**3GPP TSG-RAN WG2 Meeting #115 Electronic R2-21xxxxx**

**Online Meeting, August 9 – 27, 2021**

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| *CR-Form-v12.1* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** |  | **rev** |  | **Current version:** | **16.6.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME | **x** | Radio Access Network | **x** | Core Network |  |

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|  |
| ***Title:***  | Introduction of Rel-17 Sidelink Relay |
|  |  |
| ***Source to WG:*** | Huawei, HiSilicon |
| ***Source to TSG:*** | RAN2 |
|  |  |
| ***Work item code:*** | NR\_SL\_relay-Core |  | ***Date:*** | 2021-08-16 |
|  |  |  |  |  |
| ***Category:*** | **B** |  | ***Release:*** | Rel-17 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)…Rel-15 (Release 15)Rel-16 (Release 16)Rel-17 (Release 17)Rel-18 (Release 18)* |
|  |  |
| ***Reason for change:*** | This CR introduces the support of Rel-17 sidelink relay in NR. |
|  |  |
| ***Summary of change:*** | Introducing procedures and signalling to support: [To be updated]* NR sidelink discovery for L2/L3 U2N relay operation;
* U2N relay selection/reselection;
* L2 U2N CP procedures;
* L2 U2N path swith;
 |
|  |  |
| ***Consequences if not approved:*** | SL relay is not supported in NR. |
|  |  |
| ***Clauses affected:*** | [To be updated.] |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  |  |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  |  |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  |  |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

*First Modified Subclause*

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 38.300: "NR; Overall description; Stage 2".

[3] 3GPP TS 38.321: "NR; Medium Access Control (MAC); Protocol specification".

[4] 3GPP TS 38.322: "NR; Radio Link Control (RLC) protocol specification".

[5] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) protocol specification".

[6] ITU-T Recommendation X.680 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation" (Same as the ISO/IEC International Standard 8824-1).

[7] ITU-T Recommendation X.681 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Information object specification" (Same as the ISO/IEC International Standard 8824-2).

[8] ITU-T Recommendation X.691 (08/2015) "Information technology – ASN.1 encoding rules: Specification of Packed Encoding Rules (PER)" (Same as the ISO/IEC International Standard 8825-2).

[9] 3GPP TS 38.215: "NR; Physical layer measurements".

[10] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA) Radio Resource Control (RRC); Protocol Specification".

[11] 3GPP TS 33.501: "Security Architecture and Procedures for 5G System".

[12] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".

[13] 3GPP TS 38.213: "NR; Physical layer procedures for control".

[14] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

[15] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".

[16] 3GPP TS 38.211: "NR; Physical channels and modulation".

[17] 3GPP TS 38.212: "NR; Multiplexing and channel coding".

[18] ITU-T Recommendation X.683 (08/2015) "Information Technology – Abstract Syntax Notation One (ASN.1): Parameterization of ASN.1 specifications" (Same as the ISO/IEC International Standard 8824-4).

[19] 3GPP TS 38.214: "NR; Physical layer procedures for data".

[20] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state".

[21] 3GPP TS 23.003: "Numbering, addressing and identification".

[22] 3GPP TS 36.101: "E-UTRA; User Equipment (UE) radio transmission and reception".

[23] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[24] 3GPP TS 37.324: "Service Data Adaptation Protocol (SDAP) specification".

[25] 3GPP TS 22.261: "Service requirements for the 5G System".

[26] 3GPP TS 38.306: "User Equipment (UE) radio access capabilities".

[27] 3GPP TS 36.304: "E-UTRA; User Equipment (UE) procedures in idle mode".

[28] ATIS 0700041: "WEA 3.0: Device-Based Geo-Fencing".

[29] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

[30] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".

[31] 3GPP TS 36.211: "E-UTRA; Physical channels and modulation".

[32] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[33] 3GPP TS 36.104:"E-UTRA; Base Station (BS) radio transmission and reception".

[34] 3GPP TS 38.101-3 "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".

[35] 3GPP TS 38.423: "NG-RAN, Xn application protocol (XnAP)".

[36] 3GPP TS 38.473: "NG-RAN; F1 application protocol (F1AP)".

[37] 3GPP TS 36.423: "E-UTRA; X2 application protocol (X2AP)".

[38] 3GPP TS 24.008: "Mobile radio interface layer 3 specification; Core network protocols; Stage 3".

[39] 3GPP TS 38.101-2 "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".

[40] 3GPP TS 36.133:"E-UTRA; Requirements for support of radio resource management".

[41] 3GPP TS 37.340: "E-UTRA and NR; Multi-connectivity; Stage 2".

[42] 3GPP TS 38.413: "NG-RAN, NG Application Protocol (NGAP)".

[43] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[44] 3GPP TR 36.816: "Evolved Universal Terrestrial Radio Access (E-UTRA); Study on signalling and procedure for interference avoidance for in-device coexistence ".

[45] 3GPP TS 25.331: "Universal Terrestrial Radio Access (UTRA); Radio Resource Control (RRC); Protocol specification".

[46] 3GPP TS 25.133: "Requirements for Support of Radio Resource Management (FDD)".

[47] 3GPP TS 38.340: "Backhaul Adaptation Protocol (BAP) specification"

[48] 3GPP TS 37.213: "Physical layer procedures for shared spectrum channel access".

[49] 3GPP TS 37.355: "LTE Positioning Protocol (LPP)".

[50] IEEE 802.11-2012, Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) specifications, IEEE Std.

[51] Bluetooth Special Interest Group: "Bluetooth Core Specification v5.0", December 2016.

[52] 3GPP TS 32.422: "Telecommunication management; Subsriber and equipment trace; Trace control and confiuration management".

[53] 3GPP TS 38.314: "NR; layer 2 measurements".

[54] Void.

[55] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

[56] 3GPP TS 23.285: "Technical Specification Group Services and System Aspects; Architecture enhancements for V2X services".

[57] 3GPP TS 24.587: " Technical Specification Group Core Network and Terminals; Vehicle-to-Everything (V2X) services in 5G System (5GS)".

[58] Military Standard WGS84 Metric MIL-STD-2401 (11 January 1994): "Military Standard Department of Defence World Geodetic System (WGS)".

[59] 3GPP TS 38.101-4 "NR; User Equipment (UE) radio transmission and reception; Part 4: Performance Requirements".

[60] 3GPP TS 33.536: "Technical Specification Group Services and System Aspects; Security aspects of 3GPP support for advanced Vehicle-to-Everything (V2X) services".

[61] 3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA), Evolved Universal Terrestrial Radio Access (E-UTRA) and New Radio (NR); Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; Stage 2".

[62] 3GPP TS 36.306: "User Equipment (UE) radio access capabilities".

[63] 3GPP TS 38.174: "NR; Integrated Access and Backhaul (IAB) radio transmission and reception".

[x1] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".

# 3 Definitions, symbols and abbreviations

## 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**BH RLC channel:** An RLC channel between two nodes, which is used to transport backhaul packets.

**CEIL:** Mathematical function used to 'round up' i.e. to the nearest integer having a higher or equal value.

**DAPS bearer:** a bearer whose radio protocols are located in both the source gNB and the target gNB during DAPS handover to use both source gNB and target gNB resources.

**Dedicated signalling:** Signalling sent on DCCH logical channel between the network and a single UE.

**Dormant BWP:** The dormant BWP is one of downlink BWPs configured by the network via dedicated RRC signalling. In the dormant BWP, the UE stops monitoring PDCCH on/for the SCell, but continues performing CSI measurements, Automatic Gain Control (AGC) and beam management, if configured. For each serving cell other than the SpCell or PUCCH SCell, the network may configure one BWP as a dormant BWP.

**Field:** The individual contents of an information element are referred to as fields.

**FLOOR:** Mathematical function used to 'round down' i.e. to the nearest integer having a lower or equal value.

**Global cell identity:** An identity to uniquely identifying an NR cell. It is consisted of *cellIdentity* and *plmn-Identity* of the first *PLMN-Identity* in *plmn-IdentityList* in SIB1.

**Information element:** A structural element containing single or multiple fields is referred as information element.

**NPN-only Cell**: A cell that is only available for normal service for NPNs' subscriber. An NPN-capable UE determines that a cell is NPN-only Cell by detecting that the *cellReservedForOtherUse* IE is set to true while the *npn-IdentityInfoList* IE is present in *CellAccessRelatedInfo*.

**NR sidelink communication**: AS functionality enabling at least V2X Communication as defined in TS 23.287 [55], between two or more nearby UEs, using NR technology but not traversing any network node.

**PNI-NPN identity:** an identifier of a PNI-NPN comprising of a PLMN ID and a CAG -ID combination.

**Primary Cell**: The MCG cell, operating on the primary frequency, in which the UE either performs the initial connection establishment procedure or initiates the connection re-establishment procedure.

**Primary SCG Cell**: For dual connectivity operation, the SCG cell in which the UE performs random access when performing the Reconfiguration with Sync procedure.

**Primary Timing Advance Group**: Timing Advance Group containing the SpCell.

**PUCCH SCell:** An SCell configured with PUCCH.

**PUSCH-Less SCell:** An SCell configured without PUSCH.

**RLC bearer configuration:** The lower layer part of the radio bearer configuration comprising the RLC and logical channel configurations.

**Secondary Cell**: For a UE configured with CA, a cell providing additional radio resources on top of Special Cell.

**Secondary Cell Group**: For a UE configured with dual connectivity, the subset of serving cells comprising of the PSCell and zero or more secondary cells.

**Serving Cell**: For a UE in RRC\_CONNECTED not configured with CA/DC there is only one serving cell comprising of the primary cell. For a UE in RRC\_CONNECTED configured with CA/ DC the term 'serving cells' is used to denote the set of cells comprising of the Special Cell(s) and all secondary cells.

**SNPN identity:** an identifier of an SNPN comprising of a PLMN ID and an NID combination.

**Special Cell:** For Dual Connectivity operation the term Special Cell refers to the PCell of the MCG or the PSCell of the SCG, otherwise the term Special Cell refers to the PCell.

**Split SRB**: In MR-DC, an SRB that supports transmission via MCG and SCG as well as duplication of RRC PDUs as defined in TS 37.340 [41].

**SSB Frequency**: Frequency referring to the position of resource element RE=#0 (subcarrier #0) of resource block RB#10 of the SS block.

**U2N Relay UE:** a UE that provides functionality to support connectivity to the network for U2N Remote UE(s).

**U2N Remote UE:** a UE, that communicates with the network via a U2N Relay UE.

**UE Inactive AS Context**: UE Inactive AS Context is stored when the connection is suspended and restored when the connection is resumed. It includes information as defined in clause 5.3.8.3.

**V2X sidelink communication**: AS functionality enabling V2X Communication as defined in TS 23.285 [56], between nearby UEs, using E-UTRA technology but not traversing any network node.

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BAP Backhaul Adaptation Protocol

BCD Binary Coded Decimal

BH Backhaul

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CAG Closed Access Group

CAG-ID Closed Access Group Identifier

CAPC Channel Access Priority Class

CBR Channel Busy Ratio

CCCH Common Control Channel

CG Cell Group

CHO Conditional Handover

CLI Cross Link Interference

CMAS Commercial Mobile Alert Service

CP Control Plane

CPC Conditional PSCell Change

C-RNTI Cell RNTI

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCP DCI with CRC scrambled by PS-RNTI

DFN Direct Frame Number

DL Downlink

DL-PRS Downlink Positioning Reference Signal

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GNSS Global Navigation Satellite System

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HRNN Human Readable Network Name

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IDC In-Device Coexistence

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LBT Listen Before Talk

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MIB Master Information Block

MPE Maximum Permissible Exposure

MR-DC Multi-Radio Dual Connectivity

N/A Not Applicable

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NID Network Identifier

NPN Non-Public Network

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated Non-Public Network

posSIB Positioning SIB

PRS Positioning Reference Signal

PSCell Primary SCG Cell

PWS Public Warning System

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RMTC RSSI Measurement Timing Configuration

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RS Reference Signal

SBAS Satellite Based Augmentation System

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SL Sidelink

SLSS Sidelink Synchronisation Signal

SNPN Stand-alone Non-Public Network

SpCell Special Cell

SRB Signalling Radio Bearer

SRS Sounding Reference Signal

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TM Transparent Mode

U2N UE-to-Network

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

*Next Modified Subclause*

### 5.2.2 System information acquisition

#### 5.2.2.1 General UE requirements



Figure 5.2.2.1-1: System information acquisition

The UE applies the SI acquisition procedure to acquire the AS, NAS- and positioning assistance data information. The procedure applies to UEs in RRC\_IDLE, in RRC\_INACTIVE and in RRC\_CONNECTED.

The UE in RRC\_IDLE and RRC\_INACTIVE shall ensure having a valid version of (at least) the *MIB*, *SIB1* through *SIB4,* *SIB5* (if the UE supports E-UTRA), *SIB11* (if the UE is configured for idle/inactive measurements), *SIB12* (if UE is capable of NR sidelink communication/discovery and is configured by upper layers to receive or transmit NR sidelink communication/discovery), and *SIB13*, *SIB14* (if UE is capable of V2X sidelink communication and is configured by upper layers to receive or transmit V2X sidelink communication).

The UE shall ensure having a valid version of the posSIB requested by upper layers.

*Editor’s Note: RAN2 to further discuss which SIB to include the discovery related configuration, i.e. SIB12 or new SIB.*

*Next Modified Subclause*

##### 5.2.2.4.13 Actions upon reception of *SIB12*

Upon receiving *SIB12*, the UE shall:

1> if the UE has stored at least one segment of *SIB12* and the value tag of *SIB12* has changed since a previous segment was stored:

2> discard all stored segments;

1> store the segment;

1> if all segments have been received:

2> assemble *SIB12-IEs* from the received segments;

2> if *sl-FreqInfoList* is included in *sl-ConfigCommonNR*:

3> if configured to receive NR sidelink communication:

4> use the resource pool(s) indicated by *sl-RxPool* for NR sidelink communication reception, as specified in 5.8.7;

3> if configured to transmit NR sidelink communication:

4> use the resource pool(s) indicated by *sl-TxPoolSelectedNormal*, or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.8.8;

4> perform CBR measurement on the transmission resource pool(s) indicated by *sl-TxPoolSelectedNormal* and *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.5.3.1;

4> use the synchronization configuration parameters for NR sidelink communication on frequencies included in *sl-FreqInfoList*, as specified in 5.8.5;

3> if configured to receive NR sidelink discovery:

4> use the resource pool(s) indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception, as specified in 5.8.x1.2;

3> if configured to transmit NR sidelink discovery:

4> use the resource pool(s) indicated by *sl-DiscTxPoolSelected*, *sl-TxPoolExceptional* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission, as specified in 5.8.x1.3;

4> perform CBR measurement on the transmission resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-DiscTxPoolSelected* or *sl-TxPoolExceptional* for NR sidelink discovery transmission, as specified in 5.5.3.1;

4> use the synchronization configuration parameters for NR sidelink discovery on frequencies included in *sl-FreqInfoList*, as specified in 5.8.5;

2> if *sl-RadioBearerConfigList* or *sl-RLC-BearerConfigList* is included in *sl-ConfigCommonNR*:

3> perform sidelink DRB addition/modification/release as specified in 5.8.9.1a.1/5.8.9.1a.2;

2> if *sl-MeasConfigCommon* is included in *sl-ConfigCommonNR*:

3> store the NR sidelink measurement configuration.

The UE should discard any stored segments for *SIB12* if the complete *SIB12* has not been assembled within a period of 3 hours. The UE shall discard any stored segments for *SIB12* upon cell (re-) selection.

*Editor’s Note: RAN2 to further discuss which SIB to include the discovery related configuration, i.e. SIB12 or new SIB.*

*Next Modified Subclause*

### 5.3.3 RRC connection establishment

#### 5.3.3.1 General



Figure 5.3.3.1-1: RRC connection establishment, successful



Figure 5.3.3.1-2: RRC connection establishment, network reject

The purpose of this procedure is to establish an RRC connection. RRC connection establishment involves SRB1 establishment. The procedure is also used to transfer the initial NAS dedicated information/ message from the UE to the network.

The network applies the procedure e.g.as follows:

- When establishing an RRC connection;

- When UE is resuming or re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context. In this case, UE receives *RRCSetup* and responds with *RRCSetupComplete*.

#### 5.3.3.1a Conditions for establishing RRC Connection for NR sidelink communication/discovery/V2X sidelink communication

For NR sidelink communication/discovery, an RRC connection establishment is initiated only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication/discovery and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency; or

2> if the frequency on which the UE is configured to transmit NR sidelink discovery is included in *sl-FreqInfoList* within [*SIB12]* provided by the cell on which the UE camps; and if the valid version of [*SIB12]* does not include *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for the concerned frequency;

For L2 U2N Relay UE in RRC\_IDLE, an RRC connection establishment is initiated in the following cases:

1> if any message is received from a L2 U2N Remote UE via SL-RLC0;

For V2X sidelink communication, an RRC connection is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection. The interaction with NAS is left to UE implementation.

#### 5.3.3.2 Initiation

The UE initiates the procedure when upper layers request establishment of an RRC connection while the UE is in RRC\_IDLE and it has acquired essential system information, or for sidelink communication/discovery as specified in sub-clause 5.3.3.1a.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the upper layers provide an Access Category and one or more Access Identities upon requesting establishment of an RRC connection:

2> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> if the UE connects with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the specified configuration of SL-RLCx1 as specified in 9.1.1.4;

1> else:

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T300;

1> initiate transmission of the *RRCSetupRequest* message in accordance with 5.3.3.3;

#### 5.3.3.3 Actions related to transmission of *RRCSetupRequest* message

The UE shall set the contents of *RRCSetupRequest* message as follows:

1> set the *ue-Identity* as follows:

2> if upper layers provide a 5G-S-TMSI:

3> set the *ue-Identity* to *ng-5G-S-TMSI-Part1*;

2> else:

3> draw a 39-bit random value in the range 0..239-1 and set the *ue-Identity* to this value;

NOTE 1: Upper layers provide the *5G-S-TMSI* if the UE is registered in the TA of the current cell.

1> if the establishment of the RRC connection is the result of release with redirect with *mpsPriorityIndication* (either in NR or E-UTRAN):

2> set the *establishmentCause* to *mps-PriorityAccess*;

1> else:

2> set the *establishmentCause* in accordance with the information received from upper layers;

The UE shall submit the *RRCSetupRequest* message to lower layers for transmission.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.3.6.

NOTE 2: For L2 U2N Remote UE in RRC\_IDLE/INACTIVE, the cell (re)selection procedure as specified in TS 38.304 [20] and relay (re)selection procedure as specified in 5.8.x3.3 could go independently and up to UE implementation to select either a cell or a L2 U2N Relay UE.

*Next Modified Subclause*

5.3.5 RRC reconfiguration

-----------text omitted-------------------------------------------

##### 5.3.5.5.4 RLC bearer addition/modification

For each *RLC-BearerConfig* received in the *rlc-BearerToAddModList* IE the UE shall:

1> if the UE's current configuration contains an RLC bearer with the received *logicalChannelIdentity* within the same cell group:

2> if the RLC bearer is associated with an DAPS bearer, or

2> if any DAPS bearer is configured and the RLC bearer is associated with an SRB:

3> reconfigure the RLC entity or entities for the target cell group in accordance with the received *rlc-Config*;

3> reconfigure the logical channel for the target cell group in accordance with the received *mac-LogicalChannelConfig*;

2> else:

3> if *reestablishRLC* is received:

4> re-establish the RLC entity as specified in TS 38.322 [4];

3> reconfigure the RLC entity or entities in accordance with the received *rlc-Config*;

3> reconfigure the logical channel in accordance with the received *mac-LogicalChannelConfig*;

NOTE 1: The network does not re-associate an already configured logical channel with another radio bearer. Hence *servedRadioBearer* is not present in this case.

NOTE 2: In DAPS handover, the UE may perform RLC entity re-establishment (if *reestablishRLC* is set) for an RLC bearer associated with a non-DAPS bearer when indication of successful completion of random access towards target cell is received from lower layers as specified in TS 38.321 [3].

1> else (a logical channel with the given *logicalChannelIdentity* is not configured within the same cell group, including the case when full configuration option is used):

2> if the *servedRadioBearer* associates the logical channel with an SRB and *rlc-Config* is not included:

3> establish an RLC entity in accordance with the default configuration defined in 9.2 for the corresponding SRB;

2> else:

3> establish an RLC entity in accordance with the received *rlc-Config*;

2> if the *servedRadioBearer* associates the logical channel with an SRB and if *mac-LogicalChannelConfig* is not included:

3> configure this MAC entity with a logical channel in accordance to the default configuration defined in 9.2 for the corresponding SRB;

2> else:

3> configure this MAC entity with a logical channel in accordance to the received *mac-LogicalChannelConfig*;

2> associate this logical channel with the PDCP entity identified by *servedRadioBearer* ).

*Editor’s note: RAN2 to further discuss if the legacy Uu RLC bearer add/mod/release signalling and procedure can be reused for Relay UE’s Uu RLC bearer configuration. FFS on the terminology of Relay UE’s Uu RLC bearer and the PC5 RLC bearer between Remote UE and Relay UE.*

*Next Modified Subclause*

#### 5.3.5.14 Sidelink dedicated configuration

Upon initiating the procedure, the UE shall:

1> if *sl-FreqInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each entry included in the received *sl-FreqInfoToReleaseList* that is part of the current UE configuration:

3> release the related configurations from the stored NR sidelink communication configurations;

1> if *sl-FreqInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> if configured to receive NR sidelink communication:

3> use the resource pool(s) indicated by *sl-RxPool* for NR sidelink communication reception, as specified in 5.8.7;

2> if configured to transmit NR sidelink communication:

3> use the resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.8.8;

2> if configured to receive NR sidelink discovery:

3> use the resource pool(s) indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception, as specified in 5.8.x1.2;

2> if configured to transmit NR sidelink discovery:

3> use the resource pool(s) indicated by *sl-DiscTxPoolSelected*, *sl-DiscTxPoolScheduling*, *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling* or *sl-TxPoolExceptional* for NR sidelink discovery transmission, as specified in 5.8.x1.3;

2> perform CBR measurement on the transmission resource pool(s) indicated by *sl-TxPoolSelectedNormal*, *sl-TxPoolScheduling*, *sl-DiscTxPoolSelected, sl-DiscTxPoolScheduling*or *sl-TxPoolExceptional* for NR sidelink communication transmission, as specified in 5.5.3;

2> use the synchronization configuration parameters for NR sidelink communication on frequencies included in *sl-FreqInfoToAddModList*, as specified in 5.8.5;

1> if *sl-RadioBearerToReleaseList* or *sl-RLC-BearerToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB release as specified in 5.8.9.1a.1;

2> perform sidelink RLC bearer release for the RLC bearer without SL-PDCP as specified in 5.8.9.x1.1;

1> if *sl-RadioBearerToAddModList* or *sl-RLC-BearerToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> perform sidelink DRB addition/modification as specified in 5.8.9.1a.2;

2> perform sidelink RLC bearer addition/modification for the RLC bearer without SL-PDCP as specified in 5.8.9.x1.2;

1> if *sl-ScheduledConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the MAC entity parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-ScheduledConfig*;

1> if *sl-UE-SelectedConfig* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> configure the parameters, which are to be used for NR sidelink communication, in accordance with the received *sl-UE-SelectedConfig*;

1> if *sl-MeasConfigInfoToReleaseList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *SL-DestinationIndex* included in the received *sl-MeasConfigInfoToReleaseList* that is part of the current UE configuration:

3> remove the entry with the matching *SL-DestinationIndex* from the stored NR sidelink measurement configuration information;

1> if *sl-MeasConfigInfoToAddModList* is included in *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is part of the current stored NR sidelink measurement configuration:

3> reconfigure the entry according to the value received for this *sl-DestinationIndex* from the stored NR sidelink measurement configuration information;

2> for each *sl-DestinationIndex* included in the received *sl-MeasConfigInfoToAddModList* that is not part of the current stored NR sidelink measurement configuration:

3> add a new entry for this *sl-DestinationIndex* to the stored NR sidelink measurement configuration.

*Next Modified Subclause*

### 5.3.7 RRC connection re-establishment

#### 5.3.7.1 General

 

Figure 5.3.7.1-1: RRC connection re-establishment, successful



Figure 5.3.7.1-2: RRC re-establishment, fallback to RRC establishment, successful

The purpose of this procedure is to re-establish the RRC connection. A UE in RRC\_CONNECTED, for which AS security has been activated with SRB2 and at least one DRB setup or, for IAB, SRB2, may initiate the procedure in order to continue the RRC connection. The connection re-establishment succeeds if the network is able to find and verify a valid UE context or, if the UE context cannot be retrieved, and the network responds with an *RRCSetup* according to clause 5.3.3.4.

The network applies the procedure e.g as follows:

- When AS security has been activated and the network retrieves or verifies the UE context:

- to re-activate AS security without changing algorithms;

- to re-establish and resume the SRB1;

- When UE is re-establishing an RRC connection, and the network is not able to retrieve or verify the UE context:

- to discard the stored AS Context and release all RBs and BH RLC channels;

- to fallback to establish a new RRC connection.

If AS security has not been activated, the UE shall not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'other'. If AS security has been activated, but SRB2 and at least one DRB or, for IAB, SRB2, are not setup, the UE does not initiate the procedure but instead moves to RRC\_IDLE directly, with release cause 'RRC connection failure'.

#### 5.3.7.2 Initiation

The UE initiates the procedure when one of the following conditions is met:

1> upon detecting radio link failure of the MCG and *t316* is not configured, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while SCG transmission is suspended, in accordance with 5.3.10; or

1> upon detecting radio link failure of the MCG while PSCell change or PSCell addition is ongoing, in accordance with 5.3.10; or

1> upon re-configuration with sync failure of the MCG, in accordance with sub-clause 5.3.5.8.3; or

1> upon mobility from NR failure, in accordance with sub-clause 5.4.3.5; or

1> upon integrity check failure indication from lower layers concerning SRB1 or SRB2, except if the integrity check failure is detected on the *RRCReestablishment* message; or

1> upon an RRC connection reconfiguration failure, in accordance with sub-clause 5.3.5.8.2; or

1> upon detecting radio link failure for the SCG while MCG transmission is suspended, in accordance with subclause 5.3.10.3 in NR-DC or in accordance with TS 36.331 [10] subclause 5.3.11.3 in NE-DC; or

1> upon reconfiguration with sync failure of the SCG while MCG transmission is suspended in accordance with subclause 5.3.5.8.3; or

1> upon SCG change failure while MCG transmission is suspended in accordance with TS 36.331 [10] subclause 5.3.5.7a; or

1> upon SCG configuration failure while MCG transmission is suspended in accordance with subclause 5.3.5.8.2 in NR-DC or in accordance with TS 36.331 [10] subclause 5.3.5.5 in NE-DC; or

1> upon integrity check failure indication from SCG lower layers concerning SRB3 while MCG is suspended; or

1> upon T316 expiry, in accordance with sub-clause 5.7.3b.5; or

1> upon detecting sidelink radio link failure by L2 U2N Remote UE in RRC\_CONNECTED, in accordance with subclause 5.8.9.3.

Upon initiation of the procedure, the UE shall:

1> stop timer T310, if running;

1> stop timer T312, if running;

1> stop timer T304, if running;

1> start timer T311;

1> stop timer T316, if running;

1> if UE is not configured with *conditionalReconfiguration*:

2> reset MAC;

2> release *spCellConfig*, if configured;

2> suspend all RBs, and BH RLC channels for IAB-MT, except SRB0;

2> release the MCG SCell(s), if configured;

2> if MR-DC is configured:

3> perform MR-DC release, as specified in clause 5.3.5.10;

2> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

2> release *overheatingAssistanceConfig*, if configured and stop timer T345, if running;

2> release *idc-AssistanceConfig*, if configured;

2> release *btNameList*, if configured;

2> release *wlanNameList*, if configured;

2> release *sensorNameList*, if configured;

2> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

2> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

2> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

2> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

2> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured stop timer T346e associated with the MCG, if running;

2> release *releasePreferenceConfig*, if configured stop timer T346f, if running;

2> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

2> release *referenceTimePreferenceReporting*, if configured;

2> release *sl-AssistanceConfigNR*, if configured;

2> release *obtainCommonLocation*, if configured;

1> if any DAPS bearer is configured:

2> reset the source MAC and release the source MAC configuration;

2> for each DAPS bearer:

3> release the RLC entity or entities as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

3> reconfigure the PDCP entity to release DAPS as specified in TS 38.323 [5];

2> for each SRB:

3> release the PDCP entity for the source SpCell;

3> release the RLC entity as specified in TS 38.322 [4], clause 5.1.3, and the associated logical channel for the source SpCell;

2> release the physical channel configuration for the source SpCell;

2> discard the keys used in the source SpCell (the KgNB key, the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key), if any;

1> if the UE connects with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

1> perform either cell selection in accordance with the cell selection process as specified in TS 38.304 [20], or relay selection as specified in clause 5.8.x3.3, or both;

1> else:

2> perform cell selection in accordance with the cell selection process as specified in TS 38.304 [20].

#### 5.3.7.3 Actions following cell selection while T311 is running

Upon selecting a suitable NR cell, the UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer T311;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if the cell selection is triggered by detecting radio link failure of the MCG or re-configuration with sync failure of the MCG or mobility from NR failure, and

1> if *attemptCondReconfig* is configured; and

1> if the selected cell is one of the candidate cells for which the *reconfigurationWithSync* is included in the *masterCellGroup* in *VarConditionalReconfig*:

2> apply the stored *condRRCReconfig* associated to the selected cell and perform actions as specified in 5.3.5.3;

NOTE 1: It is left to network implementation to how to avoid keystream reuse in case of CHO based recovery after a failed handover without key change.

1> else:

2> if UE is configured with *conditionalReconfiguration*:

3> reset MAC;

3> release *spCellConfig*, if configured;

3> release the MCG SCell(s), if configured;

3> release *delayBudgetReportingConfig*, if configured and stop timer T342, if running;

3> release *overheatingAssistanceConfig* , if configured and stop timer T345, if running;

3> if MR-DC is configured:

4> perform MR-DC release, as specified in clause 5.3.5.10;

3> release *idc-AssistanceConfig*, if configured;

3> release *btNameList*, if configured;

3> release *wlanNameList*, if configured;

3> release *sensorNameList*, if configured;

3> release *drx-PreferenceConfig* for the MCG, if configured and stop timer T346a associated with the MCG, if running;

3> release *maxBW-PreferenceConfig* for the MCG, if configured and stop timer T346b associated with the MCG, if running;

3> release *maxCC-PreferenceConfig* for the MCG, if configured and stop timer T346c associated with the MCG, if running;

3> release *maxMIMO-LayerPreferenceConfig* for the MCG, if configured and stop timer T346d associated with the MCG, if running;

3> release *minSchedulingOffsetPreferenceConfig* for the MCG, if configured and stop timer T346e associated with the MCG, if running;

3> release *releasePreferenceConfig*, if configured and stop timer T346f, if running;

3> release *onDemandSIB-Request* if configured, and stop timer T350, if running;

3> release referenceTimePreferenceReporting, if configured;

3> release *sl-AssistanceConfigNR*, if configured;

3> release *obtainCommonLocation*, if configured;

3> suspend all RBs, except SRB0;

2> remove all the entries within *VarConditionalReconfig*, if any;

2> for each *measId*, if the associated *reportConfig* has a *reportType* set to *condTriggerConfig*:

3> for the associated *reportConfigId*:

4> remove the entry with the matching *reportConfigId* from the *reportConfigList* within the *VarMeasConfig*;

3> if the associated *measObjectId* is only associated to a *reportConfig* with *reportType* set to *condTriggerConfig*:

4> remove the entry with the matching *measObjectId* from the *measObjectList* within the *VarMeasConfig*;

3> remove the entry with the matching *measId* from the *measIdList* within the *VarMeasConfig*;

2> start timer T301;

2> apply the default L1 parameter values as specified in corresponding physical layer specifications except for the parameters for which values are provided in *SIB1*;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

2> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4;

NOTE 2: This procedure applies also if the UE returns to the source PCell.

Upon selecting an inter-RAT cell, the UE shall:

1> perform the actions upon going to RRC\_IDLE as specified in 5.3.11, with release cause 'RRC connection failure'.

#### 5.3.7.3a Actions following relay selection while [T311] is running

Upon selecting a suitable L2 U2N Relay UE, the L2 U2N Remote UE shall:

1> ensure having valid and up to date essential system information as specified in clause 5.2.2.2;

1> stop timer [T311];

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> start timer [T301];

1> initiate the PC5 unicast link establishment as specified in TS 23.304 [x1];

1> apply the specified configuration of SL-RLC0 as specified in 9.1.1.4;

1> initiate transmission of the *RRCReestablishmentRequest* message in accordance with 5.3.7.4.

#### 5.3.7.4 Actions related to transmission of *RRCReestablishmentRequest* message

The UE shall set the contents of *RRCReestablishmentRequest* message as follows:

1> if the procedure was initiated due to radio link failure as specified in 5.3.10.3 or reconfiguration with sync failure as specified in 5.3.5.8.3:

2> set the *reestablishmentCellId* in the *VarRLF-Report* to the global cell identity of the selected cell;

1> set the *ue-Identity* as follows:

2> set the *c-RNTI* to the C-RNTI used in the source PCell (reconfiguration with sync or mobility from NR failure) or used in the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *physCellId* to the physical cell identity of the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases);

2> set the *shortMAC-I* to the 16 least significant bits of the MAC-I calculated:

3> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarShortMAC-Input*;

3> with the KRRCint key and integrity protection algorithm that was used in the source PCell (reconfiguration with sync or mobility from NR failure) or of the PCell in which the trigger for the re-establishment occurred (other cases); and

3> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> set the *reestablishmentCause* as follows:

2> if the re-establishment procedure was initiated due to reconfiguration failure as specified in 5.3.5.8.2:

3> set the *reestablishmentCause* to the value *reconfigurationFailure*;

2> else if the re-establishment procedure was initiated due to reconfiguration with sync failure as specified in 5.3.5.8.3 (intra-NR handover failure) or 5.4.3.5 (inter-RAT mobility from NR failure):

3> set the *reestablishmentCause* to the value *handoverFailure*;

2> else:

3> set the *reestablishmentCause* to the value *otherFailure*;

1> re-establish PDCP for SRB1;

1> if the UE connects with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the default configuration of SL-RLC1 as defined in 9.2.x for SRB1;

1> else:

2> re-establish RLC for SRB1;

2> apply the default configuration defined in 9.2.1 for SRB1;

1> configure lower layers to suspend integrity protection and ciphering for SRB1;

NOTE: Ciphering is not applied for the subsequent *RRCReestablishment* message used to resume the connection. An integrity check is performed by lower layers, but merely upon request from RRC.

1> resume SRB1;

1> submit the *RRCReestablishmentRequest* message to lower layers for transmission.

*Next Modified Subclause*

### 5.3.13 RRC connection resume

#### 5.3.13.1 General



Figure 5.3.13.1-1: RRC connection resume, successful



Figure 5.3.13.1-2: RRC connection resume fallback to RRC connection establishment, successful



Figure 5.3.13.1-3: RRC connection resume followed by network release, successful



Figure 5.3.13.1-4: RRC connection resume followed by network suspend, successful



Figure 5.3.13.1-5: RRC connection resume, network reject

The purpose of this procedure is to resume a suspended RRC connection, including resuming SRB(s) and DRB(s) or perform an RNA update.

#### 5.3.13.1a Conditions for resuming RRC Connection for NR sidelink communication/discovery/V2X sidelink communication

For NR sidelink communication/discovery an RRC connection is resumed only in the following cases:

1> if configured by upper layers to transmit NR sidelink communication/discovery and related data is available for transmission:

2> if the frequency on which the UE is configured to transmit NR sidelink communication is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-TxPoolSelectedNormal* for the concerned frequency; or

2> if the frequency on which the UE is configured to transmit NR sidelink discovery is included in *sl-FreqInfoList* within *SIB12* provided by the cell on which the UE camps; and if the valid version of *SIB12* does not include *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for the concerned frequency;

For L2 U2N Relay UE in RRC\_INACTIVE, an RRC connection establishment is resumed in the following cases:

1> if any message is received from the L2 U2N Remote UE via SL-RLCx1;

For V2X sidelink communication an RRC connection resume is initiated only when the conditions specified for V2X sidelink communication in subclause 5.3.3.1a of TS 36.331 [10] are met.

NOTE: Upper layers initiate an RRC connection resume. The interaction with NAS is left to UE implementation.

#### 5.3.13.2 Initiation

The UE initiates the procedure when upper layers or AS (when responding to RAN paging, upon triggering RNA updates while the UE is in RRC\_INACTIVE, or for NR sidelink communication/V2X sidelink communication as specified in sub-clause 5.3.13.1a) requests the resume of a suspended RRC connection.

The UE shall ensure having valid and up to date essential system information as specified in clause 5.2.2.2 before initiating this procedure.

Upon initiation of the procedure, the UE shall:

1> if the resumption of the RRC connection is triggered by response to NG-RAN paging:

2> select '0' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities provided by upper layers;

3> if the access attempt is barred, the procedure ends;

1> else if the resumption of the RRC connection is triggered by upper layers:

2> if the upper layers provide an Access Category and one or more Access Identities:

3> perform the unified access control procedure as specified in 5.3.14 using the Access Category and Access Identities provided by upper layers;

4> if the access attempt is barred, the procedure ends;

2> if the resumption occurs after release with redirect with *mpsPriorityIndication*:

3> set the resumeCause to mps-PriorityAccess;

2> else:

3> set the *resumeCause* in accordance with the information received from upper layers;

1> else if the resumption of the RRC connection is triggered due to an RNA update as specified in 5.3.13.8:

2> if an emergency service is ongoing:

NOTE: How the RRC layer in the UE is aware of an ongoing emergency service is up to UE implementation.

3> select '2' as the Access Category;

3> set the *resumeCause* to *emergency*;

2> else:

3> select '8' as the Access Category;

2> perform the unified access control procedure as specified in 5.3.14 using the selected Access Category and one or more Access Identities to be applied as specified in TS 24.501 [23];

3> if the access attempt is barred:

4> set the variable *pendingRNA-Update* to *true*;

4> the procedure ends;

1> if the UE is in NE-DC or NR-DC:

2> if the UE does not support maintaining SCG configuration upon connection resumption:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

1> if the UE does not support maintaining the MCG SCell configurations upon connection resumption:

2> release the MCG SCell(s) from the UE Inactive AS context, if stored;

1> if the UE connects with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the default configuration of SL-RLC1 as defined in 9.2.x for SRB1;

1> else:

2> apply the default L1 parameter values as specified in corresponding physical layer specifications, except for the parameters for which values are provided in *SIB1*;

2> apply the default SRB1 configuration as specified in 9.2.1;

2> apply the default MAC Cell Group configuration as specified in 9.2.2;

1> release *delayBudgetReportingConfig* from the UE Inactive AS context, if stored;

1> stop timer T342, if running;

1> release *overheatingAssistanceConfig* from the UE Inactive AS context, if stored;

1> stop timer T345, if running;

1> release *idc-AssistanceConfig* from the UE Inactive AS context, if stored;

1> release *drx-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346a, if running;

1> release *maxBW-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346b, if running;

1> release *maxCC-PreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346c, if running;

1> release *maxMIMO-LayerPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346d, if running;

1> release *minSchedulingOffsetPreferenceConfig* for all configured cell groups from the UE Inactive AS context, if stored;

1> stop all instances of timer T346e, if running;

1> release *releasePreferenceConfig* from the UE Inactive AS context, if stored;

1> release *wlanNameList* from the UE Inactive AS context, if stored;

1> release *btNameList* from the UE Inactive AS context, if stored;

1> release *sensorNameList* from the UE Inactive AS context, if stored;

1> release *obtainCommonLocation* from the UE Inactive AS context, if stored;

1> stop timer T346f, if running;

1> release *referenceTimePreferenceReporting* from the UE Inactive AS context, if stored;

1> release *sl-AssistanceConfigNR* from the UE Inactive AS context, if stored;

1> if the UE connects with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

2> apply the specified configuration of SL-RLCx1 used for the delivery of RRC message over SRB0 as specified in 9.1.1.4;

1> else:

2> apply the CCCH configuration as specified in 9.1.1.2;

2> apply the *timeAlignmentTimerCommon* included in *SIB1*;

1> start timer T319;

1> set the variable *pendingRNA-Update* to *false*;

1> initiate transmission of the *RRCResumeRequest* message or *RRCResumeRequest1* in accordance with 5.3.13.3.

#### 5.3.13.3 Actions related to transmission of *RRCResumeRequest* or *RRCResumeRequest1* message

The UE shall set the contents of *RRCResumeRequest* or *RRCResumeRequest1* message as follows:

1> if field *useFullResumeID* is signalled in *SIB1*:

2> select *RRCResumeRequest1* as the message to use;

2> set the *resumeIdentity* to the stored *fullI-RNTI* value;

1> else:

2> select *RRCResumeRequest* as the message to use;

2> set the *resumeIdentity* to the stored *shortI-RNTI* value;

1> restore the RRC configuration, RoHC state, the stored QoS flow to DRB mapping rules and the KgNB and KRRCint keys from the stored UE Inactive AS context except for the following:

- masterCellGroup;

- mrdc-SecondaryCellGroup, if stored; and

- pdcp-Config;

1> set the *resumeMAC-I* to the 16 least significant bits of the MAC-I calculated:

2> over the ASN.1 encoded as per clause 8 (i.e., a multiple of 8 bits) *VarResumeMAC-Input*;

2> with the KRRCint key in the UE Inactive AS Context and the previously configured integrity protection algorithm; and

2> with all input bits for COUNT, BEARER and DIRECTION set to binary ones;

1> derive the KgNB key based on the current KgNB key or the NH, using the stored *nextHopChainingCount* value, as specified in TS 33.501 [11];

1> derive the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

1> configure lower layers to apply integrity protection for all radio bearers except SRB0 using the configured algorithm and the KRRCint key and KUPint key derived in this subclause immediately, i.e., integrity protection shall be applied to all subsequent messages received and sent by the UE;

NOTE 1: Only DRBs with previously configured UP integrity protection shall resume integrity protection.

1> configure lower layers to apply ciphering for all radio bearers except SRB0 and to apply the configured ciphering algorithm, the KRRCenc key and the KUPenc key derived in this subclause, i.e. the ciphering configuration shall be applied to all subsequent messages received and sent by the UE;

1> re-establish PDCP entities for SRB1;

1> resume SRB1;

1> submit the selected message *RRCResumeRequest* or *RRCResumeRequest1* for transmission to lower layers.

NOTE 2: Only DRBs with previously configured UP ciphering shall resume ciphering.

If lower layers indicate an integrity check failure while T319 is running, perform actions specified in 5.3.13.5.

The UE shall continue cell re-selection related measurements as well as cell re-selection evaluation. If the conditions for cell re-selection are fulfilled, the UE shall perform cell re-selection as specified in 5.3.13.6.

 NOTE: For L2 U2N Remote UE in RRC\_IDLE/INACTIVE, the cell (re)selection procedure as specified in TS 38.304 [20] and relay (re)selection procedure as specified in 5.8.x3.3 could go independently and up to UE implementation to select either a cell or a L2 U2N Relay UE.

#### 5.3.13.4 Reception of the *RRCResume* by the UE

The UE shall:

1> stop timer T319;

1> stop timer T380, if running;

1> if T331 is running:

2> stop timer T331;

2> perform the actions as specified in 5.7.8.3;

1> if the *RRCResume* includes the *fullConfig*:

2> perform the full configuration procedure as specified in 5.3.5.11;

1> else:

2> if the *RRCResume* does not include the *restoreMCG-SCells*:

3> release the MCG SCell(s) from the UE Inactive AS context, if stored;

2> if the *RRCResume* does not include the *restoreSCG*:

3> release the MR-DC related configurations (i.e., as specified in 5.3.5.10) from the UE Inactive AS context, if stored;

2> restore the *masterCellGroup, mrdc-SecondaryCellGroup*, if stored, and *pdcp-Config* from the UE Inactive AS context;

2> configure lower layers to consider the restored MCG and SCG SCell(s) (if any) to be in deactivated state;

1> discard the UE Inactive AS context;

1> release the *suspendConfig* except the *ran-NotificationAreaInfo*;

1> if the *RRCResume* includes the *masterCellGroup*:

2> perform the cell group configuration for the received *masterCellGroup* according to 5.3.5.5;

1> if the *RRCResume* includes the *mrdc-SecondaryCellGroup:*

2> if the received *mrdc-SecondaryCellGroup* is set to *nr-SCG*:

3> perform the RRC reconfiguration according to 5.3.5.3 for the *RRCReconfiguration* message included in *nr-SCG*;

2> if the received *mrdc-SecondaryCellGroup* is set to *eutra-SCG*:

3> perform the RRC connection reconfiguration as specified in TS 36.331 [10], clause 5.3.5.3 for the *RRCConnectionReconfiguration* message included in *eutra-SCG*;

1> if the *RRCResume* includes the *radioBearerConfig*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *sk-Counter*:

2> perform security key update procedure as specified in 5.3.5.7;

1> if the *RRCResume* message includes the *radioBearerConfig2*:

2> perform the radio bearer configuration according to 5.3.5.6;

1> if the *RRCResume* message includes the *needForGapsConfigNR*:

2> if *needForGapsConfigNR* is set to *setup*:

3> consider itself to be configured to provide the measurement gap requirement information of NR target bands;

2> else:

3> consider itself not to be configured to provide the measurement gap requirement information of NR target bands;

1> resume SRB2, SRB3 (if configured), and all DRBs;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T320, if running;

1> if the *RRCResume* message includes the *measConfig*:

2> perform the measurement configuration procedure as specified in 5.5.2;

1> resume measurements if suspended;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> enter RRC\_CONNECTED;

1> indicate to upper layers that the suspended RRC connection has been resumed;

1> stop the cell re-selection procedure;

1> stop relay re-selection procedure if any for L2 U2N Remote UE;

1> consider the current cell to be the PCell;

1> set the content of the of *RRCResumeComplete* message as follows:

2> if the upper layer provides NAS PDU, set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if upper layers provides a PLMN and UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityInfoList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrent*:

3> include the *uplinkTxDirectCurrentList* for each MCG serving cell with UL;

3> include *uplinkDirectCurrentBWP-SUL* for each MCG serving cell configured with SUL carrier, if any, within the *uplinkTxDirectCurrentList*;

2> if the *masterCellGroup* contains the *reportUplinkTxDirectCurrentTwoCarrier*:

3> include in the *uplinkTxDirectCurrentTwoCarrierList* the list of uplink Tx DC locations for the configured uplink carrier aggregation in the MCG;

2> if the UE has idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*:

3> if the *idleModeMeasurementReq* is included in the *RRCResume* message:

4> set the *measResultIdleEUTRA* in the *RRCResumeComplete* message to the value of *measReportIdleEUTRA* in the *VarMeasIdleReport,* if available;

4> set the *measResultIdleNR* in the *RRCResumeComplete* message to the value of *measReportIdleNR* in the *VarMeasIdleReport*, if available;

4> discard the *VarMeasIdleReport* upon successful delivery of the *RRCResumeComplete* message is confirmed by lower layers;

3> else:

4> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

4> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

5> include the *idleMeasAvailable*;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *eutra-SCG*:

3> include in the *eutra-SCG-Response* the E-UTRA *RRCConnectionReconfigurationComplete* message in accordance with TS 36.331 [10] clause 5.3.5.3;

2> if the *RRCResume* message includes *mrdc-SecondaryCellGroup* set to *nr-SCG*:

3> include in the *nr-SCG-Response* the SCG *RRCReconfigurationComplete* message;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCResumeComplete* message*;*

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCResumeComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCResumeComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCResumeComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*; or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCResumeComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCResumeComplete* message;

2> if *speedStateReselectionPars* is configured in the *SIB2*:

3> include the *mobilityState* in the *RRCResumeComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

2> if the UE is configured to provide the measurement gap requirement information of NR target bands:

3> include the *NeedForGapsInfoNR* and set the contents as follows:

4> include *intraFreq-needForGap* and set the gap requirement information of intra-frequency measurement for each NR serving cell;

4> if *requestedTargetBandFilterNR* is configured, for each supported NR band that is also included in *requestedTargetBandFilterNR*, include an entry in *interFreq-needForGap* and set the gap requirement information for that band; otherwise, include an entry in *interFreq-needForGap* and set the corresponding gap requirement information for each supported NR band;

1> submit the *RRCResumeComplete* message to lower layers for transmission;

1> the procedure ends.

#### 5.3.13.5 T319 expiry or Integrity check failure from lower layers while T319 is running

The UE shall:

1> if timer T319 expires:

2> if the UE has connection establishment failure information or connection resume failure informaton available in *VarConnEstFailReport* and if the RPLMN is not equal to plmn-identity stored in *VarConnEstFailReport*; or

2> if the cell identity of current cell is not equal to the cell identity stored in *measResultFailedCell* in *VarConnEstFailReport*:

3> reset the *numberOfConnFail* to 0;

2> clear the content included in *VarConnEstFailReport* except for the *numberOfConnFail*, if any;

2> store the following connection resume failure information in the *VarConnEstFailReport* by setting its fields as follows:

3> set the *plmn-Identity* to the PLMN selected by upper layers (see TS 24.501 [23]) from the PLMN(s) included in the *plmn-IdentityInfoList* in *SIB1*;

3> set the *measResultFailedCell* to include the global cell identity, tracking area code, the cell level and SS/PBCH block level RSRP, and RSRQ, and SS/PBCH block indexes, of the failed cell based on the available SSB measurements collected up to the moment the UE detected connection resume failure;

3> if available, set the *measResultNeighCells*, in order of decreasing ranking-criterion as used for cell re-selection, to include neighbouring cell measurements for at most the following number of neighbouring cells: 6 intra-frequency and 3 inter-frequency neighbours per frequency as well as 3 inter-RAT neighbours, per frequency/ set of frequencies per RAT and according to the following:

4> for each neighbour cell included, include the optional fields that are available;

NOTE: The UE includes the latest results of the available measurements as used for cell reselection evaluation, which are performed in accordance with the performance requirements as specified in TS 38.133 [14].

3> if available, set the *locationInfo* as in 5.3.3.7;

3> set *perRAInfoList* to indicate the performed random access procedure related information as specified in 5.7.10.5;

3> if *numberOfConnFail* is smaller than 8:

4> increment the *numberOfConnFail* by 1;

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

1> else if upon receiving Integrity check failure indication from lower layers while T319 is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure'.

The UE may discard the connection resume failure or connection establishment failure information, i.e. release the UE variable *VarConnEstFailReport*, 48 hours after the last connection resume failure is detected.

#### 5.3.13.6 Cell re-selection or cell selection while T390, T319 or T302 is running (UE in RRC\_INACTIVE)

The UE shall:

1> if cell reselection occurs while T319 or T302 is running, or relay reselection occurs while [T319] or [T302] is running:

2> perform the actions upon going to RRC\_IDLE as specified in 5.3.11 with release cause 'RRC Resume failure';

1> else if cell selection or reselection occurs while T390 is running, or relay selection or reselection occurs while [T390] is running:

2> stop T390 for all access categories;

2> perform the actions as specified in 5.3.14.4.

*Next Modified Subclause*

## 5.8 Sidelink

### 5.8.1 General

NR sidelink communication consists of unicast, groupcast and broadcast. For unicast, the PC5-RRC connection is a logical connection between a pair of a Source Layer-2 ID and a Destination Layer-2 ID in the AS. The PC5-RRC signalling, as specified in sub-clause 5.8.9, can be initiated after its corresponding PC5 unicast link establishment (TS 23.287 [55]). The PC5-RRC connection and the corresponding sidelink SRBs and sidelink DRB(s) are released when the PC5 unicast link is released as indicated by upper layers.

For each PC5-RRC connection of unicast, one sidelink SRB (i.e. SL-SRB0) is used to transmit the PC5-S message(s) before the PC5-S security has been established. One sidelink SRB (i.e. SL-SRB1) is used to transmit the PC5-S messages to establish the PC5-S security. One sidelink SRB (i.e. SL-SRB2) is used to transmit the PC5-S messages after the PC5-S security has been established, which is protected. One sidelink SRB (i.e. SL-SRB3) is used to transmit the PC5-RRC signalling, which is protected and only sent after the PC5-S security has been established. For U2N Relay operation, one sidelink SRB (i.e. SL-SRB4) is used to transmit the NR sidelink discovery messages.

For unicast of NR sidelink communication, AS security comprises of integrity protection of PC5 signalling (SL-SRB1, SL-SRB2 and SL-SRB3) and user data (SL-DRBs), and it further comprises of ciphering of PC5 signaling (SL-SRB1 only for the Direct Link Security Mode Complete message as specified in TS 24.587[57], SL-SRB2 and SL-SRB3) and user data (SL-DRBs). The ciphering and integrity protection algorithms and parameters for a PC5 unicast link are exchanged by PC5-S messages in the upper layers as specified in TS 33.536 [60], and apply to the corresponding PC5-RRC connection in the AS. Once AS security is activated for a PC5 unicast link in the upper layers as specified in TS 33.536 [60], all messages on SL-SRB2 and SL-SRB3 and/or user data on SL-DRBs of the corresponding PC5-RRC connection are integrity protected and/or ciphered by the PDCP.

For unicast of NR sidelink communication, if the change of the key is indicated by the upper layers as specified in TS 24.587 [57], UE re-establishes the PDCP entity of the SL-SRB1, SL-SRB2, SL-SRB3 and SL-DRBs on the corresponding PC5-RRC connection.

NOTE 1: In case the configurations for NR sidelink communication are acquired via the E-UTRA, the configurations for NR sidelink communication in *SIB12* and *sl-ConfigDedicatedNR* within *RRCReconfiguration* used in subclause 5.8 are provided by the configurations in *SystemInformationBlockType28* and *sl-ConfigDedicatedForNR* within *RRCConnectionReconfiguration* as specified in TS 36.331 [10], respectively.

NOTE 2: In this release, there is one-to-one correspondence between the PC5-RRC connection and the PC5 unicast link as specified in TS 38.300[2].

NOTE 3: All SL-DRBs related to the same PC5-RRC connection have the same activation/deactivation setting for ciphering and the same activation/deactivation setting for integrity protection as in TS 33.536 [60].

NOTE 4: When integrity check failure concerning SL-SRB1 for a specific destination is detected, the UE sends an indication to the upper layers [57].

*Next Modified Subclause*

### 5.8.9 Sidelink RRC procedure

#### 5.8.9.1 Sidelink RRC reconfiguration

##### 5.8.9.1.1 General



Figure 5.8.9.1.1-1: Sidelink RRC reconfiguration, successful



Figure 5.8.9.1.1-2: Sidelink RRC reconfiguration, failure

The purpose of this procedure is to modify a PC5-RRC connection, e.g. to establish/modify/release sidelink DRBs, to (re-)configure NR sidelink measurement and reporting, to (re-)configure sidelink CSI reference signal resources and CSI reporting latency bound.

The UE may initiate the sidelink RRC reconfiguration procedure and perform the operation in sub-clause 5.8.9.1.2 on the corresponding PC5-RRC connection in following cases:

- the release of sidelink DRBs associated with the peer UE, as specified in sub-clause 5.8.9.1a.1;

- the establishment of sidelink DRBs associated with the peer UE, as specified in sub-clause 5.8.9.1a.2;

- the modification for the parameters included in *SLRB-Config* of sidelink DRBs associated with the peer UE, as specified in sub-clause 5.8.9.1a.2;

- the release of sidelink RLC bearers not associated with SL-PDCP for L2 U2N Relay UE and Remote UE, as specified in sub-clause 5.8.9.x1.1;

- the establishment of RLC bearers not associated with SL-PDCP for L2 U2N Relay UE and Remote UE, as specified in sub-clause 5.8.9.x1.2;

- the modification for the parameters included in *SL-RLC-BearerConfig* of RLC bearers not associated with SL-PDCP for L2 U2N Relay UE and Remote UE, as specified in sub-clause 5.8.9.x1.2;

- the (re-)configuration of the peer UE to perform NR sidelink measurement and report.

- the (re-)configuration of the sidelink CSI reference signal resources and CSI reporting latency bound.

In RRC\_CONNECTED, the UE applies the NR sidelink communications parameters provided in *RRCReconfiguration* (if any). In RRC\_IDLE or RRC\_INACTIVE, the UE applies the NR sidelink communications parameters provided in system information (if any). For other cases, UEs apply the NR sidelink communications parameters provided in *SidelinkPreconfigNR* (if any). When UE performs state transition between above three cases, the UE applies the NR sidelink communications parameters provided in the new state, after acquisition of the new configurations. Before acquisition of the new configurations, UE continues applying the NR sidelink communications parameters provided in the old state.

*Next Modified Subclause*

#### 5.8.9.3 Sidelink radio link failure related actions

The UE shall:

1> upon indication from sidelink RLC entity that the maximum number of retransmissions for a specific destination has been reached; or

1> upon T400 expiry for a specific destination; or

1> upon indication from MAC entity that the maximum number of consecutive HARQ DTX for a specific destination has been reached; or

1> upon integrity check failure indication from sidelink PDCP entity concerning SL-SRB2 or SL-SRB3 for a specific destination:

2> consider sidelink radio link failure to be detected for this destination;

2> release the DRBs of this destination, in according to sub-clause 5.8.9.1a.1 if any;

2> release the SRBs of this destination, in according to sub-clause 5.8.9.1a.3;

2> release the sidelink RLC bearersnot associated with SL-PDCP of this destination, in according to sub-clause 5.8.9.x1.1;

2> discard the NR sidelink communication related configuration of this destination;

2> reset the sidelink specific MAC of this destination;

2> consider the PC5-RRC connection is released for the destination;

2> indicate the release of the PC5-RRC connection to the upper layers for this destination (i.e. PC5 is unavailable);

2> if UE is in RRC\_CONNECTED:

3> perform the sidelink UE information for NR sidelink communication procedure, as specified in 5.8.3.3;

3> if the UE connects with a L2 U2N Relay UE via PC5-RRC connection (i.e. the UE is a L2 U2N Remote UE):

4> initiate the RRC connection re-establishment procedure as specified in 5.3.7.

NOTE: It is up to UE implementation on whether and how to indicate to upper layers to maintain the keep-alive procedure [55].

*Next Modified Subclause*

#### 5.8.9.5 Actions related to PC5-RRC connection release requested by upper layers

The UE initiates the procedure when upper layers request the release of the PC5-RRC connection as specified in TS 24.587 [57]. The UE shall not initiate the procedure for power saving purposes.

The UE shall:

1> if the PC5-RRC connection release for the specific destination is requested by upper layers:

2> discard the NR sidelink communication related configuration of this destination;

2> release the DRBs of this destination, in according to sub-clause 5.8.9.1a.1 if any;

2> release the SRBs of this destination, in according to sub-clause 5.8.9.1a.3;

2> release the sidelink RLC bearers not associated with SL-PDCP of this destination, in according to sub-clause 5.8.9.x1.1;

2> reset the sidelink specific MAC of this destination.

2> consider the PC5-RRC connection is released for the destination;

*Next Modified Subclause(New)*

#### 5.8.9.x1 Sidelink RLC bearer management for L2 U2N relay

##### 5.8.9.x1.1 Sidelink RLC bearer release

The UE shall:

1> for each *sl-RLC-BearerConfigIndex* included in the received *sl-RLC-BearerToReleaseList* that is part of the current UE sidelink configuration:

2> release the RLC entity and the corresponding logical channel for NR sidelink communication, associated with the *sl-RLC-BearerConfigIndex*;

##### 5.8.9.x1.2 Sidelink RLC bearer addition/modification

For each *sl-RLC-BearerConfigIndex* received in the *sl-RLC-BearerToAddModList* IE the UE shall:

1> if the current configuration contains a sidelink RLC bearer with the received *sl-RLC-BearerConfigIndex*:

2> reconfigure the sidelink RLC entity or entities in accordance with the received *sl-RLC-ConfigPC5*;

2> reconfigure the sidelink logical channel in accordance with the received *sl-MAC-LogicalChannelConfigPC5*;

1> else (a sidelink RLC bearer with the received *sl-RLC-BearerConfigIndex* was not configured before):

2> establish an sidelink RLC entity in accordance with the received *sl-RLC-ConfigPC5*;

2> configure the sidelink MAC entity with a logical channel in accordance with the received *sl-MAC-LogicalChannelConfigPC5*.

*Editor’s Note:RAN2 to further discuss whether new or existing PC-5 RRC message is used for RRC\_IDLE/RRC\_INACTIVE Remote UE to provide 5G-S-TMSI/I-RNTI as well as interested SIB type to Relay UE.*

*Editor’s note: FFS how to capture the case of Relay UE in RRC\_CONNECTED for paging monitoring.*

*Editor’s Note:RAN2 to further discuss whether new or existing PC-5 RRC message is used for SI forwarding.*

*Next Modified Subclause(New)*

5.8.x1 NR sidelink discovery procedure

5.8.x1.1 General

The purpose of this procedure is to perform U2N Relay Discovery as specified in TS 23.304 [x1].

5.8.x1.2 Sidelink discovery monitoring

A UE capable of U2N Relay Discovery that is configured by upper layers to monitor NR sidelink discovery messages shall:

1> if the frequency used for NR sidelink discovery is included in *sl-FreqInfoToAddModList* in *RRCReconfiguration* message and *sl-DiscConfig* is included in *RRCReconfiguration*; or if the frequency used for NR sidelink discovery is includedin *sl-FreqInfoList* included in *SIB12* and *sl-DiscConfigCommon* is included in *SIB12*:

2> if the UE is configured with *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception included in *RRCReconfiguration* message with *reconfigurationWithSync* (i.e. handover)

3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception in *RRCReconfiguration*;

2> else if the cell chosen for NR sidelink discovery reception provides *SIB12*:

3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources indicated by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception *in SIB12*;

1> else:

2> if out of coverage on the concerned frequency for NR sidelink discovery:

3> configure lower layers to monitor sidelink control information and the corresponding data using the pool of resources that were preconfigured by *sl-DiscRxPool* or *sl-RxPool* for NR sidelink discovery reception in *SL-PreconfigurationNR*, asdefined in sub-clause 9.3;

*Editor’s Note: It is assumed that either sl-DiscRxPool or sl-RxPool will be provided by network, but not both. It can be revised if RAN2 agree to support configuring both to a relay/remote UE.*

5.8.x1.3 Sidelink discovery transmission

A UE capable of U2N Relay Discovery that is configured by upper layer to transmit NR sidelink discovery message shall:

1> if the frequency used for NR sidelink discovery is included in *sl-FreqInfoToAddModList* in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message and *sl-DiscConfig* is included in *RRCReconfiguration*; or if the frequency used for NR sidelink discovery is includedin *sl-FreqInfoList*  within *SIB12* and *sl-DiscConfigCommon* is included in *SIB12*:

2> if the UE is in RRC\_CONNECTED and uses the frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* message:

3> if the UE is acting as NR sidelink U2N Relay UE, and if the NR sidelink U2N Relay UE threshold conditions as specified in 5.8.x2.2 are met based on *sl-RelayUE-Config*; or

3> if the UE is selecting NR sidelink U2N Relay UE / has a selected NR sidelink U2N Relay UE, and if the NR sidelink U2N Remote UE threshold conditions as specified in 5.8.x3.2 are met based on *sl-RemoteUE-Config*:

4> if the UE is configured with *sl-ScheduledConfig*:

5> if T310 for MCG or T311 is running; and if *sl-TxPoolExceptional* is included in *sl-FreqInfoList* for the concerned frequency in *SIB12* or included in *sl-ConfigDedicatedNR* in *RRCReconfiguration*; or

5> if T301 is running and the cell on which the UE initiated RRC connection re-establishment provides *SIB12* including *sl-TxPoolExceptional* for the concerned frequency; or

5> if T304 for MCG is running and the UE is configured with *sl-TxPoolExceptional* included in *sl-ConfigDedicatedNR* for the concerned frequency in *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

*Editor’s Note: It is assumed that exceptional pool is supported for discovery in a similar way for communication.*

5> else:

6> configure lower layers to perform the sidelink resource allocation mode 1 using the pool of resources indicated by *sl-DiscTxPoolScheduling* or *sl-TxPoolScheduling* for NR sidelink discovery transmission on the concerned frequency in *RRCReconfiguration*;

5> if T311 is running, configure the lower layers to release the resources indicated by *rrc-ConfiguredSidelinkGrant* (if any);

4> if the UE is configured with *sl-UE-SelectedConfig*:

5> if a result of sensing on the resources configured in *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency included in *sl-ConfigDedicatedNR* within *RRCReconfiguration* is not available in accordance with TS 38.214 [19];

6> if *sl-TxPoolExceptional* for the concerned frequency is included in *RRCReconfiguration*; or

6> if the PCell provides *SIB12* including *sl-TxPoolExceptional* in *sl-FreqInfoList* for the concerned frequency:

7> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection using the pool of resources indicated by *sl-TxPoolExceptional* as defined in TS 38.321 [3];

5> else, if the *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency is included in the *sl-ConfigDedicatedNR* within *RRCReconfiguration*:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.214 [19]) using the pools of resources indicated by *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *RRCReconfiguration*;

2> else if the cell chosen for NR sidelink discovery transmission provides *SIB12*:

3> if the UE is acting as NR sidelink U2N Relay UE and if the NR sidelink U2N Relay UE threshold conditions as specified in 5.8.x2.2 are met based on *sl-RelayUE-ConfigCommon* in *SIB12*; or

3> if the UE is selecting NR sidelink U2N Relay UE / has a selected NR sidelink U2N Relay UE and if the NR sidelink U2N Remote UE threshold conditions as specified in 5.8.x3.2 are met based on *sl-RemoteUE-ConfigCommon* in *SIB12*:

4> if *SIB12* includes *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency,and a result of sensing on the resources configured in the *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission is available in accordance with TS 38.214 [19]:

5> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing using the pools of resources indicated by *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *SIB12* as defined in TS 38.321 [3];

4> else if *SIB12* includes *sl-TxPoolExceptional* for the concerned frequency:

5> from the moment the UE initiates RRC connection establishment or RRC connection resume, until receiving an *RRCReconfiguration* including *sl-ConfigDedicatedNR*, or receiving an *RRCRelease* or an *RRCReject*; or

5> if a result of sensing on the resources configured in *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *SIB12* is not available in accordance with TS 38.214 [19]:

6> configure lower layers to perform the sidelink resource allocation mode 2 based on random selection (as defined in TS 38.321 [3]) using one of the pools of resources indicated by *sl-TxPoolExceptional* for the concerned frequency;

1> else if out of coverage on the concerned frequency for NR sidelink discovery:

2> if the UE is acting as L3 U2N Relay UE and if the NR sidelink U2N Relay UE threshold conditions as specified in 5.8.x2.2 are met based on *sl-RelayUE-ConfigCommon* in *SidelinkPreconfigNR*; or

2> if the UE is selecting NR sidelink U2N Relay UE / has a selected NR sidelink U2N Relay UE and if the NR sidelink U2N Remote UE threshold conditions as specified in 5.8.x3.2 are met based on *sl-RemoteUE-ConfigCommon* in *SidelinkPreconfigNR*:

3> configure lower layers to perform the sidelink resource allocation mode 2 based on sensing (as defined in TS 38.321 [3] and TS 38.213 [13]) using the pools of resources indicated in *sl-DiscTxPoolSelected* or *sl-TxPoolSelectedNormal* for NR sidelink discovery transmission on the concerned frequency in *SidelinkPreconfigNR*.

5.8.x2 NR sidelink U2N Relay UE operation

5.8.x2.1 General

This procedure is used by a UE supporting NR sidelink U2N Relay UE operation configured by upper layers to receive/ transmit NR sidelink discovery messages to evaluate AS layer conditions.

5.8.x2.2 NR sidelink U2N Relay UE threshold conditions

A UE capable of NR sidelink U2N Relay UE operation shall:

1> if the threshold conditions specified in this clause were not met:

2> if *threshHighRelay* is not configured; or the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHighRelay* by *hystMaxRelay* if configured; and

2> if *threshLowRelay* is not configured; or the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshLowRelay* by *hystMinRelay* if configured:

3> consider the threshold conditions to be met (entry);

1> else:

2> if the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHighRelay* if configured; or

2> if the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshLowRelay* if configured;

3> consider the threshold conditions not to be met (leave);

5.8.x3 NR sidelink U2N Remote UE operation

5.8.x3.1 General

This procedure is used by a UE supporting NR sidelink U2N Remote UE operationconfigured by upper layers to receive/ transmit NR sidelink discovery message to evaluate AS layer conditions.

5.8.x3.2 NR Sidelink U2N Remote UE threshold conditions

A UE capable of NR sidelink U2N Remote UE operation shall:

1> if the threshold conditions specified in this clause were not met:

2> if *threshHighRemote* is not configured; or the RSRP measurement of the PCell, or the cell on which the UE camps, is below *threshHighRemote* by *hystMaxRemote* if configured:

3> consider the threshold conditions to be met (entry);

1> else:

2> if the RSRP measurement of the PCell, or the cell on which the UE camps, is above *threshHighRemote* if configured:

3> consider the threshold conditions not to be met (leave);

5.8.x3.3 Selection and reselection of NR sidelink U2N Relay UE

A UE capable of NR sidelink U2N Remote UE operation that is configured by upper layers to search for a NR sidelink U2N Relay UE shall:

1> if out of coverage [FFS the definition of OOC], as defined in TS 38.304 [20], clause 8.2; or

1> if the serving frequency is used for NR sidelink communication and the RSRP measurement of the cell on which the UE camps (for L2 and L3 U2N Remote UE in RRC\_IDLE or RRC\_INACTIVE)/ the PCell (for L3 U2N Remote UE in RRC\_CONNECTED) is below *threshHighRemote* within *sl-remoteUE-Config*:

*Editor’s Note: For L2 Remote UE, the definition/meaning of OoC for NR sidelink discovery/communication needs alignment between TS38.304 and TS38.331.*

*Editor’s Note: According to the agreement “Trigger of relay selection: Triggered at remote UE when: a) direct Uu link quality is below a configured threshold for an in-coverage remote UE (in IDLE/INACTIVE and CONNECTED for L3 U2N relay; L2 case to be further discussed)”, L2 remote UE in CONNECTED case will be further discussed. FFS how to capture the case.*

2> if the UE does not have a selected NR sidelink U2N Relay UE; or

2> if the UE has a selected NR sidelink U2N Relay UE, and SL-RSRP of the currently selected NR sidelink U2N Relay UE is available and is below *sl-RSRP-Thresh*; or

2> if the UE has a selected NR sidelink U2N Relay UE, and SL-RSRP of the currently selected NR sidelink U2N Relay UE is not available, and SD-RSRP of the currently selected U2N Relay UE is below *sl-RSRP-Thresh*; or

NOTE 1: U2N Remote UE uses SL-RSRP measurements for relay reselection trigger evaluation when there is data transmission from U2N Relay UE to U2N Remote UE, and it is left to UE implementation whether to use SL-RSRP or SD-RSRP for relay reselection trigger evaluation in case of no data transmission from U2N Relay UE to U2N Remote UE. If SD-RSRP is used, the discovery procedure will be preformed between the U2N Remote UE and the selected U2N Relay UE.

2> if the UE has a selected NR sidelink U2N Relay UE, and upper layers indicate not to use the currently selected NR sidelink U2N Relay UE; or

2> if the UE has a selected NR sidelink U2N Relay UE, and upper layers request the release of the PC5-RRC connection with the currently selected U2N Relay UE as specified in clause 5.8.9.5; or

2> if the UE has a selected NR sidelink U2N Relay UE, and sidelink radio link failure is detected on the PC5-RRC connection with the current U2N Relay UE as specified in clause 5.8.9.3:

3> perform NR sidelink discovery procedure as specified in clause 5.8.x1 in order to search for candidate NR sidelink U2N Relay UEs;

4> when evaluating the one or more detected NR sidelink U2N Relay UEs, apply layer 3 filtering as specified in 5.5.3.2 across measurements that concern the same U2N Relay UE ID and using the *sl-FilterCoefficient-RSRP* in *SystemInformationBlockType12* (in coverage) or the preconfigured *sl-FilterCoefficient-RSRP* as defined in 9.3 (out of coverage), before using the SD-RSRP measurement results;

4> select a candidate NR sidelink U2N Relay UE for which SD-RSRP exceeds *sl-RSRP-Thresh* by *sl-HystMin*;

NOTE 2: If multiple suitable candidate relay UEs which meet all AS-layer & higher layer criteria are available, it is up to Remote UE implementation to choose one Relay UE. The details of the interaction with upper layers are up to UE implementation.

3> if the UE did not detect any candidate NR sidelink U2N Relay UE which SD-RSRP exceeds *sl-RSRP-Thresh* by *sl-HystMin*:

4> consider no NR sidelink U2N Relay UE to be selected;

NOTE 3: For L2 U2N Remote UEs in RRC\_IDLE/INACTIVE and L3 U2N Remote UEs, the cell (re)selection procedure and relay (re)selection procedure run independently. If both suitable cells and suitable U2N Relay UEs are available, it is up to U2N Remote UE implementation to select either a cell or a U2N Relay UE. Furthermore, L3 U2N Remote UE’s selection on both cell and U2N Relay UE is also based on UE implementation.

*Editor’s Note: For L2 relay, PLMN ID is used as additional AS criteria for relay (re)selection, however it will be used for PLMN selection in TS 38.304 and no need to be reflected in this spec. FFS on whether/how to capture the agreement that cell ID is used as additional AS criteria.*

*Next Modified Subclause*

### 6.2.2 Message definitions

– *RRCReestablishment*

The *RRCReestablishment* message is used to re-establish SRB1.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCReestablishment* message**

-- ASN1START

-- TAG-RRCREESTABLISHMENT-START

RRCReestablishment ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcReestablishment RRCReestablishment-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCReestablishment-IEs ::= SEQUENCE {

 nextHopChainingCount NextHopChainingCount,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCReestablishment-v17xx-IEs OPTIONAL

}

RRCReestablishment-v17xx-IEs ::= SEQUENCE {

 UE-IdentityRemote-r17 RNTI-Value OPTIONAL, -- Cond RemoteUE

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCREESTABLISHMENT-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *RemoteUE* | The field is mandatory present for L2 U2N Remote UE; otherwise it is absent. |

– *RRCReconfiguration*

The *RRCReconfiguration* message is the command to modify an RRC connection. It may convey information for measurement configuration, mobility control, radio resource configuration (including RBs, MAC main configuration and physical channel configuration) and AS security configuration.

Signalling radio bearer: SRB1 or SRB3

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCReconfiguration message***

-- ASN1START

-- TAG-RRCRECONFIGURATION-START

RRCReconfiguration ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcReconfiguration RRCReconfiguration-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCReconfiguration-IEs ::= SEQUENCE {

 radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

 secondaryCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Cond SCG

 measConfig MeasConfig OPTIONAL, -- Need M

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCReconfiguration-v1530-IEs OPTIONAL

}

RRCReconfiguration-v1530-IEs ::= SEQUENCE {

 masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

 fullConfig ENUMERATED {true} OPTIONAL, -- Cond FullConfig

 dedicatedNAS-MessageList SEQUENCE (SIZE(1..maxDRB)) OF DedicatedNAS-Message OPTIONAL, -- Cond nonHO

 masterKeyUpdate MasterKeyUpdate OPTIONAL, -- Cond MasterKeyChange

 dedicatedSIB1-Delivery OCTET STRING (CONTAINING SIB1) OPTIONAL, -- Need N

 dedicatedSystemInformationDelivery OCTET STRING (CONTAINING SystemInformation) OPTIONAL, -- Need N

 otherConfig OtherConfig OPTIONAL, -- Need M

 nonCriticalExtension RRCReconfiguration-v1540-IEs OPTIONAL

}

RRCReconfiguration-v1540-IEs ::= SEQUENCE {

 otherConfig-v1540 OtherConfig-v1540 OPTIONAL, -- Need M

 nonCriticalExtension RRCReconfiguration-v1560-IEs OPTIONAL

}

RRCReconfiguration-v1560-IEs ::= SEQUENCE {

 mrdc-SecondaryCellGroupConfig SetupRelease { MRDC-SecondaryCellGroupConfig } OPTIONAL, -- Need M

 radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

 sk-Counter SK-Counter OPTIONAL, -- Need N

 nonCriticalExtension RRCReconfiguration-v1610-IEs OPTIONAL

}

RRCReconfiguration-v1610-IEs ::= SEQUENCE {

 otherConfig-v1610 OtherConfig-v1610 OPTIONAL, -- Need M

 bap-Config-r16 SetupRelease { BAP-Config-r16 } OPTIONAL, -- Need M

 iab-IP-AddressConfigurationList-r16 IAB-IP-AddressConfigurationList-r16 OPTIONAL, -- Need M

 conditionalReconfiguration-r16 ConditionalReconfiguration-r16 OPTIONAL, -- Need M

 daps-SourceRelease-r16 ENUMERATED{true} OPTIONAL, -- Need N

 t316-r16 SetupRelease {T316-r16} OPTIONAL, -- Need M

 needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

 onDemandSIB-Request-r16 SetupRelease { OnDemandSIB-Request-r16 } OPTIONAL, -- Need M

 dedicatedPosSysInfoDelivery-r16 OCTET STRING (CONTAINING PosSystemInformation-r16-IEs) OPTIONAL, -- Need N

 sl-ConfigDedicatedNR-r16 SetupRelease {SL-ConfigDedicatedNR-r16} OPTIONAL, -- Need M

 sl-ConfigDedicatedEUTRA-Info-r16 SetupRelease {SL-ConfigDedicatedEUTRA-Info-r16} OPTIONAL, -- Need M

 targetCellSMTC-SCG-r16 SSB-MTC OPTIONAL, -- Need S

 nonCriticalExtension RRCReconfiguration-v17xx-IEs OPTIONAL

}

RRCReconfiguration-v17xx-IEs ::= SEQUENCE {

 pathSwitchConfig-r17 PathSwitchConfig-r17 OPTIONAL, -- RemoteUE

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

MRDC-SecondaryCellGroupConfig ::= SEQUENCE {

 mrdc-ReleaseAndAdd ENUMERATED {true} OPTIONAL, -- Need N

 mrdc-SecondaryCellGroup CHOICE {

 nr-SCG OCTET STRING (CONTAINING RRCReconfiguration),

 eutra-SCG OCTET STRING

 }

}

BAP-Config-r16 ::= SEQUENCE {

 bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

 defaultUL-BAP-RoutingID-r16 BAP-RoutingID-r16 OPTIONAL, -- Need M

 defaultUL-BH-RLC-Channel-r16 BH-RLC-ChannelID-r16 OPTIONAL, -- Need M

 flowControlFeedbackType-r16 ENUMERATED {perBH-RLC-Channel, perRoutingID, both} OPTIONAL, -- Need R

 ...

}

MasterKeyUpdate ::= SEQUENCE {

 keySetChangeIndicator BOOLEAN,

 nextHopChainingCount NextHopChainingCount,

 nas-Container OCTET STRING OPTIONAL, -- Cond securityNASC

 ...

}

OnDemandSIB-Request-r16 ::= SEQUENCE {

 onDemandSIB-RequestProhibitTimer-r16 ENUMERATED {s0, s0dot5, s1, s2, s5, s10, s20, s30}

}

T316-r16 ::= ENUMERATED {ms50, ms100, ms200, ms300, ms400, ms500, ms600, ms1000, ms1500, ms2000}

IAB-IP-AddressConfigurationList-r16 ::= SEQUENCE {

 iab-IP-AddressToAddModList-r16 SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressConfiguration-r16 OPTIONAL, -- Need N

 iab-IP-AddressToReleaseList-r16 SEQUENCE (SIZE(1..maxIAB-IP-Address-r16)) OF IAB-IP-AddressIndex-r16 OPTIONAL, -- Need N

 ...

}

IAB-IP-AddressConfiguration-r16 ::= SEQUENCE {

 iab-IP-AddressIndex-r16 IAB-IP-AddressIndex-r16,

 iab-IP-Address-r16 IAB-IP-Address-r16 OPTIONAL, -- Need M

 iab-IP-Usage-r16 IAB-IP-Usage-r16 OPTIONAL, -- Need M

 iab-donor-DU-BAP-Address-r16 BIT STRING (SIZE(10)) OPTIONAL, -- Need M

...

}

SL-ConfigDedicatedEUTRA-Info-r16 ::= SEQUENCE {

 sl-ConfigDedicatedEUTRA-r16 OCTET STRING OPTIONAL, -- Need M

 sl-TimeOffsetEUTRA-List-r16 SEQUENCE (SIZE (8)) OF SL-TimeOffsetEUTRA-r16 OPTIONAL -- Need M

}

SL-TimeOffsetEUTRA-r16 ::= ENUMERATED {ms0, ms0dot25, ms0dot5, ms0dot625, ms0dot75, ms1, ms1dot25, ms1dot5, ms1dot75,

 ms2, ms2dot5, ms3, ms4, ms5, ms6, ms8, ms10, ms20}

PathSwitchConfig-r17 ::= SEQUENCE {

 relayUE-Identity-r17 FFS,

 pCell-Identity-r17 FFS,

 newUE-IdentityRemote-r17 RNTI-Value,

 ...

}

-- TAG-RRCRECONFIGURATION-STOP

-- ASN1STOP

*Editor’s Note:RAN2 to further discuss if the reconfigurationWithSync can be reused for path switch from direct link to indirect link.*

|  |
| --- |
| ***RRCReconfiguration-IEs* field descriptions** |
| ***bap-Config***This field is used to configure the BAP entity for IAB nodes. |
| ***bap-Address***Indicates the BAP address of an IAB-node. The BAP address of an IAB-node cannot be changed once configured to the BAP entity. |
| ***conditionalReconfiguration***Configuration of candidate target SpCell(s) and execution condition(s) for conditional handover or conditional PSCell change. For conditional PSCell change, this field may only be present in an *RRCReconfiguration* message for intra-SN PSCell change. The network does not configure a UE with both conditional PCell change and conditional PSCell change simultaneously. The field is absent if any DAPS bearer is configured or if the *masterCellGroup* includes *ReconfigurationWithSync*. For conditional PSCell change, the field is absent if the *secondaryCellGroup* includes *ReconfigurationWithSync*. The *RRCReconfiguration* message contained in *DLInformationTransferMRDC* cannot contain the field *conditionalReconfiguration* for conditional PSCell change. |
| ***daps-SourceRelease***Indicates to UE that the source cell part of DAPS operation is to be stopped and the source cell part of DAPS configuration is to be released. |
| ***dedicatedNAS-MessageList***This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for each PDU in the list.  |
| ***dedicatedPosSysInfoDelivery***This field is used to transfer *SIBPos* to the UE in RRC\_CONNECTED. |
| ***dedicatedSIB1-Delivery***This field is used to transfer *SIB1* to the UE. The field has the same values as the corresponding configuration in *servingCellConfigCommon*. |
| ***dedicatedSystemInformationDelivery***This field is used to transfer *SIB6*, *SIB7*, *SIB8* to the UE with an active BWP with no common serach space configured. For UEs in RRC\_CONNECTED, this field is used to transfer the SIBs requested on-demand. |
| ***defaultUL-BAP-RoutingID***This field is used for IAB-node to configure the default uplink Routing ID, which is used by IAB-node during IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment for *F1-C* and *non-F1* traffic. The *defaultUL-BAP-RoutingID* can be (re-)configured when IAB-node IP address for *F1-C* related traffic changes. This field is mandatory only for IAB-node bootstrapping. |
| ***defaultUL-BH-RLC-Channel***This field is used for IAB-nodes to configure the default uplink BH RLC channel*,* which is used by IAB-nodeduring IAB-node bootstrapping*,* migration, IAB-MT RRC resume and IAB-MT RRC re-establishment *for F1-C and non-F1 traffic*. The *defaultUL-BH-RLC-Channel* can be (re-)configured when IAB-node IP address for *F1-C* related traffic changes, and the new IP address is anchored at a different IAB-donor-DU. This field is mandatory for IAB-node bootstrapping. If the IAB-MT is operating in EN-DC, the default uplink BH RLC channel is referring to an RLC channel on the SCG; Otherwise, it is referring to an RLC channel on the MCG. |
| ***flowControlFeedbackType***This field is only used for IAB-node that support hop-by-hop flow control to configure the type of flow control feedback. Value *perBH-RLC-Channel* indicates that the IAB-node shall provide flow control feedback per BH RLC channel, value *perRoutingID* indicates that the IAB-node shall provide flow control feedback per routing ID, and value *both* indicates that the IAB-node shall provide flow control feedback both per BH RLC channel and per routing ID. |
| ***fullConfig***Indicates that the full configuration option is applicable for the *RRCReconfiguration* message for intra-system intra-RAT HO. For inter-RAT HO from E-UTRA to NR, *fullConfig* indicates whether or not delta signalling of SDAP/PDCP from source RAT is applicable. This field is absent if any DAPS bearer is configured or when the *RRCReconfiguration* message is transmitted on SRB3, and in an *RRCReconfiguration* message for SCG contained in another *RRCReconfiguration* message (or *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1. |
| ***iab-IP-Address***This field is used to provide the IP address information for IAB-node. |
| ***iab-IP-AddressIndex***This field is used to identify a configuration of an IP address. |
| ***iab-IP-AddressToAddModList***List of IP addresses allocated for IAB-node to be added and modified. |
| ***iab-IP-AddressToReleaseList***List of IP address allocated for IAB-node to be released. |
| ***iab-IP-Usage***This field is used to indicate the usage of the assigned IP address. If this field is not configured, the assigned IP address is used for all traffic. |
| ***iab-donor-DU-BAP-Address***This field is used to indicate the BAP address of the IAB-donor-DU where the IP address is anchored. |
| ***keySetChangeIndicator***Indicates whether UE shall derive a new KgNB. If *reconfigurationWithSync* is included, value *true* indicates that a KgNB key is derived from a KAMF key taken into use through the latest successful NAS SMC procedure, or N2 handover procedure with KAMF change, as described in TS 33.501 [11] for KgNB re-keying. Value *false* indicates that the new KgNB key is obtained from the current KgNB key or from the NH as described in TS 33.501 [11]. |
| ***masterCellGroup***Configuration of master cell group. |
| ***mrdc-ReleaseAndAdd***This field indicates that the current SCG configuration is released and a new SCG is added at the same time. |
| ***mrdc-SecondaryCellGroup***Includes an RRC message for SCG configuration in NR-DC or NE-DC.For NR-DC (nr-SCG), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup, otherConfig, conditionalReconfiguration* and *measConfig*.For NE-DC (eutra-SCG), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message can only include the field *scg-Configuration*. |
| ***nas-Container***This field is used to transfer UE specific NAS layer information between the network and the UE. The RRC layer is transparent for this field, although it affects activation of AS security after inter-system handover to NR. The content is defined in TS 24.501 [23]. |
| ***needForGapsConfigNR***Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***nextHopChainingCount***Parameter NCC: See TS 33.501 [11] |
| ***onDemandSIB-Request***If the field is present, the UE is allowed to request SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. |
| ***onDemandSIB-RequestProhibitTimer***Prohibit timer for requesting SIB(s) on-demand while in RRC\_CONNECTED according to clause 5.2.2.3.5. Value in seconds. Value s0 means prohibit timer is set to 0 seconds, value s0dot5 means prohibit timer is set to 0.5 seconds, value s1 means prohibit timer is set to 1 second and so on. |
| ***otherConfig***Contains configuration related to other configurations. When configured for the SCG, only fields *drx-PreferenceConfig, maxBW-PreferenceConfig, maxCC-PreferenceConfig, maxMIMO-LayerPreferenceConfig*, *minSchedulingOffsetPreferenceConfig, btNameList, wlanNameList, sensorNameList* and *obtainCommonLocation* can be included. |
| ***pathSwitchConfig***Parameters for the path switch to the target L2 U2N Relay UE for L2 U2N Remote UE. |
| ***radioBearerConfig***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. In EN-DC this field may only be present if the *RRCReconfiguration* is transmitted over SRB3. |
| ***radioBearerConfig2***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***secondaryCellGroup***Configuration of secondary cell group ((NG)EN-DC or NR-DC). |
| ***sk-Counter***A counter used upon initial configuration of S-KgNB or S-KeNB, as well as upon refresh of S-KgNB or S-KeNB. This field is always included either upon initial configuration of an NR SCG or upon configuration of the first RB with *keyToUse* set to *secondary*, whichever happens first. This field is absent if there is neither any NR SCG nor any RB with *keyToUse* set to *secondary*. |
| ***sl-ConfigDedicatedNR***This field is used to provide the dedicated configurations for NR sidelink communication. |
| ***sl-ConfigDedicatedEUTRA-Info***This field includes the E-UTRA *RRCConnectionReconfiguration* as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA *RRCConnectionReconfiguration* can only includes sidelink related fields for V2X sidelink communication, i.e. *sl-V2X-ConfigDedicated*, *sl-V2X-SPS-Config*, *measConfig* and/or *otherConfig*. |
| ***sl-TimeOffsetEUTRA***This field indicates the possible time offset to (de)activation of V2X sidelink transmission after receiving DCI format 3\_1 used for scheduling V2X sidelink communication. Value *ms0dpt75* corresponds to 0.75ms, *ms1* corresponds to 1ms and so on. The network includes this field only when *sl-ConfigDedicatedEUTRA* is configured. |
| ***targetCellSMTC-SCG***The SSB periodicity/offset/duration configuration of target cell for NR PSCell addition and SN change. When UE receives this field, UE applies the configuration based on the timing reference of NR PCell for PSCell addition and PSCell change for the case of no reconfiguration with sync of MCG, and UE applies the configuration based on the timing reference of target NR PCell for the case of reconfiguration with sync of MCG. If both this field and the *smtc* in *secondaryCellGroup* -> *SpCellConfig* -> *reconfigurationWithSync* are absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |
| ***t316***Indicates the value for timer T316 as described in clause 7.1. Value *ms50* corresponds to 50 ms, value *ms100* corresponds to 100 ms and so on. This field can be configured only if the UE is configured with split SRB1 or SRB3. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *nonHO* | The field is absent in case of reconfiguration with sync within NR or to NR; otherwise it is optionally present, need N. |
| *securityNASC* | This field is mandatory present in case of inter system handover. Otherwise the field is optionally present, need N. |
| *MasterKeyChange* | This field is mandatory present in case *masterCellGroup* includes *ReconfigurationWithSync* and *RadioBearerConfig* includes *SecurityConfig* with *SecurityAlgorithmConfig*, indicating a change of the AS security algorithms associated to the master key. If *ReconfigurationWithSync* is included for other cases, this field is optionally present, need N. Otherwise the field is absent. |
| *FullConfig* | The field is mandatory present in case of inter-system handover from E-UTRA/EPC to NR. It is optionally present, Need N, during reconfiguration with sync and also in first reconfiguration after reestablishment; or for intra-system handover from E-UTRA/5GC to NR. It is absent otherwise. |
| *SCG* | The field is mandatory present in:- an *RRCReconfiguration* message contained in an *RRCResume* message (or in an *RRCConnectionResume* message, see TS 36.331 [10]),- an *RRCReconfiguration* message contained in an *RRCConnectionReconfiguration* message, see TS 36.331 [10], which is contained in *DLInformationTransferMRDC* transmitted on SRB3 (as a response to *ULInformationTransferMRDC* including an *MCGFailureInformation*).The field is optional present, Need M, in:- an *RRCReconfiguration* message transmitted on SRB3,- an *RRCReconfiguration* message contained in another *RRCReconfiguration* message (or in an *RRCConnectionReconfiguration* message, see TS 36.331 [10]) transmitted on SRB1- an *RRCReconfiguration* message contained in another *RRCReconfiguration* message which is contained in *DLInformationTransferMRDC* transmitted on SRB3 (as a response to *ULInformationTransferMRDC* including an *MCGFailureInformation*)Otherwise, the field is absent |
| *RemoteUE* | The field is mandatory present in the *RRCReconfiguration* message at path switch to target L2 U2N Relay UE for L2 U2N Remote UE. Otherwise, it is absent. |

– *RRCResume*

The *RRCResume* message is used to resume the suspended RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

***RRCResume* message**

-- ASN1START

-- TAG-RRCRESUME-START

RRCResume ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcResume RRCResume-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCResume-IEs ::= SEQUENCE {

 radioBearerConfig RadioBearerConfig OPTIONAL, -- Need M

 masterCellGroup OCTET STRING (CONTAINING CellGroupConfig) OPTIONAL, -- Need M

 measConfig MeasConfig OPTIONAL, -- Need M

 fullConfig ENUMERATED {true} OPTIONAL, -- Need N

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCResume-v1560-IEs OPTIONAL

}

RRCResume-v1560-IEs ::= SEQUENCE {

 radioBearerConfig2 OCTET STRING (CONTAINING RadioBearerConfig) OPTIONAL, -- Need M

 sk-Counter SK-Counter OPTIONAL, -- Need N

 nonCriticalExtension RRCResume-v1610-IEs OPTIONAL

}

RRCResume-v1610-IEs ::= SEQUENCE {

 idleModeMeasurementReq-r16 ENUMERATED {true} OPTIONAL, -- Need N

 restoreMCG-SCells-r16 ENUMERATED {true} OPTIONAL, -- Need N

 restoreSCG-r16 ENUMERATED {true} OPTIONAL, -- Need N

 mrdc-SecondaryCellGroup-r16 CHOICE {

 nr-SCG-r16 OCTET STRING (CONTAINING RRCReconfiguration),

 eutra-SCG-r16 OCTET STRING

 } OPTIONAL, -- Cond RestoreSCG

 needForGapsConfigNR-r16 SetupRelease {NeedForGapsConfigNR-r16} OPTIONAL, -- Need M

 nonCriticalExtension RRCResume-v17xx-IEs OPTIONAL

}

RRCResume-v17xx-IEs ::= SEQUENCE {

 UE-IdentityRemote-r17 RNTI-Value OPTIONAL, -- Cond RemoteUE

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCRESUME-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCResume-IEs* field descriptions** |
| ***idleModeMeasurementReq***This field indicates that the UE shall report the idle/inactive measurements, if available, to the network in the *RRCResumeComplete* message |
| ***masterCellGroup***Configuration of the master cell group. |
| ***mrdc-SecondaryCellGroup***Includes an RRC message for SCG configuration in NR-DC or NE-DC.For NR-DC (*nr-SCG*), *mrdc-SecondaryCellGroup* contains the *RRCReconfiguration* message as generated (entirely) by SN gNB. In this version of the specification, the RRC message can only include fields *secondaryCellGroup* (with at least *reconfigurationWithSync*)*,* *otherConfig* and *measConfig*.For NE-DC (*eutra-SCG*), *mrdc-SecondaryCellGroup* includes the E-UTRA *RRCConnectionReconfiguration* message as specified in TS 36.331 [10]. In this version of the specification, the E-UTRA RRC message only include the field *scg-Configuration* with at least *mobilityControlInfoSCG*. |
| ***needForGapsConfigNR***Configuration for the UE to report measurement gap requirement information of NR target bands in the *RRCReconfigurationComplete* and *RRCResumeComplete* message. |
| ***radioBearerConfig***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. |
| ***radioBearerConfig2***Configuration of Radio Bearers (DRBs, SRBs) including SDAP/PDCP. This field can only be used if the UE supports NR-DC or NE-DC. |
| ***restoreMCG-SCells***Indicates that the UE shall restore the MCG SCells from the UE Inactive AS Context, if stored. |
| ***restoreSCG***Indicates that the UE shall restore the SCG configurations from the UE Inactive AS Context, if stored. |
| ***sk-Counter***A counter used to derive S-KgNB or S-KeNB based on the newly derived KgNB during RRC Resume. The field is only included when there is one or more RB with *keyToUse* set to *secondary* *or mrdc-SecondaryCellGroup* is included. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *RestoreSCG* | The field is mandatory present if *restoreSCG* is included. It is optionally present, Need M, otherwise. |
| *RemoteUE* | The field is mandatory present for L2 U2N Remote UE; otherwise it is absent. |

– *RRCSetup*

The *RRCSetup* message is used to establish SRB1.

Signalling radio bearer: SRB0

RLC-SAP: TM

Logical channel: CCCH

Direction: Network to UE

***RRCSetup* message**

-- ASN1START

-- TAG-RRCSETUP-START

RRCSetup ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcSetup RRCSetup-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCSetup-IEs ::= SEQUENCE {

 radioBearerConfig RadioBearerConfig,

 masterCellGroup OCTET STRING (CONTAINING CellGroupConfig),

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCSetup-v17xx-IEs OPTIONAL

}

RRCSetup-v17xx-IEs ::= SEQUENCE {

 UE-IdentityRemote-r17 RNTI-Value OPTIONAL, -- Cond RemoteUE

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

-- TAG-RRCSETUP-STOP

-- ASN1STOP

|  |
| --- |
| ***RRCSetup-IEs* field descriptions** |
| ***masterCellGroup***The network configures only the RLC bearer for the SRB1, *mac-CellGroupConfig*, *physicalCellGroupConfig* and *spCellConfig*. |
| ***radioBearerConfig***Only SRB1 can be configured in RRC setup. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *RemoteUE* | The field is mandatory present for L2 U2N Remote UE; otherwise it is absent. |

*Next Modified Subclause*

6.3.1 System information blocks

– *SIB12*

SIB12 contains NR sidelink communication configuration.

***SIB12* information element**

-- ASN1START

-- TAG-SIB12-START

SIB12-r16 ::= SEQUENCE {

 segmentNumber-r16 INTEGER (0..63),

 segmentType-r16 ENUMERATED {notLastSegment, lastSegment},

 segmentContainer-r16 OCTET STRING

}

SIB12-IEs-r16 ::= SEQUENCE {

 sl-ConfigCommonNR-r16 SL-ConfigCommonNR-r16,

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 ...,

 [[

 sl-DiscConfigCommon-r17 SL-DiscConfigCommon-r17 OPTIONAL -- Need R

 ]]

}

SL-ConfigCommonNR-r16 ::= SEQUENCE {

 sl-FreqInfoList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-FreqConfigCommon-r16 OPTIONAL, -- Need R

 sl-UE-SelectedConfig-r16 SL-UE-SelectedConfig-r16 OPTIONAL, -- Need R

 sl-NR-AnchorCarrierFreqList-r16 SL-NR-AnchorCarrierFreqList-r16 OPTIONAL, -- Need R

 sl-EUTRA-AnchorCarrierFreqList-r16 SL-EUTRA-AnchorCarrierFreqList-r16 OPTIONAL, -- Need R

 sl-RadioBearerConfigList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SL-RadioBearerConfig-r16 OPTIONAL, -- Need R

 sl-RLC-BearerConfigList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfig-r16 OPTIONAL, -- Need R

 sl-MeasConfigCommon-r16 SL-MeasConfigCommon-r16 OPTIONAL, -- Need R

 sl-CSI-Acquisition-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 sl-OffsetDFN-r16 INTEGER (1..1000) OPTIONAL, -- Need R

 t400-r16 ENUMERATED {ms100, ms200, ms300, ms400, ms600, ms1000, ms1500, ms2000} OPTIONAL, -- Need R

 sl-MaxNumConsecutiveDTX-r16 ENUMERATED {n1, n2, n3, n4, n6, n8, n16, n32} OPTIONAL, -- Need R

 sl-SSB-PriorityNR-r16 INTEGER (1..8) OPTIONAL -- Need R

}

SL-NR-AnchorCarrierFreqList-r16 ::= SEQUENCE (SIZE (1..maxFreqSL-NR-r16)) OF ARFCN-ValueNR

SL-EUTRA-AnchorCarrierFreqList-r16 ::= SEQUENCE (SIZE (1..maxFreqSL-EUTRA-r16)) OF ARFCN-ValueEUTRA

SL-DiscConfigCommon-r17 ::= SEQUENCE {

 sl-RelayUE-ConfigCommon-r17 SL-RelayUE-Config-r17,

 sl-RemoteUE-ConfigCommon-r17 SL-RemoteUE-Config-r17

}

-- TAG-SIB12-STOP

-- ASN1STOP

| ***SIB12* field descriptions** |
| --- |
| ***segmentContainer***This field includes a segment of the encoded *SIB12-IEs*. The size of the included segment in this container should be small enough that the SIB message size is less than or equal to the maximum size of a NR SI, i.e. 2976 bits when SIB12 is broadcast. |
| ***segmentNumber***This field identifies the sequence number of a segment of *SIB12-IEs*. A segment number of zero corresponds to the first segment, A segment number of one corresponds to the second segment, and so on. |
| ***segmentType***This field indicates whether the included segment is the last segment or not. |
| ***sl-CSI-Acquisition***This field indicates whether CSI reporting is enabled in sidelink unicast. If not set, SL CSI reporting is disabled. |
| ***sl-EUTRA-AnchorCarrierFreqList***This field indicates the EUTRA anchor carrier frequency list, which can provide the NR sidelink communication configurations. |
| ***sl-FreqInfoList***This field indicates the NR sidelink communication configuration on some carrier frequency (ies). In this release, only one entry can be configured in the list. |
| ***sl-MaxNumConsecutiveDTX***This field indicates the maximum number of consecutive HARQ DTX before triggering sidelink RLF. Value n1 corresponds to 1, value n2 corresponds to 2, and so on. |
| ***sl-MeasConfigCommon***This field indicates the measurement configurations (e.g. RSRP) for NR sidelink communication. |
| ***sl-NR-AnchorCarrierFreqList***This field indicates the NR anchor carrier frequency list, which can provide the NR sidelink communication configurations. |
| ***sl-OffsetDFN***Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on. |
| ***sl-RadioBearerConfigList***This field indicates one or multiple sidelink radio bearer configurations. |
| ***sl-RLC-BearerConfigList***This field indicates one or multiple sidelink RLC bearer configurations. |
| ***sl-SSB-PriorityNR***This field indicates the priority of NR sidelink SSB transmission and reception. |
| ***t400***Indicates the value for timer T400 as described in clause 7.1. Value ms100 corresponds to 100 ms, value ms200 corresponds to 200 ms and so on. |

*Next Modified Subclause*

6.3.2 Radio resource control information elements

– *CellGroupConfig*

The *CellGroupConfig* IE is used to configure a master cell group (MCG) or secondary cell group (SCG). A cell group comprises of one MAC entity, a set of logical channels with associated RLC entities and of a primary cell (SpCell) and one or more secondary cells (SCells).

***CellGroupConfig* information element**

-- ASN1START

-- TAG-CELLGROUPCONFIG-START

-- Configuration of one Cell-Group:

CellGroupConfig ::= SEQUENCE {

 cellGroupId CellGroupId,

 rlc-BearerToAddModList SEQUENCE (SIZE(1..maxLC-ID)) OF RLC-BearerConfig OPTIONAL, -- Need N

 rlc-BearerToReleaseList SEQUENCE (SIZE(1..maxLC-ID)) OF LogicalChannelIdentity OPTIONAL, -- Need N

 mac-CellGroupConfig MAC-CellGroupConfig OPTIONAL, -- Need M

 physicalCellGroupConfig PhysicalCellGroupConfig OPTIONAL, -- Need M

 spCellConfig SpCellConfig OPTIONAL, -- Need M

 sCellToAddModList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellConfig OPTIONAL, -- Need N

 sCellToReleaseList SEQUENCE (SIZE (1..maxNrofSCells)) OF SCellIndex OPTIONAL, -- Need N

 ...,

 [[

 reportUplinkTxDirectCurrent ENUMERATED {true} OPTIONAL -- Cond BWP-Reconfig

 ]],

 [[

 bap-Address-r16 BIT STRING (SIZE (10)) OPTIONAL, -- Need M

 bh-RLC-ChannelToAddModList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelConfig-r16 OPTIONAL, -- Need N

 bh-RLC-ChannelToReleaseList-r16 SEQUENCE (SIZE(1..maxBH-RLC-ChannelID-r16)) OF BH-RLC-ChannelID-r16 OPTIONAL, -- Need N

 f1c-TransferPath-r16 ENUMERATED {lte, nr, both} OPTIONAL, -- Need M

 simultaneousTCI-UpdateList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 simultaneousTCI-UpdateList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 simultaneousSpatial-UpdatedList1-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 simultaneousSpatial-UpdatedList2-r16 SEQUENCE (SIZE (1..maxNrofServingCellsTCI-r16)) OF ServCellIndex OPTIONAL, -- Need R

 uplinkTxSwitchingOption-r16 ENUMERATED {switchedUL, dualUL} OPTIONAL, -- Need R

 uplinkTxSwitchingPowerBoosting-r16 ENUMERATED {enabled} OPTIONAL -- Need R

 ]],

 [[

 reportUplinkTxDirectCurrentTwoCarrier-r16 ENUMERATED {true} OPTIONAL -- Need N

 ]]

}

-- Serving cell specific MAC and PHY parameters for a SpCell:

SpCellConfig ::= SEQUENCE {

 servCellIndex ServCellIndex OPTIONAL, -- Cond SCG

 reconfigurationWithSync ReconfigurationWithSync OPTIONAL, -- Cond ReconfWithSync

 rlf-TimersAndConstants SetupRelease { RLF-TimersAndConstants } OPTIONAL, -- Need M

 rlmInSyncOutOfSyncThreshold ENUMERATED {n1} OPTIONAL, -- Need S

 spCellConfigDedicated ServingCellConfig OPTIONAL, -- Need M

 ...

}

ReconfigurationWithSync ::= SEQUENCE {

 spCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Need M

 newUE-Identity RNTI-Value,

 t304 ENUMERATED {ms50, ms100, ms150, ms200, ms500, ms1000, ms2000, ms10000},

 rach-ConfigDedicated CHOICE {

 uplink RACH-ConfigDedicated,

 supplementaryUplink RACH-ConfigDedicated

 } OPTIONAL, -- Need N

 ...,

 [[

 smtc SSB-MTC OPTIONAL -- Need S

 ]],

 [[

 daps-UplinkPowerConfig-r16 DAPS-UplinkPowerConfig-r16 OPTIONAL -- Need N

 ]]

}

DAPS-UplinkPowerConfig-r16 ::= SEQUENCE {

 p-DAPS-Source-r16 P-Max,

 p-DAPS-Target-r16 P-Max,

 uplinkPowerSharingDAPS-Mode-r16 ENUMERATED {semi-static-mode1, semi-static-mode2, dynamic }

}

SCellConfig ::= SEQUENCE {

 sCellIndex SCellIndex,

 sCellConfigCommon ServingCellConfigCommon OPTIONAL, -- Cond SCellAdd

 sCellConfigDedicated ServingCellConfig OPTIONAL, -- Cond SCellAddMod

 ...,

 [[

 smtc SSB-MTC OPTIONAL -- Need S

 ]],

 [[

 sCellState-r16 ENUMERATED {activated} OPTIONAL, -- Cond SCellAddSync

 secondaryDRX-GroupConfig-r16 ENUMERATED {true} OPTIONAL -- Cond DRX-Config2

 ]]}

-- TAG-CELLGROUPCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***CellGroupConfig* field descriptions** |
| ***bap-Address***BAP address of the parent node in cell group. |
| ***bh-RLC-ChannelToAddModList***Configuration of the backhaul RLC entities and the corresponding MAC Logical Channels to be added and modified. |
| ***bh-RLC-ChannelToReleaseList***List of the backhaul RLC entities and the corresponding MAC Logical Channels to be released. |
| ***f1c-TransferPath***The F1-C transfer path that an EN-DC IAB-MT should use for transferring F1-C packets to the IAB-donor-CU. If IAB-MT is configured with *lte*, IAB-MT can only use LTE leg for F1-C transfer. If IAB-MT is configured with *nr*, IAB-MT can only use NR leg for F1-C transfer. If IAB-MT is configured with *both*, it is up to IAB-MT to select an LTE leg or a NR leg for F1-C transfer. If the field is not configured, the IAB node uses the NR leg as the default one. |
| ***mac-CellGroupConfig***MAC parameters applicable for the entire cell group. |
| ***rlc-BearerToAddModList***Configuration of the MAC Logical Channel, the corresponding RLC entities and association with radio bearers. |
| ***reportUplinkTxDirectCurrent***Enables reporting of uplink and supplementary uplink Direct Current location information upon BWP configuration and reconfiguration. This field is only present when the BWP configuration is modified or any serving cell is added or removed. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. If UE is configured with SUL carrier, UE reports both UL and SUL Direct Current locations. |
| ***reportUplinkTxDirectCurrentTwoCarrier***Enables reporting of uplink Direct Current location information when the UE is configured with uplink intra-band CA with two carriers. This field is absent in the IE *CellGroupConfig* when provided as part of *RRCSetup* message. |
| ***rlmInSyncOutOfSyncThreshold***BLER threshold pair index for IS/OOS indication generation, see TS 38.133 [14], table 8.1.1-1. *n1* corresponds to the value 1. When the field is absent, the UE applies the value 0. Whenever this is reconfigured, UE resets N310 and N311, and stops T310, if running. Network does not include this field. |
| ***sCellState***Indicates whether the SCell shall be considered to be in activated state upon SCell configuration. |
| ***sCellToAddModList***List of secondary serving cells (SCells) to be added or modified. |
| ***sCellToReleaseList***List of secondary serving cells (SCells) to be released. |
| ***secondaryDRX-GroupConfig***The field is used to indicate whether the SCell belongs to the secondary DRX group. All serving cells in the secondary DRX group shall belong to one Frequency Range and all serving cells in the legacy DRX group shall belong to another Frequency Range. |
| ***simultaneousTCI-UpdateList1, simultaneousTCI-UpdateList2***List of serving cells which can be updated simultaneously for TCI relation with a MAC CE. The *simultaneousTCI-UpdateList1* and *simultaneousTCI-UpdateList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***simultaneousSpatial-UpdatedList1, simultaneousSpatial-UpdatedList2***List of serving cells which can be updated simultaneously for spatial relation with a MAC CE. The *simultaneousSpatial-UpdatedList1* and *simultaneousSpatial-UpdatedList2* shall not contain same serving cells. Network should not configure serving cells that are configured with a BWP with two different values for the *coresetPoolIndex* in these lists. |
| ***spCellConfig***Parameters for the SpCell of this cell group (PCell of MCG or PSCell of SCG).  |
| ***uplinkTxSwitchingOption***Indicates which option is configured for dynamic UL Tx switching for inter-band UL CA or (NG)EN-DC. The field is set to *switchedUL* if network configures option 1 as specified in TS 38.214 [19], or *dualUL* if network configures option 2 as specified in TS 38.214 [19]. Network always configures UE with a value for this field in inter-band UL CA case and (NG)EN-DC case where UE supports dynamic UL Tx switching. |
| ***uplinkTxSwitchingPowerBoosting***Indicates whether the UE is allowed to enable 3dB boosting on the maximum output power for transmission on carrier2 under the operation state in which 2-port transmission can be supported on carrier2 for inter-band UL CA case with dynamic UL Tx switching as defined in TS 38.101-1 [15]. Network can only configure this field for dynamic UL Tx switching in inter-band UL CA case with power Class 3 as defined in TS 38.101-1 [15]. |

|  |
| --- |
| ***DAPS-UplinkPowerConfig* field descriptions** |
| ***p-DAPS-Source***The maximum total transmit power to be used by the UE in the source cell group during DAPS handover. |
| ***p-DAPS-Target***The maximum total transmit power to be used by the UE in the target cell group during DAPS handover. |
| ***uplinkPowerSharingDAPS-Mode***Indicates the uplink power sharing mode that the UE uses in DAPS handover (see TS 38.213 [13]). |

|  |
| --- |
| ***ReconfigurationWithSync* field descriptions** |
| ***rach-ConfigDedicated***Random access configuration to be used for the reconfiguration with sync (e.g. handover). The UE performs the RA according to these parameters in the *firstActiveUplinkBWP* (see *UplinkConfig*). |
| ***smtc***The SSB periodicity/offset/duration configuration of target cell for NR PSCell change and NR PCell change. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *spCellConfigCommon*.For case of NR PCell change, the *smtc* is based on the timing reference of (source) PCell. For case of NR PSCell change, it is based on the timing reference of source PSCell.If both this field and *targetCellSMTC-SCG* are absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

|  |
| --- |
| ***SCellConfig* field descriptions** |
| ***smtc***The SSB periodicity/offset/duration configuration of target cell for NR SCell addition. The network sets the *periodicityAndOffset* to indicate the same periodicity as *ssb-periodicityServingCell* in *sCellConfigCommon*. The *smtc* is based on the timing of the SpCell of associated cell group. In case of inter-RAT handover to NR, the timing reference is the NR PCell. In case of intra-NR PCell change (standalone NR) or NR PSCell change (EN-DC), the timing reference is the target SpCell. If the field is absent, the UE uses the SMTC in the *measObjectNR* having the same SSB frequency and subcarrier spacing, as configured before the reception of the RRC message. |

|  |
| --- |
| ***SpCellConfig* field descriptions** |
| ***reconfigurationWithSync***Parameters for the synchronous reconfiguration to the target SpCell. |
| ***rlf-TimersAndConstants***Timers and constants for detecting and triggering cell-level radio link failure. For the SCG, *rlf-TimersAndConstants* can only be set to *setup* and is always included at SCG addition. |
| ***servCellIndex***Serving cell ID of a PSCell. The PCell of the Master Cell Group uses ID = 0. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *BWP-Reconfig* | The field is optionally present, Need N, if the BWPs are reconfigured or if serving cells are added or removed. Otherwise it is absent.  |
| *DRX-Config2* | The field is optionally present, Need N, if *drx-ConfigSecondaryGroup* is configured. It is absent otherwise. |
| *ReconfWithSync* | The field is mandatory present in the *RRCReconfiguration* message:- in each configured *CellGroupConfig* for which the SpCell changes,- in the *masterCellGroup:*- at change of AS security key derived from KgNB,- in an *RRCReconfiguration* message contained in a *DLInformationTransferMRDC* message,- path switch to the target PCell for a L2 U2N Remote UE,- in the *secondaryCellGroup* at:- PSCell addition,- SCG resume with NR-DC or (NG)EN-DC,- update of required SI for PSCell,- change of AS security key derived from S-KgNB in NR-DC while the UE is configured with at least one radio bearer with *keyToUse* set to *secondary* and that is not released by this *RRCReconfiguration* message,- MN handover in (NG)EN-DC.Otherwise, it is optionally present, need M. The field is absent in the *masterCellGroup* in *RRCResume* and *RRCSetup* messages and is absent in the *masterCellGroup* in *RRCReconfiguration* messages if source configuration is not released during DAPS handover. |
| *SCellAdd* | The field is mandatory present upon SCell addition; otherwise it is absent, Need M. |
| *SCellAddMod* | The field is mandatory present upon SCell addition; otherwise it is optionally present, need M. |
| *SCellAddSync* | The field is optionally present, Need N, in case of SCell addition, reconfiguration with sync, and resuming an RRC connection. It is absent otherwise. |
| *SCG* | The field is mandatory present in an *SpCellConfig* for the PSCell. It is absent otherwise.  |

NOTE: In case of change of AS security key derived from S-KgNB/S-KeNB, if *reconfigurationWithSync* is not included in the *masterCellGroup*, the network releases all existing MCG RLC bearers associated with a radio bearer with *keyToUse* set to *secondary*. In case of change of AS security key derived from KgNB/KeNB, if *reconfigurationWithSync* is not included in the *secondaryCellGroup*, the network releases all existing SCG RLC bearers associated with a radio bearer with *keyToUse* set to *primary*.

– *RLC-BearerConfig*

The IE *RLC-BearerConfig* is used to configure an RLC entity, a corresponding logical channel in MAC and the linking to a PDCP entity (served radio bearer).

***RLC-BearerConfig* information element**

-- ASN1START

-- TAG-RLC-BEARERCONFIG-START

RLC-BearerConfig ::= SEQUENCE {

 logicalChannelIdentity LogicalChannelIdentity,

 servedRadioBearer CHOICE {

 srb-Identity SRB-Identity,

 drb-Identity DRB-Identity

 } OPTIONAL, -- Cond LCH-SetupOnly

 reestablishRLC ENUMERATED {true} OPTIONAL, -- Need N

 rlc-Config RLC-Config OPTIONAL, -- Cond LCH-Setup

 mac-LogicalChannelConfig LogicalChannelConfig OPTIONAL, -- Cond LCH-Setup

 ...,

 [[

 rlc-Config-v1610 RLC-Config-v1610 OPTIONAL -- Need R

 ]]

}

-- TAG-RLC-BEARERCONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***RLC-BearerConfig* field descriptions** |
| ***logicalChannelIdentity***ID used commonly for the MAC logical channel and for the RLC bearer. |
| ***reestablishRLC***Indicates that RLC should be re-established. Network sets this to *true* at least whenever the security key used for the radio bearer associated with this RLC entity changes. For SRB2 and DRBs, unless full configuration is used, it is also set to *true* during the resumption of the RRC connection or the first reconfiguration after reestablishment. For SRB1, when resuming an RRC connection, or at the first reconfiguration after RRC connection reestablishment, the network does not set this field to *true.* |
| ***rlc-Config***Determines the RLC mode (UM, AM) and provides corresponding parameters. RLC mode reconfiguration can only be performed by DRB release/addition or full configuration. The network may configure *rlc-Config-v1610* only when *rlc-Config* (without suffix) is set to *am*. |
| ***servedRadioBearer***Associates the RLC Bearer with an SRB or a DRB. The UE shall deliver DL RLC SDUs received via the RLC entity of this RLC bearer to the PDCP entity of the *servedRadioBearer*. Furthermore, the UE shall advertise and deliver uplink PDCP PDUs of the uplink PDCP entity of the *servedRadioBearer* to the uplink RLC entity of this RLC bearer unless the uplink scheduling restrictions (*moreThanOneRLC* in *PDCP-Config* and the restrictions in *LogicalChannelConfig*) forbid it to do so. |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *LCH-Setup* | This field is mandatory present upon creation of a new logical channel for a DRB. This field is optionally present, Need S, upon creation of a new logical channel for an SRB. It is optionally present, Need M, otherwise. |
| *LCH-SetupOnly* | This field is mandatory present upon creation of a new logical channel. It is absent, Need M otherwise. |

*Next Modified Subclause*

6.3.5 Sidelink information elements

– *SL-BWP-Config*

The IE *SL-BWP-Config* is used to configure the UE specific NR sidelink communication on one particular sidelink bandwidth part.

***SL-BWP-Config* information element**

-- ASN1START

-- TAG-SL-BWP-CONFIG-START

SL-BWP-Config-r16 ::= SEQUENCE {

 sl-BWP-Id BWP-Id,

 sl-BWP-Generic-r16 SL-BWP-Generic-r16 OPTIONAL, -- Need M

 sl-BWP-PoolConfig-r16 SL-BWP-PoolConfig-r16 OPTIONAL, -- Need M

 ...,

 [[

 sl-BWP-DiscPoolConfig-r17 SetupRelease {SL-BWP-DiscPoolConfig-r17} OPTIONAL -- Need M

 ]]

}

SL-BWP-Generic-r16 ::= SEQUENCE {

 sl-BWP-r16 BWP OPTIONAL, -- Need M

 sl-LengthSymbols-r16 ENUMERATED {sym7, sym8, sym9, sym10, sym11, sym12, sym13, sym14} OPTIONAL, -- Need M

 sl-StartSymbol-r16 ENUMERATED {sym0, sym1, sym2, sym3, sym4, sym5, sym6, sym7} OPTIONAL, -- Need M

 sl-PSBCH-Config-r16 SetupRelease {SL-PSBCH-Config-r16} OPTIONAL, -- Need M

 sl-TxDirectCurrentLocation-r16 INTEGER (0..3301) OPTIONAL, -- Need M

 ...

}

-- TAG-SL-BWP-CONFIG-STOP

-- ASN1STOP

|  |
| --- |
| ***SL-BWP-Config* field descriptions** |
| ***sl-BWP-Generic***This field indicates the generic parameters on the configured sidelink BWP. |
| ***sl-BWP-PoolConfig***This field indicates the resource pool configurations on the configured sidelink BWP. |
| ***sl-BWP-DiscPoolConfig***This field indicates the NR sidelink discovery dedicated resource pool configurations on the configured sidelink BWP. The total number of Rx/Tx resource pools configured for communication and discovery does not exceed the maximum number of Rx/Tx resource pool for NR sidelink communication (i.e. *maxNrofRXPool-r16*/*maxNrofTXPool-r16*). |

|  |
| --- |
| ***SL-BWP-Generic* field descriptions** |
| ***sl-LengthSymbols***This field indicates the number of symbols used for sidelink in a slot without SL-SSB. A single value can be (pre)configured per sidelink bandwidth part. |
| ***sl-StartSymbol***This field indicates the starting symbol used for sidelink in a slot without SL-SSB. A single value can be (pre)configured per sidelink bandwidth part. |
| ***sl-TxDirectCurrentLocation***The sidelink Tx/Rx Direct Current location for the carrier. Only values in the value range of this field between 0 and 3299, which indicate the subcarrier index within the carrier corresponding to the numerology of the corresponding sidelink BWP and value 3300, which indicates "Outside the carrier" and value 3301, which indicates "Undetermined position within the carrier" are used in this version of the specification. |

– *SL-BWP-ConfigCommon*

The IE *SL-BWP-ConfigCommon* is used to configure the cell-specific configuration information on one particular sidelink bandwidth part.

***SL-BWP-ConfigCommon* information element**

-- ASN1START

-- TAG-SL-BWP-CONFIGCOMMON-START

SL-BWP-ConfigCommon-r16 ::= SEQUENCE {

 sl-BWP-Generic-r16 SL-BWP-Generic-r16 OPTIONAL, -- Need R

 sl-BWP-PoolConfigCommon-r16 SL-BWP-PoolConfigCommon-r16 OPTIONAL, -- Need R

 ...,

 [[

 sl-BWP-DiscPoolConfigCommon-r17 SL-BWP-DiscPoolConfigCommon-r17 OPTIONAL -- Need R

 ]]

}

-- TAG-SL-BWP-CONFIGCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| ***SL-BWP-ConfigCommon* field descriptions** |
| ***sl-BWP-Generic***This field indicates the generic parameters on the configured sidelink BWP. |
| ***sl-BWP-PoolConfigCommon***This field indicates the resource pool configurations on the configured sidelink BWP. |
| ***sl-BWP-DiscPoolConfigCommon***This field indicates the NR sidelink discovery dedicated resource pool configurations on the configured sidelink BWP. The total number of Rx/Tx resource pools configured for communication and discovery does not exceed the maximum number of Rx/Tx resource pool for NR sidelink communication (i.e. *maxNrofRXPool-r16*/*maxNrofTXPool-r16*). |

– *SL-BWP-DiscPoolConfig*

The IE *SL-BWP-DiscPoolConfig* is used to configure NR sidelink discovery dedicated resource pool.

***SL-BWP-DiscPoolConfig* information element**

-- ASN1START

-- TAG-SL-BWP-DISCPOOLCONFIG-START

SL-BWP-DiscPoolConfig-r17 ::= SEQUENCE {

 sl-DiscRxPool-r17 SEQUENCE (SIZE (1..maxNrofRXPool-r16)) OF SL-ResourcePool-r16 OPTIONAL, -- Cond HO

 sl-DiscTxPoolSelected-r17 SL-TxPoolDedicated-r16 OPTIONAL, -- Need M

 sl-DiscTxPoolScheduling-r17 SL-TxPoolDedicated-r16 OPTIONAL -- Need N

}

-- TAG-SL-BWP-DISCPOOLCONFIG-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *HO* | This field is optionally present, need M, in an *RRCReconfiguration* message including *reconfigurationWithSync*; otherwise it is absent, need M. |

– *SL-BWP-DiscPoolConfigCommon*

The IE *SL-BWP-DiscPoolConfigCommon* is used toconfigure the cell-specific NR sidelink discovery dedicated resource pool.

***SL-BWP-DiscPoolConfigCommon* information element**

-- ASN1START

-- TAG-SL-BWP-DISCPOOLCONFIGCOMMON-START

SL-BWP-DiscPoolConfigCommon-r17 ::= SEQUENCE {

 sl-DiscRxPool-r17 SEQUENCE (SIZE (1..maxNrofRXPool-r16)) OF SL-ResourcePool-r16 OPTIONAL, -- Need R

 sl-DiscTxPoolSelected-r17 SEQUENCE (SIZE (1..maxNrofTXPool-r16)) OF SL-ResourcePoolConfig-r16 OPTIONAL, -- Need R

 ...

}

-- TAG-SL-BWP-DISCPOOLCONFIGCOMMON-STOP

-- ASN1STOP

*Next Modified Subclause*

– *SL-ConfigDedicatedNR*

The IE *SL-ConfigDedicatedNR* specifies the dedicated configuration information for NR sidelink communication/discovery.

***SL-ConfigDedicatedNR* information element**

-- ASN1START

-- TAG-SL-CONFIGDEDICATEDNR-START

SL-ConfigDedicatedNR-r16 ::= SEQUENCE {

 sl-PHY-MAC-RLC-Config-r16 SL-PHY-MAC-RLC-Config-r16 OPTIONAL, -- Need M

 sl-RadioBearerToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SLRB-Uu-ConfigIndex-r16 OPTIONAL, -- Need N

 sl-RadioBearerToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SL-RadioBearerConfig-r16 OPTIONAL, -- Need N

 sl-MeasConfigInfoToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-DestinationIndex-r16 OPTIONAL, -- Need N

 sl-MeasConfigInfoToAddModList-r16 SEQUENCE (SIZE (1..maxNrofSL-Dest-r16)) OF SL-MeasConfigInfo-r16 OPTIONAL, -- Need N

 t400-r16 ENUMERATED {ms100, ms200, ms300, ms400, ms600, ms1000, ms1500, ms2000} OPTIONAL, -- Need M

 ...,

 sl-DiscConfig-r17 SL-DiscConfig-r17 OPTIONAL

}

SL-DestinationIndex-r16 ::= INTEGER (0..maxNrofSL-Dest-1-r16)

SL-PHY-MAC-RLC-Config-r16::= SEQUENCE {

 sl-ScheduledConfig-r16 SetupRelease { SL-ScheduledConfig-r16 } OPTIONAL, -- Need M

 sl-UE-SelectedConfig-r16 SetupRelease { SL-UE-SelectedConfig-r16 } OPTIONAL, -- Need M

 sl-FreqInfoToReleaseList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-Freq-Id-r16 OPTIONAL, -- Need N

 sl-FreqInfoToAddModList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-FreqConfig-r16 OPTIONAL, -- Need N

 sl-RLC-BearerToReleaseList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfigIndex-r16 OPTIONAL, -- Need N

 sl-RLC-BearerToAddModList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfig-r16 OPTIONAL, -- Need N

 sl-MaxNumConsecutiveDTX-r16 ENUMERATED {n1, n2, n3, n4, n6, n8, n16, n32} OPTIONAL, -- Need M

 sl-CSI-Acquisition-r16 ENUMERATED {enabled} OPTIONAL, -- Need R

 sl-CSI-SchedulingRequestId-r16 SetupRelease {SchedulingRequestId} OPTIONAL, -- Need M

 sl-SSB-PriorityNR-r16 INTEGER (1..8) OPTIONAL, -- Need R

 networkControlledSyncTx-r16 ENUMERATED {on, off} OPTIONAL -- Need M

}

SL-DiscConfig-r17::= SEQUENCE {

 sl-RelayUE-Config-r17 SetupRelease { SL-RelayUE-Config-r17} OPTIONAL, -- Need M

 sl-RemoteUE-Config-r17 SetupRelease { SL-RemoteUE-Config-r17} OPTIONAL -- Need M

}

-- TAG-SL-CONFIGDEDICATEDNR-STOP

-- ASN1STOP

| ***SL-ConfigDedicatedNR* field descriptions** |
| --- |
| ***sl-MeasConfigInfoToAddModList***This field indicates the RSRP measurement configurations for unicast destinations to add and/or modify. |
| ***sl-MeasConfigInfoToReleaseList***This field indicates the RSRP measurement configurations for unicast destinations to remove. |
| ***sl-PHY-MAC-RLC-Config***This field indicates the lower layer sidelink radio bearer configurations. |
| ***sl-RadioBearerToAddModList***This field indicates one or multiple sidelink radio bearer configurations to add and/or modify. |
| ***sl-RadioBearerToReleaseList***This field indicates one or multiple sidelink radio bearer configurations to remove. |

| ***SL-PHY-MAC-RLC-Config* field descriptions** |
| --- |
| ***networkControlledSyncTx***This field indicates whether the UE shall transmit synchronisation information (i.e. become synchronisation source). Value *on* indicates the UE to transmit synchronisation information while value *off* indicates the UE to not transmit such information. |
| ***sl-MaxNumConsecutiveDTX***This field indicates the maximum number of consecutive HARQ DTX before triggering sidelink RLF. Value n1 corresponds to 1, value n2 corresponds to 2, and so on. |
| ***sl-FreqInfoToAddModList***This field indicates the NR sidelink communication configuration on some carrier frequency (ies) to add and/or modify. In this release, only one entry can be configured in the list. |
| ***sl-FreqInfoToReleaseList***This field indicates the NR sidelink communication configuration on some carrier frequency (ies) to remove. In this release, only one entry can be configured in the list. |
| ***sl-RLC-BearerToAddModList***This field indicates one or multiple sidelink RLC bearer configurations to add and/or modify. |
| ***sl-RLC-BearerToReleaseList***This field indicates one or multiple sidelink RLC bearer configurations to remove. |
| ***sl-ScheduledConfig***Indicates the configuration for UE to transmit NR sidelink communication based on network scheduling. This field is not configured simultaneously with sl-UE-SelectedConfig. |
| ***sl-UE-SelectedConfig***Indicates the configuration used for UE autonomous resource selection. This field is not configured simultaneously with *sl-ScheduledConfig*. |
| ***sl-CSI-Acquisition***Indicates whether CSI reporting is enabled in sidelink unicast. If the field is absent, sidelink CSI reporting is disabled. |
| ***sl-CSI-SchedulingRequestId***If present, it indicates the scheduling request configuration applicable for sidelink CSI report MAC CE, as specified in TS 38.321 [3]. |
| ***sl-SSB-PriorityNR***This field indicates the priority of NR sidelink SSB transmission and reception. |

– *SL-RelayUE-Config*

The IE *SL-RelayUE-Config* specifies the configuration information for NR sidelink U2N Relay UE.

***SL-RelayUE-Config* information element**

-- ASN1START

-- TAG-SL-RELAYUE-CONFIG-START

SL-RelayUE-Config-r17::= SEQUENCE {

 threshHighRelay-r17 FFS OPTIONAL, -- Need R

 threshLowRelay-r17 FFS OPTIONAL, -- Need R

 hystMaxRelay-r17 ENUMERATED {FFS} OPTIONAL, -- Cond ThreshHighRelay

 hystMinRelay-r17 ENUMERATED {FFS} OPTIONAL -- Cond ThreshLowRelay

}

-- TAG-SL-RELAYUE-CONFIG-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *ThreshHighRelay* | This field is mandatory present if *threshHighRelay* is included. Otherwise, the field is absent, Need R. |
| *ThreshLowRelay* | This field is mandatory present if *threshLowRelay* is included. Otherwise, the field is absent, Need R. |

– *SL-RemoteUE-Config*

The IE *SL-RemoteUE-Config* specifies the configuration information for NR sidelink U2N Remote UE.

***SL-RemoteUE-Config* information element**

-- ASN1START

-- TAG-SL-REMOTEUE-CONFIG-START

SL-RemoteUE-Config-r17::= SEQUENCE {

 threshHighRemote-r17 FFS OPTIONAL, -- Need R

 hystMaxRemote-r17 ENUMERATED {FFS} OPTIONAL, -- Cond ThreshHighRemote

 sl-ReselectionConfig-r17 SL-ReselectionConfig-r17 OPTIONAL -- Need R

}

SL-ReselectionConfig-r17::= SEQUENCE {

 sl-RSRP-Thresh-r17 FFS OPTIONAL, -- Need R

 sl-FilterCoefficient-RSRP-r17 FilterCoefficient OPTIONAL, -- Need R

 sl-HystMin-r17 ENUMERATED {FFS} OPTIONAL -- Need R

}

-- TAG-SL-REMOTEUE-CONFIG-STOP

-- ASN1STOP

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *ThreshHighRemote* | This field is mandatory present if *threshHighRemote* is included. Otherwise, the field is absent, Need R. |

|  |
| --- |
|  |
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|  |
| --- |
|  |
|  |

*Next Modified Subclause*

9.1.1.4 SCCH configuration

Parameters that are specified for unicast of NR sidelink communication, which is used for the sidelink signalling radio bearer of PC5-RRC message. The SL-SRB using this SCCH configuration is named as SL-SRB3.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | AM RLC |  |
| *>sn-FieldLength* | 12 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 3 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified of NR sidelink communication, which is used for the sidelink signalling radio bearer of unprotected PC5-S message (e.g. Direct Link Establishment Request, TS 24.587 [57]). The SL-SRB using this SCCH configuration is named as SL-SRB0.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | UM RLC |  |
| *>sn-FieldLength* | 6 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 0 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for unicast of NR sidelink communication, which is used for the sidelink signalling radio bearer of PC5-S message establishing PC5-S security (e.g. Direct Link Security Mode Command and Direct Link Security Mode Complete, TS 24.587 [57]). The SL-SRB using this SCCH configuration is named as SL-SRB1.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | AM RLC |  |
| *>sn-FieldLength* | 12 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 1 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for unicast of NR sidelink communication, which is used for the sidelink signalling radio bearer of protected PC5-S message except Direct Link Security Mode Complete. The SL-SRB using this SCCH configuration is named as SL-SRB2.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | AM RLC |  |
| *>sn-FieldLength* | 12 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | 2 |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for NR sidelink discovery, which is used for the sidelink signalling radio bearer of NR sidelink U2N relay related discovery messages (e.g., Announcement message, Solicitation message and Response message, see TS 23.304 [x1]). The SL-SRB using this SCCH configuration is named as SL-SRB4.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| PDCP configuration |  |  |  |
| *>*t-Reordering | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*pdcp-SN-Size | 12 |  |  |
| RLC configuration |  | UM RLC |  |
| *>sn-FieldLength* | 6 |  |  |
| *>*t-Reassembly | Undefined | Selected by the receiving UE, up to UE implementation |  |
| *>*logicalChannelIdentity | FFS |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>prioritisedBitRate* | infinity |  |  |
| *>logicalChannelGroup* | 0 |  |  |
| >*schedulingRequestId* | 0 | The scheduling request configuration with this value is applicable for this SCCH if configured by the network. |  |

Parameters that are specified for NR sidelink L2 U2N Relay operations, which is used for the sidelink RLC channel for Remote UE’s SRB0 message transmission. The sidelink RLC bearer using this configuration is named as SL-RLC0.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| RLC configuration |  | AM |  |
| *>sn-FieldLength* | 12 |  |  |
| *>t-Reassembly* | Undefined | Selected by the receving UE, up to UE implementation |  |
| *>logicalChannelIdentity* | FFS |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>proritisedBitRate* | Inifinity |  |  |
| *>logicalChannelGroup*  | 0 |  |  |
|  |  |  |  |

*Next Modified Subclause*

9.2 Default radio configurations

9.2.x Default sidelink RLC bearer configuration

Parameters that are used for the sidelink RLC bearer for Remote UE’s SRB1 RRC message such as *RRCResume* and *RRCReestablishment* message. The sidelink RLC bearer using this configuration is named as SL-RLC1.

| **Name** | **Value** | **Semantics description** | **Ver** |
| --- | --- | --- | --- |
| RLC configuration |  | AM |  |
| *>sn-FieldLength* | 12 |  |  |
| *>t-Reassembly* | Undefined | Selected by the receving UE, up to UE implementation |  |
| *>logicalChannelIdentity* | FFS |  |  |
| MAC configuration |  |  |  |
| *>priority* | 1 |  |  |
| *>proritisedBitRate* | Inifinity |  |  |
| *>logicalChannelGroup*  | 0 |  |  |
|  |  |  |  |

*Next Modified Subclause*

9.3 Sidelink pre-configured parameters

– *SL-PreconfigurationNR*

The IE *SL-PreconfigurationNR* includes the sidelink pre-configured parameters used for NR sidelink communication. Need codes or conditions specified for subfields in *SL-PreconfigurationNR* do not apply.

***SL-PreconfigurationNR* information elements**

-- ASN1START

-- TAG-SL-PRECONFIGURATIONNR-START

SL-PreconfigurationNR-r16 ::= SEQUENCE {

 sidelinkPreconfigNR-r16 SidelinkPreconfigNR-r16,

 ...

}

SidelinkPreconfigNR-r16 ::= SEQUENCE {

 sl-PreconfigFreqInfoList-r16 SEQUENCE (SIZE (1..maxNrofFreqSL-r16)) OF SL-FreqConfigCommon-r16 OPTIONAL,

 sl-PreconfigNR-AnchorCarrierFreqList-r16 SL-NR-AnchorCarrierFreqList-r16 OPTIONAL,

 sl-PreconfigEUTRA-AnchorCarrierFreqList-r16 SL-EUTRA-AnchorCarrierFreqList-r16 OPTIONAL,

 sl-RadioBearerPreConfigList-r16 SEQUENCE (SIZE (1..maxNrofSLRB-r16)) OF SL-RadioBearerConfig-r16 OPTIONAL,

 sl-RLC-BearerPreConfigList-r16 SEQUENCE (SIZE (1..maxSL-LCID-r16)) OF SL-RLC-BearerConfig-r16 OPTIONAL,

 sl-MeasPreConfig-r16 SL-MeasConfigCommon-r16 OPTIONAL,

 sl-OffsetDFN-r16 INTEGER (1..1000) OPTIONAL,

 t400-r16 ENUMERATED{ms100, ms200, ms300, ms400, ms600, ms1000, ms1500, ms2000} OPTIONAL,

 sl-MaxNumConsecutiveDTX-r16 ENUMERATED {n1, n2, n3, n4, n6, n8, n16, n32} OPTIONAL,

 sl-SSB-PriorityNR-r16 INTEGER (1..8) OPTIONAL,

 sl-PreconfigGeneral-r16 SL-PreconfigGeneral-r16 OPTIONAL,

 sl-UE-SelectedPreConfig-r16 SL-UE-SelectedConfig-r16 OPTIONAL,

 sl-CSI-Acquisition-r16 ENUMERATED {enabled} OPTIONAL,

 sl-RoHC-Profiles-r16 SL-RoHC-Profiles-r16 OPTIONAL,

 sl-MaxCID-r16 INTEGER (1..16383) DEFAULT 15,

 ...,

 [[

 sl-PreconfigDiscConfig-r17 SL-DiscConfigCommon-r17 OPTIONAL

 ]]

}

SL-PreconfigGeneral-r16 ::= SEQUENCE {

 sl-TDD-Configuration-r16 TDD-UL-DL-ConfigCommon OPTIONAL,

 reservedBits-r16 BIT STRING (SIZE (2)) OPTIONAL,

 ...

}

SL-RoHC-Profiles-r16 ::= SEQUENCE {

 profile0x0001-r16 BOOLEAN,

 profile0x0002-r16 BOOLEAN,

 profile0x0003-r16 BOOLEAN,

 profile0x0004-r16 BOOLEAN,

 profile0x0006-r16 BOOLEAN,

 profile0x0101-r16 BOOLEAN,

 profile0x0102-r16 BOOLEAN,

 profile0x0103-r16 BOOLEAN,

 profile0x0104-r16 BOOLEAN

}

-- TAG-SL-PRECONFIGURATIONNR-STOP

-- ASN1STOP

| ***SL-PreconfigurationNR* field descriptions** |
| --- |
| ***sl-OffsetDFN***Indicates the timing offset for the UE to determine DFN timing when GNSS is used for timing reference. Value 1 corresponds to 0.001 milliseconds, value 2 corresponds to 0.002 milliseconds, and so on. If the field is absent, no offset is applied. |
| ***sl-PreconfigEUTRA-AnchorCarrierFreqList***This field indicates the EUTRA anchor carrier frequency list, which can provide the NR sidelink communication configuration. |
| ***sl-PreconfigFreqInfoList***This field indicates the NR sidelink communication configuration some carrier frequency(ies). In this release, only one SL-FreqConfig can be configured in the list. |
| ***sl-PreconfigNR-AnchorCarrierFreqList***This field indicates the NR anchor carrier frequency list, which can provide the NR sidelink communication configuration. |
| ***sl-RadioBearerPreConfigList***This field indicates one or multiple sidelink radio bearer configurations. |
| ***sl-RLC-BearerPreConfigList***This field indicates one or multiple sidelink RLC bearer configurations. |
| ***sl-RoHC-Profiles***This field indicates the supported RoHC profiles for NR sidelink communications. |
| ***sl-SSB-PriorityNR***This field indicates the priority of NR sidelink SSB transmission and reception. |