

* It is up to gNB to decide whether a multicast session may be received by UE(s) in INACTIVE. FFS what information gNB may be provided to form such decision (related to SA2 discussion).
* It is supported that gNB transmit one multicast session to both UEs in CONNECTED and INACTIVE in the same cell. FFS how the gNB configures this.
* It is assumed the network can choose which UEs receive in RRC INACTIVE and which in RRC Connected and can move UEs between the states for Multicast service reception.
* The following is taken as baseline: we assume the same PDCCH/PDSCH resources (e.g. resources used for MTCH) can be used for all UEs (including UEs in CONNECTED and/or INACTIVE states) for receiving the same multicast session. Different configuration/resources are not precluded as well. FFS what exactly can be common and what not (e.g. HARQ, SPS etc.) and what is needed in addition (to legacy PTM config).
* For PTM configuration delivery, RAN2 further investigates the following solutions:

Option 1: Dedicated signalling

Option 2: Solution based on SIB+MCCH

We do not preclude some “mix” of the options

* HARQ feedback and PTP are not supported for multicast reception in RRC\_INACTIVE.
* Multicast service continuity after cell reselection in RRC\_INACTIVE state (i.e. without resuming RRC connection) will be supported (if the configuration of the new cell is available for the UE). FFS whether there are cases where the UE needs to resume the connection. FFS RAN3 impacts due to inter-gNB mobility.
* Upon cell reselection to neighbour cells during active multicast session, if the configuration of the session is not available for the new cell for UEs in INACTIVE, then the UE is required to resume RRC connection to get the Multicast MRB configuration.
* RAN2 focuses on solutions taking multi-Rx UEs (i.e. no specific enhancements for 1Rx UEs).

RAN2#119 bis-e agreements

* RAN2 Answer to Q1-a) If there are significant differences in the quality and reliability of the reception of MBS data between UEs in RRC Connected state and UEs in RRC Inactive state:

The quality and reliability of the reception of MBS data between UEs in RRC\_CONNECTED state and UEs in RRC\_INACTIVE state may or may not be different, as HARQ feedback and PTP transmission are not supported and seamless/lossless mobility is not required for multicast reception in RRC\_INACTIVE.

* Revised LS to be provided for final (editorial) review
* Final LS to be provided in R2-2210882
* The following general description is taken as baseline for PTM configuration delivery Option 1:

(1-a) PTM configuration(s) (i.e., configurations used for multicast reception in RRC\_INACTIVE) of one or more multicast sessions for at least one cell are provided via dedicated RRC signaling to a UE.

(1-b) The RRC message for this includes RRCReconfiguration and/or RRCRelease and/or RRCResume (details FFS)

(1-c) UE stores the received configurations while it is in RRC\_INACTIVE, and if there is a need to update some or all the configurations, the UE is notified of such changes and may trigger RRC connection resume to obtain the updated configurations. In case of mobility in RRC\_INACTIVE, the UE triggers RRC connection resume if the configuration of the session is not available for the new cell.

* The following general description is taken as baseline for PTM configuration delivery Option 2:

(2-a) PTM configurations (i.e., configurations used for multicast reception in RRC\_INACTIVE) are provided via an MCCH-like channel (same or different as used for MBS broadcast), and information regarding MCCH scheduling is provided via SIB, FFS dedicated signalling

(2-b) UE can receive such configurations when it is in RRC\_INACTIVE, FFS whether it is allowed/needed to also receive when UE is in RRC\_CONNECTED

(2-c) If there is a need to update some or all the received configurations, UE does not need to resume RRC connection but is notified of such changes (e.g. via MCCH DCI) and obtains the updated configurations via MCCH.

* Dedicated RRC signalling (i.e. RRC release message with suspendConfig) is used for switching a multicast receiving UE from RRC\_CONNECTED to RRC\_INACTIVE and continue multicast reception (details FFS).
* For both option 1 and option 2, as a baseline, group paging can be used to switch UEs receiving multicast from RRC\_INACTIVE to RRC\_CONNECTED, and UEs continue the multicast reception in CONNECTED. FFS if there is any potential issue if Rel-17 group paging is reused. FFS if there are other cases when UE triggers resume. FFS if MCCH can also be used in case of option 2.
* FFS whether to introduce PTM configuration applicable area, i.e., the mechanism that the PTM configurations, once acquired by a UE, may apply to a certain area (i.e., a set of cells instead of a single cell).
* Rel-18 UE in INACTIVE can be informed when the session is activated (Details FFS).
* As a baseline, group paging can be used to inform Rel-18 UE(s) about the session activation (Details FFS, e.g., UE behavior when receiving such group notification).
* If a UE is in RRC\_INACTIVE and is configured to receive a multicast session in RRC\_INACTIVE, the UE may be notified when the multicast session is deactivated. FFS how (e.g., informed via group paging, MCCH, or other ways).
* Rel-17 mechanism (NAS-based indication) is applicable for multicast session release. FFS if any enhancement is needed.
* FFS how UE determines whether it can receive the multicast session in RRC\_INACTIVE or not when the session is activated, taking into account the following solutions (can further update the descriptions if needed, and several solutions may be needed, some solutions may apply only for certain configuration options)

1. When the multicast session is activated, UE can receive the multicast session in RRC\_INACTIVE if the PTM configuration used in RRC\_INACTIVE for the session is available to the UE and the UE has joined the session already (e.g., configuration provided to UE via dedicated RRC signaling or via MCCH), otherwise it goes back to RRC\_CONNECTED to receive the multicast session.

2. When the multicast session is activated, UE is indicated by group paging whether it can receive the multicast session in RRC\_INACTIVE or not (detailed signaling FFS).

3. UE is configured "whether it can receive the multicast session in RRC\_INACTIVE" by dedicated signaling before UE is released. When the multicast session is activated, UE stays in RRC\_INACTIVE or resumes RRC connection accordingly (detailed signaling FFS).

* If option 1 is supported for PTM configuration

As a baseline, group paging may be used to inform the UE when network changes the PTM configurations, and UE upon reception triggers RRC connection resume procedure to obtain the updated configurations (details of group paging can be FFS).

FFS whether and how to solve the issue in signalling/system load when a large number of UEs in the cell need PTM configuration update.

* FFS if there is an issue that a UE can obtain all the PTM configurations for a multicast service via Option 2 without/before joining the multicast session on the condition that security is enabled by service layer. And if yes FFS how to solve the issue (e.g., dedicated configuration + MCCH).
* For shared processing we adopt the following as a baseline:

1) new IE is added in system information to control whether MBSInterestIndication for shared processing can be sent or not;

2) MBSInterestIndication message content and related procedure is updated for shared processing.

* New IE to control whether MBSInterestIndication for shared processing can be sent or not is added to SIB1.
* In MBSInterestIndication, for a broadcast service that the UE is receiving or is interested to receive, at least the following information can be signalled: broadcast frequency, subcarrier spacing, and bandwidth. FFS details/exact parameters and other information. FFS in which scenarios the UE reports this information (e.g. intra-PLMN case, inter-PLMN case)
* FFS whether UE capability is needed to enable shared processing.

RAN2#120 agreements

* We will have a mixed approach and we start with the following:
  + 1. When NW configures UE to continue the multicast reception in INACTIVE state, NW provides the PTM configuration for the activated multicast session via the RRC dedicated signalling, at least for the serving cell (FFS other cases).
    2. **MCCH is used in case there is a need to indicate a PTM configuration in case there is a need for change in PTM config or during mobility beyond serving cell / gNB. FFS session status change and other indications.**
    3. **We assume that the UE can only receive multicast service after it joined the session.**
    4. **FFS whether MCCH configuration is initially provided to the UE via dedicated signalling.**