**3GPP TSG-RAN WG2 Meeting #111 Electronic *R2-200xxxx***

**Elbonia, 17 – 28 August 2020**

|  |
| --- |
| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **xxx** | **rev** | **-** | **Current version:** | **16.1.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
|  |

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| ***Proposed change affects:*** | UICC apps |  | ME |  | Radio Access Network | **x** | Core Network |  |

|  |
| --- |
|  |
| ***Title:***  | Update to IAB-MT capabilities |
|  |  |
| ***Source to WG:*** | Nokia, Nokia Shanghai Bell |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_IAB-Core  |  | ***Date:*** | 2020-09 |
|  |  |  |  |  |
| ***Category:*** | **Cat F** |  | ***Release:*** | Rel-16 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | RAN2#111-e agreed that *HO-IntraF-IAB-r16* is signalled per Band. With the consistency condition agreed in according to R2-2006936 for UE capabilities that are changed from per UE requiring xDD-Diff and FRx-Diff to per band, a new condition needs to be added (i.e. UE shall set the capability value consistently for all FDD-FR1 bands, all TDD-FR1 bands and all TDD-FR2 bands respectively).Furthermore, R2-2007980 *Correction on IAB-MT capability for TS 38.331*, Huawei, HiSilicon was agreeable change as per R2-2008598 |
|  |  |
| ***Summary of change:*** | In section 6.3.3 new optional parameter for IAB-MT are introduced:1. *lcid-ExtensionIAB* IE in *MAC-Parameters* as per agreeable content of R2-2007980
2. *handoverIntraF-IAB-r16* is moved from *MeasAndMobParametersXDD-Diff* to *BandNR* to facilitate signalling per band.

**Impact analysis**Impacted functionality: IAB-MT capability signalling.Inter-operability: 1. If the IAB-DU/IAB-CU is implemented according to the CR and the IAB-MT is not, the IAB-MT may not indicate the capabilties support.
2. If the IAB-MT is implemented according to the CR and the IAB-DU/IAB-CU is not, then the NW may not understand if the IAB-MT supports the capabilities.
 |
|  |  |
| ***Consequences if not approved:*** | Signalling of UE features for IAB-MT becomes ambiguous.*lcid-ExtensionIAB* remain defined as IAB-MT parameter in 36.306 with no signaling capability bit, *handoverIntraF-IAB-r16* remain per IAB-MT which is contradicotry to RAN#111e agreement. |
|  |  |
| ***Clauses affected:*** | 6.3.3 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** | **x** |  |  Other core specifications  | TS38.306 CR ...  |
| ***affected:*** |  | **x** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **x** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

*First Modified Subclause*

### 6.3.3 UE capability information elements

#### – *MAC-Parameters*

The IE *MAC-Parameters* is used to convey capabilities related to MAC.

*MAC-Parameters* information element

-- ASN1START

-- TAG-MAC-PARAMETERS-START

MAC-Parameters ::= SEQUENCE {

 mac-ParametersCommon MAC-ParametersCommon OPTIONAL,

 mac-ParametersXDD-Diff MAC-ParametersXDD-Diff OPTIONAL

}

MAC-Parameters-v1610 ::= SEQUENCE {

 mac-ParametersFRX-Diff-r16 MAC-ParametersFRX-Diff-r16 OPTIONAL

}

MAC-ParametersCommon ::= SEQUENCE {

 lcp-Restriction ENUMERATED {supported} OPTIONAL,

 dummy ENUMERATED {supported} OPTIONAL,

 lch-ToSCellRestriction ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 recommendedBitRate ENUMERATED {supported} OPTIONAL,

 recommendedBitRateQuery ENUMERATED {supported} OPTIONAL

 ]],

 [[

 recommendedBitRateMultiplier-r16 ENUMERATED {supported} OPTIONAL,

 secondaryDRX-Group ENUMERATED {supported} OPTIONAL,

 preEmptiveBSR-r16 ENUMERATED {supported} OPTIONAL,

 autonomousTransmission-r16 ENUMERATED {supported} OPTIONAL,

 lch-PriorityBasedPrioritization-r16 ENUMERATED {supported} OPTIONAL,

 lch-ToConfiguredGrantMapping-r16 ENUMERATED {supported} OPTIONAL,

 lch-ToGrantPriorityRestriction-r16 ENUMERATED {supported} OPTIONAL,

 singlePHR-P-r16 ENUMERATED {supported} OPTIONAL,

 ul-LBT-FailureDetectionRecovery-r16 ENUMERATED {supported} OPTIONAL,

 lcid-ExtensionIAB-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

MAC-ParametersFRX-Diff-r16 ::= SEQUENCE {

 directMCG-SCellActivation-r16 ENUMERATED {supported} OPTIONAL,

 directMCG-SCellActivationResume-r16 ENUMERATED {supported} OPTIONAL,

 directSCG-SCellActivation-r16 ENUMERATED {supported} OPTIONAL,

 directSCG-SCellActivationResume-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 19-1: DRX Adaptation

 drx-Adaptation-r16 SEQUENCE {

 licensedBand-r16 MinTimeGap-r16 OPTIONAL,

 unlicensedBand-r16 MinTimeGap-r16 OPTIONAL

 } OPTIONAL,

 ...

}

MAC-ParametersXDD-Diff ::= SEQUENCE {

 skipUplinkTxDynamic ENUMERATED {supported} OPTIONAL,

 logicalChannelSR-DelayTimer ENUMERATED {supported} OPTIONAL,

 longDRX-Cycle ENUMERATED {supported} OPTIONAL,

 shortDRX-Cycle ENUMERATED {supported} OPTIONAL,

 multipleSR-Configurations ENUMERATED {supported} OPTIONAL,

 multipleConfiguredGrants ENUMERATED {supported} OPTIONAL,

 ...

}

MinTimeGap-r16 ::= SEQUENCE {

 scs-15kHz-r16 ENUMERATED {sl1, sl3} OPTIONAL,

 scs-30kHz-r16 ENUMERATED {sl1, sl6} OPTIONAL,

 scs-60kHz-r16 ENUMERATED {sl1, sl12} OPTIONAL,

 scs-120kHz-r16 ENUMERATED {sl2, sl24} OPTIONAL

}

-- TAG-MAC-PARAMETERS-STOP

-- ASN1STOP

*Unmodified parts omitted*

#### – *MeasAndMobParameters*

The IE *MeasAndMobParameters* is used to convey UE capabilities related to measurements for radio resource management (RRM), radio link monitoring (RLM) and mobility (e.g. handover).

*MeasAndMobParameters* information element

-- ASN1START

-- TAG-MEASANDMOBPARAMETERS-START

MeasAndMobParameters ::= SEQUENCE {

 measAndMobParametersCommon MeasAndMobParametersCommon OPTIONAL,

 measAndMobParametersXDD-Diff MeasAndMobParametersXDD-Diff OPTIONAL,

 measAndMobParametersFRX-Diff MeasAndMobParametersFRX-Diff OPTIONAL

}

MeasAndMobParametersCommon ::= SEQUENCE {

 supportedGapPattern BIT STRING (SIZE (22)) OPTIONAL,

 ssb-RLM ENUMERATED {supported} OPTIONAL,

 ssb-AndCSI-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 eventB-MeasAndReport ENUMERATED {supported} OPTIONAL,

 handoverFDD-TDD ENUMERATED {supported} OPTIONAL,

 eutra-CGI-Reporting ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting ENUMERATED {supported} OPTIONAL

 ]],

 [[

 independentGapConfig ENUMERATED {supported} OPTIONAL,

 periodicEUTRA-MeasAndReport ENUMERATED {supported} OPTIONAL,

 handoverFR1-FR2 ENUMERATED {supported} OPTIONAL,

 maxNumberCSI-RS-RRM-RS-SINR ENUMERATED {n4, n8, n16, n32, n64, n96} OPTIONAL

 ]],

 [[

 nr-CGI-Reporting-ENDC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 eutra-CGI-Reporting-NEDC ENUMERATED {supported} OPTIONAL,

 eutra-CGI-Reporting-NRDC ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NEDC ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NRDC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 reportAddNeighMeasForPeriodic-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverParametersCommon-r16 SEQUENCE {

 condHandoverFDD-TDD-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFR1-FR2-r16 ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 nr-NeedForGap-Reporting-r16 ENUMERATED {supported} OPTIONAL,

 supportedGapPattern-NRonly-r16 BIT STRING (SIZE (10)) OPTIONAL,

 supportedGapPattern-NRonly-NEDC-r16 ENUMERATED {supported} OPTIONAL,

 maxNumberCLI-RSSI-r16 ENUMERATED {n8, n16, n32, n64} OPTIONAL,

 maxNumberCLI-SRS-RSRP-r16 ENUMERATED {n4, n8, n16, n32} OPTIONAL,

 maxNumberPerSlotCLI-SRS-RSRP-r16 ENUMERATED {n2, n4, n8} OPTIONAL,

 mfbi-IAB-r16 ENUMERATED {supported} OPTIONAL,

 multipleNS-And-Pmax-IAB-r16 ENUMERATED {supported} OPTIONAL,

 nr-CGI-Reporting-NPN-r16 ENUMERATED {supported} OPTIONAL,

 idleInactiveEUTRA-MeasReport-r16 ENUMERATED {supported} OPTIONAL,

 idleInactive-ValidityArea-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

MeasAndMobParametersXDD-Diff ::= SEQUENCE {

 intraAndInterF-MeasAndReport ENUMERATED {supported} OPTIONAL,

 eventA-MeasAndReport ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE-EPC ENUMERATED {supported} OPTIONAL,

 handoverLTE-5GC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 sftd-MeasNR-Neigh ENUMERATED {supported} OPTIONAL,

 sftd-MeasNR-Neigh-DRX ENUMERATED {supported} OPTIONAL

 ]],

 [[

 condHandoverParametersXDD-Diff-r16 SEQUENCE {

 condHandover-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 pcellT312-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGapsNEDC-r16 ENUMERATED {supported} OPTIONAL,

 eutra-AutonomousGapsNRDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-ENDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGapsNEDC-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGapsNRDC-r16 ENUMERATED {supported} OPTIONAL,

 handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

MeasAndMobParametersFRX-Diff ::= SEQUENCE {

 ss-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithSSB ENUMERATED {supported} OPTIONAL,

 csi-RSRP-AndRSRQ-MeasWithoutSSB ENUMERATED {supported} OPTIONAL,

 csi-SINR-Meas ENUMERATED {supported} OPTIONAL,

 csi-RS-RLM ENUMERATED {supported} OPTIONAL,

 ...,

 [[

 handoverInterF ENUMERATED {supported} OPTIONAL,

 handoverLTE-EPC ENUMERATED {supported} OPTIONAL,

 handoverLTE-5GC ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxNumberResource-CSI-RS-RLM ENUMERATED {n2, n4, n6, n8} OPTIONAL

 ]],

 [[

 simultaneousRxDataSSB-DiffNumerology ENUMERATED {supported} OPTIONAL

 ]],

 [[

 nr-AutonomousGaps-r16 ENUMERATED {supported} OPTIONAL,

 nr-AutonomousGaps-ENDC-r16 ENUMERATED {supported} OPTIONAL,

 handoverUTRA-FDD-r16 ENUMERATED {supported} OPTIONAL,

 cli-RSSI-Meas-r16 ENUMERATED {supported} OPTIONAL,

 cli-SRS-RSRP-Meas-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverParametersFRX-Diff-r16 SEQUENCE {

 condHandover-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverFailure-r16 ENUMERATED {supported} OPTIONAL,

 condHandoverTwoTriggerEvents-r16 ENUMERATED {supported} OPTIONAL

 } OPTIONAL,

 pcellT312-r16 ENUMERATED {supported} OPTIONAL,

 interFrequencyMeas-Nogap-r16 ENUMERATED {supported} OPTIONAL,

 simultaneousRxDataSSB-DiffNumerology-Inter-r16 ENUMERATED {supported} OPTIONAL,

 idleInactiveNR-MeasReport-r16 ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-MEASANDMOBPARAMETERS-STOP

-- ASN1STOP

*Unmodified parts omitted*

#### – *RF-Parameters*

The IE *RF-Parameters* is used to convey RF-related capabilities for NR operation.

*RF-Parameters* information element

-- ASN1START

-- TAG-RF-PARAMETERS-START

RF-Parameters ::= SEQUENCE {

 supportedBandListNR SEQUENCE (SIZE (1..maxBands)) OF BandNR,

 supportedBandCombinationList BandCombinationList OPTIONAL,

 appliedFreqBandListFilter FreqBandList OPTIONAL,

 ...,

 [[

 supportedBandCombinationList-v1540 BandCombinationList-v1540 OPTIONAL,

 srs-SwitchingTimeRequested ENUMERATED {true} OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1550 BandCombinationList-v1550 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1560 BandCombinationList-v1560 OPTIONAL

 ]],

 [[

 supportedBandCombinationList-v1610 BandCombinationList-v1610 OPTIONAL,

 supportedBandCombinationListSidelink-r16 BandCombinationListSidelink-r16 OPTIONAL,

 supportedBandCombinationList-UplinkTxSwitch-r16 BandCombinationList-UplinkTxSwitch-r16 OPTIONAL

 ]]

}

BandNR ::= SEQUENCE {

 bandNR FreqBandIndicatorNR,

 modifiedMPR-Behaviour BIT STRING (SIZE (8)) OPTIONAL,

 mimo-ParametersPerBand MIMO-ParametersPerBand OPTIONAL,

 extendedCP ENUMERATED {supported} OPTIONAL,

 multipleTCI ENUMERATED {supported} OPTIONAL,

 bwp-WithoutRestriction ENUMERATED {supported} OPTIONAL,

 bwp-SameNumerology ENUMERATED {upto2, upto4} OPTIONAL,

 bwp-DiffNumerology ENUMERATED {upto4} OPTIONAL,

 crossCarrierScheduling-SameSCS ENUMERATED {supported} OPTIONAL,

 pdsch-256QAM-FR2 ENUMERATED {supported} OPTIONAL,

 pusch-256QAM ENUMERATED {supported} OPTIONAL,

 ue-PowerClass ENUMERATED {pc1, pc2, pc3, pc4} OPTIONAL,

 rateMatchingLTE-CRS ENUMERATED {supported} OPTIONAL,

 channelBWs-DL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (10)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (10)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (3)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (3)) OPTIONAL

 }

 } OPTIONAL,

 ...,

 [[

 maxUplinkDutyCycle-PC2-FR1 ENUMERATED {n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 pucch-SpatialRelInfoMAC-CE ENUMERATED {supported} OPTIONAL,

 powerBoosting-pi2BPSK ENUMERATED {supported} OPTIONAL

 ]],

 [[

 maxUplinkDutyCycle-FR2 ENUMERATED {n15, n20, n25, n30, n40, n50, n60, n70, n80, n90, n100} OPTIONAL

 ]],

 [[

 channelBWs-DL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL,

 channelBWs-UL-v1590 CHOICE {

 fr1 SEQUENCE {

 scs-15kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-30kHz BIT STRING (SIZE (16)) OPTIONAL,

 scs-60kHz BIT STRING (SIZE (16)) OPTIONAL

 },

 fr2 SEQUENCE {

 scs-60kHz BIT STRING (SIZE (8)) OPTIONAL,

 scs-120kHz BIT STRING (SIZE (8)) OPTIONAL

 }

 } OPTIONAL

 ]],

 [[

 asymmetricBandwidthCombinationSet BIT STRING (SIZE (1..32)) OPTIONAL

 ]],

 [[

 -- R1 10: NR-unlicensed

 unlicensedParametersPerBand-r16 UnlicensedParametersPerBand-r16 OPTIONAL,

 -- R1 11-7b: Independent cancellation of the overlapping PUSCHs in an intra-band UL CA

 cancelOverlappingPUSCH-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-1: Multiple LTE-CRS rate matching patterns

 multipleRateMatchingEUTRA-CRS-r16 SEQUENCE {

 maxNumberPatterns-r16 INTEGER (2..6),

 maxNumberNon-OverlapPatterns-r16 INTEGER (1..3)

 } OPTIONAL,

 -- R1 14-1a: Two LTE-CRS overlapping rate matching patterns within a part of NR carrier using 15 kHz overlapping with a LTE carrier

 overlapRateMatchingEUTRA-CRS-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-2: PDSCH Type B mapping of length 9 and 10 OFDM symbols

 pdsch-MappingTypeB-Alt-r16 ENUMERATED {supported} OPTIONAL,

 -- R1 14-3: One slot periodic TRS configuration for FR1

 oneShotPeriodicTRS-r16 ENUMERATED {supported} OPTIONAL,

 olpc-SRS-Pos-r16 OLPC-SRS-Pos-r16 OPTIONAL,

 spatialRelationsSRS-Pos-r16 SpatialRelationsSRS-Pos-r16 OPTIONAL,

 simul-SRS-Trans-IntraBandCA-r16 INTEGER (1..2) OPTIONAL,

 channelBW-DL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 channelBW-UL-IAB-r16 CHOICE {

 fr1-100mhz SEQUENCE {

 scs-15kHz ENUMERATED {supported} OPTIONAL,

 scs-30kHz ENUMERATED {supported} OPTIONAL,

 scs-60kHz ENUMERATED {supported} OPTIONAL

 },

 fr2-200mhz SEQUENCE {

 scs-60kHz ENUMERATED {supported} OPTIONAL,

 scs-120kHz ENUMERATED {supported} OPTIONAL

 }

 } OPTIONAL,

 rasterShift7dot5-IAB-r16 ENUMERATED {supported} OPTIONAL,

 ue-PowerClass-v1610 ENUMERATED {pc1dot5} OPTIONAL,

 handoverIntraF-IAB-r1610 ENUMERATED {supported} OPTIONAL

 ]]

}

-- TAG-RF-PARAMETERS-STOP

-- ASN1STOP

*Unmodified parts omitted*