**3GPP TSG- Meeting #**

**Online, 2020-06-01 – 2020-06-12**

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| *CR-Form-v12.0* |
| **CHANGE REQUEST** |
|  |
|  | **38.331** | **CR** | **1667** | **rev** | **1** | **Current version:** | **15.9.0** |  |
|  |
| *For* [***HE******LP***](http://www.3gpp.org/3G_Specs/CRs.htm#_blank)*on using this form: comprehensive instructions can be found at* [*http://www.3gpp.org/Change-Requests*](http://www.3gpp.org/Change-Requests)*.* |
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| ***Proposed change affects:*** | UICC apps |  | ME | **X** | Radio Access Network | **X** | Core Network |  |

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|  |
| ***Title:***  |  Miscellaneous non-controversial corrections Set V |
|  |  |
| ***Source to WG:*** | Ericsson |
| ***Source to TSG:*** | R2 |
|  |  |
| ***Work item code:*** | NR\_newRAT-Core |  | ***Date:*** | 2020-05-20 |
|  |  |  |  |  |
| ***Category:*** | **F** |  | ***Release:*** | Rel-15 |
|  | *Use one of the following categories:****F*** *(correction)****A*** *(mirror corresponding to a change in an earlier release)****B*** *(addition of feature),* ***C*** *(functional modification of feature)****D*** *(editorial modification)*Detailed explanations of the above categories canbe found in 3GPP [TR 21.900](http://www.3gpp.org/ftp/Specs/html-info/21900.htm). | *Use one of the following releases:Rel-8 (Release 8)Rel-9 (Release 9)Rel-10 (Release 10)Rel-11 (Release 11)Rel-12 (Release 12)**Rel-13 (Release 13)Rel-14 (Release 14)Rel-15 (Release 15)Rel-16 (Release 16)* |
|  |  |
| ***Reason for change:*** | ssb-perRACH-OccasionAndCB-PreamblesPerSSB in RACH-ConfigCommonField value misspelled in field description.Draft CR discussed at RAN2#109bis-e meeting:R2-2003778 Clarification on the using of RRCSetup in 38.331RRC\_ConnectRRCSetup can be used to establish SRB1, and can be used for RRC connection establishment, RRC connection re-establishment and RRC connection resume when falling to RRC connection establishment. However, in Annex B.1 Protection of RRC messages (informative), in the comment for RRCSetup, RRC\_CONNECTED state is missing (RRCSetup can also be send on SRB0 in RRC\_CONNECTED)Rev. 1Draft CRs agreed to be merged into this CR:R2-2004912 Correction on SpCellIn many places of TS 38.331, SpCell is denoted as “PCell of MCG or SCG”.R2-2004768 Clarification on the configuration of RAN-AreaConfigAccording to 38.300 description and ASN.1 structure, the RAN area code(s) shall be configured together with TA code(s). |
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| ***Summary of change:*** | ssb-perRACH-OccasionAndCB-PreamblesPerSSB in RACH-ConfigCommon1. Misspelled field value corrected.

Appendix B.12. Added missing ”RRC\_CONNECTED”.Rev. 1R2-20049121. *DownlinkConfigCommonSIB*

Replaced “SpCell (PCell of MCG or SCG)” with “PCell”.1. *UplinkConfigCommon*

Replaced “SpCell (PCell of MCG or SCG)” with “PCell”.1. *UplinkConfigCommonSIB*

Changed “SpCell (PCell of MCG or SCG) and SCell” to “serving cell”.R2-20047681. *RRCRelease, PLMN-RAN-AreaConfig* field descriptions

Field description of RAN-AreaConfig is corrected.1. SIB2, s-IntraSearchQ

Corrected typo s-IntraSearchQ2**Impact analysis**Impacted 5G architecture options:NR SA, NR-DC, (NG)EN-DC, NE-DCImpacted functionality:Miscellaneous minor corrections to TS 38.331.Inter-operability:If the network is implemented according to the CR and the UE is not, there will not be inter-operability problems.If the UE is implemented according to the CR and the network is not, there will not be inter-operability problems. |
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| ***Consequences if not approved:*** | Miscellaneous non-controversial errors will remain in the specification. |
|  |  |
| ***Clauses affected:*** | 6.2.2, 6.3.1, 6.3.2, B.1 |
|  |  |
|  | **Y** | **N** |  |  |
| ***Other specs*** |  | **N** |  Other core specifications  | TS/TR ... CR ...  |
| ***affected:*** |  | **N** |  Test specifications | TS/TR ... CR ...  |
| ***(show related CRs)*** |  | **N** |  O&M Specifications | TS/TR ... CR ...  |
|  |  |
| ***Other comments:*** |  |
|  |  |
| ***This CR's revision history:*** |  |

#### <Change 6>

### 6.2.2 Message definitions

#### – *RRCRelease*

The *RRCRelease* message is used to command the release of an RRC connection or the suspension of the RRC connection.

Signalling radio bearer: SRB1

RLC-SAP: AM

Logical channel: DCCH

Direction: Network to UE

*RRCRelease* message

-- ASN1START

-- TAG-RRCRELEASE-START

RRCRelease ::= SEQUENCE {

 rrc-TransactionIdentifier RRC-TransactionIdentifier,

 criticalExtensions CHOICE {

 rrcRelease RRCRelease-IEs,

 criticalExtensionsFuture SEQUENCE {}

 }

}

RRCRelease-IEs ::= SEQUENCE {

 redirectedCarrierInfo RedirectedCarrierInfo OPTIONAL, -- Need N

 cellReselectionPriorities CellReselectionPriorities OPTIONAL, -- Need R

 suspendConfig SuspendConfig OPTIONAL, -- Need R

 deprioritisationReq SEQUENCE {

 deprioritisationType ENUMERATED {frequency, nr},

 deprioritisationTimer ENUMERATED {min5, min10, min15, min30}

 } OPTIONAL, -- Need N

 lateNonCriticalExtension OCTET STRING OPTIONAL,

 nonCriticalExtension RRCRelease-v1540-IEs OPTIONAL

}

RRCRelease-v1540-IEs ::= SEQUENCE {

 waitTime RejectWaitTime OPTIONAL, -- Need N

 nonCriticalExtension SEQUENCE {} OPTIONAL

}

RedirectedCarrierInfo ::= CHOICE {

 nr CarrierInfoNR,

 eutra RedirectedCarrierInfo-EUTRA,

 ...

}

RedirectedCarrierInfo-EUTRA ::= SEQUENCE {

 eutraFrequency ARFCN-ValueEUTRA,

 cnType ENUMERATED {epc,fiveGC} OPTIONAL -- Need N

}

CarrierInfoNR ::= SEQUENCE {

 carrierFreq ARFCN-ValueNR,

 ssbSubcarrierSpacing SubcarrierSpacing,

 smtc SSB-MTC OPTIONAL, -- Need S

 ...

}

SuspendConfig ::= SEQUENCE {

 fullI-RNTI I-RNTI-Value,

 shortI-RNTI ShortI-RNTI-Value,

 ran-PagingCycle PagingCycle,

 ran-NotificationAreaInfo RAN-NotificationAreaInfo OPTIONAL, -- Need M

 t380 PeriodicRNAU-TimerValue OPTIONAL, -- Need R

 nextHopChainingCount NextHopChainingCount,

 ...

}

PeriodicRNAU-TimerValue ::= ENUMERATED { min5, min10, min20, min30, min60, min120, min360, min720}

CellReselectionPriorities ::= SEQUENCE {

 freqPriorityListEUTRA FreqPriorityListEUTRA OPTIONAL, -- Need M

 freqPriorityListNR FreqPriorityListNR OPTIONAL, -- Need M

 t320 ENUMERATED {min5, min10, min20, min30, min60, min120, min180, spare1} OPTIONAL, -- Need R

 ...

}

PagingCycle ::= ENUMERATED {rf32, rf64, rf128, rf256}

FreqPriorityListEUTRA ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityEUTRA

FreqPriorityListNR ::= SEQUENCE (SIZE (1..maxFreq)) OF FreqPriorityNR

FreqPriorityEUTRA ::= SEQUENCE {

 carrierFreq ARFCN-ValueEUTRA,

 cellReselectionPriority CellReselectionPriority,

 cellReselectionSubPriority CellReselectionSubPriority OPTIONAL -- Need R

}

FreqPriorityNR ::= SEQUENCE {

 carrierFreq ARFCN-ValueNR,

 cellReselectionPriority CellReselectionPriority,

 cellReselectionSubPriority CellReselectionSubPriority OPTIONAL -- Need R

}

RAN-NotificationAreaInfo ::= CHOICE {

 cellList PLMN-RAN-AreaCellList,

 ran-AreaConfigList PLMN-RAN-AreaConfigList,

 ...

}

PLMN-RAN-AreaCellList ::= SEQUENCE (SIZE (1.. maxPLMNIdentities)) OF PLMN-RAN-AreaCell

PLMN-RAN-AreaCell ::= SEQUENCE {

 plmn-Identity PLMN-Identity OPTIONAL, -- Need S

 ran-AreaCells SEQUENCE (SIZE (1..32)) OF CellIdentity

}

PLMN-RAN-AreaConfigList ::= SEQUENCE (SIZE (1..maxPLMNIdentities)) OF PLMN-RAN-AreaConfig

PLMN-RAN-AreaConfig ::= SEQUENCE {

 plmn-Identity PLMN-Identity OPTIONAL, -- Need S

 ran-Area SEQUENCE (SIZE (1..16)) OF RAN-AreaConfig

}

RAN-AreaConfig ::= SEQUENCE {

 trackingAreaCode TrackingAreaCode,

 ran-AreaCodeList SEQUENCE (SIZE (1..32)) OF RAN-AreaCode OPTIONAL -- Need R

}

-- TAG-RRCRELEASE-STOP

-- ASN1STOP

|  |
| --- |
| *RRCRelease-IEs* field descriptions |
| ***cnType***Indicate that the UE is redirected to EPC or 5GC. |
| ***deprioritisationReq***Indicates whether the current frequency or RAT is to be de-prioritised. |
| ***deprioritisationTimer***Indicates the period for which either the current carrier frequency or NR is deprioritised. Value *minN* corresponds to N minutes. |
| ***suspendConfig***Indicates configuration for the RRC\_INACTIVE state. The network does not configure *suspendConfig* when the network redirect the UE to an inter-RAT carrier frequency. |
| ***redirectedCarrierInfo***Indicates a carrier frequency (downlink for FDD) and is used to redirect the UE to an NR or an inter-RAT carrier frequency, by means of cell selection at transition to RRC\_IDLE or RRC\_INACTIVE as specified in TS 38.304 [20]. In this release of specification, *redirectedCarrierInfo* is not included in an *RRCRelease* message with *suspendConfig* if this message is in response to an *RRCResumeRequest* or an *RRCResumeRequest1* which is triggered by the NAS layer. |

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| *CarrierInfoNR* field descriptions |
| ***carrierFreq***Indicates the redirected NR frequency. |
| ***ssbSubcarrierSpacing***Subcarrier spacing of SSB in the redirected SSB frequency. Only the values 15 kHz or 30 kHz (FR1), and 120 kHz or 240 kHz (FR2) are applicable. |
| ***smtc***The SSB periodicity/offset/duration configuration for the redirected SSB frequency. It is based on timing reference of PCell. If the field is absent, the UE uses the SMTC configured in the measObjectNR having the same SSB frequency and subcarrier spacing. |

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| *RAN-NotificationAreaInfo* field descriptions |
| ***cellList***A list of cells configured as RAN area. |
| ***ran-AreaConfigList***A list of RAN area codes or RA code(s) as RAN area. |

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| --- |
| *PLMN-RAN-AreaConfig* field descriptions |
| ***plmn-Identity***PLMN Identity to which the cells in *ran-Area* belong. If the field is absent the UE uses the ID of the registered PLMN. |
| ***ran-AreaCodeList***The total number of RAN-AreaCodes of all PLMNs does not exceed 32. |
| ***ran-Area***Indicates whether TA code(s) or RAN area code(s) are used for the RAN notification area. The network uses only TA code(s) or both TA code(s) and RAN area code(s) to configure a UE. The total number of TACs across all PLMNs does not exceed 16. |

|  |
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| *PLMN-RAN-AreaCell* field descriptions |
| ***plmn-Identity***PLMN Identity to which the cells in *ran-AreaCells* belong. If the field is absent the UE uses the ID of the registered PLMN. |
| ***ran-AreaCells***The total number of cells of all PLMNs does not exceed 32. |

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| *SuspendConfig* field descriptions |
| ***ran-NotificationAreaInfo***Network ensures that the UE in RRC\_INACTIVE always has a valid *ran-NotificationAreaInfo*. |
| ***ran-PagingCycle***Refers to the UE specific cycle for RAN-initiated paging. Value *rf32* corresponds to 32 radio frames, value *rf64* corresponds to 64 radio frames and so on. |
| ***t380***Refers to the timer that triggers the periodic RNAU procedure in UE. Value *min5* corresponds to 5 minutes, value *min10* corresponds to 10 minutes and so on. |

#### <Change 7>

### 6.3.1 System information blocks

#### – *SIB2*

*SIB2* contains cell re-selection information common for intra-frequency, inter-frequency and/or inter-RAT cell re-selection (i.e. applicable for more than one type of cell re-selection but not necessarily all) as well as intra-frequency cell re-selection information other than neighbouring cell related.

*SIB2* information element

-- ASN1START

-- TAG-SIB2-START

SIB2 ::= SEQUENCE {

 cellReselectionInfoCommon SEQUENCE {

 nrofSS-BlocksToAverage INTEGER (2..maxNrofSS-BlocksToAverage) OPTIONAL, -- Need S

 absThreshSS-BlocksConsolidation ThresholdNR OPTIONAL, -- Need S

 rangeToBestCell RangeToBestCell OPTIONAL, -- Need R

 q-Hyst ENUMERATED {

 dB0, dB1, dB2, dB3, dB4, dB5, dB6, dB8, dB10,

 dB12, dB14, dB16, dB18, dB20, dB22, dB24},

 speedStateReselectionPars SEQUENCE {

 mobilityStateParameters MobilityStateParameters,

 q-HystSF SEQUENCE {

 sf-Medium ENUMERATED {dB-6, dB-4, dB-2, dB0},

 sf-High ENUMERATED {dB-6, dB-4, dB-2, dB0}

 }

 } OPTIONAL, -- Need R

 ...

 },

 cellReselectionServingFreqInfo SEQUENCE {

 s-NonIntraSearchP ReselectionThreshold OPTIONAL, -- Need S

 s-NonIntraSearchQ ReselectionThresholdQ OPTIONAL, -- Need S

 threshServingLowP ReselectionThreshold,

 threshServingLowQ ReselectionThresholdQ OPTIONAL, -- Need R

 cellReselectionPriority CellReselectionPriority,

 cellReselectionSubPriority CellReselectionSubPriority OPTIONAL, -- Need R

 ...

 },

 intraFreqCellReselectionInfo SEQUENCE {

 q-RxLevMin Q-RxLevMin,

 q-RxLevMinSUL Q-RxLevMin OPTIONAL, -- Need R

 q-QualMin Q-QualMin OPTIONAL, -- Need S

 s-IntraSearchP ReselectionThreshold,

 s-IntraSearchQ ReselectionThresholdQ OPTIONAL, -- Need S

 t-ReselectionNR T-Reselection,

 frequencyBandList MultiFrequencyBandListNR-SIB OPTIONAL, -- Need S

 frequencyBandListSUL MultiFrequencyBandListNR-SIB OPTIONAL, -- Need R

 p-Max P-Max OPTIONAL, -- Need S

 smtc SSB-MTC OPTIONAL, -- Need S

 ss-RSSI-Measurement SS-RSSI-Measurement OPTIONAL, -- Need R

 ssb-ToMeasure SSB-ToMeasure OPTIONAL, -- Need S

 deriveSSB-IndexFromCell BOOLEAN,

 ...,

 [[

 t-ReselectionNR-SF SpeedStateScaleFactors OPTIONAL -- Need N

 ]]

 },

 ...

}

RangeToBestCell ::= Q-OffsetRange

-- TAG-SIB2-STOP

-- ASN1STOP

| *SIB2* field descriptions |
| --- |
| ***absThreshSS-BlocksConsolidation***Threshold for consolidation of L1 measurements per RS index. If the field is absent, the UE uses the measurement quantity as specified in TS 38.304 [20]. |
| ***cellReselectionInfoCommon***Cell re-selection information common for intra-frequency, inter-frequency and/ or inter-RAT cell re-selection. |
| ***cellReselectionServingFreqInfo***Information common for non-intra-frequency cell re-selection i.e. cell re-selection to inter-frequency and inter-RAT cells. |
| ***deriveSSB-IndexFromCell***This field indicates whether the UE can utilize serving cell timing to derive the index of SS block transmitted by neighbour cell. If this field is set to *true*, the UE assumes SFN and frame boundary alignment across cells on the serving frequency as specified in TS 38.133 [14]. |
| ***frequencyBandList***Indicates the list of frequency bands for which the NR cell reselection parameters apply. The UE behaviour in case the field is absent is described in subclause 5.2.2.4.3. |
| ***intraFreqCellReselectionInfo***Cell re-selection information common for intra-frequency cells. |
| ***nrofSS-BlocksToAverage***Number of SS blocks to average for cell measurement derivation. If the field is absent the UE uses the measurement quantity as specified in TS 38.304 [20]. |
| ***p-Max***Value in dBm applicable for the intra-frequency neighbouring NR cells. If absent the UE applies the maximum power according to TS 38.101-1 [15] in case of an FR1 cell or TS 38.101-2 [39] in case of an FR2 cell. In this release of the specification, if *p-Max* is present on a carrier frequency in FR2, the UE shall ignore the field and applies the maximum power according to TS 38.101-2 [39].  |
| ***q-Hyst***Parameter "*Qhyst*" in TS 38.304 [20], Value in dB. Value *dB1* corresponds to 1 dB, *dB2* corresponds to 2 dB and so on. |
| ***q-HystSF***Parameter "Speed dependent ScalingFactor for Qhyst" in TS 38.304 [20]. The *sf-Medium* and *sf-High* concern the additional hysteresis to be applied, in Medium and High Mobility state respectively, to Qhyst as defined in TS 38.304 [20]. In dB. Value *dB-6* corresponds to -6dB, *dB-4* corresponds to -4dB and so on. |
| ***q-QualMin***Parameter "Qqualmin" in TS 38.304 [20], applicable for intra-frequency neighbour cells. If the field is absent, the UE applies the (default) value of negative infinity for Qqualmin.  |
| ***q-RxLevMin***Parameter "Qrxlevmin" in TS 38.304 [20], applicable for intra-frequency neighbour cells. |
| ***q-RxLevMinSUL***Parameter "Qrxlevmin" in TS 38.304 [20], applicable for intra-frequency neighbour cells. |
| ***rangeToBestCell***Parameter "rangeToBestCell" in TS 38.304 [20]. The network configures only non-negative (in dB) values. |
| ***s-IntraSearchP***Parameter "SIntraSearchP" in TS 38.304 [20]. |
| ***s-IntraSearchQ***Parameter "SIntraSearchQ" in TS 38.304 [20]. If the field is absent, the UE applies the (default) value of 0 dB for SIntraSearchQ. |
| ***s-NonIntraSearchP***Parameter "SnonIntraSearchP" in TS 38.304 [20]. If this field is absent, the UE applies the (default) value of infinity for SnonIntraSearchP. |
| ***s-NonIntraSearchQ***Parameter "SnonIntraSearchQ" in TS 38.304 [20]. If the field is absent, the UE applies the (default) value of 0 dB for SnonIntraSearchQ. |
| ***smtc***Measurement timing configuration for intra-frequency measurement. If this field is absent, the UE assumes that SSB periodicity is 5 ms for the intra-frequnecy cells. |
| ***ssb-ToMeasure***The set of SS blocks to be measured within the SMTC measurement duration (see TS 38.215 [9]). When the field is absent the UE measures on all SS-blocks. |
| ***t-ReselectionNR***Parameter "TreselectionNR" in TS 38.304 [20]. |
| ***t-ReselectionNR-SF***Parameter "Speed dependent ScalingFactor for TreselectionNR" in TS 38.304 [20]. If the field is absent, the UE behaviour is specified in TS 38.304 [20]. |
| ***threshServingLowP***Parameter "ThreshServing, LowP" in TS 38.304 [20]. |
| ***threshServingLowQ***Parameter "ThreshServing, LowQ" in TS 38.304 [20]. |

### 6.3.2 Radio resource control information elements

#### <Change 3>

– *DownlinkConfigCommonSIB*

The IE *DownlinkConfigCommonSIB* provides common downlink parameters of a cell.

***DownlinkConfigCommonSIB* information element**

-- ASN1START

-- TAG-DOWNLINKCONFIGCOMMONSIB-START

DownlinkConfigCommonSIB ::= SEQUENCE {

 frequencyInfoDL FrequencyInfoDL-SIB,

 initialDownlinkBWP BWP-DownlinkCommon,

 bcch-Config BCCH-Config,

 pcch-Config PCCH-Config,

 ...

}

BCCH-Config ::= SEQUENCE {

 modificationPeriodCoeff ENUMERATED {n2, n4, n8, n16},

 ...

}

PCCH-Config ::= SEQUENCE {

 defaultPagingCycle PagingCycle,

 nAndPagingFrameOffset CHOICE {

 oneT NULL,

 halfT INTEGER (0..1),

 quarterT INTEGER (0..3),

 oneEighthT INTEGER (0..7),

 oneSixteenthT INTEGER (0..15)

 },

 ns ENUMERATED {four, two, one},

 firstPDCCH-MonitoringOccasionOfPO CHOICE {

 sCS15KHZoneT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..139),

 sCS30KHZoneT-SCS15KHZhalfT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..279),

 sCS60KHZoneT-SCS30KHZhalfT-SCS15KHZquarterT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..559),

 sCS120KHZoneT-SCS60KHZhalfT-SCS30KHZquarterT-SCS15KHZoneEighthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..1119),

 sCS120KHZhalfT-SCS60KHZquarterT-SCS30KHZoneEighthT-SCS15KHZoneSixteenthT

 SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..2239),

 sCS120KHZquarterT-SCS60KHZoneEighthT-SCS30KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..4479),

 sCS120KHZoneEighthT-SCS60KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..8959),

 sCS120KHZoneSixteenthT SEQUENCE (SIZE (1..maxPO-perPF)) OF INTEGER (0..17919)

 } OPTIONAL, -- Need R

 ...

}

-- TAG-DOWNLINKCONFIGCOMMONSIB-STOP

-- ASN1STOP

|  |
| --- |
| ***DownlinkConfigCommonSIB* field descriptions** |
| ***frequencyInfoDL-SIB***Basic parameters of a downlink carrier and transmission thereon. |
| ***initialDownlinkBWP***The initial downlink BWP configuration for a PCell. The network configures the *locationAndBandwidth* so that the initial downlink BWP contains the entire CORESET#0 of this serving cell in the frequency domain. The UE applies the *locationAndBandwidth* upon reception of this field (e.g. to determine the frequency position of signals described in relation to this *locationAndBandwidth*) but it keeps CORESET#0 until after reception of *RRCSetup*/*RRCResume/RRCReestablishment*. |
| ***bcch-Config***The modification period related configuration. |
| ***pcch-Config***The paging related configuration. |

|  |
| --- |
| ***BCCH-Config* field descriptions** |
| ***modificationPeriodCoeff***Actual modification period, expressed in number of radio frames m = *modificationPeriodCoeff* \* *defaultPagingCycle*, see clause 5.2.2.2.2. *n2* corresponds to value 2, *n4* corresponds to value 4, and so on. |

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| --- |
| ***PCCH-Config* field descriptions** |
| ***defaultPagingCycle***Default paging cycle, used to derive 'T' in TS 38.304 [20]. Value *rf32* corresponds to 32 radio frames, value *rf64* corresponds to 64 radio frames and so on. |
| ***firstPDCCH-MonitoringOccasionOfPO***Points out the first PDCCH monitoring occasion for paging of each PO of the PF, see TS 38.304 [20]. |
| ***nAndPagingFrameOffset***Used to derive the number of total paging frames in T (corresponding to parameter N in TS 38.304 [20]) and paging frame offset (corresponding to parameter PF\_offset in TS 38.304 [20]). A value of *oneSixteenthT* corresponds to T / 16, a value of oneEighthT corresponds to T / 8, and so on.If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 2 or 3 (as specified in TS 38.213 [13]):- for *ssb-periodicityServingCell* of 5 or 10 ms, N can be set to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 20 ms, N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 40 ms, N can be set to one of {*quarterT, oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 80 ms, N can be set to one of {*oneEighthT, oneSixteenthT*}- for *ssb-periodicityServingCell* of 160 ms, N can be set to *oneSixteenthT*If *pagingSearchSpace* is set to zero and if SS/PBCH block and CORESET multiplexing pattern is 1 (as specified in TS 38.213 [13]), N can be set to one of {*halfT, quarterT, oneEighthT, oneSixteenthT*}If *pagingSearchSpace* is not set to zero, N can be configured to one of {*oneT, halfT, quarterT, oneEighthT, oneSixteenthT*} |
| ***ns***Number of paging occasions per paging frame. |

#### <Change 1>

#### – *RACH-ConfigCommon*

The IE *RACH-ConfigCommon* is used to specify the cell specific random-access parameters.

*RACH-ConfigCommon* information element

-- ASN1START

-- TAG-RACH-CONFIGCOMMON-START

RACH-ConfigCommon ::= SEQUENCE {

 rach-ConfigGeneric RACH-ConfigGeneric,

 totalNumberOfRA-Preambles INTEGER (1..63) OPTIONAL, -- Need S

 ssb-perRACH-OccasionAndCB-PreamblesPerSSB CHOICE {

 oneEighth ENUMERATED {n4,n8,n12,n16,n20,n24,n28,n32,n36,n40,n44,n48,n52,n56,n60,n64},

 oneFourth ENUMERATED {n4,n8,n12,n16,n20,n24,n28,n32,n36,n40,n44,n48,n52,n56,n60,n64},

 oneHalf ENUMERATED {n4,n8,n12,n16,n20,n24,n28,n32,n36,n40,n44,n48,n52,n56,n60,n64},

 one ENUMERATED {n4,n8,n12,n16,n20,n24,n28,n32,n36,n40,n44,n48,n52,n56,n60,n64},

 two ENUMERATED {n4,n8,n12,n16,n20,n24,n28,n32},

 four INTEGER (1..16),

 eight INTEGER (1..8),

 sixteen INTEGER (1..4)

 } OPTIONAL, -- Need M

 groupBconfigured SEQUENCE {

 ra-Msg3SizeGroupA ENUMERATED {b56, b144, b208, b256, b282, b480, b640,

 b800, b1000, b72, spare6, spare5,spare4, spare3, spare2, spare1},

 messagePowerOffsetGroupB ENUMERATED { minusinfinity, dB0, dB5, dB8, dB10, dB12, dB15, dB18},

 numberOfRA-PreamblesGroupA INTEGER (1..64)

 } OPTIONAL, -- Need R

 ra-ContentionResolutionTimer ENUMERATED { sf8, sf16, sf24, sf32, sf40, sf48, sf56, sf64},

 rsrp-ThresholdSSB RSRP-Range OPTIONAL, -- Need R

 rsrp-ThresholdSSB-SUL RSRP-Range OPTIONAL, -- Cond SUL

 prach-RootSequenceIndex CHOICE {

 l839 INTEGER (0..837),

 l139 INTEGER (0..137)

 },

 msg1-SubcarrierSpacing SubcarrierSpacing OPTIONAL, -- Cond L139

 restrictedSetConfig ENUMERATED {unrestrictedSet, restrictedSetTypeA, restrictedSetTypeB},

 msg3-transformPrecoder ENUMERATED {enabled} OPTIONAL, -- Need R

 ...

}

-- TAG-RACH-CONFIGCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| *RACH-ConfigCommon* field descriptions |
| ***messagePowerOffsetGroupB***Threshold for preamble selection. Value is in dB. Value *minusinfinity* corresponds to –infinity. Value *dB0* corresponds to 0 dB, *dB5* corresponds to 5 dB and so on. (see TS 38.321 [3], clause 5.1.2) |
| ***msg1-SubcarrierSpacing***Subcarrier spacing of PRACH (see TS 38.211 [16], clause 5.3.2). Only the values 15 or 30 kHz (FR1), and 60 or 120 kHz (FR2) are applicable. If absent, the UE applies the SCS as derived from the *prach-ConfigurationIndex* in *RACH-ConfigGeneric* (see tables Table 6.3.3.1-1 and Table 6.3.3.2-2, TS 38.211 [16]). The value also applies to contention free random access (*RACH-ConfigDedicated*), to SI-request and to contention-based beam failure recovery (CB-BFR). But it does not apply for contention free beam failure recovery (CF-BFR) (see *BeamFailureRecoveryConfig*). |
| ***msg3-transformPrecoder***Enables the transform precoder for Msg3 transmission according to clause 6.1.3 of TS 38.214 [19]. If the field is absent, the UE disables the transformer precoder (see TS 38.213 [13], clause 8.3). |
| ***numberOfRA-PreamblesGroupA***The number of CB preambles per SSB in group A. This determines implicitly the number of CB preambles per SSB available in group B. (see TS 38.321 [3], clause 5.1.1). The setting should be consistent with the setting of *ssb-perRACH-OccasionAndCB-PreamblesPerSSB*. |
| ***prach-RootSequenceIndex***PRACH root sequence index (see TS 38.211 [16], clause 6.3.3.1). The value range depends on whether L=839 or L=139. The short/long preamble format indicated in this IE should be consistent with the one indicated in *prach-ConfigurationIndex* in the *RACH-ConfigDedicated* (if configured). |
| ***ra-ContentionResolutionTimer***The initial value for the contention resolution timer (see TS 38.321 [3], clause 5.1.5). Value *sf8* corresponds to 8 subframes, value *sf16* corresponds to 16 subframes, and so on. |
| ***ra-Msg3SizeGroupA***Transport Blocks size threshold in bits below which the UE shall use a contention-based RA preamble of group A. (see TS 38.321 [3], clause 5.1.2). |
| ***rach-ConfigGeneric***RACH parameters for both regular random access and beam failure recovery. |
| ***restrictedSetConfig***Configuration of an unrestricted set or one of two types of restricted sets, see TS 38.211 [16], clause 6.3.3.1. |
| ***rsrp-ThresholdSSB***UE may select the SS block and corresponding PRACH resource for path-loss estimation and (re)transmission based on SS blocks that satisfy the threshold (see TS 38.213 [13]). |
| ***rsrp-ThresholdSSB-SUL***The UE selects SUL carrier to perform random access based on this threshold (see TS 38.321 [3], clause 5.1.1). The value applies to all the BWPs. |
| ***ssb-perRACH-OccasionAndCB-PreamblesPerSSB***The meaning of this field is twofold: the CHOICE conveys the information about the number of SSBs per RACH occasion. Value *oneEighth* corresponds to one SSB associated with 8 RACH occasions, value *oneFourth* corresponds to one SSB associated with 4 RACH occasions, and so on. The ENUMERATED part indicates the number of Contention Based preambles per SSB. Value *n4* corresponds to 4 Contention Based preambles per SSB, value *n8* corresponds to 8 Contention Based preambles per SSB, and so on. The total number of CB preambles in a RACH occasion is given by *CB-preambles-per-SSB* \* max(1, *SSB-per-rach-occasion*). See TS 38.213 [13]. |
| ***totalNumberOfRA-Preambles***Total number of preambles used for contention based and contention free random access in the RACH resources defined in *RACH-ConfigCommon*, excluding preambles used for other purposes (e.g. for SI request). If the field is absent, all 64 preambles are available for RA. The setting should be consistent with the setting of *ssb-perRACH-OccasionAndCB-PreamblesPerSSB*, i.e. it should be a multiple of the number of SSBs per RACH occasion. |

|  |  |
| --- | --- |
| Conditional Presence | Explanation |
| *L139* | The field is mandatory present if *prach-RootSequenceIndex* L=139, otherwise the field is absent, Need S. |
| *SUL* | The field is mandatory present in *initialUplinkBWP* in *supplementaryUplink*; otherwise, the field is absent. |

| Conditional presence | Explanation |
| --- | --- |
| *FieldAsetToX* | The field is mandatory present if fieldA is included and set to valueX. Otherwise the field is optionally present, need R. |
| *FieldCsetToY* | The field is optionally present, need M, if fieldC is configured and set to valueY. Otherwise the field is absent and the UE does not maintain the value |

#### <Change 4>

*– UplinkConfigCommon*

The IE *UplinkConfigCommon* provides common uplink parameters of a cell.

***UplinkConfigCommon* information element**

-- ASN1START

-- TAG-UPLINKCONFIGCOMMON-START

UplinkConfigCommon ::= SEQUENCE {

 frequencyInfoUL FrequencyInfoUL OPTIONAL, -- Cond InterFreqHOAndServCellAdd

 initialUplinkBWP BWP-UplinkCommon OPTIONAL, -- Cond ServCellAdd

 dummy TimeAlignmentTimer

}

-- TAG-UPLINKCONFIGCOMMON-STOP

-- ASN1STOP

|  |
| --- |
| ***UplinkConfigCommon* field descriptions** |
| ***frequencyInfoUL***Absolute uplink frequency configuration and subcarrier specific virtual carriers. |
| ***initialUplinkBWP***The initial uplink BWP configuration for a serving cell(see TS 38.213 [13], clause 12). |

|  |  |
| --- | --- |
| **Conditional Presence** | **Explanation** |
| *InterFreqHOAndServCellAdd* | This field is mandatory present for inter-frequency handover and upon serving cell (PSCell/SCell) addition. Otherwise, the field is optionally present, Need M. |
| *ServCellAdd* | This field is mandatory present upon serving cell addition (for PSCell and SCell) and upon handover from E-UTRA to NR. It is optionally present, Need M otherwise. |

#### <Change 5>

#### – *UplinkConfigCommonSIB*

The IE *UplinkConfigCommonSIB* provides common uplink parameters of a cell.

*UplinkConfigCommonSIB* information element

-- ASN1START

-- TAG-UPLINKCONFIGCOMMONSIB-START

UplinkConfigCommonSIB ::= SEQUENCE {

 frequencyInfoUL FrequencyInfoUL-SIB,

 initialUplinkBWP BWP-UplinkCommon,

 timeAlignmentTimerCommon TimeAlignmentTimer

}

-- TAG-UPLINKCONFIGCOMMONSIB-STOP

-- ASN1STOP

|  |
| --- |
| *UplinkConfigCommonSIB* field descriptions |
| ***frequencyInfoUL***Absolute uplink frequency configuration and subcarrier specific virtual carriers. |
| ***InitialUplinkBWP***The initial uplink BWP configuration for a PCell (see TS 38.213 [13], clause 12). |

#### <Change 2>

Annex B (informative): RRC Information

# B.1 Protection of RRC messages

The following list provides information which messages can be sent (unprotected) prior to AS security activation and which messages can be sent unprotected after AS security activation. Those messages indicated "-" in "P" column should never be sent unprotected by gNB or UE. Further requirements are defined in the procedural text.

P…Messages that can be sent (unprotected) prior to AS security activation

A – I…Messages that can be sent without integrity protection after AS security activation

A – C…Messages that can be sent unciphered after AS security activation

NA… Message can never be sent after AS security activation

| Message | P | A-I | A-C | Comment |
| --- | --- | --- | --- | --- |
| *CounterCheck* | - | - | - |  |
| *CounterCheckResponse* | - | - | - |  |
| *DLInformationTransfer* | + | - | - |  |
| *FailureInformation* | - | - | - |  |
| *LocationMeasurementIndication* | - | - | - |  |
| *MIB* | + | + | + |  |
| *MeasurementReport* | - | - | - | Measurement configuration may be sent prior to AS security activation. But: In order to protect privacy of UEs, *MeasurementReport* is only sent from the UE after successful AS security activation. |
| *MobilityFromNRCommand* | - | - | - |  |
| *Paging* | + | + | + |  |
| *RRCReconfiguration* | + | - | - | The message shall not be sent unprotected before AS security activation if it is used to perform handover or to establish SRB2 and DRBs. |
| *RRCReconfigurationComplete* | + | - | - | Unprotected, if sent as response to *RRCReconfiguration* which was sent before AS security activation. |
| *RRCReestablishment* | - | - | + | Integrity protection applied, but no ciphering. |
| *RRCReestablishmentComplete* | - | - | - |  |
| *RRCReestablishmentRequest* | - | - | + | This message is not protected by PDCP operation. However, a *shortMAC-I* is included. |
| *RRCReject* | + | + | + | Justification for A-I and A-C: the message can be sent in SRB0 in RRC\_INACTIVE state, after the AS security is activated. |
| *RRCRelease* | + | - | - | Justification for P: If the RRC connection only for signalling not requiring DRBs or ciphered messages, or the signalling connection has to be released prematurely, this message is sent as unprotected. *RRCRelease* message sent before AS security activation cannot include *deprioritisationReq, suspendConfig, redirectedCarrierInfo, cellReselectionPriorities* information fields. |
| *RRCResume* | - | - | - |  |
| *RRCResumeComplete* | - | - | - |  |
| *RRCResumeRequest* | - | - | + | This message is not protected by PDCP operation. However, a *resumeMAC-I* is included. |
| *RRCResumeRequest1* | - | - | + | This message is not protected by PDCP operation. However, a *resumeMAC-I* is included. |
| *RRCSetup* | + | + | + | Justification for A-I and A-C: the message can be sent in SRB0 in RRC\_INACTIVE or RRC\_CONNECTED states, after the AS security is activated. |
| *RRCSetupComplete* | + | NA | NA |  |
| *RRCSetupRequest* | + | NA | NA |  |
| *RRCSystemInfoRequest* | + | + | + | Justification for A-I and A-C: the message can be sent in SRB0 in RRC\_INACTIVE state, after the AS security is activated. |
| *SIB1* | + | + | + |  |
| *SCGFailureInformation* | - | - | - |  |
| *SCGFailureInformationEUTRA* | - | - | - |  |
| *SecurityModeCommand* | + | NA | NA | Integrity protection applied, but no ciphering (integrity verification done after the message received by RRC). |
| *SecurityModeComplete* | - | - | + | The message is sent after AS security activation. Integrity protection applied, but no ciphering. Ciphering is applied after completing the procedure. |
| *SecurityModeFailure* | + | NA | NA | Neither integrity protection nor ciphering applied. |
| *SystemInformation* | + | + | + |  |
| *UEAssistanceInformation* | - | - | - |  |
| *UECapabilityEnquiry* | + | - | - | The network should retrieve UE capabilities only after AS security activation. |
| *UECapabilityInformation* | + | - | - |  |
| *ULInformationTransfer* | + | - | - |  |
| *ULInformationTransferMRDC* | - | - | - |  |

#### <End of changes>