**3GPP TSG-RAN WG2**  **Meeting #119 *R2-220xxxx***

**e-Meeting, 17-26 August 2022**

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| *CR-Form-v12.2* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **-** | **rev** | **-** | **Current version:** | **17.1.0** |  |
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| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:***  | Corrections on CRS-IM network assistance information |
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| ***Source to WG:*** | Qualcomm Incorporated |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_demod\_enh2-Core |  | ***Date:*** | 2022-08-xx |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)Rel-19 (Release 19)* |
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| ***Reason for change:*** | To apply necessary changes according to RAN4 guidance in R2-2209054 (R4-2214362). |
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| ***Summary of change:*** | 1.For the IE *LTE-NeighCellsCRS-AssistInfoList*, it is clarified:* If only single instance of *LTE-NeighCellsCRS-AssistInfo-r17* is provided without *neighCellId-r17*, the provided network assistance information is applicable to all neighbour LTE cells.
* If multiple instances of *LTE-NeighCellsCRS-AssistInfo-r17* are provided, *neighCellId-r17* is included in each instance.

2.Introduced new field *lte-NeighCellsCRS-Assumptions* to indicate the validity of default network configuration assumptions.**NOTE:** It is implemented in a way that the absence (lack of configuration) of the field represents positive confirmation for the validity of default network configuration assumptions. This logic also applies when the UE connects to pre-Rel-17 network which does not implement the field (the field is always absent).**Impact analysis**Impacted 5G architecture options:NR SA, (NG)EN-DC, NR-DC, NE-DCImpacted functionality:LTE CRS-IM network assistance information signallingInter-operability:* If the network is implemented according to the CR and the UE is not; For change 1, the UE would not know how to use CRS-IM network assistance information. For change 2, the UE would not know how and whether to perform CRS-IM without network assistance information.
* If the UE is implemented according to the CR and the network is not; For change 1, the UE may consider the network configuration is not valid if the network does not follow the clarified behaviour. For change 2, the network may not be able to indicate the validity of default network configuration assumptions correctly.
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| ***Consequences if not approved:*** | The UE behaviour associated with CRS-IM network assistance information would remain unclear in the standard. |
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| ***Clauses affected:*** | 6.3.2 |
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|  | **Y** | **N** |  |  |
| ***Other specs*** | **X** |  |  Other core specifications  | TS38.306 CRxxxx |
| ***affected:*** |  | **X** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **X** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

### 6.3.2 Radio resource control information elements

[…]

– LTE-NeighCellsCRS-AssistInfoList

The IE *LTE-NeighCellsCRS-AssistInfoList-r17* is used to provide configuration information of neighbour LTE cells to assist the UE to perform CRS interference mitigation (CRS-IM) in scenarios with overlapping spectrum for LTE and NR.

***LTE-NeighCellsCRS-AssistInfoList* information element**

-- ASN1START

-- TAG-LTE-NEIGHCELLSCRS-ASSISTINFOLIST-START

LTE-NeighCellsCRS-AssistInfoList-r17 ::= SEQUENCE (SIZE (1..maxNrofCRS-IM-InterfCell-r17)) OF LTE-NeighCellsCRS-AssistInfo-r17

LTE-NeighCellsCRS-AssistInfo-r17 ::= SEQUENCE {

 neighCarrierBandwidthDL-r17 ENUMERATED {n6, n15, n25, n50, n75, n100, spare2, spare1} OPTIONAL, -- Cond CRS-IM

 neighCarrierFreqDL-r17 INTEGER (0..16383) OPTIONAL, -- Need S

 neighCellId-r17 EUTRA-PhysCellId OPTIONAL, -- Need M

 neighCRS-muting-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

 neighMBSFN-SubframeConfigList-r17 EUTRA-MBSFN-SubframeConfigList OPTIONAL, -- Need S

 neighNrofCRS-Ports–r17 ENUMERATED {n1, n2, n4} OPTIONAL, -- Need S

 neighV-Shift-r17 ENUMERATED {n0, n1, n2, n3, n4, n5} OPTIONAL -- Cond NotCellID

}

-- TAG-LTE-NEIGHCELLSCRS-ASSISTINFOLIST-STOP

-- ASN1STOP

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| **LTE-NeighCellsCRS-AssistInfoList field descriptions** |
| ***neighCarrierBandwidthDL***Indicates the channel bandwidth of the neighbour LTE cell in number of PRBs. If the field is absent, the UE applies the value of *carrierBandwidthDL* indicated in *RateMatchPatternLTE-CRS* for this serving cell, if configured. |
| ***neighCarrierFreqDL***Indicates the downlink centre frequency of the neighbour LTE cell. If the field is absent, the UE applies the value of *carrierFreqDL* indicated in *RateMatchPatternLTE-CRS* for this serving cell, if configured. |
| ***neighCellId***Indicates the physciall cell ID the neighbour LTE cell which the other configurations within *LTE-NeighCellsCRS-AssistInfo-r17* apply to. If only single instance of *LTE-NeighCellsCRS-AssistInfo-r17* is provided in the IE *LTE-NeighCellsCRS-AssistInfoList* without this field, the configurations within *LTE-NeighCellsCRS-AssistInfo-r17* are applicable to all neighbour LTE cells. If multiple instances of *LTE-NeighCellsCRS-AssistInfo-r17* are provided in the IE *LTE-NeighCellsCRS-AssistInfoList*, this field is included in each instance. |
| ***neighCRS-muting***Indicates whether the CRS interference mitigation is enabled in the neighbour LTE cell, as specified in TS 36.133 [40], clause 3.6.1.1. |
| ***neighMBSFN-SubframeConfigList***Indicates the MBSFN subframe configuration of the neighbour LTE cell. If *RateMatchPatternLTE-CRS* is configured for this serving cell and the field is absent, the UE applies the value of *mbsfn-SubframeConfigList* indicated in *RateMatchPatternLTE-CRS* for this serving cell if configured; otherwise, if the field is absent, the UE assumes MBSFN is not configured in the neighbour LTE cell. |
| ***neighNrofCRS-Ports***Indicates the CRS antenna ports number of the neighbour LTE cell. If the field is absent, the UE applies the value of *nrofCRS-Ports* indicated in *RateMatchPatternLTE-CRS* for this serving cell, if configured. If *RateMatchPatternLTE-CRS* is not configured for this serving cell and the field is absent, the UE applies the default value n4. |
| ***neighV-Shift***Indicates the shifting value v-shift of the neighbour LTE cell. |

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| **Conditional Presence** | **Explanation** |
| *CRS-IM* | For the serving cell with 15kHz SCS, this field is mandatory present for the UE supporting the capability of *CRS-IM-nonDSS-NWA-15kHzSCS-r17*, but not supporting *CRS-IM-nonDSS-15kHzSCS-r17*, if *RateMatchPatternLTE-CRS* is not configured for this serving cell. Otherwise it is optionally present, Need S if *RateMatchPatternLTE-CRS* is configured for this serving cell; Need M otherwise.For the serving cell with 30kHz SCS, this field is mandatory present for the UE supporting the capability of *CRS-IM-nonDSS-NWA-30kHzSCS-r17*, but not supporting *CRS-IM-nonDSS-30kHzSCS-r17*, if *RateMatchPatternLTE-CRS* is not configured for this serving cell. Otherwise it is optionally present, Need S if *RateMatchPatternLTE-CRS* is configured for this serving cell; Need M otherwise. |
| *NotCellID* | If the field *neighCellId* is present, this field shall be absent; otherwise, it is optionally present, Need M. |

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– *ServingCellConfig*

The IE *ServingCellConfig* is used to configure (add or modify) the UE with a serving cell, which may be the SpCell or an SCell of an MCG or SCG. The parameters herein are mostly UE specific but partly also cell specific (e.g. in additionally configured bandwidth parts). Reconfiguration between a PUCCH and PUCCHless SCell is only supported using an SCell release and add.

***ServingCellConfig* information element**

-- ASN1START

-- TAG-SERVINGCELLCONFIG-START

ServingCellConfig ::= SEQUENCE {

 tdd-UL-DL-ConfigurationDedicated TDD-UL-DL-ConfigDedicated OPTIONAL, -- Cond TDD

 initialDownlinkBWP BWP-DownlinkDedicated OPTIONAL, -- Need M

 downlinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

 downlinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Downlink OPTIONAL, -- Need N

 firstActiveDownlinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

 bwp-InactivityTimer ENUMERATED {ms2, ms3, ms4, ms5, ms6, ms8, ms10, ms20, ms30,

 ms40,ms50, ms60, ms80,ms100, ms200,ms300, ms500,

 ms750, ms1280, ms1920, ms2560, spare10, spare9, spare8,

 spare7, spare6, spare5, spare4, spare3, spare2, spare1 } OPTIONAL, --Need R

 defaultDownlinkBWP-Id BWP-Id OPTIONAL, -- Need S

 uplinkConfig UplinkConfig OPTIONAL, -- Need M

 supplementaryUplink UplinkConfig OPTIONAL, -- Need M

 pdcch-ServingCellConfig SetupRelease { PDCCH-ServingCellConfig } OPTIONAL, -- Need M

 pdsch-ServingCellConfig SetupRelease { PDSCH-ServingCellConfig } OPTIONAL, -- Need M

 csi-MeasConfig SetupRelease { CSI-MeasConfig } OPTIONAL, -- Need M

 sCellDeactivationTimer ENUMERATED {ms20, ms40, ms80, ms160, ms200, ms240,

 ms320, ms400, ms480, ms520, ms640, ms720,

 ms840, ms1280, spare2,spare1} OPTIONAL, -- Cond ServingCellWithoutPUCCH

 crossCarrierSchedulingConfig CrossCarrierSchedulingConfig OPTIONAL, -- Need M

 tag-Id TAG-Id,

 dummy1 ENUMERATED {enabled} OPTIONAL, -- Need R

 pathlossReferenceLinking ENUMERATED {spCell, sCell} OPTIONAL, -- Cond SCellOnly

 servingCellMO MeasObjectId OPTIONAL, -- Cond MeasObject

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 lte-CRS-ToMatchAround SetupRelease { RateMatchPatternLTE-CRS } OPTIONAL, -- Need M

 rateMatchPatternToAddModList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPattern OPTIONAL, -- Need N

 rateMatchPatternToReleaseList SEQUENCE (SIZE (1..maxNrofRateMatchPatterns)) OF RateMatchPatternId OPTIONAL, -- Need N

 downlinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

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 supplementaryUplinkRelease-r16 ENUMERATED {true} OPTIONAL, -- Need N

 tdd-UL-DL-ConfigurationDedicated-IAB-MT-r16 TDD-UL-DL-ConfigDedicated-IAB-MT-r16 OPTIONAL, -- Cond TDD\_IAB

 dormantBWP-Config-r16 SetupRelease { DormantBWP-Config-r16 } OPTIONAL, -- Need M

 ca-SlotOffset-r16 CHOICE {

 refSCS15kHz INTEGER (-2..2),

 refSCS30KHz INTEGER (-5..5),

 refSCS60KHz INTEGER (-10..10),

 refSCS120KHz INTEGER (-20..20)

 } OPTIONAL, -- Cond AsyncCA

 dummy2 SetupRelease { DummyJ } OPTIONAL, -- Need M

 intraCellGuardBandsDL-List-r16 SEQUENCE (SIZE (1..maxSCSs)) OF IntraCellGuardBandsPerSCS-r16 OPTIONAL, -- Need S

 intraCellGuardBandsUL-List-r16 SEQUENCE (SIZE (1..maxSCSs)) OF IntraCellGuardBandsPerSCS-r16 OPTIONAL, -- Need S

 csi-RS-ValidationWithDCI-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 lte-CRS-PatternList1-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

 lte-CRS-PatternList2-r16 SetupRelease { LTE-CRS-PatternList-r16 } OPTIONAL, -- Need M

 crs-RateMatch-PerCORESETPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 enableTwoDefaultTCI-States-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 enableDefaultTCI-StatePerCoresetPoolIndex-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 enableBeamSwitchTiming-r16 ENUMERATED {true} OPTIONAL, -- Need R

 cbg-TxDiffTBsProcessingType1-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 cbg-TxDiffTBsProcessingType2-r16 ENUMERATED {enabled} OPTIONAL -- Need R

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 directionalCollisionHandling-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 channelAccessConfig-r16 SetupRelease { ChannelAccessConfig-r16 } OPTIONAL -- Need M

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 nr-dl-PRS-PDC-Info-r17 SetupRelease {NR-DL-PRS-PDC-Info-r17} OPTIONAL, -- Need M

 semiStaticChannelAccessConfigUE-r17 SetupRelease {SemiStaticChannelAccessConfigUE-r17} OPTIONAL, -- Need M

 mimoParam-r17 SetupRelease {MIMOParam-r17} OPTIONAL, -- Need M

 channelAccessMode2-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

 timeDomainHARQ-BundlingType1-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

 nrofHARQ-BundlingGroups-r17 ENUMERATED {n1, n2, n4} OPTIONAL, -- Need R

 fdmed-ReceptionMulticast-r17 ENUMERATED {true} OPTIONAL, -- Need R

 moreThanOneNackOnlyMode-r17 ENUMERATED {mode2} OPTIONAL, -- Need S

 tci-Info-r17 TCI-Info OPTIONAL, -- Cond TCI\_Info

 directionalCollisionHandling-DC-r17 ENUMERATED {enabled} OPTIONAL, -- Need R

 lte-NeighCellsCRS-AssistInfoList-r17 SetupRelease { LTE-NeighCellsCRS-AssistInfoList-r17 } OPTIONAL -- Need M

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 lte-NeighCellsCRS-Assumptions-r17 ENUMERATED {false} OPTIONAL, -- Need R

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}

UplinkConfig ::= SEQUENCE {

 initialUplinkBWP BWP-UplinkDedicated OPTIONAL, -- Need M

 uplinkBWP-ToReleaseList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Id OPTIONAL, -- Need N

 uplinkBWP-ToAddModList SEQUENCE (SIZE (1..maxNrofBWPs)) OF BWP-Uplink OPTIONAL, -- Need N

 firstActiveUplinkBWP-Id BWP-Id OPTIONAL, -- Cond SyncAndCellAdd

 pusch-ServingCellConfig SetupRelease { PUSCH-ServingCellConfig } OPTIONAL, -- Need M

 carrierSwitching SetupRelease { SRS-CarrierSwitching } OPTIONAL, -- Need M

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 powerBoostPi2BPSK BOOLEAN OPTIONAL, -- Need M

 uplinkChannelBW-PerSCS-List SEQUENCE (SIZE (1..maxSCSs)) OF SCS-SpecificCarrier OPTIONAL -- Need S

 ]],

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 enablePL-RS-UpdateForPUSCH-SRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 enableDefaultBeamPL-ForPUSCH0-0-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 enableDefaultBeamPL-ForPUCCH-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 enableDefaultBeamPL-ForSRS-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 uplinkTxSwitching-r16 SetupRelease { UplinkTxSwitching-r16 } OPTIONAL, -- Need M

 mpr-PowerBoost-FR2-r16 ENUMERATED {true} OPTIONAL -- Need R

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}

DummyJ ::= SEQUENCE {

 maxEnergyDetectionThreshold-r16 INTEGER(-85..-52),

 energyDetectionThresholdOffset-r16 INTEGER (-20..-13),

 ul-toDL-COT-SharingED-Threshold-r16 INTEGER (-85..-52) OPTIONAL, -- Need R

 absenceOfAnyOtherTechnology-r16 ENUMERATED {true} OPTIONAL -- Need R

}

ChannelAccessConfig-r16 ::= SEQUENCE {

 energyDetectionConfig-r16 CHOICE {

 maxEnergyDetectionThreshold-r16 INTEGER (-85..-52),

 energyDetectionThresholdOffset-r16 INTEGER (-13..20)

 } OPTIONAL, -- Need R

 ul-toDL-COT-SharingED-Threshold-r16 INTEGER (-85..-52) OPTIONAL, -- Need R

 absenceOfAnyOtherTechnology-r16 ENUMERATED {true} OPTIONAL -- Need R

}

IntraCellGuardBandsPerSCS-r16 ::= SEQUENCE {

 guardBandSCS-r16 SubcarrierSpacing,

 intraCellGuardBands-r16 SEQUENCE (SIZE (1..4)) OF GuardBand-r16

}

GuardBand-r16 ::= SEQUENCE {

 startCRB-r16 INTEGER (0..274),

 nrofCRBs-r16 INTEGER (0..15)

}

DormancyGroupID-r16 ::= INTEGER (0..4)

DormantBWP-Config-r16::= SEQUENCE {

 dormantBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

 withinActiveTimeConfig-r16 SetupRelease { WithinActiveTimeConfig-r16 } OPTIONAL, -- Need M

 outsideActiveTimeConfig-r16 SetupRelease { OutsideActiveTimeConfig-r16 } OPTIONAL -- Need M

}

WithinActiveTimeConfig-r16 ::= SEQUENCE {

 firstWithinActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

 dormancyGroupWithinActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

OutsideActiveTimeConfig-r16 ::= SEQUENCE {

 firstOutsideActiveTimeBWP-Id-r16 BWP-Id OPTIONAL, -- Need M

 dormancyGroupOutsideActiveTime-r16 DormancyGroupID-r16 OPTIONAL -- Need R

}

UplinkTxSwitching-r16 ::= SEQUENCE {

 uplinkTxSwitchingPeriodLocation-r16 BOOLEAN,

 uplinkTxSwitchingCarrier-r16 ENUMERATED {carrier1, carrier2}

}

MIMOParam-r17 ::= SEQUENCE {

 additionalPCI-ToAddModList-r17 SEQUENCE (SIZE(1..maxNrofAdditionalPCI-r17)) OF SSB-MTC-AdditionalPCI-r17 OPTIONAL, -- Need N

 additionalPCI-ToReleaseList-r17 SEQUENCE (SIZE(1..maxNrofAdditionalPCI-r17)) OF AdditionalPCIIndex-r17 OPTIONAL, -- Need N

 unifiedTCI-StateType-r17 ENUMERATED {separate, joint} OPTIONAL, -- Need R

 uplink-PowerControlToAddModList-r17 SEQUENCE (SIZE (1..maxUL-TCI-r17)) OF Uplink-powerControl-r17 OPTIONAL, -- Need N

 uplink-PowerControlToReleaseList-r17 SEQUENCE (SIZE (1..maxUL-TCI-r17)) OF Uplink-powerControlId-r17 OPTIONAL, -- Need N

 sfnSchemePDCCH-r17 ENUMERATED {sfnSchemeA,sfnSchemeB} OPTIONAL, -- Need R

 sfnSchemePDSCH-r17 ENUMERATED {sfnSchemeA,sfnSchemeB} OPTIONAL -- Need R

}

-- TAG-SERVINGCELLCONFIG-STOP

-- ASN1STOP

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| ***ChannelAccessConfig* field descriptions** |
| ***absenceOfAnyOtherTechnology***Presence of this field indicates absence on a long term basis (e.g. by level of regulation) of any other technology sharing the carrier; absence of this field indicates the potential presence of any other technology sharing the carrier, as specified in TS 37.213 [48] clauses 4.2.1 and 4.2.3. |
| ***energyDetectionConfig***Indicates whether to use the *maxEnergyDetectionThreshold* or the *energyDetectionThresholdOffset* (see TS 37.213 [48], clause 4.2.3)*.* |
| ***energyDetectionThresholdOffset***Indicates the offset to the default maximum energy detection threshold value. Unit in dB. Value -13 corresponds to -13dB, value -12 corresponds to -12dB, and so on (i.e. in steps of 1dB) as specified in TS 37.213 [48], clause 4.2.3. |
| ***maxEnergyDetectionThreshold***Indicates the absolute maximum energy detection threshold value. Unit in dBm. Value -85 corresponds to -85 dBm, value -84 corresponds to -84 dBm, and so on (i.e. in steps of 1dBm) as specified in TS 37.213 [48], clause 4.2.3. |
| ***ul-toDL-COT-SharingED-Threshold***Maximum energy detection threshold that the UE should use to share channel occupancy with gNB for DL transmission as specified in TS 37.213 [48], clause 4.1.3 for downlink channel access and clause 4.2.3 for uplink channel access. This field is not applicable in semi-static channel access mode. |

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| ***ServingCellConfig* field descriptions** |
| ***additionalPCIList***List of information for the additional SSB with different PCI than serving cell PCI. The additional SSBs with different PCIs are not used for measurement event evaluation. |
| ***bwp-InactivityTimer***The duration in ms after which the UE falls back to the default Bandwidth Part (see TS 38.321 [3], clause 5.15). When the network releases the timer configuration, the UE stops the timer without switching to the default BWP. |
| ***ca-SlotOffset***Slot offset between the primary cell (PCell/PSCell) and the SCell in unaligned frame boundary with slot alignment and partial SFN alignment inter-band CA. Based on this field, the UE determines the time offset of the SCell as specified in clause 4.5 of TS 38.211 [16]. The granularity of this field is determined by the reference SCS for the slot offset (i.e. the maximum of PCell/PSCell lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB* and this serving cell's lowest SCS among all the configured SCSs in DL/UL *SCS-SpecificCarrierList* in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*).The Network configures at most single non-zero offset duration in ms (independent on SCS) among CCs in the unaligned CA configuration. If the field is absent, the UE applies the value of 0. The slot offset value can only be changed with SCell release and add. |
| ***cbg-TxDiffTBsProcessingType1, cbg-TxDiffTBsProcessingType2***Indicates whether processing types 1 and 2 based CBG based operation is enabled according to Rel-16 UE capabilities. |
| ***channelAccessConfig***List of parameters used for access procedures of operation with shared spectrum channel access (see TS 37.213 [48). |
| ***channelAccessMode2***If present, this field indicates that the UE shall apply channel access mode procedures for operation with shared spectrum channel access in accordance with TS 37.213 [48], clause 4.4 for FR2-2. If absent, the UE does not apply these channel access procedures.Overwrites the corresponding field in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB* for this serving cell. |
| ***crossCarrierSchedulingConfig***Indicates whether this serving cell is cross-carrier scheduled by another serving cell or whether it cross-carrier schedules another serving cell. If the field *other* is configured for an SpCell (i.e., the SpCell is cross-carrier scheduled by another serving cell), the SpCell can be additionally scheduled by the PDCCH on the SpCell. |
| ***crs-RateMatch-PerCORESETPoolIndex***Indicates how UE performs rate matching when both lte-CRS-PatternList1-r16 and lte-CRS-PatternList2-r16 are configured as specified in TS 38.214 [19], clause 5.1.4.2. |
| ***csi-RS-ValidationWithDCI***Indicates how the UE performs periodic and semi-persistent CSI-RS reception in a slot. The presence of this field indicates that the UE uses DCI detection to validate whether to receive CSI-RS (see TS 38.213 [13], clause 11.1). |
| ***defaultDownlinkBWP-Id***The initial bandwidth part is referred to by BWP-Id = 0. ID of the downlink bandwidth part to be used upon expiry of the BWP inactivity timer. This field is UE specific. When the field is absent the UE uses the initial BWP as default BWP. (see TS 38.213 [13], clause 12 and TS 38.321 [3], clause 5.15). |
| ***directionalCollisionHandling***Indicates that this serving cell is using directional collision handling between a reference and other cell(s) for half-duplex operation in TDD CA with same SCS as specified in TS 38.213 [13], clause 11.1. The half-duplex operation only applies within the same frequency range and cell group.The network only configures this field for TDD serving cells that are using the same SCS. |
| ***directionalCollisionHandling-DC***For the IAB-MT, it indicates that this serving cell is using directional collision handling between a reference and other cell(s) for half-duplex operation in TDD NR-DC with same SCS within same cell group or cross different cell groups. |
| ***dormantBWP-Config***The dormant BWP configuration for an SCell. This field can be configured only for a (non-PUCCH) SCell. |
| ***downlinkBWP-ToAddModList***List of additional downlink bandwidth parts to be added or modified. (see TS 38.213 [13], clause 12). |
| ***downlinkBWP-ToReleaseList***List of additional downlink bandwidth parts to be released. (see TS 38.213 [13], clause 12). |
| ***downlinkChannelBW-PerSCS-List***A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *DownlinkConfigCommon* / *DownlinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***dummy1, dummy 2***This field is not used in the specification. If received it shall be ignored by the UE. |
| ***enableBeamSwitchTiming***Indicates the aperiodic CSI-RS triggering with beam switching triggering behaviour as defined in clause 5.2.1.5.1 of TS 38.214 [19]. |
| ***enableDefaultTCI-StatePerCoresetPoolIndex***Presence of this field indicates the UE shall follow the release 16 behavior of default TCI state per CORESETPoolindex when the UE is configured by higher layer parameter PDCCH-Config that contains two different values of CORESETPoolIndex in ControlResourceSet is enabled. |
| ***enableTwoDefaultTCI-States***Presence of this field indicates the UE shall follow the release 16 behavior of two default TCI states for PDSCH when at least one TCI codepoint is mapped to two TCI states is enabled |
| ***fdmed-ReceptionMulticast***Indicates the Type-1 HARQ codebook generation as specified in TS 38.213 [13], clause 9.1.2.1. |
| ***firstActiveDownlinkBWP-Id***If configured for an SpCell, this field contains the ID of the DL BWP to be activated or to be used for RLM, BFD and measurements if included in an *RRCReconfiguration* message contained in an NR or E-UTRA RRC message indicating that the SCG is deactivated, upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch. If the field is absent for the PSCell at SCG deactivation, the UE considers the previously activated DL BWP as the BWP to be used for RLM, BFD and measurements. If the field is absent for the PSCell at SCG activation, the DL BWP to be activated is the DL BWP previously to be used for RLM, BFD and measurements.If configured for an SCell, this field contains the ID of the downlink bandwidth part to be used upon activation of an SCell. The initial bandwidth part is referred to by BWP-Id = 0.Upon reconfiguration with *reconfigurationWithSync*, the network sets the *firstActiveDownlinkBWP-Id* and *firstActiveUplinkBWP-Id* to the same value. |
| ***initialDownlinkBWP***The dedicated (UE-specific) configuration for the initial downlink bandwidth-part (i.e. DL BWP#0). If any of the optional IEs are configured within this IE, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***intraCellGuardBandsDL-List, intraCellGuardBandsUL-List***List of intra-cell guard bands in a serving cell for operation with shared spectrum channel access. If not configured, the guard bands are defined according to 38.101-1 [15], see TS 38.214 [19], clause 7. For operation in licensed spectrum, this field is absent, and no UE action is required. |
| ***lte-CRS-PatternList1***A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH. The LTE CRS patterns in this list shall be non-overlapping in frequency. The network does not configure this field and *lte-CRS-ToMatchAround* simultaneously. |
| ***lte-CRS-PatternList2***A list of LTE CRS patterns around which the UE shall do rate matching for PDSCH scheduled with a DCI detected on a CORESET with CORESETPoolIndex configured with 1. This list is configured only if CORESETPoolIndex configured with 1. The first LTE CRS pattern in this list shall be fully overlapping in frequency with the first LTE CRS pattern in lte-CRS-PatternList1, The second LTE CRS pattern in this list shall be fully overlapping in frequency with the second LTE CRS pattern in lte-CRS-PatternList1, and so on. Network configures this field only if the field *lte-CRS-ToMatchAround* is not configured and there is at least one ControlResourceSet in one DL BWP of this serving cell with *coresetPoolIndex* set to 1. |
| ***lte-CRS-ToMatchAround***Parameters to determine an LTE CRS pattern that the UE shall rate match around. |
| ***lte-NeighCellsCRS-AssistInfoList***A list of LTE neighbour cells configuration information which is used to assist the UE to perform CRS interference mitigation (CRS-IM) in scenarios with overlapping spectrum for LTE and NR (see TS 38.101-4 [59]). If the field is included, it replaces any previous list, i.e. all the entries of the list are replaced and each of the *LTE-NeighCellsCRS-AssistInfo* entries is considered to be newly created and the conditions and Need codes for setup of the entry apply. |
| ***lte-NeighCellsCRS-Assumptions***If the field is not configured, the following default network configuration assumptions are valid for all LTE neighbour cells for the purpose of CRS interference mitigation (CRS-IM) in scenarios with overlapping spectrum for LTE and NR (see TS 38.101-4 [59]).* The CRS port number is the same as the one indicated in *RateMatchPatternLTE-CRS* if configured for the serving cell.
* The CRS port number is 4 if *RateMatchPatternLTE-CRS* is not configured for the serving cell.
* The channel bandwidth and centre frequency are the same as the ones indicated in *RateMatchPatternLTE-CRS* if configured for the serving cell.
* The MBSFN configuration is the same as the one indicated in *RateMatchPatternLTE-CRS* if configured for the serving cell.
* Network-based CRS interference mitigation (i.e., CRS muting), as in *crs-IntfMitigConfig* speficied in 36.331 [10], is not enabled.

If the field is configured (i.e. *false*), the network either does not know whether the default network configuration assumptions are valid, or network knows that default network configuration assumptions are not valid. In this case, the UE may either disable CRS-IM or apply enhanced processing such that at least the performance without applying any mitigation is maintained. |
| ***nr-dl-PRS-PDC-Info***Configures the DL PRS for propagation delay compensation. When configured, the UE measures the UE Rx-Tx time difference based on the reference signals configured in this field. |
| ***nrofHARQ-BundlingGroups***Indicates the number of HARQ bundling groups for type2 HARQ-ACK codebook. |
| ***pathlossReferenceLinking***Indicates whether UE shall apply as pathloss reference either the downlink of SpCell (PCell for MCG or PSCell for SCG) or of SCell that corresponds with this uplink (see TS 38.213 [13], clause 7). |
| ***pdsch-ServingCellConfig***PDSCH related parameters that are not BWP-specific. |
| ***rateMatchPatternToAddModList***Resources patterns which the UE should rate match PDSCH around. The UE rate matches around the union of all resources indicated in the rate match patterns. Rate match patterns defined here on cell level apply only to PDSCH of the same numerology. See TS 38.214 [19], clause 5.1.4.1. If a *RateMatchPattern* with the same *RateMatchPatternId* is configured in both *ServingCellConfig/ServingCellConfigCommon* and in SIB20/MCCH, the entire *RateMatchPattern* configuration shall be the same and they are counted as a single rate match pattern in the total configured rate match patterns as defined in TS 38.214 [19]. |
| ***sCellDeactivationTimer***SCell deactivation timer in TS 38.321 [3]. If the field is absent, the UE applies the value infinity. |
| ***sfnSchemePDCCH***This parameter is used to configure SFN scheme for PDCCH: sfnSchemeA or sfnSchemeB as specified (see TS 38.214 [19], clause 5.1). If network includes both *sfnSchemePDCCH* and *sfnSchemePDSCH*, same value shall be configured. |
| ***sfnSchemePDSCH***This parameter is used to configure SFN scheme for PDSCH: sfnSchemeA or sfnSchemeB as specified (see TS 38.214 [19], clause 5.1). If network includes both *sfnSchemePDCCH* and *sfnSchemePDSCH*, same value shall be configured. |
| ***semiStaticChannelAccessConfigUE***When this field is configured and when *channelAccessMode-r16* (see IE ServingCellConfigCommon and IE ServingCellConfigCommonSIB) is configured to *semiStatic*, the UE operates in semi-static channel access mode and can initiate a channel occupancy periodically (see TS 37.213 [48], Clause 4.3).The period can be configured independently from period configured in *SemiStaticChannelAccessConfig-r16* if the UE indicates the corresponding capability. Otherwise, the periodicity configured by *periodUE-r17* is an integer multiple of or an integter factor of the periodicity indicated by *period* in *SemiStaticChannelAccessConfig-r16.* |
| ***servingCellMO****measObjectId* of the *MeasObjectNR* in *MeasConfig* which is associated to the serving cell. For this *MeasObjectNR*, the following relationship applies between this MeasObjectNR and *frequencyInfoDL* in *ServingCellConfigCommon* of the serving cell: if *ssbFrequency* is configured, its value is the same as the *absoluteFrequencySSB* and if *csi-rs-ResourceConfigMobility* is configured, the value of its *subcarrierSpacing* is present in one entry of the *scs-SpecificCarrierList*, *csi-RS-CellListMobility* includes an entry corresponding to the serving cell (with *cellId* equal to *physCellId* in *ServingCellConfigCommon*) and the frequency range indicated by the *csi-rs-MeasurementBW* of the entry in *csi-RS-CellListMobility* is included in the frequency range indicated by in the entry of the *scs-SpecificCarrierList*. |
| ***supplementaryUplink***Network may configure this field only when *supplementaryUplinkConfig* is configured in *ServingCellConfigCommon* or *supplementaryUplink* is configured in *ServingCellConfigCommonSIB*. |
| ***supplementaryUplinkRelease***If this field is included, the UE shall release the uplink configuration configured by *supplementaryUplink*. The network only includes either *supplementaryUplinkRelease* or *supplementaryUplink* at a time. |
| ***tag-Id***Timing Advance Group ID, as specified in TS 38.321 [3], which this cell belongs to. |
| ***tci-Info***If configured for an SCell, or if configured for the PSCell when the SCG is being activated upon the reception of the containing message, the UE shall consider the indicated TCI states as the activated TCI states for PDCCH/PDSCH reception on this serving cell.If configured for the PSCell when the SCG is indicated as deactivated in the containing message:- the UE shall consider the indicated TCI states as the TCI states to be activated for PDCCH/PDSCH reception upon a later SCG activation in which *tci-Info* is absent- if bfd-and-RLM is configured and no RS is configured in *RadioLinkMonitoringConfig* for RLM, respectively for BFD, the UE shall use the indicated TCI states for PDCCH as RS for RLM, respectively for BFD.When this field is absent for the PSCell and the SCG is being deactivated:- the UE shall consider the previously activated TCI states as the TCI states to be activated for PDCCH/PDSCH reception upon a later SCG activation in which *tci-Info* is absent- if *bfd-and-RLM* is configured and no RS is configured in *RadioLinkMonitoringConfig* for RLM, respectively for BFD, the UE shall use the previously activated TCI states for PDCCH as RS for RLM, respectively for BFD. |
| ***tdd-UL-DL-ConfigurationDedicated-IAB-MT***Resource configuration per IAB-MT D/U/F overrides all symbols (with a limitation that effectively only flexible symbols can be overwritten in Rel-16) per slot over the number of slots as provided by *TDD-UL-DL ConfigurationCommon*. |
| ***unifiedTCI-StateType***Indicates the unified TCI state type the UE is configured for this serving cell. The value "Separate" means this serving cell is configured with *dl-orJoint-TCI-ToAddModList* for DL TCI state and *ul-TCI-ToAddModList* for UL TCI state. The value "Joint" means this serving cell is configured with *dl-orJoint-TCI-ToAddModList* for joint TCI state for UL and DL operation. |
| ***uplinkConfig***Network may configure this field only when *uplinkConfigCommon* is configured in *ServingCellConfigCommon* or *ServingCellConfigCommonSIB*. Addition or release of this field can only be done upon SCell addition or release (respectively). |
| ***uplink-PowerControlToAddModList***Configures UL power control parameters for PUSCH, PUCCH and SRS when field unifiedTCI-StateType is configured for this serving cell. |

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| ***UplinkConfig* field descriptions** |
| ***carrierSwitching***Includes parameters for configuration of carrier based SRS switching (see TS 38.214 [19], clause 6.2.1.3. |
| ***enableDefaultBeamPL-ForPUSCH0-0, enableDefaultBeamPL-ForPUCCH, enableDefaultBeamPL-ForSRS***When the parameter is present, UE derives the spatial relation and the corresponding pathloss reference Rs as specified in 38.213, clauses 7.1.1, 7.2.1, 7.3.1 and 9.2.2. The network only configures these parameters for FR2. |
| ***enablePL-RS-UpdateForPUSCH-SRS***When this parameter is present, the Rel-16 feature of MAC CE based pathloss RS updates for PUSCH/SRS is enabled. Network only configures this parameter when the UE is configured with *sri-PUSCH-PowerControl*. If this field is not configured, network configures at most 4 pathloss RS resources for PUSCH/PUCCH/SRS transmissions per BWP, not including pathloss RS resources for SRS transmissions for positioning. (See TS 38.213 [13], clause 7). |
| ***firstActiveUplinkBWP-Id***If configured for an SpCell, this field contains the ID of the UL BWP to be activated upon performing the RRC (re-)configuration. If the field is absent, the RRC (re-)configuration does not impose a BWP switch.If configured for an SCell, this field contains the ID of the uplink bandwidth part to be used upon activation of an SCell. The initial bandwidth part is referred to by BandiwdthPartId = 0. |
| ***initialUplinkBWP***The dedicated (UE-specific) configuration for the initial uplink bandwidth-part (i.e. UL BWP#0). If any of the optional IEs are configured within this IE as part of the IE *uplinkConfig*, the UE considers the BWP#0 to be an RRC configured BWP (from UE capability viewpoint). Otherwise, the UE does not consider the BWP#0 as an RRC configured BWP (from UE capability viewpoint). Network always configures the UE with a value for this field if no other BWPs are configured. NOTE1 |
| ***moreThanOneNackOnlyMode***Indicates the mode of supporting more than one NACK-only feedback in the same PUCCH transmission. Mode 1 means UE multiplexing the HARQ-ACK bits by transforming NACK-only into ACK/NACK HARQ bits. Mode 2 means UE transmitting a specific sequence or a PUCCH transmission corresponding to the combination of more than one NACK-only HARQ feedback. If multicast CFR is not configured, this field is not included. Otherwise, if the field is absent, UE uses mode 1 for multicast CFR. |
| ***mpr-PowerBoost-FR2***Indicates whether UE is allowed to boost uplink transmission power by suspending in-band emission (IBE) requirements as specified in TS 38.101-2 [39]. Network only configures this field for FR2 serving cells. |
| ***powerBoostPi2BPSK***If this field is set to *true*, the UE determines the maximum output power for PUCCH/PUSCH transmissions that use pi/2 BPSK modulation according to TS 38.101-1 [15], clause 6.2.4. |
| ***pusch-ServingCellConfig***PUSCH related parameters that are not BWP-specific. |
| ***uplinkBWP-ToAddModList***The additional bandwidth parts for uplink to be added or modified. In case of TDD uplink- and downlink BWP with the same *bandwidthPartId* are considered as a BWP pair and must have the same center frequency. |
| ***uplinkBWP-ToReleaseList***The additional bandwidth parts for uplink to be released. |
| ***uplinkChannelBW-PerSCS-List***A set of UE specific channel bandwidth and location configurations for different subcarrier spacings (numerologies). Defined in relation to Point A. The UE uses the configuration provided in this field only for the purpose of channel bandwidth and location determination. If absent, UE uses the configuration indicated in *scs-SpecificCarrierList* in *UplinkConfigCommon* / *UplinkConfigCommonSIB*. Network only configures channel bandwidth that corresponds to the channel bandwidth values defined in TS 38.101-1 [15] and TS 38.101-2 [39]. |
| ***uplinkTxSwitchingPeriodLocation***Indicates whether the location of UL Tx switching period is configured in this uplink carrier in case of inter-band UL CA, SUL, or (NG)EN-DC, as specified in TS 38.101-1 [15] and TS 38.101-3 [34].In case of (NG)EN-DC, network always configures this field to TRUE for NR carrier (i.e. with (NG)EN-DC, the UL switching period always occurs on the NR carrier).In case of inter-band UL CA or SUL, for dynamic uplink Tx switching between 2 bands with 2 uplink carriers or 3 uplink carriers as defined in TS 38.101-1 [15], network configures this field to TRUE for the uplink carrier(s) on one band and configures this field to FALSE for the uplink carrier(s) on the other band. This field is set to the same value for the carriers on the same band. |
| ***uplinkTxSwitchingCarrier***Indicates that the configured carrier is carrier1 or carrier2 for dynamic uplink Tx switching, as defined in TS 38.101-1 [15] and TS 38.101-3 [34]. In case of (NG)EN-DC, network always configures the NR carrier as carrier 2.In case of inter-band UL CA or SUL, for dynamic uplink Tx switching between 2 bands with 2 uplink carriers or 3 uplink carriers as defined in TS 38.101-1 [15], network configures the uplink carrier(s) on one band as carrier1 and the uplink carrier(s) on the other band as carrier2. This field is set to the same value for the carriers on the same band. |

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| ***DormantBWP-Config* field descriptions** |
| ***dormancyGroupWithinActiveTime***This field contains the ID of an SCell group for Dormancy within active time, to which this SCell belongs. The use of the Dormancy within active time SCell groups is specified in TS 38.213 [13]. |
| ***dormancyGroupOutsideActiveTime***This field contains the ID of an SCell group for Dormancy outside active time, to which this SCell belongs. The use of the Dormancy outside active time SCell groups is specified in TS 38.213 [13]. |
| ***dormantBWP-Id***This field contains the ID of the downlink bandwidth part to be used as dormant BWP. If this field is configured, its value is different from *defaultDownlinkBWP-Id*, and at least one of the *withinActiveTimeConfig* and *outsideActiveTimeConfig* should be configured. |
| ***firstOutsideActiveTimeBWP-Id***This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy outside active time. |
| ***firstWithinActiveTimeBWP-Id***This field contains the ID of the downlink bandwidth part to be activated when receiving a DCI indication for SCell dormancy within active time. |
| ***outsideActiveTimeConfig***This field contains the configuration to be used for SCell dormancy outside active time, as specified in TS 38.213 [13]. The field can only be configured when the cell group the SCell belongs to is configured with *dcp-Config*. |
| ***withinActiveTimeConfig***This field contains the configuration to be used for SCell dormancy within active time, as specified in TS 38.213 [13].  |

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| ***GuardBand* field descriptions** |
| ***startCRB***Indicates the starting RB of the guard band. |
| ***nrofCRB***Indicates the length of the guard band in RBs. When set to 0, zero-size guard band is used. |

NOTE 1: If the dedicated part of initial UL/DL BWP configuration is absent, the initial BWP can be used but with some limitations. For example, changing to another BWP requires *RRCReconfiguration* since DCI format 1\_0 doesn't support DCI-based switching.

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| **Conditional Presence** | **Explanation** |
| *AsyncCA* | This field is mandatory present for SCells whose slot offset between the SpCell is not 0. Otherwise it is absent, Need S. |
| *MeasObject* | This field is mandatory present for the SpCell if the UE has a *measConfig*, and it is optionally present, Need M, for SCells and RedCap UEs. |
| *SCellOnly* | This field is optionally present, Need R, for SCells. It is absent otherwise.  |
| *ServingCellWithoutPUCCH* | This field is optionally present, Need S, for SCells except PUCCH SCells. It is absent otherwise. |
| *SyncAndCellAdd* | This field is mandatory present for a SpCell upon reconfiguration with *reconfigurationWithSync* and upon *RRCSetup*/*RRCResume*.The field is optionally present for an SpCell, Need N, upon reconfiguration without *reconfigurationWithSync*.The field is mandatory present for an SCell upon addition, and absent for SCell in other cases, Need M. |
| *TCI\_Info* | This field is optional Need N for SCells if *sCellState* is configured, otherwise it is absent.This field is optional Need S for the PSCell when the SCG is indicated as deactivated or is being activated, otherwise it is absent.This field is absent for the PCell. |
| *TDD* | This field is optionally present, Need R, for TDD cells. It is absent otherwise. |
| *TDD\_IAB* | For IAB-MT, this field is optionally present, Need R, for TDD cells. It is absent otherwise. |