**3GPP TSG-RAN WG2 Meeting #115 Electronic *R2-2108874***

**Elbonia, 16 – 27 August 2021**

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| *CR-Form-v12.1* | | | | | | | | |
| **CHANGE REQUEST** | | | | | | | | |
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|  | **38.331** | **CR** | **Num** | **rev** | **-** | **Current version:** | **16.5.0** |  |
|  | | | | | | | | |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* | | | | | | | | |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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| ***Title:*** | Draft RRC CR: Non-Public Network enhancements | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell | | | | | | | | | |
| ***Source to TSG:*** | R2 | | | | | | | | | |
|  |  | | | | | | | | | |
| ***Work item code:*** | NG\_RAN\_PRN\_enh-Core | | | | |  | ***Date:*** | | | 2021-08 |
|  |  | | | |  | |  | | |  |
| ***Category:*** | **Cat B** |  | | | | | ***Release:*** | | | Rel-17 |
|  | *Use one of the following categories:* ***F*** *(correction)* ***A*** *(mirror corresponding to a change in an earlier release)* ***B*** *(addition of feature),* ***C*** *(functional modification of feature)* ***D*** *(editorial modification)*  Detailed explanations of the above categories can be found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | | | | | | | | *Use one of the following releases: Rel-8 (Release 8) Rel-9 (Release 9) Rel-10 (Release 10) Rel-11 (Release 11) … Rel-15 (Release 15) Rel-16 (Release 16) Rel-17 (Release 17) Rel-18 (Release 18)* | |
|  |  | | | | | | | | | |
| ***Reason for change:*** | | Introduce the changes for Non-Public Network enhancements | | | | | | | | |
|  | |  | | | | | | | | |
| ***Summary of change:*** | | This is the running CR. This is the running stage 2 CR. This version captures the following agreements from RAN2#113 and RAN2#113bis and RAN2#114 and RAN2#115:   * A new indicator that "access using credentials from a separate entity is supported" is broadcasted, and the indicator is broadcasted per SNPN in network sharing scenarios. * RAN2 assumes that the new indicator that "access using credentials from a separate entity is supported" is broadcasted in SIB1. * A new indicator that "whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN" is broadcasted, and the indicator is broadcasted per SNPN in network sharing scenario. * RAN2 assumes that the new indicator that "whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN" is broadcasted in SIB1. * In the UE, AS reports to NAS about the following broadcasted new parameters:   Indicator that "access using credentials from a separate entity is supported" in the cell per SNPN  Supported Group IDs  Indicator that "whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN" per SNPN.   * Broadcast a 1-bit indication for onboarding per O-SNPN. * R2 assumes that the 1-bit indication for onboarding is in SIB1. * The UE sends an indication for onboarding to the gNB at RRC Connection Establishment (intention to support AMF selection). * RAN2 to revise the previous agreement as following:   In the UE, AS reports broadcast Group IDs per SNPN to NAS.   * UE AS forwards the onboarding indication (and Group IDs if Proposal#1 is agreed) per SNPN to UE NAS for onboarding network selection. * A new onboarding indication is included in RRCSetupComplete message * Group IDs per SNPN for onboarding purpose is broadcast in the SIB. FFS whether the Group IDs for onboarding purpose and for credential by separate entity are different. * GIN for access using CH is broadcst only if Indication of accessing using CH is broadcast. * GIN is broadcasted by new SIB * maximum number of GINs is specified per cell * new SIB specified to broadcast GINs acc to Option B: Single list of GINs with explicit assignment to SNPNs. * Introduce a new IE/field to indicate the support of IMS emergency service for SNPN. * PWS can be supported in SNPNs in Rel-17 | | | | | | | | |
|  | |  | | | | | | | | |
| ***Consequences if not approved:*** | | NPN enhancements are not supported. | | | | | | | | |
|  | |  | | | | | | | | |
| ***Clauses affected:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
|  | | **Y** | **N** |  | | | |  | | |
| ***Other specs*** | |  | **X** | Other core specifications | | | | TS/TR ... CR ... | | |
| ***affected:*** | |  | **X** | Test specifications | | | | TS/TR ... CR ... | | |
| ***(show related CRs)*** | |  | **X** | O&M Specifications | | | | TS/TR ... CR ... | | |
|  | |  | | | | | | | | |
| ***Other comments:*** | |  | | | | | | | | |
|  | |  | | | | | | | | |
| ***This CR's revision history:*** | |  | | | | | | | | |

*First Modified Subclause*

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC 5G Core Network

ACK Acknowledgement

AM Acknowledged Mode

ARQ Automatic Repeat Request

AS Access Stratum

ASN.1 Abstract Syntax Notation One

BAP Backhaul Adaptation Protocol

BCD Binary Coded Decimal

BH Backhaul

BLER Block Error Rate

BWP Bandwidth Part

CA Carrier Aggregation

CAG Closed Access Group

CAG-ID Closed Access Group Identifier

CAPC Channel Access Priority Class

CBR Channel Busy Ratio

CCCH Common Control Channel

CG Cell Group

CH Credentials Holder

CHO Conditional Handover

CLI Cross Link Interference

CMAS Commercial Mobile Alert Service

CP Control Plane

CPC Conditional PSCell Change

C-RNTI Cell RNTI

CSI Channel State Information

DAPS Dual Active Protocol Stack

DC Dual Connectivity

DCCH Dedicated Control Channel

DCI Downlink Control Information

DCP DCI with CRC scrambled by PS-RNTI

DFN Direct Frame Number

DL Downlink

DL-PRS Downlink Positioning Reference Signal

DL-SCH Downlink Shared Channel

DM-RS Demodulation Reference Signal

DRB (user) Data Radio Bearer

DRX Discontinuous Reception

DTCH Dedicated Traffic Channel

EN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to EPC

EPC Evolved Packet Core

EPS Evolved Packet System

ETWS Earthquake and Tsunami Warning System

E-UTRA Evolved Universal Terrestrial Radio Access

E-UTRA/5GC E-UTRA connected to 5GC

E-UTRA/EPC E-UTRA connected to EPC

E-UTRAN Evolved Universal Terrestrial Radio Access Network

FDD Frequency Division Duplex

FFS For Further Study

GERAN GSM/EDGE Radio Access Network

GIN Group ID for Network selection

GNSS Global Navigation Satellite System

GSM Global System for Mobile Communications

HARQ Hybrid Automatic Repeat Request

HRNN Human Readable Network Name

IAB Integrated Access and Backhaul

IAB-DU IAB-node DU

IAB-MT IAB Mobile Termination

IDC In-Device Coexistence

IE Information element

IMSI International Mobile Subscriber Identity

kB Kilobyte (1000 bytes)

L1 Layer 1

L2 Layer 2

L3 Layer 3

LBT Listen Before Talk

MAC Medium Access Control

MCG Master Cell Group

MDT Minimization of Drive Tests

MIB Master Information Block

MPE Maximum Permissible Exposure

MR-DC Multi-Radio Dual Connectivity

N/A Not Applicable

NE-DC NR E-UTRA Dual Connectivity

(NG)EN-DC E-UTRA NR Dual Connectivity (covering E-UTRA connected to EPC or 5GC)

NGEN-DC E-UTRA NR Dual Connectivity with E-UTRA connected to 5GC

NID Network Identifier

NPN Non-Public Network

NR-DC NR-NR Dual Connectivity

NR/5GC NR connected to 5GC

ON-SNPN ONboarding Stand-alone Non-Public Network

PCell Primary Cell

PDCP Packet Data Convergence Protocol

PDU Protocol Data Unit

PLMN Public Land Mobile Network

PNI-NPN Public Network Integrated Non-Public Network

posSIB Positioning SIB

PRS Positioning Reference Signal

PSCell Primary SCG Cell

PWS Public Warning System

QoS Quality of Service

RAN Radio Access Network

RAT Radio Access Technology

RLC Radio Link Control

RMTC RSSI Measurement Timing Configuration

RNA RAN-based Notification Area

RNTI Radio Network Temporary Identifier

ROHC Robust Header Compression

RPLMN Registered Public Land Mobile Network

RRC Radio Resource Control

RS Reference Signal

SBAS Satellite Based Augmentation System

SCell Secondary Cell

SCG Secondary Cell Group

SCS Subcarrier Spacing

SFN System Frame Number

SFTD SFN and Frame Timing Difference

SI System Information

SIB System Information Block

SL Sidelink

SLSS Sidelink Synchronisation Signal

SNPN Stand-alone Non-Public Network

SpCell Special Cell

SRB Signalling Radio Bearer

SRS Sounding Reference Signal

SSB Synchronization Signal Block

TAG Timing Advance Group

TDD Time Division Duplex

TM Transparent Mode

UE User Equipment

UL Uplink

UM Unacknowledged Mode

UP User Plane

In the ASN.1, lower case may be used for some (parts) of the above abbreviations e.g. c-RNTI.

*Next Modified Subclause*

##### 5.2.2.4.2 Actions upon reception of the *SIB1*

Upon receiving the *SIB1* the UE shall:

1> store the acquired *SIB1*;

1> if the *cellAccessRelatedInfo* contains an entry of a selected SNPN or PLMN and in case of PLMN the UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

2> in the remainder of the procedures use *npn-IdentityList, trackingAreaCode,* and *cellIdentity* for the cell as received in the corresponding entry of *npn-IdentityInfoList* containing the selected PLMN or SNPN;

1> else if the *cellAccessRelatedInfo* contains an entry with the *PLMN-Identity* of the selected PLMN:

2> in the remainder of the procedures use *plmn-IdentityList*, *trackingAreaCode*, and *cellIdentity* for the cell as received in the corresponding *PLMN-IdentityInfo* containing the selected PLMN;

1> if in RRC\_CONNECTED while T311 is not running:

2> disregard the *frequencyBandList*, if received, while in RRC\_CONNECTED;

2> forward the *cellIdentity* to upper layers;

2> forward the *trackingAreaCode* to upper layers;

2> forward the received *posSIB-MappingInfo* to upper layers, if included;

2> apply the configuration included in the *servingCellConfigCommon*;

2> if the UE has a stored valid version of a SIB or posSIB, in accordance with sub-clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with sub-clause 5.2.2.1:

3> use the stored version of the required SIB or posSIB;

2> else:

3> acquire the required SIB or posSIB requested by upper layer as defined in sub-clause 5.2.2.3.5;

NOTE: Void.

1> else:

2> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* for downlink for TDD, or one or more of the frequency bands indicated in the *frequencyBandList* for uplink for FDD, and they are not downlink only bands, and

2> if the UE is IAB-MT or supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported band in the downlink for TDD, or a supported band in uplink for FDD, and

2> if the UE supports an uplink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP, and

2> if the UE supports a downlink channel bandwidth with a maximum transmission bandwidth configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP), and which

- is wider than or equal to the bandwidth of the initial downlink BWP, and

2> if *frequencyShift7p5khz* is present and the UE supports corresponding 7.5kHz frequency shift on this band; or *frequencyShift7p5khz* is not present:

3> if *trackingAreaCode* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list:

4> consider the cell as barred in accordance with TS 38.304 [20];

4> if *intraFreqReselection* is set to notAllowed:

5> consider cell re-selection to other cells on the same frequency as the barred cell as not allowed, as specified in TS 38.304 [20];

4> else:

5> consider cell re-selection to other cells on the same frequency as the barred cell as allowed, as specified in TS 38.304 [20];

3> else if UE is IAB-MT and if *iab-Support* is not provided for the selected PLMN nor the registered PLMN nor PLMN of the equivalent PLMN list nor the selected SNPN nor the registered SNPN:

4> consider the cell as barred for IAB-MT in accordance with TS 38.304 [20];

3> else:

4> apply a supported uplink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *uplinkConfigCommon* for the SCS of the initial uplink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the uplink;

4> apply a supported downlink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* indicated in *downlinkConfigCommon* for the SCS of the initial downlink BWP, and which

- is wider than or equal to the bandwidth of the initial BWP for the downlink;

4> select the first frequency band in the *frequencyBandList*, for FDD from *frequencyBandList* for uplink, or for TDD from *frequencyBandList* for downlink,which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

4> forward the *cellIdentity* to upper layers;

4> forward the *trackingAreaCode* to upper layers;

4> forward the received *posSIB-MappingInfo* to upper layers, if included;

4> forward the PLMN identity or SNPN identity or PNI-NPN identity to upper layers;

4> if in RRC\_INACTIVE and the forwarded information does not trigger message transmission by upper layers:

5> if the serving cell does not belong to the configured *ran-NotificationAreaInfo*:

6> initiate an RNA update as specified in 5.3.13.8;

4> forward the *ims-EmergencySupport* to upper layers, if present;

4> forward the *eCallOverIMS-Support* to upper layers, if present;

4> forward the *UAC-AccessCategory1-SelectionAssistanceInfo* or *UAC-AC1-SelectAssistInfo* for the selected PLMN/SNPNto upper layers, if present and set to *a*, *b* or *c*;

4> if the UE is in SNPN access mode:

5> forward the *ims-SNPN-EmergencySupport* to upper layers, if present;

Editor's Note: The *ims-SNPN-EmergencySupport* is not defined in ASN.1, as it is FFS if UEs that are not in SNPN access mode can also use this flag and whether *ims-SNPN-EmergencySupport* is per cell or per SNPN.

4> apply the configuration included in the *servingCellConfigCommon*;

4> apply the specified PCCH configuration defined in 9.1.1.3;

4> if the UE has a stored valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, that the UE requires to operate within the cell in accordance with sub-clause 5.2.2.1:

5> use the stored version of the required SIB;

4> if the UE has not stored a valid version of a SIB, in accordance with sub-clause 5.2.2.2.1, of one or several required SIB(s), in accordance with sub-clause 5.2.2.1:

5> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to broadcasting:

6> acquire the SI message(s) as defined in sub-clause 5.2.2.3.2;

5> for the SI message(s) that, according to the *si-SchedulingInfo*, contain at least one required SIB and for which *si-BroadcastStatus* is set to *notBroadcasting*:

6> trigger a request to acquire the SI message(s) as defined in sub-clause 5.2.2.3.3;

4> if the UE has a stored valid version of a posSIB, in accordance with sub-clause 5.2.2.2.1, of one or several required posSIB(s), in accordance with sub-clause 5.2.2.1:

5> use the stored version of the required posSIB;

4> if the UE has not stored a valid version of a posSIB, in accordance with sub-clause 5.2.2.2.1, of one or several posSIB(s) in accordance with sub-clause 5.2.2.1:

5> for the SI message(s) that, according to the *posSI-SchedulingInfo*, contain at least one requested posSIB and for which *posSI-BroadcastStatus* is set to *broadcasting*:

6> acquire the SI message(s) as defined in sub-clause 5.2.2.3.2;

5> for the SI message(s) that, according to the *posSI-SchedulingInfo*, contain at least one requested posSIB for which *posSI-BroadcastStatus* is set to *notBroadcasting*:

6> trigger a request to acquire the SI message(s) as defined in sub-clause 5.2.2.3.3a;

4> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* in *uplinkConfigCommon* for FDD or in *downlinkConfigCommon* for TDD;

4> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList*:

5> apply the *additionalPmax* for UL;

4> else:

5> apply the *p-Max* in *uplinkConfigCommon* for UL;

4> if *supplementaryUplink* is present in *servingCellConfigCommon*; and

4> if the UE supports one or more of the frequency bands indicated in the *frequencyBandList* for the *supplementaryUplink*; and

4> if the UE supports at least one *additionalSpectrumEmission* in the *NR-NS-PmaxList* for a supported supplementary uplink band; and

4> if the UE supports an uplink channel bandwidth with a maximum transmission bandwith configuration (see TS 38.101-1 [15] and TS 38.101-2 [39]) which

- is smaller than or equal to the *carrierBandwidth* (indicated in *supplementaryUplink* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial uplink BWP of the SUL:

5> consider supplementary uplink as configured in the serving cell;

5> select the first frequency band in the *frequencyBandList* for the *supplementaryUplink* which the UE supports and for which the UE supports at least one of the *additionalSpectrumEmission* values in *nr-NS-PmaxList*, if present;

5> apply a supported supplementary uplink channel bandwidth with a maximum transmission bandwidth which

- is contained within the *carrierBandwidth* (indicated in *supplementaryUplink* for the SCS of the initial uplink BWP), and which

- is wider than or equal to the bandwidth of the initial BWP of the SUL;

5> apply the first listed *additionalSpectrumEmission* which it supports among the values included in *NR-NS-PmaxList* within *frequencyBandList* for the *supplementaryUplink*;

5> if the *additionalPmax* is present in the same entry of the selected *additionalSpectrumEmission* within *NR-NS-PmaxList* for the *supplementaryUplink*:

6> apply the *additionalPmax* in *supplementaryUplink* for SUL;

5> else:

6> apply the *p-Max* in *supplementaryUplink* for SUL;

2> else:

3> consider the cell as barred in accordance with TS 38.304 [20]; and

3> perform barring as if *intraFreqReselection* is set to *notAllowed*;

*Next Modified Subclause (New Clause)*

##### 5.2.2.4.11 Actions upon reception of *SIBXY*

Upon receiving *SIBXY*, the UE shall:

1> forward the Group IDs for Network selection (GINs) listed for Credential Holders (CHs) in *SIBXY* with the corresponding SNPN identities to upper layers;

Editor's Note: How GINs for onboarding are handled depends on if there is a single GIN list or not

*Next Modified Subclause*

#### 5.3.3.4 Reception of the *RRCSetup* by the UE

The UE shall perform the following actions upon reception of the *RRCSetup*:

1> if the *RRCSetup* is received in response to an *RRCReestablishmentRequest*; or

1> if the *RRCSetup* is received in response to an *RRCResumeRequest* or *RRCResumeRequest1*:

2> discard any stored UE Inactive AS context and *suspendConfig*;

2> discard any current AS security context including the KRRCenc key, the KRRCint key, the KUPint key and the KUPenc key;

2> release radio resources for all established RBs except SRB0, including release of the RLC entities, of the associated PDCP entities and of SDAP;

2> release the RRC configuration except for the default L1 parameter values, default MAC Cell Group configuration and CCCH configuration;

2> indicate to upper layers fallback of the RRC connection;

2> stop timer T380, if running;

1> perform the cell group configuration procedure in accordance with the received *masterCellGroup* and as specified in 5.3.5.5;

1> perform the radio bearer configuration procedure in accordance with the received *radioBearerConfig* and as specified in 5.3.5.6;

1> if stored, discard the cell reselection priority information provided by the *cellReselectionPriorities* or inherited from another RAT;

1> stop timer T300, T301 or T319 if running;

1> if T390 is running:

2> stop timer T390 for all access categories;

2> perform the actions as specified in 5.3.14.4;

1> if T302 is running:

2> stop timer T302;

2> perform the actions as specified in 5.3.14.4;

1> stop timer T320, if running;

1> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

2> if T331 is running:

3> stop timer T331;

3> perform the actions as specified in 5.7.8.3;

2> enter RRC\_CONNECTED;

2> stop the cell re-selection procedure;

1> consider the current cell to be the PCell;

1> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*:

2> if *reconnectCellId* in *VarRLF-Report* is not set, and if the received *RRCSetup* is in response to an *RRCSetupRequest*:

3> set *timeUntilReconnection* in *VarRLF-Report* to the time that elapsed since the last radio link failure or handover failure;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* to the global cell identity and the tracking area code of the PCell;

1> if the UE supports RLF report for inter-RAT MRO NR as defined in TS 36.306 [62], and if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10] and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

2> if *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] is not set:

3> set *timeUntilReconnection* in *VarRLF-Report* of TS 36.331[10] to the time that elapsed since the last radio link failure or handover failure in LTE;

3> set *nrReconnectCellId* in *reconnectCellId* in *VarRLF-Report* of TS 36.331[10] to the global cell identity and the tracking area code of the PCell;

1> set the content of *RRCSetupComplete* message as follows:

2> if upper layers provide a 5G-S-TMSI:

3> if the *RRCSetup* is received in response to an *RRCSetupRequest*:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI-Part2*;

3> else:

4> set the *ng-5G-S-TMSI-Value* to *ng-5G-S-TMSI*;

2> if upper layers selected an SNPN or a PLMN and in case of PLMN UE is either allowed or instructed to access the PLMN via a cell for which at least one CAG ID is broadcast:

3> set the *selectedPLMN-Identity* from the *npn-IdentityInfoList*;

2> else:

3> set the *selectedPLMN-Identity* to the PLMN selected by upper layers from the *plmn-IdentityList*;

2> if upper layers provide the 'Registered AMF':

3> include and set the *registeredAMF* as follows:

4> if the PLMN identity of the 'Registered AMF' is different from the PLMN selected by the upper layers:

5> include the *plmnIdentity* in the *registeredAMF* and set it to the value of the PLMN identity in the 'Registered AMF' received from upper layers;

4> set the *amf-Identifier* to the value received from upper layers;

3> include and set the *guami-Type* to the value provided by the upper layers;

2> if upper layers provide one or more S-NSSAI (see TS 23.003 [21]):

3> include the *s-NSSAI-List* and set the content to the values provided by the upper layers;

2> if upper layers provide onboarding request indication:

3> include the *onboardingRequest*;

2> set the *dedicatedNAS-Message* to include the information received from upper layers;

2> if connecting as an IAB-node:

3> include the *iab-NodeIndication*;

2> if the SIB1 contains *idleModeMeasurementsNR* and the UE has NR idle/inactive measurement information concerning cells other than the PCell available in *VarMeasIdleReport*; or

2> if the SIB1 contains *idleModeMeasurementsEUTRA* and the UE has E-UTRA idle/inactive measurement information available in *VarMeasIdleReport*:

3> include the *idleMeasAvailable*;

2> if the UE has logged measurements available for NR and if the RPLMN is included in *plmn-IdentityList* stored in *VarLogMeasReport*:

3> include the *logMeasAvailable* in the *RRCSetupComplete* message;

3> if Bluetooth measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableBT* in the *RRCSetupComplete* message;

3> if WLAN measurement results are included in the logged measurements the UE has available for NR:

4> include the *logMeasAvailableWLAN* in the *RRCSetupComplete* message;

2> if the UE has connection establishment failure or connection resume failure information available in *VarConnEstFailReport* and if the RPLMN is equal to *plmn-Identity* stored in *VarConnEstFailReport*:

3> include *connEstFailInfoAvailable* in the *RRCSetupComplete* message;

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report*, or

2> if the UE has radio link failure or handover failure information available in *VarRLF-Report* of TS 36.331 [10], and if the UE is capable of cross-RAT RLF reporting and if the RPLMN is included in *plmn-IdentityList* stored in *VarRLF-Report* of TS 36.331 [10]:

3> include *rlf-InfoAvailable* in the *RRCSetupComplete* message;

2> if the UE supports storage of mobility history information and the UE has mobility history information available in *VarMobilityHistoryReport*:

3> include the *mobilityHistoryAvail* in the *RRCSetupComplete* message;

2> if the *RRCSetup* is received in response to an *RRCResumeRequest*, *RRCResumeRequest1* or *RRCSetupRequest*:

3> if *speedStateReselectionPars* is configured in the *SIB2*:

4> include the *mobilityState* in the *RRCSetupComplete* message and set it to the mobility state (as specified in TS 38.304 [20]) of the UE just prior to entering RRC\_CONNECTED state;

1> submit the *RRCSetupComplete* message to lower layers for transmission, upon which the procedure ends.

*Next Modified Subclause*

#### – *RRCSetupComplete*

The *RRCSetupComplete* message is used to confirm the successful completion of an RRC connection establishment.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: UE to Network

*RRCSetupComplete* message

-- ASN1START

-- TAG-RRCSETUPCOMPLETE-START

RRCSetupComplete ::= SEQUENCE {

rrc-TransactionIdentifier RRC-TransactionIdentifier,

criticalExtensions CHOICE {

rrcSetupComplete RRCSetupComplete-IEs,

criticalExtensionsFuture SEQUENCE {}

}

}

RRCSetupComplete-IEs ::= SEQUENCE {

selectedPLMN-Identity INTEGER (1..maxPLMN),

registeredAMF RegisteredAMF OPTIONAL,

guami-Type ENUMERATED {native, mapped} OPTIONAL,

s-NSSAI-List SEQUENCE (SIZE (1..maxNrofS-NSSAI)) OF S-NSSAI OPTIONAL,

dedicatedNAS-Message DedicatedNAS-Message,

ng-5G-S-TMSI-Value CHOICE {

ng-5G-S-TMSI NG-5G-S-TMSI,

ng-5G-S-TMSI-Part2 BIT STRING (SIZE (9))

} OPTIONAL,

lateNonCriticalExtension OCTET STRING OPTIONAL,

nonCriticalExtension RRCSetupComplete-v1610-IEs OPTIONAL

}

RRCSetupComplete-v1610-IEs ::= SEQUENCE {

iab-NodeIndication-r16 ENUMERATED {true} OPTIONAL,

idleMeasAvailable-r16 ENUMERATED {true} OPTIONAL,

ue-MeasurementsAvailable-r16 UE-MeasurementsAvailable-r16 OPTIONAL,

mobilityHistoryAvail-r16 ENUMERATED {true} OPTIONAL,

mobilityState-r16 ENUMERATED {normal, medium, high, spare} OPTIONAL,

nonCriticalExtension RRCSetupComplete-v17XY-IEs OPTIONAL

}

RRCSetupComplete-v17XY-IEs ::= SEQUENCE {

onboardingRequest-r17 ENUMERATED {true} OPTIONAL,

nonCriticalExtension SEQUENCE{} OPTIONAL

}

RegisteredAMF ::= SEQUENCE {

plmn-Identity PLMN-Identity OPTIONAL,

amf-Identifier AMF-Identifier

}

-- TAG-RRCSETUPCOMPLETE-STOP

-- ASN1STOP

|  |
| --- |
| *RRCSetupComplete-IEs* field descriptions |
| ***guami-Type***  This field is used to indicate whether the GUAMI included is native (derived from native 5G-GUTI) or mapped (from EPS, derived from EPS GUTI) as specified in TS 24.501 [23]. |
| ***iab-NodeIndication***  This field is used to indicate that the connection is being established by an IAB-node as specified in TS 38.300 [2]. |
| ***idleMeasAvailable***  Indication that the UE has idle/inactive measurement report available. |
| ***mobilityState***  This field indicates the UE mobility state (as defined in TS 38.304 [20], clause 5.2.4.3) just prior to UE going into RRC\_CONNECTED state. The UE indicates the value of *medium* and *high* when being in Medium-mobility and High-mobility states respectively. Otherwise the UE indicates the value *normal*. |
| ***ng-5G-S-TMSI-Part2***  The leftmost 9 bits of 5G-S-TMSI. |
| ***onboardingRequest***  This field indicates that the connection is being established for onboarding in the selected Onboarding SNPN (ON-SNPN), see TS 23.501 [32]. |
| ***registeredAMF***  This field is used to transfer the GUAMI of the AMF where the UE is registered, as provided by upper layers, see TS 23.003 [21]. |
| ***selectedPLMN-Identity***  Index of the PLMN or SNPN selected by the UE from the *plmn-IdentityList* or *npn-IdentityInfoList* fields included in SIB1. |

*Next Modified Subclause (NEW CLAUSE)*

#### – *SIBXY*

*SIBXY* contains the list of GINs for CHs and onboarding for the SNPNs listed in SIB1. It can only be present if at least one SNPN supports the use of external credential holders or onboarding.

Editor's Note: This is just the very initial specification for this SIB, as it is open if there is a single GIN list or not and whether a GIN ID includes the PLMN ID. These issues depend on SA2 decisions.

***SIBXY* information element**

-- ASN1START

-- TAG-SIBXY-START

SIBXY-r17 ::= SEQUENCE {

gin-List-r17 SEQUENCE (SIZE (1..maxNrofGIN-r17)) OF GIN-Element-r17 OPTIONAL, -- Need R

lateNonCriticalExtension OCTET STRING OPTIONAL,

...

}

GIN-Element-r17 ::= SEQUENCE {

gin-Id-r17 FFS

}

-- TAG-SIBXY-STOP

-- ASN1STOP

|  |
| --- |
| *SIBXY* field descriptions |
| ***GIN-List***  TBA |

*Next Modified Subclause*

#### – *CellAccessRelatedInfo*

The IE *CellAccessRelatedInfo* indicates cell access related information for this cell.

*CellAccessRelatedInfo* information element

-- ASN1START

-- TAG-CELLACCESSRELATEDINFO-START

CellAccessRelatedInfo ::= SEQUENCE {

plmn-IdentityList PLMN-IdentityInfoList,

cellReservedForOtherUse ENUMERATED {true} OPTIONAL, -- Need R

...,

[[

cellReservedForFutureUse-r16 ENUMERATED {true} OPTIONAL, -- Need R

npn-IdentityInfoList-r16 NPN-IdentityInfoList-r16 OPTIONAL -- Need R

]]

[[

snpn-AccessInfoList-r17 SEQUENCE (SIZE (1..maxNPN-r16)) OF SNPN-AccessInfo-r17 OPTIONAL -- Need R

]]

}

SNPN-AccessInfo-r17 ::= SEQUENCE {

extCH-Supported-r17 ENUMERATED {true} OPTIONAL, -- Need R

extCH-WithoutConfigAllowed-r17 ENUMERATED {true} OPTIONAL, -- Need R

onboardingEnabled-r17 ENUMERATED {true} OPTIONAL, -- Need R

supportedGins BIT STRING (SIZE (FFS)) OPTIONAL -- Need R

}

-- TAG-CELLACCESSRELATEDINFO-STOP

-- ASN1STOP

|  |
| --- |
| *CellAccessRelatedInfo* field descriptions |
| ***cellReservedForFutureUse***  Indicates whether the cell is reserved, as defined in 38.304 [20] for future use. The field is applicable to all PLMNs and NPNs. This field is ignored by IAB-MT. |
| ***cellReservedForOtherUse***  Indicates whether the cell is reserved, as defined in 38.304 [20]. The field is applicable to all PLMNs. This field is ignored by IAB-MT for cell barring determination, but still considered by NPN capable IAB-MT for determination of an NPN-only cell. |
| ***npn-IdentityInfoList***  The *npn-IdentityInfoList* is used to configure a set of *NPN-IdentityInfo* elements. Each of those elements contains a list of one or more NPN Identities and additional information associated with those NPNs. The total number of PLMNs (identified by a PLMN identity in *plmn -IdentityList*), PNI-NPNs (identified by a PLMN identity and a CAG-ID), and SNPNs (identified by a PLMN identity and a NID) together in the *PLMN-IdentityInfoList* and *NPN-IdentityInfoList* does not exceed 12, except for the NPN-only cells. In case of NPN-only cells the *PLMN-IdentityList* contains a single element that does not count to the limit of 12. The NPN index is defined as *B+c1+c2+…+c(n-1)+d1+d2+…+d(m-1)+e(i)* for the NPN identity included in the *n*-th entry of *NPN-IdentityInfoList* and in the *m*-th entry of *NPN-Identitylist* within that *npn-IdentityInfoList* entry, and the *i*-th entry of its corresponding *NPN-Identity*, where  - *B* is the index used for the last PLMN in the *PLMN-IdentittyInfoList*; in NPN-only cells *B* is considered 0;  - *c(j)* is the number of NPN index values used in the *j*-th *NPN-IdentityInfoList* entry;  - *d(k)* is the number of NPN index values used in the *k*-th *npn-IdentityList* entry within the *n*-th *NPN-IdentityInfoList* entry;  - e(i) is  - *i* if the *n*-th entry of *NPN-IdentityInfoList* entry is for SNPN(s);  - 1 if the *n*-th entry of *NPN-IdentityInfoList* entry is for PNI-NPN(s). |
| ***snpn-AccessInfoList***  This list indicates the support of external Credentials Holder and onboarding for each SNPN in *npn-IdentityInfoList*, see TS 23.501 [32]. The n-th entry of the list contains the indicators of the n-th SNPN in *npn-IdentityInfoList*. |
| ***plmn-IdentityList***  The *plmn-IdentityList* is used to configure a set of *PLMN-IdentityInfo* elements. Each of those elements contains a list of one or more PLMN Identities and additional information associated with those PLMNs. A PLMN-identity can be included only once, and in only one entry of the *PLMN-IdentityInfoList*. The PLMN index is defined as *b1+b2+…+b(n-1)+i* for the PLMN included at the *n*-th entry of *PLMN-IdentityInfoList* and the *i*-th entry of its corresponding *PLMN-IdentityInfo*, where *b(j)* is the number of *PLMN-Identity* entries in each *PLMN-IdentityInfo*, respectively. |

|  |
| --- |
| *SNPN-AccessInfo* field descriptions |
| ***extCH-Supported***  Indicates whether the SNPN supports the access using credentials from a Credentials Holder as specified in TS 23.501 [32]. |
| ***extCH-WithoutConfigAllowed***  Indicates whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN as specified in TS 23.501 [32]. |
| ***onboardingEnabled***  Indicates whether the SNPN allows registration for onboarding in the cell as specified in TS 23.501 [32]. |
| ***supportedGins***  FFS |

*Next Modified Subclause*

#### – *SI-SchedulingInfo*

The IE *SI-SchedulingInfo* contains information needed for acquisition of SI messages.

*SI-SchedulingInfo* information element

-- ASN1START

-- TAG–SI-SCHEDULINGINFO-START

SI-SchedulingInfo ::= SEQUENCE {

schedulingInfoList SEQUENCE (SIZE (1..maxSI-Message)) OF SchedulingInfo,

si-WindowLength ENUMERATED {s5, s10, s20, s40, s80, s160, s320, s640, s1280},

si-RequestConfig SI-RequestConfig OPTIONAL, -- Cond MSG-1

si-RequestConfigSUL SI-RequestConfig OPTIONAL, -- Cond SUL-MSG-1

systemInformationAreaID BIT STRING (SIZE (24)) OPTIONAL, -- Need R

...

}

SchedulingInfo ::= SEQUENCE {

si-BroadcastStatus ENUMERATED {broadcasting, notBroadcasting},

si-Periodicity ENUMERATED {rf8, rf16, rf32, rf64, rf128, rf256, rf512},

sib-MappingInfo SIB-Mapping

}

SIB-Mapping ::= SEQUENCE (SIZE (1..maxSIB)) OF SIB-TypeInfo

SIB-TypeInfo ::= SEQUENCE {

type ENUMERATED {sibType2, sibType3, sibType4, sibType5, sibType6, sibType7, sibType8, sibType9,

sibType10-v1610, sibType11-v1610, sibType12-v1610, sibType13-v1610, sibType14-v1610,

sibTypeXY-v17ab, spare2, spare1,... },

valueTag INTEGER (0..31) OPTIONAL, -- Cond SIB-TYPE

areaScope ENUMERATED {true} OPTIONAL -- Need S

}

-- TAG-SI-SCHEDULINGINFO-STOP

-- ASN1STOP

|  |
| --- |
| *SchedulingInfo* field descriptions |
| ***areaScope***  Indicates that a SIB is area specific. If the field is absent, the SIB is cell specific. |
| ***si-BroadcastStatus***  Indicates if the SI message is being broadcasted or not. Change of *si-BroadcastStat*us should not result in system information change notifications in Short Message transmitted with P-RNTI over DCI (see clause 6.5). The value of the indication is valid until the end of the BCCH modification period when set to *broadcasting*. |
| ***si-Periodicity***  Periodicity of the SI-message in radio frames. Value *rf8* corresponds to 8 radio frames, value *rf16* corresponds to 16 radio frames, and so on. |

|  |
| --- |
| *SI-SchedulingInfo* field descriptions |
| ***si-RequestConfig***  Configuration of Msg1 resources that the UE uses for requesting SI-messages for which *si-BroadcastStatus* is set to notBroadcasting. |
| ***si-RequestConfigSUL***  Configuration of Msg1 resources that the UE uses for requesting SI-messages for which *si-BroadcastStatus* is set to notBroadcasting. |
| ***si-WindowLength***  The length of the SI scheduling window. Value *s5* corresponds to 5 slots, value *s10* corresponds to 10 slots and so on. The network always configures *si-WindowLength* to be shorter than or equal to the *si-Periodicity*. |
| ***systemInformationAreaID***  Indicates the system information area that the cell belongs to, if any. Any SIB with *areaScope* within the SI is considered to belong to this *systemInformationAreaID*. The systemInformationAreaID is unique within a PLMN/SNPN. |

| Conditional presence | Explanation |
| --- | --- |
| *MSG-1* | The field is optionally present, Need R, if *si-BroadcastStatus* is set to *notBroadcasting* for any SI-message included in *SchedulingInfo*. It is absent otherwise. |
| *SIB-TYPE* | The field is mandatory present if the SIB type is different from *SIB6*, *SIB7* or *SIB8*. For *SIB6*, *SIB7* and *SIB8* it is absent. |
| *SUL-MSG-1* | The field is optionally present, Need R, if *supplementaryUplink* is configured in *ServingCellConfigCommonSIB* and if *si-BroadcastStatus* is set to *notBroadcasting* for any SI-message included in *SchedulingInfo*. It is absent otherwise. |

*Next Modified Subclause*

## RRC multiplicity and type constraint values

### – Multiplicity and type constraint definitions

-- ASN1START

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-START

maxNrofGIN-r17 INTEGER ::= FFS -- Maximum number of GINs in *gin-List-r17*

-- TAG-MULTIPLICITY-AND-TYPE-CONSTRAINT-DEFINITIONS-STOP

-- ASN1STOP

*End of Changes*

# Annex A: RAN2 Agreements (to be removed when the CR is submitted)

## A.1 RAN2#113

Agreements on Support SNPN with subscription or credentials by a separate entity were as follows:

* A new indicator that "access using credentials from a separate entity is supported" is broadcasted, and the indicator is broadcasted per SNPN in network sharing scenarios.
* RAN2 assumes that the new indicator that "access using credentials from a separate entity is supported" is broadcasted in SIB1.
* The supported Group IDs are broadcasted
* A new indicator that "whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN" is broadcasted, and the indicator is broadcasted per SNPN in network sharing scenario.
* RAN2 assumes that the new indicator that "whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN" is broadcasted in SIB1.
* In the UE, AS reports to NAS about the following broadcasted new parameters:

Indicator that "access using credentials from a separate entity is supported" in the cell per SNPN

Supported Group IDs

Indicator that "whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN" per SNPN.

Agreements on Support UE onboarding and provisioning for NPN were as follows:

* Broadcast a 1-bit indication for onboarding per O-SNPN.
* R2 assumes that the 1-bit indication for onboarding is in SIB1.
* The UE sends an indication for onboarding to the gNB at RRC Connection Establishment (intention to support AMF selection).
* Focus on the O-SNPN scenario. Wait for SA2 further conclusion on how a PLMN can be used as onboarding network.

Agreements on IMS voice and emergency services for SNPN were as follows:

* Extend the ims-EmergencySupport field to SNPN cells (it is FFS whether to reuse the existing IE or add new IEs indicating the support for IMS emergency).
* For reserved cells specified in TS 38.304, all acceptable cells of an SNPN supporting emergency services are treated as suitable when the UE has an ongoing emergency call.
* R17 UEs in SNPN Access Mode can camp on an acceptable SNPN cell supporting emergency services to obtain emergency services.
* The voiceFallbackIndication field in RRCRelease and MobilityFromNRCommand is not applicable to SNPN cells.

## A.2 RAN2#113bis Agreements

General agreements were as follows:

support of PWS over SNPN:

* It seems feasible to do this in R17 from R2 persepctive. Very small impact foreseen

Agreements on Support SNPN with subscription or credentials by a separate entity were as follows:

* Use the term "Credentials Holder (CH)" in future RAN2 discussions for the external entity providing subscription or credential for SNPNs.
* Use the term "Group IDs for Network Selection (GINs)" in future RAN2 discussions for the service provider Group IDs.
* The following assumptions in last meeting are confirmed as agreements,

The new indicator that "access using credentials from a separate entity is supported" is broadcasted in SIB1.

The new indicator that "whether the SNPN allows registration attempts from UEs that are not explicitly configured to select the SNPN" is broadcasted in SIB1.

* GIDs are broadcasted per SNPN in network sharing scenarios.
* RAN2 to revise the previous agreement as following:

In the UE, AS reports broadcast Group IDs per SNPN to NAS.

* To supporting SNPN with subscription or credentials by a separate entity, R2 assumes that there is no impact on cell (re)selection (e.g. no need to change suitable cell criteria).

Agreements on Support UE onboarding and provisioning for NPN were as follows:

* UE AS forwards the onboarding indication (and Group IDs if Proposal#1 is agreed) per SNPN to UE NAS for onboarding network selection.
* No UE impact on connected mode mobility for onboarding.
* A new onboarding indication is included in *RRCSetupComplete* message.
* R2 assumes that no enhancement is needed to support onboarding for provisioning the PNI-NPN credentials to UE.
* There is no need to introduce an onboarding request indication in RRC messages for UEs in RRC\_INACTIVE.
* Group IDs per SNPN for onboarding purpose is broadcast in the SIB. FFS whether the Group IDs for onboarding purpose and for credential by separate entity are different.
* R2 assumes that onboarding will not impact cell reselection.

## A.3 RAN2#114 Agreements

General agreements were as follows:

Reply for LS on limited service availability of an SNPN (C1-21212601/R2-2104704):

* We reply “YES” (to Q1 of the LS), but need to discuss the details of the additional info and the alternatives.

Agreements on Support SNPN with subscription or credentials by a separate entity were as follows:

* GIN for access using CH is broadcst only if Indication of accessing using CH is broadcast.
* RAN2 assumes that NAS does not send selected GINs and two indications related to external credentials to AS.
* There is no impact on cell (re)selection to support SNPN with subscription or credentials by a separate entity.
* RAN2 assume there is no RAN2 UE impact of connected mode mobility for separate credential.
* RAN2 assumes the selected SNPN ID is enough for AMF selection for separate credential.
* GIN is broadcasted by new SIB

Agreements on Support UE onboarding and provisioning for NPN were as follows:

* No additional information except for the already agreed broadcast parameters is needed, unless requested by other WG.
* There is no need to introduce the 1-bit onboarding indication in SIB1 and optional GINs for PLMNs acting as onboarding networks.
* Toggling the 1-bit onboarding indication in SIB1 allows to control congestion due to onboarding request.
* RAN2 confirms that onboarding does not impact the cell reselection procedure.
* For AMF routing, no extra information is needed in addition to the already agreed onboarding request indication in RRCSetupComplete, unless explicitly requested by other WGs.
* Any limitation to a selected set of UEs using uSIM tags is out of RAN2 scope.
* Send an LS to SA2 to ask about separate or joint GIN list for onboarding and separate credentials and GIN encoding.

## A.3 RAN2#115 Agreements

Agreements on Support SNPN with subscription or credentials by a separate entity and onboarding were as follows:

* Wait for SA2 reply LS on the issue whether a common list of GINs used for onboarding and SNPN access using external credentials.
* RAN2 has not identified a need for modification of / addition to broadcast of HRNNs.
* RAN2 confirms that there is no impact on connected mode mobility when accessing an SNPN through CHs (was already assumed).
* maximum number of GINs is specified per cell
* new SIB specified to broadcast GINs acc to Option B: Single list of GINs with explicit assignment to SNPNs. Details on the explicit assignment are FFS.
* RAN2 didn’t identify a need for modification to access control for SNPN access using external credential (could be discussed in other groups)
* RAN2 didn’t identify a need for modification to access control for SNPN access for onboarding (could be discussed in other groups)

Agreements on IMS voice and emergency services for SNPN were as follows:

* Introduce a new IE/field to indicate the support of IMS emergency service for SNPN.
* eCall over IMS is not supported in SNPNs in Rel-17.
* PWS can be supported in SNPNs in Rel-17.