**3GPP TSG-RAN-WG2 Meeting #117-e *R2-220xxxx***

**Electronic meeting, 21 February - 3 March 2022**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
|  | | | | | | | | |
|  | **36.331** | **CR** | **4774** | **rev** | **1** | **Current version:** | **16.7.0** |  |
|  | | | | | | | | |
| *For* [***HELP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Introduction of further multi-RAT dual-connectivity enhancements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Huawei, HiSilicon | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | LTE\_NR\_DC\_enh2 | | | | |  | ***Date:*** | | | 2022-03-03 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduction of further multi-RAT dual-connectivity enhancements | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | This document includes the changes of  - R2-2202028 Introduction of efficient SCG activation/deactivation  (marks: "SCG deactivation R2-2202028")  - R2-2201818 Introduction of CPA and inter-SN CPC  (marks: "CPAC R2-2201818")  RAN2#117 (marks: RAN2#117-e)  - R2-2203796 Introduction of CPA and inter-SN CPC  - R2-2203641 Introduction of efficient SCG activation/deactivation | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | Further multi-RAT dual-connectivity enhancements are not supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | | 3.2, 5.3.3.2, 5.3.3.4a, 5.3.5.2, 5.3.3.4a, 5.3.5.3, 5.3.5.4, 5.3.5.9.1, 5.3.5.9.3, 5.3.5.9.4, 5.3.5.9.5, 5.3.5.9.x (new), 5.3.7.2, 5.3.7.3, 5.3.12, 5.5.1, 5.5.3.1, 5.6.2a.1, 5.6.2a.2, 5.6.10.1, 5.6.10.2, 5.6.10.3, 6.2.2, 6.3.4, 6.3.5, 7.1, 10.2.2, 10.3 | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | | **X** |  | Other core specifications | | | | TS 37.340 CRxxxx, TS 38.321 CR 1210, TS 38.331 CR 2954 | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

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# 3 Definitions, symbols and abbreviations

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 36.300 [9] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] or TS 36.300 [9].

1xRTT CDMA2000 1x Radio Transmission Technology

AB Access Barring

ACDC Application specific Congestion control for Data Communication

ACK Acknowledgement

AILC Assistance Information bit for Local Cache

AM Acknowledged Mode

ANDSF Access Network Discovery and Selection Function

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

AUL Autonomous Uplink

BCCH Broadcast Control Channel

BCD Binary Coded Decimal

BCH Broadcast Channel

BL Bandwidth reduced Low complexity

BLER Block Error Rate

BR Bandwidth Reduced

BR-BCCH Bandwidth Reduced Broadcast Control Channel

CA Carrier Aggregation

CAS Cell Acquisition Subframes

CBR Channel Busy Ratio

CCCH Common Control Channel

CCO Cell Change Order

CE Coverage Enhancement

CFI Control Format Indicator

CG Cell Group

CHO Conditional Handover

CIoT Cellular IoT

CMAS Commercial Mobile Alert Service

CP Control Plane

CPA Conditional PSCell Addition

CPC Conditional PSCell Change

CP-EDT Control Plane EDT

C-RNTI Cell RNTI

CRS Cell-specific Reference Signal

CSFB CS fallback

CSG Closed Subscriber Group

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCN Dedicated Core Networks

DFN Direct Frame Number

DL Downlink

DL-SCH Downlink Shared Channel

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EAB Extended Access Barring

eDRX Extended DRX

EDT Early Data Transmission

EHPLMN Equivalent Home Public Land Mobile Network

eIMTA Enhanced Interference Management and Traffic Adaptation

ENB Evolved Node B

EN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to EPC

EPC Evolved Packet Core

EPDCCH Enhanced Physical Downlink Control Channel

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

G-RNTI Group RNTI

GSM Global System for Mobile Communications

GWUS Group Wake Up Signal

HARQ Hybrid Automatic Repeat Request

HFN Hyper Frame Number

HPLMN Home Public Land Mobile Network

HRPD CDMA2000 High Rate Packet Data

HSDN High Speed Dedicated Network

H-SFN Hyper SFN

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IDC In-Device Coexistence

IE Information element

IMEI International Mobile Equipment Identity

IMSI International Mobile Subscriber Identity

IoT Internet of Things

ISM Industrial, Scientific and Medical

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LAA Licensed-Assisted Access

LWA LTE-WLAN Aggregation

LWAAP LTE-WLAN Aggregation Adaptation Protocol

LWIP LTE-WLAN Radio Level Integration with IPsec Tunnel

MAC Medium Access Control

MBMS Multimedia Broadcast Multicast Service

MBSFN Multimedia Broadcast multicast service Single Frequency Network

MCG Master Cell Group

MCOT Maximum Channel Occupancy Time

MCPTT Mission Critical Push To Talk

MDT Minimization of Drive Tests

MIB Master Information Block

MO Mobile Originating

MPDCCH MTC Physical Downlink Control Channel

MRB MBMS Point to Multipoint Radio Bearer

MR-DC Multi-Radio Dual Connectivity

MRO Mobility Robustness Optimisation

MSI MCH Scheduling Information

MT Mobile Terminating

MTSI Multimedia Telephony Service for IMS

MUST MultiUser Superposition Transmission

N/A Not Applicable

NACC Network Assisted Cell Change

NAICS Network Assisted Interference Cancellation/Suppression

NAS Non Access Stratum

NB-IoT NarrowBand Internet of Things

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (i.e. covering both EN-DC and NGEN-DC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRAN connected to 5GC

NPBCH Narrowband Physical Broadcast channel

NPDCCH Narrowband Physical Downlink Control channel

NPDSCH Narrowband Physical Downlink Shared channel

NPRACH Narrowband Physical Random Access channel

NPSS Narrowband Primary Synchronization Signal

NPUSCH Narrowband Physical Uplink Shared channel

NR NR Radio Access

NRS Narrowband Reference Signal

NSSAI Network Slice Selection Assistance Information

NSSS Narrowband Secondary Synchronization Signal

OS OFDM Symbol

P2X Pedestrian-to-Everything

PCCH Paging Control Channel

PCell Primary Cell

PDCCH Physical Downlink Control Channel

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PMK Pairwise Master Key

PO Paging Occasion

posSIB Positioning SIB

ProSe Proximity based Services

PS Public Safety (in context of sidelink), Packet Switched (otherwise)

PSCell Primary Secondary Cell

PSK Pre-Shared Key

PTAG Primary Timing Advance Group

PUCCH Physical Uplink Control Channel

PUR Preconfigured Uplink Resource

QCI QoS Class Identifier

QoE Quality of Experience

QoS Quality of Service

RACH Random Access CHannel

RAI Release Assistance Indication

RAT Radio Access Technology

RB Radio Bearer

RCLWI RAN Controlled LTE-WLAN Integration

RLC Radio Link Control

RLOS Restricted Local Operator Services

RMTC RSSI Measurement Timing Configuration

RN Relay Node

RNA RAN-based Notification Area

RNAU RAN-based Notification Area Update

RNTI Radio Network Temporary Identifier

ROHC RObust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RSCP Received Signal Code Power

RSRP Reference Signal Received Power

RSRQ Reference Signal Received Quality

RSS Resynchronisation signal

RSSI Received Signal Strength Indicator

SAE System Architecture Evolution

SAP Service Access Point

SBAS Satellite Based Augmentation System

SC Sidelink Control

SCell Secondary Cell

SCG Secondary Cell Group

SC-MRB Single Cell MRB

SC-RNTI Single Cell RNTI

SD-RSRP Sidelink Discovery Reference Signal Received Power

SFN System Frame Number

SI System Information

SIB System Information Block

SI-RNTI System Information RNTI

SL Sidelink

SLSS Sidelink Synchronisation Signal

SMC Security Mode Control

SMTC SS/PBCH Block Measurement Timing Configuration

SPDCCH Short PDCCH

SPS Semi-Persistent Scheduling

SPT Short Processing Time

SPUCCH Short PUCCH

SR Scheduling Request

SRB Signalling Radio Bearer

S-RSRP Sidelink Reference Signal Received Power

SSAC Service Specific Access Control

SSTD SFN and Subframe Timing Difference

STAG Secondary Timing Advance Group

S-TMSI SAE Temporary Mobile Station Identifier

STTI Short TTI

TA Tracking Area

TAG Timing Advance Group

TDD Time Division Duplex

TDM Time Division Multiplexing

TM Transparent Mode

TPC-RNTI Transmit Power Control RNTI

T-RPT Time Resource Pattern of Transmission

TTI Transmission Time Interval

TTT Time To Trigger

UDC Uplink Data Compression

UE User Equipment

UICC Universal Integrated Circuit Card

UL Uplink

UL-SCH Uplink Shared Channel

UM Unacknowledged Mode

UP User Plane

UP-EDT User Plane EDT

UTC Coordinated Universal Time

UTRAN Universal Terrestrial Radio Access Network

V2X Vehicle-to-Everything

VoLTE Voice over Long Term Evolution

WLAN Wireless Local Area Network

WT WLAN Termination

WUS Wake-up Signal

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

# 5 Procedures

## 5.3 Connection control

### 5.3.3 RRC connection establishment

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment or resume of an RRC connection while the UE is in RRC\_IDLE or when upper layers request resume of an RRC connection or RRC layer requests resume of an RRC connection for, e.g. RNAU or reception of RAN paging while the UE is in RRC\_INACTIVE.

Except for NB-IoT, upon initiation of the procedure, if the UE is connected to EPC, the UE shall:

1> if *SystemInformationBlockType2* includes *ac-BarringPerPLMN-List* and the *ac-BarringPerPLMN-List* contains an *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):

2> select the *AC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;

2> in the remainder of this procedure, use the selected *AC-BarringPerPLMN* entry (i.e. presence or absence of access barring parameters in this entry) irrespective of the common access barring parameters included in *SystemInformationBlockType2;*

1> else

2> in the remainder of this procedure use the common access barring parameters (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2;*

1> if *SystemInformationBlockType2* contains *acdc-BarringPerPLMN-List* and the *acdc-BarringPerPLMN-List* contains an *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35]):

2> select the *ACDC-BarringPerPLMN* entry with the *plmn-IdentityIndex* corresponding to the PLMN selected by upper layers;

2> in the remainder of this procedure, use the selected *ACDC-BarringPerPLMN* entry for ACDC barring check (i.e. presence or absence of access barring parameters in this entry) irrespective ofthe *acdc-BarringForCommon* parameters included in *SystemInformationBlockType2*;

1> else:

2> in the remainder of this procedure use the *acdc-BarringForCommon* (i.e. presence or absence of these parameters) included in *SystemInformationBlockType2* for ACDC barring check;

1> if upper layers indicate that the RRC connection is subject to EAB (see TS 24.301 [35]):

2> if the result of the EAB check, as specified in 5.3.3.12, is that access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that EAB is applicable, upon which the procedure ends;

1> if upper layers indicate that the RRC connection is subject to ACDC (see TS 24.301 [35]), *SystemInformationBlockType2* contains *BarringPerACDC-CategoryList*, and *acdc-HPLMNonly* indicates that ACDC is applicable for the UE:

2> if the *BarringPerACDC-CategoryList* contains a *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers:

3> select the *BarringPerACDC-Category* entry corresponding to the ACDC category selected by upper layers;

2> else:

3> select the last *BarringPerACDC-Category* entry in the *BarringPerACDC-CategoryList*;

2> stop timer T308, if running;

2> perform access barring check as specified in 5.3.3.13, using T308 as "Tbarring" and *acdc-BarringConfig* in the *BarringPerACDC-Category* as "ACDC barring parameter";

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable due to ACDC, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile terminating calls:

2> if timer T302 is running:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile terminating calls is applicable, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for emergency calls:

2> if *SystemInformationBlockType2* includes the *ac-BarringInfo*:

3> if the *ac-BarringForEmergency* is set to *TRUE*:

4> if the UE has one or more Access Classes, as stored on the USIM, with a value in the range 11..15, which is valid for the UE to use according to TS 22.011 [10] and TS 23.122 [11]:

NOTE 1: ACs 12, 13, 14 are only valid for use in the home country and ACs 11, 15 are only valid for use in the HPLMN/ EHPLMN.

5> if the *ac-BarringInfo* includes *ac-BarringForMO-Data*, and for all of these valid Access Classes for the UE, the corresponding bit in the *ac-BarringForSpecialAC* contained in *ac-BarringForMO-Data* is set to *one*:

6> consider access to the cell as barred;

4> else:

5> consider access to the cell as barred;

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating calls:

2> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";

2> if access to the cell is barred:

3> if *SystemInformationBlockType2* includes *ac-BarringForCSFB* or the UE does not support CS fallback:

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;

3> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):

4> if timer T306 is not running, start T306 with the timer value of T303;

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating signalling:

2> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";

2> if access to the cell is barred:

3> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating CS fallback:

2> if *SystemInformationBlockType2* includes *ac-BarringForCSFB*:

3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForCSFB* as "AC barring parameter";

3> if access to the cell is barred:

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback is applicable, due to *ac-BarringForCSFB*, upon which the procedure ends;

2> else:

3> perform access barring check as specified in 5.3.3.11, using T306 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";

3> if access to the cell is barred:

4> if timer T303 is not running, start T303 with the timer value of T306;

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating CS fallback and mobile originating calls is applicable, due to *ac-BarringForMO-Data*, upon which the procedure ends;

1> else if the UE is establishing the RRC connection for mobile originating MMTEL voice, mobile originating MMTEL video, mobile originating SMSoIP or mobile originating SMS; or

1> if the UE is establishing the RRC connection after EPS fallback for IMS voice (see TS 23.502 [102]) was triggered in NR via *RRCRelease* with *voiceFallbackIndication* (see TS 38.331 [82]):

2> if the UE is establishing the RRC connection for mobile originating MMTEL voice and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVoice*; or

2> if the UE is establishing the RRC connection for mobile originating MMTEL video and *SystemInformationBlockType2* includes *ac-BarringSkipForMMTELVideo*; or

2> if the UE is establishing the RRC connection for mobile originating SMSoIP or SMS and *SystemInformationBlockType2* includes *ac-BarringSkipForSMS*:

3> consider access to the cell as not barred;

2> else:

3> if *establishmentCause* received from higher layers is set to *mo-Signalling* (including the case that *mo-Signalling* is replaced by *highPriorityAccess* according to TS 24.301 [35] or by *mo-VoiceCall* according to the clause 5.3.3.3)*:*

4> perform access barring check as specified in 5.3.3.11, using T305 as "Tbarring" and *ac-BarringForMO-Signalling* as "AC barring parameter";

4> if access to the cell is barred:

5> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating signalling is applicable, upon which the procedure ends;

3> if *establishmentCause* received from higher layers is set to *mo-Data* (including the case that *mo-Data* is replaced by *highPriorityAccess* according to TS 24.301 [35] or by *mo-VoiceCall* according to the clause 5.3.3.3):

4> perform access barring check as specified in 5.3.3.11, using T303 as "Tbarring" and *ac-BarringForMO-Data* as "AC barring parameter";

4> if access to the cell is barred:

5> if *SystemInformationBlockType2* includes *ac-BarringForCSFB* or the UE does not support CS fallback:

6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls is applicable, upon which the procedure ends;

5> else (*SystemInformationBlockType2* does not include *ac-BarringForCSFB* and the UE supports CS fallback):

6> if timer T306 is not running, start T306 with the timer value of T303;

6> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring for mobile originating calls and mobile originating CS fallback is applicable, upon which the procedure ends;

Upon initiation of the procedure, if the UE is connected to 5GC, the UE shall:

1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:

2> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:

2> select '0' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> else if the resumption of the RRC connection is triggered by upper layers:

2> if the upper layers provide an Access Category and one or more Access Identities:

3> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

2> set the *resumeCause* in accordance with the information received from upper layers;

1> else if the resumption of the RRC connection is triggered due to an RNAU:

2> if an emergency service is ongoing:

3> select '2' as the Access Category;

3> set the *resumeCause* to *emergency*;

2> else:

3> select '8' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.16 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [95];

3> if the access attempt is barred:

4> set the variable *pendingRnaUpdate* to 'TRUE';

4> the procedure ends;

Except for NB-IoT, upon initiating the procedure, if connected to EPC or 5GC, the UE shall:

1> if the UE is resuming an RRC connection from a suspended RRC connection or from RRC\_INACTIVE:

2> if the UE was configured with (NG)EN-DC:

3> if the UE does not support maintaining SCG configuration upon connection resumption:

4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;

4> release *p-MaxEUTRA*, if configured;

4> release *p-MaxUE-FR1*, if configured;

4> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

3> release *otherConfig* associated with the SCG, if configured;

3> stop timers T346a, T346b, T346c, T346d and T346e associated with the SCG (see TS 38.331 [82], clause 7.1.1), if running;

2> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:

3> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;

2> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;

2> release *reportProximityConfig* and clear any associated proximity status reporting timer;

2> release *obtainLocationConfig*, if configured;

2> release *bt-NameListConfig*, if configured;

2> release *wlan-NameListConfig*, if configured;

2> release *idc-Config*, if configured;

2> release *sps-AssistanceInfoReport*, if configured;

2> release *scg-DeactivationPreferenceConfig*, if configured and stop timer T346, if running;

2> release *measSubframePatternPCell*, if configured;

2> if the UE was configured with DC:

3> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

2> release *naics-Info* for the PCell, if configured;

2> release the LWA configuration, if configured, as described in 5.6.14.3;

2> release the LWIP configuration, if configured, as described in 5.6.17.3;

2> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *ailc-BitConfig*, if configured;

2> release *uplinkDataCompression*, if configured;

2> release *overheatingAssistanceConfig* and *overheatingAssistanceConfigForSCG*, if configured and stop timer T345, if running;

NOTE 1a: The parameters and configurations are released from the UE Inactive AS context if the UE is resuming an RRC connection from RRC\_INACTIVE.

1> if the UE is establishing or resuming an RRC connection from a suspended RRC connection:

2> if the UE has a stored *pur-Config* and the cell is different from the cell where *pur-Config* was provided:

3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;

3> release *pur-Config*;

3> discard previously stored *pur-Config*;

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default semi-persistent scheduling configuration as specified in 9.2.3;

1> apply the default MAC main configuration as specified in 9.2.2;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;

1> start timer T300;

1> if the UE is resuming an RRC connection from a suspended RRC connection:

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

1> else if the UE is resuming an RRC connection from RRC\_INACTIVE:

2> set the variable *pendingRnaUpdate* to 'FALSE';

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

1> else:

2> if stored, discard the UE AS context, UE Inactive AS context and *resumeIdentity*;

2> release *rrc-InactiveConfig*, if configured;

2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b; or

2> if the UE is initiating CP transmission using PUR in accordance with conditions in 5.3.3.1c:

3> initiate transmission of the *RRCEarlyDataRequest* message in accordance with 5.3.3.3b;

2> else:

3> initiate transmission of the *RRCConnectionRequest* message in accordance with 5.3.3.3;

1> if stored, discard *mt-EDT*;

NOTE 2: Upon initiating the connection establishment procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state or UEs in RRC\_INACTIVE. However, the UE needs to perform system information acquisition upon cell re-selection.

For NB-IoT, upon initiation of the procedure, the UE shall:

1> if theUEis connected to EPC:

2> if theUEis establishing or resuming the RRC connection for mobile originating exception data;or

2> if theUEis establishing or resuming the RRC connection for mobile originating data;or

2> if theUEis establishing or resuming the RRC connection for delay tolerant access;or

2> if theUEis establishing or resuming the RRC connection for mobile originating signalling;

3> perform access barring check as specified in 5.3.3.14;

3> if access to the cell is barred:

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication and that access barring is applicable, upon which the procedure ends;

1> if the UE is connected to 5GC:

2> if the Access Category provided by the upper layers is different from '0':

3> perform access barring check for per-NRSRP barring as specified in 5.3.3.14;

3> if access to the cell is barred:

4> inform upper layers about the failure to establish the RRC connection or failure to resume the RRC connection with suspend indication, upon which the procedure ends;

3> else:

4> perform the unified access control procedure as specified in 5.3.16 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

1> if the UE is establishing or resuming an RRC connection:

2> if the UE has a stored *pur-Config* and the cell is different from the cell where *pur-Config* was provided:

3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;

3> release *pur-Config*;

3> discard previously stored *pur-Config*;

1> apply the default physical channel configuration as specified in 9.2.4;

1> apply the default MAC main configuration as specified in 9.2.2;

1> apply the CCCH configuration as specified in 9.1.1.2;

1> start timer T300;

1> if the UE is establishing an RRC connection:

2> if stored, discard the UE AS context and *resumeIdentity*;

2> if the UE is initiating CP-EDT in accordance with conditions in 5.3.3.1b; or

2> if the UE is initiating CP transmission using PUR in accordance with conditions in 5.3.3.1c:

3> initiate transmission of the *RRCEarlyDataRequest* message in accordance with 5.3.3.3b;

2> else:

3> initiate transmission of the *RRCConnectionRequest* message in accordance with 5.3.3.3;

1> else if the UE is resuming an RRC connection:

2> release *schedulingRequestConfig*, if configured;

2> initiate transmission of the *RRCConnectionResumeRequest* message in accordance with 5.3.3.3a;

1> if stored, discard *mt-EDT*;

NOTE 3: Upon initiating the connection establishment or resumption procedure, the UE is not required to ensure it maintains up to date system information applicable only for UEs in RRC\_IDLE state. However, the UE needs to perform system information acquisition upon cell re-selection.

NOTE 4: For EDT and transmission using PUR, upon initiating the connection establishment or resumption procedure, it is up to UE implementation whether to continue cell re-selection related measurements as well as cell re-selection evaluation and, if the conditions for cell re-selection are fulfilled, whether to perform cell re-selection as specified in 5.3.3.5.

#### 5.3.3.4a Reception of the *RRCConnectionResume* by the UE

The UE shall:

1> stop timer T300;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> stop T380 if running;

1> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or for transmission using PUR:

2> discard the stored UE AS context and *resumeIdentity*;

2> if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for transmission using PUR:

3> instruct the associated MAC entity to start *timeAlignmentTimer*;

1> else:

2> if resuming an RRC connection from a suspended RRC connection in EPC; or

2> for NB-IoT, if resuming an RRC connection from a suspended RRC connection in 5GC and *fullConfig* is not present in the *RRCConnectionResume* message:

3> restore the PDCP state and re-establish PDCP entities for SRB2, if configured withE-UTRA PDCP, and for all DRBs that are configured with E-UTRA PDCP;

3> if *drb-ContinueROHC* is included:

4> indicate to lower layers that stored UE AS context is used and that *drb-ContinueROHC* is configured;

4> continue the header compression protocol context for the DRBs configured with the header compression protocol;

3> else:

4> indicate to lower layers that stored UE AS context is used;

4> reset the header compression protocol context for the DRBs configured with the header compression protocol;

3> if *restoreMCG-SCells* is included:

4> restore the MCG SCell(s) configuration, if stored;

3> else:

4> release the MCG SCell(s) from the UE AS context, if stored;

3> if *restoreSCG* is included:

4> restore *nr-SecondaryCellGroupConfig*, if stored;

3> else if the UE was configured with EN-DC:

4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;

4> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

3> discard the stored UE AS context and *resumeIdentity*;

3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

2> else if the *RRCConnectionResume* message includes the *fullConfig* (i.e., for resuming an RRC connection from RRC\_INACTIVE or for resuming a suspended RRC connection in 5GC):

3> perform the radio configuration procedure as specified in 5.3.5.8;

2> else if resuming an RRC connection from RRC\_INACTIVE:

3> restore the following from the stored UE Inactive AS context:

- MCG physical layer configuration,

- MCG MAC configuration,

- MCG RLC configuration,

- PDCP configuration;

3> if *restoreMCG-SCells* is included:

4> restore the MCG SCell(s) configuration, if stored;

3> else:

4> release the MCG SCell(s) from the UE Inactive AS context, if stored;

3> if *restoreSCG* is included:

4> restore *nr-SecondaryCellGroupConfig*, if stored;

3> else if the UE was configured with NGEN-DC:

4> perform MR-DC release, as specified in TS 38.331 [82], clause 5.3.5.10;

4> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

3> discard the stored UE Inactive AS context;

3> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

3> release the *rrc-InactiveConfig*, except *ran-NotificationAreaInfo*;

2> else (i.e., except for NB-IoT for resuming a suspended RRC connection in 5GC):

3> restore the physical layer configuration, the MAC configuration, the RLC configuration and the PDCP configuration from the stored UE AS context;

3> discard the stored UE AS context and *resumeIdentity*;

1> perform the radio resource configuration procedure in accordance with the received *radioResourceConfigDedicated* and as specified in 5.3.10;

NOTE 1: When performing the radio resource configuration procedure, for the physical layer configuration and the MAC Main configuration, the restored RRC configuration from the stored UE AS context is used as basis for the reconfiguration.

1> if the received *RRCConnectionResume* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionResume* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionResume* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionResume* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionResume* message includes the *nr-SecondaryCellGroupConfig*:

2> if the RRCReconfiguration includes the *scg-State*:

3> perform SCG deactivation as specified in TS 38.331 [82], clause 5.3.5.x;

2> else:

3> perform SCG activation as specified in TS 38.331 [82], clause 5.3.5.y;

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

1> if the received *RRCConnectionResume* message includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.8;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionResume* message includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> except if the *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* for EDT or for transmission using PUR:

2> resume SRB2, SRB3 (if configured), and all DRBs, if any, including RBs configured with NR PDCP;

1> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo* or inherited from another RAT;

1> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;

1> if stored, discard the dedicated offset provided by the *redirectedCarrierOffsetDedicated*;

1> if the *RRCConnectionResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> stop timer T303, if running;

1> stop timer T305, if running;

1> stop timer T306, if running;

1> stop timer T308, if running;

1> perform the actions as specified in 5.3.3.7;

1> stop timer T320, if running;

1> stop timer T350, if running;

1> perform the actions as specified in 5.6.12.4;

1> stop timer T360, if running;

1> stop timer T322, if running;

1> stop timer T323, if running;

1> if timer T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.6.20.3;

1> if the UE is resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18 or *RRCConnectionResume* is received in response to an *RRCConnectionResumeRequest* from RRC\_INACTIVE:

2> ignore the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message;

1> else:

2> if resuming an RRC connection from a suspended RRC connection in EPC:

3> update the KeNB key based on the KASME key to which the current KeNB is associated, using the *nextHopChainingCount* value indicated in the *RRCConnectionResume* message, as specified in TS 33.401 [32];

3> store the *nextHopChainingCount* value;

3> derive the KRRCint key associated with the previously configured integrity algorithm, as specified in TS 33.401 [32];

3> request lower layers to verify the integrity protection of the *RRCConnectionResume* message, using the previously configured algorithm and the KRRCint key;

3> if the integrity protection check of the *RRCConnectionResume* message fails:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'other', upon which the procedure ends;

3> derive the KRRCenc key and the KUPenc key associated with the previously configured ciphering algorithm, as specified in TS 33.401 [32];

3> configure lower layers to resume integrity protection using the previously configured algorithm and the KRRCint key immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

3> configure lower layers to resume ciphering and to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> set the content of *RRCConnectionResumeComplete* message as follows:

2> set the *selectedPLMN-Identity* to the PLMN selected by upper layers (see TS 23.122 [11], TS 24.301 [35] for E-UTRA/EPC and TS 24.501 [95] for E-UTRA/5GC) from the PLMN(s) included in the *plmn-IdentityList* in *SystemInformationBlockType1*;

2> set the *dedicatedInfoNAS* to include the information received from upper layers;

2> except for NB-IoT:

3> if resuming an RRC connection from a suspended RRC connection:

4> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

5> include *rlf-InfoAvailable*;

4> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailableMBSFN*;

4> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

5> include *logMeasAvailable*;

5> if Bluetooth measurement results are included in the logged measurements the UE has available:

6> include *logMeasAvailableBT*;

5> if WLAN measurement results are included in the logged measurements the UE has available:

6> include *logMeasAvailableWLAN*;

4> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

5> include *connEstFailInfoAvailable*;

4> include the *mobilityState* and set it to the mobility state (as specified in TS 36.304 [4]) of the UE just prior to entering RRC\_CONNECTED state;

4> if the UE has flight path information available:

5> include *flightPathInfoAvailable*;

3> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

4> include *mobilityHistoryAvail*;

3> if the *idleModeMeasurementReq* is included in the *RRCConnectionResume* message:

4> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:

5> set the *measResultListIdle-r16* in the *RRCConnectionResumeComplete* message to the value of *measReportIdle-r15* in the *VarMeasIdleReport*;

5> set the *measResultListExtIdle* in the *RRCConnectionResumeComplete* message to the value of *measReportIdle-r16* in the *VarMeasIdleReport*, if available;

5> set the *measResultListIdleNR* in the *RRCConnectionResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

5> discard the *VarMeasIdleReport* upon successful delivery of the *RRCConnectionResumeComplete* message is confirmed by lower layers;

3> else:

4> if the SIB2 contains *idleModeMeasurements* and the UE has E-UTRA idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

4> if the SIB2 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information available in *VarMeasIdleReport*:

5> include the *idleMeasAvailable*;

3> if the *RRCConnectionResume* message includes *nr-SecondaryCellGroupConfig*:

4> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

2> for NB-IoT:

3> if the UE supports serving cell idle mode measurements reporting and *servingCellMeasInfo* is present in *SystemInformationBlockType2-NB*:

4> set the *measResultServCell* to include the measurements of the serving cell;

NOTE 2: The UE includes the latest results of the serving cell measurements as used for cell selection/ reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 36.133 [16].

3> if the UE is connected to EPC:

4> if the UE has radio link failure information available in *VarRLF-Report-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report-NB*:

5> include *rlf-InfoAvailable*;

4> if the UE has ANR measurements information available in *VarANR-MeasReport-NB* and if the RPLMN is included in *plmn-IdentityList* stored in *VarANR-MeasReport-NB*:

5> include *anr-InfoAvailable*;

1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication* to upper layers as if the UE has received this field from SIB2, otherwise indicate to upper layers the absence of this field;

1> submit the *RRCConnectionResumeComplete* message to lower layers for transmission;

1> the procedure ends.

### 5.3.5 RRC connection reconfiguration

#### 5.3.5.2 Initiation

E-UTRAN may initiate the RRC connection reconfiguration procedure to a UE in RRC\_CONNECTED. E-UTRAN applies the procedure as follows:

- the *mobilityControlInfo* is included only when AS-security has been activated, and SRB2 with at least one DRB are setup and not suspended;

- the establishment of RBs (other than SRB1, that is established during RRC connection establishment) is included only when AS security has been activated;

- the addition of SCells is performed only when AS security has been activated;

- the addition, release or modification of conditional reconfigurations is performed only when AS security has been activated, and SRB2 with at least one DRB are setup and not suspended;

The UE initiates the RRC connection reconfiguration procedure while in RRC\_CONNECTED when a conditional reconfiguration (e.g. CHO, CPA, or inter-SN CPC) is executed i.e. upon the fulfilment of an execution condition, an associated *RRCConnectionReconfiguration* that is stored is applied.

NOTE: Embedding in an NR Reconfiguration is used for the transfer of IRAT DL DCCH information as used for V2X sidelink communication related information specified by NR RRC e.g. to configure dedicated pool related information, CBR measurements, provision of grant assistance.

#### 5.3.5.3 Reception of an *RRCConnectionReconfiguration* not including the *mobilityControlInfo* by the UE

If the *RRCConnectionReconfiguration* message does not include the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> if the *RRCConnectionReconfiguration* includes the *scg-State*:

2> perform SCG deactivation as specified in TS 38.331 [82], clause 5.3.5.x;

1> else:

2> perform SCG activation as specified in TS 38.331 [82], clause 5.3.5.y;

1> if the received *RRCConnectionReconfiguration* includes the *daps-SourceRelease*:

2> reset source MCG MAC and release the source MCG MAC configuration;

2> for each DAPS bearer:

3> re-establish the RLC entity or entities for the source PCell;

3> release the RLC entity or entities and the associated DTCH logical channel for the source PCell;

3> reconfigure the PDCP entity to release DAPS, as specified in TS 36.323 [8];

2> for each SRB:

3> release the PDCP entity for the source PCell;

3> release the RLC entity and the associated DCCH logical channel for the source PCell;

2> release the physical channel configuration for the source PCell;

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> re-establish PDCP for SRB2 configured with E-UTRA PDCP entity and for all DRBs that are established and configured with E-UTRA PDCP, if any;

2> re-establish RLC for SRB2 and for all DRBs that are established and configured with E-UTRA RLC, if any;

2> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

3> perform the radio configuration procedure as specified in 5.3.5.8;

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 1: Void

NOTE 2: Void

1> else:

2> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

3> perform the radio resource configuration procedure as specified in 5.3.10;

NOTE 3: If the *RRCConnectionReconfiguration* message includes the establishment of radio bearers other than SRB1, the UE may start using these radio bearers immediately, i.e. there is no need to wait for an outstanding acknowledgment of the *SecurityModeComplete* message.

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs configured with *pdcp-Config* and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*: or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if this is the first *RRCConnectionReconfiguration* message after successful completion of the RRC connection re-establishment procedure:

2> resume SRB2 and all DRBs that are suspended, if any, including RBs configured with NR PDCP;

NOTE 4: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 5: The UE may discard SRB2 messages and data that it receives prior to completing the reconfiguration used to resume these bearers.

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7*;*

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType2Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType2* message as specified in 5.2.2.9;

1> if the *RRCConnectionReconfiguration* message includes the *dedicatedInfoNASList*:

2> forward each element of the *dedicatedInfoNASList* to upper layers in the same order as listed;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

NOTE 5a: If the *sl-V2X-ConfigDedicated* was received embedded within an NR *RRCReconfiguration* message, the UE does not build an E-UTRA *RRCConnectionReconfigurationComplete* message for the received *sl-V2X-ConfigDedicated*.

1> if the *RRCConnectionReconfiguration* message includes the *sl-ConfigDedicatedForNR*:

2> perform the related procedures for NR sidelink communication in accordance with TS 38.331 [82], clause 5.3.5.14 and clause 5.5.2;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> upon RRC connection establishment, if UE does not need UL gaps during continuous uplink transmission:

2> configure lower layers to stop using UL gaps during continuous uplink transmission in FDD for *RRCConnectionReconfigurationComplete* message and subsequent uplink transmission in RRC\_CONNECTED except for UL transmissions as specified in TS36.211 [21];

1> if the *RRCConnectionReconfiguration* message includes the *conditionalReconfiguration*:

2> perform conditional reconfiguration as specified in 5.3.5.9;

NOTE 6: In case of conditional reconfiguration the text "if the received *RRCConnectionReconfiguration. . .*" corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.9.5).

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution:

4> include in *selectedCondReconfigurationToApply* the *condReconfigurationId* of the conditional reconfiguration which has been executed;

1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication*, as if the UE receives this field from SIB2, to upper layers, otherwise indicate upper layers absence of this field;

1> if the UE is configured with NE-DC:

2> if the received *RRCConnectionReconfiguration* message was included in an NR *RRCResume* message:

3> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCResumeComplete* as specified in TS 38.331 [82], clause 5.3.13.4;

2> else:

3> transfer the *RRCConnectionReconfigurationComplete* message via SRB1 embedded in NR RRC message *RRCReconfigurationComplete* as specified in TS 38.331 [82], clause 5.3.5.3;

1> else:

2> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission using the new configuration, upon which the procedure ends;

#### 5.3.5.4 Reception of an *RRCConnectionReconfiguration* including the *mobilityControlInfo* by the UE (handover)

If the *RRCConnectionReconfiguration* message includes the *mobilityControlInfo* and theUE is able to comply with the configuration included in this message, the UE shall:

1> if the *RRCConnectionReconfiguration* includes the *scg-State*:

2> perform SCG deactivation as specified in TS 38.331 [82], clause 5.3.5.x;

1> else:

2> perform SCG activation as specified in TS 38.331 [82], clause 5.3.5.y;

1> if *daps-HO* is not configured for any DRB:

2> stop timer T310, if running;

2> if timer T316 is running:

3> stop timer T316;

3> clear the information included in *VarRLF-Report*, if any;

2> resume MCG transmission, if suspended;

1> stop timer T312, if running;

1> start timer T304 with the timer value set to *t304,* as included in the *mobilityControlInfo*;

1> stop timer T370, if running;

1> if the *carrierFreq* is included:

2> consider the target PCell to be one on the frequency indicated by the *carrierFreq* with a physical cell identity indicated by the *targetPhysCellId*;

1> else:

2> consider the target PCell to be one on the frequency of the source PCell with a physical cell identity indicated by the *targetPhysCellId*;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> start synchronising to the DL of the target PCell;

NOTE 1: The UE should perform the handover as soon as possible following the reception of the RRC message triggering the handover, which could be before confirming successful reception (HARQ and ARQ) of this message.

1> if BL UE or UE in CE:

2> if *sameSFN-Indication* is not present in *mobilityControlInfo*:

3> acquire the *MasterInformationBlock* in the target PCell;

1> if *makeBeforeBreak* is configured:

2> perform the remainder of this procedure including and following resetting MAC after the UE has stopped the uplink transmission/downlink reception with the source PCell;

NOTE 1a: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source PCell to initiate re-tuning for connection to the target cell, as specified in TS 36.133 [16], if *makeBeforeBreak* is configured.

NOTE 1b: It is up to UE implementation when to stop the uplink transmission/ downlink reception with the source SCell(s) after receiving *RRCConnectionReconfiguration* message.

1> if *daps-HO* is configured for any DRB:

2> establish a MAC entity for the target PCell, with the same configuration as the MAC entity for the source PCell;

2> for each DRB configured with *daps-HO*:

3> establish the RLC entity or entities and the associated DTCH logical channel for the target PCell, with the same configurations as for the source PCell;

3> reconfigure the PDCP entity to configure DAPS as specified in TS36.323 [8].

2> for each DRB not configured with *daps-HO*:

3> re-establish PDCP;

3> re-establish the RLC entity and associate it, and the associated DTCH logical channel, to the target PCell;

2> for each SRB:

3> establish a PDCP entity for the target PCell, with the same configuration as the PDCP entity for the source PCell;

3> establish an RLC entity and an associated DCCH logical channel for the target PCell, with the same configuration as for the source PCell;

2> suspend the SRBs for the source PCell;

NOTE 1c: In order to understand if a *daps-HO* is configured, the UE needs to check the presence of the field *daps-HO* within the received *RadioResourceConfigDedicated* IE.

NOTE 1d: In DAPS handover, the UE may re-establish PDCP and RLC entity for a DRB not configured with *daps-HO* when MAC successfully completes the random access procedure. In this case, the UE suspends data transmission and reception for all DRBs not configured with *daps-HO* in the source PCell for the duration of the DAPS handover.

1> else (if *daps-HO* is not configured):

2> reset MCG MAC and SCG MAC, if configured;

2> release *uplinkDataCompression*, if configured;

2> re-establish PDCP for all RBs configured with *pdcp-config* that are established;

NOTE 2: The handling of the radio bearers after the successful completion of the PDCP re-establishment, e.g. the re-transmission of unacknowledged PDCP SDUs (as well as the associated status reporting), the handling of the SN and the HFN, is specified in TS 36.323 [8].

NOTE 2a: At handover the *reestablishPDCP* flag will be set for all RBs configured with NR PDCP in *nr-RadioBearerConfig1* or *nr-RadioBearerConfig2* TS 38.331 [82] which will cause the PDCP entity to be re-established also for these RBs.

2> re-establish MCG RLC and SCG RLC, if configured, for all RBs that are established;

1> for each SCell configured for the UE other than the PSCell:

2> if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *activated*:

3> configure lower layers to consider the SCell to be in activated state;

2> else if the received *RRCConnectionReconfiguration* message includes *sCellState* for the SCell and indicates *dormant*:

3> configure lower layers to consider the SCell to be in dormant state;

2> else:

3> configure lower layers to consider the SCell to be in deactivated state;

1> apply the value of the *newUE-Identity* as the C-RNTI in the target MCG;

1> if the *RRCConnectionReconfiguration* message includes the *fullConfig*:

2> perform the radio configuration procedure as specified in 5.3.5.8;

1> configure lower layers in accordance with the received *radioResourceConfigCommon*;

1> if the received *RRCConnectionReconfiguration* message includes the *rach-Skip*:

2> configure lower layers to apply the *rach-Skip* for the target MCG, as specified in TS 36.213 [23] and 36.321 [6];

1> configure lower layers in accordance with any additional fields, not covered in the previous, if included in the received mobilityControlInfo;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToReleaseList*:

2> perform SCell release as specified in 5.3.10.3a;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToReleaseList*:

2> perform SCell group release as specified in 5.3.10.3d;

1> if the received *RRCConnectionReconfiguration* includes the *scg-Configuration*; or

1> if the current UE configuration includes one or more split DRBs and the received *RRCConnectionReconfiguration* includes *radioResourceConfigDedicated* including *drb-ToAddModList*:

2> perform SCG reconfiguration as specified in 5.3.10.10;

1> if the *RRCConnectionReconfiguration* message includes the *radioResourceConfigDedicated*:

2> perform the radio resource configuration procedure as specified in 5.3.10;

1> if the *securityConfigHO* (without suffix) is included in the *RRCConnectionReconfiguration*:

2> if the *keyChangeIndicator* received in the *securityConfigHO* is set to *TRUE*:

3> update the KeNB key based on the KASME key taken into use with the latest successful NAS SMC procedure, as specified in TS 33.401 [32];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the *nextHopChainingCount* value indicated in the *securityConfigHO*, as specified in TS 33.401 [32];

NOTE 2b: If the UE needs to update the S-KeNB key as specified in 5.3.10.10, the UE updates the S-KeNB after updating the KeNB key.

2> store the *nextHopChainingCount* value;

2> if the *securityAlgorithmConfig* is included in the *securityConfigHO*:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> if connected as an RN:

4> derive the KUPint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

2> configure lower layers to apply the integrity protection algorithm and the KRRCint key, i.e. the integrity protection configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

2> configure lower layers to apply the ciphering algorithm, the KRRCenc key and the KUPenc key, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE, including the message used to indicate the successful completion of the procedure;

NOTE 2c: For a DRB configured for DAPS HO, the new ciphering algorithm and the KUPenc key is applied for traffic exchange between the UE and the target MCG while the old ciphering algorithm and KUPenc key is applied for traffic exchange between the UE and the source MCG.

1> else if the *securityConfigHO-v1530* is included in the *RRCConnectionReconfiguration*:

2> if the *nas-Container* is received:

3> forward the *nas-Container* to upper layers;

2> if the *keyChangeIndicator-r15* is received and is set to *TRUE*:

3> update the KeNB key based on the KAMF key, as specified in TS 33.501 [86];

2> else:

3> update the KeNB key based on the current KeNB or the NH, using the received *nextHopChainingCount-r15*, as specified in TS 33.501 [86];

2> store the *nextHopChainingCount-r15* value;

2> if the security*AlgorithmConfig-r15* is received:

3> derive the KRRCint key associated with the *integrityProtAlgorithm*, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the *cipheringAlgorithm*, as specified in TS 33.401 [32];

2> else:

3> derive the KRRCint key associated with the current integrity algorithm, as specified in TS 33.401 [32];

3> derive the KRRCenc key and the KUPenc key associated with the current ciphering algorithm, as specified in TS 33.401 [32];

1> if the received *RRCConnectionReconfiguration* includes the *nr-Config* and it is set to *release*; or

1> if the received *RRCConnectionReconfiguration* includes *endc-ReleaseAndAdd* and it is set to *TRUE*:

2> perform MR-DC release as specified in TS 38.331 [82], clause 5.3.5.10;

1> if the received *RRCConnectionReconfiguration* includes the *sk-Counter*:

2> perform key update procedure as specified in in TS 38.331 [82], clause 5.3.5.7;

1> if the received *RRCConnectionReconfiguration* includes the *nr-SecondaryCellGroupConfig*:

2> perform NR RRC Reconfiguration as specified in TS 38.331 [82], clause 5.3.5.3.

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig1*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6;

1> if the received *RRCConnectionReconfiguration* includes the *nr-RadioBearerConfig2*:

2> perform radio bearer configuration as specified in TS 38.331 [82], clause 5.3.5.6.

1> if connected as an RN:

2> configure lower layers to apply the integrity protection algorithm and the KUPint key, for current or subsequently established DRBs that are configured to apply integrity protection, if any;

1> if the received *RRCConnectionReconfiguration* includes the *sCellToAddModList*:

2> perform SCell addition or modification as specified in 5.3.10.3b;

1> if the received *RRCConnectionReconfiguration* includes the *sCellGroupToAddModList*:

2> perform SCell group addition or modification as specified in 5.3.10.3e;

1> if the received *RRCConnectionReconfiguration* includes the *systemInformationBlockType1Dedicated*:

2> perfom the actions upon reception of the *SystemInformationBlockType1* message as specified in 5.2.2.7;

1> perform the measurement related actions as specified in 5.5.6.1;

1> if the *RRCConnectionReconfiguration* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> perform the measurement identity autonomous removal as specified in 5.5.2.2a;

1> release *reportProximityConfig* and clear any associated proximity status reporting timer;

1> if the *RRCConnectionReconfiguration* message includes the *otherConfig*:

2> perform the other configuration procedure as specified in 5.3.10.9;

1> if the *RRCConnectionReconfiguration* message includes the *sl-DiscConfig* or *sl-CommConfig*:

2> perform the sidelink dedicated configuration procedure as specified in 5.3.10.15;

1> if the *RRCConnectionReconfiguration* message includes *wlan-OffloadInfo*:

2> perform the dedicated WLAN offload configuration procedure as specified in 5.6.12.2;

1> if *handoverWithoutWT-Change* is not configured:

2> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

1> if the *RRCConnectionReconfiguration* message includes *rclwi-Configuration*:

2> perform the WLAN traffic steering command procedure as specified in 5.6.16.2;

1> if the *RRCConnectionReconfiguration* message includes *lwa-Configuration*:

2> perform the LWA configuration procedure as specified in 5.6.14.2;

1> if the *RRCConnectionReconfiguration* message includes *lwip-Configuration*:

2> perform the LWIP reconfiguration procedure as specified in 5.6.17.2;

1> if the *RRCConnectionReconfiguration* message includes the *sl-V2X-ConfigDedicated* or *mobilityControlInfoV2X*:

2> perform the V2X sidelink communication dedicated configuration procedure as specified in 5.3.10.15a;

NOTE 2d: In case of conditional reconfiguration the text "if the received *RRCConnectionReconfiguration. . .*" corresponds to applying the stored *RRCConnectionReconfiguration* message (according to 5.3.5.9.5).

1> if the UE is configured to operate in EN-DC as result of this procedure, forward *upperLayerIndication*, as if the UE receives this field from SIB2, to upper layers, otherwise indicate upper layers absence of this field;

1> set the content of *RRCConnectionReconfigurationComplete* message as follows:

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

3> include *rlf-InfoAvailable*;

2> if the UE has MBSFN logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport* and if T330 is not running:

3> include *logMeasAvailableMBSFN*;

2> else if the UE has logged measurements available for E-UTRA and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable*;

3> if Bluetooth measurement results are included in the logged measurements the UE has available:

4> include *logMeasAvailableBT*;

3> if WLAN measurement results are included in the logged measurements the UE has available:

4> include *logMeasAvailableWLAN*;

2> if the UE has connection establishment failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable*;

2> if the *RRCConnectionReconfiguration* message includes *perCC-GapIndicationRequest*:

3> include *perCC-GapIndicationList* and *numFreqEffective*;

2> if the frequencies are configured for reduced measurement performance:

3> include *numFreqEffectiveReduced*;

2> if the UE has flight path information available:

3> include *flightPathInfoAvailable*;

2> if the received *RRCConnectionReconfiguration* message included *nr-SecondaryCellGroupConfig*:

3> include *scg-ConfigResponseNR* in accordance with TS 38.331 [82], clause 5.3.5.3;

1> submit the *RRCConnectionReconfigurationComplete* message to lower layers for transmission;

1> if MAC successfully completes the random access procedure; or

1> if MAC indicates the successful reception of a PDCCH transmission addressed to C-RNTI and if *rach-Skip* is configured:

2> stop timer T304;

2> if *daps-HO* is configured for any DRB:

3> stop timer T310 for the source PCell, if running;

3> for each DAPS bearer trigger UL data switching, as specified in TS 36.323 [8];

2> release *rach-Skip*;

2> apply the parts of the CQI reporting configuration, the scheduling request configuration and the sounding RS configuration that do not require the UE to know the SFN of the target PCell, if any;

2> apply the parts of the measurement and the radio resource configuration that require the UE to know the SFN of the target PCell (e.g. measurement gaps, periodic CQI reporting, scheduling request configuration, sounding RS configuration), if any, upon acquiring the SFN of the target PCell;

NOTE 3: Whenever the UE shall setup or reconfigure a configuration in accordance with a field that is received it applies the new configuration, except for the cases addressed by the above statements.

2> if the UE is configured to provide IDC indications:

3> if the UE has initiated the transmission of an *InDeviceCoexIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution and the UE has initiated transmission of an *InDeviceCoexIndication* message since it was configured to do so in accordance with 5.6.9.2:

4> initiate transmission of the *InDeviceCoexIndication* message in accordance with 5.6.9.3;

2> if the UE is configured to provide power preference indications, overheating assistance information, SPS assistance information, delay budget report or maximum bandwidth preference indications:

3> if the UE has initiated the transmission of a *UEAssistanceInformation* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution, and the UE has initiated transmission of a *UEAssistanceInformation* message for the corresponding cell group since it was configured to do so in accordance with 5.6.10.2:

4> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

2> if *SystemInformationBlockType15* is broadcast by the PCell:

3> if the UE has initiated the transmission of a *MBMSInterestIndication* message during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

3> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution and the UE supports MBMS reception and the UE has initiated transmission of an *MBMSInterestIndication* message since it was configured to do so in accordance with 5.8.5.2:

4> ensure having a valid version of *SystemInformationBlockType15* for the PCell;

4> determine the set of MBMS frequencies of interest in accordance with 5.8.5.3;

4> determine the set of MBMS services of interest in accordance with 5.8.5.3a;

4> initiate transmission of the *MBMSInterestIndication* message in accordance with 5.8.5.4;

2> if *SystemInformationBlockType18* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of sidelink communication related parameters relevant in target PCell (i.e. change of *commRxInterestedFreq* or *commTxResourceReq*, *commTxResourceReqUC* if *SystemInformationBlockType18* includes *commTxResourceUC-ReqAllowed* or *commTxResourceInfoReqRelay* if PCell broadcasts *SystemInformationBlockType19* including *discConfigRelay*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType19* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of sidelink discovery related parameters relevant in target PCell (i.e. change of *discRxInterest* or *discTxResourceReq*, *discTxResourceReqPS* if *SystemInformationBlockType19* includes *discConfigPS* or *discRxGapReq* or *discTxGapReq* if the UE is configured with *gapRequestsAllowedDedicated* set to *true* or if the UE is not configured with *gapRequestsAllowedDedicated* and *SystemInformationBlockType19* includes *gapRequestsAllowedCommon*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if *SystemInformationBlockType21* is broadcast by the target PCell; and the UE initiated the transmission of a *SidelinkUEInformation* message indicating a change of V2X sidelink communication related parameters relevant in target PCell (i.e. change of *v2x-CommRxInterestedFreqList* or *v2x-CommTxResourceReq*) during the last 1 second preceding reception of the *RRCConnectionReconfiguration* message including *mobilityControlInfo*; or

2> if the *RRCConnectionReconfiguration* message is applied due to a conditional reconfiguration execution, and at least one of *SystemInformationBlockType18*, *SystemInformationBlockType19*, and *SystemInformationBlockType21* is broadcast by the target PCell, and the UE has initiated transmission of a *SidelinkUEInformation* message since it was configured to do so in accordance with 5.10.2.2:

3> initiate transmission of the *SidelinkUEInformation* message in accordance with 5.10.2.3;

2> remove all the entries within *VarConditionalReconfiguration*, if any;

2> for each *measId* of the source SpCell configuration, if the associated *reportConfig* is *condReconfigurationTriggerEUTRA*:

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

3> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the *measObjectId* is only included in a *MeasIdToAddMod*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

2> the procedure ends;

NOTE 4: The UE is not required to determine the SFN of the target PCell by acquiring system information from that cell before performing RACH access in the target PCell, except for BL UEs or UEs in CE when *sameSFN-Indication* is not present in *mobilityControlInfo*.

#### 5.3.5.9 Conditional reconfiguration

##### 5.3.5.9.1 General

The network configures the UE with conditional reconfiguration (i.e. conditional handover, conditional PSCell addition, or inter-SN conditional PSCell change) including per candidate target cell an *RRCConnectionReconfiguration* to be stored and to be applied upon the fulfilment of an associated execution condition.

The UE shall:

1> if the received *conditionalReconfiguration* includes the *condReconfigurationToRemoveList*:

2> perform the conditional reconfiguration removal procedure as specified in 5.3.5.9.2;

1> if the received *conditionalReconfiguration* includes the *condReconfigurationToAddModList*:

2> perform the conditional reconfiguration addition/modification procedure as specified in 5.3.5.9.3;

##### 5.3.5.9.2 Conditional reconfiguration removal

The UE shall:

1> for each *CondReconfigurationId* included in the *condReconfigurationToRemoveList* that is part of the current UE configuration in *VarConditionalReconfiguration*:

2> remove the entry with the matching *condReconfigurationId* from the *condReconfigurationList* within the *VarConditionalReconfiguration*.

NOTE: The UE does not consider the message as erroneous if the *condReconfigurationToRemoveList* includes any *CondReconfigurationId* value that is not part of the current UE configuration.

##### 5.3.5.9.3 Conditional reconfiguration addition/modification

The UE shall:

1> for each *condReconfigurationId* included in the *condReconfigurationToAddModList*:

2> if an entry with the matching *condReconfigurationId* exists in the *condReconfigurationList* within the *VarConditionalReconfiguration*:

3> if the entry in *condReconfigurationToAddModList* includes a *triggerCondition* or *triggerConditionSN*;

4> replace *triggerCondition* or *triggerConditionSN* within the *VarConditionalReconfiguration* with the value received for this *condReconfigurationId*

3> if the entry in *condReconfigurationToAddModList* includes an *condReconfigurationToApply*;

4> replace *condReconfigurationToApply* within the *VarConditionalReconfiguration* with the value received for this *condReconfigurationId*;

2> else:

3> add a new entry for this *condReconfigurationId* within the *VarConditionalReconfiguration*;

3> store the associated *RRCConnectionReconfiguration* in *VarConditionalReconfiguration*.

##### 5.3.5.9.4 Conditional reconfiguration evaluation

If AS security has been activated successfully, the UE shall:

1> if *VarConditionalReconfiguration* includes at least one *condReconfigurationId*:

2> perform conditional reconfiguration evaluation;

1> for each *condReconfigurationId* within the *VarConditionalReconfiguration*:

2> if the *RRCConnectionReconfiguration* within *condReconfigurationToApply* does not include the *nr-SecondaryCellGroupConfig*, consider the cell which has a physical cell identity matching the value indicated in the *ServingCellConfigCommon* within *condReconfigurationToApply* to be an applicable cell;

2> if the *RRCConnectionReconfiguration* within *condReconfigurationToApply* includes the *nr-SecondaryCellGroupConfig*, consider the cell which has a physical cell identity matching the value indicated in the nr-*SecondaryCellGroupConfig* within the received *condReconfigurationToApply* to be an applicable cell;

2> if *triggerConditionSN* is configured (in case of SN initiated inter-SN CPC for EN-DC):

3> perform the conditional reconfiguration evaluation as specified in TS 38.331 [82], clause 5.3.5.13.4a;

3>the procedure ends;

2> for each *measId* included in the *measIdList* within *VarMeasConfig* indicated in the *triggerCondition* associated to *condReconfigurationId:*

3> if the entry condition(s) applicable for this event associated with the *condReconfigurationId*, i.e. the event corresponding with the *condEventId* of the corresponding *condReconfigurationTriggerEUTRA* within *VarConditionalReconfiguration*, or the event corresponding with the *condEventId* of the corresponding *condReconfigurationTriggerNR* within *VarConditionalReconfiguration*, is fulfilled for the applicable cell for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfiguration*:

4> consider the entry condition for the associated *measId* within *triggerCondition* as fulfilled;

3> if the *measId* for this event associated with the *condReconfigurationId* has been modified; or

3> if the leaving condition(s) applicable for this event associated with the *condReconfigurationId*, i.e. the event corresponding with the *condEventId(s)* of the corresponding *condReconfigurationTriggerEUTRA* within *VarConditionalReconfiguration*, or the event corresponding with the *condEventId* of the corresponding *condReconfigurationTriggerNR* within *VarConditionalReconfiguration*,is fulfilled for the applicable cells for all measurements after layer 3 filtering taken during the corresponding *timeToTrigger* defined for this event within the *VarConditionalReconfiguration*:

4> consider the event associated to that *measId* to be not fulfilled;

2> if trigger conditions for all associated *measId*(s) within *triggerCondition* are fulfilled:

3> consider the target cell candidate within the stored *condReconfigurationToApply*, associated to that *condReconfigurationId*, as a triggered cell;

3> initiate the conditional reconfiguration execution, as specified in 5.3.5.9.5;

##### 5.3.5.9.5 Conditional reconfiguration execution

The UE shall:

1> if more than one triggered cell exists:

2> select one of the triggered cells as the selected cell for conditional reconfiguration;

1> for the selected cell of conditional reconfiguration:

2> apply the stored *condReconfigurationToApply* associated to that *condReconfigurationId* and perform the actions as specified in 5.3.5.4, or perform the actions as specified in 5.3.5.3;

##### 5.3.5.9.x VarConditionalReconfiguration remove

The UE shall:

1> remove all the entries within *VarConditionalReconfiguration*;

1> for each *measId*, that is part of the current UE configuration in *VarMeasConfig*, if the associated *reportConfig* has *condReconfigurationTriggerEUTRA*/*condReconfigurationTriggerNR* configured:

2> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

2> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA*/ *condReconfigurationTriggerNR*:

3> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

2> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

### 5.3.6 Counter check

#### 5.3.6.1 General



Figure 5.3.6.1-1: Counter check procedure

The counter check procedure is used by E-UTRAN to request the UE to verify the amount of data sent/ received on each DRB. More specifically, the UE is requested to check if, for each DRB, the most significant bits of the COUNT match with the values indicated by E-UTRAN.

NOTE: The procedure enables E-UTRAN to detect packet insertion by an intruder (a 'man in the middle').

#### 5.3.6.2 Initiation

E-UTRAN initiates the procedure by sending a *CounterCheck* message.

NOTE: E-UTRAN may initiate the procedure when any of the COUNT values reaches a specific value.

#### 5.3.6.3 Reception of the *CounterCheck* message by the UE

Upon receiving the *CounterCheck* message, the UE shall:

1> for each DRB that is established:

2> if no COUNT exists for a given direction (uplink or downlink) because it is a uni-directional bearer configured only for the other direction:

3> assume the COUNT value to be 0 for the unused direction;

2> if the *drb-Identity* is not included in the *drb-CountMSB-InfoList*:

3> if the DRB is configured with E-UTRA PDCP:

4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;

3> else if the DRB is configured with NR PDCP:

4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT – 1 and RX\_NEXT – 1 (specified in TS 38.323 [83]), respectively;

2> else if, for at least one direction, the most significant bits of the COUNT are different from the value indicated in the *drb-CountMSB-InfoList*:

3> if the DRB is configured with E-UTRA PDCP:

4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of the corresponding COUNT;

3> else if the DRB is configured with NR PDCP:

4> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* set to the value of TX\_NEXT – 1 and RX\_NEXT – 1 (specified in TS 38.323 [83]), respectively;

1> for each DRB that is included in the *drb-CountMSB-InfoList* in the *CounterCheck* message that is not established:

2> include the DRB in the *drb-CountInfoList* in the *CounterCheckResponse* message by including the *drb-Identity*, the *count-Uplink* and the *count-Downlink* with the most significant bits set identical to the corresponding values in the *drb-CountMSB-InfoList* and the least significant bits set to zero;

1> submit the *CounterCheckResponse* message to lower layers for transmission upon which the procedure ends;

### 5.3.7 RRC connection re-establishment

#### 5.3.7.2 Initiation

The UE shall only initiate the procedure either when AS security has been activated or for a NB-IoT UE supporting RRC connection re-establishment for the Control Plane CIoT EPS/5GS optimisation. The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure and T316 is not configured, in accordance with 5.3.11; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.11; or

1> upon detecting radio link failure of the MCG while NR PSCell change or PSCell addition is ongoing, in accordance with 5.3.11; or

1> upon handover failure, in accordance with 5.3.5.6; or

1> upon mobility from E-UTRA failure, in accordance with 5.4.3.5; or

1> except when resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, upon integrity check failure indication from lower layers concerning SRB1 or SRB2; or

1> upon an RRC connection reconfiguration failure, in accordance with 5.3.5.5; or

1> upon an RRC connection reconfiguration failure, in accordance with TS38.331 [82], clause 5.3.5.8; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with TS 38.331 [82] subclause 5.3.10.3 in (NG)EN-DC; or

1> upon SCG change failure while MCG transmission is suspended, in accordance with TS 38.331 [82] subclause 5.3.5.8.3 in (NG)EN-DC; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with subclause TS 38.331 [82] subclause 5.3.5.8.2 in (NG)EN-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG transmission is suspended; or

1> upon T316 expiry, in accordance with subclause 5.6.26.5.

NOTE: When resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, integrity check failure indication from lower layers is handled in accordance with clause 5.3.3.16.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T313, if running;

1> stop timer T316, if running;

1> stop timer T307, if running;

1> start timer T311;

1> stop timer T370, if running;

1> if the UE is not configured with *conditionalReconfiguration*:

2> release *uplinkDataCompression*, if configured;

2> suspend all RBs, including RBs configured with NR PDCP, except SRB0;

2> reset MAC;

2> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;

2> release the SCell group(s), if configured, in accordance with 5.3.10.3d;

2> apply the default physical channel configuration as specified in 9.2.4;

2> except for NB-IoT, for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;

2> for NB-IoT, release *schedulingRequestConfig*, if configured;

2> for the MCG, apply the default MAC main configuration as specified in 9.2.2;

2> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;

2> release *reportProximityConfig*, if configured and clear any associated proximity status reporting timer;

2> release *obtainLocationConfig*, if configured;

2> release *idc-Config*, if configured;

2> release *sps-AssistanceInfoReport*, if configured;

2> release *scg-DeactivationPreferenceConfig*, if configured and stop timer T346, if running;

2> release *measSubframePatternPCell*, if configured;

2> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

2> if (NG)EN-DC is configured:

3> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;

3> release *p-MaxEUTRA*, if configured;

3> release *p-MaxUE-FR1*, if configured;

3> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

2> release *naics-Info* for the PCell, if configured;

2> if connected as an RN and configured with an RN subframe configuration:

3> release the RN subframe configuration;

2> release the LWA configuration, if configured, as described in 5.6.14.3;

2> release the LWIP configuration, if configured, as described in 5.6.17.3;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;

2> release *overheatingAssistanceConfig* and *overheatingAssistanceConfigForSCG*, if configured and stop timer T345, if running;

2> release *ailc-BitConfig*, if configured;

2> if the UE has a stored *pur-Config* and the cell is different from the cell where *pur-Config* was provided:

3> if *pur-TimeAlignmentTimer* is configured, indicate to lower layers that *pur-TimeAlignmentTimer* is released;

3> release *pur-Config*;

3> discard previously stored *pur-Config*.

1> if any DAPS bearer is configured:

2> release the MAC entity for the source PCell;

2> for each DAPS bearer:

3> re-establish the RLC entity for the source PCell;

3> release the RLC entity and the associated DTCH logical channel for the source PCell;

3> reconfigure the PDCP entity to release DAPS, as specified in TS 36.323 [8];

2> for each SRB:

3> release the PDCP entity for the source PCell;

3> release the RLC entity and the associated DCCH logical channel for the source PCell;

2> release the physical channel configuration for the source PCell;

1> perform cell selection in accordance with the cell selection process as specified in TS 36.304 [4];

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable E-UTRA cell, the UE shall:

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> if the UE is connected to 5GC and the selected cell is only connected to EPC; or

1> if the UE is connected to EPC and the selected cell is only connected to 5GC:

2> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

1> else:

2> stop timer T311;

2> if the cell selection is triggered by detecting radio link failure of the MCG or handover failure (including intra-E-UTRA handover and mobility from E-UTRA); and

2> if *attemptCondReconf* is configured; and

2> if the selected cell is one of the target candidate cells in *VarConditionalReconfiguration*:

3> apply the stored *condReconfigurationToApply* of the selected cell and perform the actions as specified in 5.3.5.4;

2> else:

3> if the UE is configured with *conditionalReconfiguration*:

4> release *uplinkDataCompression*, if configured;

4> suspend all RBs, including RBs configured with NR PDCP, except SRB0;

4> reset MAC;

4> release the MCG SCell(s), if configured, in accordance with 5.3.10.3a;

4> release the SCell group(s), if configured, in accordance with 5.3.10.3d;

4> apply the default physical channel configuration as specified in 9.2.4;

4> for the MCG, apply the default semi-persistent scheduling configuration as specified in 9.2.3;

4> for the MCG, apply the default MAC main configuration as specified in 9.2.2;

4> release *powerPrefIndicationConfig*, if configured and stop timer T340, if running;

4> release *reportProximityConfig*, if configured and clear any associated proximity status reporting timer;

4> release *obtainLocationConfig*, if configured;

4> release *idc-Config*, if configured;

4> release *sps-AssistanceInfoReport*, if configured;

4> release *scg-DeactivationPreferenceConfig*, if configured and stop timer T346, if running;

4> release *measSubframePatternPCell*, if configured;

4> release the entire SCG configuration, if configured, except for the DRB configuration (as configured by *drb-ToAddModListSCG*);

4> if (NG)EN-DC is configured:

5> perform MR-DC release, as specified in TS 38.331[82], clause 5.3.5.10;

5> release *p-MaxEUTRA*, if configured;

5> release *p-MaxUE-FR1*, if configured;

5> release *tdm-PatternConfig* or *tdm-PatternConfig2*, if configured;

4> release *naics-Info* for the PCell, if configured;

4> if connected as an RN and configured with an RN subframe configuration:

5> release the RN subframe configuration;

4> release the LWA configuration, if configured, as described in 5.6.14.3;

4> release the LWIP configuration, if configured, as described in 5.6.17.3;

4> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

4> release *bw-PreferenceIndicationTimer*, if configured and stop timer T341, if running;

4> release *overheatingAssistanceConfig* and *overheatingAssistanceConfigForSCG*, if configured and stop timer T345, if running;

4> release *ailc-BitConfig*, if configured;

3> remove all the entries within *VarConditionalReconfiguration*, if any;

3> for each *measId*, that is part of the current UE configuration in *VarMeasConfig*, if the associated *reportConfig* has *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR* configured:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

4> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR*:

5> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

4> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

3> start timer T301;

3> apply the *timeAlignmentTimerCommon* included in *SystemInformationBlockType2*;

3> if the UE is a NB-IoT UE connected to EPC, the UE supports RRC connection re-establishment for the Control Plane CIoT EPS optimisation and AS security has not been activated; and

3> if *cp-reestablishment* is not included in *SystemInformationBlockType2-NB*:

4> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

3> else:

4> initiate transmission of the *RRCConnectionReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> if the selected cell is a UTRA cell, and if the UE supports Radio Link Failure Report for Inter-RAT MRO, include *selectedUTRA-CellId* in the *VarRLF-Report* and set it to the physical cell identity and carrier frequency of the selected UTRA cell;

1> perform the actions upon leaving RRC\_CONNECTED as specified in 5.3.12, with release cause 'RRC connection failure';

### 5.3.12 UE actions upon leaving RRC\_CONNECTED or RRC\_INACTIVE

Upon leaving RRC\_CONNECTED or RRC\_INACTIVE, the UE shall:

1> reset MAC;

1> if leaving RRC\_INACTIVE was not triggered by the reception of *RRCConnectionRelease* including *idleModeMobilityControlInfo* or *altFreqPriorities*:

2> stop the timer T320 and T323, if running;

2> if stored, discard the cell reselection priority information provided by the *idleModeMobilityControlInfo*;

2> if stored, discard the *altFreqPriorities* provided by the *RRCConnectionRelease*;

1> if entering RRC\_IDLE was triggered by reception of the *RRCConnectionRelease* message including a *waitTime*:

2> start timer T302, with the timer value set according to the *waitTime*;

2> inform the upper layer that access barring is applicable for all access categories except categories '0' and '2';

1> else if T302 is running:

2> stop timer T302;

2> if the UE is connected to 5GC:

3> perform the actions as specified in 5.3.16.4;

1> if T309 is running:

2> stop timer T309 for all access categories;

2> perform the actions as specified in 5.3.16.4.

1> stop all timers that are running except T302, T320, T322, T323, T325, T330, T331;

1> release *crs-ChEstMPDCCH-ConfigDedicated*, if configured;

1> if leaving RRC\_CONNECTED was triggered by suspension of the RRC:

2> re-establish RLC entities for all SRBs and DRBs, including RBs configured with NR PDCP;

2> remove all entries within *VarConditionalReconfiguration*, if any;

2> for each *measId*, that is part of the current UE configuration in *VarMeasConfig,* if the associated *reportConfig* has *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR* configured:

3> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> store the UE AS Context including the current RRC configuration, the current security context, the PDCP state including ROHC state, C-RNTI used in the source PCell, the *cellIdentity* and the physical cell identity of the source PCell, and the *spCellConfigCommon* within *ReconfigurationWithSync* of the PSCell (if configured);

2> store the following information provided by E-UTRAN:

3> if the UE connected to 5GC is a BL UE or UE in CE:

4> the *fullI-RNTI*, if present;

4> the *shortI-RNTI*, if present;

3> else:

4> the *resumeIdentity*;

3> the *nextHopChainingCount*, if present. Otherwise discard any stored *nextHopChainingCount* that does not correspond to stored key KRRCint;

3> the *drb-ContinueROHC*, if present. Otherwise discard any stored *drb-ContinueROHC*;

2> suspend all SRB(s) and DRB(s), including RBs configured with NR PDCP, except SRB0;

2> if the UE connected to 5GC is a BL UE or UE in CE, indicate PDCP suspend to lower layers of all DRBs;

2> if the UE is connected to 5GC:

3> indicate the idle suspension of the RRC connection to upper layers;

2> else:

3> indicate the suspension of the RRC connection to upper layers;

2> configure lower layers to suspend integrity protection and ciphering;

NOTE 1: Except when resuming an RRC connection after early security reactivation in accordance with conditions in 5.3.3.18, ciphering is not applied for the subsequent *RRCConnectionResume* message used to resume the connection and an integrity check is performed by lower layers, but merely upon request from RRC.

1> else:

2> upon leaving RRC\_INACTIVE:

3> discard the UE Inactive AS context;

3> discard the KeNB, the KRRCenc key, the KRRCint and the KUPenc key;

2> release *rrc-InactiveConfig*, if configured;

2> remove all entries within *VarConditionalReconfiguration*, if any;

2> for each *measId*, that is part of the current UE configuration in *VarMeasConfig,* if the associated *reportConfig* has *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR* configured:

3> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated with *condReconfigurationTriggerEUTRA/condReconfigurationTriggerNR*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> release all radio resources, including release of the MAC configuration, the RLC entity and the associated PDCP entity and SDAP (if any) for all established RBs, except for the following:

- *pur-Config*, if stored;

2> indicate the release of the RRC connection to upper layers together with the release cause;

1> if leaving RRC\_CONNECTED was triggered neither by reception of the *MobilityFromEUTRACommand* message nor by selecting an inter-RAT cell while T311 was running; or

1> if leaving RRC\_INACTIVE was not triggered by the inter-RAT cell reselection:

2> if timer T350 is configured:

3> start timer T350;

3> apply *rclwi-Configuration* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

2> else:

3> release the *wlan-OffloadConfigDedicated*, if received;

3> if the *wlan-OffloadConfigCommon* corresponding to the RPLMN is broadcast by the cell:

4> apply the *wlan-OffloadConfigCommon* corresponding to the RPLMN included in *SystemInformationBlockType17*;

4> apply *steerToWLAN* if configured, otherwise apply the *wlan-Id-List* corresponding to the RPLMN included in *SystemInformationBlockType17*;

2> enter RRC\_IDLE and perform procedures as specified in TS 36.304 [4], clause 5.2.7;

1> else:

2> release the *wlan-OffloadConfigDedicated*, if received;

NOTE 2: BL UEs or UEs in CE verifies validity of SI when released to RRC\_IDLE.

1> discard any segments of segmented RRC messages received;

1> release the LWA configuration, if configured, as described in 5.6.14.3;

1> release the LWIP configuration, if configured, as described in 5.6.17.3;

## 5.5 Measurements

### 5.5.1 Introduction

The UE reports measurement information in accordance with the measurement configuration and performs conditional reconfiguration evaluation in accordance with conditional reconfiguration as provided by E-UTRAN. E-UTRAN provides the measurement configuration or the conditional reconfiguration applicable for a UE in RRC\_CONNECTED by means of dedicated signalling, i.e. using the *RRCConnectionReconfiguration* or *RRCConnectionResume* message.

The UE can be requested to perform the following types of measurements:

- Intra-frequency measurements: measurements at the downlink carrier frequency(ies) of the serving cell(s).

- Inter-frequency measurements: measurements at frequencies that differ from any of the downlink carrier frequency(ies) of the serving cell(s).

- Inter-RAT measurements of NR frequencies.

- Inter-RAT measurements of UTRA frequencies.

- Inter-RAT measurements of GERAN frequencies.

- Inter-RAT measurements of CDMA2000 HRPD or CDMA2000 1xRTT or WLAN frequencies.

- CBR measurements for V2X sidelink communication.

- Sensing measurements for V2X sidelink communication.

The measurement configuration includes the following parameters:

1. **Measurement objects:** The objects on which the UE shall perform the measurements.

- For intra-frequency and inter-frequency measurements a measurement object is a single E-UTRA carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of cell specific offsets, a list of 'blacklisted' cells and a list of 'whitelisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.

- For inter-RAT NR measurements a measurement object is a single NR carrier frequency. Associated with this carrier frequency, E-UTRAN can configure a list of 'blacklisted' cells. Blacklisted cells are not considered in event evaluation or measurement reporting.

- For inter-RAT UTRA measurements a measurement object is a set of cells on a single UTRA carrier frequency.

- For inter-RAT GERAN measurements a measurement object is a set of GERAN carrier frequencies.

- For inter-RAT CDMA2000 measurements a measurement object is a set of cells on a single (HRPD or 1xRTT) carrier frequency.

- For inter-RAT WLAN measurements a measurement object is a set of WLAN identifiers and optionally a set of WLAN frequencies.

- For CBR measurements and sensing measurements a measurement object is a set of transmission resource pools for V2X sidelink communication.

NOTE 1: Some measurements using the above mentioned measurement objects, only concern a single cell, e.g. measurements used to report neighbouring cell system information, PCell UE Rx-Tx time difference, or a pair of cells, e.g. SSTD measurements between the PCell and the PSCell.

2. **Reporting configurations**: A list of measurement reporting configurations where each measurement reporting configuration consists of the following:

- Reporting criterion: The criterion that triggers the UE to send a measurement report. This can either be periodical or a single event description.

- Reporting format: The quantities that the UE includes in the measurement report and associated information (e.g. number of cells to report).

In case of conditional handover, conditional PSCell addition or MN initiated inter-SN conditional PSCell change triggering configuration, each configuration consists of the following:

- Execution criteria: The criteria that triggers the UE to perform conditional handover, conditional PSCell addition or MN initiated inter-SN conditional PSCell change.

3. **Measurement identities**: For measurement reporting, a list of measurement identities where each measurement identity links one measurement object with one measurement reporting configuration. By configuring multiple measurement identities it is possible to link more than one measurement object to the same reporting configuration, as well as to link more than one reporting configuration to the same measurement object. The measurement identity is used as a reference number in the measurement report. For conditional reconfiguration triggering, one measurement identity links to exactly one conditional reconfiguration trigger configuration. And up to two measurement identities can be linked to one conditional reconfiguration execution condition.

4. **Quantity configurations:** One quantity configuration is configured per RAT type. The quantity configuration defines the measurement quantities and associated filtering used for all event evaluation and related reporting of that measurement type. One filter can be configured per measurement quantity, except for NR where the network may configure up to 2 sets of quantity configurations each comprising per measurement quantity seperate filters for cell and RS index measurement results. The quantity configuration set that applies for a given measurement is indicated within the NR measurement object.

5. **Measurement gaps:** Periods that the UE may use to perform measurements, i.e. no (UL, DL) transmissions are scheduled.

E-UTRAN only configures a single measurement object for a given frequency (except for WLAN and except for CBR measurements), i.e. it is not possible to configure two or more measurement objects for the same frequency with different associated parameters, e.g. different offsets and/ or blacklists. E-UTRAN may configure multiple instances of the same event e.g. by configuring two reporting configurations with different thresholds.

The UE maintains a single measurement object list, a single reporting configuration list, and a single measurement identities list. The measurement object list includes measurement objects, that are specified per RAT type, possibly including intra-frequency object(s) (i.e. the object(s) corresponding to the serving frequency(ies)), inter-frequency object(s) and inter-RAT objects. Similarly, the reporting configuration list includes E-UTRA and inter-RAT reporting configurations. Any measurement object can be linked to any reporting configuration of the same RAT type. Some reporting configurations may not be linked to a measurement object. Likewise, some measurement objects may not be linked to a reporting configuration.

The measurement procedures distinguish the following types of cells:

1. The serving cell(s) - these are the PCell and one or more SCells, if configured for a UE supporting CA or DC. Likewise, NR serving cell(s) are the NR PCell, NR PSCell and NR SCells, if the UE is configured with MR-DC.

2. Listed cells - these are cells listed within the measurement object(s) or, for inter-RAT WLAN, the WLANs matching the WLAN identifiers configured in the measurement object or the WLAN the UE is connected to.

3. Detected cells - these are cells that are not listed within the measurement object(s) but are detected by the UE on the carrier frequency(ies) indicated by the measurement object(s) or, for inter-RAT WLAN, the WLANs not included in the *measObjectWLAN* but meeting the triggering requirements.

For E-UTRA, the UE measures and reports on the serving cell(s), listed cells, detected cells, transmission resource pools for V2X sidelink communication, and, for RSSI and channel occupancy measurements, the UE measures and reports on any reception on the indicated frequency. For inter-RAT NR, the UE measures and reports on detected cells and, if configured with MR-DC, on NR serving cell(s) and, for RSSI and channel occupancy measurements, the UE measures and reports on the indicated frequency. For inter-RAT UTRA, the UE measures and reports on listed cells and optionally on cells that are within a range for which reporting is allowed by E-UTRAN. For inter-RAT GERAN, the UE measures and reports on detected cells. For inter-RAT CDMA2000, the UE measures and reports on listed cells. For inter-RAT WLAN, the UE measures and reports on listed cells.

NOTE 2: For inter-RAT UTRA and CDMA2000, the UE measures and reports also on detected cells for the purpose of SON.

NOTE 3: This specification is based on the assumption that typically CSG cells of home deployment type are not indicated within the neighbour list. Furthermore, the assumption is that for non-home deployments, the physical cell identity is unique within the area of a large macro cell (i.e. as for UTRAN).

Whenever the procedural specification, other than contained in subclause 5.5.2, refers to a field it concerns a field included in the *VarMeasConfig* unless explicitly stated otherwise i.e. only the measurement configuration procedure covers the direct UE action related to the received *measConfig*.

### 5.5.3 Performing measurements

#### 5.5.3.1 General

For all measurements, except for UE Rx–Tx time difference measurements, RSSI, UL PDCP Packet Delay per QCI measurement, UL PDCP Packet Delay Value per DRB measurement, channel occupancy measurements, CBR measurement, sensing measurement and except for WLAN measurements of Band, Carrier Info, Available Admission Capacity, Backhaul Bandwidth, Channel Utilization, and Station Count, the UE applies the layer 3 filtering as specified in 5.5.3.2, before using the measured results for evaluation of reporting criteria, for measurement reporting or for evaluation of fulfilment of the criteria to trigger conditional reconfiguration execution. When performing measurements on NR carriers, the UE derives the cell quality as specified in 5.5.3.3 and the beam quality as specified in 5.5.3.4.

The UE shall:

1> whenever the UE has a *measConfig*, perform RSRP and RSRQ measurements for each serving cell as follows:

2> for the PCell, apply the time domain measurement resource restriction in accordance with *measSubframePatternPCell,* if configured;

2> if the UE supports CRS based discovery signals measurement:

3> for each SCell in deactivated state, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured within the *measObject* corresponding to the frequency of the SCell;

1> if the UE has a *measConfig* with *rs-sinr-Config* configured, perform RS-SINR (as indicated in the associated *reportConfig*) measurements as follows:

2> perform the corresponding measurements on the frequency indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if the *purpose* for the associated *reportConfig* is set to *reportCGI*:

3> if the RAT indicated in the associated *measObject* is not NR:

4> if *si-RequestForHO* is configured for the associated *reportConfig*:

5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using autonomous gaps as necessary;

4> else:

5> perform the corresponding measurements on the frequency and RAT indicated in the associated *measObject* using available idle periods or using autonomous gaps as necessary;

3> else:

4> if *useAutonomousGapsNR* is configured for the associated *reportConfig*:

5> perform the corresponding measurements on the NR frequency indicated in the associated *measObject* using autonomous gaps as necessary;

4> else:

5> perform the corresponding measurements on the NR frequency indicated in the associated *measObject* using available idle periods;

NOTE 1: If autonomous gaps are used to perform measurements, the UE is allowed to temporarily abort communication with all serving cell(s), i.e. create autonomous gaps to perform the corresponding measurements within the limits specified in TS 36.133 [16]. Otherwise, the UE only supports the measurements with the purpose set to *reportCGI* only if E-UTRAN has provided sufficient idle periods.

3> try to acquire the global cell identity of the cell indicated by the *cellForWhichToReportCGI* in the associated *measObject* by acquiring the relevant system information from the concerned cell;

3> if an entry in the *cellAccessRelatedInfoList* includes the selected PLMN, acquire the relevant system information from the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is an E-UTRAN cell:

4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;

4> try to acquire the *trackingAreaCode* in the concerned cell;

4> try to acquire the list of additional PLMN Identities, as included in the *plmn-IdentityList*, if multiple PLMN identities are broadcast in the concerned cell;

4> if *cellAccessRelatedInfoList* is included, use *trackingAreaCode* and *plmn-IdentityList* from the entry of *cellAccessRelatedInfoList* containing the selected PLMN;

4> if the *includeMultiBandInfo* is configured:

5> try to acquire the *freqBandIndicator* in the *SystemInformationBlockType1*of the concerned cell;

5> try to acquire the list of additional frequency band indicators, as included in the *multiBandInfoList*, if multiple frequency band indicators are included in the *SystemInformationBlockType1*of the concerned cell;

5> try to acquire the *freqBandIndicatorPriority*, if the *freqBandIndicatorPriority* is included in the *SystemInformationBlockType1*of the concerned cell;

4> if *cellAccessRelatedInfoList-5GC* is broadcast in the concerned cell and the UE is E-UTRA/5GC capable:

5> try to acquire the *cellAccessRelatedInfoList-5GC*;

NOTE 2: The 'primary' PLMN is part of the global cell identity.

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a UTRAN cell:

4> try to acquire the LAC, the RAC and the list of additional PLMN Identities, if multiple PLMN identities are broadcast in the concerned cell;

4> try to acquire the CSG identity, if the CSG identity is broadcast in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a GERAN cell:

4> try to acquire the RAC in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *typeHRPD*:

4> try to acquire the Sector ID in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *measObject* is a CDMA2000 cell and the *cdma2000-Type* included in the *measObject* is *type1XRTT*:

4> try to acquire the BASE ID, SID and NID in the concerned cell;

3> if the cell indicated by the *cellForWhichToReportCGI* included in the associated *MeasObject* is an NR cell:

4> if the indicated cell is broadcasting *SIB1* (see TS 38.213 [88], clause 13):

5> try to acquire the plmn-IdentityInfoList including plmn-IdentityList, trackingAreaCode (if available), ran-AreaCode (if available) and cellIdentity for each entry of the plmn-IdentityInfoList;

5> try to acquire the frequencyBandList, if multiple frequency bands are broadcasted in the concerned cell;

2> if the *ul-DelayConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject*;

3> configure the PDCP layer to perform UL PDCP Packet Delay per QCI measurement;

2> if the *ul-DelayValueConfig* is configured for the associated *reportConfig*:

3> ignore the *measObject*;

3> configure the PDCP layer to perform UL PDCP Packet Delay value per DRB measurement;

2> else:

3> if a measurement gap configuration is setup; or

3> if the UE does not require measurement gaps to perform the concerned measurements:

4> if *s-Measure* is not configured; or

4> if the UE is not in NE-DC and the PCell RSRP, after layer 3 filtering, is lower than *s-Measure*; or

4> if the UE is in NE-DC and the PSCell RSRP, after layer 3 filtering, is lower than *s-Measure*; or

4> if the associated *measObject* concerns NR; or

4> if *measDS-Config* is configured in the associated *measObject*:

5> if the UE supports CSI-RS based discovery signals measurement; and

5> if the *eventId* in the associated *reportConfig* is set to *eventC1* or *eventC2*, or if *reportStrongestCSI-RSs* is set to *true* in the associated *reportConfig*:

6> perform the corresponding measurements of CSI-RS resources on the frequency indicated in the concerned *measObject*, applying the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;

6> if *reportCRS-Meas* is set to *true* in the associated *reportConfig,* perform the corresponding measurements of neighbouring cells on the frequencies indicated in the concerned *measObject* as follows:

7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh,* if configured in the concerned *measObject*;

7> apply the discovery signals measurement timing configuration in accordance with *measDS-Config* in the concerned *measObject*;

5> else:

6> perform the corresponding measurements of neighbouring cells on the frequencies and RATs indicated in the concerned *measObject* as follows:

7> for neighbouring cells on the primary frequency, apply the time domain measurement resource restriction in accordance with *measSubframePatternConfigNeigh,* if configured in the concerned *measObject*;

7> if the UE supports CRS based discovery signals measurement, apply the discovery signals measurement timing configuration in accordance with *measDS-Config*, if configured in the concerned *measObject*;

4> if the *ue-RxTxTimeDiffPeriodical* is configured in the associated *reportConfig*:

5> perform the UE Rx–Tx time difference measurements on the PCell;

4> if the *reportSSTD-Meas* is set to *true* or *pSCell* in the associated *reportConfig*:

5> perform SSTD measurements between the PCell and the PSCell;

4> if the *reportSFTD-Meas* is set to *pSCell* in the associated *reportConfig*:

5> perform SFTD measurements between the PCell and the NR PSCell;

4> if the *reportSFTD-Meas* is set to *neighborCells* in the associated *reportConfig*:

5> perform SFTD measurements between the PCell and NR cell(s) on the frequency indicated in the associated *measObject*;

4> if the *measRSSI-ReportConfig* is configured in the associated *reportConfig*:

5> perform the RSSI and channel occupancy measurements on the frequency indicated in the associated *measObject*;

2> perform the evaluation of reporting criteria as specified in 5.5.4, except if *reportConfig* is *condReconfigurationTriggerEUTRA* or *condReconfigurationTriggerNR*;

NOTE 2c: The evaluation of conditional reconfiguration execution criteria is specified in 5.3.5.9.4.

The UE capable of CBR measurement when configured to transmit non-P2X related V2X sidelink communication shall:

1> if in coverage on the frequency used for V2X sidelink communication transmission as defined in TS 36.304 [4], clause 11.4; or

1> if the concerned frequency is included in *v2x-InterFreqInfoList* in *RRCConnectionReconfiguration* or in *v2x-InterFreqInfoList* within *SystemInformationBlockType21* or *SystemInformationBlockType26*:

2> if the UE is in RRC\_IDLE:

3> if the concerned frequency is the camped frequency:

4> perform CBR measurement on the pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21*;

3> else if *v2x-CommTxPoolNormal* or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* forthe concerned frequency within *SystemInformationBlockType21* or *SystemInformationBlockType26*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormal* and *v2x-CommTxPoolExceptional* in *v2x-InterFreqInfoList* for the concerned frequency in *SystemInformationBlockType21* or *SystemInformationBlockType26*;

3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* broadcast on the concerned frequency;

2> if the UE is in RRC\_CONNECTED:

3> if *tx-ResourcePoolToAddList* is included in *VarMeasConfig*:

4> perform CBR measurements on each resource pool indicated in *tx-ResourcePoolToAddList*;

3> if the concerned frequency is the PCell's frequency:

4> perform CBR measurement on the pools in *v2x-CommTxPoolNormalDedicated* or *v2x-SchedulingPool* if included in *RRCConnectionReconfiguration*, *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* for the concerned frequency and *v2x-CommTxPoolExceptional* if included in *mobilityControlInfoV2X*;

3> else if *v2x-CommTxPoolNormal*, *v2x-SchedulingPool* or *v2x-CommTxPoolExceptional* is included in *v2x-InterFreqInfoList* forthe concerned frequency within *RRCConnectionReconfiguration*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormal, v2x-SchedulingPool,* and *v2x-CommTxPoolExceptional* if included in *v2x-InterFreqInfoList* for the concerned frequency in *RRCConnectionReconfiguration*;

3> else if the concerned frequency broadcasts *SystemInformationBlockType21*:

4> perform CBR measurement on pools in *v2x-CommTxPoolNormalCommon* and *v2x-CommTxPoolExceptional* if included in *SystemInformationBlockType21* for the concerned frequency;

1> else:

2> perform CBR measurement on pools in *v2x-CommTxPoolList* in *SL-V2X-Preconfiguration* for the concerned frequency;

The UE capable of sensing measurement, with *commTxResources* set to *scheduled*, shall:

1> for each *measId* included in the *measIdList* within *VarMeasConfig*:

2> if *measSensing-Config* is configured in the associated *measObject*

3> perform the sensing measurement in accordance with TS 36.213 [23] on the pools of *v2x-SchedulingPool* and also indicated in *tx-ResourcePoolToAddList* in the associated *measObject*, using *sensingSubchannelNumber*, *sensingPeriodicity*, *sensingReselectionCounter* and *sensingPriority*.

If a UE that is configured by upper layers to transmit NR sidelink communication is configured by EUTRA with transmission resource pool(s) in *SystemInformationBlockType28* or by *sl-ConfigDedicatedForNR* and the measurements concerning NR sidelink communication (i.e. by *sl-ConfigDedicatedForNR*), it shall perform CBR measurement as specified in subclause 5.5.3 of TS 38.331 [82], based on the transmission resource pool(s) in *SystemInformationBlockType28* or *sl-ConfigDedicatedForNR*.

NOTE 2a: *SIB12* specified in subclause 5.5.3 of TS 38.331 is provided in *SystemInformationBlockType28*.

NOTE 2b: For NR sidelink communication, each of the CBR measurement results is associated with a resource pool, as indicated by the *sl-poolReportIdentity* (see TS 38.331 [82]), that refers to a pool as included in *sl-ConfigDedicatedForNR* or *SystemInformationBlockType28*.

NOTE 3: The *s-Measure* defines when the UE is required to perform measurements. The UE is however allowed to perform measurements also when the PCell RSRP (or PSCell RSRP, if the UE is in NE-DC) exceeds *s-Measure*, e.g., to measure cells broadcasting a CSG identity following use of the autonomous search function as defined in TS 36.304 [4].

NOTE 4: The UE may not perform the WLAN measurements it is configured with e.g. due to connection to another WLAN based on user preferences as specified in TS 23.402 [75] or due to turning off WLAN.

NOTE 5: In case the configurations for V2X sidelink communication are acquired from NR, the configurations for V2X sidelink communication in *SystemInformationBlockType21,* *SystemInformationBlockType26, SL-V2X-ConfigDedicated* within *RRCConnectionReconfiguration* used in this subclause can be provided by *SIB13*, *SIB14,* *sl-ConfigDedicatedEUTRA* within *RRCReconfiguration* as specified in TS 38.331 [82], respectively.

## 5.6 Other

### 5.6.2a UL information transfer for MR-DC

#### 5.6.2a.1 General



Figure 5.6.2a.1-1: UL information transfer MR-DC

The purpose of this procedure is to transfer from the UE to E-UTRAN MR-DC dedicated information e.g. the NR RRC *MeasurementReport,* the NR RRC *UEAssistanceInformation,* the NR RRC *IABOtherInformation*, NR RRC *FailureInformation* or an NR *RRCReconfigurationComplete* (transmitted upon intra-SN CPC without MN involvement execution if NR *RRCReconfiguration* with *conditionalReconfiguration* for CPC was received via SRB1 and the UE is operating in EN-DC) messages.

#### 5.6.2a.2 Initiation

A UE in RRC\_CONNECTED initiates the UL information transfer procedure whenever there is a need to transfer MR DC dedicated information as specified in TS 38.331 [82]. I.e. the procedure is not used during an RRC connection reconfiguration involving NR connection reconfiguration, in which case the MR DC information is piggybacked to the *RRCConnectionReconfigurationComplete* message, except in the case the UE executes an intra-SN Conditional PSCell Change without MN involvement.

### 5.6.10 UE Assistance Information

#### 5.6.10.1 General



Figure 5.6.10.1-1: UE Assistance Information

The purpose of this procedure is to inform E-UTRAN of the UE's power saving preference and SPS assistance information, maximum PDSCH/PUSCH bandwidth configuration preference, overheating assistance information, or the UE's delay budget report carrying desired increment/decrement in the Uu air interface delay or connected mode DRX cycle length and for BL UEs or UEs in CE of the RLM event ("early-out-of-sync" or "early-in-sync") and RLM information or the UE preference for the NR SCG deactivation or that the UE with a deactivated NR SCG has uplink data to send on a DRB using NR PDCP for which there is no MCG RLC bearer. Upon configuring the UE to provide power preference indications E-UTRAN may consider that the UE does not prefer a configuration primarily optimised for power saving until the UE explictly indicates otherwise.

#### 5.6.10.2 Initiation

A UE capable of providing power preference indications in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide power preference indications and upon change of power preference.

A UE capable of providing SPS assistance information in RRC\_CONNECTED may initiate the procedure in several cases including upon being configured to provide SPS assistance information and upon change of SPS assistance information.

A UE capable of providing delay budget report in RRC\_CONNECTED may initiate the procedure in several cases, including upon being configured to provide delay budget report and upon change of delay budget preference.

A UE capable of CE mode and providing maximum PDSCH/PUSCH bandwidth preference in RRC\_CONNECTED may initiate the procedure upon being configured to provide maximum PDSCH/PUSCH bandwidth preference and/or upon change of maximum PDSCH/PUSCH bandwidth preference.

A UE capable of providing overheating assistance information in RRC\_CONNECTED may initiate the procedure if it was configured to do so, upon detecting internal overheating, or upon detecting that it is no longer experiencing an overheating condition.

A UE supporting NR SCG deactivation may intiate the procedure in several cases including upon being configured to provide its preference for NR SCG deactivation and upon change of its preference for NR SCG deactivation.

Upon initiating the procedure, the UE shall:

1> if configured to provide power preference indications:

2> if the UE did not transmit a *UEAssistanceInformation* message with *powerPrefIndication* since it was configured to provide power preference indications; or

2> if the current power preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T340 is not running:

3> start or restart timer T340 with the timer value set to the *powerPrefIndicationTimer*, if the UE does not prefer a configuration primarily optimised for power saving;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

1> if configured to provide maximum PDSCH/PUSCH bandwidth preference:

2> if the UE did not transmit a *UEAssistanceInformation* message with *bw-Preference* since it was configured to provide maximum PDSCH/PUSCH bandwidth preference; or

2> if the current maximum PDSCH/PUSCH bandwidth preference is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T341 is not running;

3> start timer T341 with the timer value set to the *bw-PreferenceIndicationTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

1> if configured to provide SPS assistance information:

2> if the UE did not transmit a *UEAssistanceInformation* message with *sps-AssistanceInformation* since it was configured to provide SPS assistance information; or

2> if the current SPS assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

1> if configured to report RLM events:

2> if "early-out-of-sync" event has been detected (T314 has expired) and T343 is not running:

3> start timer T343 with the timer value set to the *rlmReportTimer*:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

2> if "early-in-sync" event has been detected (T315 has expired) and T344 is not running:

3> start timer T344 with the timer value set to the *rlmReportTimer*:

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

1> if configured to provide delay budget report:

2> if the UE did not transmit a *UEAssistanceInformation* message with *delayBudgetReport* since it was configured to provide delay budget report; or

2> if the current delay budget is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T342 is not running:

3> start or restart timer T342 with the timer value set to the *delayBudgetReportingProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

1> if configured to provide overheating assistance information:

2> if the overheating condition has been detected and T345 is not running; or

2> if the current overheating assistance information is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T345 is not running:

3> start timer T345 with the timer value set to the *overheatingIndicationProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

NOTE: In case overheating assistance for NR SCG is released while the regular overheating assistance remains configured, a UE that included SCG overheating parameters in the last reported overheating assistance considers overheating assistance information to be different regardless whether or not its preferences for the regular overheating assistance changed.

1> if configured to provide its preference for NR SCG deactivation:

2> if the UE did not transmit a *UEAssistanceInformation* message with *scg-DeactivationPreference* since it was configured to provide its preference for NR SCG deactivation and the UE prefers the NR SCG to be deactivated; or

2> if the UE preference for NR SCG deactivation is different from the one indicated in the last transmission of the *UEAssistanceInformation* message and timer T346 is not running:

3> start or restart timer T346 with the timer value set to the *scg-DeactivationPreferenceProhibitTimer*;

3> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3;

1> if the UE is configured with a deactivated NR SCG and there are uplink data to send on a DRB for which *rlc-Config* is not configured in *drb-ToAddModList*;and

1> if the UE did not transmit a *UEAssistanceInformation* message with *uplinkData* since the SCG was deactivated:

2> initiate transmission of the *UEAssistanceInformation* message in accordance with 5.6.10.3.

#### 5.6.10.3 Actions related to transmission of *UEAssistanceInformation* message

The UE shall set the contents of the *UEAssistanceInformation* message for power preference indications:

1> if configured to provide power preference indication and if the UE prefers a configuration primarily optimised for power saving:

2> set *powerPrefIndication* to *lowPowerConsumption*;

1> else if configured to provide power preference indication:

2> set *powerPrefIndication* to *normal*;

The UE shall set the contents of the *UEAssistanceInformation* message for SPS assistance information:

1> if configured to provide SPS assistance information:

2> if there is any traffic for V2X sidelink communication which needs to report SPS assistance information:

3> include *trafficPatternInfoListSL* in the *UEAssistanceInformation* message;

2> if there is any traffic for uplink communication which needs to report SPS assistance information:

3> include *trafficPatternInfoListUL* in the *UEAssistanceInformation* message;

The UE shall set the contents of the *UEAssistanceInformation* message for bandwidth preference indications:

1> set *bw-Preference* to its preferred configuration;

The UE shall set the contents of the *UEAssistanceInformation* message for delay budget report:

1> if configured to provide delay budget report:

2> if the UE prefers an adjustment in the connected mode DRX cycle length:

3> set *delayBudgetReport* to *type1* according to a desired value;

2> else if the UE prefers coverage enhancement configuration change:

3> set *delayBudgetReport* to *type2* according to a desired value;

The UE shall set the contents of the *UEAssistanceInformation* message for the RLM report:

1> if configured to provide RLM report:

2> if T314 has expired:

3> set *rlm-event* to *earlyOutOfSync*;

2> if T315 has expired:

3> set *rlm-event* to *earlyInSync*;

3> if configured to report *rlmReportRep-MPDCCH*:

4> set *excessRep-MPDCCH* to the value indicated by lower layers;

The UE shall set the contents of the *UEAssistanceInformation* message for overheating assistance indication:

1> if configured to provide overheating assistance indication:

2> if the UE experiences internal overheating:

3> if the UE prefers to temporarily reduce its DL category and UL category:

4> include *reducedUE-Category* in the *OverheatingAssistance* IE;

4> set *reducedUE-CategoryDL* to the number to which the UE prefers to temporarily reduce its DL category;

4> set *reducedUE-CategoryUL* to the number to which the UE prefers to temporarily reduce its UL category;

3> if the UE prefers to temporarily reduce the number of maximum secondary component carriers:

4> include *reducedMaxCCs* in the *OverheatingAssistance* IE;

4> set *reducedCCsDL* to the number of maximum SCells the UE prefers to be temporarily configured in downlink;

4> set *reducedCCsUL* to the number of maximum SCells the UE prefers to be temporarily configured in uplink;

3> if configured to provide overheating assistance indication for NR SCG:

4> include *overheatingAssistanceForSCG* in the *OverheatingAssistance* IE;

4> set *overheatingAssistanceForSCG* in accordance with clause 5.7.4.3a as specified in TS 38.331 [82];

2> else (if the UE no longer experiences an overheating condition):

3> do not include *reducedUE-Category*, *reducedMaxCCs* and *overheatingAssistance-v1610* (if configured to provide overheating assistance indication for NR SCG) in *OverheatingAssistance* IE;

The UE shall set the contents of the *UEAssistanceInformation* message for NR SCG deactivation:

1> if configured to provide its preference for NR SCG deactivation;

2> if the UE prefers NR SCG to be deactivated

3> include the *scg-DeactivationPreference* and set it to *scgDeactivationPreferred*:

2> else:

3> include the *scg-DeactivationPreference* and set it to *noPreference*:

The UE shall:

1> if the UE is configured with a deactivated NR SCG and there are uplink data to send on a DRB for which *rlc-Config* is not configured in *drb-ToAddModList*: and

1> if the UE did not transmit a *UEAssistanceInformation* message with *uplinkData* since the SCG was deactivated:

2> include *uplinkData* in the *UEAssistanceInformation* message;

1> if the procedure was triggered to provide SPS assistance information and the related configuration was provided by an *RRCConnectionReconfiguration* message that was received embedded within an NR *RRCReconfiguration* message:

2> submit the *UEAssistanceInformation* message via SRB1 embedded in NR RRC message *ULInformationTransferIRAT* as specified in TS 38.331 [82];

1> else:

2> submit the *UEAssistanceInformation* message to lower layers for transmission.

NOTE 1: It is up to UE implementation when and how to trigger SPS assistance information.

NOTE 2: It is up to UE implementation to set the content of *trafficPatternInfoListSL* and *trafficPatternInfoListUL*.

NOTE 3: Traffic patterns for different Destination Layer 2 IDs are provided in different entries in *trafficPatternInfoListSL.*

NOTE 4: Although not recommended, UE may start or restart the following timers whenever it sends the *UEAssistanceInformation* message (i.e. even if the message was not triggered for the concerned feature): T340, T341, T342, T343, T344 and T345*.*

# 6 Protocol data units, formats and parameters (tabular & ASN.1)

## 6.2 RRC messages

### 6.2.2 Message definitions

#### – *RRCConnectionReconfiguration*

The *RRCConnectionReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, conditional reconfigurations (conditional handover, conditional PSCell addition or inter-SN conditional PSCell change), radio resource configuration (including RBs, MAC main configuration and physical channel configuration) including any associated dedicated NAS information and security configuration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E‑UTRAN to UE

*RRCConnectionReconfiguration message*

-- ASN1START

RRCConnectionReconfiguration ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE{

rrcConnectionReconfiguration-r8 RRCConnectionReconfiguration-r8-IEs,

spare7 NULL,

spare6 NULL, spare5 NULL, spare4 NULL,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionReconfiguration-r8-IEs ::= SEQUENCE {

measConfig MeasConfig OPTIONAL, -- Need ON

mobilityControlInfo MobilityControlInfo OPTIONAL, -- Cond HO

dedicatedInfoNASList SEQUENCE (SIZE(1..maxDRB)) OF

DedicatedInfoNAS OPTIONAL, -- Cond nonHO

radioResourceConfigDedicated RadioResourceConfigDedicated OPTIONAL, -- Cond HO-toEUTRA

securityConfigHO SecurityConfigHO OPTIONAL, -- Cond HO-toEPC

nonCriticalExtension RRCConnectionReconfiguration-v890-IEs OPTIONAL

}

RRCConnectionReconfiguration-v890-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING (CONTAINING RRCConnectionReconfiguration-v8m0-IEs) OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v920-IEs OPTIONAL

}

-- Late non-critical extensions:

RRCConnectionReconfiguration-v8m0-IEs ::= SEQUENCE {

-- Following field is only for pre REL-10 late non-critical extensions

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v10i0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v10i0-IEs ::= SEQUENCE {

antennaInfoDedicatedPCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v10l0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v10l0-IEs ::= SEQUENCE {

mobilityControlInfo-v10l0 MobilityControlInfo-v10l0 OPTIONAL,

sCellToAddModList-v10l0 SCellToAddModList-v10l0 OPTIONAL, -- Need ON

-- Following field is only for late non-critical extensions from REL-10 to REL-11

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v12f0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v12f0-IEs ::= SEQUENCE {

scg-Configuration-v12f0 SCG-Configuration-v12f0 OPTIONAL, -- Cond nonFullConfig

-- Following field is only for late non-critical extensions from REL-12

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfiguration-v1370-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1370-IEs ::= SEQUENCE {

radioResourceConfigDedicated-v1370 RadioResourceConfigDedicated-v1370 OPTIONAL, -- Need ON

sCellToAddModListExt-v1370 SCellToAddModListExt-v1370 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v13c0-IEs OPTIONAL

}

RRCConnectionReconfiguration-v13c0-IEs ::= SEQUENCE {

radioResourceConfigDedicated-v13c0 RadioResourceConfigDedicated-v13c0 OPTIONAL, -- Need ON

sCellToAddModList-v13c0 SCellToAddModList-v13c0 OPTIONAL, -- Need ON

sCellToAddModListExt-v13c0 SCellToAddModListExt-v13c0 OPTIONAL, -- Need ON

scg-Configuration-v13c0 SCG-Configuration-v13c0 OPTIONAL, -- Need ON

-- Following field is only for late non-critical extensions from REL-13 onwards

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

RRCConnectionReconfiguration-v920-IEs ::= SEQUENCE {

otherConfig-r9 OtherConfig-r9 OPTIONAL, -- Need ON

fullConfig-r9 ENUMERATED {true} OPTIONAL, -- Cond HO-Reestab

nonCriticalExtension RRCConnectionReconfiguration-v1020-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1020-IEs ::= SEQUENCE {

sCellToReleaseList-r10 SCellToReleaseList-r10 OPTIONAL, -- Need ON

sCellToAddModList-r10 SCellToAddModList-r10 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1130-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1130-IEs ::= SEQUENCE {

systemInformationBlockType1Dedicated-r11 OCTET STRING (CONTAINING SystemInformationBlockType1) OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1250-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1250-IEs ::= SEQUENCE {

wlan-OffloadInfo-r12 CHOICE {

release NULL,

setup SEQUENCE {

wlan-OffloadConfigDedicated-r12 WLAN-OffloadConfig-r12,

t350-r12 ENUMERATED {min5, min10, min20, min30, min60,

min120, min180, spare1} OPTIONAL -- Need OR

}

} OPTIONAL, -- Need ON

scg-Configuration-r12 SCG-Configuration-r12 OPTIONAL, -- Cond nonFullConfig

sl-SyncTxControl-r12 SL-SyncTxControl-r12 OPTIONAL, -- Need ON

sl-DiscConfig-r12 SL-DiscConfig-r12 OPTIONAL, -- Need ON

sl-CommConfig-r12 SL-CommConfig-r12 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1310-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1310-IEs ::= SEQUENCE {

sCellToReleaseListExt-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModListExt-r13 SCellToAddModListExt-r13 OPTIONAL, -- Need ON

lwa-Configuration-r13 LWA-Configuration-r13 OPTIONAL, -- Need ON

lwip-Configuration-r13 LWIP-Configuration-r13 OPTIONAL, -- Need ON

rclwi-Configuration-r13 RCLWI-Configuration-r13 OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionReconfiguration-v1430-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1430-IEs ::= SEQUENCE {

sl-V2X-ConfigDedicated-r14 SL-V2X-ConfigDedicated-r14 OPTIONAL, -- Need ON

sCellToAddModListExt-v1430 SCellToAddModListExt-v1430 OPTIONAL, -- Need ON

perCC-GapIndicationRequest-r14 ENUMERATED{true} OPTIONAL, -- Need ON

systemInformationBlockType2Dedicated-r14 OCTET STRING (CONTAINING SystemInformationBlockType2) OPTIONAL, -- Cond nonHO

nonCriticalExtension RRCConnectionReconfiguration-v1510-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1510-IEs ::= SEQUENCE {

nr-Config-r15 CHOICE {

release NULL,

setup SEQUENCE {

endc-ReleaseAndAdd-r15 BOOLEAN,

nr-SecondaryCellGroupConfig-r15 OCTET STRING OPTIONAL, -- Need ON

p-MaxEUTRA-r15 P-Max OPTIONAL -- Need ON

}

} OPTIONAL, -- Need ON

sk-Counter-r15 INTEGER (0.. 65535) OPTIONAL, -- Need ON

nr-RadioBearerConfig1-r15 OCTET STRING OPTIONAL, -- Need ON

nr-RadioBearerConfig2-r15 OCTET STRING OPTIONAL, -- Need ON

tdm-PatternConfig-r15 TDM-PatternConfig-r15 OPTIONAL, -- Cond FDD-PCell

nonCriticalExtension RRCConnectionReconfiguration-v1530-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1530-IEs ::= SEQUENCE {

securityConfigHO-v1530 SecurityConfigHO-v1530 OPTIONAL, -- Cond HO-5GC

sCellGroupToReleaseList-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModList-r15 SCellGroupToAddModList-r15 OPTIONAL, -- Need ON

dedicatedInfoNASList-r15 SEQUENCE (SIZE(1..maxDRB-r15)) OF

DedicatedInfoNAS OPTIONAL, -- Cond nonHO

p-MaxUE-FR1-r15 P-Max OPTIONAL, -- Need OR

smtc-r15 MTC-SSB-NR-r15 OPTIONAL, -- Need OP

nonCriticalExtension RRCConnectionReconfiguration-v1610-IEs OPTIONAL

}

RRCConnectionReconfiguration-v1610-IEs ::= SEQUENCE {

conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need ON

daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need ON

tdm-PatternConfig2-r16 TDM-PatternConfig-r15 OPTIONAL, -- Need ON

sl-ConfigDedicatedForNR-r16 OCTET STRING OPTIONAL, -- Need OR

sl-SSB-PriorityEUTRA-r16 INTEGER (1..8) OPTIONAL, -- Need OR

nonCriticalExtension RRCConnectionReconfiguration-v17xy-IEs OPTIONAL

}

RRCConnectionReconfiguration-v17xy-IEs ::= SEQUENCE {

scg-State-r17 ENUMERATED{deactivated} OPTIONAL, -- Need OP nonCriticalExtension SEQUENCE {} OPTIONAL

}

SL-SyncTxControl-r12 ::= SEQUENCE {

networkControlledSyncTx-r12 ENUMERATED {on, off} OPTIONAL -- Need OP

}

PSCellToAddMod-r12 ::= SEQUENCE {

sCellIndex-r12 SCellIndex-r10,

cellIdentification-r12 SEQUENCE {

physCellId-r12 PhysCellId,

dl-CarrierFreq-r12 ARFCN-ValueEUTRA-r9

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-r12 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedPSCell-r12 RadioResourceConfigDedicatedPSCell-r12 OPTIONAL, -- Cond SCellAdd2

...,

[[ antennaInfoDedicatedPSCell-v1280 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

]],

[[ sCellIndex-r13 SCellIndex-r13 OPTIONAL -- Need ON

]],

[[ radioResourceConfigDedicatedPSCell-v1370 RadioResourceConfigDedicatedPSCell-v1370 OPTIONAL -- Need ON

]],

[[ radioResourceConfigDedicatedPSCell-v13c0 RadioResourceConfigDedicatedPSCell-v13c0 OPTIONAL -- Need ON

]]

}

PSCellToAddMod-v12f0 ::= SEQUENCE {

radioResourceConfigCommonPSCell-r12 RadioResourceConfigCommonPSCell-v12f0 OPTIONAL

}

PSCellToAddMod-v1440 ::= SEQUENCE {

radioResourceConfigCommonPSCell-r14 RadioResourceConfigCommonPSCell-v1440 OPTIONAL

}

PowerCoordinationInfo-r12 ::= SEQUENCE {

p-MeNB-r12 INTEGER (1..16),

p-SeNB-r12 INTEGER (1..16),

powerControlMode-r12 INTEGER (1..2)

}

SCellToAddModList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-r10

SCellToAddModList-v10l0 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v10l0

SCellToAddModList-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellToAddMod-v13c0

SCellToAddModList-r16 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-r16

SCellToAddModListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-r13

SCellToAddModListExt-v1370 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1370

SCellToAddModListExt-v13c0 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddMod-v13c0

SCellToAddModListExt-v1430 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellToAddModExt-v1430

SCellGroupToAddModList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupToAddMod-r15

SCellToAddMod-r10 ::= SEQUENCE {

sCellIndex-r10 SCellIndex-r10,

cellIdentification-r10 SEQUENCE {

physCellId-r10 PhysCellId,

dl-CarrierFreq-r10 ARFCN-ValueEUTRA

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonSCell-r10 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedSCell-r10 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Cond SCellAdd2

...,

[[ dl-CarrierFreq-v1090 ARFCN-ValueEUTRA-v9e0 OPTIONAL -- Cond EARFCN-max

]],

[[ antennaInfoDedicatedSCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

]],

[[ srs-SwitchFromServCellIndex-r14 INTEGER (0.. 31) OPTIONAL -- Need ON

]],

[[ sCellState-r15 ENUMERATED {activated, dormant} OPTIONAL -- Need ON

]]

}

SCellToAddMod-v10l0 ::= SEQUENCE {

radioResourceConfigCommonSCell-v10l0 RadioResourceConfigCommonSCell-v10l0 OPTIONAL

}

SCellToAddMod-v13c0 ::= SEQUENCE {

radioResourceConfigDedicatedSCell-v13c0 RadioResourceConfigDedicatedSCell-v13c0 OPTIONAL

}

SCellToAddMod-r16 ::= SEQUENCE {

sCellIndex-r16 SCellIndex-r13,

cellIdentification-r16 SEQUENCE {

physCellId-r16 PhysCellId,

dl-CarrierFreq-r16 ARFCN-ValueEUTRA-r9

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonSCell-r16 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedSCell-r16 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Cond SCellAdd2

antennaInfoDedicatedSCell-r16 AntennaInfoDedicated-v10i0 OPTIONAL, -- Need ON

srs-SwitchFromServCellIndex-r16 INTEGER (0.. 31) OPTIONAL, -- Need ON

sCellState-r16 ENUMERATED {activated, dormant} OPTIONAL, -- Need ON

...

}

SCellToAddModExt-r13 ::= SEQUENCE {

sCellIndex-r13 SCellIndex-r13,

cellIdentification-r13 SEQUENCE {

physCellId-r13 PhysCellId,

dl-CarrierFreq-r13 ARFCN-ValueEUTRA-r9

} OPTIONAL, -- Cond SCellAdd

radioResourceConfigCommonSCell-r13 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Cond SCellAdd

radioResourceConfigDedicatedSCell-r13 RadioResourceConfigDedicatedSCell-r10 OPTIONAL, -- Cond SCellAdd2

antennaInfoDedicatedSCell-r13 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

}

SCellToAddModExt-v1370 ::= SEQUENCE {

radioResourceConfigCommonSCell-v1370 RadioResourceConfigCommonSCell-v10l0 OPTIONAL

}

SCellToAddModExt-v1430 ::= SEQUENCE {

srs-SwitchFromServCellIndex-r14 INTEGER (0.. 31) OPTIONAL, -- Need ON

...,

[[ sCellState-r15 ENUMERATED {activated, dormant} OPTIONAL -- Need ON

]]

}

SCellGroupToAddMod-r15 ::= SEQUENCE {

sCellGroupIndex-r15 SCellGroupIndex-r15,

sCellConfigCommon-r15 SCellConfigCommon-r15 OPTIONAL, -- Need ON

sCellToReleaseList-r15 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModList-r15 SCellToAddModListExt-r13 OPTIONAL -- Need ON

}

SCellToReleaseList-r10 ::= SEQUENCE (SIZE (1..maxSCell-r10)) OF SCellIndex-r10

SCellToReleaseListExt-r13 ::= SEQUENCE (SIZE (1..maxSCell-r13)) OF SCellIndex-r13

SCellGroupToReleaseList-r15 ::= SEQUENCE (SIZE (1..maxSCellGroups-r15)) OF SCellGroupIndex-r15

SCellGroupIndex-r15 ::= INTEGER (1..maxSCellGroups-r15)

SCellConfigCommon-r15 ::= SEQUENCE {

radioResourceConfigCommonSCell-r15 RadioResourceConfigCommonSCell-r10 OPTIONAL, -- Need ON

radioResourceConfigDedicatedSCell-r15 RadioResourceConfigDedicatedSCell-r10 OPTIONAL,-- Need ON

antennaInfoDedicatedSCell-r15 AntennaInfoDedicated-v10i0 OPTIONAL -- Need ON

}

SCG-Configuration-r12 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartMCG-r12 SEQUENCE {

scg-Counter-r12 INTEGER (0.. 65535) OPTIONAL, -- Need ON

powerCoordinationInfo-r12 PowerCoordinationInfo-r12 OPTIONAL, -- Need ON

...

} OPTIONAL, -- Need ON

scg-ConfigPartSCG-r12 SCG-ConfigPartSCG-r12 OPTIONAL -- Need ON

}

}

SCG-Configuration-v12f0 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartSCG-v12f0 SCG-ConfigPartSCG-v12f0 OPTIONAL -- Need ON

}

}

SCG-Configuration-v13c0 ::= CHOICE {

release NULL,

setup SEQUENCE {

scg-ConfigPartSCG-v13c0 SCG-ConfigPartSCG-v13c0 OPTIONAL -- Need ON

}

}

SCG-ConfigPartSCG-r12 ::= SEQUENCE {

radioResourceConfigDedicatedSCG-r12 RadioResourceConfigDedicatedSCG-r12 OPTIONAL, -- Need ON

sCellToReleaseListSCG-r12 SCellToReleaseList-r10 OPTIONAL, -- Need ON

pSCellToAddMod-r12 PSCellToAddMod-r12 OPTIONAL, -- Need ON

sCellToAddModListSCG-r12 SCellToAddModList-r10 OPTIONAL, -- Need ON

mobilityControlInfoSCG-r12 MobilityControlInfoSCG-r12 OPTIONAL, -- Need ON

...,

[[

sCellToReleaseListSCG-Ext-r13 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellToAddModListSCG-Ext-r13 SCellToAddModListExt-r13 OPTIONAL -- Need ON

]],

[[

sCellToAddModListSCG-Ext-v1370 SCellToAddModListExt-v1370 OPTIONAL -- Need ON

]],

[[

pSCellToAddMod-v1440 PSCellToAddMod-v1440 OPTIONAL -- Need ON

]],

[[ sCellGroupToReleaseListSCG-r15 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModListSCG-r15 SCellGroupToAddModList-r15 OPTIONAL -- Need ON

]],

[[ -- NE-DC addition for setup/ modification and release SN configured measurements

measConfigSN-r15 MeasConfig OPTIONAL, -- Need ON

-- NE-DC additions concerning DRBs/ SRBs are within RadioResourceConfigDedicatedSCG

tdm-PatternConfigNE-DC-r15 TDM-PatternConfig-r15 OPTIONAL -- Cond FDD-PSCell

]],

[[ p-MaxEUTRA-r15 P-Max OPTIONAL -- Need ON

]]

}

SCG-ConfigPartSCG-v12f0 ::= SEQUENCE {

pSCellToAddMod-v12f0 PSCellToAddMod-v12f0 OPTIONAL, -- Need ON

sCellToAddModListSCG-v12f0 SCellToAddModList-v10l0 OPTIONAL -- Need ON

}

SCG-ConfigPartSCG-v13c0 ::= SEQUENCE {

sCellToAddModListSCG-v13c0 SCellToAddModList-v13c0 OPTIONAL, -- Need ON

sCellToAddModListSCG-Ext-v13c0 SCellToAddModListExt-v13c0 OPTIONAL -- Need ON

}

SecurityConfigHO ::= SEQUENCE {

handoverType CHOICE {

intraLTE SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig OPTIONAL, -- Cond fullConfig

keyChangeIndicator BOOLEAN,

nextHopChainingCount NextHopChainingCount

},

interRAT SEQUENCE {

securityAlgorithmConfig SecurityAlgorithmConfig,

nas-SecurityParamToEUTRA OCTET STRING (SIZE(6))

}

},

...

}

SecurityConfigHO-v1530 ::= SEQUENCE {

handoverType-v1530 CHOICE {

intra5GC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig OPTIONAL, -- Cond HO-toEUTRA

keyChangeIndicator-r15 BOOLEAN,

nextHopChainingCount-r15 NextHopChainingCount,

nas-Container-r15 OCTET STRING OPTIONAL -- Need ON

},

fivegc-ToEPC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig,

nextHopChainingCount-r15 NextHopChainingCount

},

epc-To5GC-r15 SEQUENCE {

securityAlgorithmConfig-r15 SecurityAlgorithmConfig,

nas-Container-r15 OCTET STRING

}

},

...

}

-- ASN1STOP

| *RRCConnectionReconfiguration* field descriptions |
| --- |
| ***conditionalReconfiguration***  This field is used to configure the UE with a conditional reconfiguration. The reconfiguration is applied when the execution condition(s) is fulfilled. The field is absent if *daps-HO* is configured for any DRB or if *MobilityControlInfo* is included in the *RRCConnectionReconfiguration* message. The *conditionalReconfiguration* is not configured in the *RRCConnectionReconfiguration* message included in a *conditionalReconfiguration.* |
| ***daps-SourceRelease***  A one-shot field that indicates that the UE shall release the resources associated with source PCell at a DAPS HO, including reconfiguration of the PDCP entity to release DAPS. |
| ***dedicatedInfoNASList***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list. If *dedicatedInfoNASList-r15* is present, UE shall ignore the *dedicatedInfoNASList* (without suffix). |
| ***endc-ReleaseAndAdd***  A one-shot field indicating whether the UE simultaneously releases and adds all the NR SCG related configuration within *nr-Config*, i.e. the configuration set by the NR *RRCReconfiguration* message (e.g. *secondaryCellGroup, SRB3* and *measConfig)*. |
| ***fullConfig***  Indicates the full configuration option is applicable for the RRC Connection Reconfiguration message for intra-system intra-RAT handover. For inter-RAT handover from NR to E-UTRA, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent when the *RRCConnectionReconfiguration* message is generated by the E-UTRA SCG. |
| ***keyChangeIndicator***  If UE is connected to EPC, true is used only in an intra-cell handover when a KeNB key is derived from a KASME key taken into use through the latest successful NAS SMC procedure, as described in TS 33.401 [32] for KeNB re-keying. false is used in an intra-LTE handover when the new KeNB key is obtained from the current KeNB key or from the NH as described in TS 33.401 [32].  If UE is connected to 5GC, with keyChangeIndicator-r15, true is used in an intra-cell handover when a KeNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, as described in TS 33.501 [86] for KeNB re-keying.  False is used for intra-system handover when the new KeNB key is obtained from the current KeNB key or from the NH as described in TS 33.501 [86]. True is also used in NG based handover procedure with KAMF change, when a KeNB key is derived from the new KAMF key as described in TS 33.501 [86]. |
| ***lwa-Configuration***  This field is used to provide parameters for LWA configuration. E-UTRAN does not simultaneously configure LWA with DC, LWIP or RCLWI for a UE. |
| ***lwip-Configuration***  This field is used to provide parameters for LWIP configuration. E-UTRAN does not simultaneously configure LWIP with DC, LWA or RCLWI for a UE. |
| ***measConfig***  Measurements that E-UTRAN may configure when the UE is not configured with NE-DC. |
| ***measConfigSN***  Measurements that E-UTRAN may configure when the UE is configured with NE-DC and for which reports are carried within an NR RRC message. |
| ***nas-Container***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after handover within E-UTRA/5GC. The content is defined in TS 24.501 [95]. In case of NG based handover, the content of nas-Container is. the Intra N1 mode NAS transparent container IE. In case of inter-system handover to from 5GS to EPS, the content of NAS-Container is. the S1 mode to N1 mode NAS transparent container IE. |
| ***nas-securityParamToEUTRA***  This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although, if included, it affects activation of AS- security after inter-RAT handover to E-UTRA/EPC or inter-system handover to E-UTRA/EPC. The content is defined in TS 24.301 [35]. This field is not used for handover from 5GC. |
| ***networkControlledSyncTx***  This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *On* indicates the UE to transmit synchronisation information while value *Off* indicates the UE to not transmit such information. |
| ***nextHopChainingCount***  Parameter NCC: See TS 33.401 [32] if UE is connected to EPC, else see 33.501 [86] if UE is connected to 5GC. |
| ***nr-Config***  Includes the NR related configurations. This field is used to configure (NG)EN-DC configuration, possibly in conjunction with fields *sk-Counter* and *nr-RadioBearerConfig1/ 2*. NOTE 1. |
| ***nr-RadioBearerConfig1, nr-RadioBearerConfig2***  Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP. |
| ***nr-SecondaryCellGroupConfig***  Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup, conditionalReconfiguration, otherConfig, bap-Config, iab-IP-AddressConfigurationList* and/ or *measConfig*. If *nr-SecondaryCellGroupConfig* is configured, the network always includes this field upon MN handover to initiate an NR SCG reconfiguration with sync and key change. |
| ***perCC-GapIndicationRequest***  Indicates that UE shall include *perCC-GapIndicationList* and *numFreqEffective* in the *RRCConnectionReconfigurationComplete* message. *numFreqEffectiveReduced* may also be included if frequencies are configured for reduced measurement performance. |
| ***p-MaxEUTRA***  Indicates the maximum power available for LTE. |
| ***p-MaxUE-FR1***  The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level. The field is optionally present, if (NG)EN-DC (nr-Config-r15) has been configured. It is absent otherwise. |
| ***p-MeNB***  Indicates the guaranteed power for the MeNB, as specified in TS 36.213 [23]. The value N corresponds to N-1 in TS 36.213 [23]. |
| ***powerControlMode***  Indicates the power control mode used in DC. Value 1 corresponds to DC power control mode 1 and value 2 indicates DC power control mode 2, as specified in TS 36.213 [23]. |
| ***p-SeNB***  Indicates the guaranteed power for the SeNB as specified in TS 36.213 [23], Table 5.1.4.2-1. The value N corresponds to N-1 in TS 36.213 [23]. |
| ***rclwi-Configuration***  WLAN traffic steering command as specified in 5.6.16.2. E-UTRAN does not simultaneously configure RCLWI with DC, LWA or LWIP for a UE. |
| ***sCellConfigCommon***  Indicates the common configuration for the SCell group. |
| ***sCellGroupIndex***  Indicates the identity of SCell groups for which a common configuration is provided. |
| ***sCellIndex***  The *sCellIndex* is unique within the scope of the UE. In case of DC, an SCG cell can not use the same value as used for an MCG cell. For *pSCellToAddMod*, if *sCellIndex-r13* is present the UE shall ignore *sCellIndex-r12.* |
| ***sCellGroupToAddModList, sCellGroupToAddModListSCG***  Indicates the SCell group to be added or modified. E-UTRAN only configures at most 4 SCell groups per UE over all cell groups. SCell groups can only be configured for LTE SCells, and all SCells in an SCell group must belong to the same cell group. |
| ***sCellGroupToReleaseList***  Indicates the SCell group to be released. |
| ***sCellState***  A one-shot field that indicates whether the SCell shall be considered to be in activated or dormant state upon SCell configuration. |
| ***sCellToAddModList, sCellToAddModListExt***  Indicates the SCell to be added or modified. E-UTRAN uses field *sCellToAddModList-r10* to add or modify SCells (with *sCellIndex-r10*) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes *sCellToAddModListExt-v1430* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13*. If E-UTRAN includes *sCellToAddModList-v10l0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModList-r10*. If E-UTRAN includes *sCellToAddModListExt-v1370* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13*. If E-UTRAN includes *sCellToAddModListExt-v13c0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListExt-r13.* |
| ***sCellToAddModListSCG, sCellToAddModListSCG-Ext***  Indicates the SCG cell to be added or modified. The field is used for SCG cells other than the PSCell (which is added/ modified by field *pSCellToAddMod*). E-UTRAN uses field *sCellToAddModListSCG-r12* to add or modify SCells (with *sCellIndex-r10*) for a UE that does not support carrier aggregation with more than 5 component carriers. If E-UTRAN includes *sCellToAddModListSCG-v10l0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-r12*. If E-UTRAN includes *sCellToAddModListSCG-Ext-v1370* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-Ext-r13*. If E-UTRAN includes *sCellToAddModListSCG-Ext-v13c0* it includes the same number of entries, and listed in the same order, as in *sCellToAddModListSCG-Ext-r13.* |
| ***sCellToReleaseList, sCellToReleaseListExt***  Indicates the SCell to be released. E-UTRAN uses field *sCellToReleaseList-r10* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers. |
| ***sCellToReleaseListSCG, sCellToReleaseListSCG-Ext***  Indicates the SCG cell to be released. The field is also used to release the PSCell e.g. upon change of PSCell, upon system information change for the PSCell. E-UTRAN uses field *sCellToReleaseListSCG-r12* to release SCells for a UE that does not support carrier aggregation with more than 5 component carriers. |
| ***scg-Configuration***  Covers the SCG configuration as used in case of DC and NE-DC. When the UE is configured with NE-DC, E-UTRAN neither applies value release nor configures *scg-ConfigPartMCG*. When resuming a connection with NE-DC, this field is included, containing at least the *mobilityControlInfoSCG*. |
| ***scg-Counter***  A counter used upon initial configuration of SCG security as well as upon refresh of S-KeNB. E-UTRAN includes the field upon SCG change when one or more SCG DRBs are configured. Otherwise E-UTRAN does not include the field. |
| ***scg-State***  Indicates that the NR SCG is deactivated. The field is absent if the CPAC is configured for the UE, or if the *RRCConnectionReconfiguration* message is contained in *condReconfigurationToApply*. |
| ***securityConfigHO***  This field contains the parameters required to update the security keys at handover. If E-UTRAN includes the *securityConfigHO* (i.e., without suffix), the choice *intraLTE* is used for handover within E-UTRA/EPC while the choice *interRAT* is used for handover from GERAN or UTRAN to E-UTRA/EPC. If E-UTRAN includes the *securityConfigHO-v1530* (i.e., with suffix), the choice *intra5GC* is used for handover from NR or E-UTRA/5GC to E-UTRA/5GC while the choice *fivegc-ToEPC* is used for inter-system handover from NR or E-UTRA/5GC to E-UTRA/EPC and the choice *epc-To5GC* is used for inter-system handover from E-UTRA/EPC to E-UTRA/5GC. |
| ***sk-Counter***  A one-shot counter used upon initial configuration of S-KgNB as well as upon refresh of S-KgNB. E-UTRAN always provides this field either upon initial configuration of an NR SCG, or upon configuration of the first (SN terminated) RB using S-KgNB, whichever happens first. |
| ***sl-ConfigDedicatedForNR***  Container for providing the dedicated configurations for NR sidelink communication, the octet string contains the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields related to NR sidelink communication, i.e. *sl-ConfigDedicatedNR*, *measConfig* and/or *otherConfig*. If the UE is configured by the current Pcell with *sl-ScheduledConfig* set to setup (i.e., NR sidelink communication mode 1), the network only includes *sl-PrioritizationThres* and *sl-ConfiguredGrantConfig* that only includes the configurations of configured sidelink grant Type 1 in the field *sl-ScheduledConfig*. |
| ***sl-SSB-PriorityEUTRA***  Indicates the priority of LTE PSSS/SSSS/PSBCH transmission and reception. NOTE 3. |
| ***sl-V2X-ConfigDedicated***  Indicates sidelink configuration for non-P2X related V2X sidelink communication as well as P2X related V2X sidelink communication. |
| ***smtc***  The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. It is based on timing reference of EUTRA PCell. NOTE 2.  If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |
| ***srs-SwitchFromServCellIndex***  Indicates the serving cell whose UL transmission may be interrupted during SRS transmission on a PUSCH-less cell. During SRS transmission on a PUSCH-less cell, the UE may temporarily suspend the UL transmission on a serving cell with PUSCH in the same CG to allow the PUSCH-less cell to transmit SRS. The PUSCH-less cell is always a TDD cell but the serving cell with PUSCH may be either a FDD or TDD cell. |
| ***systemInformationBlockType1Dedicated***  This field is used to transfer *SystemInformationBlockType1* or *SystemInformationBlockType1-BR* to the UE. |
| ***systemInformationBlockType2Dedicated***  This field is used to transfer BR version of *SystemInformationBlockType2* to BL UEs or UEs in CE or *SystemInformationBlockType2* to non-BL UEs. |
| ***t350***  Timer T350 as described in clause 7.3. Value *minN* corresponds to N minutes. |
| ***tdm-PatternConfig***  This field is used when power control or IMD issues require single UL transmission in (NG)EN-DC as specified in TS 38.101-3 [101] and TS 38.213 [88]. |
| ***tdm-PatternConfig2***  This field is used for dual UL transmission in EN-DC with LTE FDD PCell and for single UL transmission in EN-DC with LTE FDD/TDD PCell, as specified in TS 38.101-3 [101] and TS 38.213 [88].  The network sets at most one of *tdm-PatternConfig* and *tdm-PatternConfig2* to setup.  When this field is configured in EN-DC with LTE TDD PCell, it is not applicable if TDD configuration is sa0 or sa6 in SIB1. |
| ***tdm-PatternConfigNE-DC***  This field is used when power control or IMD issues require single UL transmission in NE-DC as specified in TS 38.101-3 [101] and TS 38.213 [88]. |

| Conditional presence | Explanation |
| --- | --- |
| *EARFCN-max* | The field is mandatory present if *dl-CarrierFreq-r10* is included and set to *maxEARFCN*. Otherwise the field is not present. |
| *FDD-PCell* | This field is optionally present, need ON, for a FDD PCell if there is no SCell with configured uplink. Otherwise, the field is not present. |
| *FDD-PSCell* | This field is optionally present, need ON, for a FDD PSCell if there is no SCell with configured uplink. Otherwise, the field is not present. |
| *fullConfig* | This field is mandatory present for handover within E-UTRA when the *fullConfig* is included; otherwise it is optionally present, Need OP. |
| *HO* | The field is mandatory present in case of handover within E-UTRA or to E-UTRA and in a message contained in a NR *DLInformationTransferMRDC* message; otherwise the field is not present. The field is not present if source PCell resources after a DAPS handover have not been released. |
| *HO-Reestab* | The field is mandatory present in case of inter-system handover within E-UTRA or handover from NR to E-UTRA/EPC; it is optionally present, need ON, in case of intra-system handover within E-UTRA or upon the first reconfiguration after RRC connection re-establishment; or for intra-system handover from NR to E-UTRA, otherwise the field is not present. |
| *HO-5GC* | The field is mandatory present in case of handover within E-UTRA/5GC, handover to E-UTRA/5GC, handover from NR to E-UTRA/EPC, or handover from E-UTRA/5GC to E-UTRA/EPC, otherwise the field is not present. |
| *HO-toEPC* | The field is mandatory present in case of handover within E-UTRA/EPC or to E-UTRA/EPC, except handover from NR or E-UTRA/5GC, otherwise the field is not present. |
| *HO-toEUTRA* | The field is mandatory present in case of handover to E-UTRA or for reconfigurations when *fullConfig* is included; otherwise the field is optionally present, need ON. |
| *nonFullConfig* | The field is not present when the *fullConfig* is included or in case of handover to E-UTRA; otherwise it is optional present, need ON. |
| *nonHO* | The field is not present in case of handover within E-UTRA or to E-UTRA; otherwise it is optional present, need ON. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is not present. |
| *SCellAdd2* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need ON. |

NOTE 1: Fields *sk-Counter* and *nr-RadioBearerConfig1/ 2* are placed outside *nr-Config*, as these may be configured while the UE is not configured with (NG)EN-DC.

NOTE 2: It is not specified whether the timing reference for the SMTC configuration is the source EUTRA PCell or the target EUTRA PCell in case the NR PSCell addition or SN change takes place simultaneously with handover. As a consequence, explicit SMTC configuration is only supported when the source EUTRA PCell and the target EUTRA PCell of the handover are SFN/subframe-synchronized.

NOTE 3: For UEs in RRC\_IDLE, RRC\_INACTIVE or out-of coverage, and for the case that *sl-SSB-PriorityEUTRA* is absent, it is up to UE implementation to decide the priority of LTE PSSS/SSSS/PSBCH transmission and reception.

#### – *RRCConnectionReconfigurationComplete*

The *RRCConnectionReconfigurationComplete* message is used to confirm the successful completion of an RRC connection reconfiguration.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E‑UTRAN

*RRCConnectionReconfigurationComplete message*

-- ASN1START

RRCConnectionReconfigurationComplete ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcConnectionReconfigurationComplete-r8

RRCConnectionReconfigurationComplete-r8-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionReconfigurationComplete-r8-IEs ::= SEQUENCE {

nonCriticalExtension RRCConnectionReconfigurationComplete-v8a0-IEs OPTIONAL

}

RRCConnectionReconfigurationComplete-v8a0-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfigurationComplete-v1020-IEs OPTIONAL

}

RRCConnectionReconfigurationComplete-v1020-IEs ::= SEQUENCE {

rlf-InfoAvailable-r10 ENUMERATED {true} OPTIONAL,

logMeasAvailable-r10 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionReconfigurationComplete-v1130-IEs OPTIONAL

}

RRCConnectionReconfigurationComplete-v1130-IEs ::= SEQUENCE {

connEstFailInfoAvailable-r11 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionReconfigurationComplete-v1250-IEs OPTIONAL

}

RRCConnectionReconfigurationComplete-v1250-IEs ::= SEQUENCE {

logMeasAvailableMBSFN-r12 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionReconfigurationComplete-v1430-IEs OPTIONAL

}

RRCConnectionReconfigurationComplete-v1430-IEs ::= SEQUENCE {

perCC-GapIndicationList-r14 PerCC-GapIndicationList-r14 OPTIONAL,

numFreqEffective-r14 INTEGER (1..12) OPTIONAL,

numFreqEffectiveReduced-r14 INTEGER (1..12) OPTIONAL,

nonCriticalExtension RRCConnectionReconfigurationComplete-v1510-IEs OPTIONAL

}

RRCConnectionReconfigurationComplete-v1510-IEs ::= SEQUENCE {

scg-ConfigResponseNR-r15 OCTET STRING OPTIONAL,

nonCriticalExtension RRCConnectionReconfigurationComplete-v1530-IEs OPTIONAL

}

RRCConnectionReconfigurationComplete-v1530-IEs ::= SEQUENCE {

logMeasAvailableBT-r15 ENUMERATED {true} OPTIONAL,

logMeasAvailableWLAN-r15 ENUMERATED {true} OPTIONAL,

flightPathInfoAvailable-r15 ENUMERATED {true} OPTIONAL,

nonCriticalExtension RRCConnectionReconfigurationComplete-v17xy-IEsSEQUENCE {} OPTIONAL

}

RRCConnectionReconfigurationComplete-v17xy-IEs ::= SEQUENCE {

selectedCondReconfigurationToApply-r17 CondReconfigurationId-r16 OPTIONAL, nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- ASN1STOP

| *RRCConnectionReconfigurationComplete* field descriptions |
| --- |
| ***numFreqEffective***  This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16]. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency. The frequencies configured for reduced measurement performance should not be included. |
| ***numFreqEffectiveReduced***  This field is used to indicate the number of effective frequencies that a UE measures in series according to TS 36.133 [16] for frequencies configured for reduced measurement performance. Simultaneous measurement in parallel on multiple frequencies can be equivalent to a single effective frequency. |
| ***perCC-GapIndicationList***  This field is used to indicate per CC measurement gap preference by the UE. |
| ***scg-ConfigResponseNR***  Includes the NR *RRCReconfigurationComplete* message as defined in TS 38.331 [82]. |
| ***selectedCondReconfigurationToApply***  This field indicates the selected conditional RRC connection reconfiguration the UE applied upon the execution of CPA or inter-SN CPC. |

#### – *RRCConnectionResume*

The *RRCConnectionResume* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: E‑UTRAN to UE

*RRCConnectionResume* message

-- ASN1START

RRCConnectionResume-r13 ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

c1 CHOICE {

rrcConnectionResume-r13 RRCConnectionResume-r13-IEs,

spare3 NULL,

spare2 NULL,

spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

RRCConnectionResume-r13-IEs ::= SEQUENCE {

radioResourceConfigDedicated-r13 RadioResourceConfigDedicated OPTIONAL, -- Need ON

nextHopChainingCount-r13 NextHopChainingCount,

measConfig-r13 MeasConfig OPTIONAL, -- Need ON

antennaInfoDedicatedPCell-r13 AntennaInfoDedicated-v10i0 OPTIONAL, -- Need ON

drb-ContinueROHC-r13 ENUMERATED {true} OPTIONAL, -- Need OP

lateNonCriticalExtension OCTET STRING OPTIONAL,

rrcConnectionResume-v1430-IEs RRCConnectionResume-v1430-IEs OPTIONAL

}

RRCConnectionResume-v1430-IEs ::= SEQUENCE {

otherConfig-r14 OtherConfig-r9 OPTIONAL, -- Need ON

rrcConnectionResume-v1510-IEs RRCConnectionResume-v1510-IEs OPTIONAL

}

RRCConnectionResume-v1510-IEs ::= SEQUENCE {

sk-Counter-r15 INTEGER (0.. 65535) OPTIONAL, -- Need ON

nr-RadioBearerConfig1-r15 OCTET STRING OPTIONAL, -- Need ON

nr-RadioBearerConfig2-r15 OCTET STRING OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionResume-v1530-IEs OPTIONAL

}

RRCConnectionResume-v1530-IEs ::= SEQUENCE {

fullConfig-r15 ENUMERATED {true} OPTIONAL, -- Need ON

nonCriticalExtension RRCConnectionResume-v1610-IEs OPTIONAL

}

RRCConnectionResume-v1610-IEs ::= SEQUENCE {

idleModeMeasurementReq-r16 ENUMERATED {true} OPTIONAL, -- Need ON

restoreMCG-SCells ENUMERATED {true} OPTIONAL, -- Need ON

restoreSCG ENUMERATED {true} OPTIONAL, -- Cond EarlySec

sCellToAddModList-r16 SCellToAddModList-r16 OPTIONAL, -- Cond EarlySec

sCellToReleaseList-r16 SCellToReleaseListExt-r13 OPTIONAL, -- Need ON

sCellGroupToReleaseList-r16 SCellGroupToReleaseList-r15 OPTIONAL, -- Need ON

sCellGroupToAddModList-r16 SCellGroupToAddModList-r15 OPTIONAL, -- Cond EarlySec

nr-SecondaryCellGroupConfig OCTET STRING OPTIONAL, -- Cond RestoreSCG

p-MaxEUTRA-r16 P-Max OPTIONAL, -- Cond SCG

p-MaxUE-FR1-r16 P-Max OPTIONAL, -- Cond SCG

tdm-PatternConfig-r16 TDM-PatternConfig-r15 OPTIONAL, -- Cond FDD-PCell

tdm-PatternConfig2-r16 TDM-PatternConfig-r15 OPTIONAL, -- Need OR

nonCriticalExtension RRCConnectionResume-v17xy-IEs OPTIONAL

}

RRCConnectionResume-v17xy-IEs ::= SEQUENCE {

scg-State-r17 ENUMERATED {deactivated} OPTIONAL, -- Need OP nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- ASN1STOP

| *RRCConnectionResume* field descriptions |
| --- |
| ***drb-ContinueROHC***  This field indicates whether to continue or reset the header compression protocol context for the DRBs configured with EUTRA PDCP and the header compression protocol. Presence of the field indicates that the header compression protocol context continues while absence indicates that the header compression protocol context is reset. |
| ***fullConfig***  Indicates that the full configuration option is applicable for the *RRCConnectionResume* message. |
| ***idleModeMeasurementReq***  This field indicates that the UE shall report the idle/inactive measurements to the network in the *RRCConnectionResumeComplete* message |
| ***p-MaxEUTRA***  Indicates the maximum power available for E-UTRA. |
| ***p-MaxUE-FR1***  The maximum total transmit power to be used by the UE across all serving cells in frequency range 1 (FR1) across all cell groups. The maximum transmit power that the UE may use may be additionally limited on cell- or cell-group level. |
| ***nr-RadioBearerConfig1, nr-RadioBearerConfig2***  Includes the NR *RadioBearerConfig* IE as specified in TS 38.331 [82]. The field includes the configuration of RBs configured with NR PDCP. |
| ***nr-SecondaryCellGroupConfig***  Includes the NR *RRCReconfiguration* message as specified in TS 38.331 [82]. In this version of the specification, the NR RRC message only includes fields *secondaryCellGroup*, with at least *reconfigurationWithSync, otherConfig* and/ or *measConfig*. |
| ***restoreMCG-Scells***  Indicates that the UE shall restore the MCG Scell configurations from the UE AS Context or UE Inactive AS Context, if configured. |
| ***restoreSCG***  If included, the UE shall restore the SCG configurations from the UE AS Context or UE Inactive AS Context. |
| ***sCellGroupToAddModList***  Indicates the SCell group to be added or modified. |
| ***sCellGroupToReleaseList***  Indicates the SCell group to be released. |
| ***scg-State***  Indicates that the SCG is deactivated. |
| ***sCellToAddModList***  List of SCells to be added or modified. |
| ***sCellToReleaseList***  List of SCells to be released. |
| ***sk-Counter***  A one-shot counter used upon initial configuration of S-KgNB as well as upon refresh of S-KgNB. E-UTRAN provides this field when the UE is configured with an (SN-terminated) RB using S-KgNB or NR SCG is configured. |
| ***tdm-PatternConfig***  This field is used when power control or IMD issues require single UL transmission in (NG)EN-DC as specified in TS 38.101-3 [101] and TS 38.213 [88]. |
| ***tdm-PatternConfig2***  This field is used for dual UL transmission in EN-DC with LTE FDD PCell and for single UL transmission in EN-DC with LTE FDD/TDD PCell, as specified in TS 38.101-3 [101] and TS 38.213 [88].  The network sets at most one of *tdm-PatternConfig* and *tdm-PatternConfig2* to setup.  When this field is configured in EN-DC with LTE TDD PCell, it is not applicable if TDD configuration is sa0 or sa6 in SIB1. |

| Conditional presence | Explanation |
| --- | --- |
| *EarlySec* | For EPC, the field is optionally present, Need ON, if the UE supports early security reactivation; otherwise the field is not present.  For 5GC, the field is optionally present, Need ON. |
| *RestoreSCG* | The field is mandatory present if *restoreSCG* is configured. It is optionally present, Need ON, otherwise.  For EPC, this field can be present only if the UE supports early security reactivation. |
| *FDD-PCell* | This field is optionally present, need ON, for an FDD PCell if there is no SCell with configured uplink. Otherwise, the field is not present, need OR. |
| *SCG* | This field is optionally present, need OR, if *nr-SecondaryCellGroupConfig* is present, otherwise it is absent, need OR. |

#### – *SCGFailureInformationNR*

The *SCGFailureInformationNR* message is used to provide information regarding NR SCG failures detected by the UE.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E‑UTRAN

*SCGFailureInformationNR message*

-- ASN1START

SCGFailureInformationNR-r15 ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE {

scgFailureInformationNR-r15 SCGFailureInformationNR-r15-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

SCGFailureInformationNR-r15-IEs ::= SEQUENCE {

failureReportSCG-NR-r15 FailureReportSCG-NR-r15 OPTIONAL,

nonCriticalExtension SCGFailureInformationNR-v1590-IEs OPTIONAL

}

SCGFailureInformationNR-v1590-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

FailureReportSCG-NR-r15 ::= SEQUENCE {

failureType-r15 ENUMERATED {

t310-Expiry, randomAccessProblem,

rlc-MaxNumRetx,

synchReconfigFailureSCG, scg-reconfigFailure,

srb3-IntegrityFailure, dummy},

measResultFreqListNR-r15 MeasResultFreqListFailNR-r15 OPTIONAL,

measResultSCG-r15 OCTET STRING OPTIONAL,

...,

[[ locationInfo-r16 LocationInfo-r10 OPTIONAL,

logMeasResultListBT-r16 LogMeasResultListBT-r15 OPTIONAL,

logMeasResultListWLAN-r16 LogMeasResultListWLAN-r15 OPTIONAL,

failureType-v1610 ENUMERATED {t312-Expiry, scg-lbtFailure,

beamFailureRecoveryFailure, bh-RLF-r16, beamFailure-r17,

spare3, spare2, spare1} OPTIONAL

]]

}

MeasResultFreqListFailNR-r15 ::= SEQUENCE (SIZE (1..maxFreqNR-r15)) OF MeasResultFreqFailNR-r15

MeasResultFreqFailNR-r15 ::= SEQUENCE {

carrierFreq-r15 ARFCN-ValueNR-r15,

measResultCellList-r15 MeasResultCellListNR-r15 OPTIONAL,

...

}

-- ASN1STOP

| *SCGFailureInformationNR* field descriptions |
| --- |
| ***failureType***  Indicates the cause of the SCG failure. When the field *failureType-v1610* is included, the network ignores the field *failureType-r15*. |
| ***measResultFreqListNR***  The field contains available results of measurements on NR frequencies the UE is configured to measure by *measConfig*. |
| ***measResultSCG***  Includes the NR *MeasResultSCG-Failure* IE as specified in TS 38.331 [82]. The field contains available results of measurements on NR frequencies the UE is configured to measure by the NR RRCConfiguration message. |

#### – *UEAssistanceInformation*

The *UEAssistanceInformation* message is used for the indication of UE assistance information to the eNB.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to E‑UTRAN

*UEAssistanceInformation message*

-- ASN1START

UEAssistanceInformation-r11 ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE {

ueAssistanceInformation-r11 UEAssistanceInformation-r11-IEs,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

UEAssistanceInformation-r11-IEs ::= SEQUENCE {

powerPrefIndication-r11 ENUMERATED {normal, lowPowerConsumption} OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension UEAssistanceInformation-v1430-IEs OPTIONAL

}

UEAssistanceInformation-v1430-IEs ::= SEQUENCE {

bw-Preference-r14 BW-Preference-r14 OPTIONAL,

sps-AssistanceInformation-r14 SEQUENCE {

trafficPatternInfoListSL-r14 TrafficPatternInfoList-r14 OPTIONAL,

trafficPatternInfoListUL-r14 TrafficPatternInfoList-r14 OPTIONAL

} OPTIONAL,

rlm-Report-r14 SEQUENCE {

rlm-Event-r14 ENUMERATED {earlyOutOfSync, earlyInSync},

excessRep-MPDCCH-r14 ENUMERATED {excessRep1, excessRep2} OPTIONAL

} OPTIONAL,

delayBudgetReport-r14 DelayBudgetReport-r14 OPTIONAL,

nonCriticalExtension UEAssistanceInformation-v1450-IEs OPTIONAL

}

UEAssistanceInformation-v1450-IEs ::= SEQUENCE {

overheatingAssistance-r14 OverheatingAssistance-r14 OPTIONAL,

nonCriticalExtension UEAssistanceInformation-v1530-IEs OPTIONAL

}

UEAssistanceInformation-v1530-IEs ::= SEQUENCE {

sps-AssistanceInformation-v1530 SEQUENCE {

trafficPatternInfoListSL-v1530 TrafficPatternInfoList-v1530

} OPTIONAL,

nonCriticalExtension UEAssistanceInformation-v1610-IEs OPTIONAL

}

UEAssistanceInformation-v1610-IEs ::= SEQUENCE {

overheatingAssistance-v1610 OverheatingAssistance-v1610 OPTIONAL,

nonCriticalExtension UEAssistanceInformation-v17xy OPTIONAL

}

UEAssistanceInformation-v1610-IEs ::= SEQUENCE {

uplinkData-r17 ENUMERATED { true } OPTIONAL,

scg-DeactivationPreference-r17 ENUMERATE { scgDeactivationPreferred,

noPreferrence } OPTIONAL,

nonCriticalExtension SEQUENCE {} OPTIONAL

}

BW-Preference-r14 ::= SEQUENCE {

dl-Preference-r14 ENUMERATED {mhz1dot4, mhz5, mhz20 } OPTIONAL,

ul-Preference-r14 ENUMERATED {mhz1dot4, mhz5} OPTIONAL

}

TrafficPatternInfoList-r14 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-r14

TrafficPatternInfo-r14 ::= SEQUENCE {

trafficPeriodicity-r14 ENUMERATED {

sf20, sf50, sf100, sf200, sf300, sf400, sf500,

sf600, sf700, sf800, sf900, sf1000},

timingOffset-r14 INTEGER (0..10239),

priorityInfoSL-r14 SL-Priority-r13 OPTIONAL,

logicalChannelIdentityUL-r14 INTEGER (3..10) OPTIONAL,

messageSize-r14 BIT STRING (SIZE (6))

}

TrafficPatternInfoList-v1530 ::= SEQUENCE (SIZE (1..maxTrafficPattern-r14)) OF TrafficPatternInfo-v1530

TrafficPatternInfo-v1530 ::= SEQUENCE {

trafficDestination-r15 SL-DestinationIdentity-r12 OPTIONAL,

reliabilityInfoSL-r15 SL-Reliability-r15 OPTIONAL

}

DelayBudgetReport-r14::= CHOICE {

type1 ENUMERATED {

msMinus1280, msMinus640, msMinus320, msMinus160,

msMinus80, msMinus60, msMinus40, msMinus20, ms0, ms20,

ms40, ms60, ms80, ms160, ms320, ms640, ms1280},

type2 ENUMERATED {

msMinus192, msMinus168,msMinus144, msMinus120,

msMinus96, msMinus72, msMinus48, msMinus24, ms0, ms24,

ms48, ms72, ms96, ms120, ms144, ms168, ms192}

}

OverheatingAssistance-r14 ::= SEQUENCE {

reducedUE-Category SEQUENCE {

reducedUE-CategoryDL INTEGER (0..19),

reducedUE-CategoryUL INTEGER (0..21)

} OPTIONAL,

reducedMaxCCs SEQUENCE {

reducedCCsDL INTEGER (0..31),

reducedCCsUL INTEGER (0..31)

} OPTIONAL

}

OverheatingAssistance-v1610 ::= SEQUENCE {

overheatingAssistanceForSCG-r16 OCTET STRING

}

-- ASN1STOP

| *UEAssistanceInformation* field descriptions |
| --- |
| ***delayBudgetReport***  Indicates the UE-preferred adjustment to connected mode DRX or coverage enhancement configuration. |
| ***dl-Preference***  Indicates UE's preference on configuration of maximum PDSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, mhz5 corresponds to CE mode usage in 5MHz bandwidth, and mhz20 corresponds to CE mode usage in 20MHz bandwidth or normal coverage. |
| ***excessRep-MPDCCH***  Indicates the excess number of repetitions on MPDCCH. Value excessRep1 and excessRep2 indicate the excess number of repetitions defined in TS 36.133 [16]. |
| ***logicalChannelIdentityUL***  Indicates the logical channel identity associated with the reported traffic pattern in the uplink logical channel. |
| ***messageSize***  Indicates the maximum TB size based on the observed traffic pattern. The value refers to the index of TS 36.321 [6], table 6.1.3.1-1. |
| ***overheatingAssistanceForSCG***  Includes the NR *OverheatingAssistance* IE as specified in TS 38.331 [82]. The field indicates UE's preference on reduced configuration for NR SCG to address overheating. |
| ***powerPrefIndication***  Value *lowPowerConsumption* indicates the UE prefers a configuration that is primarily optimised for power saving. Otherwise the value is set to *normal*. |
| ***priorityInfoSL***  Indicates the traffic priority (i.e., PPPP) associated with the reported traffic pattern for V2X sidelink communication. |
| ***reducedCCsDL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of downlink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC. |
| ***reducedCCsUL***  Indicates the UE's preference on reduced configuration corresponding to the maximum number of uplink SCells indicated by the field, to address overheating. This maximum number includes both SCells of E-UTRA and PSCell/SCells of NR in (NG)EN-DC. |
| ***reducedUE-CategoryDL, reducedUE-CategoryUL***  Indicates that UE prefers a configuration corresponding to the reduced UE category, to address overheating. The reduced UE DL category and reduced UE UL category should be indicated according to supported combinations for UE UL and DL Categories, see TS 36.306 [5], Table 4.1A-6. |
| ***reliabilityInfoSL***  Indicates the traffic reliability (i.e., PPPR) associated with the reported traffic pattern for V2X sidelink communication. |
| ***rlm-Event***  This field provides the RLM event ("early-out-of-sync" or "early-in-sync"). |
| ***rlm-Report***  This field provides the RLM report for BL UEs and UEs in CE. |
| ***sps-AssistanceInformation***  Indicates the UE assistance information to assist E-UTRAN to configure SPS. |
| ***timingOffset***  This field indicates the estimated timing for a packet arrival in a SL/UL logical channel. Specifically, the value indicates the timing offset with respect to subframe#0 of SFN#0 in milliseconds. |
| ***trafficDestination***  Indicates the destination associated with the reported traffic pattern for V2X sidelink communication. |
| ***trafficPatternInfoListSL***  This field provides the traffic characteristics of sidelink logical channel(s) that are setup for V2X sidelink communication. If *trafficPatternInfoListSL-v1530* is included*,* it includes the same number of entries, and listed in the same order, as in*trafficPatternInfoListSL-r14*. |
| ***trafficPatternInfoListUL***  This field provides the traffic characteristics of uplink logical channel(s). |
| ***trafficPeriodicity***  This field indicates the estimated data arrival periodicity in a SL/UL logical channel. Value sf20 corresponds to 20 ms, sf50 corresponds to 50 ms and so on. |
| ***type1***  Indicates the preferred amount of increment/decrement to the connected mode DRX cycle length with respect to the current configuration. Value in number of milliseconds. Value ms40 corresponds to 40 milliseconds, msMinus40 corresponds to -40 milliseconds and so on. |
| ***type2***  Indicates the preferred amount of increment/decrement to the coverage enhancement configuration with respect to the current configuration so that the Uu air interface delay changes by the indicated amount. Value in number of milliseconds. Value ms24 corresponds to 24 milliseconds, msMinus24 corresponds to -24 milliseconds and so on. |
| ***ul-Preference***  Indicates UE's preference on configuration of maximum PUSCH bandwidth. The value mhz1dot4 corresponds to CE mode usage in 1.4MHz bandwidth, and mhz5 corresponds to CE mode usage in 5MHz bandwidth. |

## 6.3 RRC information elements

### 6.3.4 Mobility control information elements

#### – *ConditionalReconfiguration*

The IE *ConditionalReconfiguration* is used to add, modify or release the configuration of a conditional handover, conditional PSCell addition or inter-SN conditional PSCell change per target candidate cell.

*ConditionalReconfiguration* information element

-- ASN1START

ConditionalReconfiguration-r16 ::= SEQUENCE {

condReconfigurationToAddModList-r16 CondReconfigurationToAddModList-r16 OPTIONAL, -- Need ON

condReconfigurationToRemoveList-r16 CondReconfigurationToRemoveList-r16 OPTIONAL, -- Need ON

attemptCondReconf-r16 ENUMERATED {true} OPTIONAL, -- Cond CHO

...

}

CondReconfigurationToRemoveList-r16 ::= SEQUENCE (SIZE (1..maxCondConfig-r16)) OF CondReconfigurationId-r16

-- ASN1STOP

| *ConditionalReconfiguration* field descriptions |
| --- |
| ***attemptCondReconf***  If present, the UE shall perform conditional reconfiguration if selected cell is a target candidate cell and it is the first cell selection after failure as described in 5.3.7.3. |
| ***condReconfigurationToAddModList***  List of conditional reconfigurations (i.e. conditional handover, conditional PSCell addition or inter-SN conditional PSCell change) to add and/or modify. |
| ***condReconfigurationToRemoveList***  List of conditional reconfigurations (i.e. conditional handover, conditional PSCell addition or inter-SN conditional PSCell change) to remove. |

| Conditional presence | Explanation |
| --- | --- |
| *CHO* | The field is optional present, Need OR, if the UE is configured with at least a candidate cell for CHO. Otherwise the field is not present. |

#### – *ConditionalReconfigurationId*

The IE *ConditionalReconfigurationId* is used to identify a conditional reconfiguration (e.g. CHO, CPA or inter-SN CPC).

*ConditionalReconfigurationId* information element

-- ASN1START

CondReconfigurationId-r16 ::= INTEGER (1.. maxCondConfig-r16)

-- ASN1STOP

#### – *CondReconfigurationToAddModList*

The IE *CondReconfigurationToAddModList* concerns a list of conditional reconfigurations (i.e. conditional handover, conditional PSCell addition or inter-SN conditional PSCell change) to add or modify, for each entry the *measId* (associated to the triggering condition configuration) and the associated *RRCConnectionReconfiguration*.

*CondReconfigurationToAddModList* information element

-- ASN1START

CondReconfigurationToAddModList-r16 ::= SEQUENCE (SIZE (1.. maxCondConfig-r16)) OF CondReconfigurationAddMod-r16

CondReconfigurationAddMod-r16 ::= SEQUENCE {

condReconfigurationId-r16 CondReconfigurationId-r16,

triggerCondition-r16 SEQUENCE (SIZE (1..2)) OF MeasId

OPTIONAL, -- Need ON

condReconfigurationToApply-r16 OCTET STRING (CONTAINING RRCConnectionReconfiguration)

OPTIONAL,-- Cond CondReconfigurationAdd

... [[

triggerConditionSN-r17 OCTET STRING OPTIONAL -- Need ON

]]

}

-- ASN1STOP

| *CondReconfigurationToAddMod* field descriptions |
| --- |
| ***condReconfigurationToApply***  The RRCConnectionReconfiguration message to be applied when the condition(s) are fulfilled. The *RRCConnectionReconfiguration* in *condReconfigurationToApply* cannot contain a target node SCG configuration for CHO. For CPAC, the *RRCConnectionReconfiguration* message contained in *condReconfigurationToApply* cannot contain the field *scg-State*. |
| ***triggerCondition***  The condition that needs to be fulfilled in order to trigger the execution of a conditional reconfiguration for CHO, CPA or MN initiated inter-SN CPC. When configuring two triggering events (MeasIds) for a candidate cell, the network ensures that both refer to the same *measObject*. For each *condReconfigurationId*, the network always configures either *triggerCondition* or *triggerConditionSN* (not both). |
| ***triggerConditionSN***  Includes the NR *CondReconfigExecCondSN* as specified in TS 38.331 [82]. For each *condReconfigurationId*, the network always configures either *triggerCondition* or *triggerConditionSN* (not both). The field is applied to the case of SN initiated inter-SN CPC. |

| Conditional presence | Explanation |
| --- | --- |
| *CondReconfigurationAdd* | The field is mandatory present if a *condReconfigurationId* is being added. Otherwise it is optional, need ON. |

### 6.3.5 Measurement information elements

#### – *ReportConfigInterRAT*

The IE *ReportConfigInterRAT* specifies criteria for triggering of an inter-RAT measurement reporting event or of a CPA or MN initiated inter-SN CPC event. The inter-RAT measurement reporting events for NR, UTRAN, GERAN and CDMA2000 are labelled B*N* with *N* equal to 1, 2 and so on. The inter-RAT measurement reporting events for WLAN are labelled W*N* with *N* equal to 1, 2 and so on.

Event B1: Neighbour becomes better than absolute threshold;

Event B2: PCell becomes worse than absolute threshold1 AND Neighbour becomes better than another absolute threshold2.

Event W1: WLAN becomes better than a threshold;

Event W2: All WLAN inside WLAN mobility set become worse than a threshold1 and a WLAN outside WLAN mobility set becomes better than a threshold2;

Event W3: All WLAN inside WLAN mobility set become worse than a threshold;

CondEvent B1: Conditional reconfigutation candidate becomes better than absolute threshold.

The b1 and b2 event thresholds for CDMA2000 are the CDMA2000 pilot detection thresholds are expressed as an unsigned binary number equal to [-2 x 10 log 10 Ec/Io] in units of 0.5dB, see C.S0005 [25] for details.

*ReportConfigInterRAT* information element

-- ASN1START

ReportConfigInterRAT ::= SEQUENCE {

triggerType CHOICE {

event SEQUENCE {

eventId CHOICE {

eventB1 SEQUENCE {

b1-Threshold CHOICE {

b1-ThresholdUTRA ThresholdUTRA,

b1-ThresholdGERAN ThresholdGERAN,

b1-ThresholdCDMA2000 ThresholdCDMA2000

}

},

eventB2 SEQUENCE {

b2-Threshold1 ThresholdEUTRA,

b2-Threshold2 CHOICE {

b2-Threshold2UTRA ThresholdUTRA,

b2-Threshold2GERAN ThresholdGERAN,

b2-Threshold2CDMA2000 ThresholdCDMA2000

}

},

...,

eventW1-r13 SEQUENCE {

w1-Threshold-r13 WLAN-RSSI-Range-r13

},

eventW2-r13 SEQUENCE {

w2-Threshold1-r13 WLAN-RSSI-Range-r13,

w2-Threshold2-r13 WLAN-RSSI-Range-r13

},

eventW3-r13 SEQUENCE {

w3-Threshold-r13 WLAN-RSSI-Range-r13

},

eventB1-NR-r15 SEQUENCE {

b1-ThresholdNR-r15 ThresholdNR-r15,

reportOnLeave-r15 BOOLEAN

},

eventB2-NR-r15 SEQUENCE {

b2-Threshold1-r15 ThresholdEUTRA,

b2-Threshold2NR-r15 ThresholdNR-r15,

reportOnLeave-r15 BOOLEAN

}

},

hysteresis Hysteresis,

timeToTrigger TimeToTrigger

},

periodical SEQUENCE {

purpose ENUMERATED {

reportStrongestCells,

reportStrongestCellsForSON,

reportCGI}

}

},

maxReportCells INTEGER (1..maxCellReport),

reportInterval ReportInterval,

reportAmount ENUMERATED {r1, r2, r4, r8, r16, r32, r64, infinity},

...,

[[ si-RequestForHO-r9 ENUMERATED {setup} OPTIONAL -- Cond reportCGI

]],

[[ reportQuantityUTRA-FDD-r10 ENUMERATED {both} OPTIONAL -- Need OR

]],

[[ includeLocationInfo-r11 BOOLEAN OPTIONAL -- Need ON

]],

[[ b2-Threshold1-v1250 CHOICE {

release NULL,

setup RSRQ-Range-v1250

} OPTIONAL -- Need ON

]],

[[ reportQuantityWLAN-r13 ReportQuantityWLAN-r13 OPTIONAL -- Need ON

]],

[[ reportAnyWLAN-r14 BOOLEAN OPTIONAL -- Need ON

]],

[[ reportQuantityCellNR-r15 ReportQuantityNR-r15 OPTIONAL, -- Need ON

maxReportRS-Index-r15 INTEGER (0..maxRS-IndexReport-r15) OPTIONAL, -- Need ON

reportQuantityRS-IndexNR-r15 ReportQuantityNR-r15 OPTIONAL, -- Need ON

reportRS-IndexResultsNR BOOLEAN OPTIONAL, -- Need ON

reportSFTD-Meas-r15 ENUMERATED {pSCell, neighborCells } OPTIONAL -- Need ON

]],

[[

useAutonomousGapsNR-r16 ENUMERATED {setup} OPTIONAL, -- Cond reportCGI-NR

measRSSI-ReportConfigNR-r16 MeasRSSI-ReportConfig-r13 OPTIONAL -- Need ON

]] ,

[[condReconfigurationTriggerNR-r17 CondReconfigurationTriggerNR-r17 OPTIONAL-- Need ON

]]

}

CondReconfigurationTriggerNR-r17 ::= SEQUENCE {

condEventId-r17 CHOICE {

condEventB1-NR-r17 SEQUENCE {

b1-ThresholdNR-r17 ThresholdNR-r15,

hysteresis-r17 Hysteresis,

timeToTrigger-r17 TimeToTrigger

},

...

}

}

ThresholdUTRA ::= CHOICE{

utra-RSCP INTEGER (-5..91),

utra-EcN0 INTEGER (0..49)

}

ThresholdGERAN ::= INTEGER (0..63)

ThresholdCDMA2000 ::= INTEGER (0..63)

ReportQuantityNR-r15::= SEQUENCE {

ss-rsrp BOOLEAN,

ss-rsrq BOOLEAN,

ss-sinr BOOLEAN

}

ReportQuantityWLAN-r13 ::= SEQUENCE {

bandRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR

carrierInfoRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR

availableAdmissionCapacityRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR

backhaulDL-BandwidthRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR

backhaulUL-BandwidthRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR

channelUtilizationRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR

stationCountRequestWLAN-r13 ENUMERATED {true} OPTIONAL, -- Need OR

...

}

-- ASN1STOP

| *ReportConfigInterRAT* field descriptions |
| --- |
| ***availableAdmissionCapacityRequestWLAN***  The value true indicates that the UE shall include, if available, WLAN Available Admission Capacity in measurement reports. |
| ***backhaulDL-BandwidthRequestWLAN***  The value true indicates that the UE shall include, if available, WLAN Backhaul Downlink Bandwidth in measurement reports. |
| ***backhaulUL-BandwidthRequestWLAN***  The value true indicates that the UE shall include, if available, WLAN Backhaul Uplink Bandwidth in measurement reports. |
| ***bandRequestWLAN***  The value true indicates that the UE shall include WLAN band in measurement reports. |
| ***bN-ThresholdM***  Threshold to be used in inter RAT measurement report triggering condition for event number bN. If multiple thresholds are defined for event number bN, the thresholds are differentiated by M. |
| ***carrierInfoRequestWLAN***  The value true indicates that the UE shall include, if available, WLAN Carrier Information in measurement reports. |
| ***channelUtilizationRequest-WLAN***  The value true indicates that the UE shall include, if available, WLAN Channel Utilization in measurement reports. |
| ***condReconfigurationTriggerNR***  The conditional reconfiguration trigger event that is used for CPA or MN initiated inter-SN CPC. |
| ***condEventId***  Choice of conditional reconfiguration event triggered criteria. |
| ***eventId***  Choice of inter-RAT event triggered reporting criteria. |
| ***maxReportCells***  Max number of cells, excluding the serving cell, to include in the measurement report. In case *purpose* is set to *reportStrongestCellsForSON* only value 1 applies. For inter-RAT WLAN, it is the maximum number of WLANs to include in the measurement report. |
| ***maxReportRS-Index***  Max number of RS indices to include in the measurement report. E-UTRAN configures value 0 only if it sets *reportRS-IndexResultsNR* to *FALSE*. |
| ***measRSSI-ReportConfigNR***  If this field is present, the UE shall perform measurement reporting for RSSI and channel occupancy and ignore the *triggerQuantity*, *reportQuantity* and *maxReportCells* fields. E-UTRAN sets this field to *true* only when setting *triggerType* to *periodical* and *purpose* to *reportStrongestCells*. |
| ***Purpose***  *reportStrongestCellsForSON* applies only in case *reportConfig* is linked to a *measObject* set to *measObjectUTRA* or *measObjectCDMA2000*. |
| ***reportAmount***  Number of measurement reports applicable for *triggerType* *event* as well as for *triggerType* *periodical*. In case *purpose* is set to *reportCGI* or reportStrongestCellsForSON only value 1 applies. In case *reportSFTD-Meas* is configured, only value 1 applies. |
| ***reportAnyWLAN***  Indicates UE to report any WLAN AP meeting the triggering requirements, even if it is not included in the corresponding *MeasObjectWLAN*. |
| ***reportOnLeave***  Indicates whether or not the UE shall initiate the measurement reporting procedure when the leaving condition is met for a cell in *cellsTriggeredList*, as specified in 5.5.4.1. |
| ***reportQuantityUTRA-FDD***  The quantities to be included in the UTRA measurement report***.*** The value *both* means that both the cpich RSCP and cpich EcN0 quantities are to be included in the measurement report. |
| ***reportRS-IndexResultsNR***  Indicates whether or not the UE shall report beam measurement result of NR in the measurement report. |
| ***reportSFTD-Meas***  If this field is set to *pSCell*, the UE shall measure SFTD between the PCell and the PSCell as specified in TS 38.215 [89], in this case, the frequency of PSCell is configured in the corresponding *measObjectNR*. If the field is set to *neighborCells*, the UE shall measure SFTD between the PCell and the NR cells included in *cellsForWhichToReportSFTD* (if configured in the corresponding *measObjectNR*) or between the PCell and up to 3 strongest detected NR cells (if *cellsForWhichToReportSFTD* is not configured in the corresponding *measObjectNR*), as specified in TS 38.215 [89]. E-UTRAN only includes this field when setting *triggerType* to *periodical* and *purpose* to *reportStrongestCells*. If included, the UE shall ignore the *maxReportCells* field. |
| ***si-RequestForHO***  The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the neighbour cell, applies a different value for T321, and includes different fields in the measurement report. EUTRAN does not configure the field if *reportConfig* is linked to a *measObject* set to *measObjectNR*. |
| ***ss-rsrp***  Indicates whether or not the UE shall report SS-RSRP quantity of NR. |
| ***ss-rsrq***  Indicates whether or not the UE shall report SS-RSRQ quantity of NR. |
| ***ss-sinr***  Indicates whether or not the UE shall report SS-SINR quantity of NR. |
| ***stationCountRequestWLAN***  The value true indicates that the UE shall include, if available, WLAN Station Count in measurement reports. |
| ***b1-ThresholdGERAN, b2-Threshold2GERAN***  The actual value is field value – 110 dBm. |
| ***b1-ThresholdUTRA, b2-Threshold2UTRA***  *utra-RSCP* corresponds to CPICH\_RSCP in TS 25.133 [29] for FDD and P-CCPCH\_RSCP in TS 25.123 [30] for TDD. *utra-EcN0* corresponds to CPICH\_Ec/No in TS 25.133 [29] for FDD, and is not applicable for TDD.  For *utra-RSCP*: The actual value is field value – 115 dBm.  For *utra-EcN0*: The actual value is (field value – 49)/2 dB. |
| ***timeToTrigger***  Time during which specific criteria for the event needs to be met in order to trigger a measurement report or to execute the conditional reconfiguration evaluation. |
| ***triggerType***  E-UTRAN does not configure the value *periodical* in case *reportConfig* is linked to a *measObject* set to *measObjectWLAN*. |
| ***useAutonomousGapsNR***  The field applies to the *reportCGI* functionality, and when the field is included, the UE is allowed to use autonomous gaps in acquiring system information from the NR neighbour cell, applies the corresponding value for T321, EUTRAN can configure the field only if *reportConfig* is linked to a *measObject* set to *measObjectNR*. | |

| Conditional presence | Explanation |
| --- | --- |
| *reportCGI* | The field is optional, need OR, in case *purpose* is included and set to *reportCGI*; otherwise the field is not present and the UE shall delete any existing value for this field. |
| *reportCGI-NR* | The field is optional, need OR, in case *purpose* is included and set to *reportCGI,* and *reportConfig* is linked to a *measObject* set to *measObjectNR*, otherwise the field is not present and the UE shall delete any existing value for this field. | |

### 6.3.6 Other information elements

#### – *OtherConfig*

The IE *OtherConfig* contains configuration related to other configuration.

*OtherConfig* information element

-- ASN1START

OtherConfig-r9 ::= SEQUENCE {

reportProximityConfig-r9 ReportProximityConfig-r9 OPTIONAL, -- Need ON

...,

[[ idc-Config-r11 IDC-Config-r11 OPTIONAL, -- Need ON

powerPrefIndicationConfig-r11 PowerPrefIndicationConfig-r11 OPTIONAL, -- Need ON

obtainLocationConfig-r11 ObtainLocationConfig-r11 OPTIONAL -- Need ON

]],

[[ bw-PreferenceIndicationTimer-r14 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20,

s30, s60, s90, s120, s300, s600, spare3,

spare2, spare1} OPTIONAL, -- Need OR

sps-AssistanceInfoReport-r14 BOOLEAN OPTIONAL, -- Need ON

delayBudgetReportingConfig-r14 CHOICE{

release NULL,

setup SEQUENCE{

delayBudgetReportingProhibitTimer-r14 ENUMERATED {

s0, s0dot4, s0dot8,

s1dot6, s3, s6, s12, s30}

}

} OPTIONAL, -- Need ON

rlm-ReportConfig-r14 CHOICE {

release NULL,

setup SEQUENCE{

rlmReportTimer-r14 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30,

s60, s90, s120, s300, s600, spare3, spare2, spare1},

rlmReportRep-MPDCCH-r14 ENUMERATED {setup} OPTIONAL -- Need OR

}

} OPTIONAL -- Need ON

]],

[[ overheatingAssistanceConfig-r14 CHOICE{

release NULL,

setup SEQUENCE{

overheatingIndicationProhibitTimer-r14 ENUMERATED {s0, s0dot5, s1, s2, s5, s10,

s20, s30, s60, s90, s120, s300, s600,

spare3, spare2, spare1}

}

} OPTIONAL -- Need ON

]],

[[ measConfigAppLayer-r15 CHOICE{

release NULL,

setup SEQUENCE{

measConfigAppLayerContainer-r15 OCTET STRING (SIZE(1..1000)),

serviceType-r15 ENUMERATED {qoe, qoemtsi, spare6, spare5, spare4, spare3, spare2, spare1}

}

} OPTIONAL, -- Need ON

ailc-BitConfig-r15 BOOLEAN OPTIONAL, -- Need ON

bt-NameListConfig-r15 BT-NameListConfig-r15 OPTIONAL, --Need ON

wlan-NameListConfig-r15 WLAN-NameListConfig-r15 OPTIONAL --Need ON

]],

[[ overheatingAssistanceConfigForSCG-r16 BOOLEAN OPTIONAL -- Cond overheating

]],

[[ scg-DeactivationPreferenceConfig-r17 SCG-DeactivationPreferenceConfig-r17 OPTIONAL, Need ON

]]

}

IDC-Config-r11 ::= SEQUENCE {

idc-Indication-r11 ENUMERATED {setup} OPTIONAL, -- Need OR

autonomousDenialParameters-r11 SEQUENCE {

autonomousDenialSubframes-r11 ENUMERATED {n2, n5, n10, n15,

n20, n30, spare2, spare1},

autonomousDenialValidity-r11 ENUMERATED {

sf200, sf500, sf1000, sf2000,

spare4, spare3, spare2, spare1}

} OPTIONAL, -- Need OR

...,

[[ idc-Indication-UL-CA-r11 ENUMERATED {setup} OPTIONAL -- Cond idc-Ind

]],

[[ idc-HardwareSharingIndication-r13 ENUMERATED {setup} OPTIONAL -- Need OR

]],

[[ idc-Indication-MRDC-r15 CHOICE{

release NULL,

setup CandidateServingFreqListNR-r15

} OPTIONAL -- Cond idc-Ind

]]

}

ObtainLocationConfig-r11 ::= SEQUENCE {

obtainLocation-r11 ENUMERATED {setup} OPTIONAL -- Need OR

}

PowerPrefIndicationConfig-r11 ::= CHOICE{

release NULL,

setup SEQUENCE{

powerPrefIndicationTimer-r11 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20,

s30, s60, s90, s120, s300, s600, spare3,

spare2, spare1}

}

}

ReportProximityConfig-r9 ::= SEQUENCE {

proximityIndicationEUTRA-r9 ENUMERATED {enabled} OPTIONAL, -- Need OR

proximityIndicationUTRA-r9 ENUMERATED {enabled} OPTIONAL -- Need OR

}

CandidateServingFreqListNR-r15 ::= SEQUENCE (SIZE (1..maxFreqIDC-r11)) OF ARFCN-ValueNR-r15

SCG-DeactivationPreferenceConfig-r17 ::= SEQUENCE {

release NULL,

setup SEQUENCE{

scg-DeactivationPreferenceProhibitTimer-r17

ENUMERATED {s0, s1, s2, s4, s8, s10, s20, s30

s60, s120, s180, s240, s300, s600, s900, s1800}

}

}

-- ASN1STOP

| *OtherConfig* field descriptions |
| --- |
| ***ailc-BitConfig***  Indicates whether the UE is allowed to provide assistance information bit for local cache. If configured, the UE shall only apply to a DRB configured with 12-bit PDCP SN format as specified in TS 36.323 [8]. |
| ***autonomousDenialSubframes***  Indicates the maximum number of the UL subframes for which the UE is allowed to deny any UL transmission. Value n2 corresponds to 2 subframes, n5 to 5 subframes and so on. E-UTRAN does not configure autonomous denial for frequencies on which SCG cells are configured. |
| ***autonomousDenialValidity***  Indicates the validity period over which the UL autonomous denial subframes shall be counted. Value sf200 corresponds to 200 subframes, sf500 corresponds to 500 subframes and so on. |
| ***bw-PreferenceIndicationTimer***  Prohibit timer for bandwidth preference indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on. |
| ***CandidateServingFreqListNR***  Indicates for each candidate NR serving cells, the center frequency around which UE is requested to report IDC issues for MR-DC. |
| ***delayBudgetReportingProhibitTimer***  Prohibit timer for delay budget reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot4 means prohibit timer is set to 0.4 second, and so on. |
| ***idc-HardwareSharingIndication***  The field is used to indicate whether the UE is allowed indicate in *InDeviceCoexIndication* that the cause of the problems are due to hardware sharing, and whether the UE is allowed to omit the TDM assistance information. |
| ***idc-Indication***  The field is used to indicate whether the UE is configured to initiate transmission of the *InDeviceCoexIndication* message to the network. |
| ***idc-Indication-MRDC***  The field is used to indicate whether the UE is configured to provide IDC indications for MR-DC using the InDeviceCoexIndication message. |
| ***idc-Indication-UL-CA***  The field is used to indicate whether the UE is configured to provide IDC indications for UL CA using the *InDeviceCoexIndication* message. |
| ***measConfigAppLayerContainer***  The field contains configuration of application layer measurements, see Annex L (normative) in TS 26.247 [90] and clause 16.5 in TS 26.114 [99]. |
| ***serviceType***  Indicates the type of application layer measurement. Value qoe indicates Quality of Experience Measurement Collection for streaming services, value qoemtsi indicates Enhanced Quality of Experience Measurement Collection for MTSI. |
| ***obtainLocation***  Requests the UE to attempt to have detailed location information available using GNSS. E-UTRAN configures the field only if *includeLocationInfo* is configured for one or more measurements. |
| ***overheatingAssistanceConfig***  Configuration for the UE to report assistance information to inform the eNB about UE detected internal overheating. |
| ***overheatingAssistanceConfigForSCG***  The field is used to indicate whether the UE is configured to provide overheating assistance information for NR SCG. E-UTRAN configures value *TRUE* only when the UE is configured with an NR SCG. |
| ***overheatingIndicationProhibitTimer***  Prohibit timer for overheating assistance information reporting. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on. |
| ***powerPrefIndicationTimer***  Prohibit timer for Power Preference Indication reporting. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on. |
| ***reportProximityConfig***  Indicates, for each of the applicable RATs (EUTRA, UTRA), whether or not proximity indication is enabled for CSG member cell(s) of the concerned RAT. Note. |
| ***rlmReportTimer***  Prohibit timer for RLM event reporting, i.e. "early-out-of-sync" and "early-in-sync" event reporting, as specified in clause 5.6.10. Value in seconds. Value s0 means prohibit timer is set to 0 second, value s0dot5 means prohibit timer is set to 0.5 second, value s1 means prohibit timer is set to 1 second and so on. |
| ***rlmReportRep-MPDCCH***  The field is used to indicate whether the UE is configured to report excess repetitions on MPDCCH. |
| ***sps-AssistanceInfoReport***  Value TRUE indicates that the UE is allowed to report SPS-AssistanceInformation. If the *sl-V2X-SPS-Config* is provided by an E-UTRA *RRCConnectionReconfiguration* message embedded within an NR *RRCReconfiguration* for V2X sidelink communication (i.e. *sl-ConfigDedicatedEUTRA*) as in TS 38.331 [82], the network should configure the *otherConfig* and set this field to TRUE. |

NOTE: Enabling/ disabling of proximity indication includes enabling/ disabling of the related functionality e.g. autonomous search in connected mode.

| Conditional presence | Explanation |
| --- | --- |
| *idc-Ind* | The field is optionally present if *idc-Indication* is present, need OR. Otherwise the field is not present. |
| *overheating* | The field is optionally present, need ON, if *overheatingAssistanceConfig* is included and set to *setup*; otherwise, the field is not present and the UE shall delete any existing value for this field. |

# 7 Variables and constants

## 7.1 UE variables

NOTE: To facilitate the specification of the UE behavioural requirements, UE variables are represented using ASN.1. Unless explicitly specified otherwise, it is however up to UE implementation how to store the variables. The optionality of the IEs in ASN.1 is used only to indicate that the values may not always be available.

#### – *VarConditionalReconfiguration*

The UE variable *VarConditionalReconfiguration* includes the accumulated configuration of conditional reconfigurations (i.e. conditional handovers, conditional PSCell addition or inter-SN conditional PSCell change) including the configurations of triggering conditions to be monitored and the stored *RRCConnectionReconfiguration* per target candidate, to be applied upon the fulfilment of the associated triggering conditions.

*VarConditionalReconfiguration* UE variable

-- ASN1START

VarConditionalReconfiguration ::= SEQUENCE {

-- Conditional reconfigurations list

condReconfigurationList-r16 CondReconfigurationToAddModList-r16

OPTIONAL

}

-- ASN1STOP

## 7.3 Timers

### 7.3.1 Timers (Informative)

| Timer | Start | Stop | At expiry |
| --- | --- | --- | --- |
| T300  NOTE1 | Transmission of *RRCConnectionRequest* or *RRCConnectionResumeRequest* or *RRCEarlyDataRequest* | Reception of *RRCConnectionSetup*, *RRCConnectionReject* or *RRCConnectionResume* or *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT, cell re-selection and upon abortion of connection establishment by upper layers | Perform the actions as specified in 5.3.3.6 |
| T301  NOTE1 | Transmission of *RRCConnectionReestabilshmentRequest* | Reception of *RRCConnectionReestablishment* or *RRCConnectionReestablishmentReject* message as well as when the selected cell becomes unsuitable | Go to RRC\_IDLE |
| T302 | Reception of *RRCConnectionReject* while performing RRC connection establishment or reception of *RRCConnectionRelease* including *waitTime* | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR, or upon reception of *RRCConnectionReject* message for E-UTRA/5GC. | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T303 | Access barred while performing RRC connection establishment for mobile originating calls | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR. | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T304 | Reception of *RRCConnectionReconfiguration* message including the *MobilityControl Info* or  reception of *MobilityFromEUTRACommand* message including *CellChangeOrder* or upon conditional reconfiguration execution i.e. when applying a stored *RRCConnectionReconfiguration* message including the *MobilityControl Info*. | Criterion for successful completion of handover within E-UTRA, handover to E-UTRA or cell change order is met (the criterion is specified in the target RAT in case of inter-RAT) | In case of cell change order from E-UTRA or intra E-UTRA handover, initiate the RRC connection re-establishment procedure; In case of handover to E-UTRA, perform the actions defined in the specifications applicable for the source RAT; If any DAPS bearer is configured and if there is no RLF in source PCell, initiate the failure information procedure. |
| T305 | Access barred while performing RRC connection establishment for mobile originating signalling | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR. | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T306 | Access barred while performing RRC connection establishment for mobile originating CS fallback. | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR. | Inform upper layers about barring alleviation as specified in 5.3.3.7 |
| T307 | Reception of *RRCConnectionReconfiguration* message including *MobilityControlInfoSCG* | Successful completion of random access on the PSCell, upon initiating re-establishment and upon SCG release | Initiate the SCG failure information procedure as specified in 5.6.13. |
| T308 | Access barred due to ACDC while performing RRC connection establishment subject to ACDC | Upon entering RRC\_CONNECTED and upon cell re-selection, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR. | Inform upper layers about barring alleviation for ACDC as specified in 5.3.3.7 |
| T309  NOTE1 | When access attempt is barred at access barring check for an Access Category. The UE shall maintain one instance of this timer per Access Category. | Upon entering RRC\_CONNECTED, upon cell (re)selection, upon reception of *RRCConnectionRelease,* upon change of PCell while in RRC\_CONNECTED, or upon reception of *MobilityFromEUTRACommand*. | Perform the actions as specified in 5.3.16.4. |
| T310  NOTE1  NOTE2 | Upon detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive out-of-sync indications from lower layers | Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure, upon initiating the connection re-establishment procedure, and upon initiating the MCG failure information procedure. | If security is not activated and the UE is not a NB-IoT UE that supports RRC connection re-establishment for the Control Plane CIoT EPS/5GS optimisation: go to RRC\_IDLE else: initiate the MCG failure information procedure as specified in 5.6.26 or the connection re-establishment procedure as specified in 5.3.7. |
| T311  NOTE1 | Upon initiating the RRC connection re-establishment procedure | Selection of a suitable E-UTRA cell or a cell using another RAT. | Go to RRC\_IDLE |
| T312  NOTE2 | Upon triggering a measurement report for a measurement identity for which T312 has been configured and *useT312* has been set to true, while T310 is running | Upon receiving N311 consecutive in-sync indications from lower layers, upon triggering the handover procedure, upon initiating the connection re-establishment procedure, upon initiating the MCG failure information procedure, and upon the expiry of T310 | Initiate the MCG failure information procedure as specified in 5.6.26 or the connection re-establishment procedure as specified in 5.3.7. |
| T313  NOTE2 | Upon detecting physical layer problems for the PSCell i.e. upon receiving N313 consecutive out-of-sync indications from lower layers | Upon receiving N314 consecutive in-sync indications from lower layers for the PSCell, upon initiating the connection re-establishment procedure, upon SCG release and upon receiving *RRCConnectionReconfiguration* including *MobilityControlInfoSCG* | Inform E-UTRAN about the SCG radio link failure by initiating the SCG failure information procedure as specified in 5.6.13. |
| T316 | Upon transmission of the *MCGFailureInformation* message | Upon receiving *RRCConnectionRelease*, *RRCConnectionReconfiguration* with *mobilityControlInfo, MobilityFromEUTRACommand*, or upon initiaitng the re-establishment procedure, | Perform the actions as specified in 5.6.26.5. |
| T320 | Upon receiving *t320* or upon cell (re)selection to E-UTRA from another RAT with validity time configured for dedicated priorities (in which case the remaining validity time is applied). | Upon entering RRC\_CONNECTED, when PLMN selection is performed on request by NAS, when the UE enters RRC\_IDLE from RRC\_INACTIVE, or upon cell (re)selection to another RAT (in which case the timer is carried on to the other RAT) , or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR. | Discard the cell reselection priority information provided by dedicated signalling. |
| T321 | Upon receiving *measConfig* including a *reportConfig* with the *purpose* set to *reportCGI* | Upon acquiring the information needed to set all fields of *cellGlobalId* for the requested cell, upon receiving *measConfig* that includes removal of the *reportConfig* with the *purpose* set to *reportCGI* and upon detecting that a cell is not broadcasting SIB1. | Initiate the measurement reporting procedure, stop performing the related measurements and remove the corresponding *measId* |
| T322  NOTE1 | Upon receiving *redirectedCarrierOffsetDedicated* included in *RedirectedCarrierInfo* | Upon entering RRC\_CONNECTED, when PLMN selection is performed on request by NAS, or upon cell (re)selection to another frequency or RAT, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR. | Release *redirectedCarrierOffsetDedicated*. |
| T323 | Upon receiving *t323*. | Upon entering RRC\_CONNECTED, when PLMN selection is performed on request by NAS, when the UE enters RRC\_IDLE from RRC\_INACTIVE, or upon cell (re)selection to another RAT, or upon reception of *RRCEarlyDataComplete* or *RRCConnectionRelease* for UP-EDT or *RRCConnectionRelease* for UP transmission using PUR. | Discard the *altFreqPriorities* provided by dedicated signalling. UE shall apply the cell reselection priority information broadcast in the system information via *cellReselectionPriority* and *cellReselectionSubPriority*. |
| T325 | Timer (re)started upon receiving *RRCConnectionReject* message with *deprioritisationTimer*. |  | Stop deprioritisation of all frequencies or E-UTRA signalled by *RRCConnectionReject.* |
| T330 | Upon receiving *LoggedMeasurementConfiguration* message | Upon log volume exceeding the suitable UE memory, upon initiating the release of *LoggedMeasurementConfiguration* procedure | Perform the actions specified in 5.6.6.4 |
| T331 | Upon receiving *RRCConnectionRelease* message including *measIdleConfig.* | Upon receiving *RRCConnectionSetup, RRCConnectionResume, RRCConnectionRelease* with an idle/inactive measurement configuration or indication to release the configuration, if *validityArea* is configured, upon cell selection/reselection to a cell that does not belong to the *validityArea* (if configured)*,* or upon reselecting to an inter-RAT cell. | Perform the actions specified in 5.6.20.3. |
| T340  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *powerPrefIndication* set to *normal* | Upon releasing *powerPrefIndication* during the connection re-establishment procedure | No action. |
| T341  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *bw-Preference.* | Upon resuming an RRC connection or upon releasing *bw-Preference* during the connection re-establishment procedure | No action. |
| T342  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *delayBudgetReport*. | Upon releasing *delayBudgetReportingConfig* during the connection re-establishment and connection resume procedures | No action. |
| T350 | Upon entering RRC\_IDLE if *t350* has been received in wlan-OffloadInfo. | Upon entering RRC\_CONNECTED, or upon cell reselection. | Perform the actions specified in 5.6.12.4. |
| T351 | Reception of *RRCConnectionReconfiguration* message including the association*Timer* in *WLAN-MobilityConfig*. | Upon successful connection to WLAN, upon WLAN connection failure, upon leaving RRC\_CONNECTED, upon triggering the handover procedure, or upon initiating the connection re-establishment procedure. | Perform WLAN Connection Status Reporting specified in 5.6.15.2. |
| T360 | Upon performing the redistribution target selection as specified in TS 36.304 [4]. | Upon entering RRC\_CONNECTED, upon receiving a Paging message including *redistributionIndication*; upon reselecting a cell not belonging to the redistribution target. | Stop considering a frequency or cell to be redistribution target, and perform the redistribution target selection if the condition specified in TS 36.304 [4] is met. |
| T370 | Upon receiving *SL-DiscConfig* including a *discSysInfoToReportConfig* set to *setup.* | Upon initiating the transmission of *SidelinkUEInformation* including *discSysInfoReportFreqList*, upon receiving *SL-DiscConfig* including *discSysInfoToReportConfig* set to *release*, upon handover and re-establishment*.* | Release *discSysInfoToReportConfig*. |
| T314  NOTE2 | Upon early detecting physical layer problems for the PCell i.e. upon receiving N310 consecutive "early-out-of-sync" indications from lower layers. | Upon receiving N311 consecutive in-sync indications from lower layers for the PCell, upon triggering the handover procedure and upon initiating the connection re-establishment procedure | Initiate the UE Assistance Information procedure to report early detection of physical layer problems in accordance with 5.6.10. |
| T315  NOTE2 | Upon detecting physical layer improvements of the PCell i.e. upon receiving N311 consecutive "early-in-sync" indications from lower layers. | Upon receiving N310 consecutive "early-out-of-sync" indications from lower layers for the PCell. | Initiate the UE Assistance Information procedure to report detection of physical layer improvements in accordance with 5.6.10. |
| T343  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *RLM-Report* including *earlyOutOfSync*. | Upon initiating the connection re-establishment procedure | No action. |
| T344  NOTE2 | Upon transmitting *UEAssistanceInformation* message with *RLM-Report* including *earlyInSync*. | Upon initiating the connection re-establishment procedure | No action. |
| T345 | Upon transmitting *UEAssistanceInformation* message with *overheatingAssistance* | Upon releasing *overheatingAssistance* during the connection re-establishment procedure, or connection resume procedure. | No action. |
| T380 | Upon reception of *periodic-RNAU-timer* in RRCConnectionRelease. | Upon reception of *RRCConnectionResume*, *RRCConnectionRelease* or *RRCConnectionSetup*. | Initiate the RAN notification area update procedure |
| T346 | Upon transmitting UEAssistanceInformation message with *scg-DeactivationPreference* | Upon releasing *scg-DeactivationPreferenceConfig* during the RRC connection establishment or re-establishment procedures, or upon reconfiguration of *scg-DeactivationPreferenceConfig* to *release*. | No action. |
| NOTE1: Only the timers marked with "NOTE1" are applicable to NB-IoT.  NOTE2: The behaviour as specified in 7.3.2 applies. | | | |

### 7.3.2 Timer handling

When the UE applies zero value for a timer, the timer shall be started and immediately expire unless explicitly stated otherwise.

## 7.4 Constants

| Constant | Usage |
| --- | --- |
| N310 | Maximum number of consecutive "out-of-sync" or "early-out-of-sync" indications for the PCell received from lower layers |
| N311 | Maximum number of consecutive "in-sync" or "early-in-sync" indications for the PCell received from lower layers |
| N313 | Maximum number of consecutive "out-of-sync" indications for the PSCell received from lower layers |
| N314 | Maximum number of consecutive "in-sync" indications for the PSCell received from lower layers |

# 10 Radio information related interactions between network nodes

## 10.2 Inter-node RRC messages

### 10.2.2 Message definitions

#### – *HandoverPreparationInformation*

This message is used to transfer the E-UTRA RRC information used by the target eNB or target ng-eNB during handover preparation or UE context retrieval, e.g. in case of resume or re-establishment, including UE capability information.

Direction: source eNB/ source RAN to target eNB or target ng-eNB

*HandoverPreparationInformation* message

-- ASN1START

HandoverPreparationInformation ::= SEQUENCE {

criticalExtensions CHOICE {

c1 CHOICE{

handoverPreparationInformation-r8 HandoverPreparationInformation-r8-IEs,

spare7 NULL,

spare6 NULL, spare5 NULL, spare4 NULL,

spare3 NULL, spare2 NULL, spare1 NULL

},

criticalExtensionsFuture SEQUENCE {}

}

}

HandoverPreparationInformation-r8-IEs ::= SEQUENCE {

ue-RadioAccessCapabilityInfo UE-CapabilityRAT-ContainerList,

as-Config AS-Config OPTIONAL, -- Cond HO

rrm-Config RRM-Config OPTIONAL,

as-Context AS-Context OPTIONAL, -- Cond HO

nonCriticalExtension HandoverPreparationInformation-v920-IEs OPTIONAL

}

HandoverPreparationInformation-v920-IEs ::= SEQUENCE {

ue-ConfigRelease-r9 ENUMERATED {

rel9, rel10, rel11, rel12, v10j0, v11e0,

v1280, rel13, ..., rel14, rel15, rel16} OPTIONAL, -- Cond HO2

nonCriticalExtension HandoverPreparationInformation-v9d0-IEs OPTIONAL

}

HandoverPreparationInformation-v9d0-IEs ::= SEQUENCE {

lateNonCriticalExtension OCTET STRING (CONTAINING HandoverPreparationInformation-v9j0-IEs) OPTIONAL,

nonCriticalExtension HandoverPreparationInformation-v9e0-IEs OPTIONAL

}

-- Late non-critical extensions:

HandoverPreparationInformation-v9j0-IEs ::= SEQUENCE {

-- Following field is only for pre REL-10 late non-critical extensions

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension HandoverPreparationInformation-v10j0-IEs OPTIONAL

}

HandoverPreparationInformation-v10j0-IEs ::= SEQUENCE {

as-Config-v10j0 AS-Config-v10j0 OPTIONAL,

nonCriticalExtension HandoverPreparationInformation-v10x0-IEs OPTIONAL

}

HandoverPreparationInformation-v10x0-IEs ::= SEQUENCE {

-- Following field is only for late non-critical extensions from REL-10 to REL-12

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension HandoverPreparationInformation-v13c0-IEs OPTIONAL

}

HandoverPreparationInformation-v13c0-IEs ::= SEQUENCE {

as-Config-v13c0 AS-Config-v13c0 OPTIONAL,

-- Following field is only for late non-critical extensions from REL-13

nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- Regular non-critical extensions:

HandoverPreparationInformation-v9e0-IEs ::= SEQUENCE {

as-Config-v9e0 AS-Config-v9e0 OPTIONAL, -- Cond HO2

nonCriticalExtension HandoverPreparationInformation-v1130-IEs OPTIONAL

}

HandoverPreparationInformation-v1130-IEs ::= SEQUENCE {

as-Context-v1130 AS-Context-v1130 OPTIONAL, -- Cond HO2

nonCriticalExtension HandoverPreparationInformation-v1250-IEs OPTIONAL

}

HandoverPreparationInformation-v1250-IEs ::= SEQUENCE {

ue-SupportedEARFCN-r12 ARFCN-ValueEUTRA-r9 OPTIONAL, -- Cond HO3

as-Config-v1250 AS-Config-v1250 OPTIONAL, -- Cond HO2

nonCriticalExtension HandoverPreparationInformation-v1320-IEs OPTIONAL

}

HandoverPreparationInformation-v1320-IEs ::= SEQUENCE {

as-Config-v1320 AS-Config-v1320 OPTIONAL, -- Cond HO2

as-Context-v1320 AS-Context-v1320 OPTIONAL, -- Cond HO2

nonCriticalExtension HandoverPreparationInformation-v1430-IEs OPTIONAL

}

HandoverPreparationInformation-v1430-IEs ::= SEQUENCE {

as-Config-v1430 AS-Config-v1430 OPTIONAL, -- Cond HO2

makeBeforeBreakReq-r14 ENUMERATED {true} OPTIONAL, -- Cond HO2

nonCriticalExtension HandoverPreparationInformation-v1530-IEs OPTIONAL

}

HandoverPreparationInformation-v1530-IEs ::= SEQUENCE {

ran-NotificationAreaInfo-r15 RAN-NotificationAreaInfo-r15 OPTIONAL,

nonCriticalExtension HandoverPreparationInformation-v1540-IEs OPTIONAL

}

HandoverPreparationInformation-v1540-IEs ::= SEQUENCE {

sourceRB-ConfigIntra5GC-r15 OCTET STRING OPTIONAL, --Cond HO4

nonCriticalExtension HandoverPreparationInformation-v1610-IEs OPTIONAL

}

HandoverPreparationInformation-v1610-IEs ::= SEQUENCE {

as-Context-v1610 AS-Context-v1610 OPTIONAL, --Cond HO5

nonCriticalExtension HandoverPreparationInformation-v1620-IEs OPTIONAL

}

HandoverPreparationInformation-v1620-IEs ::= SEQUENCE {

as-Context-v1620 AS-Context-v1620 OPTIONAL, --Cond HO2

nonCriticalExtension HandoverPreparationInformation-v1630-IEs OPTIONAL

}

HandoverPreparationInformation-v1630-IEs ::= SEQUENCE {

as-Context-v1630 AS-Context-v1630 OPTIONAL, --Cond HO2

nonCriticalExtension HandoverPreparationInformation-v17xy-IEs OPTIONAL

}

HandoverPreparationInformation-v17xy-IEs ::= SEQUENCE {

as-Config-v17xy AS-Config-v17xy OPTIONAL, --Cond HO5 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- ASN1STOP

| *HandoverPreparationInformation* field descriptions |
| --- |
| ***as-Config***  The radio resource configuration. Applicable in case of intra-E-UTRA handover, resume or re-establishment. If the target receives an incomplete *MeasConfig* and/or *RadioResourceConfigDedicated* in the *as-Config*, the target eNB may decide to apply the full configuration option based on the *ue-ConfigRelease*. |
| ***as-Context***  Local E-UTRAN context required by the target eNB. |
| ***makeBeforeBreakReq***  To request the target eNB to add the *makeBeforeBreak* indication in the *mobilityControlInfo* in case of intra-frequency handover. |
| ***rrm-Config***  Local E-UTRAN context used depending on the target node's implementation, which is mainly used for the RRM purpose. May also be provided at inter-RAT handover from NR. |
| ***sourceRB-ConfigIntra5GC***  NR radio bearer config used at intra5GC handover, resume or re-establishment, as defined by *RadioBearerConfig* IE in TS 38.331 [82]. |
| ***ue-ConfigRelease***  Indicates the RRC protocol release or version applicable for the current UE configuration. This could be used by target eNB to decide if the full configuration approach should be used. If this field is not present, the target assumes that the current UE configuration is based on the release 8 version of RRC protocol. NOTE 1. |
| ***ue-RadioAccessCapabilityInfo***  For E-UTRA radio access capabilities, it is up to E-UTRA how the backward compatibility among *supportedBandCombinationReduced*, *supportedBandCombination* and *supportedBandCombinationAdd* is ensured. If *supportedBandCombinationReduced* and *supportedBandCombination*/*supportedBandCombinationAdd* are included into *ueCapabilityRAT-Container*, it can be assumed that the value of fields, *requestedBands*, *reducedIntNonContCombRequested* and *requestedCCsXL* are consistend with all supported band combination fields. NOTE 2 |
| ***ue-SupportedEARFCN***  Includes UE supported EARFCN of the handover target E-UTRA cell if the target E-UTRA cell belongs to multiple frequency bands. |

NOTE 1: The source typically sets the *ue-ConfigRelease* to the release corresponding with the current dedicated radio configuration. The source may however also consider the common radio resource configuration e.g. in case interoperability problems would appear if the UE temporary continues extensions of this part of the configuration in a target PCell not supporting them.

NOTE 2: The following table indicates per source RAT whether RAT capabilities are included or not.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Source RAT | E-UTRA capabilites | UTRA capabilities | GERAN capabilities | MR DC capabilities | NR capabilities |
| UTRAN | Included | May be included, ignored by eNB if received | May be included | Excluded | Excluded |
| GERAN CS | Excluded | May be included, ignored by eNB if received | Included | Excluded | Excluded |
| GERAN PS | Excluded | May be included, ignored by eNB if received | Included | Excluded | Excluded |
| E-UTRAN | May be included if UE Radio Capability ID as specified in 23.502 [102] is used for the UE. Included otherwise. | May be included | May be included | May be included | May be included |
| NR | May be included if UE Radio Capability ID as specified in 23.502 [102] is used for the UE. Included otherwise. | Excluded | Excluded | May be included | May be included |

| Conditional presence | Explanation |
| --- | --- |
| *HO* | The field is mandatory present in case of handover or UE context retrieval, e.g. in case of resume or re-establishment within E-UTRA; otherwise the field is not present. |
| *HO2* | The field is optional present in case of handover or UE context retrieval, e.g. in case of resume or re-establishment within E-UTRA; otherwise the field is not present. |
| *HO3* | The field is optional present in case of handover from GERAN to E-UTRA, otherwise the field is not present. |
| *HO4* | The field is mandatory present in case of handover or UE context retrieval, e.g. in case of resume or re-establishment within E-UTRA/5GC and optional present in case of handover from NR to E-UTRA/5GC; otherwise the field is not present. |
| *HO5* | The field is optional present in case of handover within E-UTRA, or handover from NR to E-UTRA; otherwise the field is not present. |

## 10.3 Inter-node RRC information element definitions

#### – *AS-Config*

The *AS-Config* IE contains information about RRC configuration information in the source eNB which can be utilized by target eNB to determine the need to change the RRC configuration during the handover preparation phase. The information can also be used after the handover is successfully performed or during the RRC connection re-establishment or resume.

*AS-Config* information element

-- ASN1START

AS-Config ::= SEQUENCE {

sourceMeasConfig MeasConfig,

sourceRadioResourceConfig RadioResourceConfigDedicated,

sourceSecurityAlgorithmConfig SecurityAlgorithmConfig,

sourceUE-Identity C-RNTI,

sourceMasterInformationBlock MasterInformationBlock,

sourceSystemInformationBlockType1 SystemInformationBlockType1(WITH COMPONENTS

{..., nonCriticalExtension ABSENT}),

sourceSystemInformationBlockType2 SystemInformationBlockType2,

antennaInfoCommon AntennaInfoCommon,

sourceDl-CarrierFreq ARFCN-ValueEUTRA,

...,

[[ sourceSystemInformationBlockType1Ext OCTET STRING (CONTAINING

SystemInformationBlockType1-v890-IEs) OPTIONAL,

sourceOtherConfig-r9 OtherConfig-r9

-- sourceOtherConfig-r9 should have been optional. A target eNB compliant with this transfer

-- syntax should support receiving an AS-Config not including this extension addition group

-- e.g. from a legacy source eNB

]],

[[ sourceSCellConfigList-r10 SCellToAddModList-r10 OPTIONAL

]],

[[ sourceConfigSCG-r12 SCG-Config-r12 OPTIONAL

]],

[[ as-ConfigNR-r15 AS-ConfigNR-r15 OPTIONAL

]],

[[ as-Config-v1550 AS-Config-v1550 OPTIONAL

]],

[[ as-ConfigNR-v1570 AS-ConfigNR-v1570 OPTIONAL

]],

[[ as-ConfigNR-v1620 AS-ConfigNR-v1620 OPTIONAL

]]

}

AS-Config-v9e0 ::= SEQUENCE {

sourceDl-CarrierFreq-v9e0 ARFCN-ValueEUTRA-v9e0

}

AS-Config-v10j0 ::= SEQUENCE {

antennaInfoDedicatedPCell-v10i0 AntennaInfoDedicated-v10i0 OPTIONAL

}

AS-Config-v1250 ::= SEQUENCE {

sourceWlan-OffloadConfig-r12 WLAN-OffloadConfig-r12 OPTIONAL,

sourceSL-CommConfig-r12 SL-CommConfig-r12 OPTIONAL,

sourceSL-DiscConfig-r12 SL-DiscConfig-r12 OPTIONAL

}

AS-Config-v1320 ::= SEQUENCE {

sourceSCellConfigList-r13 SCellToAddModListExt-r13 OPTIONAL,

sourceRCLWI-Configuration-r13 RCLWI-Configuration-r13 OPTIONAL

}

AS-Config-v13c0 ::= SEQUENCE {

radioResourceConfigDedicated-v13c01 RadioResourceConfigDedicated-v1370 OPTIONAL,

radioResourceConfigDedicated-v13c02 RadioResourceConfigDedicated-v13c0 OPTIONAL,

sCellToAddModList-v13c0 SCellToAddModList-v13c0 OPTIONAL,

sCellToAddModListExt-v13c0 SCellToAddModListExt-v13c0 OPTIONAL

}

AS-Config-v1430 ::= SEQUENCE {

sourceSL-V2X-CommConfig-r14 SL-V2X-ConfigDedicated-r14 OPTIONAL,

sourceLWA-Config-r14 LWA-Config-r13 OPTIONAL,

sourceWLAN-MeasResult-r14 MeasResultListWLAN-r13 OPTIONAL

}

AS-ConfigNR-r15 ::= SEQUENCE {

sourceRB-ConfigNR-r15 OCTET STRING OPTIONAL,

sourceRB-ConfigSN-NR-r15 OCTET STRING OPTIONAL,

sourceOtherConfigSN-NR-r15 OCTET STRING OPTIONAL

}

AS-ConfigNR-v1570 ::= SEQUENCE {

sourceSCG-ConfiguredNR-r15 ENUMERATED {true}

}

AS-Config-v1550 ::= SEQUENCE {

tdm-PatternConfig-r15 SEQUENCE {

subframeAssignment-r15 SubframeAssignment-r15,

harq-Offset-r15 INTEGER (0.. 9)

} OPTIONAL,

p-MaxEUTRA-r15 P-Max OPTIONAL

}

AS-ConfigNR-v1620 ::= SEQUENCE {

tdm-PatternConfig2-r16 TDM-PatternConfig-r15

}

AS-Config-v17xy ::= SEQUENCE {

scg-State-r17 ENUMERATED { deactivated } OPTIONAL

}

-- ASN1STOP

NOTE: The *AS-Config* re-uses information elements primarily created to cover the radio interface signalling requirements. Consequently, the information elements may include some parameters that are not relevant for the target eNB e.g. the SFN as included in the *MasterInformationBlock*.

| *AS-Config* field descriptions |
| --- |
| ***antennaInfoCommon***  This field provides information about the number of antenna ports in the source PCell. |
| ***p-MaxEUTRA***  Indicates the *p-MaxEUTRA* in the source PCell. |
| ***scg-State***  Indicates that the SCG is deactivated. |
| ***sourceOtherConfigSN-NR***  Other NR config set by SN (cell group, measurements) in case of (NG)EN-DC i.e. as defined by the *RRCReconfiguration* message in TS 38.331 [82]. |
| ***sourceRB-ConfigNR***  NR radio bearer config, as defined by *RadioBearerConfig* IE in TS 38.331 [82]. The field may e.g. be set by MN in case of (NG)EN-DC, by source eNB connected to 5GCN. |
| ***sourceRB-ConfigSN-NR***  NR radio bearer config set by SN in case of (NG)EN-DC or of SN terminated RB without SCG, as defined by *RadioBearerConfig* IE in TS 38.331 [82]. |
| ***sourceDL-CarrierFreq***  Provides the parameter Downlink EARFCN in the source PCell, see TS 36.101 [42]. If the source eNB provides *AS-Config-v9e0*, it sets *sourceDl-CarrierFreq* (i.e. without suffix) to *maxEARFCN*. |
| ***sourceLWA-Config***  LWA configuration in the source PCell when handover is triggered. |
| ***sourceOtherConfig***  Provides other configuration in the source PCell. |
| ***sourceMasterInformationBlock***  *MasterInformationBlock* transmitted in the source PCell. |
| ***sourceMeasConfig***  Measurement configuration in the source cell. The measurement configuration for all measurements existing in the source eNB when handover is triggered shall be included. See 10.5. |
| ***sourceRCLWI-Configuration***  RCLWI Configuration in the source PCell. |
| ***sourceSL-CommConfig***  This field covers the sidelink communication configuration. |
| ***sourceSL-DiscConfig***  This field covers the sidelink discovery configuration. |
| ***sourceRadioResourceConfig***  Radio configuration in the source PCell. The radio resource configuration for all radio bearers existing in the source PCell when handover is triggered shall be included. See 10.5. |
| ***sourceSCellConfigList***  Radio resource configuration (common and dedicated) of the SCells configured in the source eNB. |
| ***sourceSCG-ConfiguredNR***  Value *true* indicates that the UE is configured with NR SCG in source configuration. The field is included only if *sourceOtherConfigSN-NR* is not included. |
| ***sourceSecurityAlgorithmConfig***  This field provides the AS integrity protection (SRBs) and AS ciphering (SRBs and DRBs) algorithm configuration used in the source PCell. |
| ***sourceSystemInformationBlockType1***  *SystemInformationBlockType1* (or *SystemInformationBlockType1-BR*) transmitted in the source PCell. |
| ***sourceSystemInformationBlockType2***  *SystemInformationBlockType2* transmitted in the source PCell. |
| ***sourceSL-V2X-CommConfig***  Indicates the V2X sidelink communication related configurations configured in the source eNB. |
| ***sourceWLAN-MeasResult***  WLAN measurement results in the source PCell when handover is triggered. |
| ***tdm-PatternConfig***  Indicates the *tdm-PatternConfig* configured to the UE in the source PCell. |
| ***tdm-PatternConfig2***  Indicates the *tdm-PatternConfig2* configured to the UE in the source PCell. |